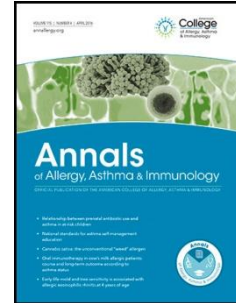


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1 Hepatitis B Vaccine Non-responders: Possible Mechanisms and Solutions  
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10  
11 *Keywords:* Hepatitis B, HBV, non-responder, hyporesponsiveness, innate immunity, adaptive  
12 immunity, vaccine

13  
14 *Abbreviations:*

- 15 1. 1018 immunostimulatory DNA sequence: HEPLISAV™
- 16 2. Aluminum hydroxide: Alum
- 17 3. Antigen presenting cells: APC
- 18 4. B-cell receptor: BCR
- 19 5. CD40 ligand: CD40L
- 20 6. Celiac disease: CD
- 21 7. Dendritic cell: DC
- 22 8.  $\delta$  inulin: Advax™
- 23 9. End stage renal disease: ESRD
- 24 10. Gluten-free diet: GFD
- 25 11. Granulocyte macrophage colony-stimulating factor: GM-CSF
- 26 12. Hepatitis B virus: HBV
- 27 13. Hepatitis B envelope specific antibody: anti-HBsAg
- 28 14. Hepatitis B surface antigen: HBsAg
- 29 15. Hepatitis C virus: HCV
- 30 16. Hepatocellular carcinoma: HCC
- 31 17. Highly active anti-retroviral therapy: HAART
- 32 18. Human immunodeficiency virus: HIV
- 33 19. Human papilloma virus: HPV
- 34 20. Immunoglobulin: Ig
- 35 21. Immunostimulatory DNA sequences: ISS
- 36 22. Inflammatory bowel disease: IBD
- 37 23. Interferon: IFN
- 38 24. Interleukin: IL
- 39 25. Intradermal: ID
- 40 26. Intramuscular: IM
- 41 27. Killer cell lectin-like receptor subfamily G member 1: KLRG1
- 42 28. Natural killer cells: NK cells
- 43 29. Natural killer T-cells: NKT cells
- 44 30. Programmed death-1: PD-1
- 45 31. Programmed death-1 ligand: PDL-1
- 46 32. Single nucleotide polymorphisms: SNPs

- 47 33. Suppressor of cytokine signaling-1: SOCS-1  
48 34. T-cell receptor: TCR  
49 35. T helper cell: Th  
50 36. Toll-like receptor: TLR  
51 37. Transforming growth factor: TGF  
52 38. Tumor necrosis factor: TNF  
53 39. Tumor necrosis factor- and Apo-L-related leukocyte-expressed ligand-1: TALL-1  
54

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59 Figures: None  
60 Tables: Two  
61 The Editor-in-Chief has allowed 71 sources for this review.  
62  
63

64 Abstract: Objective: Hepatitis B (HBV) is a viral illness that chronically infects 240 million  
65 people worldwide, leads to cirrhotic liver disease, and increases risk of hepatocellular  
66 carcinoma. The HBV vaccine has decreased HBV infection and along with human  
67 papilloma virus (HPV) vaccine are the only vaccines that prevent cancer. Despite the  
68 effectiveness of HBV vaccine, some populations do not develop protective responses. The  
69 risk groups for poor response include those with immunosuppression or dialysis-  
70 dependent, end-stage renal disease. Five percent of normal people do not respond. These  
71 subjects are deemed HBV "non-responders". Multiple strategies to improve the  
72 immunogenicity of the HBV vaccine are currently being pursued, including vaccine  
73 adjuvants, recombinant vaccines, and immune enhancement via up-regulation of dendritic  
74 cells.

75 Data Sources: PubMed was searched for peer-reviewed publications published from January  
76 1980 to September 2017.

77 Study Selections: Studies retrieved for inclusion summarized potential mechanisms behind HBV  
78 vaccine non-responsiveness and potential solutions.

79 Results: The mechanisms behind HBV vaccine non-responsiveness vary between each subject  
80 population. There are many current and future strategies that may provide protective  
81 immunity against HBV in each of these populations.

82 Conclusion: This review will provide a background on the immunology of HBV infection, the  
83 possible immunologic mechanisms to explain HBV vaccine non-responsiveness, current  
84 research aimed at improving vaccine effectiveness, and possible future approaches for  
85 providing non-responders protection from HBV.

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86

**87 INTRODUCTION**

88 Hepatitis B (HBV) is a viral illness that chronically infects up to 2.2 million people in the  
89 United States<sup>1</sup> and 240 million people worldwide<sup>2</sup>. Chronic infection may cause cirrhotic liver  
90 disease and hepatocellular carcinoma (HCC). HCC is the sixth most common cancer and second  
91 leading cause of cancer death worldwide<sup>3</sup>. Infected individuals are also at risk for fulminant  
92 hepatitis, which can be rapidly fatal<sup>4</sup>. The HBV vaccine has significantly reduced the incidence  
93 of HBV worldwide, and, along with the human papilloma virus (HPV) vaccine, are the only two  
94 vaccines to provide immunologic prevention of cancer<sup>5</sup>. However, the vaccine does not always  
95 stimulate protective immunity in subjects with human immunodeficiency virus (HIV), hepatitis  
96 C virus (HCV), celiac disease (CD), inflammatory bowel disease (ID), diabetes, and dialysis-  
97 dependent, end-stage renal disease (ESRD). Even healthy subjects are at risk for HBV vaccine  
98 non-responsiveness, possibly due to genetic predispositions<sup>6-9</sup>. This review summarizes the  
99 immune mechanisms associated with HBV infection, HBV vaccination and HBV vaccine non-  
100 responsiveness, as well as strategies to improve response to HBV vaccination.

