## PGF5112 - Plasma Physics I

## <sup>By</sup> Prof. Gustavo Paganini Canal

Plasma Physics Laboratory Department of Applied Physics Institute of Physics University of São Paulo

Graduate course ministered at the Institute of Physics of the University of São Paulo





e-mail: canal@if.usp.br São Paulo - SP, 30 March 2023



- Introduction
- Uniform and static electric field
- Uniform and static magnetic field
- Uniform and static electric and magnetic fields
- Non-uniform and static magnetic field (physical insight)
- Non-uniform and static electric field (physical insight)
- Non-uniform and time-dependent electric and magnetic fields (next lecture)





## - Introduction

- Uniform and static electric field
- Uniform and static magnetic field
- Uniform and static electric and magnetic fields
- Non-uniform and static magnetic field (physical insight)
- Non-uniform and static electric field (physical insight)
- Non-uniform and time-dependent electric and magnetic fields (next lecture)





- Knowing the trajectory of charged particles in special field configurations is important as it provides a good physical insight into some dynamic processes
- Here, we are interested in the motion of charged particles in the presence of electric (E) and magnetic (B) fields, which are known as functions of r and t
  - Therefore, the fields are not affected by the charged particles
- The relativistic equation of motion for a charged particle under the action of the Lorentz force due to  ${\bf E}$  and  ${\bf B}$  fields is

$$\frac{d\mathbf{p}}{dt} = \mathbf{F} = q \left( \mathbf{E} + \mathbf{v} \times \mathbf{B} \right)$$

- Here,  $\mathbf{p} = \gamma m \mathbf{v}$  is the relativistic particle momentum, with  $\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{r^2}}}$ 

q and m are the particle charge and rest mass, respectively

 $c = 2.99 \times 10^8$  m/s is the speed of light in vacuum





## The classical/non-relativistic single particle orbit theory

- In many situations of practical interest, the term  $v^2/c^2 \ll 1$ 
  - Therefore,  $\gamma \approx 1$  and *m* can be considered constant (independent of *v*)
- Relativistic effects are important only for highly energetic particles
  - A 1 MeV proton has  $v = 1.4 \times 10^7$  m/s, i.e.  $v^2/c^2 = 0.002 \ll 1$
  - Radiative effects, which are relativistic effects, will also be neglected here
- In such a situations, the motion equation reduces to the non-relativistic equation

$$m\frac{d\mathbf{v}}{dt} = q\left(\mathbf{E} + \mathbf{v} \times \mathbf{B}\right)$$

• If the velocity obtained from this equation does not satisfy the condition  $v^2/c^2 \ll 1$ , then the relativistic equation of motion must be used instead





• Let's consider the particle kinetic energy

$$W = \frac{1}{2}mv^2 = \frac{1}{2}m\mathbf{v}\cdot\mathbf{v} \quad \rightarrow \quad \frac{dW}{dt} = \frac{d}{dt}\left(\frac{1}{2}m\mathbf{v}\cdot\mathbf{v}\right) = m\frac{d\mathbf{v}}{dt}\cdot\mathbf{v}$$

• Using the motion equation, this equation becomes

$$\frac{dW}{dt} = m\frac{d\mathbf{v}}{dt} \cdot \mathbf{v} = q\left(\mathbf{E} + \mathbf{v} \times \mathbf{B}\right) \cdot \mathbf{v} = q\left(\mathbf{E} \cdot \mathbf{v}\right) + \underline{q}(\mathbf{v} \times \mathbf{B}) \cdot \mathbf{v} = q\left(\mathbf{E} \cdot \mathbf{v}\right)$$

#### • From the equation above, one concludes that

- Any change in the particle kinetic energy is done by electric fields
- Magnetic fields do no work on charged particles, i.e. the particle kinetic energy is conserved when there is only a magnetic field





- Introduction
- Uniform and static electric field
- Uniform and static magnetic field
- Uniform and static electric and magnetic fields
- Non-uniform and static magnetic field (physical insight)
- Non-uniform and static electric field (physical insight)
- Non-uniform and time-dependent electric and magnetic fields (next lecture)





Single particle orbits: trajectories of charged particles in a static and uniform electric field

 Charged particles in externally applied electromagnetic fields are subject to the Lorentz force

$$\frac{d\mathbf{v}}{dt} = \frac{q}{m} \left( \mathbf{E}_{\text{ext}} + \mathbf{v} \times \mathbf{B}_{\text{ext}} \right)$$

