

# Hydraulic conductivity of partially saturated fractured porous media: flow in a cross-section

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## Abstract

Standard models for hydraulic functions of partially saturated fractured porous media (FPM) often rely on macroscopic continuum representation and embrace constitutive relationships originally developed for homogeneous porous media to describe hydraulic behavior of dual (or multi) continua FPM. Such approaches lead to inconsistencies due to neglect of underlying physical processes governing liquid retention and flow in the vastly different pore spaces. We propose a framework that considers equilibrium liquid configurations in dual continuum pore space as the basis for calculation of liquid saturation and introduction of hydrodynamic considerations. FPM cross-sectional pore space is represented by a bimodal size distribution reflecting two disparate populations of matrix pores and fracture apertures (with rough-walled surfaces). Three laminar flow regimes are considered, flow in: (1) completely liquid filled pore spaces; (2) partially filled pores or grooves bounded by liquid–vapor interfaces; and (3) surface film flow. Assuming that equilibrium liquid–vapor interfaces remain stable under slow laminar flows, sample-scale unsaturated hydraulic conductivity is derived from average velocity expressions for each flow regime weighted by the appropriate liquid-occupied cross-sectional areas (neglecting 3-D network effects). A parameter estimation scheme was developed and evaluated using two data sets. The results point to the critical need for definitive data sets for improved understanding of flow in partially saturated FPM. Hydraulic conductivity functions for non-equilibrium conditions between matrix and fracture domains are discussed. Approximations for inclusion of network effects are proposed based on direct measurement of saturated hydraulic conductivity supplemented by theoretical considerations applying critical path analysis.

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## 1. Introduction

Fractured porous media (FPM) consist of interconnected fracture and pore-networks forming two (or more) distinct pore spaces [24]. Typically, features of sizes in the order of  $10^{-4}$  to  $10^{-2}$  m are associated with fractures [11,33], whereas, the porous matrix contains smaller pore sizes in the range of  $10^{-7}$  to  $10^{-5}$  m [31]. The resulting large disparity in hydraulic behavior between fractures and matrix in partially saturated FPM presents practical and theoretical challenges to modeling of total system response [14,26]. In contrast to relatively well-developed theory and experimental data for flow

behavior in saturated FPM, theory and measurements for partially saturated FPM are limited.

Several conceptual models have been proposed to describe liquid behavior within a cross-section of partially saturated fractures (e.g., [19,25,37]). Kwicklis and Healy [16] conducted a numerical study of flow behavior in a simple fracture network considering geometric heterogeneity and air entrapment (matrix was considered impermeable). Their results point to the potential for local spatial variations in pressure heads and fluxes within fractures. Murphy and Thomson [18] examined two-phase flow in a cross-section of fractured porous media focusing on dynamic aspects of multiphase flow and complexity of phase-occupancy and configuration within the cross-section (the medium is represented by a planar lattice model for parallel plate fractures with varying apertures). Recently, Nicholl et al. [19] extended some of these concepts towards derivation of relative permeability functions for partially saturated horizontal

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### Nomenclature

$\alpha$	dimensionless slit-spacing parameter for matrix pore geometry [20]	$B_{\max}$	maximum aperture [m]
$\beta$	dimensionless slit-length parameter for matrix pore geometry [20]	$B_{\min}$	minimum aperture [m]
$\gamma$	pit angle [°]	$d$	dimension of the fracture network (1-D, 2-D, or 3-D)
$\delta$	groove connectivity factor	$f(B)$	aperture distribution
$\varepsilon$	dimensionless flow resistance parameter for corner flow [21]	$F_\gamma$	angularity factor
$\eta_0$	viscosity of bulk liquid [ $\text{kg m}^{-1} \text{s}^{-1}$ ]	$g$	acceleration of gravity [ $\text{m s}^{-2}$ ]
$\lambda$	slit spacing distribution overlap parameter for matrix pores [20]	$h(\mu)$	film thickness as a function of matric potential [m]
$\mu$	matric potential [ $\text{J kg}^{-1}$ ]	$KS$	parallel plate hydraulic conductivity [ $\text{m s}^{-1}$ ]
$\mu_d$	critical matric potential at the onset of matrix pore drainage ( $\text{J kg}^{-1}$ ) (air entry value) [20]	$K_s$	fluidity term of the parallel plate hydraulic conductivity function [ $\text{m}^{-1} \text{s}^{-1}$ ]
$\mu_1$	critical matric potential for the onset of spontaneous fracture drainage [ $\text{J kg}^{-1}$ ]	$KD$	isosceles triangular duct hydraulic conductivity [ $\text{m s}^{-1}$ ]
$\mu_2$	critical matric potential for the onset of meniscus recession into surface grooves [ $\text{J kg}^{-1}$ ]	$K_d$	fluidity term of the isosceles triangular duct hydraulic conductivity function [ $\text{m}^{-1} \text{s}^{-1}$ ]
$\nu$	dimensionless scaling parameter for fracture element length	$KF(\mu)$	film hydraulic conductivity [ $\text{m s}^{-1}$ ]
$\xi$	Gamma distribution parameter (set to $\xi = 2$ in this study)	$KC(\mu)$	corner hydraulic conductivity [ $\text{m s}^{-1}$ ]
$\rho$	density of the liquid [ $\text{kg m}^{-3}$ ]	$K_{\text{nw}}$	relative non-wetting phase saturation
$\sigma$	surface tension at the liquid–vapor interface [ $\text{N m}^{-1}$ ]	$K_w$	relative wetting phase saturation
$\zeta$	dimensionless flow resistance parameter for flow in isosceles triangular ducts	$K_{\text{sat}}$	saturated hydraulic conductivity [ $\text{m s}^{-1}$ ]
$\tau$	dimensionless pit-depth scaling parameter for surface grooves	$K(\mu)$	unsaturated hydraulic conductivity for a unit fracture element [ $\text{m s}^{-1}$ ]
$\phi_F$	porosity of the fracture pore space	$K_{\text{u-F}}(\mu)$	upscaled unsaturated hydraulic conductivity for the fracture domain [ $\text{m s}^{-1}$ ]
$\phi_M$	porosity of the matrix pore space	$K_{\text{u-M}}(\mu)$	upscaled unsaturated hydraulic conductivity for the matrix domain [ $\text{m s}^{-1}$ ]
$\omega$	Gamma distribution parameter	$K_{\text{FPM}}(\mu)$	FPM unsaturated hydraulic conductivity [ $\text{m s}^{-1}$ ]
$A_T$	total cross-sectional fracture area [ $\text{m}^2$ ]	$P$	hydraulic pressure head [m]
$A_{\text{svl}}$	Hamaker constant for solid vapor interactions through intervening liquid (J)	$p_c$	percolation threshold for the fracture network
$B$	fracture aperture size [m]	$r(\mu)$	radius of interface curvature as a function of matric potential [m]
$B(\mu)$	thin film function to account for modified liquid viscosity close to solid surfaces [35]	$S_w$	relative wetting phase saturation
$B_1(\mu)$	critical aperture size that separates completely filled and partially filled fractures within the fracture population (integration limit for sample scale expressions) [m]	$S_1, S_2(\mu), S_3(\mu)$	relative liquid saturation for a unit fracture element
$B_2$	critical aperture size that separates fractures with filled and partially filled surface grooves within the fracture population (integration limit for sample scale expressions) [m]	$S_{\text{u-F}}(\mu)$	upscaled relative liquid saturation for a population of fracture elements
$B_{\text{cr}}$	critical fracture aperture size based on critical path analysis [m]	$S_{\text{u-M}}(\mu)$	upscaled relative liquid saturation for the matrix domain
		$S_{\text{FPM}}(\mu)$	FPM relative liquid saturation
		$\bar{v}$	average liquid velocity [ $\text{m s}^{-1}$ ]
		$Z$	coordination number (average value) for the fracture network
		$z$	spatial coordinate along flow path [m]

fractures using information of fracture aperture distribution and relative saturation. An alternative to detailed conceptual approaches [18,19,25] invokes macroscopic

continuum representation of FPM using parameterization and constitutive relationships developed for homogeneous porous media [17]. However, models based on

such simple representation often fail to capture localized and time-varying flow phenomena [26] leading to inconsistencies in flow behavior due to lack of a physical basis for the choice of effective hydraulic parameters.