**101 METHODS**

102 PubMed was searched for peer-reviewed publications published from January 1980 to  
103 September 2017 focusing on the immunology of HBV infection and HBV vaccination, potential  
104 mechanisms contributing to HBV vaccine hypo- or non-responsiveness, and current and future  
105 methods for increasing HBV vaccine immunogenicity.

**106 HEPATITIS B VIRUS AND HUMAN IMMUNE RESPONSE BACKGROUND**

107 Most adults clear HBV after acute infection via HBV-mediated activation of natural killer  
108 (NK) cells, which produce interferon (IFN)- $\gamma$ , tumor necrosis factor (TNF)- $\alpha$ , and granulocyte

109 macrophage colony-stimulating factor (GM-CSF). HBV also activates natural killer T (NKT)  
110 cells via antigens presented by CD1 molecules. Activated NKT then secrete IFN- $\gamma$  and  
111 interleukin (IL)-4. NKT cells are in high numbers in the liver and destroy HBV infected cells  
112 through the Fas/Fas-ligand and perforin pathways. HBV replication is typically suppressed by  
113 IFN- $\alpha$  by dendritic cells (DCs) and infected hepatocytes. In addition, antigen presenting cells  
114 (APCs) lead to T-cell activation, initiation of anti-HBsAg antibody production, and induction of  
115 memory T- and B-cells<sup>10</sup>. Evidence suggests that these anti-HBV immunoglobulin (Ig) G  
116 antibodies can persist for up to ten years<sup>11</sup>.

117 There are several mechanisms that dampen the immune response to natural HBV  
118 infection. HBV has the incompletely understood capability to escape innate immune detection  
119 and thus prevent secretion of IFN- $\alpha$ . For unclear reasons, subjects with acute HBV infection  
120 often have high levels of IL-10, which suppresses the lytic activity of NK and NKT cells. HBV  
121 infection also induces activation of T-cells during its incubation period, but this activation is  
122 often delayed. This delay occurs because the liver filters a number of microbes and antigens from  
123 the intestine, inducing an immune tolerant cellular milieu and suppressed CD4<sup>+</sup>, CD8<sup>+</sup>, and T-  
124 memory cell responses. This weakened response does not always lead to chronic HBV but may  
125 impair HBV clearance. Chronic HBV also leads to dendritic cell malfunction, which may resolve  
126 with HBV treatment and perhaps result in bolstering of the adaptive immune response<sup>10,12</sup>.

## 127 **HEPATITIS B VACCINE BACKGROUND**

128 In children, the HBV vaccine is normally administered intramuscularly (IM) at birth and  
129 2, and 6 months of age, while adults requiring vaccination receive a three dose series at monthly  
130 intervals<sup>13</sup>. The HBV vaccine consists of recombinant hepatitis B surface antigen (HBsAg), an  
131 aluminum hydroxide (alum) adjuvant, and a virus-like particle. The alum adjuvant induces

132 humoral immunity by promoting production of HBV envelope-specific antibody (anti-HBsAg)  
133 through T-helper (Th<sub>2</sub>) cell stimulation and production of IL-4. However, alum does not augment  
134 T-cell responses as effectively as other adjuvants, thus newer more immunogenic adjuvants are  
135 currently being researched<sup>14</sup>. Anti-HBsAg titers are used to assess the adequacy of the humoral  
136 immune response to the HBV vaccine<sup>15</sup>.

### 137 **IMPAIRED HEPATITIS B VACCINE IMMUNE RESPONDERS**

138 A response to the HBV vaccine is defined as the production of an anti-HBsAg level  $\geq 10$   
139 IU/L with  $>100$  IU/L accepted as a protective titer. IU is an arbitrary antibody unit defined by a  
140 chemiluminescent, in vitro antibody assay. If confirmation of immune response is necessary, the  
141 titer is checked 1 to 2 months after completing a vaccination series. The Center for Disease  
142 Control and Prevention does not recommend routine post-vaccination titers except for infants  
143 born to mothers with HBV, immunocompromised individuals, healthcare workers and sex  
144 partners of persons with chronic HBV. HBV non-responders or hyporesponders are subjects who  
145 fail to produce an anti-HBsAg titer  $\geq 10$  IU/L or between 10 – 99 IU/L, respectively, after  
146 undergoing two HBV vaccine series of 3 immunizations. Five to ten percent of  
147 immunocompetent subjects meet non-responder criteria<sup>6</sup>. Non-responsiveness is a concern in  
148 general but especially for health care professionals, given that the World Health Organization  
149 reports an annual incidence of 66,000 global cases of HBV transmission due to needle-stick  
150 injuries<sup>16</sup>. Other vulnerable populations include subjects with HIV, HCV, ESRD receiving  
151 dialysis, diabetes, obesity<sup>17,18</sup>, celiac disease, and inflammatory bowel disease, some elderly  
152 subjects and those with certain genetic risk factors. Primary immunologic mechanisms to explain  
153 immune impaired immune response in at risk populations will be summarized below, while  
154 additional mechanisms are in Tables 1 and 2.