- For the case in which  ${\bf B}_{ext}=0$  and  ${\bf E}_{ext}={\bf E_0}$  is uniform and static, one has

$$\frac{d\mathbf{v}}{dt} = \frac{q}{m} \mathbf{E_0}$$

• The solution of this equation is obtained by direct integration

$$\int_0^t \frac{d\mathbf{v}}{dt} dt = \int_0^t \frac{q}{m} \mathbf{E}_{\mathbf{0}} dt \quad \rightarrow \quad \int_{\mathbf{v}_0}^{\mathbf{v}(t)} d\mathbf{v} = \frac{q}{m} \mathbf{E}_{\mathbf{0}} \int_0^t dt \quad \rightarrow \quad \mathbf{v}(t) = \mathbf{v}_{\mathbf{0}} + \frac{q}{m} \mathbf{E}_{\mathbf{0}} t$$

(Uniforme Rectilinear Motion)

$$\int_0^t \frac{d\mathbf{r}}{dt} dt = \int_0^t \mathbf{v_0} dt + \frac{q}{m} \mathbf{E_0} \int_0^t t dt \qquad \to \qquad$$

$$\mathbf{r}(t) = \mathbf{r_0} + \mathbf{v_0}t + \frac{q}{2m}\mathbf{E_0} t^2$$





- Introduction
- Uniform and static electric field
- Uniform and static magnetic field
- Uniform and static electric and magnetic fields
- Non-uniform and static magnetic field (physical insight)
- Non-uniform and static electric field (physical insight)
- Non-uniform and time-dependent electric and magnetic fields (next lecture)



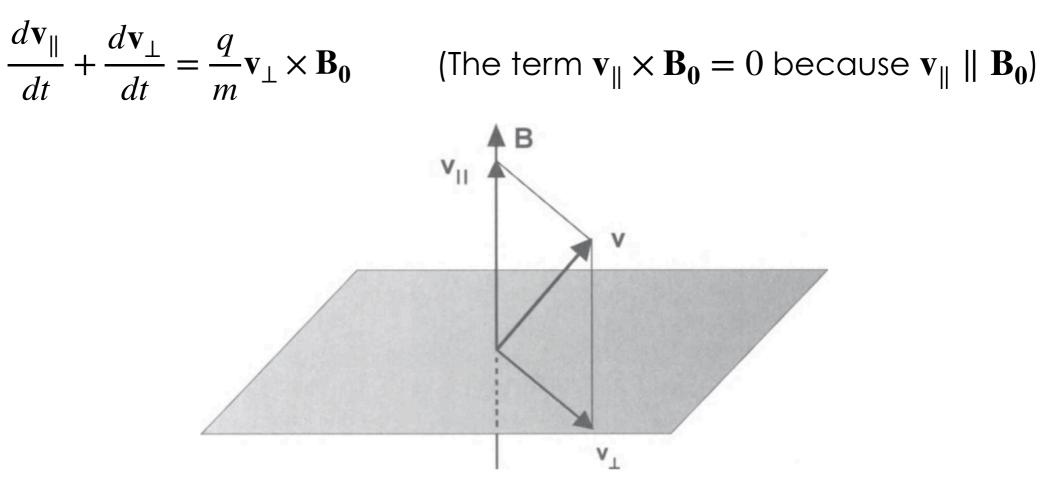


Single particle orbits: trajectories of charged particles in a static and uniform magnetic field

• For the case in which  $\mathbf{E}_{\text{ext}} = 0$  and  $\mathbf{B}_{\text{ext}} = \mathbf{B_0}$  is uniform and static, one has

$$\frac{d\mathbf{v}}{dt} = \frac{q}{m}\mathbf{v} \times \mathbf{B_0}$$

- Decompose v in its parallel and perpendicular (to  $B_0$ ) components:  $v=v_{||}+v_{\perp}$ 







Single particle orbits: trajectories of charged particles in a static and uniform magnetic field

• For the case in which  $\mathbf{E}_{\text{ext}} = 0$  and  $\mathbf{B}_{\text{ext}} = \mathbf{B_0}$  is uniform and static, one has

$$\frac{d\mathbf{v}}{dt} = \frac{q}{m}\mathbf{v} \times \mathbf{B_0}$$

- Decompose v in its parallel and perpendicular (to  $B_0$ ) components:  $v=v_{||}+v_{\perp}$ 

$$\frac{d\mathbf{v}_{\parallel}}{dt} + \frac{d\mathbf{v}_{\perp}}{dt} = \frac{q}{m}\mathbf{v}_{\perp} \times \mathbf{B}_{\mathbf{0}} \qquad \text{(The term } \mathbf{v}_{\parallel} \times \mathbf{B}_{\mathbf{0}} = 0 \text{ because } \mathbf{v}_{\parallel} \parallel \mathbf{B}_{\mathbf{0}}\text{)}$$

- In the parallel direction: uniforme rectilinear motion

$$\frac{d\mathbf{v}_{||}}{dt} = 0 \qquad \rightarrow \qquad \mathbf{v}_{||} = \mathbf{v}_{\mathbf{0}}$$

