

Ginkgo biloba Extract EGb 761 and Its Specific Components Elicit Protective Protein Clearance Through the Autophagy-Lysosomal Pathway in Tau-Transgenic Mice and Cultured Neurons

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Abstract. Alzheimer's disease (AD) is a neurodegenerative disease pathologically characterized by extracellular amyloid- β (A β) deposits and intracellular neurofibrillary tangles (NFT) in many brain regions. NFT are primarily composed of hyperphosphorylated tau protein (p-Tau). A β and p-Tau are two major pathogenic molecules with tau acting downstream to A β to induce neuronal degeneration. In this study, we investigated whether *Ginkgo biloba* extract EGb 761 reduces cerebral p-Tau level and prevents AD pathogenesis. Human P301S tau mutant-transgenic mice were fed with EGb 761, added to the regular diet for 2 or 5 months. We observed that treatment with EGb 761 for 5 months significantly improved the cognitive function of mice, attenuated the loss of synaptophysin and recovered the phosphorylation of CREB in the mouse brain. Treatment with EGb 761 for 5 but not 2 months also decreased p-Tau protein amount and shifted microglial pro-inflammatory to anti-inflammatory activation in the brain. As potential therapeutic mechanisms, we demonstrated that treatment with EGb 761, especially the components of ginkgolide A, bilobalide, and flavonoids, but not with purified ginkgolide B or C, increased autophagic activity and degradation of p-Tau in lysosomes of neurons. Inhibiting ATG5 function or treating cells with Bafilomycin B1 abolished EGb 761-enhanced degradation of p-Tau in cultured neurons. Additionally, we observed that 5- instead of 2-month-treatment with EGb 761 inhibited the activity of p38-MAPK and GSK-3 β . Therefore, long-term treatment with *Ginkgo biloba* extract EGb 761, a clinically available and well-tolerated herbal medication, ameliorates AD pathology through mechanisms against multiple AD pathogenic processes.

Keywords: Alzheimer's disease, autophagy, *Ginkgo biloba* extract, inflammation, tauopathies

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INTRODUCTION

Alzheimer's disease (AD), the most common form of dementia in the elderly, is pathologically characterized by intracellular neurofibrillary tangles (NFT)

and extracellular amyloid- β (A β) deposits in the brain parenchyma [1]. NFT are primarily composed of hyperphosphorylated tau protein (p-Tau) [1, 2]. There is evidence showing that oligomeric A β induces hyperphosphorylation and aggregation of tau [2, 3], and drives tau pathology expanding from a restricted region around medial temporal cortex to the whole neocortex [4]. Reducing tau expression might prevent A β -induced neuronal death [5]. Neuroimaging and pathological experiments have shown that accumulation of tau instead of A β is associated with the poorer cognitive performance and brain atrophy [4, 6–8]. In the cerebrospinal fluid of AD patients, the protein level of p-Tau, which are phosphorylated at threonine 181 or 231, and total amount of Tau (t-Tau) proteins are both elevated and even predict the future brain atrophy during AD progression [9–11]. Transgenic mice that overexpress mutant forms of human tau in neurons [6] and knock-in mice in which a single copy of C-terminal tau fragment is expressed under the control of human tau promoter [12] both develop AD-like pathological changes in the brain, such as NFT, synaptic dysfunction, neuronal loss, and microglial activation.

How p-Tau impairs neuronal network is unclear, but might include the following mechanisms: 1) hyperphosphorylation of tau disrupts the normal function of tau in stabilizing the cytoskeleton and regulating axonal transport [13]; 2) tau targets the Src kinase Fyn and mediates the A β -induced loss of N-Methyl-D-aspartate receptor at the post-synapse [14]; 3) tau accumulation dephosphorylates cAMP response element binding protein (CREB), and thereby impairs the formation of synaptic connections [15]; and 4) p-Tau even induces oligomeric insulin accumulation and insulin resistance in neurons [16]. Thus, p-Tau is a key pathogenic molecule in AD and reduction of p-Tau might ameliorate the AD progress.

Growing evidence has shown that macroautophagy (hereafter referred to as *autophagy*) might be an efficient mechanism to clear p-Tau. Co-localization of aggregated p-Tau and autophagic vacuoles was observed in AD postmortem brain tissues [17]. p-Tau accumulated in the mouse brain, when the key autophagic component, ATG7, was ablated in the forebrain neurons [18]. On the other hand the following autophagy-enhancing interventions decreased the protein level of cerebral p-Tau in the tau-transgenic mouse brain: 1) treating mice with rapamycin [19], CCI-779 [20], trehalose [21], or miR-132 mimics [22]; 2) activating Nrf2 signaling pathway [23, 24];

or 3) overexpressing transcription factor EB [25, 26]. Recently, we enhanced neuronal autophagy in tau-transgenic mice by activating mild and chronic microglial inflammatory activation and observed that the cerebral p-Tau was decreased and the cognitive deficit was attenuated [27].

We have also observed that a 5-month-long oral administration of *Ginkgo biloba* extract EGb 761 inhibits inflammatory activation in the brain of AD amyloid- β protein precursor (A β PP)-transgenic mice by activating autophagy and facilitating degradation of NACHT, LRR and PYD domains-containing protein 3 (NLRP3)-contained inflammasomes in microglia [28]. We hypothesized that treatment with EGb 761 could also activate neuronal autophagy and increase the p-Tau clearance from the AD brain. To examine whether EGb 761 alleviated the consequences of the p-Tau production and its mechanism, here we treated both tau-transgenic mice and cultured neuronal cells with EGb 761 and examined the effects of autophagy of neuronal cells on AD-related cognitive deficits, tauopathy, and inflammatory activation.

MATERIAL AND METHODS

Animal models

Tau-transgenic mice (B6;C3-Tg(Prnp-MAPT*P301S)PS19Vle/J; Stock No: 008169) overexpressing human tau mutant (P301S) under the direction of mouse prion protein promoter [29] were imported from The Jackson Laboratory in December 2012. The original mice were on a genetic background mixed with C57BL/6J and C3H/HeJ. Before experiments, tau-transgenic male mice were back-crossed with C57BL/6J female mice for two generations to purify their genetic background. Compared to the phenotype displayed by the original mouse strain [29], our mice develop less severe pathology, for example: 1) NFT is not detectable in brain cells before 9 months of age; 2) from January 2013 to July 2018, 201 tau-transgenic mice and 69 wild-type littermates were prepared for experiments (including the current study, but not limited to it). All experiments ended before the mice were 9 months old. There were 23 (11.4%) transgenic mice and 8 (11.6%) wild-type mice which died without clear reasons. χ^2 test shows no difference between the rates of mouse death ($\chi^2(1)=0.000$, $p=0.997$). Our preliminary experiments showed that male and female tau-transgenic mice differed neither in Morris water maze test nor in cerebral load of p-Tau

(see the following methods). Thus, we used both male and female mice in this study to save experimental animals. Animal experiments were performed in accordance with all relevant national rules and were authorized by the local research ethical committee.

Administration of EGb 761 in animals

Tau-transgenic littermate mice were randomly assigned to one of four groups ($n \geq 15$ per group) and treated with EGb 761-supplemented diet according to our previous study [28]: Group 1 consisted of 4-month-old mice treated with a low-flavonoid control diet (C1000, Altromin Spezialfutter GmbH & Co. KG, Lage, Germany) for 5 months. Group 2 consisted of 4-month-old mice treated with C1000 diet supplemented with 600 mg EGb 761 per kg (0.6%) for 5 months. EGb 761[®] was provided by Dr. Willmar Schwabe Pharmaceuticals, Karlsruhe, Germany. It is a dry extract from *Ginkgo biloba* leaves (35–67:1) with extraction solvent: acetone 60% (w). The extract is adjusted to 22–27% Ginkgo flavonoids calculated as Ginkgo flavone glycosides and 5–7% terpene lactones consisting of 2.8–3.4% ginkgolides A, B, C and 2.6–3.2% bilobalide and contains less than 5 ppm ginkgolic acids. On the basis of ad libitum diet intake measures, the average dose of EGb 761 provided corresponds to 69 mg per kg body weight per day. Group 3 consisted of 7-month-old mice treated with C1000 for 2 months. Group 4 consisted of 7-month-old mice treated with C1000 supplemented with 600 mg EGb 761 per kg for 2 months. Before the intervention period, all mice were adapted to the C1000 diet for 1 week.

Morris water maze

The Morris water maze test was used to assess the cognitive function of tau-transgenic mice after the chronic treatment with EGb 761 or control using our established protocol [27]. Mice were trained 4 times per day for 6 days to find the hidden escape platform with an interval of ≥ 15 min between each trials. Latency time, path length, and velocity were recorded with Ethovision video tracking equipment and software (Noldus Ethovision, Wageningen, the Netherlands). After the training phase, there was 1 day of rest, and a probe trial on the 8th day.

Tissue collection for histological and biochemical analysis

Animals were euthanized by isoflurane inhalation. Mice were perfused with ice cold PBS through the

heart, and the brain was removed and divided. The left hemisphere was fixed in 4% paraformaldehyde (PFA; Sigma-Aldrich Chemie GmbH, Taufkirchen, Germany) in PBS and used for immunohistochemistry. A 0.5- μ m-thick piece of tissue was sagittally cut from the right hemisphere. The cortex and hippocampus were separated and homogenized in TRIzol (Thermo Fisher Scientific, Darmstadt, Germany) for RNA isolation. The remainder of the right hemisphere was snap frozen in liquid nitrogen and stored at -80°C until biochemical analysis.

Western blotting

Brain tissues were homogenized in 5 \times volumes of ice-cold lysis buffer (50 mM Tris/HCl [pH=7.4], 150 mM NaCl, 2 mM EDTA, 50 nM okadaic acid, 5 mM sodium pyrophosphate, 50 mM NaF, 1 mM DTT, 1% Triton X-100, and protease inhibitor cocktail; Roche Applied Science, Mannheim, Germany) followed by centrifugation at 16,000 $\times g$ for 30 min at 4°C . After determination of protein concentrations with Bio-Rad Protein Assay (Bio-Rad Laboratories GmbH, München, Germany), the protein samples were separated through 10% or 12% SDS-PAGE gels. Proteins were then transferred onto polyvinylidene difluoride (PVDF) membranes and incubated overnight at 4°C with the following antibodies: rabbit monoclonal antibodies against LC3B (clone D11), beclin1 (clone D40C5), phospho-CREB (clone 87G3), CREB (clone 48H2), phospho-glycogen synthase kinase (GSK)-3 β (clone D3A4), and GSK-3 β (clone 7C10) (all bought from Cell Signaling Technology, Danvers, MA); and rabbit polyclonal antibodies against SQSTM1/p62 (Cat.-No: 5114), phospho-p38-mitogen-activated protein kinase (MAPK) (Thr180/Tyr182) (Cat.-No: 9211), and p38-MAPK (Cat.-No: 9212) (also from Cell Signaling Technology). After thoroughly washing, relevant HRP-conjugated secondary antibodies were used. The detected proteins were visualized via Plus-ECL method (PerkinElmer, Waltham, MA). To quantify proteins of interest, rabbit monoclonal antibody against β -actin (clone 13E5; Cell Signaling Technology) or mouse monoclonal antibody against α -tubulin (clone DM1A; Abcam, Cambridge, United Kingdom) were used to determine the amount of loading proteins. Densitometric analysis of band densities was performed with Image-Pro PLUS software version 6.0.0.260 (Media Cybernetics, Inc., Rockville, MD).

Western blot quantification of cerebral p-Tau proteins

To quantify p-Tau proteins, the brain tissue was sequentially homogenized in buffers with increasing extraction strengths according to the published protocol [29, 30]. Briefly, brains were homogenized in 4× volumes of ice-cold high-salt reassembly buffer (RAB-HS) (0.1 M MES, 1 mM EGTA, 0.5 mM MgSO₄, 0.75 M NaCl, 0.02 M NaF, 1 mM PMSF, and 0.1% protease inhibitor cocktail, Roche Applied Science) by passing through a 24-gauge needle without significant resistance for 10 times and centrifuged at 50,000× g for 40 min at 4°C in a Beckman Optima MAX-XP ultracentrifuge (Beckman Coulter GmbH, Krefeld, Germany). The RAB-HS supernatants were collected as RAB-soluble fractions. The pellets were re-suspended in 3× volumes of RIPA (50 mM Tris, pH 8, 150 mM NaCl, 1% NP-40, 5 mM EDTA, 0.5% sodium deoxycholate, 0.1% SDS) and centrifuged at 40,000× g for 20 min at 4°C. The supernatants were used as RIPA-soluble fraction. In the end, the RIPA-insoluble pellets were further extracted in 0.8 volumes of 70% formic acid (FA) and centrifuged to collect FA-soluble fraction. The protein concentration of all fractions was measured with Bio-Rad Protein Assay. In one SDS-PAGE gel, the same amount of protein was loaded into each well. The protein levels of p-Tau and α-tubulin were detected with western blot as described in the last paragraph using the mouse monoclonal antibody against p-Tau (Thr231) (clone 4C10; Dianova GmbH, Hamburg, Germany) and α-tubulin (clone DM1A).

