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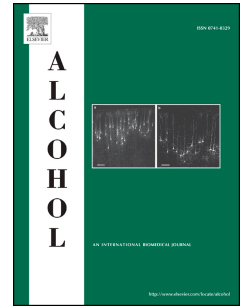
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1 **Effects of the hallucinogenic beverage ayahuasca on voluntary**
2 **ethanol intake by rats and on cFos expression in brain areas relevant to drug addiction**

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19 **Abstract**

20 Ayahuasca is a hallucinogenic infusion used in religious rituals that has serotonergic
21 properties and may be a potential therapeutic option for drug addiction. In this study, Wistar
22 rats had intermittent access to ethanol for 8 weeks, receiving water (control), naltrexone
23 (NTX, 2 mg/kg pc ip) or ayahuasca (Aya) at 0.5, 1 or 2X the ritual dose in the final 5 days. A
24 naïve group had only access to water. Ethanol intake was estimated throughout the experimen-
25 tant and cFos expression was evaluated in medial orbital cortex (MO), ventral orbital (VO), lat-
26 eral orbital (LO), nucleus accumbens (NAc) and striatum. Treatment with either NTX or Aya
27 (oral) did not decrease ethanol intake compared to the baseline level (5th to 7th week), but the
28 NTX group intake was significantly lower than control ($p < 0.05$). Ethanol significantly in-
29 creased cFos expression in the MO region for control ($p < 0.0001$), NTX ($p < 0.05$), Aya1
30 ($p < 0.001$) and Aya2 ($p < 0.0001$) groups. This increase was also observed in the VO for the
31 Aya1 group ($p < 0.05$), in the LO for the Aya2 group ($p < 0.01$), and in NAc for NTX and aya-
32 huasca groups ($p < 0.005$). Furthermore, NTX and Aya0.5 treatment decreased cFos expres-
33 sion compared to control in the MO region ($p < 0.05$ and $p < 0.01$, respectively), but only the
34 ayahuasca group reached levels not significantly different from the naïve group. Studies using
35 other protocols and dose regime are necessary to better investigate the impact of ayahuasca on
36 alcohol intake by rats to support the observations in humans. Additionally, the role of aya-
37 huasca in mediating cFos expression in other selected brain regions and its relationship with
38 the serotonergic/dopaminergic systems and drug addiction needs further investigation.

39

40 **Key words:** Alcohol; ayahuasca; behavior; cFos protein; rats

41 1. Introduction

42 Alcohol abuse is one of the five major risk factors for disease worldwide, linked to
43 over 200 health conditions, including cancer, liver and cardiovascular diseases, road injuries,
44 violence and suicides. In 2016, the harmful use of alcohol resulted in about 3 million deaths
45 worldwide, higher than that caused by tuberculosis, HIV/AIDS and diabetes, representing
46 5.3% of all deaths (WHO, 2018). Alcohol, like other drugs of abuse, is consumed for its posi-
47 tive reinforcing effect, and chronic exposure leads to changes in brain chemistry, withdrawal
48 symptoms and behaviors characteristic of alcohol use disorder (AUD), as described in the
49 most recent Diagnostic and Statistical Manual of Mental Disorders(DSM5) (NIH, 2016).
50 There are various pharmacological options to treat AUD, including naltrexone, acamprostate,
51 baclofen and topiramate, although most treatments show low to medium efficacy (Papacuer et
52 al., 2018).

53 The therapeutic potential of ayahuasca for various conditions and diseases has been
54 investigated, including for drug addiction (Frecka et al., 2016; Nunes et al., 2016;
55 Domínguez-Clavé et al., 2016; Hamill et al., 2019). Ayahuasca is a psychoactive beverage
56 used in spiritual rituals and for healing since ancient times by native groups from the Amazon
57 region (Luna, 2011, Labate and Cavnar, 2014). The beverage was introduced to non-
58 indigenous groups in the 1930's in Brazil, where it has been legally used in the religious con-
59 text since 1984 (CONAD, 2010). In recent decades, this use spread to other South American
60 countries, the United States, Canada, and some European countries (Labate&Jungaberle,
61 2011; Health Canada, 2017). Ayahuasca infusion is generally prepared by the decoction of the
62 leaves of *Psychotriavidis*, which contain N,N-dimethyltryptamine (DMT), a non-selective 5-
63 HT receptor agonist, and vines of *Banisteriopsiscaapi*, which contain β -carboline alkaloids
64 (mainly harmine, harmaline and tetrahydroharmine), which are monoamine oxidase (MAO)
65 inhibitors (SMITH et al., 1998; Domínguez-Clavé et al., 2016).

66 Changes in serotonin (5-HT) transmission and reuptake are associated with alcohol
67 addiction, and it has been shown that increasedserotonergic activity decreases ethanol intake,
68 while decreased serotonergic functioning increases ethanol intake as well as aggressive be-
69 havior (LeMarquand et al., 1994; Heinz et al., 2011). Decreased serotonin neurotransmission
70 in dependent animals may be associated with relapse drinking (Lê et al. 2008; Clapp et al.,
71 2008), and administration of fluoxetine, a 5-HT reuptake inhibitor, and of 5-HT_{1A} and 5-HT_{1B}
72 receptoragonists significantly reduced ethanol intake by rats (Murphy et al., 2002). The sero-
73 tonin transporter gene has been linked to excessive drinking, early-onset problem drinking,

74 alcohol dependence, anxiety and impulsiveness, probably due to a reduced serotonin availa-
75 bility in the brain (Johnson et al., 2008;Thompson and Kenna, 2016).