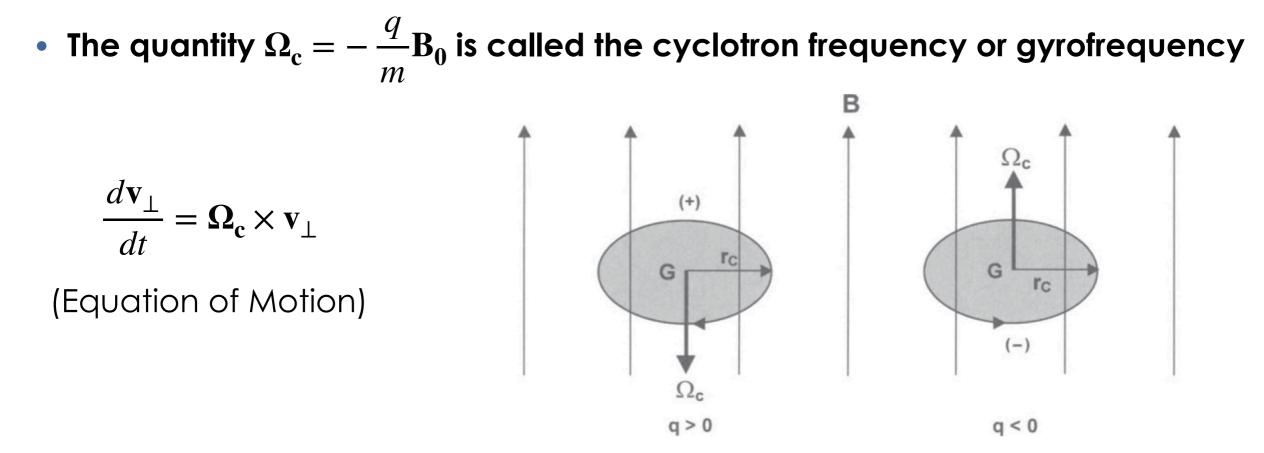
- In the perpendicular direction: cyclotron motion

$$\frac{d\mathbf{v}_{\perp}}{dt} = \frac{q}{m}\mathbf{v}_{\perp} \times \mathbf{B_0}$$

- If one defines  $\Omega_c = -\frac{q}{m} \mathbf{B_0}$ , the motion equation becomes:  $\frac{d\mathbf{v}_{\perp}}{dt} = \Omega_c \times \mathbf{v}_{\perp}$ 







- Its direction is chosen as the diamagnetic direction
  - $\Omega_c$  is opposite to  $B_0$  for a positive charge (q > 0), which moves such that the magnetic field created by it is opposite to  $B_0$
  - $\Omega_c$  points in the direction of  $B_0$  for a negative charge (q < 0), which also moves such that the magnetic field created by it is opposite to  $B_0$
  - Note that  $\Omega_c$  always points in the direction of the particle angular momentum



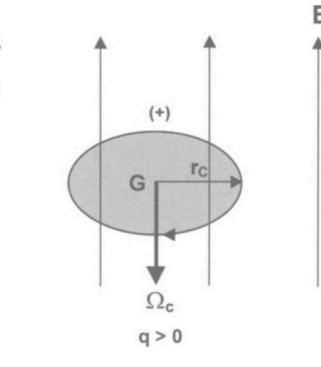


## The Larmor radius (or the gyroradius)

- Since  $\Omega_c$  is constant and, from kinetic energy conservation,  $\|v_{\perp}\|$  is also constant, the equation of motion implies that
  - The particle acceleration is constant in magnitude
  - The particle acceleration is perpendicular to both  $v_{\perp}$  and  $B_0$
- The equation of motion can be integrated directly

$$\frac{d\mathbf{v}_{\perp}}{dt} = \mathbf{\Omega}_{\mathbf{c}} \times \mathbf{v}_{\perp} = \mathbf{\Omega}_{\mathbf{c}} \times \frac{d\mathbf{r}_{\mathbf{c}}}{dt} \quad \rightarrow \quad \mathbf{v}_{\perp} = \mathbf{\Omega}_{\mathbf{c}} \times \mathbf{r}_{\mathbf{c}}$$
(Circular Motion)

- Here,  $r_c$  is the particle vector position measured with respect to a point (G in the figure) in the plane perpendicular to  $B_0$  and that contains the particle
- Since  $|\,v_{\perp}\,|$  is constant,  $|\,r_{c}\,|$  is also constant



- The vector  $\boldsymbol{r}_c$  is called the Larmor radius or gyroradius



The cyclotron frequency and Larmor radius for some particular cases

• Larmor radius: 
$$r_c = v_{\perp} / \Omega_c = \frac{m v_{\perp}}{|q| B_0}$$
 One can estimate  $v_{\perp} \approx = v_{th} = \sqrt{\frac{k_B T}{m}}$ 

