

# University of Sao Paulo – Polytechnic School

## A Course of Lectures in Geotechnical Engineering

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October 2016

### 4 – Parameters for Design

## Topics

- 1 – Basic Soil Behaviour
- 2 – Geological Origins of Engineering Soils
- 3 – Simple Analyses with Pencil and Paper
- 4 – Parameters for Design

Fundamental components of an undergraduate course

## 4 – Parameters for Design

4.1 Basis of design

4.2 Parameters from description

4.3 Parameters from tests  
principles and errors  
basic laboratory and in situ tests  
interpretation of shear tests

## Before you start

What is critical?

- collapse or movement or seepage
- immediate or long term

What analyses are proposed?

- simple pencil and paper
- standard tables and charts
- numerical analyses

How will parameters be determined?

- from description and classification
- from in situ tests
- from laboratory tests

## Parameters and Factors

Structure	Critical Condition	Analysis	Parameter	Factor
Slope	Drained stability	Limit equilibrium	$\phi'_c u$	$F_s = 1$
Foundation on clay	Drained settlement	Limit equilibrium	$s_u$ (peak)	$LF = 3$
Foundation on sand	Drained settlement	Limit equilibrium	peak strength	$LF = 3$
Foundation	Drained settlement	Elastic	$E'$ or $M'$	Relate to strain

## Types of soil parameters

Universal – same for all soils

Material parameters – depend only on the grains

State dependent parameters – depend on the grains and current state

## Methods for determination

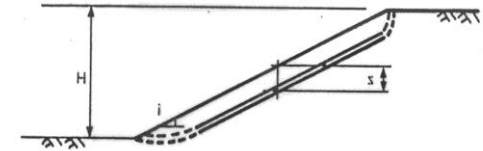
From description and classification

From laboratory tests

From in situ tests

## Strength parameters for slopes

The long term (drained) state will nearly always be worse than a short term (undrained) state.



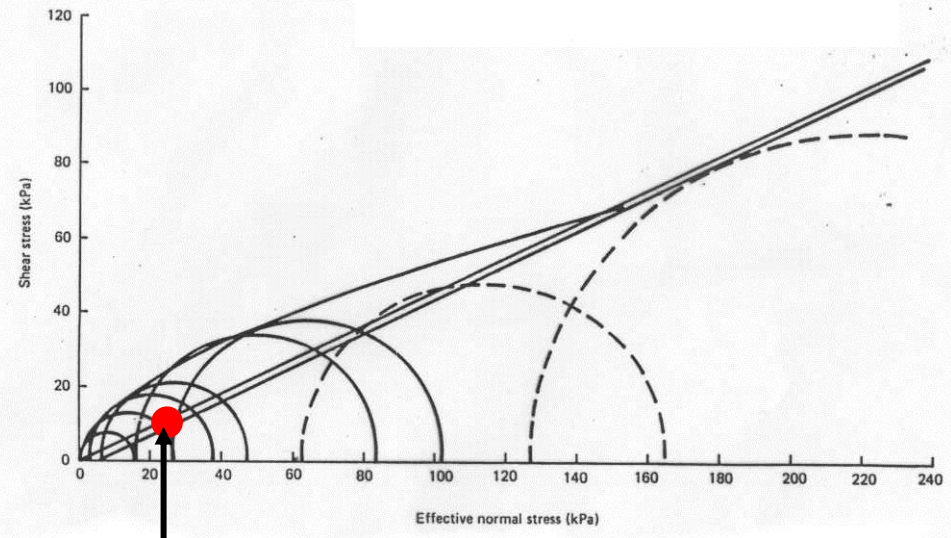
For a safe slope use:

$$\phi'_{cv} \text{ and } c' = 0$$

worst credible pore pressure

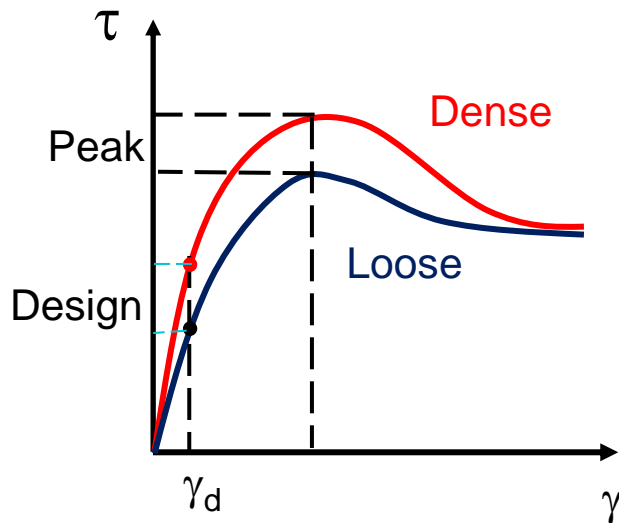
$$F_s \geq 1.0$$

Be careful of residual strength

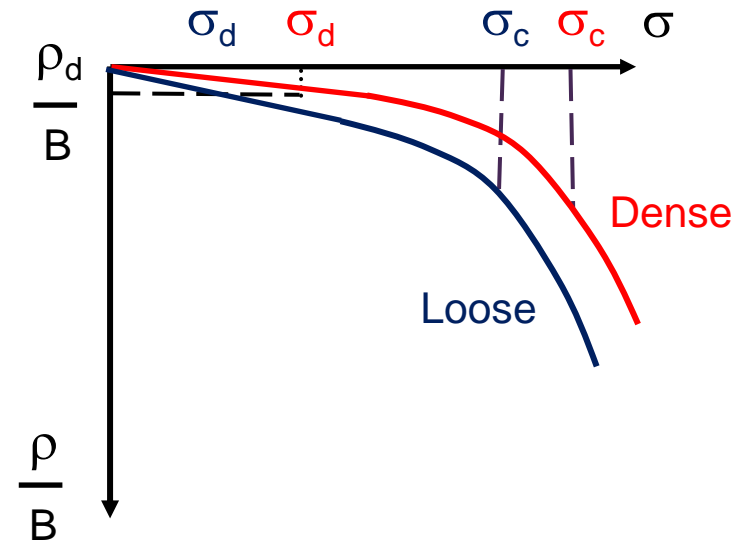


Stress on the failure  
planes in the ground

## Strength for Limiting Foundation Settlement



Laboratory test

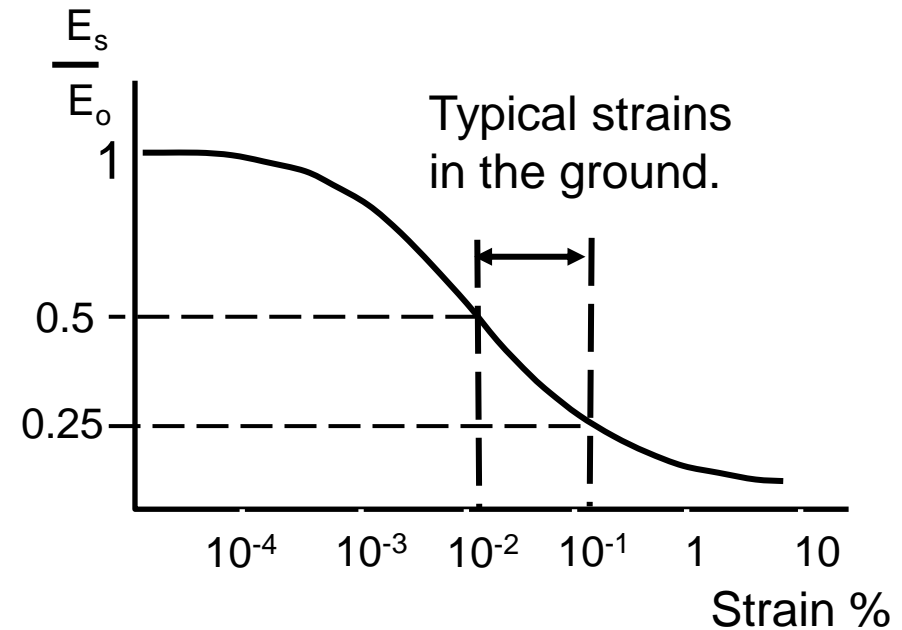
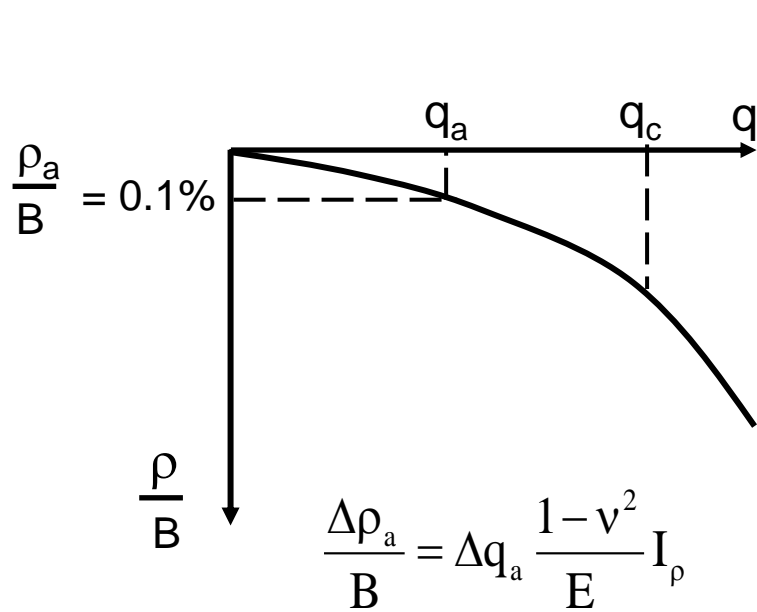