76 Transcribed *c-fos*mRNA and the translated protein product cFos can be used as a
77 marker of strongly activated neurons. Cruz et al. (2015) have hypothesized that Fos is ex-
78 pressed in the small number of neurons that received the highest levels of cue-induced glu-
79 tamatergic excitatory input during conditioned drug behavior. Various studies have investi-
80 gated the expression of Fos proteins, including cFos, in brain regions of rats exposed to alco-
81 hol, such as the prefrontal cortex, nucleus accumbens and striatum (Li et al; 2010; Jaramillo et
82 al. 2016; Sharma et al., 2016).

83 The objectives of this study were to evaluate whether ayahuasca treatment can de-
84 crease ethanol intake in rats submitted to intermittent access to the beverage, and to investi-
85 gate if ayahuasca affects i the neural activity (through cFos expression) in brain areas relevant
86 to drug addiction of ethanol-exposed animals.

87

88 **2. Material and methods**

89 **2.1. Ayahuasca**

90 The ayahuasca infusion was prepared by a religious group (*União do Vegetal*, UDV)
91 using *B.caapi* and *P. viridis* collected in the Federal District of Brazil (Pic-Taylor et al.,
92 2015). Soon after preparation, the beverage was frozen and stored at -20°C for lyophilization
93 (Liotop L101), with calculated dry matter content of 16% (w/v). Harmine and harmaline ana-
94 lytical standards were obtained from Sigma-Aldrich Co, DMT was synthesized as described
95 by Qu et al. (2011), and tetrahydroharmine was synthesized from harmaline according to
96 Callaway et al. (1996). The identity and purity of the synthesized compounds were deter-
97 mined by LC-MS/MS (Shimadzu LC system coupled to a mass spectrometer 4000 QTRAP,
98 Applied Biosystem), 1H and 13C -NMR (Varian Mercury Plus spectrometer 7.05 operating at
99 300 MHz for 1H and at 75.46 MHz for 13C) and LC-MSD-TOF (Agilent 1100 Series). The
100 ayahuasca material was analyzed prior to the experiment using GC-MS/MS (Trace Ultra cou-
101 pled with TSQ Quantum XLS Triple Quadrupole; Thermo Scientific), and showed to contain
102 0.12 mg/mL DMT, 1.19 mg/mL harmine, 0.08 mg/mL harmaline, and 0.15 mg/mL tetrahy-
103 droharmine.

104

105 **2.2. Animals**

106 A total of 64 male *Wistar* rats (about 7 weeks old and weighing ~ 250 g, with a maxi-
107 mum weight variation of 20%) were obtained from the University of São Paulo (Brazil) and

108 kept for 7 days at the animal facility of the Faculty of Medicine of the University of Brasilia
109 for acclimation prior to starting the study. Rats were housed individually in standard polypro-
110 pylene cages with stainless steel coverlids and pinewood shavings as bedding and kept under
111 controlled environmental conditions (12h-12h, dark-light; 22-25°C; 45-60% humidity). Fil-
112 tered water and a commercial laboratory rat feed (Labina, Purina®, Brazil) were provided *ad*
113 *libitum*. This study was conducted according to the Guide for the Care and Use of Laboratory
114 Animals and The Guideline for the Testing of Chemicals (OECD, 2003) and approved by the
115 University of Brasilia Ethics Committee on Animal Use (License N° 73276/2014).

116

117 **2.3. IA2BC (intermittent access to 2-bottle choice) protocol**

118 Sixty animals were exposed to 20% solution of ethanol (Dinâmica®) for 8 weeks ac-
119 cording to the IA2BC protocol (Carnicela *et al.*, 2014). Every Monday, Wednesday and Friday
120 at 05:00 pm (beginning of the dark period), the animals were weighed and put individually in
121 a cage with simultaneous access to a bottle of filtered water and a bottle of ethanol solution
122 (250 mL acrylic or plastic bottle). The bottles were weighed before being made available to
123 the animals, and re-weighed 24 hours later, at the end of each exposure day. The ethanol bot-
124 tle was then replaced by another water bottle for the 24-hour period of non-ethanol exposure,
125 except for the weekend (48-hour non-exposure period). In each exposure session, the position
126 of the ethanol bottle in the cage was switched to avoid any side preference by the animal. To
127 test for ethanol leakage during the experiment, an ethanol bottle was put in an empty cage and
128 weighed in each session, showing a loss of lower than 1 mL, which is considered acceptable
129 (Li *et al.*, 2010). In addition to the 60 animals submitted to the IA2BC protocol, 4 animals
130 (naïve, not exposed to ethanol) received only water *ad libitum* for 7 weeks, when they were
131 euthanized for biological sample collection.

132 The treatments started on the Monday of the 8th week of ethanol exposure just before
133 the ethanol session and continued daily until Friday. The animals were divided in 5 groups:
134 water (control, gavage), naltrexone (NTX, 2 mg/kg pc ip) and ayahuasca (Aya, gavage)
135 groups at 0.5X, 1X and 2X the ritual dose. NTX was prepared from the medicament Uninal-
136 trex® (tablet, GENOM®), which was solubilized in NaCl 0.9% in a sonicator to prepare a
137 final solution of 1 mg/mL. A 1X dose corresponds to 150 mL of ayahuasca taken by a 70 kg
138 person, and to 0.26 mg/kg bw DMT, 2.58 mg/kg bw harmine, 0.171 mg/kg bw harmaline and
139 0.33 mg/kg bw of tetrahydroharmine. The doses used were selected based on previous studies
140 conducted by our research group with the same material showing that ayahuasca intake on

141 consecutive days at doses 4X or higher leads to death of male and female Wistar rats (Santos
142 et al., 2017; Motta et al., 2018).

143 On Saturday morning, 18 to 20 hours after the beginning of the last ethanol session
144 and treatment (Friday, 05:00 PM), the animals were euthanized for biological samples collec-
145 tion..

