

HANSEN SOLUBILITY PARAMETERS

A User's Handbook

Second Edition

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Charles M. Hansen



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Preface to the First Edition

My work with solvents started in Denmark in 1962 when I was a graduate student. The major results of this work were the realization that polymer film formation by solvent evaporation took place in two distinct phases and the development of what has come to be called Hansen solubility (or cohesion) parameters, abbreviated in the following by HSP. The first phase of film formation by solvent evaporation is controlled by surface phenomena such as solvent vapor pressure, wind velocity, heat transfer, etc., and the second phase is controlled by concentration-dependent diffusion of solvent molecules from within the film to the air surface. It is not controlled by the binding of solvent molecules to polymer molecules by hydrogen bonding as was previously thought. My solubility parameter work was actually started to define affinities between solvent and polymer to help predict the degree of this binding which was thought to control solvent retention. This was clearly a futile endeavor as there was absolutely no correlation. The solvents with smaller and more linear molecular structure diffused out of the films more quickly than those with larger and more branched molecular structure. HSP were developed in the process, however.

HSP have been used widely since 1967 to accomplish correlations and to make systematic comparisons which one would not have thought possible earlier. The effects of hydrogen bonding, for example, are accounted for quantitatively. Many of these correlations are discussed later, including polymer solubility, swelling, and permeation; surface wetting and dewetting; solubility of inorganic salts; and biological applications including wood, cholesterol, etc. The experimental limits on this seemingly universal ability to predict molecular affinities are apparently governed by the limits represented by energies of the liquid test solvents themselves. There had/has to be a more satisfactory explanation of this universality than just “semiempirical” correlations.

I decided to try to collect my experience for the purpose of a reference book, both for myself and for others. At the same time, a search of the major theories of polymer solution thermodynamics was undertaken to explore how the approaches compared. A key element in this was to explain why the correlations all seemed to fit with an apparently “universal” 4 (or 0.25 depending on which reference is used). This is described in more detail in Chapter 2 (Equation 2.5 and Equation 2.6). My present view is that the “4” is the result of the validity of the geometric mean rule to describe not only dispersion interactions but also permanent dipole–permanent dipole and hydrogen bonding (electron interchange) interactions in mixtures of unlike molecules. The Hildebrand approach uses this and was the basis of my earliest approach. The Prigogine corresponding states theory yields the “4” in the appropriate manner when the geometric mean rule is adopted (Chapter 2, Equation 2.11). Any other kind of averaging gives the wrong result. Considering these facts and the massive amount of data that has been correlated using the “4” in the following, it appears proven beyond a reasonable doubt that the geometric mean assumption is valid not only for dispersion-type interactions (or perhaps more correctly in the present context those interactions typical of aliphatic hydrocarbons) but also for permanent dipole–permanent dipole and hydrogen bonding as well.

For those who wish to try to understand the Prigogine theory, I recommend starting with an article by Donald Patterson.¹ This article explains the corresponding states/free volume theory of Prigogine and coworkers in a much simpler form than in the original source. Patterson² has also reviewed in understandable language the progression of developments in polymer solution thermodynamics from the Flory–Huggins theory, through that of Prigogine and coworkers, to the so-called “New Flory Theory.”³ Patterson also has been so kind as to aid me in the representations of the earlier theories as they are presented here (especially Chapter 2). All of the previous theories and their extensions also can be found in a more recent book.⁴ For this reason, these more classical

theories are not treated extensively as such in this book. The striking aspect about all of this previous work is that no one has dared to enter into the topic of hydrogen bonding. The present quantitative treatment of permanent dipole–permanent dipole interactions and hydrogen bonding is central to the results reported in every chapter in this book. An attempt to relate this back to the previous theories is given briefly here and more extensively in Chapter 2. This attempt has been directed through Patterson,¹ which may be called the Prigogine–Patterson approach, rather than through the Flory theory, as the relations with the former are more obvious.

I strongly recommend that studies be undertaken to confirm the usefulness of the “structural parameters” in the Prigogine theory (or the Flory theory). It is recognized that the effects of solvent molecular size, segment size, and polymer molecular size (and shapes) are not fully accounted for at the present time. There is hope that this can be done with structural parameters.

The material presented here corresponds to my knowledge and experience at the time of writing, with all due respect to confidentiality agreements, etc.

I am greatly indebted to many colleagues and supporters who have understood that at times one can be so preoccupied and lost in deep thought that the present just seems not to exist.