Western blot detection of lysosomal p-Tau in the brain

The brain cortex and hippocampus were carefully dissected from the chronically EGb 761-treated or control mice. Lysosomes were isolated using our established protocol [31]. The brain tissue was homogenized in 5× volumes of ice cold buffer (HB; 0.25 M sucrose, 10 mM HEPES, 1 mM EDTA [pH=7.4]) and centrifuged at 800× g for 10 min. The supernatant was collected and the pellet was re-suspended in a half volume of HB for a second centrifugation under the same conditions. The pellet was discarded and the two supernatants were combined. The pooled supernatant was incubated for 10 min at 37°C in the presence of 2 mM CaCl₂ and then centrifuged at 3,000× g for 10 min to remove large mitochondria. The resultant supernatant

was centrifuged for 10 min at 18,000× g to obtain a pellet. The pellet was re-suspended in 0.5 ml HB and layered on 4 ml of iso-osmotic Percoll (GE Healthcare, München, Germany) at a concentration of 30% (pH 7.4). Under the Percoll layer, 0.5 ml 2.5M sucrose was laid. Centrifugation was performed at 4°C for 40 min at 44,000× g. The subsequent gradients were carefully collected from the top with 0.9 ml /fraction. Protein concentrations in different fractions were determined and western blot was performed to quantify the protein amount of p-Tau and lysosomal-associated membrane protein 1 (LAMP-1), with relevant antibodies (mouse monoclonal antibody AT8 and rabbit monoclonal antibody C54H11, respectively). Non-lysosomal markers, calnexin and β-actin were also detected with the rabbit polyclonal antibody (Cat.-No. ab22595, Abcam) and rabbit monoclonal antibody (clone 13E5), respectively, to identify the fraction enriched with only lysosomes.

Immunofluorescence microscopy and analysis

The 4% PFA-fixed left brain hemisphere was dehydrated in PBS containing 30% sucrose, embedded in Tissue-Tek® O.C.T. Compound (Sakura Finetek Europe B.V., AJ Alphen aan den Rijn, the Netherlands) and then frozen in 2-methylbutane on liquid nitrogen. Serial sagittal sections with 30 μm of thickness were cut from the left brain hemisphere with a Cryostat (Leica Mikrosysteme Vertrieb GmbH, Wetzlar, Germany). After systematic random sampling, every 10th section throughout the entire hippocampus and the cortex were selected for the histological analysis. Antigen retrieval was performed by heating sections in 10 μM citrate buffer to 100°C for 30 min (pH=6.0). After blocking with 5% goat serum in PBS/0.3% Triton X-100, brain sections were incubated at 4°C overnight with the following primary antibodies: rabbit polyclonal antibody against ionized calcium-binding adapter molecule (Iba)-1 (Wako Chemicals GmbH, Neuss, Germany), rabbit monoclonal antibody against LC3A/B (clone D3U4C; Cell Signaling Technology), and mouse monoclonal antibodies against S100 (clone 4C4.9; Abcam), synaptophysin (clone SY38; Abcam), and p-Tau (clone AT8; Thermo Scientific), in PBS/0.1% Triton X-100 and 1% goat serum. Afterwards, sections were rinsed thoroughly, and incubated for 1 h at room temperature with the corresponding Alexa Fluor 488 or 546-conjugated second antibodies. All images were acquired with a Zeiss AxioImager.Z2

331 microscope equipped with a Stereo Investigator sys-
332 tem (MicroBrightField Bioscience, Williston).

333 To count Iba1-, S100-, and cells containing LC
334 3A/B-immunofluorescence positive puncta, the stere-
335 ological probe Optical Fractionator with 120×120
336 $\times 18 \mu\text{m}$ of a dissector and $400 \times 400 \mu\text{m}$ of a sam-
337 pling grid was used as we did in the previous study
338 [32]. The estimated coefficient of error was <0.05 .
339 As AT8 antibody-immunoreactive neurons appeared
340 not to equally distribute in different regions of the
341 brain, we counted these cells in the whole cortex and
342 hippocampus without using stereological probes.

343 To quantify the intensity of the immunofluores-
344 cent staining of synaptophysin in the CA3 area of
345 hippocampus, 3 areas using a $63\times$ objective were
346 randomly selected and Z-stacks for 40 images with
347 $0.2 \mu\text{m}$ interval between two neighboring scans were
348 collected. The serial images were processed with
349 deconvolution and Z-projected with maximal intensi-
350 ty. The immunofluorescent intensity of the final
351 image derived from each area was quantified with
352 Image-Pro PLUS and the mean intensity from three
353 areas was averaged as the result of each section.

354 *Quantitative reverse transcription for analysis* 355 *of gene transcripts*

356 Total RNA was isolated from the brain homogenate
357 in Trizol. First-strand cDNA was synthesized by
358 priming total RNA with hexamer random primers and
359 using Superscript III reverse transcriptase (Thermo
360 Fisher Scientific). For quantification, we used the
361 7500 Fast real-time PCR system (Thermo Fisher Sci-
362 entific) to perform real-time quantitative polymerase
363 chain reaction (PCR) with the Taqman gene expres-
364 sion assays of mouse *tumor necrosis factor (tnf)- α* ,
365 *interleukin (il)-1 β* , *inducible nitric oxide synthase*
366 (*inos*), *chemokine (C-C motif) ligand 2 (ccl2)*, *il-*
367 *10*, *arginase 1*, *chitinase-like 3 (chi3l3)*, *mannose*
368 *receptor*, *C type 1 (mrc1)*, and *glyceraldehyde 3-*
369 *phosphate dehydrogenase (gapdh)* (all from Thermo
370 Fisher Scientific).

371 *Cell culture, autophagic, and apoptotic analysis,* 372 *and p-Tau detection*

373 SH-SY5Y neuroblastoma cells were obtained from
374 LGC Standards GmbH (Wesel, Germany) and main-
375 tained in DMEM supplemented with 10% fetal calf
376 serum (FCS; PAN Biotech, Aidenbach, Germany),
377 in a humidified incubator with 5% CO_2 at 37°C .
378 LC3-GFP-mRFP-transgenic autophagy reporter cell

379 line overexpressing monomeric red fluorescence pro-
380 tein (mRFP), green fluorescence protein (GFP) and
381 LC3 fusion protein serially [33] and SH-SY5Y cell
382 lines overexpressing wild-type (wt) and dominant-
383 negative (DN, with a substitution mutation: K130R)
384 human ATG5 have been established in our previous
385 study [31].

386 Cortical neurons were isolated from C57BL/6J
387 mouse embryos at E14-16 and cultured in neurobasal
388 medium supplemented with 2% B27 (Thermo Fisher
389 Scientific), 0.25% L-glutamine (Sigma-Aldrich) and
390 0.1% glutamate (Sigma-Aldrich). Neuronal cells
391 were used 10 days after culture.

392 To investigate the effects of EGb 761 on neuronal
393 autophagy, SH-SY5Y cells or primary cultured neu-
394 rons cultured at 7×10^5 cells/well in 6-well plate
395 were treated with EGb 761 at 0, 2.5, 5, 10, 50 and
396 $100 \mu\text{g/ml}$ for 24 h, or the major components of EGb
397 761 (ginkgolides A, B, and C, ginkgo flavonoids and
398 bilobalide) at different concentrations as indicated in
399 the results. Thereafter, the cell lysate was prepared
400 for the quantitative western blot analysis of LC3B,
401 SQSTM1/p62, beclin1 and ATG5 as described above
402 for the western blot analysis of brain homogenate.
403 The antibody used against ATG5 was a monoclonal
404 rabbit antibody (clone D5F5U) obtained from Cell
405 Signaling Technology.

406 For the apoptosis assay, SH-SY5Y cells were
407 treated with EGb 761 at different concentrations as
408 for autophagy assay. As positive controls of apop-
409 tosis induction, SH-SY5Y cells were treated with
410 H_2O_2 at 0.1, 0.3, and 0.6 mM. A rabbit monoclonal
411 antibody against cleaved caspase-3 (Asp175) (clone
412 5A1E, Cell Signaling Technology) was used to detect
413 activated caspase-3.

414 LC3-GFP-RFP-transgenic SH-SY5Y cells cul-
415 tured on coverslips in a 24-well plate (BD Bioscience,
416 Heidelberg, Germany) at a density of 1×10^5
417 cells/well were treated with EGb 761 as described
418 above. The formation of autophagic vacuoles was
419 evaluated under confocal microscopy (see the follow-
420 ing section).

421 To investigate the effects of EGb 761 on p-Tau
422 degradation, native SH-SY5Y cells were incubated
423 with EGb 761 as for autophagy assay. Thereafter,
424 cell lysate was prepared in RIPA buffer supple-
425 mented with proteinase and phosphatase inhibitors
426 (2 mM EDTA , 2 mM EGTA , $50 \text{ nM okadaic acid}$,
427 $5 \text{ mM sodium pyrophosphate}$, $2 \text{ mM sodium vana-}$
428 date , 1 mM DTT , 50 mM NaF , and protease inhibitor
429 mixture; Roche Applied Science). The protein levels
430 of p-Tau and t-Tau were detected with quantitative

431 western blot using mouse monoclonal antibodies
 432 against p-Tau (clone AT8) and t-Tau (clone 8F10,
 433 Dianova). The rabbit monoclonal antibody against β -
 434 actin (clone 13E5) was used to evaluate the loading
 435 amount of proteins. To investigate the potential role
 436 of autophagy, SH-SY5Y cells were pre-treated with

100 nM Bafilomycin B1 (Sigma-Aldrich) or vehicle
 for 1 h, and then with EGb 761 at 0, 5, and 10 μ g/ml
 for another 24 h in the presence of Bafilomycin B1
 or vehicle. Moreover, SH-SY5Y cells with overex-
 pression of human wt and DN ATG5 were treated with
 EGb 761 at 0, 5, and 10 μ g/ml for 24 h. After

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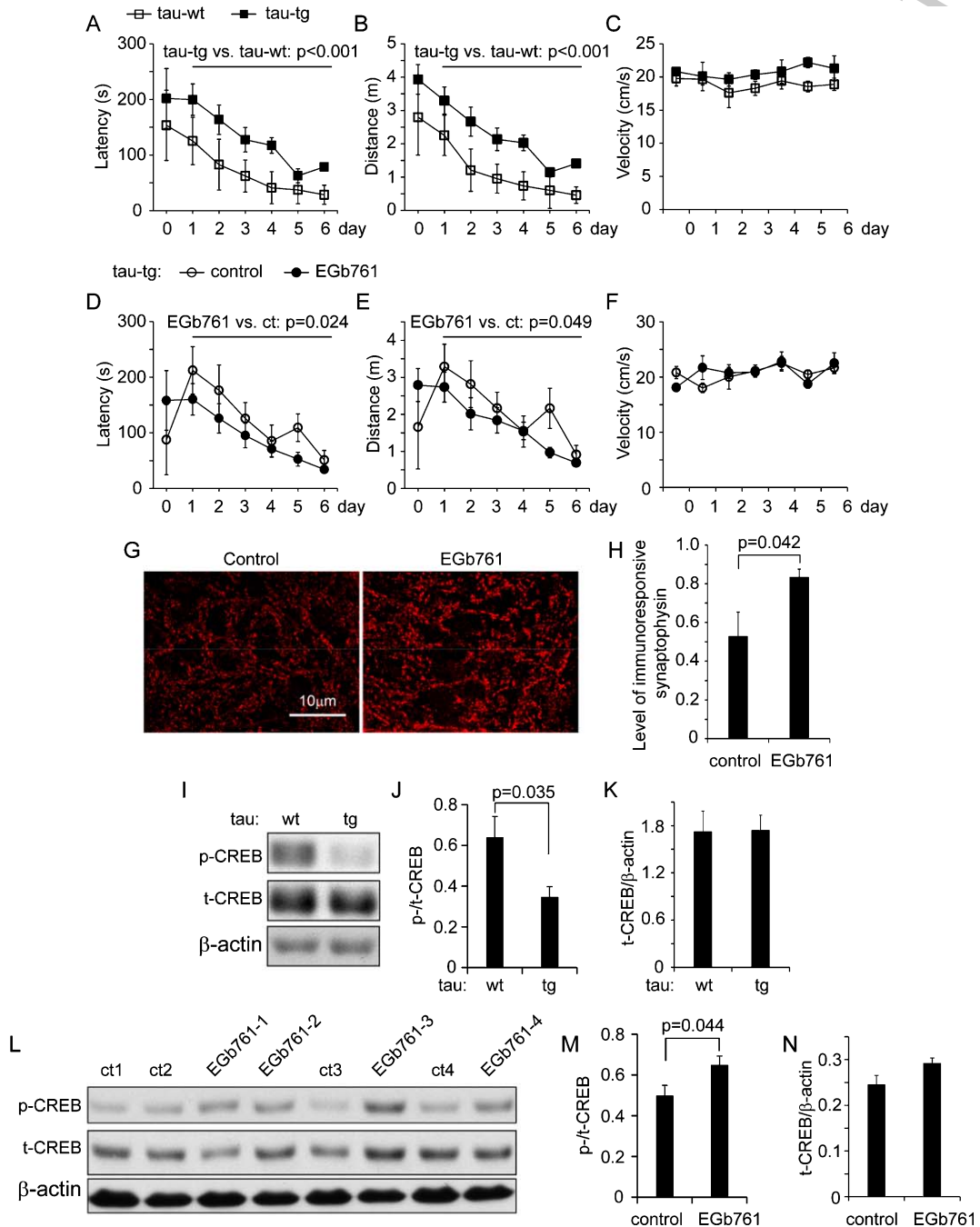


Fig. 1. (Continued)

443 treatments, p-Tau protein and LC3B were detected
444 with quantitative western blot.