146

147 *2.4. Euthanasia, blood collection and perfusion*

148 The animals were euthanized with a thiopental overdose (100 mg/kg bwi.p). The thor-
149 ax was opened, and 5 mL blood collected by cardiac puncture for hemogram analysis: leuco-
150 cytes, erythrocytes, hemoglobin, hematocrit, mean corpuscular volume, mean corpuscular
151 hemoglobin, platelet, mean platelet volume, blood cell distribution width, lymphocytes, mon-
152 ocytes and granulocytes. The animals were then submitted to a cardiac perfusion with phos-
153 phate-buffered saline (PBS, pH 7.4) for 10 minutes and 4% formaldehyde for 7 minutes
154 (Gage, et al., 2012). The head was separated from the body, the dura-mater carefully re-
155 moved, the brain weighed, transferred to a falcon tube containing 4% formaldehyde in PBS
156 for 24 hours, and then maintained in 30% sucrose solution in a refrigerator for at least 72
157 hours before processing. Additionally, the heart, lungs, liver, kidney and stomach were re-
158 moved, washed in saline, weighed and submitted to macroscopic evaluation.

159

160 *2.5. cFos immunohistochemistry*

161 Brain sections of 30 μm were obtained in an electric vibratome (KD-400; 0.15
162 mm/min; 100Hz); the sections were placed in an antifreeze solution (glycerol, ethylene glycol
163 and H_2O) and kept in the refrigerator for cFos counting. Three subsequent sections were ob-
164 tained from each brain region evaluated in this study, which are relevant to drug addiction:
165 medial orbital cortex (MO), lateral orbital cortex (LO) and ventral orbital cortex (VO) (breg-
166 ma 4.2 mm; Paxinos& Watson, 2007), nucleus accumbens (NAc) and caudate putamen (CPu,
167 striatum) (bregma 1.08 mm; Paxinos& Watson, 2007) (Fig. 1).

168 The brain sections were prepared for cFos counting according to the manufacturer's
169 instructions (Sigma-Aldrich). In summary, the sections were first blocked with 3% H_2O_2 in
170 methanol, washed in PBS with 0.3% triton X-100 (PBS-T) and blocked with normal goat se-
171 rum 0.01% diluted in PBS-T. Washing with PBS occurred between the following steps: incu-
172 bation with rabbit cFos antibody (F7799; 1:5000 dilution) for 48 hours at 4°C, incubation with
173 anti-Rabbit IgG (B8895; 1:800 dilution) for 2h, incubation with peroxidase anti-peroxidase
174 soluble complex rabbit antibody (PAP, 1:500 dilution) for 1.5 hours, and staining for 10

175 minutes in 3,3' - diaminobenzidine (DAB) 0.06% in PBS followed by 10 minutes in DAB
176 0.3% in H₂O₂. The prepared brain sections were washed again with PBS, placed on a slide,
177 dehydrated in graded ethanol, cleaned with xylene, mounted with Entellan® (Merck) and pro-
178 tected with a cover lid. cFos positive neurons were counted in a Leica DM 2000 microscope
179 (40x) with a Leica Application Suite (LAS) V4.1 Core. Areas (0.1 mm²) from each brain side
180 of MO, VO, LO, NAc and CPu regions were evaluated for cFos expression (total of 8 read-
181 ings per animal in MO and 2 readings per animal in others regions), as shown in Fig. 1. The
182 identity of the sample was blind to the evaluator.

183 **2.6. Statistical analysis**

184 Data were analyzed using GraphPad Prism 6.01 (September 21, 2012) by one-way
185 analysis of variance (ANOVA) followed by Tukey or Holm-Sidak test, or by Kruskal-Wallis
186 test and Dunn's multi-comparison test (non-parametric). Results are given as mean ± standard
187 error (SEM). In all cases, a difference was significant when $p \leq 0.05$.

188

189 **3. Results**

190 **3.1. IA2BC protocol for voluntary chronic ethanol consumption**

191 During the study, many rats with high ethanol intake showed signs of stress and ag-
192 gressiveness during handling, and some chewed the base of the bottle, leading to leakage of
193 the content and loss of the ethanol intake data. This occurred with 15 measurements, corre-
194 sponding to less than 1% of all expected measurements during the experiment (60 animals, 3
195 measurements/week, for 8 weeks).

196 According to Carnicella et al. (2014), when the IA2BC protocol is used to estimate the
197 decrease in ethanol intake due to a drug treatment, only excessive drinkers should be included.
198 In this study, we considered heavy drinkers those animals showing a mean ethanol intake of at
199 least 3 g/kg bw/24h during the first 7 weeks of exposure. Eight of the 60 animals that under-
200 went the protocol did not reach this threshold and were excluded. The remaining 52 animals
201 were submitted to treatment at the 8th week, while continuing the exposure protocol. The ani-
202 mals were randomly distributed among the treatment groups: control (n=10), NTX (n=11),
203 Aya0.5 (n=10), Aya1 (n=11) and Aya2 (n=10).

204 Fig. 2A shows the ethanol intake data of the 52 rats during the first 7 weeks of expo-
205 sure. The intake increased slowly during the first 4 weeks, became significantly higher in the
206 5th week, remaining constant until the 7th week, with a mean intake of 6.0 ± 0.13 g/kg bw/24 h
207 (5-7th weeks), which was considered the baseline level. Fig. 2B shows the ethanol intake dur-
208 ing the 8th week of exposure and 5 consecutive days of treatment. No significant differences

209 were found between the ethanol intake of treatment groups and the baseline level, but the nal-
210 trexone group intake (4.7 ± 0.35 g/kg bw/24 h) was significantly lower than control ($6.7 \pm$
211 0.57 g/kg bw/24 h; $p < 0.05$).