155 *HIV/AIDS*

156 HIV subjects are at risk for HBV given immunosuppression and similar routes of  
157 transmission. Co-infected subjects are at greater risk for severe HBV-related cirrhosis, HCC, and  
158 worsening of HIV infection<sup>19</sup>. Therefore, prevention of co-infection with successful HBV  
159 vaccination can decrease morbidity and mortality in this population<sup>20</sup>. However, compared to the  
160 90-95% HBV vaccine effectiveness in immunocompetent subjects, only 20-70% of HIV infected  
161 subjects develop protective anti-HBsAg titers. This decreased antibody response is likely related  
162 to HIV-induced low CD4 counts and reduced CD40 ligand (CD40L) on CD4<sup>+</sup> T-cells. CD4<sup>+</sup> T-  
163 cells are essential for effective antibody production via stimulation of B-cell mediated  
164 immunoglobulin (Ig) isotype-switching following CD40L binding to CD40 on B cells. HIV  
165 reduces CD4<sup>+</sup> T-cells and CD4<sup>+</sup> memory T-cells and suppresses production of new lymphocytes.  
166 The CD4 number required to produce protective anti-HBsAg titers ranges from 150-500  
167 cells/mm<sup>3</sup><sup>19</sup>.

168 Duration of highly active anti-retroviral therapy (HAART) positively correlates to HBV  
169 vaccine response, with longer treatment courses corresponding to higher anti-HBsAg titers.  
170 HAART reverses constitutive innate immune activation, which allows replenishment of  
171 functional naïve and memory CD4<sup>+</sup> T-cells, and NKT cells<sup>19</sup>.

172 *Hepatitis C*

173 Only 40-60% of chronic HCV-infected subjects respond to the HBV vaccine, with  
174 fibrosis-predominant HCV cirrhotics having the poorest vaccine response<sup>21,22</sup>. Chronic HCV  
175 infection leads to immune senescence and immune exhaustion. Moorman et. al. studied HBV  
176 vaccine response in chronic HCV infection and the role of programmed death-1 (PD-1) receptor.  
177 PD-1 is a negative-regulatory receptor on activated T- and B-cells that induces an inhibitory



178 signal through the TCR and B-cell receptor (BCR) signaling pathways after binding to PD-1  
179 ligand (PDL-1). HCV-infected HBV vaccine non-responders had higher levels of PD-1, which  
180 attenuated T-cell stimulation and induced T-cell depletion. This was reversed by inhibiting PDL-  
181 1 on T- and B-cells<sup>23</sup>. Another mechanism which suppresses HBV response in HCV is the killer  
182 cell lectin-like receptor subfamily G member 1 (KLRG1). Shi et. al. examined KLRG1, which is  
183 upregulated on NK and T-cells in subjects with chronic HCV and suppresses T-cell proliferation  
184 and IL-2 secretion<sup>21</sup>. Finally, HCV also causes activated B-cells to create Igs incapable of  
185 recognizing and destroying HBV, theoretically via upregulation of tumor necrosis factor- and  
186 Apo-L-related leukocyte-expressed ligand-1 (TALL-1) and inhibition of suppressor of cytokine  
187 signaling-1 (SOCS-1)<sup>24,25</sup>. Other potential mechanisms are summarized in Table 1.

#### 188 *End stage renal disease and dialysis*

189 Hemodialysis subjects are at a greater risk for exposure to HBV due to HBV's ability to  
190 remain infectious for seven days or more on dialysis tubing. They also have depressed adaptive  
191 immune responses. The HBV vaccine has decreased HBV prevalence amongst dialysis subjects,  
192 but this population's response rate to the vaccine is 50 – 70%, and only approximately 40%  
193 maintain protective titers 3 years after initial vaccination<sup>26</sup>

194 Uremia impairs T-cell and APC production and interaction<sup>27</sup>. Toxins and increased  
195 prostaglandin E<sub>2</sub> and methylguanidine in uremia decrease T-cell generation, which is partially  
196 corrected after dialysis. In vitro, culturing CD4<sup>+</sup> T-cells from dialysis-dependent and normal  
197 subjects in uremic serum reduced TCR expression by almost 50%, which correlated with HBV  
198 vaccine hyporesponsiveness<sup>28</sup>. Activated monocytes from subjects with ESRD have reduced  
199 MHC II expression. Decreased levels of both TCRs and MHC II contribute to impaired adaptive  
200 immunity and HBV vaccine hyporesponsiveness<sup>29</sup>. Finally, DCs, which differentiate from

201 monocytes and act as APCs, are decreased in number and function in dialysis-dependent non-  
202 responders. These DCs also have decreased expression of HLA and CD83 molecules, which  
203 decrease their APC efficacy and attenuate T-memory cell production<sup>30</sup>.