Charles M. Hansen

October 19, 1998

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3. Flory, P. J., Thermodynamics of Polymer Solutions, *Discussions of the Faraday Society*, 49, 7–29, 1970.
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Preface to the Second Edition

When the question about a second edition of this handbook was posed, I was not in doubt that several additional authors were necessary to meet the demands it would require. The writings of the five contributors that were chosen speak for themselves. There is theoretical impact in Chapter 3 (Costas Panayiotou) and in Chapter 4 (Georgios M. Kontogeorgis). Chapter 3 introduces statistical thermodynamics to confirm the division of cohesive energy into three parts enabling separate calculation of each. Chapter 4 describes how the Hansen solubility parameters (HSP) fit into other theories of polymer solutions. The practical applications and understanding provided in Chapter 9 (Per Redelius) related to asphalt, bitumen, and crude oil should accelerate new thinking in this area and emphasize that simple explanations of seemingly complex phenomena are usually the right ones. The thermodynamic treatment of carbon dioxide given in Chapter 10 (Laurie L. Williams) is a model for similar work with other gases and emphatically confirms the usefulness of Hansen solubility parameters for predicting the solubility behavior of gases in liquids and therefore also in polymers.

Chapter 11 (John Durkee) goes through the process of demonstrating how “designer” solvents can be used in cleaning operations to replace, or partly replace, ozone-depleting solvents, in spite of the problem of their HSP not being sufficiently close to the HSP of the soils that are to be removed.

I have added two chapters because of apparent need. Chapter 14 discusses environmental stress cracking (ESC). ESC is a major cause of unexpected and sometimes catastrophic failure of plastics. The recent improved understanding provided by HSP seemed appropriate for inclusion in this context. Chapter 16 discusses absorption and diffusion in polymers. Many of the HSP correlations presented in this handbook cannot stand on HSP alone but must include consideration of absorption and diffusion of chemicals in polymers. These effects are often disguised by use of a molecular volume, as molecular size/volume correlates reasonably well with diffusion coefficients, especially at low concentrations. Polymer surface layers are often significantly different from the bulk polymer. Surface mobility of polymer chain segments plays an important role in surface dewetting, ESC, and resistance and/or delays to the absorption of chemicals. This chapter tries to unify the effects of a verifiable surface resistance and verifiable concentration-dependent diffusion coefficients. Solutions to the diffusion equation simultaneously considering these two effects explain the “anomalies” of absorption and also correctly model desorption phenomena, including the drying of a lacquer film from start to finish.

Each of the chapters in the first edition has been reviewed and added to where this was felt appropriate without increasing the number of pages unduly. There is still a lack of significant activity in the biological area, in controlled release applications, and in other areas discussed in Chapter 18, such as nanotechnology. The relative affinity of molecules or segments of molecules for each other can be predicted and in many cases controlled in self-assembly with the understanding provided by HSP.

Chapter 15 treating biological materials has been expanded more than the others included in the first edition. This was done with the help of Tim Svenstrup Poulsen. Perhaps the most surprising of the additions in Chapter 15 is a HSP correlation for the (noncovalent) solvent interactions with DNA. The $\delta_D; \delta_P; \delta_H$ values of 19.0;20.0;11.0 for DNA, all in MPa^{1/2}, clearly show that hydrogen bonding interactions (H) contribute much less to the noncovalent interactions that determine the structure of the DNA than the dispersion (D) and dipolar interactions (P). Only about 14% of the cohesion energy involved in what is commonly called “hydrogen bonding” derives from hydrogen bonding.

Table Appendix A.1 is greatly expanded both in number and in information. The latter is due to the generous help of Hanno Priebe, the extent of which is clearly evident for those familiar with the first edition. There are close to 1200 entries in this table vs. the approximately 860 in the first edition. However, please be advised that most of these are calculated and not experimental values as indicated in the comments to the table. Table Appendix A.2 is not greatly expanded. There have been too many restrictions on what may be published to allow any major expansion of this table. The majority of my work as a consultant has usually involved agreements that prohibit or severely limit publication of results paid for by private sources. I have also included Appendix A.3 with the original solubility data on which the division of the energy was based. I have regularly found this more specific data of considerable interest.