In the absence of consensus regarding the spatial scales, flow behavior, and types of pore space heterogeneity amenable to continuum representation [26], there is a clear need for physically sound constitutive relationships between matric potential, liquid retention, and hydraulic conductivity. We propose to assemble such functions for arbitrary pore space geometry using fundamental solid–liquid physical interactions and simplified flow behavior in a cross-section. Such an approach could bridge some gaps between volume-averaged macroscopic representation of flow properties and discrete fracture representation of the FPM, and offer a framework that builds total system response from fundamental physical processes rather than non-physical extension of standard porous media parameters.

The primary objective of this study was to derive constitutive hydraulic functions for FPM from equilibrium liquid configurations as a function of matric potential ( $\mu$ ) within simple and reasonably representative pore space geometry (similar to studies by Pruess and Tsang [25]). The specific objectives were to: (1) propose a conceptual model for FPM pore space geometry; (2) derive closed-form liquid retention functions for matrix and fracture pore spaces (dual-continuum), based on liquid configuration as a function of  $\mu$ ; (3) use equilibrium liquid configurations to introduce hydrodynamic considerations leading to derivation of unsaturated hydraulic conductivity functions for FPM; (4) test the proposed model with available data sets. The main difference between this study and the work of Nicholl et al. [19] is the focus of this work on flow in FPM cross-sections (similar to the “bundle of capillaries”) rather than on a detailed account of phase occupancy within a fracture plane (this issue will be discussed in Section 4.4).

The study is organized as follows: In the theoretical section we introduce a unit fracture element for representation of the fracture pore space within the FPM cross-section. Calculated equilibrium liquid–vapor interfacial configurations as a function of matric potential are used to derive the hydraulic conductivity  $K(\mu)$  for a two-dimensional (2-D) cross-section of the fracture unit element considering corner, film, and parallel-plate flows. Subsequently, we upscale the unit element results for representation of sample-scale response of the FPM using a statistical distribution of elements and combining flow processes in the two pore domains (matrix and fracture) assuming hydraulic equilibrium. The hydraulic properties of the matrix domain were derived in [35] and are not repeated here. In Section 4, we apply the proposed model using published data. We examine aspects of non-equilibrium between flow domains and their potential effect on the constitutive relationships. Finally,

we discuss approximations for inclusion of aspects of 3-D network effects into the proposed 2-D scheme.

## 2. Theoretical considerations

This section focuses on hydrostatic and hydrodynamic behavior within a two-dimensional representation of rock fracture pore space. The matrix domain hydraulic properties are based on derivations previously reported in [20,34,35]. To avoid duplication we only present a few basic definitions relevant to both, matrix pores and fractures. The basic dimensions of the unit matrix element are depicted in the insert in Fig. 1a.

### 2.1. Unit fracture element

The 2-D fracture network is represented as an assembly of basic fracture elements; each is comprised of two parallel surfaces separated by a certain aperture size ( $B$ ). Each surface contains a single groove (or pit) representing surface roughness [21]. The fracture element length ( $\nu B$ ), and groove depth ( $\tau B$ ), are assumed to be proportional to fracture aperture size ( $B$ ), as depicted in Fig. 1a. Although such geometrical scaling imposes constraints on the nature of surface roughness, it

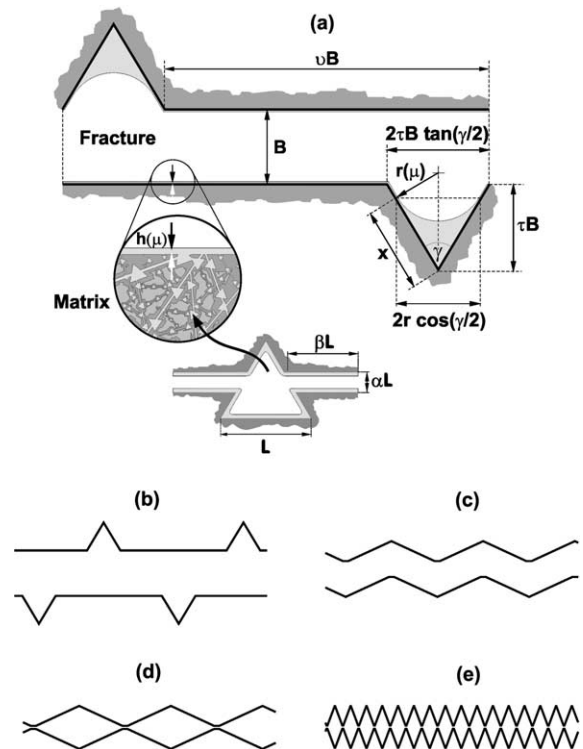


Fig. 1. Definition sketch for (a) a unit fracture element representing a partially saturated fracture with liquid retained in crevices and adsorbed liquid films; (b)–(e) various combinations of scale parameters and surface mating based on the same geometrical definitions as in (a).

simplifies the model and facilitates derivation of closed-form expressions for FPM hydraulic conductivity. Nevertheless, the proposed geometry is reasonably versatile as illustrated in Fig. 1b–e, using several combinations of scale parameters for mated and unmated fracture surfaces. Moreover, scaling constraints can be relaxed as more information on hydraulically relevant surface roughness becomes available [4,5,19].

2.2. Equilibrium liquid configuration within a unit fracture element

Here and in subsequent derivations, we assume thermodynamic equilibrium between fracture and matrix domains (limited experimental evidence lends support for such an assumption, e.g., [37]). Equilibrium liquid–vapor interfacial configurations evolve with changes in matric potential and determine the liquid-occupied cross-sectional areas within a unit fracture element (i.e., saturation). Desaturation of an initially saturated fracture element by a gradual decrease of matric potential may involve spontaneous and rapid liquid displacement and formation of separated interfaces at certain critical potentials, as determined by liquid properties and geometry. Tuller et al. [34] and Or and Tuller [21] show that, for all practical purposes, equilibrium liquid configuration can be determined by a superposition of adsorbed liquid films and capillary held liquid in surface pits and grooves (Fig. 1). The thickness  $h(\mu)$  of adsorbed films at a given potential  $\mu$  is calculated as:

$$h(\mu) = \sqrt[3]{\frac{A_{svl}}{6\pi\rho\mu}} \tag{1}$$

where  $A_{svl}$  is the Hamaker constant, and  $\rho$  is liquid density. The radius of interface curvature of a capillary meniscus  $r(\mu)$  is calculated for a given potential according to the Young–Laplace equation:

$$r(\mu) = -\frac{\sigma}{\rho\mu} \tag{2}$$

where  $\sigma$  is the liquid–vapor surface tension. Liquid films are assumed to cover all solid surfaces within the unit fracture element; we thus shift the radius of curvature  $r(\mu)$  by film thickness  $h(\mu)$  to obtain the composite liquid-filled cross-sectional area (see [34] for details of the procedure and associated error analysis).