204 In addition to reducing immunocyte numbers, ESRD reduces function of T-cells.  
205 Cytokine imbalances suppress adaptive immunity in dialysis subjects. Decreased levels of IL-2  
206 made by T-cells impairs differentiation of CD4<sup>+</sup> T-cells to Th<sub>1</sub> and Th<sub>2</sub> cells. Deficiency of Th<sub>2</sub>  
207 cells causes B-cell dysfunction and attenuates antibody production<sup>28</sup>. Subjects with higher  
208 CD4:CD8 ratios have better responses to the HBV vaccine<sup>31</sup>. There are conflicting results  
209 regarding the over- or under-production of IL-10 in dialysis subjects. One study reported HBV  
210 vaccine hyporesponsiveness in dialysis subjects with genetic polymorphisms causing deficient  
211 IL-10 production and subsequent upregulation of TNF- $\alpha$  and IL-6. However, excess IL-10 can  
212 impair adaptive immunity through decreased MHC-II production and preferential differentiation  
213 of CD4<sup>+</sup> T-cells into T-regulatory (Treg) cells<sup>32</sup>. Increased production of Treg cells contributes to  
214 HBV vaccine hyporesponsiveness, even in healthy subjects<sup>33</sup>. Dialysis does not correct these  
215 cytokine imbalances<sup>28</sup>.

### 216 *Diabetes*

217 Comorbid type 2 diabetes in subjects with ESRD has been linked to  
218 hyporesponsiveness<sup>34</sup>. However, subjects with type 1 and 2 diabetes with normal renal function  
219 may be non-responders as well. Mormile et. al. proposed that non-responders may have  
220 undiagnosed type 1 diabetes since HBV vaccine non-responsiveness and type 1 diabetes mellitus  
221 are associated with the HLA-DQ and HLA-DR haplotypes DQ2, DQ3, DR3, and DR4, as well as  
222 HLA-DRB1. These HLA haplotypes may impair MHC II HBV antigen presentation and

223 suppressed T-cell responses. Thus, non-responders with this genotype may develop type 1  
224 diabetes and may require more vigilant monitoring<sup>35</sup>.

225 *Celiac disease and inflammatory bowel disease*

226 CD subjects have high rates of HBV vaccine hyporesponsiveness, with response rates  
227 ranging from 54% to 78%<sup>36,37</sup>. CD is associated with a 90 – 95% incidence of the HLA-DQ2  
228 haplotype, which has been linked to HBV vaccine non-responsiveness<sup>38</sup>. Competitive binding to  
229 HLA-DQ2 occurs between gliadin peptides and HBsAg, thus if an abundance of gliadin saturates  
230 the HLA-DQ2 molecules and prevents vaccine HBsAg binding, the resulting T-cell activation  
231 and B-cell antibody production may be impaired. Decreased anti-HBsAg titers occur in children  
232 eating gluten who subsequently were diagnosed with CD, as well as in CD-diagnosed subjects  
233 who were non-adherent to gluten free diets (GFDs). Those who were initially non-responders but  
234 were then adherent to a GFD and re-vaccinated had response rates similar to healthy subjects<sup>39</sup>.

235 IBD subjects are at greater risk of contracting HBV due to blood transfusions and  
236 repeated colonoscopies. They are also at risk of contracting or reactivating HBV when started on  
237 immunosuppressants. To compound this problem, their HBV vaccine response rates are as low as  
238 26 – 39%<sup>40,41</sup>. IBD has been linked to decreased adaptive immune responses due to genetic  
239 polymorphisms of HLA, IL2RA, IL-10 and IL-23 and their respective receptors<sup>42</sup>. Other  
240 potentially immunosuppressive factors include anti-TNF- $\alpha$  therapy, corticosteroid treatment, and  
241 hypoalbuminemia<sup>43</sup>. The association between non-responsiveness and anti-TNF- $\alpha$  therapy is  
242 debated<sup>44,45</sup>. Corticosteroid therapy decreases TNF- $\alpha$  and IFN- $\gamma$  production, which impairs T-cell  
243 activation<sup>46</sup>, while hypoalbuminemia impairs T-cell maturation and interaction with HBsAg<sup>43</sup>. It  
244 has been suggested that these subjects should receive the HBV vaccine at the time of IBD  
245 diagnosis, during disease remission, or prior to initiation of immunosuppressive therapy<sup>41</sup>.

246 *Advanced age*

247 Age contributes to decreased adaptive immune responses due to immune senescence.  
248 Reduction of thymic size with age decreases T-cell production and impairs differentiation to  
249 CD4<sup>+</sup> T-cells, CD8<sup>+</sup> T-cells, and memory T-cells. Progressive loss of CD28 molecules on T-cells  
250 contributes to T-cell anergy and apoptosis. Decreased CD4<sup>+</sup> T-cells impairs germinal center  
251 activation, which results in attenuated antibody production. Co-stimulation of B-cells and  
252 antibody production is also inhibited due to loss of CD86 on B-cells. Deficiency of the adhesion  
253 molecule, CD62L, that occurs with aging also contributes to senescence since it is required for  
254 migration of undifferentiated T-cells and memory T-cells into lymph nodes. Suppressed CD62L  
255 impairs T-cell activation of B-cells and subsequent antibody formation<sup>47</sup>.