• Cyclotron frequency:  $\Omega_c = |q| B_0/m$ 

- Electron cyclotron:  $f_{\rm ce}=\Omega_{\rm ce}/2\pi=28.0\times{\rm B}_0~$  (GHz )
- Ion cyclotron:  $f_{\rm ci} = \Omega_{\rm ci}/2\pi = 15.2 \times B_0$  (MHz)

#### Cyclotron frequency and Larmor radius in plasmas

- Tokamaks ( $m_i = 1.67 \times 10^{-27} kg; B_0 = 1.5 T; T = 1 \times 10^8 K$ )

$$f_{ce} = 42 GHz$$
,  $f_{ci} = 22.8 MHz$ ,  $r_{ce} = 0.15 mm$  and  $r_{ci} = 6.3 mm$ 

- Solar corona ( $m_i = 1.67 \times 10^{-27} kg; B_0 = 0.1 T; T = 1 \times 10^6 K$ )

$$f_{ce} = 2.8 GHz$$
,  $f_{ci} = 1.5 MHz$ ,  $r_{ce} = 0.22 mm$  and  $r_{ci} = 9.5 mm$ 

• When  $r_{ce}$ ,  $r_{ci} \ll L$  (plasma size), the electrons/ions are said to be magnetized



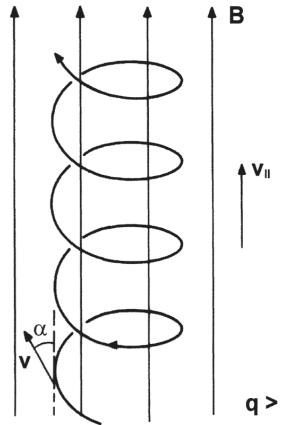


Single particle orbits: trajectories of charged particles in a static and uniform magnetic field

- The trajectory of the particle is given by the superposition of a uniform motion along  $B_0$  and a cyclotron motion perpendicular to  $B_0$ 
  - The particle trajectory describes a helix
- The angle between  $B_0$  and the direction of the particle motion is called the pitch angle

$$\alpha = \sin^{-1}\left(\frac{v_{\perp}}{v}\right) = \tan^{-1}\left(\frac{v_{\perp}}{v_{\parallel}}\right)$$

- Here,  $v = \sqrt{v_{\parallel}^2 + v_{\perp}^2}$  is the total speed of the particle
- When  $v_{\parallel} = 0$  and  $v_{\perp} \neq 0$ ,  $\alpha = \pi/2$  (Circular/Cyclotron Motion)
- When  $v_{\parallel} \neq 0$  and  $v_{\perp} = 0$ ,  $\alpha = 0$  (Uniform Rectilinear Motion along the field line)

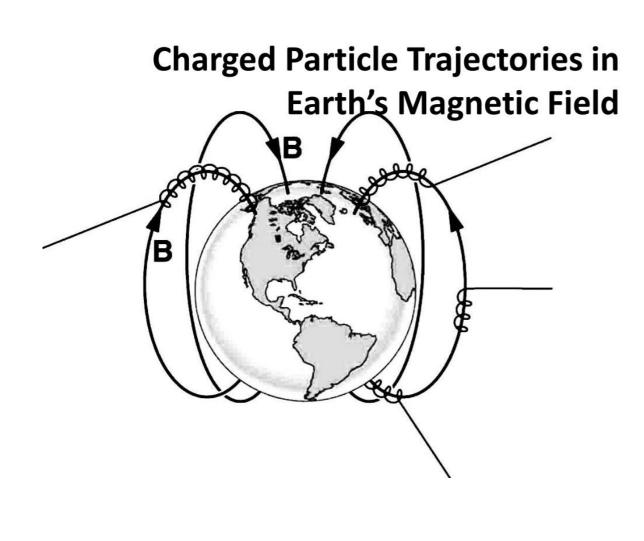






# The particle orbit theory is well suited to study the trajectory of charged particles entering Earth's atmosphere

- Charged particles arriving to Earth's atmosphere are deflected towards the poles by the terrestrial magnetic field
  - The collision of these charged particles with the air molecules in the atmosphere gives rise to the so-called auroras