Foundation settlement

$$\frac{\tau_d}{\tau_p} = \frac{\sigma_d}{\sigma_c} = \text{Factor to limit settlement – based on peak strength} = 0.3$$



## Settlement of foundations – elastic analyses.



For a drained state:  $E'$  and  $\nu'$   
 For an undrained state:  $E_u$  and  $\nu_u = \frac{1}{2}$

Select a value of  $E'$  or  $E_u$  related to the settlement and the mean strains in the ground

## Permeability and drainage.

Very difficult to measure and very large range

Soil	Sizes	k m/s	Time for flow of 1m
Gravel	>2mm	$>10^{-2}$	<1 minute
Sand	2mm to 0.06mm	$10^{-2}$ to $10^{-5}$	
Silt	0.06 to 0.002mm	$10^{-5}$ to $10^{-8}$	
Clay	<0.002mm	$<10^{-8}$	>3 years

## Principles of Design.

For stability: CS strength +  $F_s = 1$ .

For movement: peak strength +  $L_f = 0.3$

or E related to strain.

Drainage: sand and gravel - drained

silt and clay - undrained

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## Soil Description.

The nature of the grains.

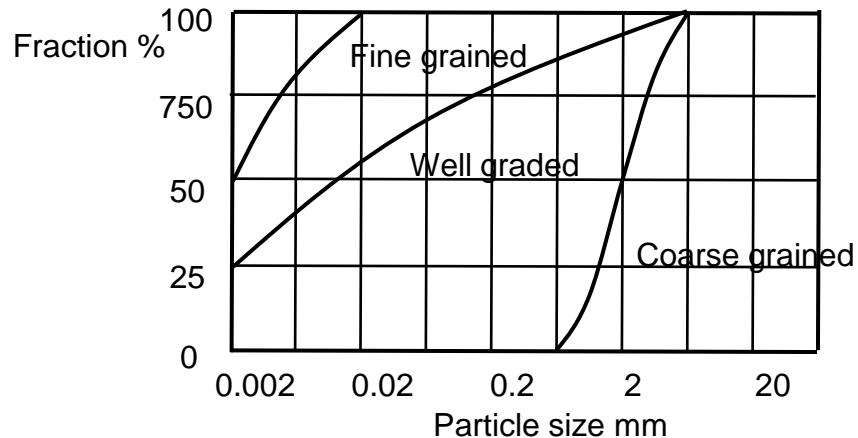
Material parameters.

State: stress and water content.

State dependent parameters.

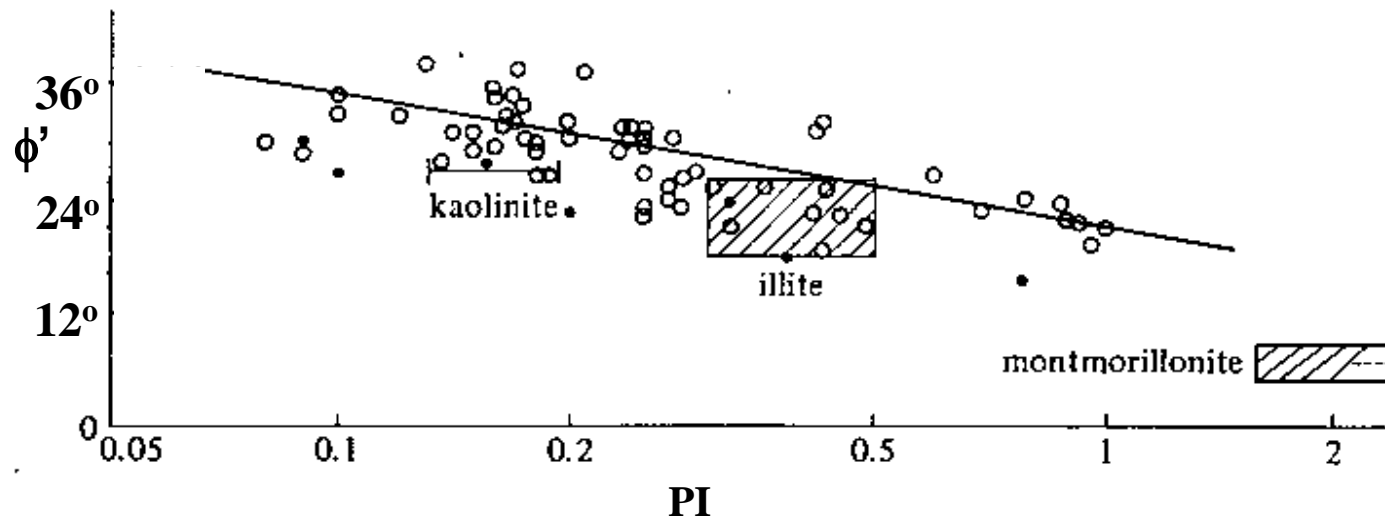
Structure, fabric, bonding.

## Description of the grains



Grading  
Mineralogy  
Grain shape  
Plasticity  
Bonding

## Critical state friction angle $\phi'_{cv}$



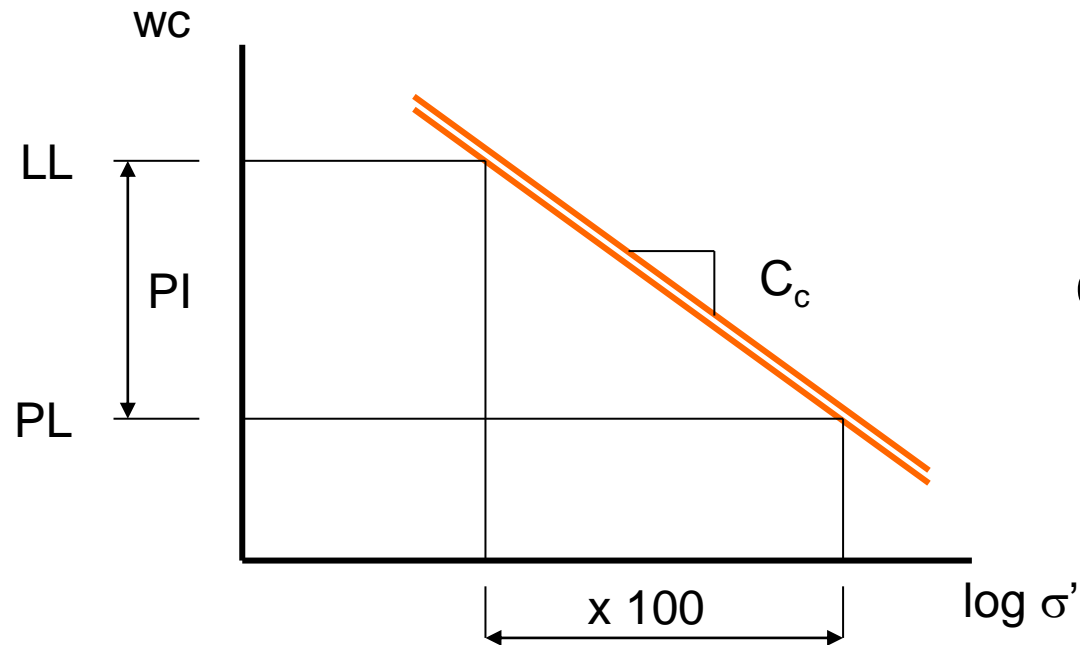
(Muir Wood 1990)