In the transition from complete saturation (high matric potential) to dry conditions (low matric potential) we distinguish between three filling stages as depicted in Fig. 2.

Starting from a completely saturated unit fracture element and gradually lowering the matric potential to a certain threshold value  $\mu_1$  leads to a situation where the fracture spontaneously empties, forming two separate liquid–vapor interfaces on opposite faces of a fracture.

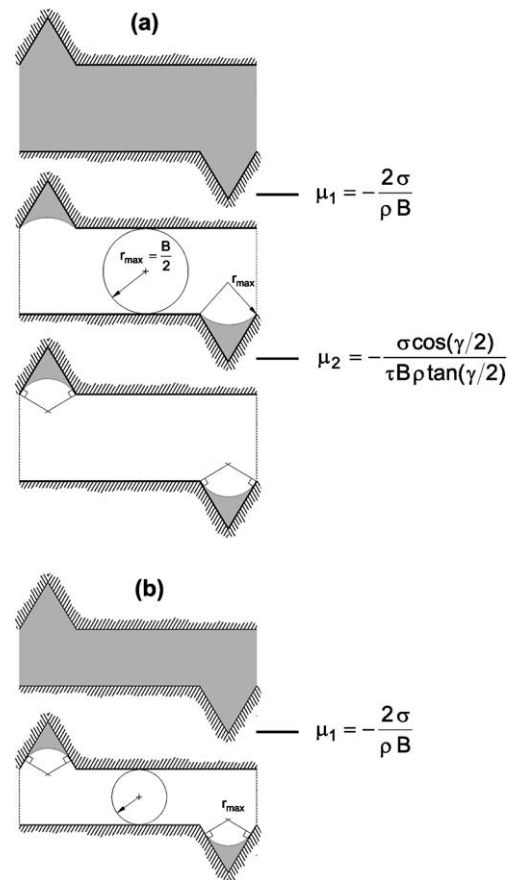


Fig. 2. A sketch illustrating liquid configurations and critical potentials during fracture drainage. (a) Three step transition for geometries where the capillary meniscus is first anchored at the pit edges after interface separation, and then recedes into the surface pit (note that the second transition was introduced for mathematical tractability). (b) Two step transition for geometries where the capillary meniscus immediately tangents the pit walls after interface separation (see text for further details).

The critical potential  $\mu_1$  at this point is derived from capillarity considerations:

$$\mu_1 = -\frac{2\sigma}{\rho B} \tag{3}$$

where  $B$  is fracture aperture. In the presence of fracture surface roughness some liquid is retained (by capillary forces) in pits and grooves. The radius of interface curvature of a meniscus anchored at the edges of the pit is simply  $B/2$  (or  $-\sigma/\rho\mu_1$ ) at the separation potential. For certain pit depths (parameterized by  $\tau$ ) such as,

$$\tau \geq \frac{\cos(\gamma/2)}{2 \tan(\gamma/2)} \tag{4}$$

the radius of interface curvature at fracture evacuation ( $r = B/2$ ) results in menisci that are tangent to the surfaces of the pit which greatly simplify subsequent calculations. In cases where the inequality in Eq. (4) is not satisfied, we introduce a second potential threshold ( $\mu_2$ ) that marks the starting point for recession of capillary

menisci into the surface pit. The critical potential  $\mu_2$  is obtained from simple geometrical considerations:

$$\mu_2 = -\frac{\sigma \cos(\gamma/2)}{\tau B \rho \tan(\gamma/2)} \quad (5)$$

where  $\gamma$  is the pit angle (Fig. 1). Hence, for a given geometry, we first evaluate Eq. (4) and calculate the relevant critical potentials. If the geometry requires introduction of  $\mu_2$ , the relative saturation curve is obtained by employing the following expressions. For all potentials  $\mu > \mu_1$  the unit element is completely saturated and the relative saturation is simply 1.

$$S_1 = 1 \quad (6)$$

Relative saturation is obtained by dividing the liquid occupied cross-sectional area at a given potential by the total cross-sectional area of the unit fracture element, disregarding the associated solid shell (i.e., calculating the fraction of liquid-filled pore space only). For all potentials  $\mu_1 \geq \mu > \mu_2$  where adsorbed films cover the flat unit element surfaces and capillary menisci are anchored at pit edges, relative saturation is given by:

$$S_2(\mu) = \frac{2 \left[ Bh(\mu)v - r(\mu)^2 \arcsin \left( \frac{B\tau \tan(\frac{\gamma}{2})}{r(\mu)} \right) + B\tau \tan(\frac{\gamma}{2}) \left( B\tau + \sqrt{r(\mu)^2 - (B\tau \tan(\frac{\gamma}{2}))^2} \right) \right]}{B^2(v + 2\tau(1 + \tau) \tan(\frac{\gamma}{2}))} \quad (7)$$

where  $v$  is a dimensionless fracture length scaling parameter. Note that the denominator in Eq. (7) is the cross-sectional area of a unit fracture element without solid shell. Finally, for all potentials  $\mu \leq \mu_2$  where a meniscus just recedes into the pit corners and new film covered area is exposed, relative saturation is calculated as:

$$S_3(\mu) = \frac{2h(\mu) \left( Bv + \frac{2\tau B}{\cos(\frac{\gamma}{2})} - \frac{2r(\mu)}{\tan(\frac{\gamma}{2})} \right) + 2r(\mu)^2 F_\gamma}{B^2(v + 2\tau(1 + \tau) \tan(\frac{\gamma}{2}))} \quad (8)$$

where  $F_\gamma$  is an angularity (geometrical) factor defined as:

$$F_\gamma = \frac{1}{\tan(\gamma/2)} - \frac{\pi(180 - \gamma)}{360} \quad (9)$$

For capillary menisci anchored to groove/pit corners immediately after interface separation (i.e., when Eq. (4) is satisfied), we use Eq. (6) for  $\mu > \mu_1$ , and Eq. (8) for all potentials  $\mu \leq \mu_1$ . Equilibrium liquid configurations in a unit element cross-section provide the basis for introduction of hydrodynamic considerations for steady flows into the liquid-filled cross-sectional geometry.

### 2.3. Hydrodynamic considerations within a unit fracture element

A key assumption for consideration of flow processes within a partially saturated FPM cross-section is that

equilibrium liquid–vapor interfaces remain stable under relatively slow and laminar flow conditions. Dindoruk and Firoozabadi [8] performed detailed numerical analyses of what they termed “film flow” which is flow bounded by a curved liquid–vapor interface within a partially saturated fracture. Their results show negligible distortion of liquid–vapor interfaces for slow laminar flows characterized by capillary numbers in the range  $10^{-6}$  to  $10^{-8}$ . In accordance with the different liquid filling stages of a unit fracture element discussed in the previous section, we consider four laminar flow regimes (see Fig. 4 below). When the fracture element is completely liquid filled (or saturated), we consider flow between parallel plates (defined by nominal aperture width,  $B$ ) supplemented by flow in isosceles triangular ducts (surface grooves). For partially filled fracture elements, we invoke the interfacial stability assumption and consider laminar flow in corners (surface grooves) bounded by a liquid–vapor interface, and flow in adsorbed films lining all other fracture surfaces [32]. For the derivation of macroscopic hydraulic conductivity it

is not necessary to describe details of the velocity fields; instead, the average flow velocities associated with these flow regimes are sufficient. Solutions of the Navier–Stokes equation for each flow regime and geometry (except for flow in an isosceles triangle given in Appendix A) are discussed in [35].