212

213 **3.2. Macroscopy, body and organ weights and hemogram**

214 No animal died during the experiment, but 5 animals exposed to ethanol showed im-
215 portant liver lesions (two from each control and NTX groups and one from the Aya2 group).
216 No macroscopic alterations were observed in the other organs of any animal. There was no
217 difference among the body weights and organ weights of naïve and ethanol-exposed animals,
218 except for the absolute brain weight, which was significantly higher than naïve (1.98 ± 0.10 g)
219 in the control ($p = 0.006$), NTX ($p = 0.037$), Aya0.5 ($p = 0.017$) and Aya2 ($p = 0.0084$)
220 groups). However, no differences were found when the brain weight was expressed relative to
221 body weight (data not shown). Hemogram results showed a significant decrease in hemoglo-
222 bin levels in the NTX group (13.6 ± 0.89 g/dL) compared with control (16.6 ± 0.59 g/dL;
223 $p=0.022$), and a significant increase of the mean corpuscular hemoglobin of the control group
224 (32.7 ± 0.54 g/dL) compared to naïve (30.3 ± 0.22 g/dL; $p = 0.0074$). No other significant
225 changes in the hemogram parameters were found (data not shown).

226

227 **3.3. cFos expression**

228 Fig. 3 shows the cFos counts of labeling nuclei in the five brain regions investigated in
229 this study. Slides of six animals were lost during the mounting procedure, and furthermore,
230 cFos was measured in brain regions of 46 animals. Naïve animals, not exposed to ethanol,
231 showed no or very little cFos expression (maximum of 4 counts in the MO), but ethanol in-
232 take induced expression in all regions, although statistical significance was not observed in all
233 cases.

234 In the MO (Fig. 3A), cFos expression was significantly higher than naïve in the con-
235 trol ($p < 0.0001$), NTX ($p < 0.05$), Aya1 ($p < 0.001$) and Aya2 groups ($p < 0.0001$) groups;
236 expression was significantly lower than control for the NTX ($p < 0.05$) and the Aya0.5 groups
237 ($p < 0.01$), but only the ayahuasca group achieved the levels of naïve group. Ethanol intake
238 significantly increased cFos expression in the VO for the Aya1 group ($p=0.035$; Fig. 3B), and
239 in the LO for the Aya2 group ($p < 0.01$; Fig. 3C), but it did not significantly affect the expres-
240 sion for any group in the striatum (Fig. 3D). In the NAc, treatment with naltrexone or aya-
241 huasca significantly increased cFos expression compared to the naïve group ($p = 0.022$ for
242 Aya0.5 group and $p < 0.005$ for the other groups); NTX and ayahuasca groups also had higher

243 (but not significant) levels of cFos compared to control (Fig. 3E). Fig. 4 illustrates the im-
244 munohistochemistry labeling of the various groups in the MO area.

245 **4. Discussion**

246 Alcohol use disorder (AUD) is characterized by a progressive escalation from low or
247 moderate to excessive alcohol consumption (Vilpoux et al., 2009). The intermittent access to
248 20% ethanol in a 2-bottle choice procedure (IA2BC) has been shown to induce a gradual es-
249 calation of voluntary ethanol intake by rats and is a useful approach for preclinical evaluation
250 of potential therapeutic options against AUD (Carnicella et al., 2014). In this protocol, the
251 ethanol intake by rats increases gradually, eventually reaching a significantly higher level
252 after the 4th week (5–6 g/kg bw/24 h), a level that is enough to induce pharmacologically
253 relevant blood ethanol concentrations (Carnicella et al., 2014; Li et al., 2010). Indeed, in the
254 present study, a significantly higher ethanol intake level was reached at the 5th week of expo-
255 sure, remaining constant up to the 7th week.

256 In the 8th week of exposure, the animals were treated for five consecutive days either
257 with water (control group), naltrexone, an opioid receptor antagonist widely used for treating
258 AUD (Anton, 2008), or ayahuasca at doses related to the doses used in a UDV religious ritual
259 (Aya0.5, Aya1, Aya2). The treatment with naltrexone did decrease significantly the ethanol
260 intake compared to the control group, but not compared to the baseline level reached at 5-7
261 weeks of exposure. Li et al. (2010) did find a decrease in ethanol intake by rats submitted to
262 the IA2BC protocol and treated with naltrexone when compared to both baseline and control
263 groups.

264 Ayahuasca used under religious/ritual context has been shown to have a positive effect
265 on individuals with AUD and other drug problems (Grob et al., 1996; Halpern et al., 2008;
266 Fabregas et al., 2010; Lawn et al., 2017). Thomas et al. (2013) showed that ayahuasca-
267 assisted therapy was associated with statistically significant improvements in factors related
268 to drug abuse among a rural aboriginal population in Canada. Oliveira-Lima et al. (2015)
269 showed that ayahuasca (i.p. injection) inhibits early behaviors of mice associated with initia-
270 tion and development of alcohol addiction, measured by the locomotor activity in an open
271 field apparatus. Preclinical studies with ayahuasca using laboratory animals, however, are
272 limited in the literature but are essential to investigate the mechanisms underlying the effects
273 without the religious/ritualistic aspects that may influence the outcome beyond the biological
274 response. In the present study, ayahuasca exposure for five consecutive days at doses around
275 the ritual dose (0.5, 1 and 2X) had no significant impact on ethanol intake by male Wistar rats
276 using the IA2BC protocol. Although this result does not corroborate the humans studies, it

277 should be repeated using other rat strains, including alcohol preferring rats, or other exposure
278 protocols (Boerngen-Lacerda et al., 2013; Carnicella et al., 2014; Goltseker et al., 2019).