445 *Confocal laser scanning microscopy*

446 To determine EGb 761-induced neuronal
447 autophagy, the neuronal autophagic reporter cells
448 were fixed with 4% PFA after treatment with EGb
449 761 as described in the last paragraph, and examined
450 using a Zeiss LSM 510 Meta Confocal Microscope
451 (Göttingen, Germany). From each treated reporter
452 cells, more than 15 areas using a 40× objective were
453 randomly chosen and >600 cells were counted. The
454 density of puncta with pure red or green (with weak
455 red) fluorescence in each cell was calculated as the
456 total number of puncta divided by the total number of
457 cells. The experiments were repeated independently
458 3 times.

459 To investigate the relationship between p-Tau
460 and autophagic vacuoles, brain sections (see above)
461 were incubated at 4°C, overnight with the rabbit
462 polyclonal antibody against SQSTM1/p62 (Cat.-
463 No: 5114, Cell Signaling Technology) and then
464 with Alexa488-conjugated goat anti-rabbit IgG. After
465 washing, the brain tissue was further incubated with
466 AT8 antibody (Thermo Scientific) overnight and then
467 Cy3-conjugated goat anti-mouse IgG (both second
468 antibodies were bought from Thermo Fisher Scien-
469 tific). Whether p-Tau co-localizes with autophagic
470 vacuoles was analyzed by confocal microscopy.

471 *Statistical analysis*

472 Data were obtained from at least three indepen-
473 dent experiments and presented as the mean ± SEM.

474 Two-way ANOVA was used to analyze the results of
475 water maze test with latency, distance, and velocity
476 as dependent variables and tau-transgenic expression,
477 treatments with EGb 761 and training days as fixed
478 factors. One-way ANOVA followed by Bonferroni,
479 Tukey, or Games-Howell *post hoc* test (dependent on
480 the result of Levene's test to determine the equal-
481 ity of variances) was used to examine the effects of
482 treatments (as factors) with EGb 761 or its major
483 components at more than two different concentrations
484 on the levels of autophagic proteins and tau pro-
485 teins (as dependent variables). The means between
486 two groups of values were compared with 2-tailed
487 unpaired Student *t*-test. All statistical analyses were
488 performed with SPSS version 19.0 for Windows
489 (IBM, New York, NY). Statistical significance was
490 set at $p < 0.05$.

491 RESULTS

492 *Treatment with Ginkgo biloba extract EGb 761* 493 *attenuates both AD-related cognitive deficits and* 494 *synaptic impairment in tau-transgenic mice*

495 To test anti-AD effects of EGb 761, we fed 4
496 and 7-month-old tau-transgenic littermate mice with
497 EGb 761-supplemented or control diets for 5 and 2
498 months, respectively. During feeding experiments, all
499 mice (40/48 [male/female] mice) did not display any
500 gross physical or behavioral abnormalities. No mice
501 died. Interestingly, the body weight (25.15 ± 0.31 g)
502 of mice receiving EGb 761 for 5 months was signifi-
503 cantly lower than that (26.42 ± 0.29 g) of mice fed
504 with control diets (*t* test, $t(31) = 2.632$, $p = 0.013$;
505 $n \geq 15$ per group), which suggests that treatments

Fig. 1. Long-term treatment with EGb 761 improves AD-related symptoms and neuronal plasticity in tau-transgenic mice. In the Morris water maze test, 9-month-old tau-transgenic (tg) spent significantly more time and traveled longer distance to reach the platform than their wild-type (wt) littermate mice (A and B; two-way ANOVA comparing tau-tg and tau-wt mice during the training phase from day 1 to day 6, $F(1, 288) = 26.514$, $p < 0.001$ for latency and $F(1, 288) = 31.805$, $p < 0.001$ for distance; $n = 36$ and 14 for tau-tg and tau-wt mice, respectively). Treatments with EGb 761, added to the regular diet for 5 months, significantly reduced the traveling time and distance of tau-transgenic mice in the training phase, when the tau-tg mice receiving standard diets were compared (D and E; two-way ANOVA comparing tau-transgenic mice receiving EGb 761-supplemented and control (ct) diets from day 1 to day 6, $F(1, 129) = 5.193$, $p = 0.024$ for latency, and $F(1, 129) = 3.947$, $p = 0.049$ for distance; $n = 12$ per group). The swimming speed did not differ between tau-tg and tau-wt mice, EGb 761-treated and control tau-tg mice and for each mouse at different time points (C and F; two-way ANOVA, $p > 0.05$). Brain sections derived from these EGb 761-treated and non-treated littermate tau-transgenic mice were stained with immunofluorescence-conjugated anti-synaptophysin antibodies (G). As shown by the intensity of fluorescence in the CA3 area of the hippocampus, the protein levels of synaptophysin were significantly increased by EGb 761 treatment (H; *t* test, $t(10) = 2.335$, $p = 0.042$; $n = 6$ per group). Moreover, phosphorylated and total CREB in the brain homogenate were quantified with western blots. The ratio of phosphorylated CREB (p-CREB) to total CREB (t-CREB) was significantly decreased in 9-month-old tau-tg mice compared with their littermate tau-wt mice (I - K; *t* test, $t(14) = -2.341$, $p = 0.035$; $n = 9$ and 7 for wt and tg groups, respectively). Interestingly, the ratio of p-CREB/t-CREB was significantly higher in EGb 761-treated 9-month-old tau-tg mice than in the littermate control tau-tg mice receiving control diets (L and M; *t* test, $t(16) = 2.184$, $p = 0.044$; $n = 8$ and 10 for EGb 761-treated and control groups, respectively). Moreover, treatments with EGb 761 appeared to increase the expression of total CREB protein (L and N; *t* test, $t(16.925) = 2.039$, $p = 0.057$).

with EGb 761 might inhibit the gain of body weight during growth.

Thereafter, we used the Morris water maze to examine the spatial learning ability of 9-month-old tau-transgenic mice. We observed that tau-transgenic mice spent significantly longer time and swam longer distance than their wild-type littermate controls to reach the target platform during the 6-day acquisition phase, which is the same as we observed in a previous study [27] (Fig. 1A, B; two-way ANOVA showing the difference between tau-transgenic and wild-type littermates, $p < 0.001$ for both latency and distance). When tau-transgenic littermate mice that had received either EGb 761-supplemented or standard diets for 5 months were compared, we observed that the tau mice receiving EGb 761 diets required significantly less time, and traveled significantly shorter distances before reaching the escape platform (Fig. 1D, E; two-way ANOVA showing effects of EGb 761, $p < 0.05$ for latency and distance, respectively). The swimming speed was not different between tau-transgenic and wild-type mice, between tau-transgenic mice fed with and without EGb 761-supplemented diets, and between different training dates for the same animal (Fig. 1C, F; two-way ANOVA, $p > 0.05$). In the probe trial, 48 h after the end of the acquisition phase with the removal of escape platform, tau-transgenic mice crossed the region for original platform with less frequency than their tau-wild-type littermate mice (7.68 ± 0.83 and 14.14 ± 0.90 for tau-transgenic and wild-type mice, respectively; t test, $t(29) = -5.266$, $p < 0.001$; $n \geq 14$ per group). However, treatment with EGb 761 failed to increase the visiting frequency of tau-transgenic mice (7.88 ± 1.52 and 8.67 ± 0.68 for EGb 761-treated and control mice, respectively; t test, $t(15) = 0.625$, $p = 0.625$; $n \geq 8$ per group). We also performed Morris water maze test for 9-month-old tau-transgenic mice that had received EGb 761-supplemented or standard diets for 2 months. These two groups of mice differed neither in the acquisition phase, nor in the probe trial (data not shown).

Synaptophysin is a major synaptic vesicle protein. We have observed that its protein level in CA3 region of hippocampus is reduced in tau-transgenic mice [27], which might represent the synaptic impairment in the AD mice. In this study, we observed that the structure of CA3 area appeared to be similar between 9-month-old tau-transgenic mice with and without 5-month treatments of EGb 761 (Fig. 1G); however,

treatment with EGb 761 for 5 months significantly increased the immunoreactivity for synaptophysin (Fig. 1H; t test, $p < 0.05$).

Overexpression of human tau was reported to dephosphorylate CREB in association with impaired synaptic plasticity and cognitive deficits in mice [15]. Our result showed that the phosphorylation level of CREB in our 9-month-old tau-transgenic mice was significantly lower than that in their wild-type littermate mice (Fig. 1I, J; t test, $p < 0.05$) supported the previous observation. Interestingly, the reduction of phosphorylated CREB in tau-transgenic mice was recovered by EGb 761 treatment, as the ratio of phospho-CREB/total CREB was higher in the brain homogenate derived from 9-month-old tau-transgenic mice receiving EGb 761-supplemented diets for 5 months than that from the control tau mice receiving standard diets for the same time (Fig. 1L, M; t test, $p < 0.05$). Moreover, we observed that EGb 761 not only affected the phosphorylation of CREB, but also tended to up-regulate the protein expression of CREB (Fig. 1N; t test, $p = 0.057$).

Treatment with Ginkgo biloba extract EGb 761 decreases the protein level of phosphorylated tau in tau-transgenic mouse brain

As p-Tau is one of the major pathogenic molecules in AD [1], we continued to examine whether the chronic treatment of EGb 761 reduced this neurotoxic protein in the tau-transgenic mouse brain. Brains were collected from 9-month-old tau-transgenic mice, which had been fed with and without EGb 761-supplemented diets for 5 months. When brain sections were immunologically stained with AT8 antibody against p-Tau (phosphorylation at Ser202/Thr205), we observed that the AT8-immunoreactive neurons in EGb 761-treated tau mice were significantly less than those in the littermate mice which were fed with control diets (Fig. 2A, B; EGb 761-treated versus control, 117.00 ± 14.52 cells/mm² versus 62.00 ± 11.94 cells/mm², t test, $p < 0.05$). We also sequentially extracted protein samples from the brain with increasing extraction strengths: RAB, RIPA, and FA using our established protocol [27]. The three fractions were then analyzed by western blotting with antibodies against human p-Tau (phosphorylation at Thr231) (Fig. 2C-E). We observed that the protein amount of p-Tau shown