Magnetic moment associated with the cyclotron motion

• The magnetic moment due to a circulating current I is normal to the area A

$$|\mathbf{m}| = I A$$

- The current due to cyclotron motion is

$$I = \frac{|q|}{T_c} = \frac{|q|\Omega_c}{2\pi}$$

- The area of the current loop is

$$A = \pi r_c^2$$

• Therefore, the magnetic moment becomes

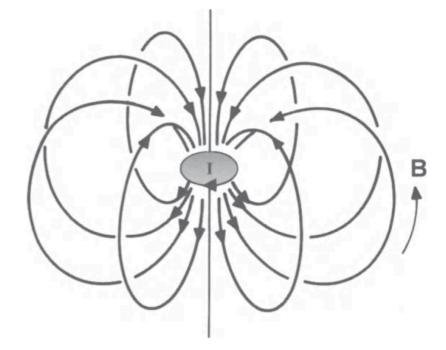
$$|\mathbf{m}| = \frac{|q|\Omega_c}{2\pi}\pi r_c^2 = \frac{1}{2}|q|\Omega_c r_c^2 = \frac{\frac{1}{2}mv_{\perp}^2}{B_0} = \frac{W_{\perp}}{B_0}$$



$$\mathbf{m} = -\frac{W_{\perp}}{B_0^2} \mathbf{B_0}$$







- The magnetization  ${f M}$  due to the cyclotron motion of several various particles is

$$\mathbf{M} = \frac{1}{V} \sum_{j=1}^{N} \mathbf{m}_{j} = \frac{N \mathbf{m}}{V} = n \mathbf{m} \quad \rightarrow \qquad \mathbf{M} = -\frac{n W_{\perp}}{B_{0}^{2}} \mathbf{B}_{0}$$

• From classical electrodynamics, the magnetization current is  $J_M = \nabla \times M$ . Writing the total current density as  $J_{total} = J + J_M$ , where J is the current due to free charges, the Ampère-Maxwell equation becomes

$$\nabla \times \mathbf{B} = \mu_0 \left( \mathbf{J} + \mathbf{J}_{\mathbf{M}} + \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right) = \mu_0 \left( \mathbf{J} + \nabla \times \mathbf{M} + \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right)$$
$$\nabla \times \left( \frac{\mathbf{B}}{\mu_0} - \mathbf{M} \right) = \mathbf{J} + \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \quad \rightarrow \quad \nabla \times \mathbf{H} = \mathbf{J} + \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \quad \text{, where } \mathbf{B} = \mu_0 (\mathbf{H} + \mathbf{M})$$

- A simple linear relation between B and H exists when M is proportional to B or H
  - E.g.  $\mathbf{M} = \chi_m \mathbf{H}$ , where  $\chi_m$  is the magnetic susceptibility of the medium
- In a plasma, however,  $M \propto 1/B$  (non-linear). Therefore, it is NOT convenient to treat plasmas as magnetic media





- Introduction
- Uniform and static electric field
- Uniform and static magnetic field
- Uniform and static electric and magnetic fields
- Non-uniform and static magnetic field (physical insight)
- Non-uniform and static electric field (physical insight)
- Non-uniform and time-dependent electric and magnetic fields (next lecture)





Single particle orbits: trajectories of charged particles in uniform and static electric and magnetic fields

- For the case in which  $E_{\text{ext}}=E_0$  and  $B_{\text{ext}}=B_0$  are uniform and static, one has

$$m\frac{d\mathbf{v}}{dt} = q\left(\mathbf{E_0} + \mathbf{v} \times \mathbf{B_0}\right)$$

• Decompose v and  $E_{0}$  in their parallel and perpendicular (to  $B_{0})$  components

$$m\frac{d\mathbf{v}_{\parallel}}{dt} + m\frac{d\mathbf{v}_{\perp}}{dt} = q\left(\mathbf{E}_{\mathbf{0},\parallel} + \mathbf{E}_{\mathbf{0},\perp} + \mathbf{v}_{\perp} \times \mathbf{B}_{\mathbf{0}}\right)$$

- Parallel direction

$$m\frac{d\mathbf{v}_{||}}{dt} = q\mathbf{E}_{\mathbf{0},||} \quad \rightarrow \quad \mathbf{v}(t) = \mathbf{v}_{\mathbf{0}||} + \frac{q}{m}\mathbf{E}_{\mathbf{0},||} t \quad \rightarrow \qquad \mathbf{r}_{||}(t) = \mathbf{r}_{\mathbf{0}||} + \mathbf{v}_{\mathbf{0}||} t + \frac{q}{2m}\mathbf{E}_{\mathbf{0},||} t^{2}$$

- Perpendicular direction

$$m\frac{d\mathbf{v}_{\perp}}{dt} = q\left(\mathbf{E}_{\mathbf{0},\perp} + \mathbf{v}_{\perp} \times \mathbf{B}_{\mathbf{0}}\right)$$





Single particle orbits: trajectories of charged particles in uniform and static electric and magnetic fields

• To solve the perpendicular equation, let's change referencial:  $v_{\perp}(t) = v_{c}(t) + v_{ExB}$ 

$$m\frac{d\mathbf{v_c}}{dt} = q\left(\mathbf{E_{0,\perp}} + \mathbf{v_c} \times \mathbf{B_0} + \mathbf{v_{ExB}} \times \mathbf{B_0}\right)$$