For completeness, we list the resulting hydraulic conductivities for each flow regime in a unit fracture element derived by substituting average fluid velocity from the Navier–Stokes equation into Darcy’s law and considering a unit pressure gradient along the flow path [35]:

*Completely liquid-filled cross-section:*

$$\text{Parallel plates} \quad KS = K_s B^2 = \frac{\rho g}{\eta_0} \frac{1}{12} B^2 \quad (10)$$

$$\text{Isosceles triangular ducts} \quad KD = K_d B^2 = \frac{\rho g}{\eta_0} \zeta \tau^2 B^2 \quad (11)$$

*Flow bounded by liquid–vapor interface:*

$$\text{Thick film} (h \geq 10 \text{ nm}) \quad KF(\mu) = \frac{\rho g}{\eta_0} \frac{h(\mu)^2}{3} \quad (12)$$

$$\text{Thin film} (h < 10 \text{ nm}) \quad KF(\mu) = \frac{\rho g}{\eta_0} \frac{B(\mu)}{12h(\mu)} \quad (13)$$

$$\text{Corner flow } KC(\mu) = \frac{\rho g}{\eta_0} \frac{r(\mu)^2}{\varepsilon} \quad (14)$$

where  $\zeta$  and  $\varepsilon$  are dimensionless flow resistance parameters ( $\zeta$  is a new result presented in Appendix A),  $\rho$  is the density of the liquid,  $g$  is the acceleration of gravity, and  $B(\mu)$  is a complex function resulting from dependency of fluid viscosity on film thickness in very thin films [21,35].

The hydraulic conductivity of a unit fracture element is then assembled by weighting the hydraulic conductivities of each flow regime by their associated liquid occupied cross-sectional areas and dividing the resulting expressions by the total cross-sectional area of the unit fracture element (including the matrix shell). The total cross-sectional fracture area  $A_T$  is simply the fracture area divided by the porosity of the fracture domain  $\phi_F$ :

$$A_T = \frac{B^2(v + 2\tau(1 + \tau) \tan(\frac{\zeta}{2}))}{\phi_F} \quad (15)$$

The foregoing analysis yields three new expressions for the hydraulic conductivity of a single unit fracture element at various filling stages given as:

*Saturated hydraulic conductivity:  $\mu > \mu_1$*

$$K_1 = K_{SAT} = \frac{B^4(K_s(v + 2\tau \tan(\frac{\zeta}{2})) + K_d 2\tau^2 \delta \tan(\frac{\zeta}{2}))}{A_T} \quad (16)$$

*Separated interfaces—capillary menisci anchored at pit edges:  $\mu_1 \geq \mu > \mu_2$*

$$K_2(\mu) = \frac{KF(\mu)2vBh(\mu) + KC(\mu)\delta\left(2\tau B \tan\left(\frac{\zeta}{2}\right)\left(\tau B + \sqrt{r(\mu)^2 - \tau^2 B^2 \tan^2\left(\frac{\zeta}{2}\right)}\right) - 2r(\mu)^2 \arcsin\left(\frac{\tau B \tan\left(\frac{\zeta}{2}\right)}{r(\mu)}\right)\right)}{A_T} \quad (17)$$

*Separated interfaces—capillary menisci tangent pit surfaces:  $\mu \leq \mu_2$*

$$K_3(\mu) = \frac{2KF(\mu)h(\mu)\left(Bv + \frac{2B\tau}{\cos\left(\frac{\zeta}{2}\right)} - \frac{2r(\mu)}{\tan\left(\frac{\zeta}{2}\right)}\right) + 2KC(\mu)\delta r(\mu)^2 F_7}{A_T} \quad (18)$$

where  $K_s$ ,  $K_d$ ,  $KF(\mu)$ , and  $KC(\mu)$  are the basic hydraulic conductivities given in Eqs. (10)–(14) (see also Fig. 4), and  $0 < \delta < 1$  is a groove connectivity factor to account for partial groove or pit connectivity in the direction of flow [21]. The parameter  $\delta$  ensures that isolated pits do not contribute to the hydraulic conductivity functions in Eqs. (16)–(18).

For fracture geometry that satisfies the inequality in Eq. (4), we use Eq. (16) for  $\mu > \mu_1$ , and Eq. (18) for all matrix potentials  $\mu \leq \mu_1$ . Note that employing  $KC(\mu)$  Eq. (14) in Eq. (17) leads to a slight underestimation of

$K_2(\mu)$  within the narrow matrix potential range from  $\mu_1$  to  $\mu_2$  that may be neglected for all practical purposes.

A framework similar to the one described here was instrumental in deriving pore scale expressions for liquid retention and hydraulic conductivity for matrix pore space comprised of angular central pores connected to slit-shaped spaces (see [20,35]).

#### 2.4. Upscaling considerations

For representation of FPM hydraulic properties at the sample scale, we employ a statistical upscaling scheme that assumes a bimodal distribution for matrix pore sizes and fracture apertures accounting for the two disparate pore populations and porosity as depicted in Fig. 3.

The individual contributions of matrix pores and fractures to liquid saturation and unsaturated hydraulic conductivity are calculated separately, using the appropriate pore sizes and aperture distributions. The resultant saturation curves are weighted by the porosities of the respective domains and summed up to obtain the composite medium response. A similar approach was taken by Wang and Narasimhan [37] in their Eqs. (7.3.3) and (7.3.4) to represent the composite liquid retention and hydraulic conductivity functions for the fracture and matrix domains.

To avoid duplication with matrix domain derivations, we briefly explain the upscaling scheme for the fracture

domain. We employ a statistical gamma distribution to represent the positive skewness often observed in fracture aperture size distributions [3,6,11,25,37]. The gamma density function is dependent on two parameters  $\xi$  and  $\omega$  [28]:

$$f(B) = \frac{B^\xi}{\xi! \omega^{\xi+1}} \exp\left(-\frac{B}{\omega}\right) \quad B \geq 0 \quad (19)$$

where  $B$  is the fracture aperture, and the parameter  $\xi$  is limited to integer values. To facilitate derivation of analytical solutions that involve expectations of  $f(B)$ , we have used a fixed value  $\xi = 2$  that provided the right balance between flexibility of the expressions and mathematical tractability.