Rounded sand:  $32^\circ$

Angular sand:  $35^\circ$

Carbonate sand:  $40^\circ$

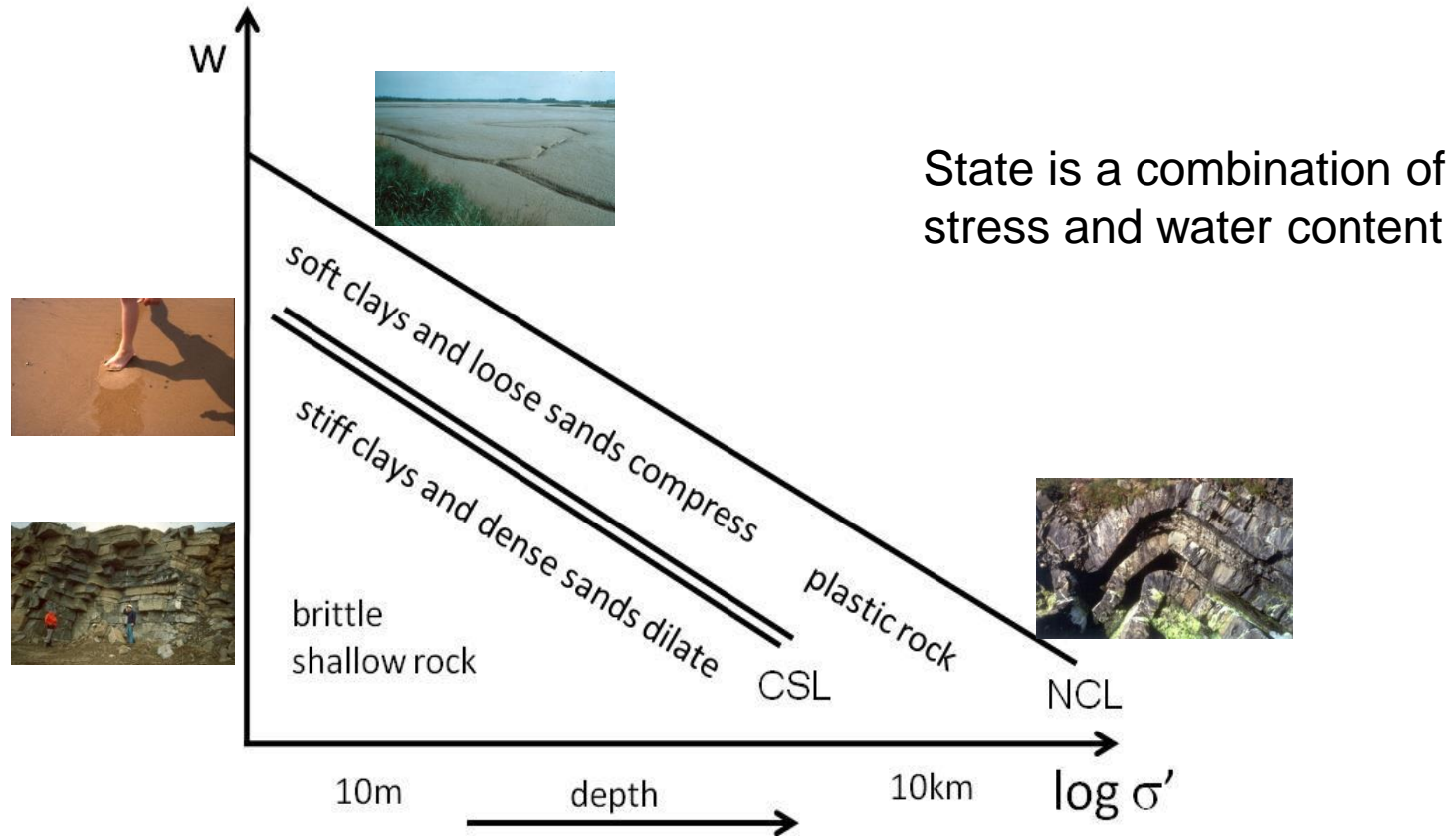
## Compressibility $C_c$ related to PI.