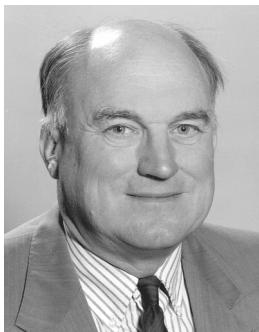
Once more resources and timing have not been conducive to do a complete literature search to provide additional explanations of phenomena that should have had Hansen solubility parameters included in their interpretation. In view of the large expansion in the number of pages over the first edition it is hoped that the principles, both theoretical and practical, are well illuminated. For those who still lack information in a given situation I can suggest a search using the key words "Hansen solubility parameters" followed by additional key words as required. This is true both for Internet searches as well as for searches in the more traditional literature.

It has been satisfying to see how much can be interpreted with very simple observations and calculations. If it cannot be done simply, then rethink.

I want to once more thank those who have contributed to this second edition. Let us hope others will take up the effort and relate their findings for the benefit of all.

Charles M. Hansen

The Author



Charles M. Hansen consults on the topics covered by this book. He works from his home in Hoersholm, 22 kilometers north of Copenhagen, Denmark. He received a BChE from the University of Louisville and an MS degree from the University of Wisconsin. After being awarded the Dr. techn. degree from the Technical University of Denmark in 1967, he held leading positions with PPG Industries in Pittsburgh, and as director of the Scandinavian Paint and Printing Ink Research Institute in Hoersholm, Denmark. Dr. Hansen dealt with polymers at FORCE Technology, Broendby, Denmark, for the 17 years prior to the start of the current state of semi-retirement.

Dr. Hansen is perhaps best known for his extension of the Hildebrand solubility parameter to what are now called Hansen solubility parameters. These have been found mutually confirming with the I. Prigogine corresponding states theory of polymer solutions and can be used to directly calculate the Flory–Huggins interaction coefficient. The statistical thermodynamics approach developed by Costas Panayiotou and coworkers, which is reported in Chapter 3 of this second edition, also confirms the viability of the division of the cohesion energy into separate parts, and allows their independent calculation.

Dr. Hansen has published widely in the fields of polymer solubility, diffusion and permeation in polymers and films, surface science, and coatings science. He is currently vice president of the Danish Society for Polymer Technology, having recently completed a 5-year period as president. He frequently reviews papers for leading journals, and is on the editorial board of *Progress in Organic Coatings*, as well as being a member of the Danish Academy of Technical Sciences (ATV).

Key to Symbols

Note: The symbols used in Chapters 3 and 16 are so numerous and different that they have been placed in these chapters, respectively.

A ₁₂	Energy difference defined by Chapter 2, Equation 2.12
D	Diffusion coefficient in Chapter 13
D	Dispersion cohesion (solubility) parameter — in tables and computer printouts
DM	Dipole moment — debyes
E _D	Dispersion cohesion energy
E _P	Polar cohesion energy
E _H	Hydrogen bonding cohesion energy
ΔE _v	Energy of vaporization (=) cohesion energy
G	Number of “good” solvents in a correlation, used in tables of correlations
G	Gibbs Energy in Chapter 4
ΔG ^M	Molar free energy of mixing
ΔG ^M _{noncomb}	Noncombinatorial molar free energy of mixing
H	Hydrogen bonding cohesion (solubility) parameter — in tables and computer printouts
ΔH _v	Molar heat of vaporization
ΔH ^M	Molar heat of mixing
K _H	Henry’s law constant in Equation 10.5
L	Ostwald coefficient in Equation 10.6
P	Permeation coefficient in Chapter 13
P	Polar cohesion (solubility) parameter — in tables and computer printouts
P	Pressure in Chapter 10
Q	Solvent quality number
P*	Total pressure, atm. (Chapter 13, Figures 13.4 and 13.5)
R	Gas constant (1.987 cal/mol K)
Ra	Distance in Hansen space, see Chapter 1, Equation 1.9 or Chapter 2, Equation 2.5
RA	Distance in Hansen space, see Chapter 2, Equation 2.7
R _M	Maximum distance in Hansen space allowing solubility (or other “good” interaction)
Ro	Radius of interaction sphere in Hansen space
RED	Relative energy difference (Chapter 1, Equation 1.10)
S	Solubility coefficient in Chapter 13
ΔS ^M	Molar entropy of mixing
T	Absolute temperature
T	“Total” number of solvents used in a correlation as given in tables
T _b	(Normal) boiling point, degrees K
T _c	Critical temperature, degrees K
T _r	Reduced temperature, Chapter 1, Equation 1.12
V	Molar volume, cm ³ /gram molecular weight