Sample-scale expressions for liquid saturation and unsaturated hydraulic conductivity are obtained by taking expectations or integrating pore scale expressions (Eqs. (6)–(8) for liquid saturation, and Eqs. (16)–(18) for unsaturated hydraulic conductivity) with the gamma

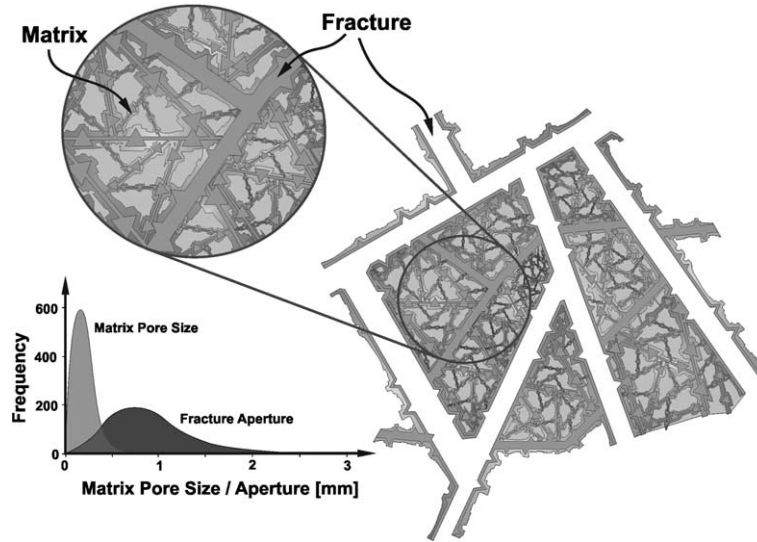


Fig. 3. Conceptual sketch for dual continuum pore space representation of a FPM. The matrix is represented by angular pores connected to slit-shaped spaces. Note (1) the pore size disparity between the two domains; and (2) large fractures empty first.

distribution Eq. (19) over portions of the fracture population associated with the different filling stages discussed in Section 2.2. The integration limits separating the fracture population are obtained by rearranging Eqs. (3) and (5) and solving for the fracture apertures  $B_1(\mu)$  and  $B_2$  that define the fracture size separation between different filling stages at a given potential (see Fig. 4). Individual contributions of each fracture group in the population at a given potential are summed. The process is repeated for the entire matrix potential range under consideration to yield the sample-scale saturation and hydraulic conductivity curves.

In the following example we illustrate the derivation of upscaled hydraulic conductivity (i.e., taking the expectation integral) for the fraction of the aperture population that is completely liquid filled (in the size range from smallest aperture  $B_{\min}$  to  $B_1(\mu)$  in Fig. 4):

$$K_{1u-F}(\mu) = \int_{B_{\min}}^{B_1(\mu)} \left[ \frac{K_1 \text{ for a single fracture element Eq. (16)}}{A_T} \right] \left[ \frac{B^2}{2\omega^3} \exp\left(-\frac{B}{\omega}\right) \right] dB \quad (20)$$

with subscript  $u$  denoting “upscaled”, and  $F$  for “fracture”. Note that the saturated hydraulic conductivity for the entire fracture domain can be readily calculated using Eq. (20) by simply changing the upper limit of integration to maximum aperture size  $B_{\max}$ . The same procedure is applied to Eqs. (17) and (18) with integration limits  $B_1(\mu)$  to  $B_2$ , and  $B_2$  to  $B_{\max}$ , respectively. The upscaled expressions are then added to yield the composite response for the entire fracture population at a particular matrix potential.

$$K_{u-F}(\mu) = \overbrace{K_{1u-F}(\mu)}^{\text{Eq. (20)}} + \overbrace{K_{2u-F}(\mu)}^{\text{upscaled Eq. (17)}} + \overbrace{K_{3u-F}(\mu)}^{\text{upscaled Eq. (18)}} \quad (21)$$

For geometrical configurations with pit scaling parameters  $\tau \geq \cos(\gamma/2)/(2 \tan(\gamma/2))$  Eq. (4), the upscaled expressions can be solved analytically as shown in Appendix B. Note that these analytical solutions cover a wide variety of different geometrical configurations. Only cases with  $\tau < \cos(\gamma/2)/(2 \tan(\gamma/2))$  require numerical evaluation of the upscaled expressions (Fig. 5).

The same scheme applies for liquid saturation Eqs. (6)–(8), with the composite response of the entire population calculated as:

$$S_{u-F}(\mu) = \overbrace{S_{1u-F}(\mu)}^{\text{upscaled Eq. (6)}} + \overbrace{S_{2u-F}(\mu)}^{\text{upscaled Eq. (7)}} + \overbrace{S_{3u-F}(\mu)}^{\text{upscaled Eq. (8)}} \quad (22)$$

Note that the contribution of completely liquid-filled fractures  $S_{1u-F}(\mu)$  is obtained by simply integrating the gamma distribution Eq. (19) between the limits  $B_{\min}$  and  $B_1(\mu)$ , since the relative saturation of a saturated fracture element is 1.

For the matrix domain we employ a similar upscaling scheme yielding  $S_{u-M}(\mu)$  and  $K_{u-M}(\mu)$  [20,35]. The individual contributions of the matrix and fracture domains to liquid saturation are added and weighed by the porosities of the individual domains to obtain the composite saturation curve for the FPM:

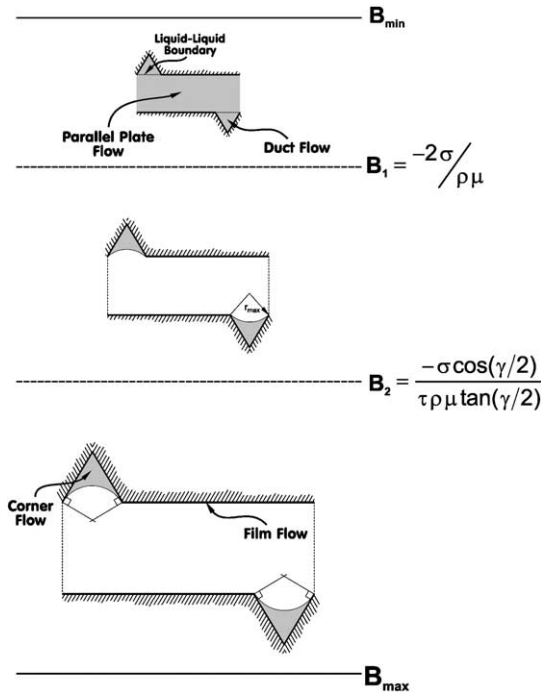


Fig. 4. Critical aperture sizes determining expected fracture-filling stages at different matric potentials.

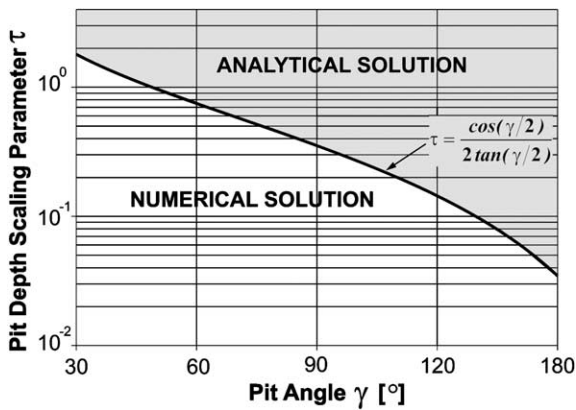


Fig. 5. Relationship between pit angle and pit depth scaling parameter separating analytical and numerical solutions for the proposed sample scale expressions for liquid saturation and hydraulic conductivity.

$$S_{FPM}(\mu) = \frac{S_{u-M}(\mu)\phi_M + S_{u-F}(\mu)\phi_F}{\phi_M + \phi_F} \quad (23)$$

where  $\phi_M$  and  $\phi_F$  are the matrix and fracture porosities. The composite hydraulic conductivity curve is obtained by simple addition of the individual contributions of the matrix and fracture domains that are already normalized by their respective porosities (i.e., the individual expressions are already divided by total cross-sectional areas):

$$K_{FPM}(\mu) = K_{u-M}(\mu) + K_{u-F}(\mu) \quad (24)$$

Obviously the upscaling scheme presented here involves vast simplifications such as the assumption of parallel pathways, hence the neglect of the fully three-dimensional nature of the fracture network and complexities associated with phase interference discussed by Persoff and Pruess [23], and by Murphy and Thomson [18].