279 lw)The psychoactive and potential therapeutic properties of ayahuasca are mainly due
280 to the compounds present in the plants used to prepare the beverage. DMT, present in *P. vi-*
281 *ridis*, is an agonist of 5-HT receptors, with the highest affinity for 5-HT_{2A} (39 nM) and 5-
282 HT_{2C} (127 nM)(Keiser et al., 2009), although 5-HT_{2C} showed an important desensitization to
283 DMT over time (Smith et al., 1998). After ayahuasca consumption, the degradation of the
284 orally inactive DMT in the gastrointestinal tract is prevented by the β -carbolines present in the
285 *B. caapi* (harmine and harmaline), which are MAO A inhibitors (Santillo et al., 2014). Tetra-
286 hydroharmine is not a strong MAO inhibitor, but possibly contributes to the neuroactivity of
287 the infusion by inhibiting the uptake of serotonin at presynaptic sites, like other 1-methyl-
288 tetrahydro- β -carbolines (Buckholtz and Boggan, 1977; Airaksinen et al., 1980).

289 Like other drugs of abuse, alcohol increases firing of the ventral tegmental area pro-
290 jecting to the NAc, which increases dopamine release, a process that is mediated by other
291 signaling systems, including the 5-HT (Clapp et al., 2008). Indeed, 5-HT₂ receptors modulate
292 dopamine release in the NAc (Deurwaerdère et al., 2004; Navailles et al., 2004;Boerngen-
293 Lacerda et al., 2013), although there are conflicting evidences on how this modulation affects
294 drug use (Boerngen-Lacerda et al., 2013). Deurwaerdère et al. (2004) showed that constitu-
295 tively active 5-HT_{2C} receptors are responsible for a tonic inhibitory control on nigrostriatal
296 and mesolimbic dopamine neuronal pathways. Cocaine increased dopamine levels in the NAc
297 and striatum, being boosted by the 5-HT_{2C} receptor antagonists (SB 206553 and SB 242084),
298 while the agonist Ro 60-0175 failed to exert this effect but reduced the increase in dopamine
299 outflow induced by haloperidol (Navaille et al., 2004). Canal and Murnane (2017) proposed
300 that activation of 5-HT_{2C} receptors on NAc shell could inhibit potassium Kv1.x channels,
301 enhancing the anti-cocaine addiction mechanism, which may explain the non-addictive nature
302 of classic 5-HT₂ receptor agonist hallucinogens, such as LSD. Indeed, Liester & Prickett
303 (2012) hypothesized that the positive ayahuasca effect observed by some authors on drug
304 abuse and addiction involves the reduction of dopamine levels in the mesolimbic system as
305 result of agonism on the 5-HT₂ receptors by the DMT present in the infusion.

306 On the other hand, Lankford and Myers (1996) showed that amperozide, a 5-HT_{2A} an-
307 tagonist, reduced ethanol consumption by rats. Furthermore, Boerngen-Lacerda et al. (2013)
308 showed that mianserin, an antagonist/inverse agonist of 5-HT₂ receptor, and ketanserin, an
309 antagonist of 5-HT_{2A} receptor, blocked the development of ethanol-induced sensitization in
310 mice, while daily co-administration of fluoxetine and paroxetine (selective serotonin reuptake

311 inhibitors) during 28 days of ethanol treatment potentiated this effect. A yet non-published
312 study conducted by our research group has shown that chronic exposure to ayahuasca for 28
313 days did increase serotonin levels in the brain (without the hippocampus) of Aya2 Wistar rat
314 group compared to control. Ayahuasca intake did not affect dopamine levels but significantly
315 increased the levels of its main metabolite DOPAC (3,4 dihydroxyphenyl acetic acid) at the
316 Aya1 and Aya2 doses compared with controls. The impact of ayahuasca exposure on dopa-
317 mine/serotonin levels of specific brain areas and its role in drug addiction needs further inves-
318 tigation.

319 Chronic use of ethanol and other drugs leads to a series of adaptive responses in the
320 mesolimbic dopaminergic system, including a change in transcript factors and gene expres-
321 sion (Clapp et al., 2008), such as those coding for Fos proteins (Cruz et al., 2015; Nestler,
322 2012; Perroti et al., 2008). George et al. (2012) found a significant increase in Fos protein
323 expression in the medial prefrontal cortex and the central nucleus of the amygdala in rats
324 trained in the IA2BC protocol. Increased cFos expression was also found in the orbitofrontal
325 cortex (OFC) during alcohol seeking in animals (Liu and Crews, 2015; Vilpoux et al., 2009).
326 Jupp et al. (2011a,b) showed that cFos was elevated during reinstatement of alcohol seeking
327 in the OFC, and both reinstatement and cFos expression were reduced by treatment of SB-
328 334860, an antagonist of the orexin receptor-1, a neuropeptide that can selectively increase
329 ethanol consumption (Schneider et al., 2007). Sharma et al. (2014) also showed a significant
330 cFos increase in the nucleus accumbens of rats exposed to alcohol after direct infusion in the
331 anterior basal brain region, although Jaramillo et al. (2016) found that alcohol (1 g/kg, intra-
332 gastric) decreased cFos expression in the median prefrontal cortex (mPFC) and NAc. Using
333 the IA2BC protocol, Li et al. (2010) showed that alcohol intake by Wistar rats significantly
334 increased Δ FosB (a FosB truncated spliced variant) expression in the NAc core, dorsolateral
335 striatum and LO, but not in the NAc shell, dorsomedial striatum and mPFC. This effect was
336 reversed by naltrexone treatment in all regions, except in the mPFC. In the present study, eth-
337 anol exposure using the IA2BC protocol increased cFos expression in all investigated brain
338 sections, but significance was mainly found in the MO and NAc. Naltrexone and ayahuasca at
339 the lower dose (Aya0.5) significantly decreased cFos expression compared to controls in the
340 MO region, although this decrease was enough to reach cFos levels not significantly different
341 from naïve animals only for the Aya0.5 group. Higher ayahuasca treatment doses did not
342 show any impact on cFos levels caused by alcohol exposure. These results seem to indicate a
343 protective effect of ayahuasca at low levels in this region. On the other hand, treatment with
344 either naltrexone or ayahuasca increased significantly cFos expression in the NAc compared