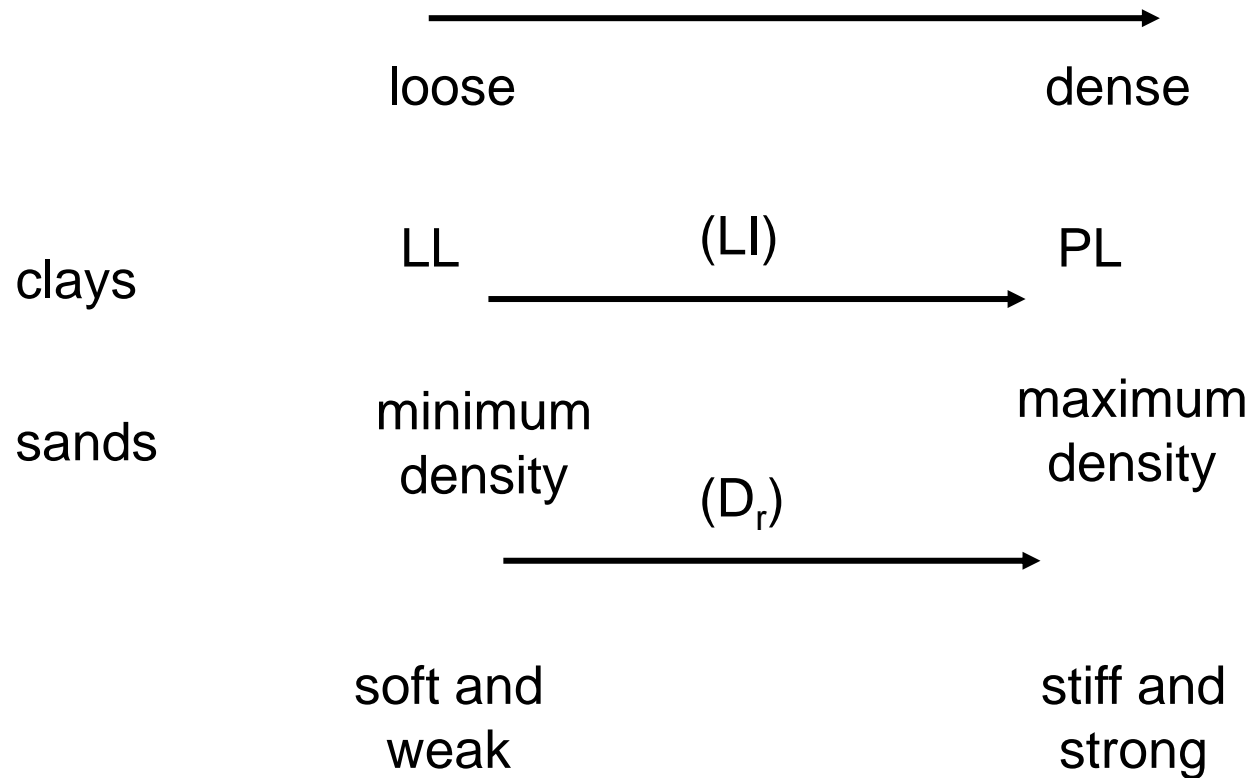
$$C_c = \frac{PI \cdot G_s}{200}$$



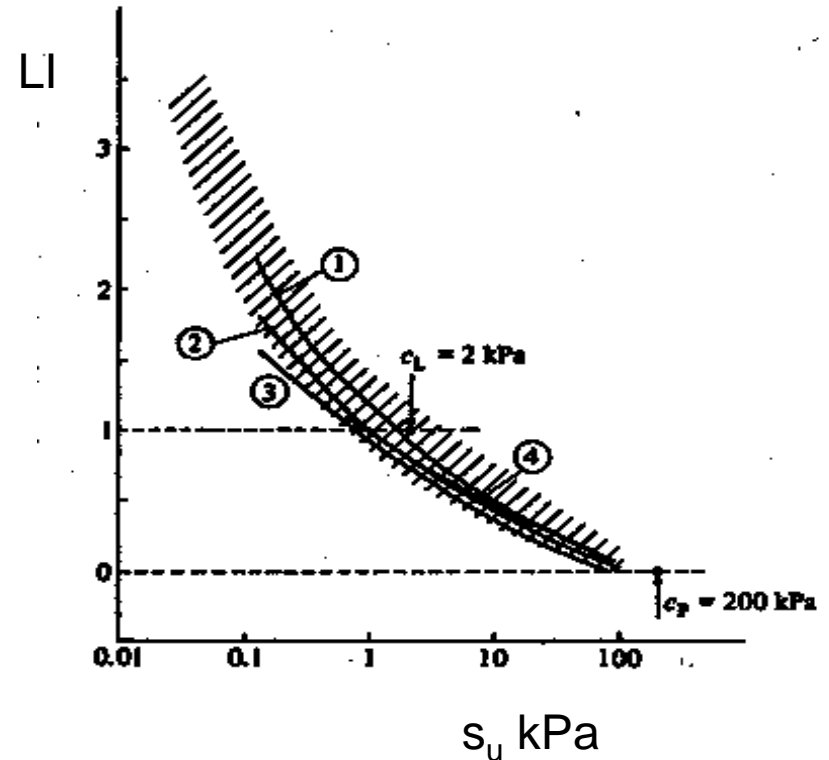
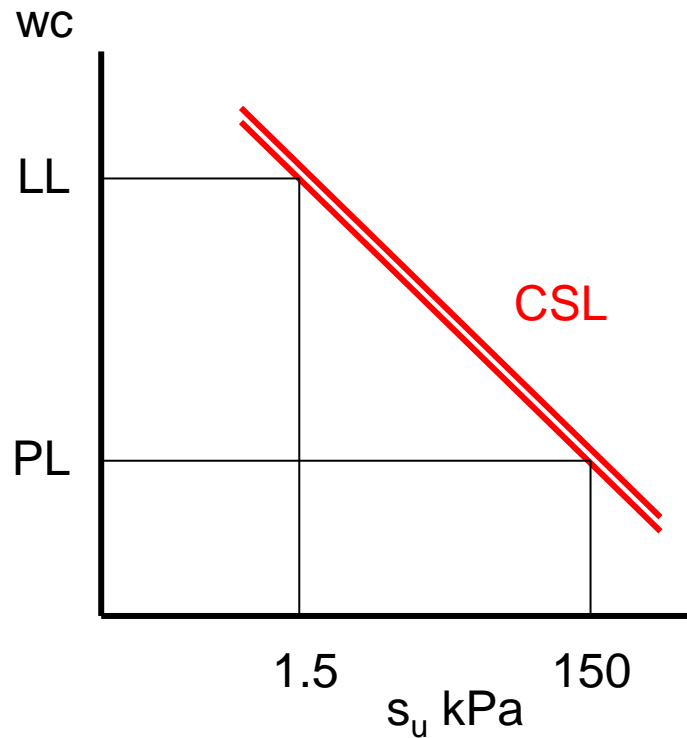
# State



## State and behaviour at engineering stresses



# Undrained Strength and Water Content

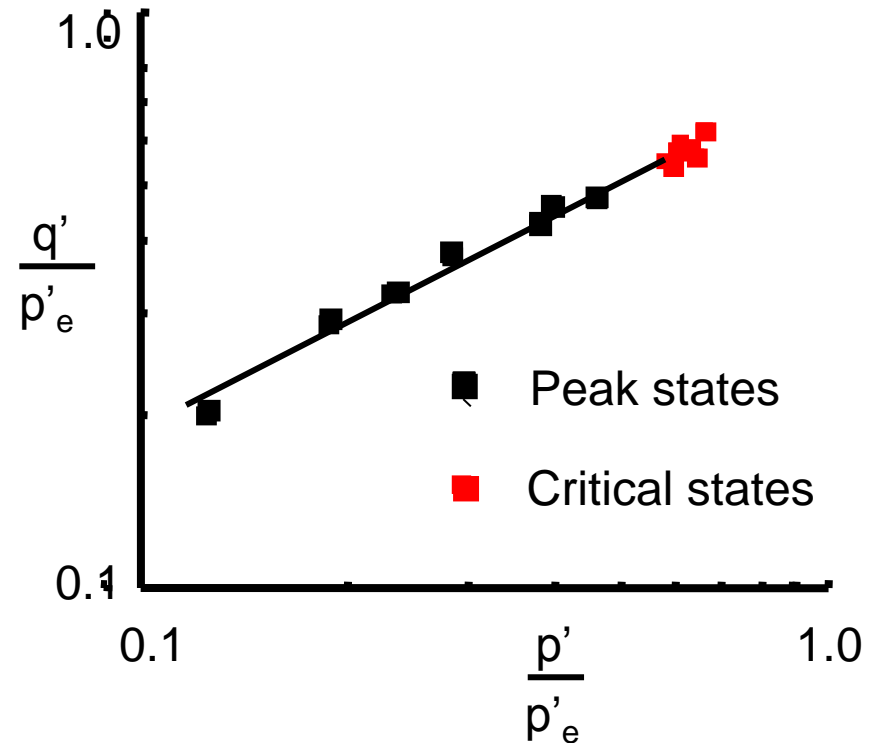
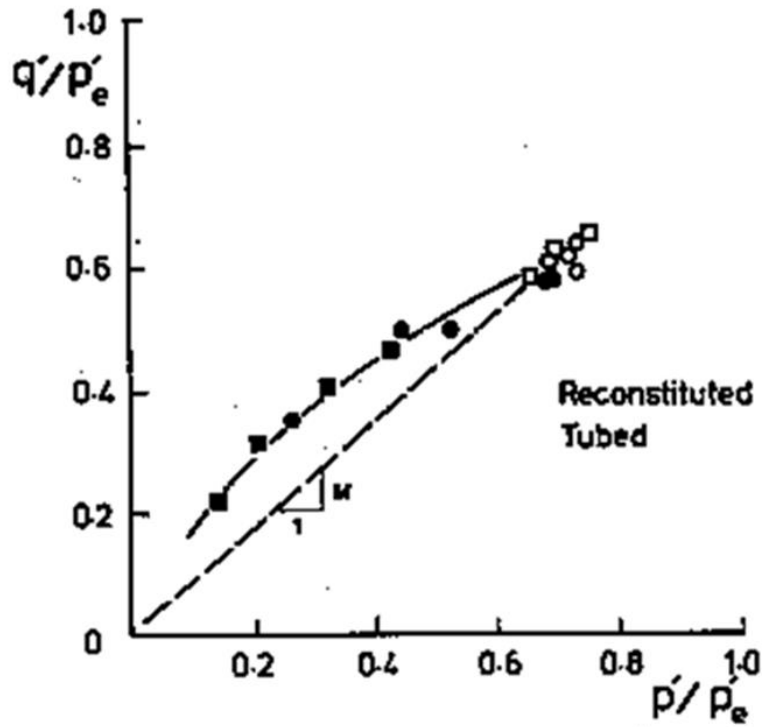


(Muir Wood, 1990)