### 3. Model application

#### 3.1. Estimation of model parameters

The analytical sample-scale expressions for the matrix and fracture domains contain model parameters that can be either estimated from direct measurements or via model fitting to measured hydraulic properties (e.g., saturated hydraulic conductivity).

If the aperture distribution is known from measurements (such as image analyses or hydrodynamic characterization), only four model parameters are needed to describe saturation and hydraulic conductivity functions for the fracture domain. These parameters are directly related to fracture geometry, namely: dimensionless fracture lengths and pit depth scaling parameters  $\nu$  and  $\tau$ , pit connectivity factor  $\delta$ , and pit angle  $\gamma$ . The number of parameters can be further reduced or constrained when information regarding fracture geometry becomes available (e.g., groove angle or spacing [4,5]).

The model for the matrix domain requires four additional geometrical parameters [35]: a dimensionless slit length parameter  $\beta$  (Fig. 1a), the gamma distribution parameter  $\omega$  (see Section 2.4), the matric potential  $\mu_d$  at air entry (characterizes largest pore size  $L_{max}$ ), and a distribution overlap parameter  $\lambda$  relating slit spacing  $\alpha$  to largest  $L_{max}$  [20]. These model parameters are estimated by fitting to measured liquid retention data, while matching medium specific surface area and porosity. The dimensionless parameter  $\beta$  determines the slit length of the unit matrix element (Fig. 1a), and is highly dependent on measured matrix specific surface area [34]. The potential at the onset of drainage  $\mu_d$  (air entry value) is often attributed to the largest pore size present in the porous medium, which we denote as  $L_{max}$ , and is estimated as one of the fitting parameters. The distribution overlap parameter  $\lambda$  relates the dimensionless slit spacing parameter  $\alpha$  (Fig. 1a) to largest ( $L_{max}$ ) and mean  $m(L)$  pore lengths ( $\alpha = m(L)/(L_{max}\lambda)$ ) to determine the largest slit aperture (or the leading edge of slit-spacing distribution). According to this relationship, increasing the parameter  $\lambda$  results in a decrease in overlap and smaller slit spacing (for a detailed discussion please see [20]).

The resulting model parameters describe the saturation curve and are subsequently used to predict unsaturated hydraulic conductivity [35]. A conceptual flow



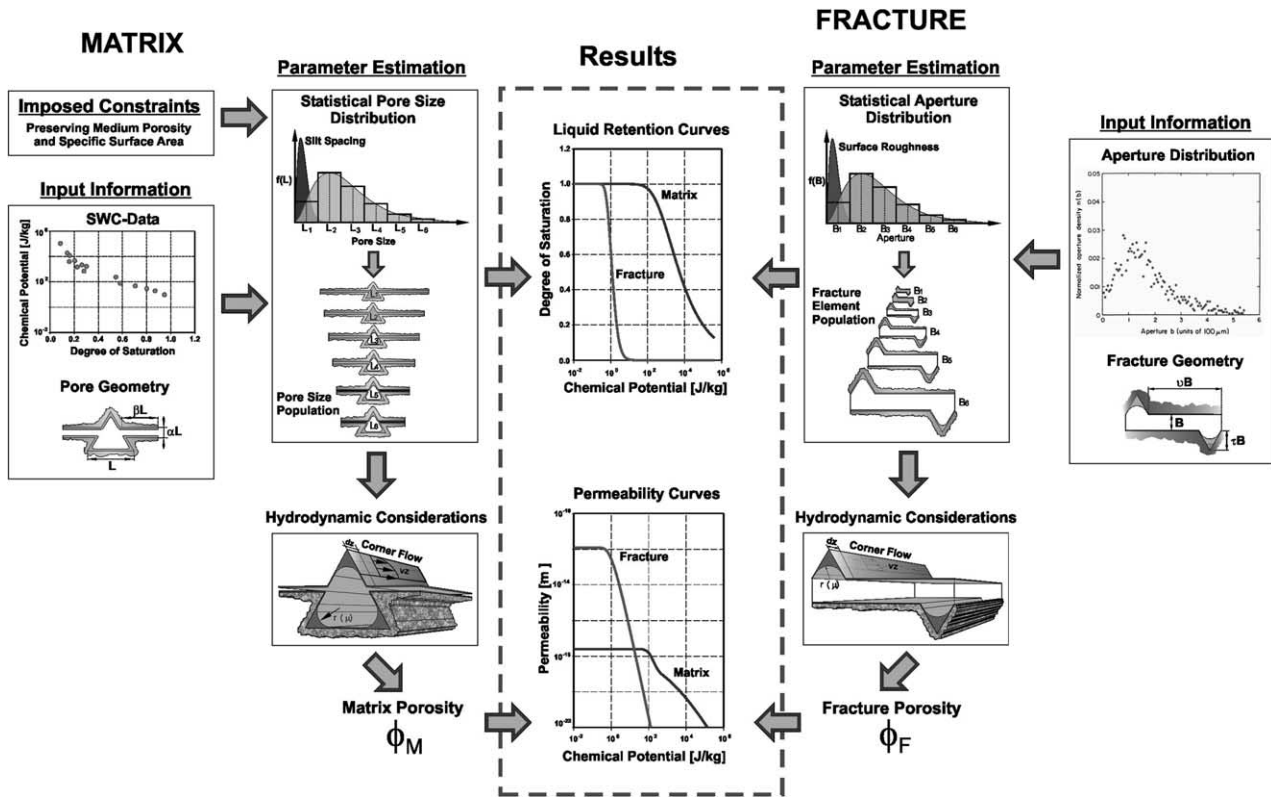


Fig. 6. A conceptual flow chart of the parameter estimation scheme. Assumed matrix pore geometry and measured matrix liquid retention data are used as input parameters to estimate free matrix model parameters while imposing surface area and porosity constraints, resulting in liquid saturation and hydraulic conductivity. Assumed fracture geometry and measured aperture size distribution in combination with fracture porosity and saturated fracture permeability are used to determine fracture model parameters for calculating the continuous liquid saturation curve and predicting fracture hydraulic conductivity as a function of matrix potential. Note that the individual contributions of the matrix and fracture domains are superimposed and weighted by matrix and fracture porosities to receive the composite response of the FPM.

chart of the proposed parameter estimation scheme is depicted in Fig. 6.

### 3.2. Datasets

Datasets containing information on matrix and fracture liquid retention and unsaturated permeability, as well as other physical properties of the two domains, are virtually non-existent. A comprehensive search of relevant literature spanning the last few decades yielded only a few incomplete datasets suitable to test the proposed model. In the following, we use data for Tiva Canyon welded tuff reported by Wang and Narasimhan [37] to illustrate the primary features of the proposed model, and a dataset for crystalline rock reported by researchers at the Swiss Federal Institute of Technology (SFIT) [11,13] for model applications.