345 to naïve animals, an increase that was not found in controls. Indeed, neuronal activation indi-
346 cated by c-Fos was also observed by Pic-Taylor et al. (2015) in the dorsal raphe nuclei,
347 amygdaloid nucleus, and hippocampal formation brain areas of rats treated once at 30X aya-
348 huasca dose. All together, these results confirm the need to investigate different activated
349 brain areas involved in drug addiction to build up a body of mechanistic information involved
350 in AUD and other drug disorders and the role that ayahuasca may play to treat them.

351 This is the first study that investigated the effects of ayahuasca on ethanol intake of al-
352cohol addicted rats. However, the study has some limitations that should be discussed. The
353 ethanol intake was not measured at an earlier stage (30-60 min. after exposure), which could
354 have captured an early intake change due to the ayahuasca treatment, which was not possible
355 after 24 hours. It is also possible that no impact on ethanol intake was observed because the
356 ayahuasca doses tested were too low (up to 2X the ritual dose). However, as it was mentioned
357 previously, daily doses at 4X or higher are lethal do the animals and could not be tested. One
358 option for future studies is to use intermittent exposure at higher doses, which was shown to
359 be safeto the animals (Santos et al., 2018). Furthermore, water and total fluid intake should
360 also be measured to evaluate alcohol preference during the IA2BC protocol.

361

362 **5. Conclusions**

363 Human studies have shown a potential use of ayahuasca to treat drug addiction. In this
364 study, ayahuasca daily exposure for 5 days at doses up to 2X the ritual dose did not affect
365 chronic intermittent voluntary ethanol intake by rats. However, cFos expression due to ethanol
366 intake was partially reversed in the medial orbital cortex brain region by naltrexone, a medic-
367 ament used to alcohol use disorder, and it was reversed to levels not significantly different
368 from naïve group by ayahuasca treatment at the lowest dose tested (0.5X the ritual dose). The
369 potential role and pathways for mediating cFos expression by ayahuasca in selected brain
370 regions and its relationship with the serotonergic/dopaminergic systems and drug addiction
371 needs further investigation, with the goal of understanding the mechanisms involved in the
372 effects observed in human studies.

373 **Contributors**

374 The last and corresponding author conceptualized the study and prepared the first draft
375 of the manuscript. The first author conducted most of the experiments, with the contribution
376 of the second and third author. All contributors to this manuscript reviewed the manuscript
377 drafts and approved the submission.

378

379 **Conflict of interest**

380 None

381

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386 tetrahydroharmine.