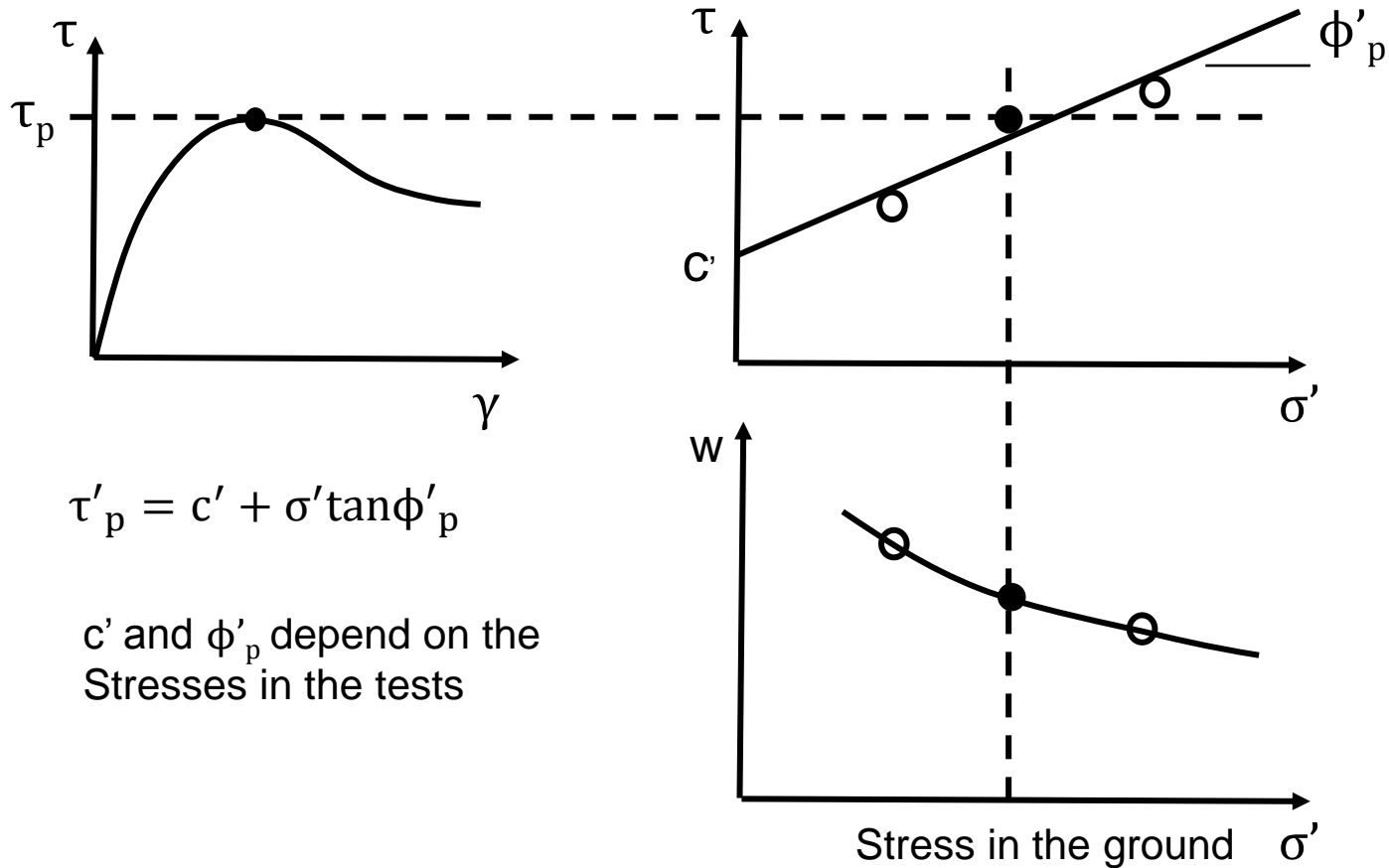
# Peak strength – curved envelope - normalised