V	Total volume in Chapter 4
V_f	Free volume (Equation 4.2)
V^*	Hard core or close packed volume in Equation 4.2
V_w	van der Waals volume
V_M	Volume of mixture
a	Constant in van der Waals equation of state (Chapter 4)
a_i	Activity coefficient of the “ i ”th component in Appendix 10.A.1
b_i	Coefficients in Equations 10.17 and 10.19
b	Constant in van der Waals equation of state (Chapter 4)
c	Dispersion cohesion energy density from Chapter 1, Figure 1.2 or Figure 1.3
c	Concentration in Chapter 8, Equation 8.4
c_i	Coefficients (state constants) in Equations 10.17 and 10.19
f	Fractional solubility parameters, defined by Chapter 5, Equations 5.1 to 5.3
f_i	Fugacity of the “ i ”th component in Appendix 10.A.1
f_i^0	Fugacity at standard state in Appendix 10.A.1
i	Component “ i ” in a mixture
k	Constant in Equation 6.1
k	Constant in Equations 10.21–10.23
n	Coefficient in Equation 10.13
n	Coefficient in Equations 10.21, 10.22, and 10.23
n_D	Index of refraction in Equation 10.25
p	Partial pressure (of carbon dioxide) in Chapter 10
p_i	Partial pressure of the “ i ”th component in Appendix 10.A.1
p_i^s	Saturation pressure of the “ i ”th component in Appendix 10.A.1
r	Number of segments in a given molecule, Chapter 2
r	Ratio of polymer volume to solvent volume (Chapter 4)
t_s	Sedimentation time, see Chapter 7, Equation 7.1
x	Mole fraction in liquid phase (Chapter 13, Figures 13.4 and 13.5, and Chapter 10)
y	Mole fraction in vapor phase (Chapter 13, Figures 13.4 and 13.5, and Chapter 10)
H	Ratio of cohesive energy densities; Chapter 2, Equation 2.6
Ω	Bunsen coefficient (Equation 10.6)
Ω_i^∞	Infinite dilution activity coefficient
Σ	Summation
Δ_T	Lydersen critical temperature group contribution
α	Thermal expansion coefficient
α	Constant in Equation 4.15
β	Constant in Chapter 2, Equation 2.1
β	Compressibility in Chapter 10
δ_D	Dispersion cohesion (solubility) parameter
δ_H	Hydrogen bonding cohesion (solubility) parameter
δ_P	Polar cohesion (solubility) parameter
δ_t	Total (Hildebrand) cohesion (solubility) parameter
δ	Prigogine normalized interaction parameter, Chapter 2, Equation 2.8
ϵ	Cohesive energy for a polymer segment or solvent in Chapter 2
ϵ	Dielectric constant in Equation 10.25
γ	Surface free energy of a liquid in air or its own vapor
γ	Activity coefficient in Chapter 4

η	Viscosity of solvent, Chapter 7, Equation 7.1
η_s	Viscosity of solution
η_o	Viscosity of solvent
$[\eta]$	Intrinsic viscosity, see Chapter 8, Equation 8.4
$[\eta]_N$	Normalized intrinsic viscosity
ϕ_i	Volume fraction of component “i”
μ	Dipole moment
v	Interaction parameter, see Chapter 2, Equation 2.11
Θ	Contact angle between liquid and surface
Θ_a	Advancing contact angle
Θ_r	Receding contact angle
ρ	Prigogine parameter for differences in size in polymer segments and solvent, Chapter 2, Equation 2.10
ρ	Density in Chapter 7, Equation 7.1
ρ	Density in Chapter 10
ρ_p	Particle density in Chapter 7, Equation 7.1
ρ_s	Solvent density in Chapter 7, Equation 7.1
σ	Prigogine segmental distance parameter, Chapter 2, Equation 2.10
χ	Polymer–liquid interaction parameter (Flory–Huggins), Chapter 2
χ_{12}	Interaction parameter — “New Flory Theory”
χ_c	Critical polymer–liquid interaction parameter, Chapter 2
χ_{lit}	Representative χ value from general literature
χ_s	Entropy component of χ
1	(Subscript) indicates a solvent
2	(Subscript) indicates a polymer (or second material in contact with a solvent)
D	(Subscript) dispersion component
P	(Subscript) polar component
H	(Subscript) hydrogen bonding component
d	(Subscript) dispersion component
p	(Subscript) polar component
h	(Subscript) hydrogen bonding component

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