256 *Genetics*

257 HLA and IL genetic polymorphisms affect HBV vaccine responsiveness both positively  
258 and negatively. Previously the associations with HLA-DQ and HLA-DR haplotypes DQ2, DQ3,  
259 DR3, and DR4 and HLA-DRB1 were discussed as being related to impaired HBV vaccine  
260 response. In contrast, HLA-A11 is associated with an increase in HBV vaccine response in type  
261 1 diabetes<sup>48</sup>. Multiple genetic studies examining responders and non-responders in the Chinese  
262 Han, Taiwanese, and Japanese populations have identified several single nucleotide  
263 polymorphisms (SNPs) related to increased and decreased HBV vaccine protective titers<sup>6,9,49,50</sup>.  
264 These findings are summarized in Table 1. Although the SNP relationships with immune  
265 response are complex, insight into these associations and underlying mechanisms may aid in  
266 understanding HBV vaccine hyporesponsiveness in healthy subjects.

267 **STRATEGIES TO INCREASE VACCINE EFFECTIVENESS**

268           The current HBV vaccine is effective, but the alum adjuvant is not optimal for cellular  
269 and humoral response in the aforementioned at-risk populations. Strategies to improve vaccine  
270 efficacy include revaccination or increased vaccine dose, alternate routes of vaccination,  
271 improved adjuvants, vaccines with superior immunogenicity, and co-administration of  
272 medications with vaccination. Additional approaches are summarized in Table 3.

273 *Repeat vaccination or Increased vaccine dose*

274           Repeat vaccination with the same dose, usually increases rates of seroconversions in 83 –  
275 87.5% of healthy non-responders<sup>51,52</sup>. Revaccination is variably effective in HIV non-  
276 responders<sup>19</sup>. ESRD and dialysis dependent non-responders also have improved seroconversion  
277 rates after repeat vaccination, but anti-HBsAg antibodies have a reduced half-life. The  
278 hyporesponsiveness of CD usually can be overcome with repeat vaccination, with a secondary  
279 response rate of 97.3%<sup>53</sup>. However, re-vaccination of individuals with IBD leads to a more  
280 modest increase in response rates ranging from 60 – 75%, significantly lower than the normal  
281 population<sup>42</sup>.

282           Increased vaccination dose with 40 µg HBV vaccine (double the usual dose) has also  
283 been studied. HCV non-responders achieve a protective response rate of 80% after a single  
284 double-dose booster<sup>5</sup>. The effectiveness of the double-dose HBV vaccine in HIV is debated<sup>54</sup>, but  
285 some investigators recommend double-dosed vaccination in initial non-responders and double-  
286 dosed boosters in those with persistent non-responsiveness<sup>19</sup>. A study evaluating the  
287 immunogenicity of 60 µg HBV vaccine (three times the usual dose) in hemodialysis patients  
288 reported favorable results<sup>55</sup>.

289 *Vaccination route*

290 The current HBV vaccine is administered IM, but skeletal muscle injection does not  
291 always provide optimal immune responses. Intradermal (ID) HBV vaccines may enhance  
292 immunity. ID vaccination stimulates both humoral and cellular immune responses by directly  
293 activating DCs, which then promote CD4<sup>+</sup> and CD8<sup>+</sup> T-cell activation and production of IL-6,  
294 IL-12, and TNF- $\alpha$ . CD4<sup>+</sup> cells subsequently promote B-cell maturation and antibody  
295 production<sup>48</sup>.

296 Improved response rates occur in adult HIV non-responders after ID repeat HBV  
297 vaccination (77% vs 65%), but no statistically significant differences were noted between the ID  
298 and IM double-dose vaccines. Comparable response rates following the IM and ID vaccines  
299 occur in HIV children (92.3% vs 90.2 % respectively)<sup>48,56</sup>.

300 Hemodialysis and ESRD non-responders developed increased response rates with repeat  
301 ID vaccination vs IM re-vaccination (79 – 100% vs 40 – 48% respectively). The anti-HBsAg  
302 titers in the ID vaccine subjects also persisted longer than those of the IM vaccine. ID  
303 vaccination is also more cost-effective given responses with lower doses and fewer  
304 administrations<sup>48</sup>.

### 305 *Vaccine adjuvants*

306 Numerous alternative adjuvants for the HBV vaccine have been evaluated, and several  
307 are superior to alum. The most promising are  $\delta$  inulin (Advax<sup>TM</sup>) and immunostimulatory DNA  
308 sequences (ISS).

309 Advax<sup>TM</sup> is a polysaccharide that enhances cellular immune responses in a variety of  
310 vaccines, including the HBV vaccine<sup>57</sup>. Animal studies and phase 1 human clinical trials  
311 demonstrate its safety and effectiveness. Saade et. al. reported that, in comparison with the alum-  
312 based HBV vaccine, Advax<sup>TM</sup>-based HBV vaccination induced higher levels of CD4<sup>+</sup> and CD8<sup>+</sup>

313 T-cells in mice and guinea pigs, with subsequent upregulation and earlier onset of Th<sub>1</sub> and Th<sub>2</sub>  
314 HBV-specific responses<sup>58</sup>.