 $\bullet$  Choose the constant velocity  $v_{ExB}^{\phantom{\dagger}}$  as

$$\mathbf{v}_{\mathbf{ExB}} = \frac{\mathbf{E}_{\mathbf{0},\perp} \times \mathbf{B}_{\mathbf{0}}}{B_{\mathbf{0}}^2}$$

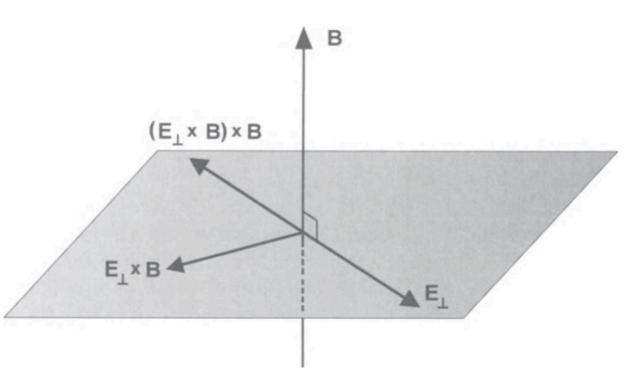
The equation of motion becomes

$$\frac{d\mathbf{v_c}}{dt} = \frac{q}{m}\mathbf{v_c} \times \mathbf{B_0}$$

- The solution of this equation is the cyclotron motion

$$\mathbf{v}_{\mathbf{c}}(t) = \mathbf{\Omega}_{\mathbf{c}} \times \mathbf{r}_{\mathbf{c}}(t)$$







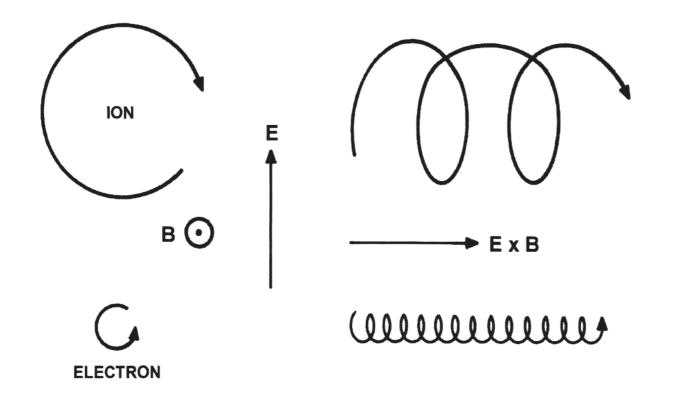
Single particle orbits: trajectories of charged particles in uniform and static electric and magnetic fields

• Therefore, the solution of this problem is

$$\mathbf{v}(t) = \mathbf{\Omega}_{\mathbf{c}} \times \mathbf{r}_{\mathbf{c}}(t) + \mathbf{v}_{\mathbf{E}\mathbf{x}\mathbf{B}} + \mathbf{v}_{\mathbf{0}\parallel} + \frac{q \mathbf{E}_{\mathbf{0},\parallel}}{m} t$$

• The constant velocity  $v_{ExB} = E_{0,\perp} \times B_0 / B_0^2$  is termed the ExB drift velocity

- Note that  $v_{ExB}$  is independent of the particle mass and charge
- Since  $\mathbf{E}_{\mathbf{0},\parallel} \times \mathbf{B}_{\mathbf{0}} = 0$ , one can also write  $\mathbf{v}_{\mathbf{E}\mathbf{x}\mathbf{B}} = \mathbf{E}_{\mathbf{0}} \times \mathbf{B}_{\mathbf{0}} / B_0^2$





G.P. Canal, 30 March 2023



## Drift due to an external force

 $\bullet$  For the case in which, in addition to the EM fields, there is a force F acting on the particle, the equation of motion becomes

$$m\frac{d\mathbf{v}}{dt} = q\left(\mathbf{E_0} + \mathbf{v} \times \mathbf{B_0}\right) + \mathbf{F}$$

• The effect of the force is, in a formal sense, analogous to the effect of  ${
m E_0}$ 

$$\mathbf{v}_{\mathbf{F}} = \frac{\mathbf{F} \times \mathbf{B}_{\mathbf{0}}}{qB_0^2}$$

• In the case of a uniform gravitational field ( $\mathbf{F} = m\mathbf{g}$ ), the drift velocity is

$$\mathbf{v}_{\mathbf{F}} = \frac{m}{q} \frac{\mathbf{g} \times \mathbf{B}_{\mathbf{0}}}{B_0^2}$$

• Associated to the gravitational drift, there is an electric current density

$$\mathbf{J}_{\mathbf{g}} = \frac{1}{\delta V} \sum_{j} q_{j} \mathbf{v}_{\mathbf{j}} = \frac{1}{\delta V} \left( \sum_{j} m_{j} \right) \frac{\mathbf{g} \times \mathbf{B}_{\mathbf{0}}}{B_{0}^{2}} = \rho_{m} \frac{\mathbf{g} \times \mathbf{B}_{\mathbf{0}}}{B_{0}^{2}}$$

- This current contributes to the so-called equatorial electrojet





 What happens with the ExB drift velocity when the magnetic field tends to zero while the electric field remains finite? What is the validity of the ExB drift expression?