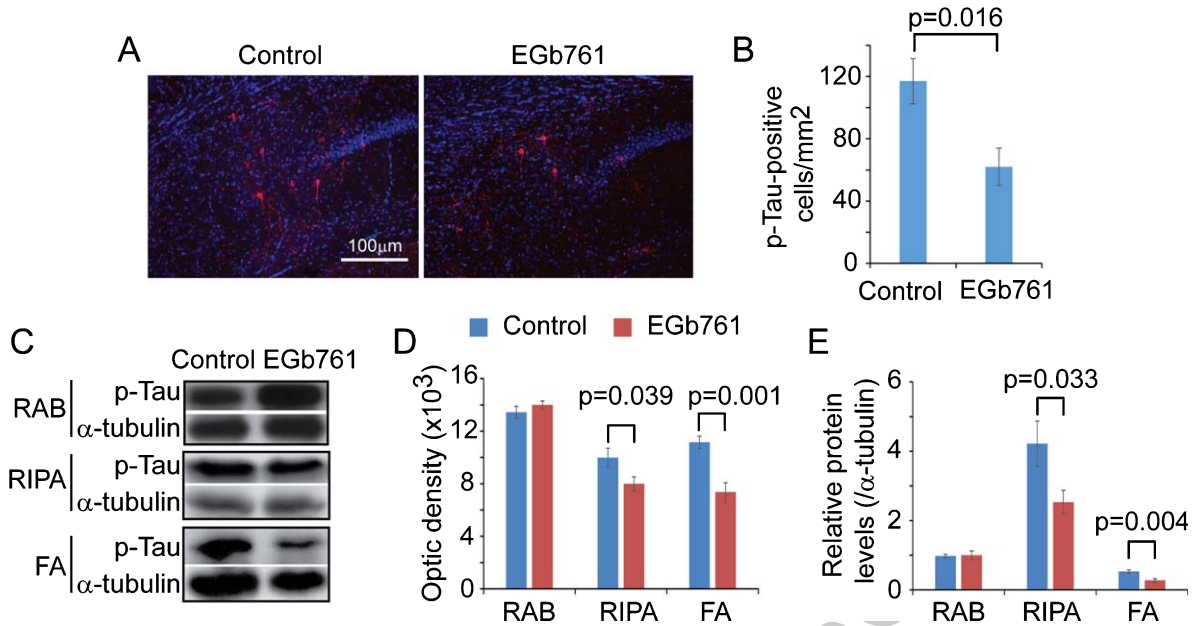


Fig. 2. Long-term treatment with EGb 761 reduces phosphorylated tau protein in the tau-transgenic mouse brain. Four-month-old tau-transgenic mice were treated with or without EGb 761 added to the diet for 5 months. Immunological staining with AT8 antibody against p-Tau showed that AT8-immunoreactive neurons in EGb 761-treated tau mice were significantly fewer than in littermate tau-transgenic mice that received control diets (A and B; *t* test, *t* (9) = -2.957, *p* = 0.016; *n* = 6 and 5 for EGb 761 treated and control groups, respectively). Tau protein was also extracted using RAB, RIPA, and FA buffers with increasing extraction strengths. Tau and α -tubulin proteins in each fraction of brain homogenate were detected by western blot with the same amount of protein loaded per lane (C). The protein level of p-Tau as shown by direct densitometry or the ratio of optic densities of p-Tau and α -tubulin was significantly lower in both RIPA- and FA-soluble fractions derived from EGb 761-treated tau-transgenic mice than that from control diet-treated littermate transgenic mice (D and E; *t* test, *t* (15) = -2.266, *p* = 0.039 and *t* (14.433) = -4.428, *p* = 0.001 for optic density in RIPA and FA fractions; *t* (15) = -2.369, *p* = 0.033 and *t* (15) = -3.426, *p* = 0.004 for ratios in RIPA and FA fractions; *n* = 10 and 7 for EGb 761-treated and control groups, respectively). The amount of p-Tau in RAB-soluble fraction was not changed by EGb 761 treatment (D and E; *t* test, *t* (15) = -1.051 and -1.022, *p* = 0.310 and 0.317, for optic density and ratios, respectively).

with absolute optic density in western blot or relative protein level adjusted by the protein amount of α -tubulin in the same sample, was 20%~40% lower in the RIPA or FA fraction from EGb 761-treated tau mice, than that from control diets-fed littermate tau-transgenic mice (Fig. 2D, E; *t* test, *p* < 0.05). EGb 761 did not change the p-Tau protein level in RAB fractions (Fig. 2D, E; *t* test, *p* > 0.05).

Quantitative western blot was also used to detect p-Tau in brains derived from 9-month-old tau-transgenic mice, which had been treated with and without EGb 761 for 2 months. In neither RIPA nor FA fraction, there was a significant difference in the protein level of p-Tau. Optical density of p-Tau from control and EGb 761-treated groups: 12.25 ± 3.44 and 10.19 ± 1.61 in RIPA fraction (*t* test, *t* (11) = 0.571, *p* = 0.579; *n* = 6 and 7, respectively), and 12.56 ± 1.88 and 11.42 ± 2.06 in FA fraction (*t* test, *t* (11) = 0.539, *p* = 0.601), respectively.

Treatment with Ginkgo biloba extract EGb 761 reduces pro-inflammatory activation in the tau-transgenic mouse brain

Neurotoxic inflammatory activation contributes to AD pathogenesis [34, 35]. We have recently observed that a long-term treatment with EGb 761 inhibits neuroinflammatory activation by activating microglial autophagy in A β PP-transgenic AD mice [28]. We continued to determine whether treatment with EGb 761 provided a similar anti-inflammatory effect in the tau-transgenic mouse brain. The histological analysis demonstrated that the number of Iba-1-positive cells in the hippocampus was significantly lower ($1.22 \pm 0.10 \times 10^4$ cells/mm³; *t*-test, *p* < 0.05) in 9-month-old tau-transgenic mice treated with EGb 761 in their diet for 5 months than in littermate tau mice ($1.81 \pm 0.10 \times 10^4$ cells/mm³) that were given diets without EGb 761 (Fig. 3A, B). However, the number

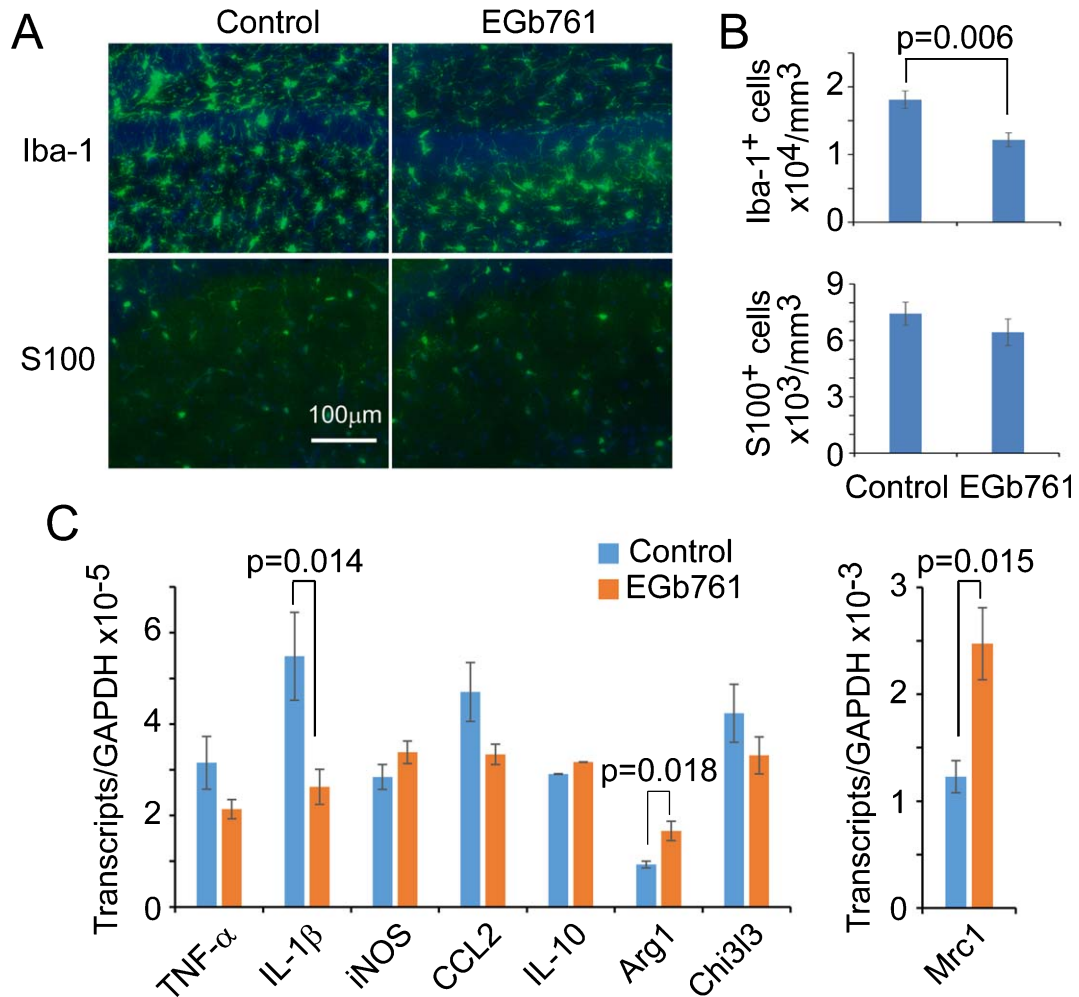


Fig. 3. Long-term treatment with EGb 761 shifts pro-inflammatory to anti-inflammatory activation in the tau-transgenic mouse brain. Four-month-old tau-transgenic mice received EGb 761-supplemented diets or control diets for 5 months. Microglia and astrocytes were immunofluorescently stained with Iba-1 and S100 antibodies, respectively (A; shown in green fluorescence). The number of microglia but not astrocytes in the entire hippocampus was reduced after 5 months of treatment with EGb 761 (B; *t*-test, $t(9) = -3.598$, $p = 0.006$ for Iba-1 cells, and $t(9) = -1.030$, $p = 0.330$ for S100 cells; $n = 5$ and 6 for control and EGb 761-treated groups, respectively). Transcription of pro-inflammatory gene *il-1 β* as determined by real-time PCR was reduced, whereas, transcription of anti-inflammatory gene markers: *arg1* and *mrc1* was increased in the brains of tau-transgenic mice by 5 months of treatment with EGb 761 (C; *t* test, $t(10) = -3.052$, 2.816, and 2.948, $p = 0.014$, 0.018, and 0.015, for *il-1 β* , *arg1* and *mrc1*, respectively; $t n = 5$ and 7 for control and EGb 761-treated mouse groups).

of S100-positive cells, representing astrocytes, was not changed by the 5-month treatments with EGb 761 (Fig. 3A, B; *t*-test, $p > 0.05$).

We further quantified transcripts of inflammatory genes in the brain. As shown in Fig. 3C, the transcription of pro-inflammatory gene, *il-1 β* , was markedly decreased whereas that of anti-inflammatory genes (*arg1* and *mrc1*) was significantly increased by a diet supplemented with EGb 761 for 5 months (*t*-test, $p < 0.05$). However, in 9-month-old tau-transgenic mice that received EGb 761 for only 2 months, the

transcription of inflammatory genes in the brain was not affected by EGb 761 (data not shown).

Treatment with Ginkgo biloba extract EGb 761 enhances autophagy in the brain and neuronal cells

We have recently observed that treatment with Ginkgo extract, EGb 761, increases the autophagic activity in the brain and microglia of A β PP-transgenic mice [28]. Indeed, the number of LC3A/B

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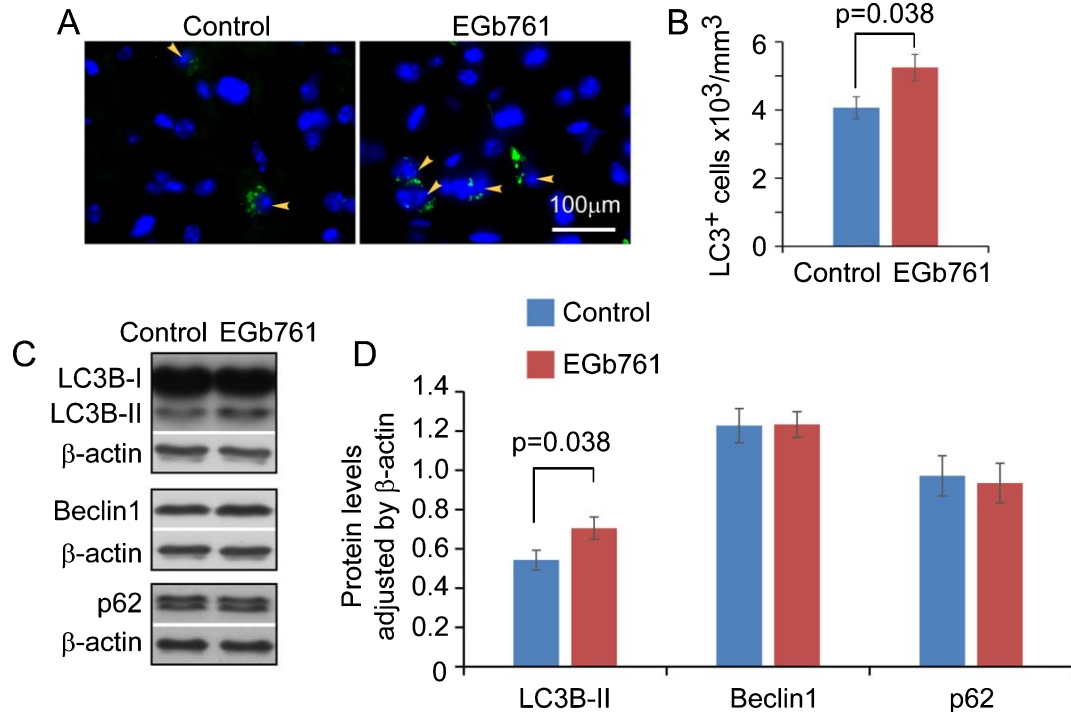