The Tiva Canyon welded tuff (TCwt) dataset [37] contains information about matrix porosity, saturated matrix and fracture permeabilities, van Genuchten [36] parameters  $\alpha_{VG}$  and  $n_{VG}$  for the matrix liquid—saturation matrix potential relationship (water characteristic curve), and fracture spacing and effective aperture for

vertical fractures. Fracture porosity for a unit volume is calculated by dividing the effective aperture by aperture spacing. The shape of the aperture distribution is approximated with the gamma distribution Eq. (19) with  $\xi = 2$  and  $B_{min}$  and  $B_{max}$  set to values of  $1 \times 10^{-9}$  and  $5 \times 10^{-4}$  m, respectively. The second gamma distribution shape parameter  $\omega$  is calculated, based on the assumption that the critical aperture size (discussed below) is equal to the mean of the distribution  $m(B) = \omega(\xi + 1)$ . Reported and derived model input parameters for TCwt are listed in Table 1.

The SFIT dataset [11,13] is for a sample from a fracture zone at Grimsel Test Site (Switzerland) [11] with granodiorite rock matrix. This dataset contains measured water characteristic and gas permeability data, saturated matrix and fracture permeabilities, matrix and fracture porosities, and aperture size distribution. Gas permeability measurements obtained at various water saturations were converted to equivalent water permeability to be useful for model evaluation using the following parametric van Genuchten Mualem relationship [10].

$$K_{nw}(S_w) = (1 - S_w)^{0.5} (1 - S_w^{1/m})^{2m} \quad (25)$$

Table 1  
Reported and derived model input parameters for the TCwt and SFIT datasets

Property	TCwt <sup>a</sup>	SFIT <sup>b</sup>
Matrix porosity	$1.14 \times 10^{-1}$	$3.75 \times 10^{-2}$
Matrix $\alpha_{vG}$ [J/kg] <sup>-1</sup>	$8.40 \times 10^{-4}$	NA
Matrix $n_{vG}$	1.558	NA
Matrix saturated permeability [m <sup>2</sup> ]	$2.55 \times 10^{-18}$	$3.00 \times 10^{-18}$
Effective aperture [m]	$1.09 \times 10^{-3}$	NA
Aperture spacing [m]	0.180	NA
Fracture porosity	$6.10 \times 10^{-4}$	$8.50 \times 10^{-3}$
Fracture saturated permeability [m <sup>2</sup> ]	$1.18 \times 10^{-12}$	$3.00 \times 10^{-13}$
Aperture distribution parameter $\omega(\xi = 2)$ [m]	$3.30 \times 10^{-5}$	$2.10 \times 10^{-4}$
Minimum aperture $B_{\min}$ [m]	$1.00 \times 10^{-9}$	$6.00 \times 10^{-8}$
Maximum aperture $B_{\max}$ [m]	$5.00 \times 10^{-4}$	$4.00 \times 10^{-3}$

NA is not applicable.

<sup>a</sup> Source is [37].

<sup>b</sup> Source is [11,13].

where  $K_{nw}$  is the relative non-wetting phase (gas) permeability,  $S_w$  is the relative wetting phase (water) saturation, and  $m$  is the empirical van Genuchten shape parameter. The shape parameter  $m$  obtained from fitting Eq. (25) to measured  $K_{nw}$  data was subsequently used to calculate the relative wetting phase (water) permeability  $K_w$  as:

$$K_w(S_w) = S_w^{0.5} [1 - (1 - S_w^{1/m})^m]^2 \quad (26)$$

The conversion from non-wetting phase to wetting phase permeability for the SFIT data is depicted in Fig. 7. Reported SFIT data are listed in Table 1. Physical constants used in the illustrative calculations are listed in Table 2.

## 4. Results and discussion

### 4.1. Tiva Canyon data set [37]

The lack of complete and definitive data sets for model testing introduces undesired degrees of freedom into the evaluation. We thus view the use of the Tiva Canyon welded tuff data [37] as an illustrative example rather than a test of model validity in the strict sense. Input parameters used in the scheme illustrated in Fig. 6 are given in Table 1. Fig. 8a depicts the resulting water characteristic curves for matrix and the fracture domains (note the fracture domain is seen on the bottom left corner of Fig. 8a). As expected, the matrix domain

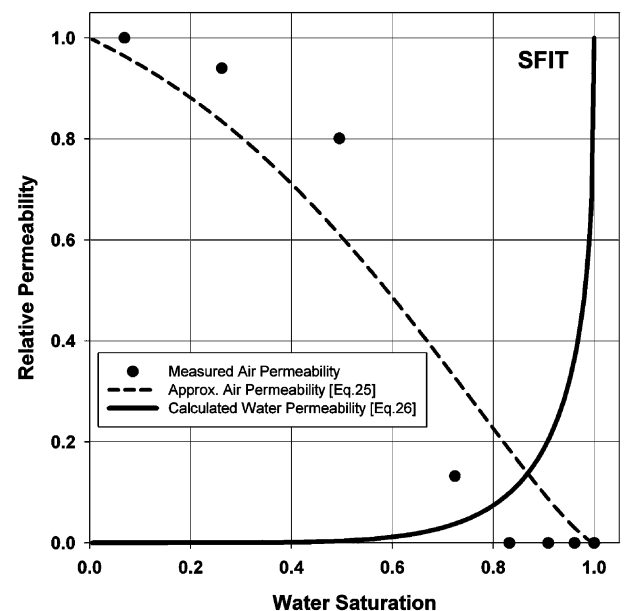


Fig. 7. Conversion of non-wetting to wetting phase permeabilities for the SFIT dataset.

dominates the saturation-matric potential relationships. In contrast, the permeability function (Fig. 8b) is dominated by the fracture domain at low matric potentials (close to complete saturation). The transition between fracture- to matrix-dominated permeability occurs at a potential of about  $-50$  J/kg. A second transition occurs at potentials of  $-2000$  J/kg, where matrix film flow

Table 2  
Physical constants and dimensions used in the illustrative example calculations

Property	Symbol		Unit
Acceleration of gravity	$g$	9.81	$\text{m s}^{-2}$
Density of water (20 °C)	$\rho$	998.21	$\text{kg m}^{-3}$
Hamaker constant (solid–vapor through liquid)	$A_{svl}$	$-6.0 \times 10^{-20}$	J
Surface tension of water (20 °C)	$\sigma$	0.0728	$\text{N m}^{-1}$
Viscosity of water (20 °C)	$\eta_0$	0.001002	$\text{kg m}^{-1} \text{s}^{-1}$