315 ISS bind to TLR-9 on human immune cells, which results in upregulation of Th<sub>1</sub>  
316 responses, enhanced B-cell function, reduced B-cell apoptosis, and promotion of Ig class  
317 switching. 1018 ISS (HEPLISAV™) in particular has proven to be safe and effective in human  
318 phase 1, phase 2, and phase 3 clinical trials. HEPLISAV™ also induced early and sustained  
319 levels of anti-HBsAg in ESRD and dialysis subjects, with a seroprotection rate ranging from 50 –  
320 71% depending on the vaccine dose<sup>59,60</sup>. Although more research evaluating their efficacy and  
321 safety must be conducted, the number of potential new adjuvants is encouraging.

#### 322 *Increased immunogenicity*

323 Another approach to improve HBV vaccination response is enhanced immunogenicity via  
324 the use of HBV preS region of the hepatocyte binding domain. Pre-S1 and pre-S2 are  
325 components of the large protein of HBsAg that are vital to HBV infection. They are both  
326 significantly more immunostimulatory than the smaller protein in the current HBV vaccine.  
327 Antibodies against pre-S1 block HBV infection in chimpanzees. Thus, utilizing pre-S1 and pre-  
328 S2 could be used to create more effective preventative vaccines, as well as therapeutic vaccines  
329 for treatment of chronic HBV. These vaccines are created by fusing the pre-S1 and pre-S2  
330 particles to either the S protein or the core protein. Studies show pre-S1 and pre-S2-based  
331 vaccines to be superior at upregulating humoral and cellular responses in responders,  
332 hyporesponders, and non-responders following the current S vaccine<sup>61</sup>. This is evidenced by  
333 increased anti-HBsAg titers, increased INF- $\gamma$  secretion, and enhanced antigen-specific T-cell  
334 production<sup>62</sup>.

#### 335 *Therapeutic supplementation*

336 Concomitant therapies administered with the HBV vaccine may enhance the immune  
337 response. These medications include GM-CSF, levamisole, and praziquantel.

338 GM-CSF is a growth factor for myeloid cells made by T-cells, NK cells, mast cells,  
339 endothelial cells, fibroblasts and macrophages. GM-CSF is approved for neutropenia associated  
340 with cancer chemotherapy. GM-CSF may enhance vaccine responses and has been used as an  
341 adjuvant in HIV-infected subjects<sup>63</sup>. GM-CSF activates DCs and shifts CD4<sup>+</sup> T-cell activation to  
342 a Th<sub>1</sub> response. Improved HBV vaccine responses occur with GM-CSF co-administration in  
343 ESRD and subjects on dialysis<sup>64</sup>.

344 Levamisole is an immunomodulatory drug used as an anti-helminthic agent. Levamisole  
345 has also been studied in therapeutic regimens for HBV infection due to its ability to enhance IFN  
346 production<sup>65</sup>. Zhang et. al. suggested mechanisms for enhanced HBV vaccine response with  
347 levamisole co-administration by demonstrating enhanced IFN- $\alpha$ , TNF- $\alpha$ , and DC activation.  
348 Levamisole also increased CD4<sup>+</sup> and CD8<sup>+</sup> T-cell activity and production and resulted in greater  
349 anti-HBsAg titers<sup>66</sup>. Enhanced HBV vaccine responses in ESRD and dialysis patients after co-  
350 administration of levamisole with HBV vaccine is reported<sup>65</sup>. Similar results have been reported  
351 in healthy individuals<sup>67</sup>. These effects, as well as DC activation, may be enhanced by the  
352 addition of cimetidine since it increases concentrations of many anti-helminthic medications<sup>68,69</sup>.

353 Praziquantel is an anti-helminthic agent used for the treatment of parasites such as  
354 schistosoma, echinococca, liver flukes and tapeworms. Its mechanism(s) of action are multiple  
355 and include alternation of parasite membrane permeability and reduction of ova production. The  
356 enhancement of HBV vaccine response is associated with inhibition of TGF- $\beta$ , TGF- $\beta$ /Smad2,3  
357 signaling, and Treg function. Praziquantel also enhances activation of CD4<sup>+</sup> and CD8<sup>+</sup> T-cells  
358 and increases IFN- $\gamma$ , further enhancing response to the HBV vaccine<sup>70</sup>. The addition of



359 cimetidine to this regimen further enhances HBV vaccine response and could be a strategy to  
360 improve HBV vaccination response<sup>71</sup>.