Fig. 4. Long-term treatment with EGb 761 enhances autophagic flux in the tau-transgenic mouse brain. Four-month-old tau-transgenic mice were fed with diets supplemented with or without EGb 761 for 5 months. After immunofluorescent staining of LC3A/B and stereological analysis with Optical Fractionator as a probe, significantly more LC3A/B-immunoreactive puncta-positive cells were detected in the cortex of EGb 761-treated tau-transgenic mice than in littermate tau mice receiving control diets (A and B; *t* test, $t(13) = 2.308$, $p = 0.038$; $n = 8$ and 7 for EGb 761-treated and control mice, respectively). Quantitative western blot analysis further showed that the protein levels of LC3B-II (C and D; *t* test, $t(36) = 2.150$, $p = 0.038$; $n = 19$ per group), but not p62/SQSTM1 ($t(22) = 0.684$, $p = 0.501$; $n = 12$ per group) and beclin1 ($t(12) = -0.050$, $p = 0.961$; $n = 7$ per group) were significantly higher in EGb 761-treated tau-transgenic mice than in littermate control mice.

immunoreactive puncta-positive cells in the cortex ($52.47 \pm 3.85 \times 10^3$ cells/mm³) of 9-month-old tau-transgenic mice receiving 5-month EGb 761-supplemented diets was significantly higher than the number in tau-transgenic littermates ($40.67 \pm 3.22 \times 10^3$ cells/mm³; Fig. 4A, B; *t* test, $p < 0.05$) receiving 5-month standard diets. Similarly, the protein level of LC3-II, but not p62/SQSTM1 and beclin1, in the brains of tau mice fed with EGb 761-supplemented diet was significantly higher than that in tau mice receiving standard diet (Fig. 4C, D, *t* test, $p < 0.05$).

Moreover, we cultured SH-SY5Y neuronal cells in presence of EGb 761 at different concentrations for 24 h. Treatment with EGb 761 at 5 μg/ml, but not at a lower concentration (2.5 μg/ml), significantly increased the protein level of LC3B-II in the cell lysate (Fig. 5A, B; one-way ANOVA followed by *post hoc* test, $p < 0.05$). Surprisingly, treatment of EGb 761 at increasing concentrations (10 and 50 μg/ml) gradually lost the autophagy-enhancing

effects (Fig. 5A, B). We did not observe that treatments with EGb 761 could significantly affect the protein levels of p62 (Fig. 5A, C; one-way ANOVA, $p > 0.05$). We also used a previously established LC3-GFP-mRFP-transgenic autophagy reporter cell line [27]. The mRFP-GFP-LC3 fusion protein showed both GFP and mRFP signals before the fusion of autophagosome with lysosomes; after fusion with lysosomes, the low pH of the lysosome resulted in bleaching of GFP fluorescence, and only the mRFP signal was visible. Similarly, after treatment with EGb 761 at 5 μg/ml, but not at higher concentrations (10, 50, and 100 μg/ml) for 24 h, the neuronal reporter cells showed significant increase in the numbers of both GFP/mRFP puncta and pure mRFP puncta (Fig. 5D-F; one-way ANOVA followed by *post hoc* test, $p < 0.05$). We also treated primary cultured neurons with EGb 761 to verify the results derived from SH-SY5Y cells. As shown in Fig. 5G and H, we observed that treatment of EGb 761 at 5 μg/ml constantly increased the protein level of

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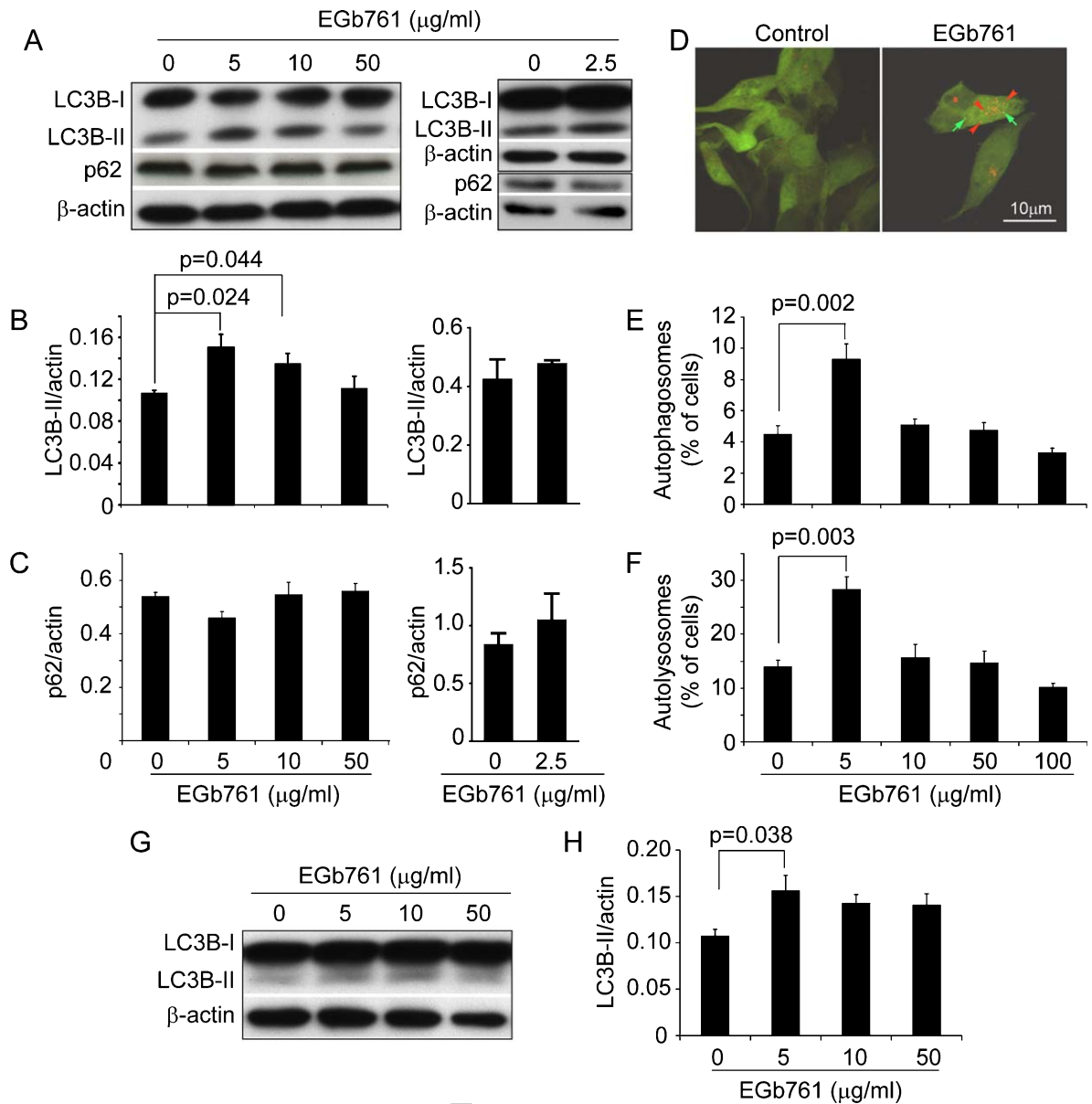


Fig. 5. Treatments with EGb 761 activate autophagy in neuronal cells. SH-SY5Y neuronal cells were treated with EGb 761 at 0, 2.5, 5, 10, and 50 $\mu\text{g/ml}$ for 24 h (A-C). Quantitative western blot was used to detect LC3B and p62/SQSTM1 in the cell lysates. One-way ANOVA shows that EGb 761 treatments regulate the protein levels of LC3B-II but not of p62: F (3, 32) = 8.957, $p < 0.001$; $n = 9$ per group, for LC3B-II; and F (3, 20) = 2.216, $p = 0.129$; $n = 6$ per group, for p62. A Games-Howell *post hoc* test reveals that treatments with EGb 761 at 5 and 10 $\mu\text{g/ml}$ significantly increase LC3B-II protein levels (B; $p = 0.024$ and $p = 0.044$, respectively). Autophagy-reporting cells expressing the fusion protein of LC3-GFP-RFP were treated with EGb 761 in the same way (D-F). Autophagosomes are shown as green puncta (overlap with weak red fluorescence, marked with green arrows) and autolysosomes are shown as red puncta (marked with arrowheads) (D). Similarly, one-way ANOVA shows that EGb 761 treatments enhance autophagic flux: F (4, 10) = 14.379 and 12.340, $p < 0.001$ and $p = 0.001$, for autophagosomes and autolysosomes, respectively; $n = 3$ per group. Tukey *post hoc* tests reveal that treatments with EGb 761 at 5 $\mu\text{g/ml}$ significantly increase the formation of both autophagosomes and autolysosomes (E and F; $p = 0.002$ and $p = 0.003$, respectively). Additionally, we treated primary cultured neurons with EGb 761 at 0, 5, 10, and 50 $\mu\text{g/ml}$ for 24 h and observed similar autophagy-enhancing effects (G and H; One-way ANOVA, F (3, 16) = 3.263, $p = 0.049$; $n = 4$ per group). A Tukey *post hoc* test reveals that treatment with EGb 761 at 5 $\mu\text{g/ml}$ significantly increases LC3B-II protein levels ($p = 0.038$).

LC3B-II (one-way ANOVA followed by *post hoc* test, $p < 0.05$). Treatments of EGb 761 at higher concentrations tended to decrease the LC3B-II protein levels (one-way ANOVA followed by *post hoc* test, $p > 0.05$).

In additional experiments, we detected protein levels of ATG5 and beclin1 in EGb 761-treated SH-SY5Y cells. We observed that treatments with EGb 761 increased protein amount of both ATG5 and beclin1 in a dose-dependent manner (Fig. 6A-C; one-way ANOVA followed by *post hoc* test, $p < 0.05$), except that treatment with EGb 761 at

50 $\mu\text{g/ml}$ started to decrease the protein level of beclin1 (Fig. 6C). In order to exclude the potential cytotoxic effects of EGb 761 at high concentrations (e.g., 10 and 50 $\mu\text{g/ml}$), which might alter autophagic activity, we routinely and carefully examined cells under microscope before and after treatments with EGb 761. We did not observe any significant morphological changes of cells when they were treated with EGb 761 at 0, 2.5, 5, 10, 50, and 100 $\mu\text{g/ml}$ for 24 h. We further detected apoptosis in EGb 761-treated SH-SY5Y cells. H_2O_2 was used as a positive control [36]. We observed that treatments of EGb 761