387

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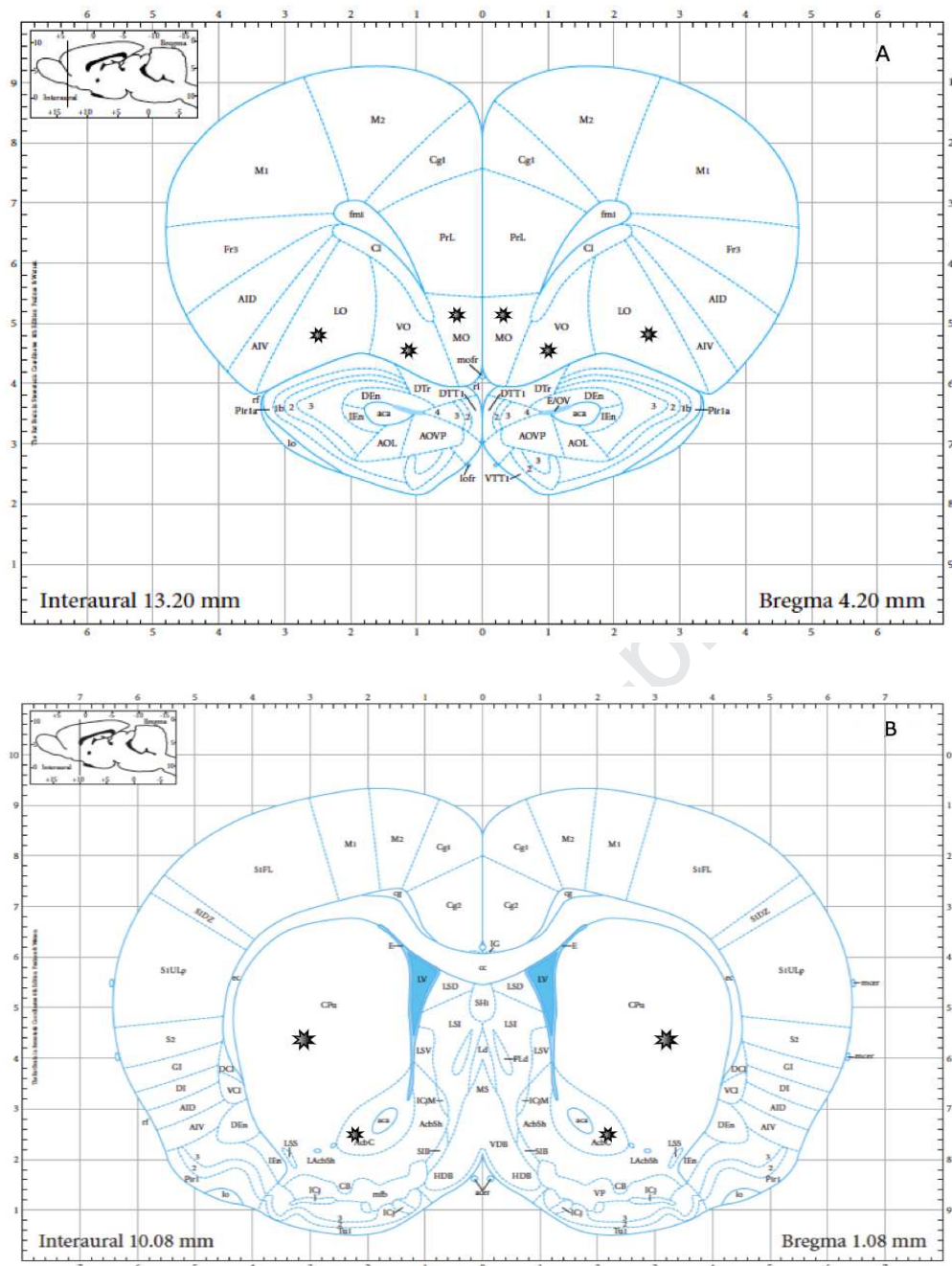
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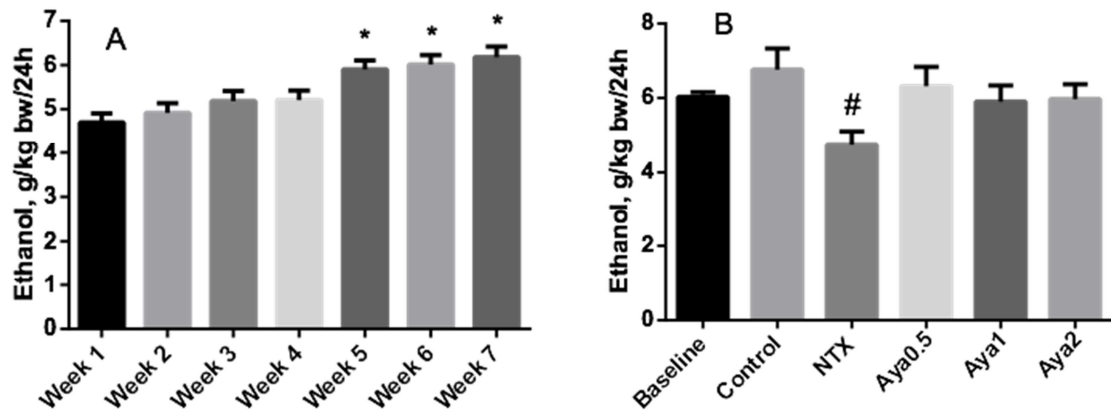
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557 **Fig. 1.** Brain areas evaluated. A: MO:medial orbital cortex; LO: lateral orbital cortex; VO:
 558 ventral orbital cortex; B: AcbC accumbens nu (nucleus accumbens, NAc), core; CPu: caudate
 559 putamen (striatum). Adapted from Paxinos & Watson (6th ed.; 2007).

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 564 **Fig. 2.** (A) Ethanol intake during the first 7 weeks by the 52 heavy-drinker rats. (B) Baseline
 565 ethanol intake (weeks 5 to 7) and intake during the 8th week and treatment. Control, n= 10;
 566 NTX, n= 11; Aya0.5, n= 10; Aya1, n= 11; Aya2, n= 10. Mean \pm SEM, one-way ANOVA
 567 followed by Turkey. * significant from weeks 1 to 4; # significant from control.