## 361 CONCLUSION

362 HBV infection is a chronic, debilitating infection with significant morbidity and mortality.  
363 The HBV vaccine is a major public health advancement that has limited the impact of HBV  
364 morbidity and mortality. However, the current HBV vaccine is not ideal as certain at risk  
365 populations, as well as a minority of healthy subjects, are vulnerable to infection despite  
366 completion of the 3 injection IM series. This is a particular problem for non- and hyporesponders  
367 in high risk populations, such as healthcare workers, those who live in endemic areas, and  
368 individuals who engage in unprotected sex with multiple partners or in intravenous drug use.  
369 Fortunately, immunologic understanding of the protective immune response to HBV has  
370 contributed to new approaches of enhancing the HBV vaccine efficacy. The best current strategy  
371 is to re-immunize hypo- or non-responders, with consideration for double-dose vaccination (up  
372 to 40 mcg for an adult dose) and for a 4-dose series. A 1-inch needle should be used in adults with  
373 preference for the deltoid and avoidance of the gluteus to enhance the likelihood of IM  
374 administration. For children a needle of at least 5/8-inch length should be used in the lateral thigh.  
375 A third immunization series of vaccine minimally increases response, but some experts  
376 nevertheless recommend. Future improvement in HBV vaccination may include enhancement of  
377 adjuvants, ID administration, modified antigen components or co-administration of the vaccine  
378 with medications to enhance the immune response. A change in the route of administration from  
379 IM to ID would likely be the easiest modifiable strategy for practitioners and appears to hold the  
380 most promise for increased vaccine effectiveness. Better understanding of the normal and  
381 impaired immune response to HBV may facilitate the development of additional enhancements.

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**Table 1.** Susceptible populations to being hypo- or non-responders and the potential explanations

Susceptible Populations	Potential Mechanism		Results
HIV <sup>19,20</sup>	Decreased CD4 <sup>+</sup> cells →	Attenuated CD8 <sup>+</sup> activation →	Suppressed protection against HBV replication
	Decreased CD40L →		Decreased Ig isotype switching
	HIV viremia →	Constitutive innate immune activation →	Attenuates T-cell dependent adaptive immune actions and induces immune senescence
	High viral loads →		B-cell dysfunction unrelated to impaired activation via CD4 <sup>+</sup> T-cells Induces cytotoxic destruction of gp120 CD4 <sup>+</sup> T-cells and CD4 <sup>+</sup> T-cell apoptosis
HCV <sup>21-25</sup>	Increased inhibitory PD-1 receptors on T- and B cells →	Increased PDL-1 binding →	Decreased T-cell stimulation
	Increased KLRG1 on NK	Decreased T-cell proliferation →	CD4 <sup>+</sup> dysfunction

	and T-cells →	Decreased IL-2 →	
		Increased cell cycle inhibitors (p16ink4a and p27kip1) →	
	Increased Tim-3 (T-cell fatigue marker) on monocytes →	Decreased IL-12 →	Inc reased IL-23 →
			Increased differentiation of CD4+ to Th17 (contribute to monocyte non-responsiveness)
			Induces production of dysfunctional Igs by B- cells (cannot recognize HBsAg)
	Uremia →	Decreased T-cell production →	
		Decreased MHC II on monocytes →	Impaired adaptive immunity
		Decreased TCR expression →	
	Imbalance of adhesion molecules (decreased ICAM-1, increased LFA-1) →		Impaired T-cell response
ESRD & Dialysis <sup>26-33</sup>	Decreased phosphorylation of ITAMs →		Decreased T-cell proliferation
	Decreased CD86 on APCs →	Decreased binding of APC CD80/CD36 to T-cell CD28 →	T-cell apoptosis/anergy
	Premature activation of monocytes →	Excessively high levels of IL-1 $\beta$ , IL-6, IL-12 →	Monocyte senescence and apoptosis in vitro

	Cellulose dialysis membranes →	Alternative complement pathway stimulation →	C5a upregulation →	Premature monocyte activation
	Premature activation of T- and B-cells →	Increased TNF- $\alpha$ secretion →		Early apoptosis of T- and B-cells
	Low-flux polysulfone dialysis →	Medium molecular weight uremic toxins are not removed →		Increased T-cell apoptosis
	Iron overload from frequent blood transfusions →			Compromised T-cell production
Diabetes <sup>34-35</sup>	Type I Diabetes Mellitus →	Decreased MHC II →		Decreased T-cell response
Obesity <sup>17-18</sup>	Decreased splenic CD4+ T-cells →			Impaired cell mediated immunity
	Decreased DC with CD83/CD86 →			Decreased T-cell activation Increased IL-10
Celiac Disease & IBD <sup>36-46</sup>	Gliadin saturation of HLA-DQ2 →	→	Prevents binding of vaccine HBsAg	Decreased anti-HBsAg titers
	IBD →	HLA, IL-10, IL-23 →		Decreased adaptive immunity

	IBD therapy (corticosteroids and TNF- $\alpha$ inhibitors) $\rightarrow$	Decreased TNF- $\alpha$ and IFN- $\gamma$ $\rightarrow$	Impaired T-cell activation
	Hypoalbuminemia $\rightarrow$		Impaired T-cell maturation and interaction with HBsAg

604 *Definition of abbreviations: HIV=Human Immunodeficiency Virus; Ig=immunoglobulin; NKT=Natural Killer T; PD-1=Programmed death-1; PDL-*  
605 *1=Programmed death ligand-1; KLRG1= killer cell lectin-like receptor subfamily G member 1; IL=Interleukin; Tim-3= T cell immunoglobulin mucin domain-3;*  
606 *HCV=Hepatitis C Virus; MHC II= major histocompatibility complex II; TCR= T-cell receptor; ICAM-1= intercellular adhesion molecule-1; LFA-1= lymphocyte*  
607 *function antigen-1; ITAM= TCR  $\zeta$ -chain immunoreceptor tyrosine-based activation motif; APC=Antigen Presenting Cell; ESRD=End Stage Renal Disease;*  
608 *DC=Dendritic Cells; IBD=Inflammatory Bowel Disease; TNF= Tumor Necrosis Factor; IFN=Interferon; HBsAg=Hepatitis B surface Antigen*  
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**Table 2.** Potential explanations for hypo- or non-responsiveness due to advanced age or genetics