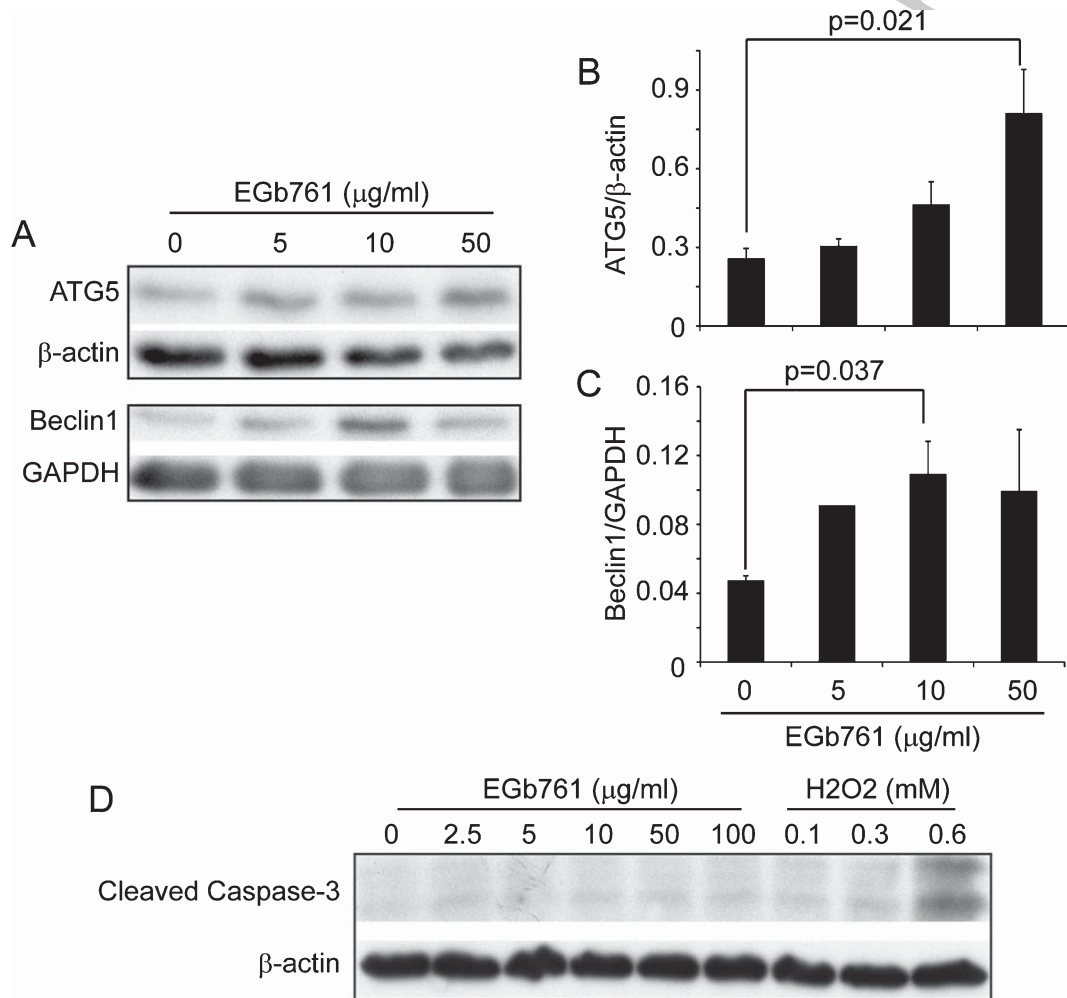


Fig. 6. Treatments with EGb 761 increase autophagy-associated protein levels without inducing apoptosis in neuronal cells. SH-SY5Y neuronal cells were treated with EGb 761 at 0, 5, 10, and 50 $\mu\text{g/ml}$ for 24 h. The expression of autophagy-associated proteins ATG5 and beclin 1 were detected with quantitative western blot (A-C). One-way ANOVA shows that EGb 761 treatments up-regulates protein levels of ATG5 and beclin1: $F(3, 12) = 8.964$ and 3.537 , $p = 0.002$ and 0.048 , for ATG5 and beclin1, respectively ($n = 4$ per group). Tukey *post hoc* tests reveal that treatment with EGb 761 at 50 $\mu\text{g/ml}$ significantly increases the protein level of ATG5 (B; $p = 0.003$), whereas, treatment with EGb 761 at 10 $\mu\text{g/ml}$ markedly elevates the protein level of beclin 1 (C; $p = 0.037$). Moreover, we detected protein levels of cleaved caspase-3 in EGb 761-treated SH-SY5Y cells and cells treated with H_2O_2 as a positive control of apoptosis induction. EGb 761 at different tested concentrations (0 – 100 $\mu\text{g/ml}$) did not, whereas 0.6 mM H_2O_2 did cleave caspase-3 (D, one typical experiment from three independent experiments).

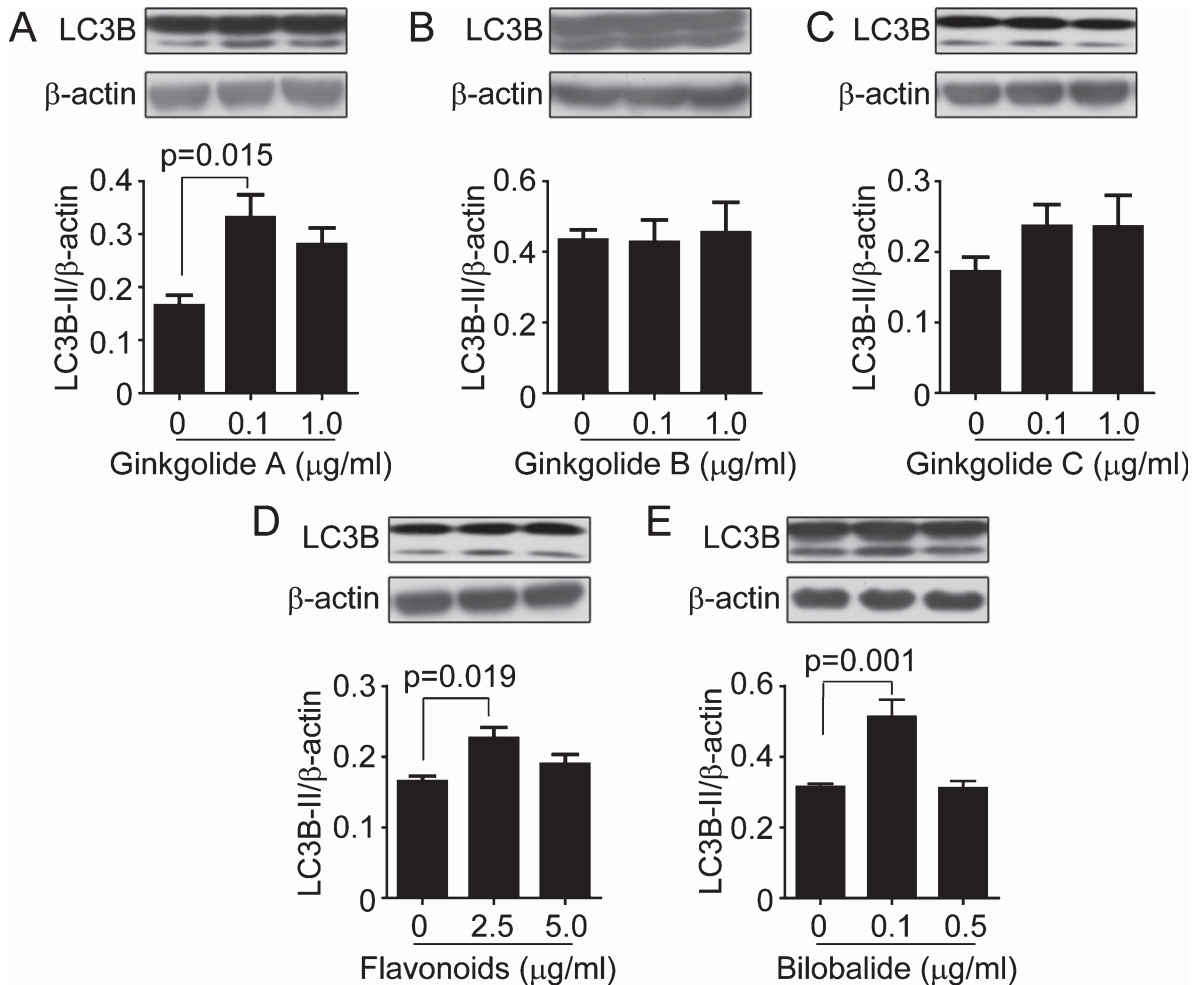


Fig. 7. The major components of EGb 761 differently activate autophagy in neuronal cells. SH-SY5Y cells were treated with the major components of EGb 761 (ginkgolides A, B, and C, flavonoids and bilobalide) at different concentrations for 24 h. Protein levels of LC3B in the cell lysate was detected with quantitative western blot. One-way ANOVA shows effects of ginkgolides A, flavonoids and bilobalide, but not ginkgolides B and C, on the neuronal autophagic activity: $F(2, 9) = 6.694, 0.050, 1.199, \text{ and } 5.917, p = 0.017, 0.951, 0.345, \text{ and } 0.023$, for ginkgolide A, B, and C, and flavonoids, respectively; $F(2, 15) = 14.145, p < 0.001$, for bilobalide. Tukey *post hoc* tests reveal that treatments with ginkgolide A at $0.1 \mu\text{g/ml}$ ($p = 0.015$), and flavonoids at $2.5 \mu\text{g/ml}$ ($p = 0.019$) significantly elevate the protein levels of LC3B-II. A Games-Howell *post hoc* test reveals that treatment with bilobalide at $0.1 \mu\text{g/ml}$ significantly increases LC3B-II protein levels ($p = 0.001$). Treatment with EGb 761 components at higher concentrations lost the autophagy-enhancing effects. $n = 4$ per group for ginkgolides A, B, and C, and flavonoids, and $n = 6$ per group for bilobalide.

728 at any concentrations tested did not activate caspase-
729 3, whereas administration of H_2O_2 at 0.6 mM did
730 induce the cleavage of caspase-3 into 17- and 12-
731 aminoacid fragments (Fig. 6D).

732 *Treatment with major components of EGb 761*
733 *differently enhances autophagy in neuronal cells*

734 After observing that treatments with EGb 761 at
735 higher concentrations always decreased autophagic
736 activity in neurons, we hypothesized that some
737 components in EGb 761 might inhibit neuronal

738 autophagy, especially when they were administered
739 at high doses. Thus, we treated cultured SH-SY5Y
740 cells with different major components of EGb 761:
741 ginkgolides A, B, and C, flavonoids, and bilobalide,
742 at different concentrations for 24 h. As shown in
743 Fig. 7, treatment with ginkgolide A or bilobalide
744 at $0.1 \mu\text{g/ml}$ strongly elevated the protein level of
745 LC3B-II (the ratio of LC3B-II/β-actin from the basal
746 level to the level after activation: for ginkgolide A,
747 $0.165 \pm 0.020 \rightarrow 0.331 \pm 0.086$; and for bilobalide,
748 $0.315 \pm 0.009 \rightarrow 0.513 \pm 0.049$; one-way ANOVA
749 followed by *post hoc* test for each component,
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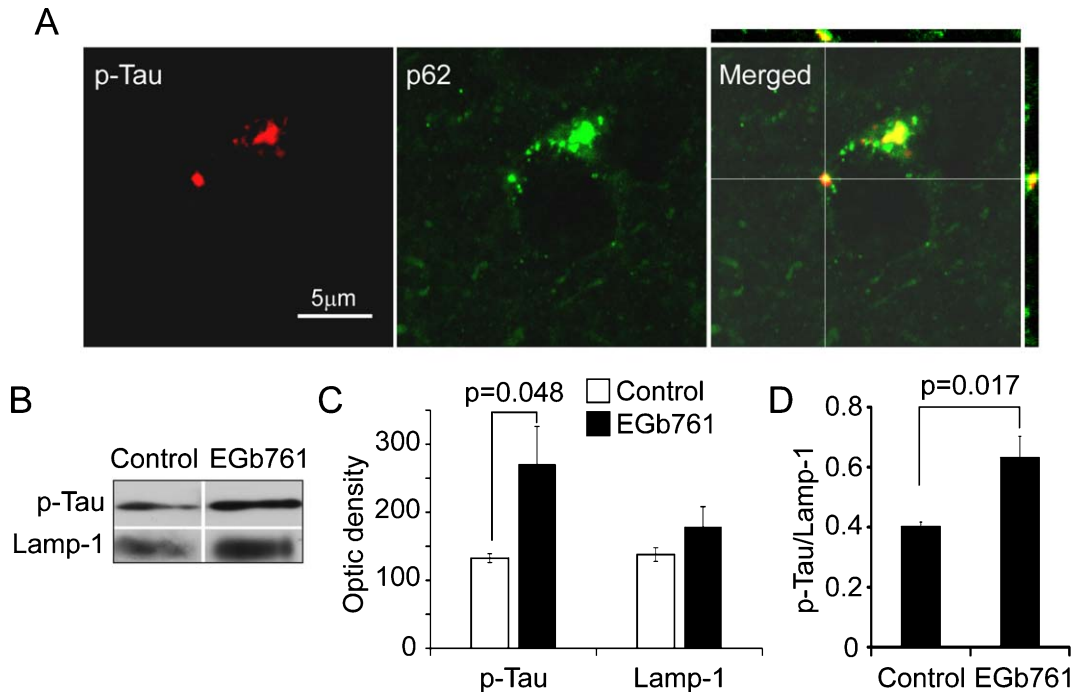


Fig. 8. Long-term treatment with EGb 761 facilitates the transport of phosphorylated tau protein into lysosomes. Four-month-old tau-transgenic mice were fed with a diet either containing or not containing EGb 761 for 5 months. The brain was collected for confocal microscopy analysis of the relationship between p-Tau and autophagic vacuoles. p-Tau was stained with red fluorescence-conjugated AT8 antibody and autophagic vacuoles were visualized by staining p62/SQSTM1 with green fluorescence-conjugated antibodies. Co-localization of p-Tau and p62/SQSTM1 could be observed with yellow fluorescence, superimposing fluorescent images of p-Tau and p62/SQSTM1, in individual cells (A). Moreover, the lysosomes-enriched brain homogenate fraction (B) was isolated by Percoll gradient centrifugation. The protein level of LAMP-1 and p-Tau protein was quantified with western blot. There is significantly more p-Tau protein ($t(6) = -2.485$, $p = 0.048$) or higher ratio of p-Tau/LAMP-1 ($t(6) = -3.291$, $p = 0.017$) in lysosomes isolated from EGb 761-treated mice than in lysosomes from littermate control tau mice (C and D; t test; $n = 4$ per group).

