


## SCC0602 - Algoritmos e Estruturas de Dados I

### Binary Search Trees



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## Today

- Search
- Linear search
- Binary Search Trees
  - Tree traversals (using divide-and-conquer)
  - Searching
  - Insertion
  - Deletion

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## Introduction

- Search is frequently in several applications
  - Games
    - Best players in checker and chess are search algorithms
  - Minimum path
    - Traveller salesman problem are solved by search algorithms
  - Search engines
    - Search algorithms can find the most relevant sites
    - Dictionaries

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## Chess

- In 1997, the current world champion Gary Kasparov played 6 games against Deep Blue, a program written by IBM researchers
  - Deep Blue won 3, lost 2, tied 1
    - Searched 126.000.000 nodes per sec
    - Generated 30 billion positions per reaching depth 14 routinely

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## Dictionaries

- *Dictionary* Abstract data type (ADT)
- Dynamic set with methods:
  - **Search(S, k)** – a query method that returns a pointer  $x$  to an element, where  $x.key = k$
  - **Insert(S, x)** – a modifier method that adds the element pointed to by  $x$  to  $S$
  - **Delete(S, x)** – a modifier method that removes the element pointed to by  $x$  from  $S$
- An element has a *key* part and a *satellite data* part



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## Ordered Dictionaries

- Besides the previous functions, it should also support the priority-queue-type operations
  - **Min(S)**
  - **Max(S)**
- It would be useful to support
  - **Predecessor(S, k)**
  - **Successor(S, k)**
- These operations require the keys to be comparable


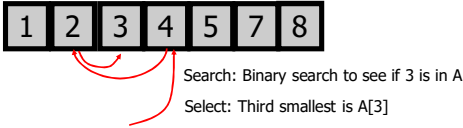
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### Ordered Dictionaries

- Basic data structures for ordered dictionaries
  - Sorted linked list
 
  - Sorted array
 

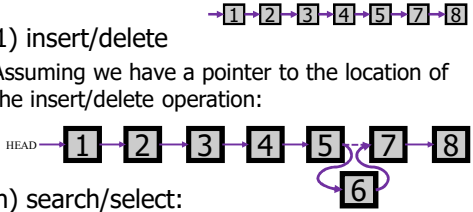
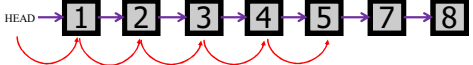
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### Sorted arrays

- $O(n)$  insert/delete:
 
- $O(\lg(n))$  search,  $O(1)$  select:
 

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### Linked lists

- $O(1)$  insert/delete
 
  - Assuming we have a pointer to the location of the insert/delete operation:
- $O(n)$  search/select:
 

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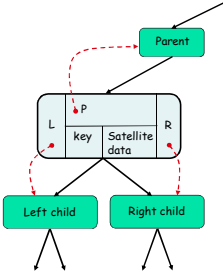
### Search complexity

	Sorted Arrays	Linked Lists	Binary Search Trees
Search	$O(\lg(n))$	$O(n)$	$O(\lg(n))$
Insert / Delete	$O(n)$	$O(1)$	$O(\lg(n))$

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### Binary Search Trees (BSTs)

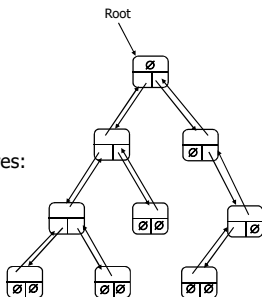
- Each tree node has:
  - Satellite data: application-based information stored in each node
  - key: identifying field allowing element ordering
  - left: pointer to left child (may be NULL)
  - right: pointer to right child (may be NULL)
  - p: pointer to parent node (NULL for the root)



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### Binary Tree ADT

- BinTree ADT:
  - Accessor functions:
    - key(): int
    - parent(): BinTree
    - left(): BinTree
    - right(): BinTree
  - Modification procedures:
    - setKey(k: int)
    - setParent(T: BinTree)
    - setLeft(T: BinTree)
    - setRight(T: BinTree)



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## Binary Search Trees (BSTs)

- A binary tree  $T$  in which:
  - Each internal node stores an item  $(k, e)$  of a dictionary
  - Keys stored at nodes in the **left subtree** of  $v$  are **smaller than or equal to  $k$**
  - Keys stored at nodes in the **right subtree** of  $v$  are **larger than or equal to  $k$**
  - E.g.: BST for the sequence 2,3,5,5,7,8

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## Tree Walks

- Allow print the Keys in a BST
  - E.g.: *inorder* tree traversal
    - Key of each node is printed between keys in the left and right subtree

```

InorderTreeWalk(x)
01 if x ≠ NIL then
02   InorderTreeWalk(x.left())
03   print x.key()
04   InorderTreeWalk(x.right())
    
```

- Divide-and-conquer algorithm
- Prints elements in monotonically increasing order
- Running time  $O(n)$

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## Inorder Tree Walks

- Create a projection of the BST nodes onto a 1-dimensional interval

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## Other Tree Walks

- Preorder tree walk
  - Processes each node before processing its children
- Postorder tree walk
  - Processes each node after processing its children

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## Divide-and-Conquer

- Natural approach for algorithms on trees
- Example: Find the height of the tree:
  - If the tree is NIL the height is -1
  - Else the height is the maximum of the heights of the tree children + 1

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## Searching a BST

- To find an element with key  $k$  in a tree  $T$ 
  - Compare  $k$  with  $T.key()$
  - If  $k < T.key()$ , search for  $k$  in  $T.left()$
  - Else search for  $k$  in  $T.right()$