$$\frac{q'}{p'_e} = A \left( \frac{p'}{p'_e} \right)^b$$

A and b are material parameters



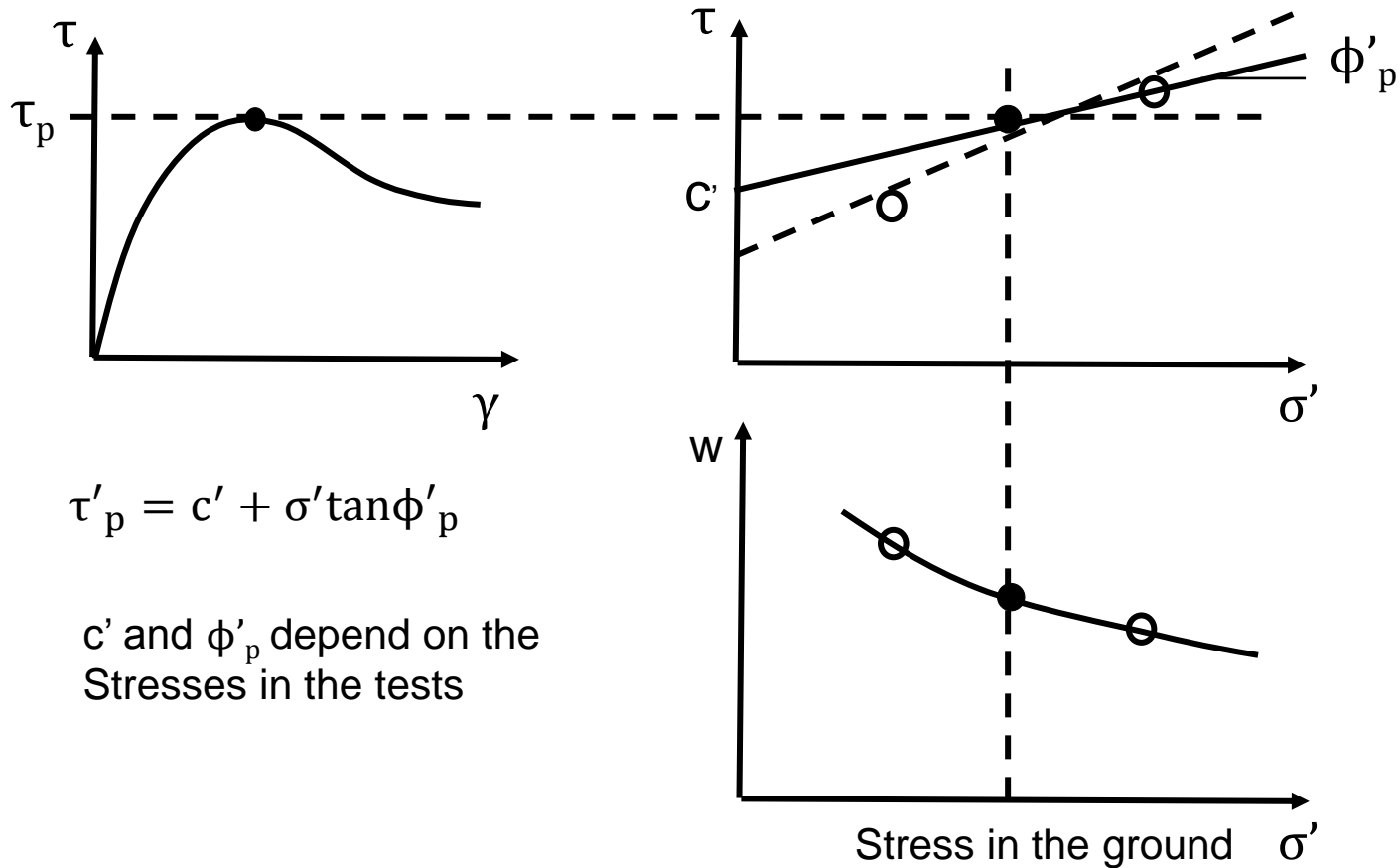
## Peak strength – linear envelope



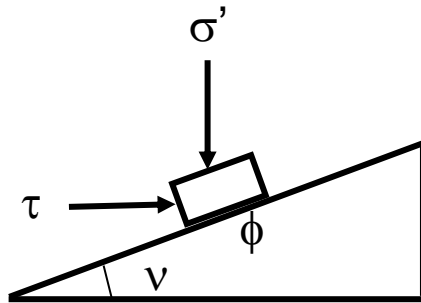
$$\tau'_p = c' + \sigma' \tan \phi'_p$$

$c'$  and  $\phi'_p$  depend on the  
Stresses in the tests

## Peak strength – linear envelope

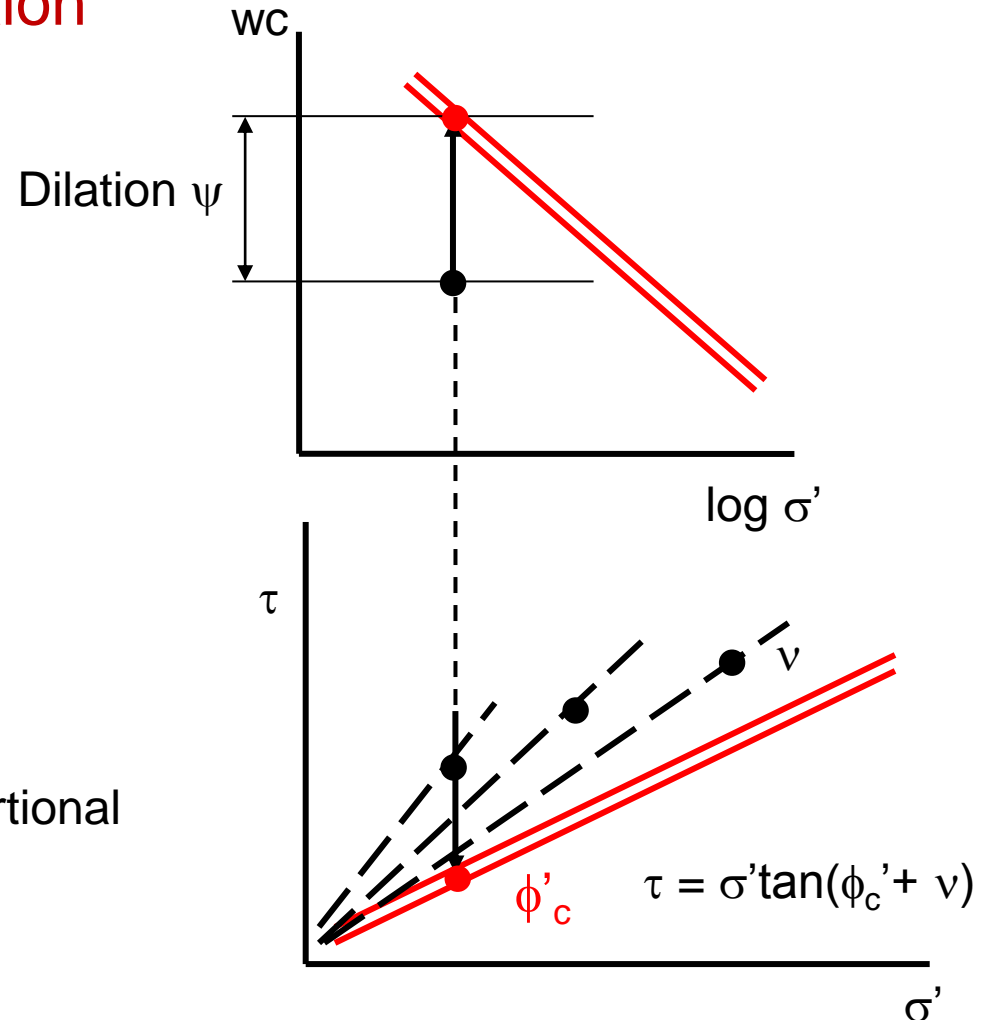


## Peak strength and dilation

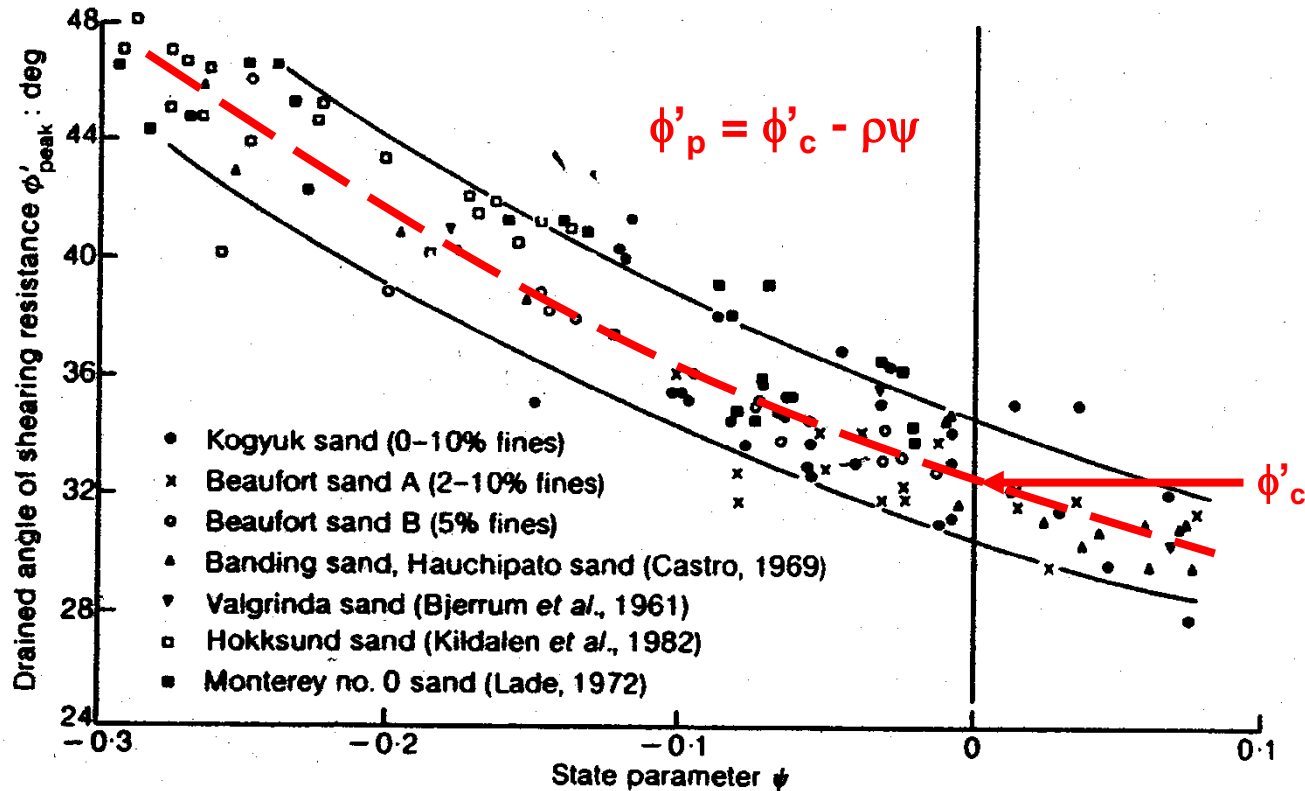


$$\frac{\tau}{\sigma'} = \tan(\phi' + \nu)$$

Peak angle of dilation  $\nu$  proportional to state parameter  $\psi$



# Peak Strength and Dilation

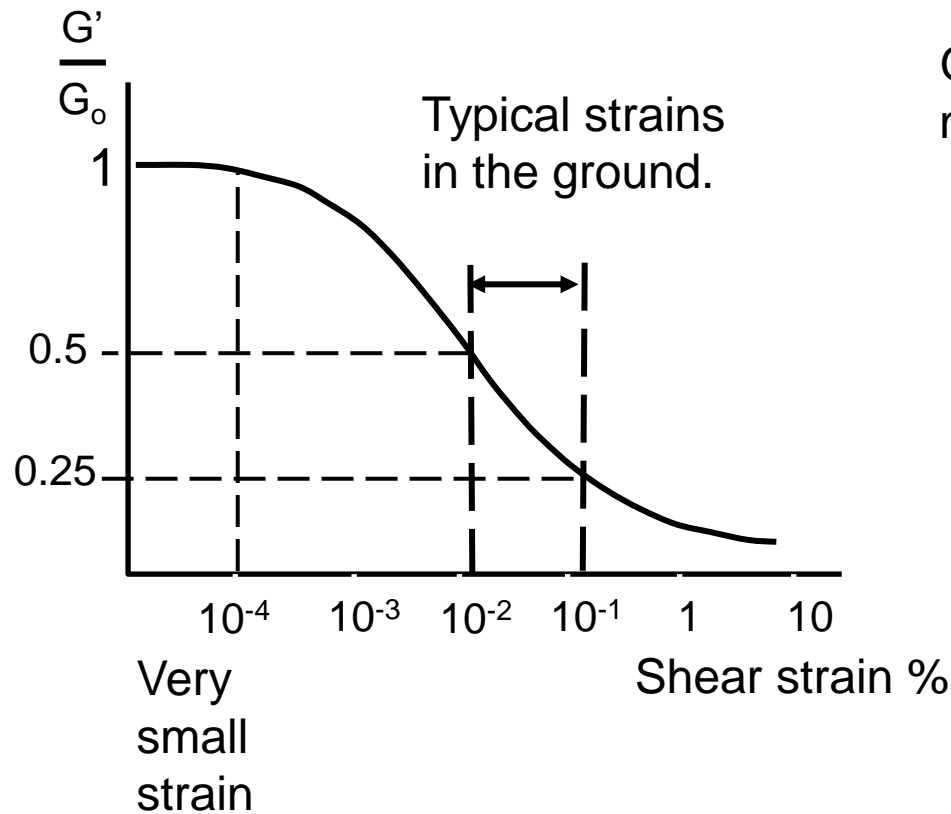


Drained angle of friction as a function of  $\psi$  for several sands

(Been and Jefferies, 1986)



## Stiffness related to movements



$G'_0$  for very small strain  
measured in dynamic tests

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## Experimental Process – Who does what?

Observe gauges.