$p < 0.05$). Treatment with flavonoids also significantly increased the amount of LC3B-II protein in the cells, however, it had weaker effects than the treatment with ginkgolide A or bilobalide (Fig. 7D; the ratio of LC3B-II/ β -actin from the basal level to the level after activation with flavonoids: $0.165 \pm 0.007 \rightarrow 0.226 \pm 0.015$; one-way ANOVA followed by *post hoc* test, $p < 0.05$). Surprisingly, it was similar to the direct treatment with EGb 761 that the autophagy-enhancing effects of different EGb 761 components disappeared after the concentrations of components used to treat cells were increased (Fig. 7A, D, E). Ginkgolides B and C did not significantly change the protein levels of LC3B-II (Fig. 7B, C; one-way ANOVA, $p > 0.05$).

Autophagy-lysosome pathway mediates EGb 761-enhanced degradation of phosphorylated tau

After we had observed that a long-term oral treatment with EGb 761 simultaneously reduced cerebral

p-Tau load and enhanced autophagy in the brain and neuronal cells, we continued to investigate whether autophagy mediates the degradation of p-Tau. By confocal microscopy, p-Tau was shown to co-localize with autophagosomes or autolysosomes as stained with antibodies against p62/SQSTM1 in individual neurons (Fig. 8A). Then, we used our established protocol to isolate lysosomes from mouse brain [27, 31]. We quantified p-Tau protein levels in the gradient centrifugation fraction, in which LAMP-1 was enriched (Fig. 8B-D) and non-lysosomal proteins, such as calnexin and β -actin were absent (data not shown). We observed significantly more p-Tau protein in lysosomes isolated from EGb 761-treated 9-month-old tau-transgenic mice than in lysosomes from control littermate mice without EGb 761 treatment (Fig. 8C, D; t test, $p < 0.05$).

To examine the role of autophagy in p-Tau degradation, we went on treating SH-SY5Y cells with EGb 761 at different concentrations. We observed that EGb 761 at 5 and 10 μ g/ml significantly decreased

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790 protein levels of p-Tau but not total Tau (t-Tau)
 791 (Fig. 9A-C; one-way ANOVA followed by *post hoc*
 792 test, $p < 0.05$). Then, we treated SH-SY5Y cells with
 793 EGb 761 in the presence of a well-known autophagy
 794 inhibitor, Bafilomycin B1, at 100 nM. Indeed, co-
 795 treatment of Bafilomycin abolished the effect of EGb
 796 761 treatments on the reduction of p-Tau protein
 797 in SH-SY5Y cells (Fig. 9D, E; one-way ANOVA
 798 followed by *post hoc* test showing effects of EGb

799 761 treatments on p-Tau reduction in the presence
 800 of drug vehicle [$p < 0.05$] but not in the presence
 801 of Bafilomycin [$p > 0.05$]). To further test whether
 802 autophagy mediates EGb 761 treatments-induced
 803 p-Tau degradation, we used recently established SH-
 804 SY5Y cell lines overexpressing dominant-negative
 805 (DN) and wild-type (wt) human ATG5 [27, 31,
 806 37]. Overexpression of ATG5DN inhibits autophagy
 807 of SH-SY5Y cells [31]. We treated ATG5DN- and

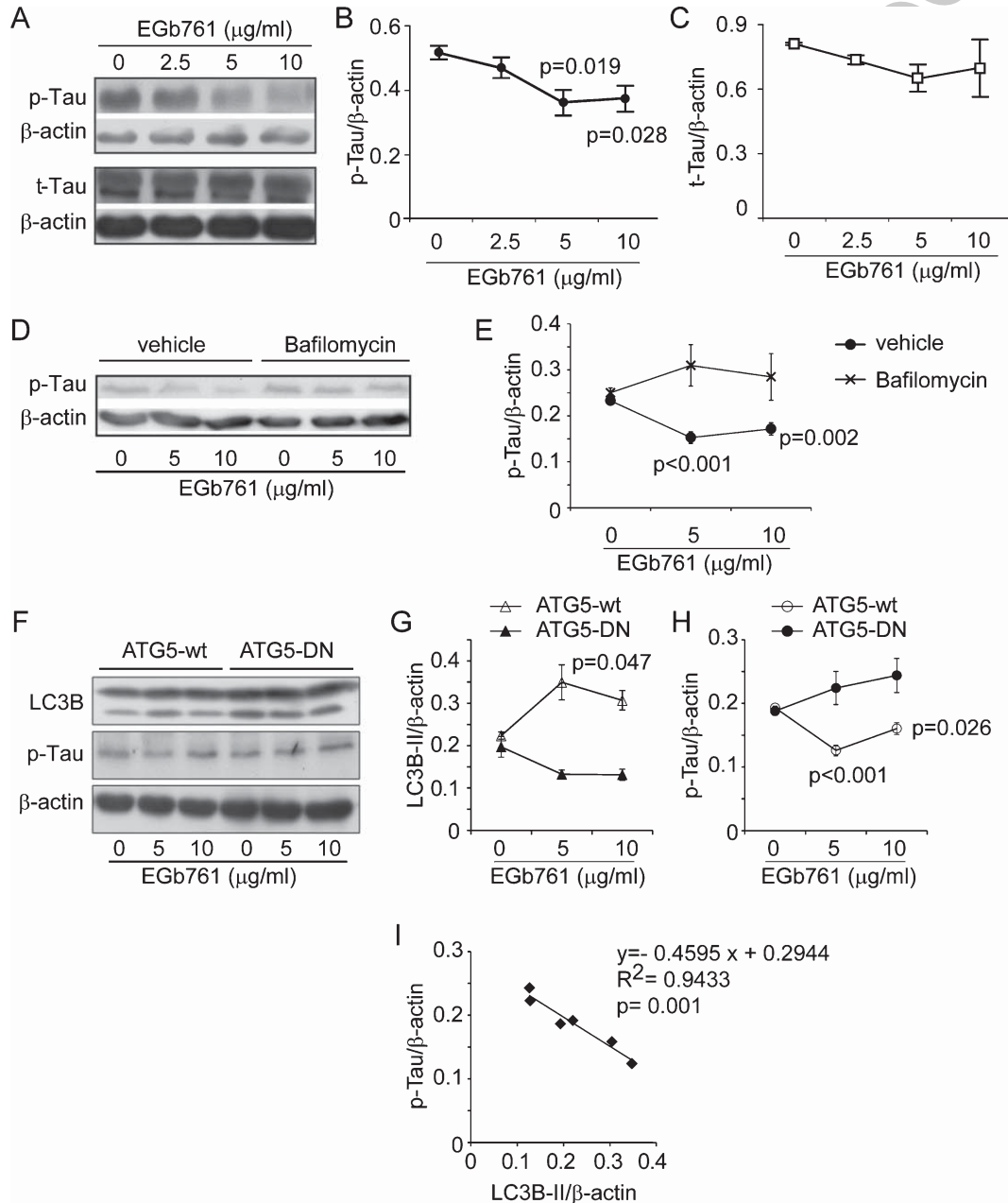


Fig. 9. (Continued)

wild-type ATG5-transgenic SH-SY5Y cells with EGb 761 at 0, 5, and 10 $\mu\text{g/ml}$, and then evaluated p-Tau level in SH-SY5Y cells 24 h after treatments. In wild-type ATG5-transgenic cells, we found that EGb 761 significantly increased LC3B-II protein level (Fig. 9F, G; one-way ANOVA followed by *post hoc* test, $p < 0.05$), but markedly reduced the amount of p-Tau protein especially when cells were treated with 5 $\mu\text{g/ml}$ EGb 761 (Fig. 9H; one-way ANOVA followed by *post hoc* test, $p < 0.05$). In ATG5DN-transgenic cells, neither the protein levels of LC3B-II nor of p-Tau were changed by treatment with EGb 761 (Fig. 9F-H; one-way ANOVA, $p > 0.05$). Interestingly, when Pearson correlation test between all LC3B-II/ β -actin and their correlated p-Tau/ β -actin was made, we could clearly observe that the increase of LC3B-II protein was closely related to the reduction of p-Tau protein in EGb 761-treated SH-SY5Y cells (Fig. 9I; $p = 0.001$).

Treatment with Ginkgo biloba extract EGb 761 inhibits the activity of p38-MAPK and GSK-3 β in tau-transgenic mouse brains

After demonstrating the autophagic degradation of p-Tau, we asked whether EGb 761 also affected p-Tau generation. Western blot was used to quantify the protein amount of phosphorylated and total p38-MAPK and GSK-3 β in the 9-month-old tau-transgenic mouse brain. Phosphorylation of GSK3 β at Ser9, which was detected in our study, inhibits

kinase activity [38]. Compared to the protein level derived from mice without EGb 761 treatment, the phosphorylation of p38-MAPK and GSK-3 β (at Ser9) in the brain homogenate from tau-transgenic mice was increased after 2-month treatments with EGb 761; however, after 5-month treatment with EGb 761, the protein level of phosphorylated p38-MAPK turned to be significantly decreased and the protein level of GSK-3 β phosphorylated at Ser9 was still elevated (Fig. 10A-C; *t* test, $p < 0.05$). The total protein levels of p38-MAPK and GSK-3 β were changed by neither short- nor long-term administration of EGb 761 (Fig. 10A-C; *t* test, $p > 0.05$).

DISCUSSION

AD is the main reason for dementia in the elderly. Currently, there are very few therapeutic options for this disease. In our study, we demonstrated that a long-term (5-month instead of 2-month) oral administration of *Ginkgo biloba* extract EGb 761 reduces the synaptic impairment and improves the cognitive function of tau-transgenic AD mice, which suggests that EGb 761 is a promising natural compound to prevent AD progress, although two recent dementia prevention trials (GEM and GuidAge) failed to prove that 5-6-year treatments with EGb 761 reduced the incidence of dementia in healthy subjects [39, 40].

As a potential therapeutic mechanism, treatments with EGb 761 strongly increase autophagic activity in neurons, which promotes the degradation of

Fig. 9. Inhibition of autophagy abolishes EGb 761 treatment-induced decrease of phosphorylated Tau protein in neuronal cells. SH-SY5Y neuronal cells were treated with EGb 761 at 0, 2.5, 5, and 10 $\mu\text{g/ml}$ for 24 h. Phosphorylated (p-) and total (t-) Tau were detected with quantitative western blot (A-C). One-way ANOVA shows effects of EGb 761 treatments on the protein levels of p-Tau (B; $F(3, 8) = 7.161$, $p = 0.012$; $n = 3$ per group), but not on t-Tau (C; $F(3, 8) = 0.903$, $p = 0.481$; $n = 3$ per group). A Tukey *post hoc* test further reveals that treatments with EGb 761 at 5 and 10 $\mu\text{g/ml}$ significantly decrease the protein levels of p-Tau ($p = 0.019$ and 0.028 , respectively). To investigate the role of autophagy in EGb 761-induced p-Tau degradation, we examined p-Tau in EGb 761-treated SH-SY5Y cells in presence of Bafilomycin B1 at 100 nM or drug vehicle for 24 h (D and E). One-way ANOVA shows that treatments with EGb 761 affected p-Tau protein levels in the presence of vehicle (E; $F(2, 36) = 14.942$, $p < 0.001$, $n = 13$ per group). A Games-Howell *post hoc* test reveals that treatments with EGb 761 at both 5 and 10 $\mu\text{g/ml}$ significantly reduce p-Tau proteins (E; $p < 0.001$ and 0.002 , respectively). However, in the presence of Bafilomycin, EGb 761 treatments lost the effects to regulate p-Tau levels (E; $F(2, 21) = 0.566$, $p = 0.576$, $n = 8$ per group). Furthermore, SH-SY5Y cells over-expressing dominant-negative (ATG5-DN) and wild-type (ATG5-wt) human ATG5 were treated with EGb 761 at 0, 5, and 10 $\mu\text{g/ml}$ (F-H). The LC3B-II protein level in ATG5-wt transgenic cells was significantly increased within 24 h after EGb 761 treatments (G; one-way ANOVA: $F(2, 9) = 8.344$, $p = 0.009$; $n = 4$ per group). A Games-Howell *post hoc* test reveals that treatment with EGb 761 at 5 $\mu\text{g/ml}$ significantly increases LC3B-II protein (G; $p = 0.047$). In ATG5-DN cells, treatments with EGb 761 appeared to significantly reduce the LC3B-II protein levels (G; one-way ANOVA: $F(2, 9) = 4.887$, $p = 0.037$; $n = 4$ per group). However, a Tukey *post hoc* test shows that treatments with EGb 761 at 5 and 10 $\mu\text{g/ml}$ did not really affect LC3B-II protein levels (G; $p = 0.059$ and 0.055 , respectively). In parallel, the p-Tau protein level in ATG5-wt cells was significantly decreased within 24 h after EGb 761 treatments (H; one-way ANOVA: $F(2, 18) = 20.631$, $p < 0.001$; $n = 7$ per group). A Games-Howell *post hoc* test further reveals that treatments with EGb 761 at 5 and 10 $\mu\text{g/ml}$ both significantly reduce p-Tau proteins (H; $p < 0.001$ and $= 0.026$, respectively). In ATG5-DN cells, p-Tau proteins were slightly increased after the same treatments with EGb 761, whereas, the changes were not statistically significant (H; one-way ANOVA: $F(2, 9) = 1.680$, $p = 0.240$; $n = 4$ per group). After LC3B-II/ β -actin and p-Tau/ β -actin in ATG5-DN and ATG5-wt cells after treatments with EGb 761 at different concentrations were pooled, the protein amount of LC3B-II was closely correlated with the protein level of p-Tau (I; Pearson correlation test, $p = 0.001$).