Advanced Age <sup>47</sup>	Decreased thymic size →	Decreased T-cell production
	Progressive loss of CD28 on T-cells →	T-cell apoptosis/anergy
	Decreased CD28 on B-cells →	Decreased co-stimulation of B-cells and antibody production
	Decreased CD62L →	Decreased T-cells migration into lymph nodes Impaired T-cell activation
Genetics <sup>6,9,48-50</sup>	HLA-DRB1 →	HBV vaccine non-responsiveness
	SNP rs477515 →	
	HLA-DRB1 SNP rs28366298 →	Enhanced HBV vaccine response
	HLA-DRB1 SNP rs3763316 →	
	HLA-DRB1 SNP rs13204672 →	
	HLA-DRB1 SNP rs7770370 →	
	Absence of HLA-A*02 →	HBV vaccine non-responders
	Absence of HLA-DB1*08 →	
Presence of HLA-B*15 →		

	HLA-DPB1 05:01 allele →	HBV vaccine on-responsiveness
	HLA-DPB1 09:01 allele →	
	HLA-DPB1 allele 2:01:02 →	HBV vaccine protective titers
	HLA-DPB1 allele 2:02 →	
	HLA-DPB1 allele 3:01:01 →	
	HLA-DPB1 allele 4:01:01 →	
	HLA-DPB1 allele 14:01 →	
	Homozygotes for the HLA-DPB1 05:01 allele →	HBV vaccine non-responders, but slower decline of anti-HBsAg
Celiac disease →	HLA-DQ2 →	Decreased response
	HLA-DQ8 →	
Diabetes→	HLA-DQ2 →	Decreased response
	HLA-DQ3 →	
	HLA-DR3 →	
	HLA-DR4 →	
Dialysis Dependent Subjects →	HLA-A1, HLA-B8, and HLA-DR3 →	Non-responsiveness
	Certain IL-10, IL-12, and IL-18 haplotypes →	

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Definition of abbreviations: IL=Interleukin; HBsAg=Hepatitis B surface Antigen

614 Table 3. Strategies for Increasing Responses to Hepatitis B Vaccine

Potential Solutions	Considerations	Potential Results
Revaccination with the same dose (20µg) <sup>19,42, 51-55</sup>	Healthy non-responders →	83 – 87.5% increased seroconversion
	HIV →	Variable response
	ESRD →	Improved seroconversion rates, but anti-HBsAg antibodies have a reduced half life
	CD →	97.3% secondary response rate
	IBD →	60 – 75% response rate (only modest increase)
Increased vaccine dose (40µg) <sup>5,19,54,55</sup>	HCV →	80% response rate
	HIV →	Effectiveness debatable
Alternate routes of vaccination <sup>48,56</sup>	ID →	Stimulates humoral and cellular immune response
		More cost effective

		Local reaction infers response/immunity
		In HIV increased response from 65% IM to 77% ID in adults, comparable response in children (92.3% IM vs 90/2% ID)
		In ESRD increased response from 40 – 48% IM to 79 – 100% ID, and titers persisted longer
	Int ranasal or oral →	Increase mucosal Ag-specific IgA →
		Additional line of defense against sexual transmission and improved response rates
Improved adjuvants <sup>57-60</sup>	δ inulin (AdvaxTM)	
	CpG oligoneucleotides	
	Immunostimulatory DNA sequences (ISS)	
Vaccines with superior immunogenicity <sup>61,62</sup>	Pre-S1 and Pre-S2 viral particles	More immunostimulatory
Co-administration of medications	Levamisole →	Increased DC activation
		Increased IFN- $\alpha$ and TNF- $\alpha$



with vaccination <sup>63-71</sup>			Increased CD4 <sup>+</sup> and CD8 <sup>+</sup> activity
			Increased anti-HBsAg titers
			Enhanced HBV vaccine response in ESRD and dialysis dependent subjects
	Pra ziquantel →	Inhibits TGF- $\beta$ and TGF- $\beta$ /Smad2,3 →	Inhibits Tregs
		Increases IFN- $\gamma$ →	Enhances vaccine response
		Increases CD4+ and CD8+ activation →	
	Ci metidine →	Suppresses Tregs and increases IFN- $\gamma$ secretion by CD4+ →	Increased CD8 <sup>+</sup> cytotoxicity
		Decreases IL-10 and TGF- $\beta$ →	Attenuated Tregs
		Increases Levamisole concentration →	Increases anti-HBs-Ag titers
		Increases Praziquantel concentration →	Enhanced DC activation
		May augment therapeutic vaccination for chronic HBV	

615 *Definition of abbreviations: ESRD=End stage renal disease; IBD=Inflammatory Bowel Disease; CD=Celiac Disease; CpG= 5'—C—phosphate—G—3';*

616 *HCV=Hepatitis C Virus; DC=Dendritic Cells; ID=Intradermal; IM=Intramuscular; HBsAg=Hepatitis B surface antigen*

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