Calibrations and corrections – by the laboratory

Graphs of stress and strain



Definitions and mechanics – who does this?

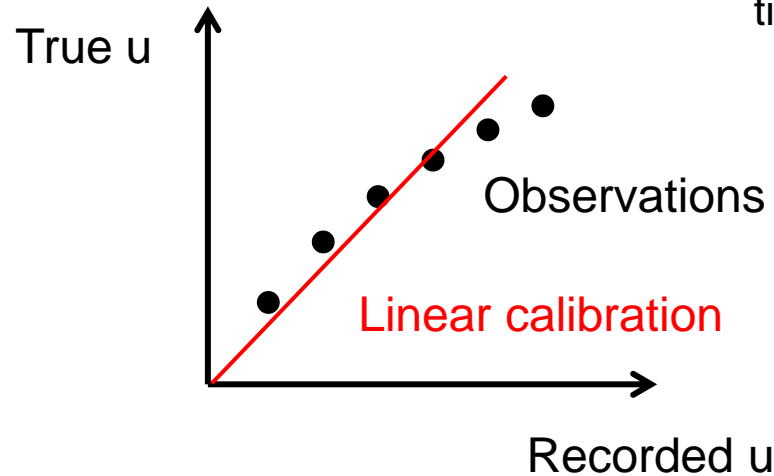
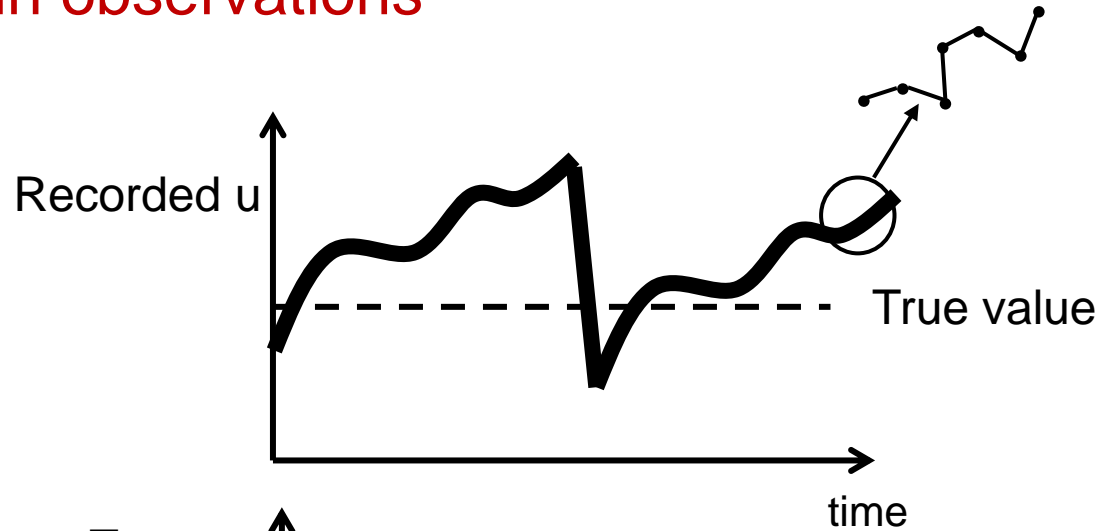
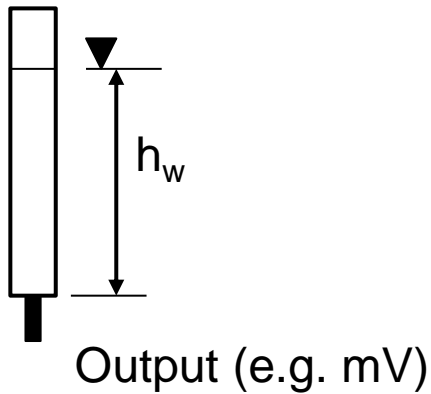
Derive parameters for strength and stiffness



Judgement and factors – by the engineer

Determine characteristic or design values

## Sources of error in observations



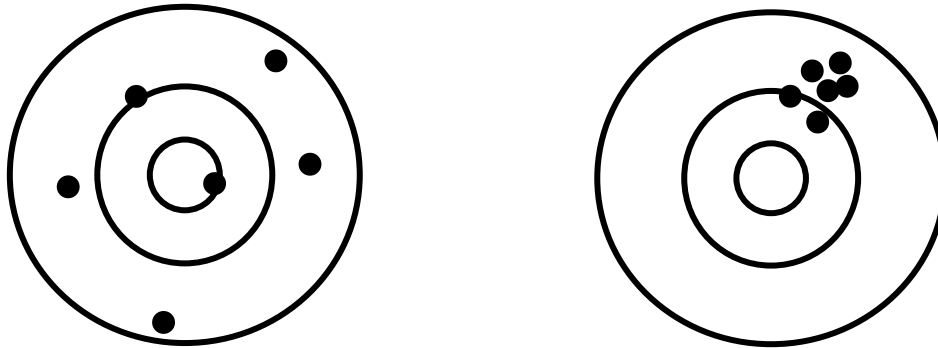
- Noise
- Drift
- Fault
- Calibration

## Repeatability – do you believe it?



Accuracy: difference between recorded value and true value:  
but what is the true value.

## Accuracy



What do you believe if you don't know the target?

Resolution = precision: size of bullet hole; how many significant figures?

Scatter – can the data be averaged?

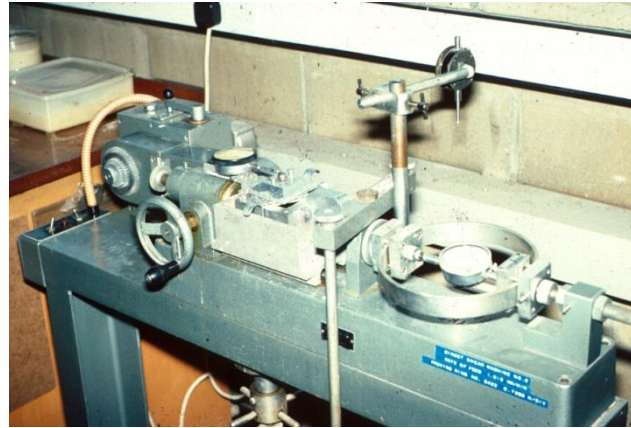
Accuracy: difference between recorded value and true value (not as a %)

Can the laboratory quote the accuracies of all the values of stress and strain?