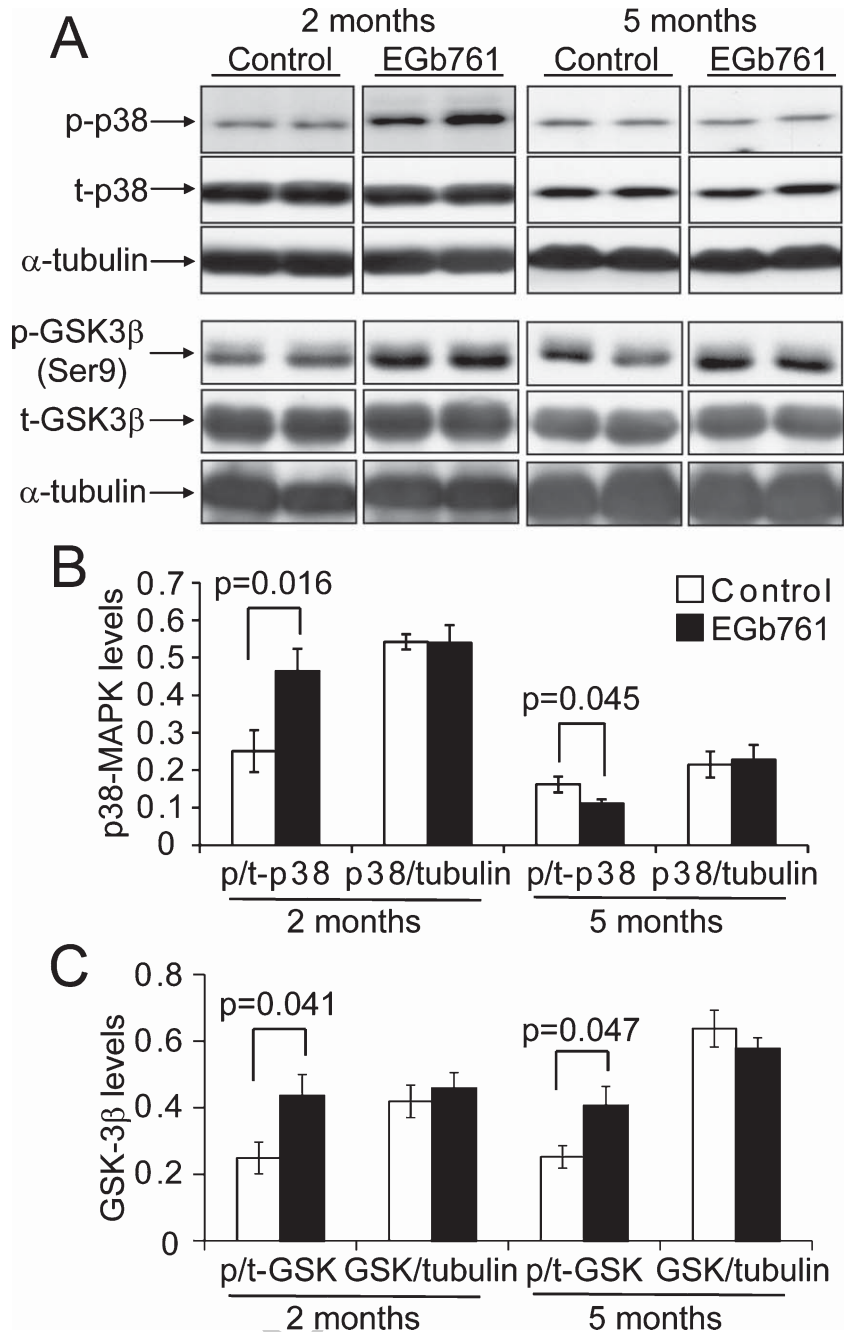


Fig. 10. Long-term treatment with EGb 761 inhibits the activation of p38-MAPK and GSK-3 β in tau-transgenic mouse brains. Four-month-old and 7-month-old tau-transgenic mice were treated with or without EGb 761 as a supplement in the diet for 5 and 2 months, respectively. The brain was collected for quantitative western blot analysis. The ratios of phosphorylated (p-) and total protein (t-) of p38-MAPK (p38) and GSK-3 β (phosphorylated at Ser9) were both increased after 2-month treatment with EGb 761 compared to control tau mice without EGb 761 treatment (A-C; *t* test, $t(27) = -2.572$ and -0.042 , $p = 0.016$ and 0.967 , for p/t-p38 and p38/tubulin, respectively; $n \geq 13$ per group; $t(21) = -2.181$ and -0.556 , $p = 0.041$ and 0.584 , for p/t-GSK and GSK/tubulin, respectively; $n \geq 10$ per group). After 5-month treatment with EGb 761, the ratio of p-/t- p38-MAPK was significantly decreased (B; *t* test, $t(19.334) = 2.148$ and -0.257 , $p = 0.045$ and 0.801 , for p/t-p38 and p38/tubulin, respectively; $n = 14$ per group), whereas the ratio of p-/t-GSK-3 β was still elevated compared to that in control mice (C; *t* test, $t(18) = -2.132$ and 0.970 , $p = 0.047$ and 0.343 , for p/t-GSK and GSK/tubulin, respectively; $n \geq 9$ per group).

866 p-Tau in the tau-transgenic mouse brain. It is evi- 918
867 denced by the following observations: 1) p-Tau 919
868 co-localizes with autophagic vacuoles in the brain; 920
869 2) treatment with EGb 761 facilitates the transporta- 921
870 tion of p-Tau into lysosomes; 3) treatments with EGb 922
871 761 and its major components increase the expres- 923
872 sion of autophagy-associated proteins, such as ATG5 924
873 and beclin1, and enhances neuronal autophagy; and 925
874 4) blocking autophagosome formation by inhibit- 926
875 ing ATG5 function [41], and interfering with the 927
876 fusion of autophagosomes and lysosomes by treat- 928
877 ing cells with Bafilomycin [42], both abolish the 929
878 effect of EGb 761 to decrease p-Tau level in cultured 930
879 neuronal cells. 931

880 However, how EGb 761 activates neuronal 932
881 autophagy remains unclear. For example, why treat- 933
882 ments with EGb 761 at higher concentrations (e.g., 934
883 50 $\mu\text{g/ml}$) lost the effects to enhance autophagy, 935
884 even when these treatments still up-regulate the pro- 936
885 tein levels of ATG5 and beclin1. We hypothesized 937
886 that there is at least one component among the 938
887 EGb 761 mixture, which serves inhibitory effects 939
888 on neuronal autophagy, especially at high concentra- 940
889 tions. Thus, we tested different major components of 941
890 EGb 761 for their autophagy-regulating effects. EGb 942
891 761 contains flavonoids and terpene lactones. We 943
892 observed that flavonoids activate autophagy, which 944
893 is in accordance with a previous observation that one 945
894 flavonoid aglycone, kaempferol, activates autophagy 946
895 and increases the mitochondrial turnover in neu- 947
896 ronal cells [43]. To our knowledge, we are the first 948
897 to report that ginkgolide A or bilobalide activates 949
898 neuronal autophagy, even with stronger effects than 950
899 flavonoids; ginkgolide B or C as a single com- 951
900 pound does not affect neuronal autophagy. Thus, 952
901 our observation did not support our hypothesis on 953
902 the autophagy-inhibiting EGb 761 components; how- 954
903 ever, it is helpful to modify the recipe of EGb 761 955
904 mixture to improve its therapeutic efficacy. 956

905 Both A β and p-Tau trigger microglial inflamma- 957
906 tory activation in the brain [35]. The inflammatory 958
907 activation, especially release of IL-1 β , promotes 959
908 phosphorylation and aggregation of tau by activat- 960
909 ing p38 α -MAPK in neurons, which also contributes 961
910 to the spreading of pathological tau in the brain 962
911 [44–46]. Interestingly, we observed that treat- 963
912 ments with EGb 761 reduce the transcription of 964
913 *il-1 β* gene, but increases the transcription of anti- 965
914 inflammatory genes, such as *arg1* and *mrc1*, in 966
915 tau-transgenic mouse brains. In our previous study, 967
916 we observed that treatment of EGb 761 enhances 968
917 autophagy and subsequent degradation of NLRP3

918 inflammasome in microglia, which reduces IL-1 β 919
920 secretion [28]. We believe that this mechanism also 921
922 plays a role in the inhibition of pro-inflammatory acti- 923
924 vation in tau-transgenic mice, although it needs to be 925
926 confirmed. 927

928 Apart from the autophagy-enhancing effect, EGb 929
930 761 treatment must serve other anti-AD effects, for 931
932 example, substantial experiments have shown that 933
934 treatments with ginkgolide B protect neurons [47]. 935
936 CREB is a transcription factor that can regulate the 937
938 syntheses of synapse- or memory-associated pro- 939
940 teins [48, 49]. We observed that EGb 761 treatment 941
942 attenuated the reduction of phosphorylated CREB 943
943 in tau-transgenic mouse brains, which corroborates 944
944 the observation of EGb 761-triggered neuropro- 945
945 tection in A β PP-transgenic mice [50]. Similarly, 946
946 long-term treatment with EGb 761 inhibits the acti- 947
947 vation of p38-MAPK and GSK-3 β , the two key 948
948 enzymes generating p-Tau [51], although it cannot 949
949 be excluded that the inhibition of CREB and p38- 950
950 MAPK phosphorylation was subject to the reduction 951
951 of p-Tau protein and inflammatory activation, 952
952 respectively. 953

954 Our study appears to show that a long-term treat- 955
955 ment is necessary for EGb 761 to enhance p-Tau 956
956 degradation and inhibit p-Tau generation in the AD 957
957 prevention. Similarly, in our previous studies, 5- 958
958 month but not 2-month treatment with EGb 761 959
959 inhibits the inflammatory pathology and prevents 960
960 the AD pathogenesis in A β PP-transgenic mice [28]. 961
961 Indeed, in the prevention trials (GEM and GuidAge) 962
962 based on large numbers of healthy participants [39, 963
963 40], when the participants who have received EGb 964
964 761 for at least 4 years were analyzed, the long- 965
965 term use of EGb 761 did reduce the incidence of 966
966 Alzheimer-type dementia [40]. 967

968 In summary, we have demonstrated that a long- 969
969 term oral treatment with EGb 761 improves the 970
970 cognitive function of tau-transgenic mice, which is 971
971 associated with the reduction of phosphorylated tau 972
972 and inhibition of neurotoxic pro-inflammatory acti- 973
973 vation in the brain. As potential mechanisms, we have 974
974 observed that EGb 761 treatment not only enhances 975
975 autophagy and increases the degradation of phospho- 976
976 rylated tau in neurons, but also reduce the generation 977
977 of phosphorylated tau by inhibiting the activity of 978
978 p38-MAPK and GSK-3 β . Together with our previous 979
979 observation on anti-AD effects of long-term treat- 980
980 ment with EGb 761 in A β PP-transgenic mice [28], 981
981 our study strongly supports that a long-term treat- 982
982 ment with EGb 761 is a promising option to delay 983
983 AD progression. 984

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