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### Pseudocode for BST Search

- Recursive version – divide-and-conquer algorithm

```

Search(T, k)
01 if T = NIL then return NIL
02 if k = T.key() then return T
03 if k < T.key()
04 then return Search(T.left(), k)
05 else return Search(T.right(), k)
    
```

- Iterative version

```

Search(T, k)
01 x ← T
02 while x ≠ NIL and k ≠ x.key() do
03   if k < x.key()
04   then x ← x.left()
05   else x ← x.right()
06 return x
    
```

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### Search Examples

- Search(*T*, 11)

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### Search Examples (2)

- Search(*T*, 6)

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### Search Examples 2

- Search(*T*, 6)

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### Analysis of Search

- Running time on tree of height  $h$  is  $\mathcal{O}(h)$ 
  - After the insertion of  $n$  keys, the worst-case search running time is  $\mathcal{O}(n)$

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### BST Minimum (Maximum)

- Find the minimum key in a tree rooted at  $x$  (compare to a solution for heaps)

```

TreeMinimum(x)
01 while x.left() ≠ NIL do
02   x ← x.left()
03 return x
    
```

- Running time  $\mathcal{O}(h)$ 
  - Proportional to the height of the tree

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## Successor

- Given  $x$ , find the node with the smallest key that is larger than  $x.key()$
- There are two possible cases, depending on the right subtree of  $x$ 
  - Case 1: the right subtree of  $x$  is nonempty
  - Case 2: the right subtree of  $x$  is empty

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## Successor

- Case 1: the right subtree of  $x$  is nonempty
  - Successor is the leftmost node in the right subtree
    - Why?
  - Can be found by returning `TreeMinimum(x.right())`

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## Successor (2)

- Case 2: the right subtree of  $x$  is empty
  - Successor is the lowest ancestor of  $x$  whose left child is also an ancestor of  $x$ 
    - Why?

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## Successor Pseudocode

```

TreeSuccessor( $x$ )
01 if  $x.right() \neq NIL$ 
02   then return TreeMinimum( $x.right()$ )
03  $y \leftarrow x.parent()$ 
04 while  $y \neq NIL$  and  $x = y.right()$ 
05    $x \leftarrow y$ 
06    $y \leftarrow y.parent()$ 
07 return  $y$ 
    
```

- For a tree of height  $h$ , the running time is  $\mathcal{O}(h)$

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## BST Insertion

- The basic idea is similar to searching
  - Take an element (tree)  $z$  (whose left and right children are NIL) and insert it into  $T$
  - Find place in  $T$  where  $z$  belongs, as if searching for  $z.key()$ , and add  $z$  there
- The running on a tree of height  $h$  is  $\mathcal{O}(h)$

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## BST Insertion Pseudo Code

```

TreeInsert( $T, z$ )
01  $y \leftarrow NIL$ 
02  $x \leftarrow T$ 
03 while  $x \neq NIL$ 
04    $y \leftarrow x$ 
05   if  $z.key() < x.key()$ 
06     then  $x \leftarrow x.left()$ 
07   else  $x \leftarrow x.right()$ 
08  $z.setParent(y)$ 
09 if  $y \neq NIL$ 
10   then if  $z.key() < y.key()$ 
11     then  $y.setLeft(z)$ 
12   else  $y.setRight(z)$ 
13 else  $T \leftarrow z$ 
    
```

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### BST Insertion example

- Insert 8

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### BST Insertion: Worst Case

- In what kind of sequence should the insertions be made to produce a BST of height  $n$ ?

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### BST Sorting

- Use `TreeInsert` and `InorderTreeWalk` to sort a list of  $n$  elements,  $A$

```

TreeSort (A)
01 T ← NIL
02 for i ← 1 to n
03   TreeInsert(T, BinTree(A[i]))
04 InorderTreeWalk(T)
    
```

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### BST Sorting example

- Sort the following numbers 5 10 7 1 3 1 8
- Build a binary search tree

- Call `InorderTreeWalk`  
1 1 3 5 7 8 10

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### Deletion

- Delete node  $x$  from a tree  $T$
- We can distinguish three cases
  - $x$  has no children
  - $x$  has one child
  - $x$  has two children

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### Deletion Case 1

- If  $x$  has no children, just remove  $x$

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### Deletion Case 2

- If  $x$  has exactly one child, then to delete  $x$ , simply make  $x$ .parent() point to that child

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### Deletion Case 3

- If  $x$  has two children, then to delete it we have to:
  - Find its successor (or predecessor)  $y$
  - Remove  $y$ 
    - Note that  $y$  has at most one child
      - Why?
  - Replace  $x$  with  $y$

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### Delete Pseudocode

```

TreeDelete(T, z)
01 if z.left() = NIL or z.right() = NIL
02 then y ← z
03 else y ← TreeSuccessor(z)
04 if y.left() ≠ NIL
05 then x ← y.left()
06 else x ← y.right()
07 if x ≠ NIL
08 then x.setParent(y.parent())
09 if y.parent() = NIL
10 then T ← x
11 else if y = y.parent().left()
12 then y.parent().setLeft(x)
13 else y.parent().setRight(x)
14 if y ≠ z
15 then z.setKey(y.key()) //copy all fields of y
16 return y
    
```

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### Balanced Search Trees

- Problem: worst-case execution time for dynamic set operations is  $\Theta(n)$
- Solution: balanced search trees guarantee small height!

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### Next Lecture


- Hashing


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 Questions



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