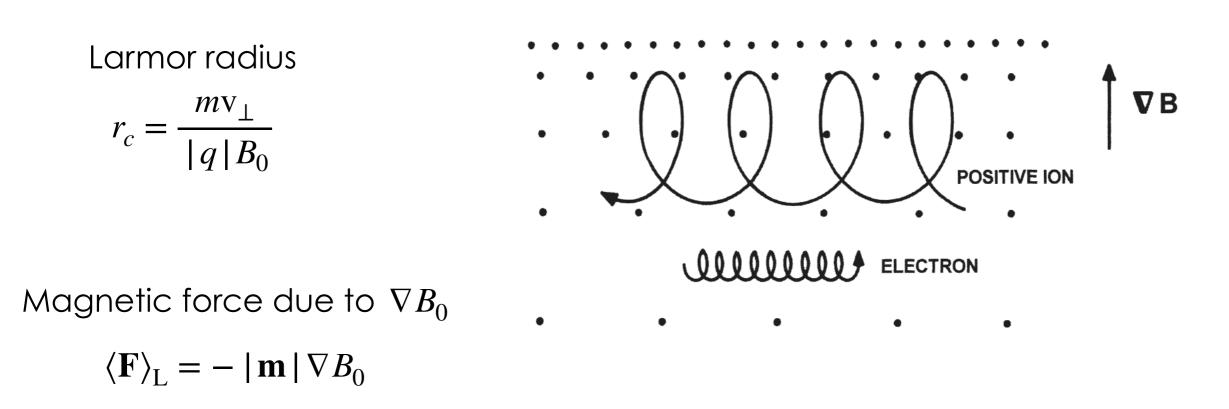
- Introduction
- Uniform and static electric field
- Uniform and static magnetic field
- Uniform and static electric and magnetic fields
- Non-uniform and static magnetic field (physical insight)
- Non-uniform and static electric field (physical insight)
- Non-uniform and time-dependent electric and magnetic fields (next lecture)





Drift due to magnetic field gradient (physical insight)

 One can expect that if the magnetic field varies over the Larmor radius, a drift velocity might arise
 B OUT OF PAGE



• The magnetic drift associated to the gradient of the magnetic field (  $\nabla B_0$ ) is

$$\mathbf{v}_{\nabla \mathbf{B}} = \frac{\langle \mathbf{F} \rangle_{\mathrm{L}} \times \mathbf{B}_{\mathbf{0}}}{qB_{0}^{2}} = -\frac{|\mathbf{m}|}{q} \frac{\nabla B_{0} \times \mathbf{B}_{\mathbf{0}}}{B_{0}^{2}}$$





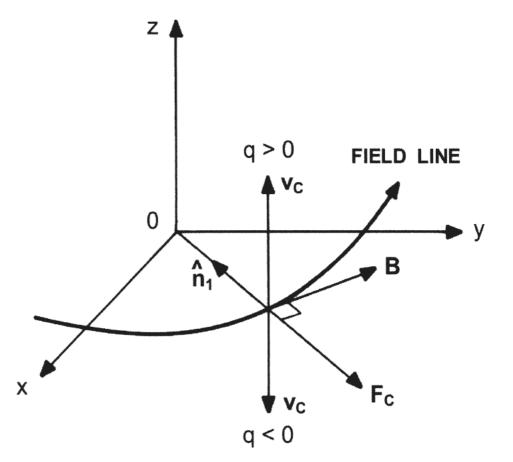
Drift due to magnetic field curvature (physical insight)

 One can also expect that if the magnetic field direction varies over the Larmor radius, a drift velocity might arise

Magnetic force due to the 
$${\bf B_0}\text{-curvature}$$
 
$$\langle {\bf F} \rangle_{\rm L} = - \frac{m v_{\parallel}^2}{R} \hat{\bf n}_1$$