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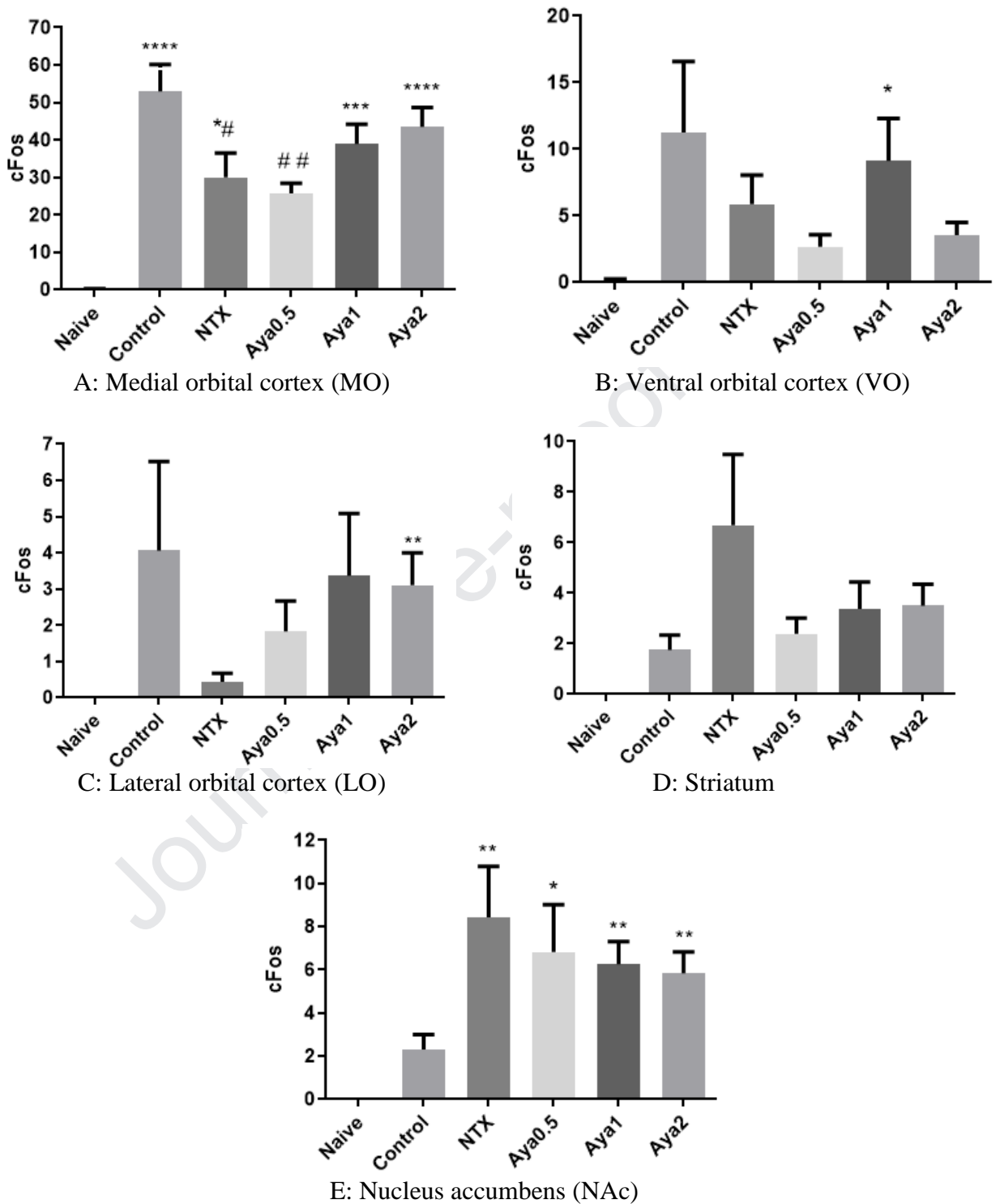
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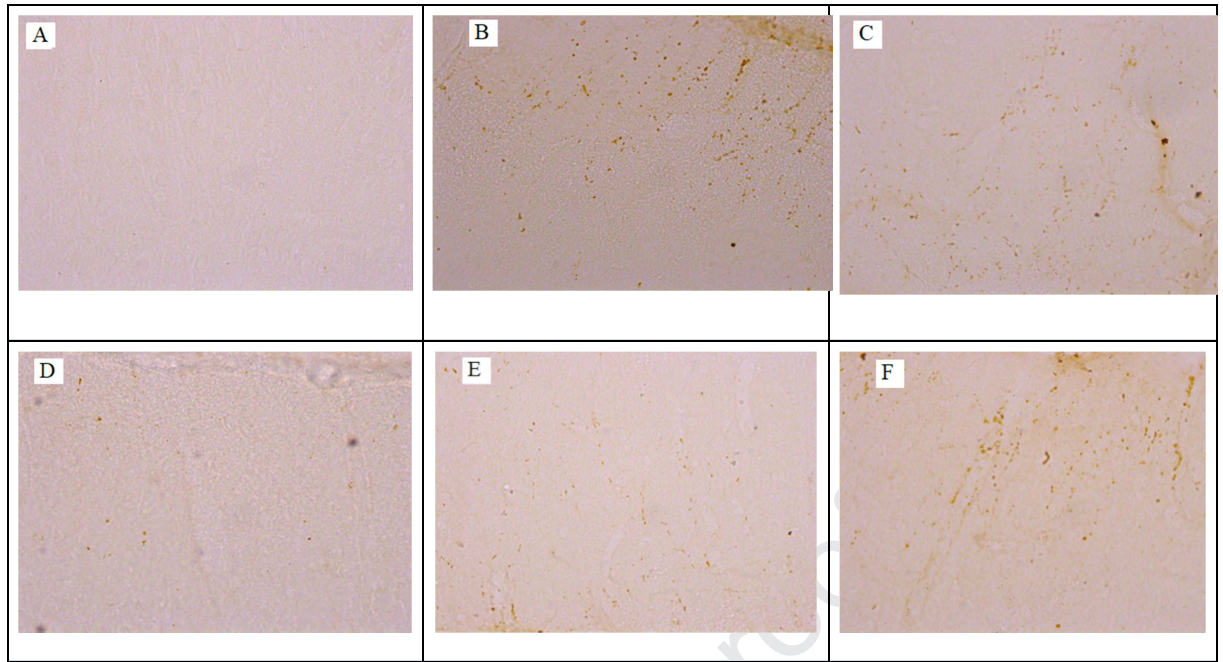
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574 **Fig. 3.** cFos expression in the brain regions ($n/0.1 \text{ mm}^2$). Naive, $n=4$; Control, $n=8$; NTX, $n=8$;
 575 Aya0.5, $n=9$; Aya1, $n=8$; Aya2, $n=9$. Mean \pm SEM, A: One-way ANOVA followed by Turkey
 576 or Holm-Sidak test. B-E: Kruskal-Wallis test; * Significant compared to naive: $*p<0.05$, **
 577 $p<0.01$, *** $p<0.001$, **** $p<0.0001$; # significant compared to control: # $p<0.05$, ## $p<0.01$



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Fig. 4. Photobiography of cFos immunohistochemistry in the medial orbital cortex. A: naïve, B: control, C: NTX, D: Aya0.5, E: Aya1, F: Aya2.

Highlights:

- Ayahuasca treatment did not affect ethanol intake by rats
- Ethanol intake significantly increased cFos in the MO and nucleus accumbens
- NTX and Aya0.5 treatment decreased cFos expression compared to control in the MO
- Only the Aya group reached the levels of the naïve group

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