## Standard laboratory strength and stiffness tests



Oedometer



Direct shear



Triaxial



Triaxial stress path

## Standard in situ tests



SPT  
N blows



Shear vane  
Torque  
Shear strength



CPT  
Cone and  
sleeve  
stresses



Shear wave  
Travel time  
Velocity



## Experimental Process – Who does what?

Observe gauges.



Calibrations and corrections – by the laboratory

Graphs of stress and strain



Definitions and mechanics – who does this?

Derive parameters for strength and stiffness



Judgement and factors – by the engineer

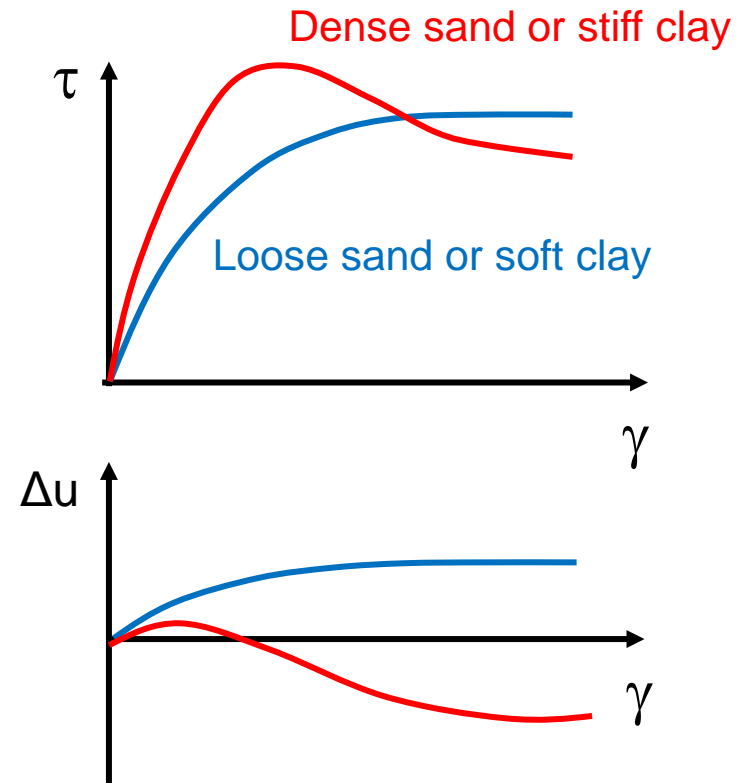
Determine characteristic or design values

## From graphs to parameters

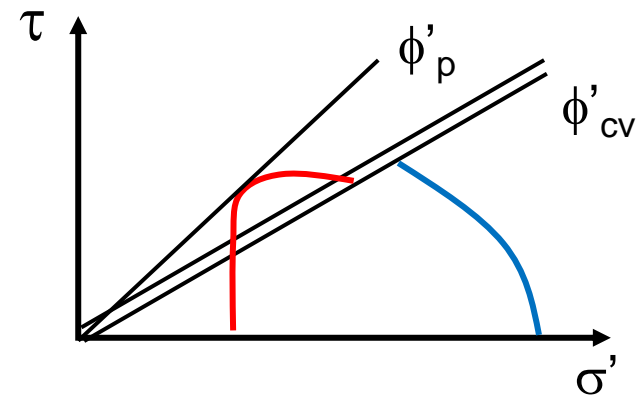
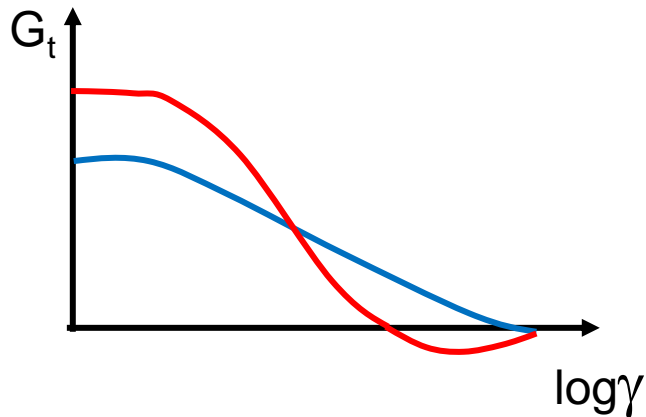
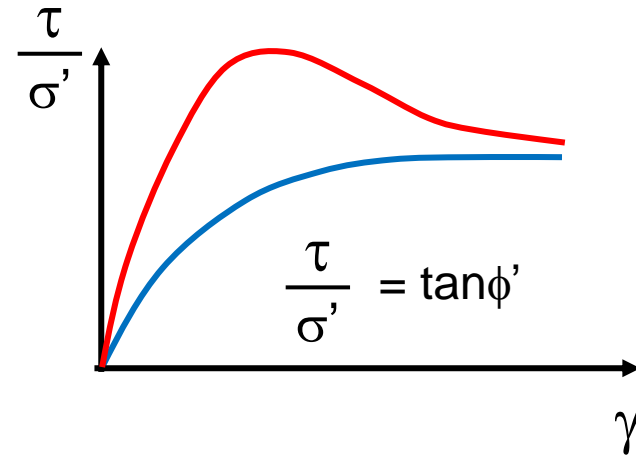
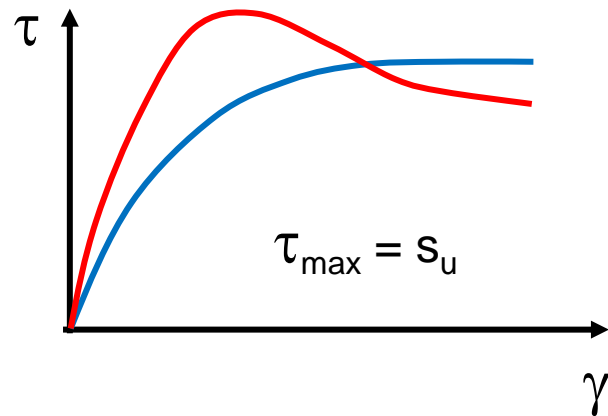
Typical data from undrained tests on saturated remoulded samples with same water content.

Determine values for strength and stiffness.

Who should do this? The laboratory or the engineer?



## Interpretation of undrained test data.



## Interpretation of test data.

Drained  $\phi'$  or undrained  $s_u$ : does the structure get safer with time?

Peak strength or cv strength?

To prevent collapse cv strength with  
 $FoS \leq 1$

To limit deformations peak strength  
with load factor 0.3 ( $FoS = 3$ )

Strange observations

Unusual soil?

Errors?

Can you state the reliability and accuracies of the parameters you are using?

## Parameters and Factors

Structure	Critical Condition	Analysis	Parameter	Factor
Slope	Drained stability	Limit equilibrium	$\phi'_c u$	$F_s = 1$
Foundation on clay	Drained settlement	Limit equilibrium	$s_u$ (peak)	$LF = 3$
Foundation on sand	Drained settlement	Limit equilibrium	peak strength	$LF = 3$
Foundation	Drained settlement	Elastic	$E'$ or $M'$	Relate to strain

## 4 - Summary

Parameters obtained from laboratory or in situ tests should be compatible with the basic soil description.

Design parameters depend on the structure and the design criteria – drained or undrained, prevent collapse or limit deformation.

There is much that can, and does, go wrong with laboratory and in situ testing – do you believe the data you are given?

It follows that the designer must determine the design parameters from the stress – strain test results.

## Where have we been?

- 1 Basic soil behaviour
- 2 Geology
- 3 Simple analyses
- 4 Design Parameters

What else should a geotechnical engineer be able to do?