• Magnetic drift due to the  $B_0$ -curvature

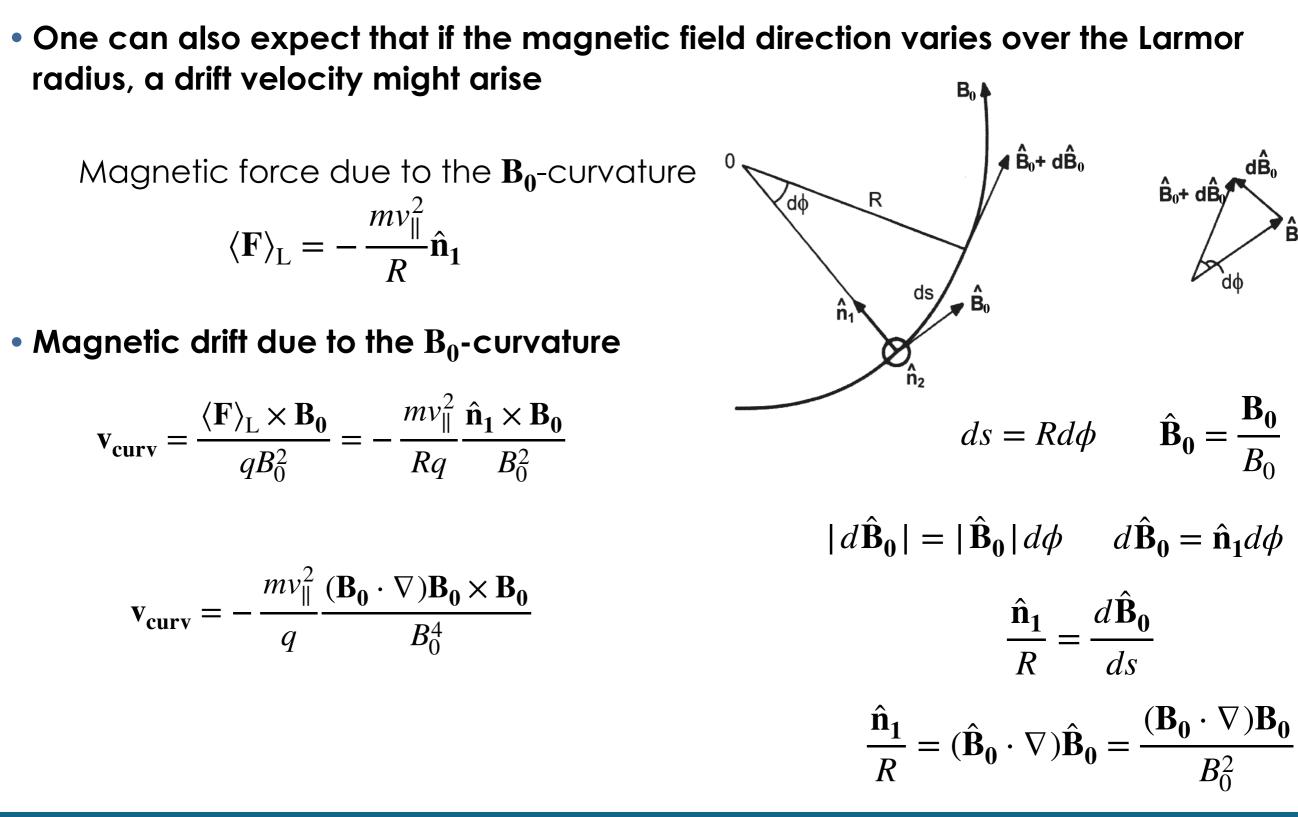
$$\mathbf{v_{curv}} = \frac{\langle \mathbf{F} \rangle_{\mathrm{L}} \times \mathbf{B_0}}{qB_0^2} = -\frac{mv_{\parallel}^2}{Rq} \frac{\hat{\mathbf{n}}_1 \times \mathbf{B_0}}{B_0^2}$$







Drift due to magnetic field curvature (physical insight)





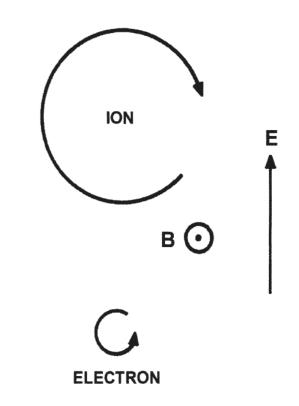
- Introduction
- Uniform and static electric field
- Uniform and static magnetic field
- Uniform and static electric and magnetic fields
- Non-uniform and static magnetic field (physical insight)
- Non-uniform and static electric field (physical insight)
- Non-uniform and time-dependent electric and magnetic fields (next lecture)





## Drift due to electric field non-uniformities (physical insight)

- One can also expect that if the electric field varies over the Larmor radius, a drift velocity might arise
- At first order, in which the electric field varies linearly, a charged particle executing a cyclotron motion pass by a region with stronger  $E_0$ -field and pass by a region with stronger  $E_0$ -field
  - On average, the first order correction cancels out
  - Therefore,  $\mathbf{E}_{\mathbf{0}}$ -field non-uniformities are important only as 2<sup>nd</sup> order corrections







## References

- The single particle orbit theory
  - Bittencourt: Ch. 2 and 3