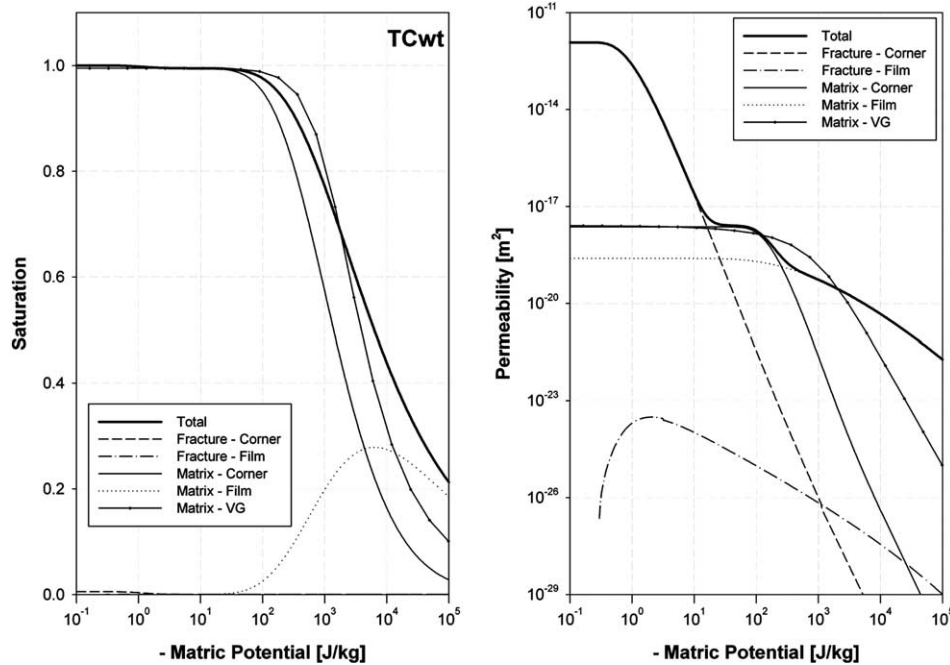


Fig. 8. Calculated saturation and predicted permeability curves for the Tiva Canyon welded tuff unit. Note the corner and film flow contributions within the matrix and fracture domains.

provides the dominant contribution to the overall FPM permeability. The resulting unsaturated permeability curve contains three “humps”—one for each of these processes. It is interesting to note that fracture film flow provides only a marginal contribution to transport processes, probably due to the limited exposed fracture surface area (i.e., few and mostly small aperture-size fractures). Finally, all model-fitting parameters are summarized in Table 3.

4.2. SFIT data set [11,13]

The SFIT data set was less complete than the previous Tiva Canyon data set. Following a similar path, we

present the model fit to water characteristic data (Fig. 9a) for matrix and fracture domains. The resulting fracture size distribution is then used to predict the unsaturated hydraulic conductivity function. The resulting function manifests the influences of the various domains and mechanisms, namely the dominance of the fracture domain near saturation, a transition to matrix corner and capillary flow, and finally, matrix film flow ( $\mu \approx -2000$  to  $-3000$  J/kg) [35]. Model parameters for SFIT are given in Table 3. Attempts to use the fracture aperture distribution reported by Fischer et al. [11] failed to reproduce either saturation or permeability values. One possibility for this discrepancy is the fact that the fractures were filled with porous material [11], hence, in terms of our model, a fracture size distribution with a smaller mean was needed to reproduce measured hydraulic functions.

Table 3  
Fitted model parameters for the TCwt and SFIT datasets

Datasets	TCwt	SFIT
<i>Matrix model parameters<sup>a</sup></i>		
Slit length scaling parameter $\beta$	80,000	10
Pore size distribution parameter $\omega$ [m]	$6.1 \times 10^{-7}$	$2.8 \times 10^{-7}$
Matric potential $\mu_d$ at the onset of drainage [m]	-3.5	-5.0
Distribution overlap parameter $\lambda$	57	15
<i>Fracture model parameters</i>		
Fracture length scaling parameter $\nu$	5	10
Pit depth scaling parameter $\tau^b$	$8.0 \times 10^{-1}$	$3.5 \times 10^{-2}$
Pit connectivity factor $\delta$	0.3	1.0
Pit angle $\gamma$ [°]	60	150

<sup>a</sup> See [20].

<sup>b</sup> The pit depth scaling parameter was set to  $\tau \geq \cos(\gamma/2) / (2 \tan(\gamma/2))$  to facilitate analytical solutions.

4.3. Hydraulic conductivity function for non-equilibrium conditions

Thus far, the analyses and resulting hydraulic conductivity functions were based on the assumption of hydraulic equilibrium between matrix and fracture domains. There is considerable interest in hydraulic functions for situations where matrix and fractures are not in equilibrium, such as due to arrival of a rapid wetting front from a rainfall event via preferential pathways or ventilation of the fracture domain [17,30]. We should clarify that details regarding rates and amounts of liquid exchange between these domains are usually not addressed in standard medium characterization. These

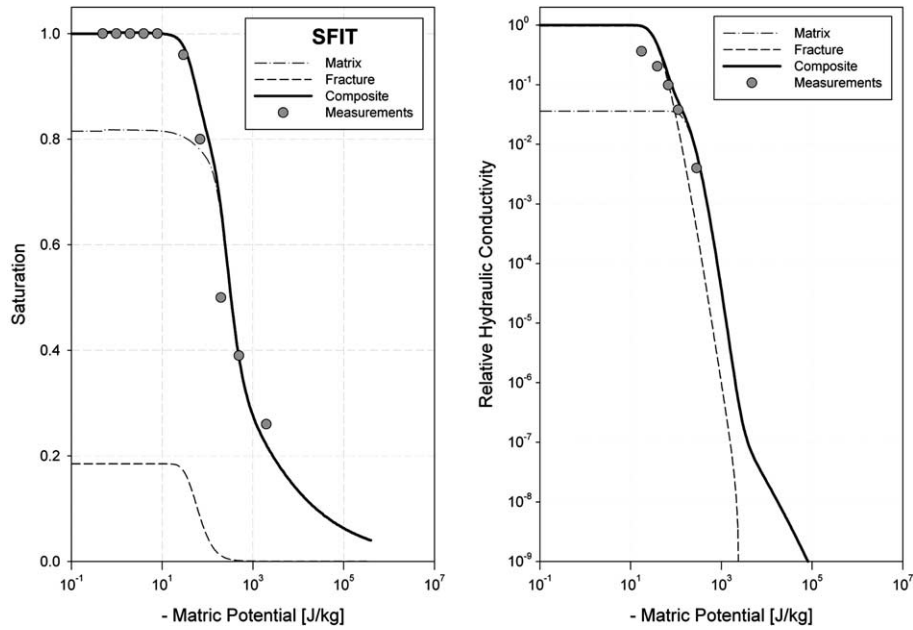


Fig. 9. Calculated saturation and predicted permeability curves for the SFIT dataset compared to measured data.

questions lie in the realm of solution of a particular flow problem rather than medium characterization often requiring standardized steady state conditions. Nevertheless, under certain non-equilibrium conditions when mass exchange between the domains is ignored (e.g., due to relatively long time scale for imbibition relative to rapid downward flow [17]), we may assemble a generic unsaturated permeability function for different values of potential differential ( $\Delta\mu$ ). For the example depicted in Fig. 10, we assume that the matrix domain is wetter than the fracture domain ( $\Delta\mu = \mu_{\text{matrix}} - \mu_{\text{fracture}} > 0$ ). Under these conditions, for each value of matrix permeability at a given potential  $\mu$ , we calculate fracture domain permeability at  $\mu + \Delta\mu$  and combine the contributions according to Eq. (24) (with the assumption of parallel pathways). The resulting family of permeability curves (Fig. 10) reflects the dependency of overall FPM permeability on the “distance” from equilibrium ( $\Delta\mu$ ) up to the potential difference value where no flow through the fracture domain occurs (i.e.,  $\Delta\mu > 20$  J/kg) which also coincides with the matric potential value marking the transition to matrix-dominated permeability ( $\mu \approx -20$  J/kg). The situation where the fracture domain is wetter than the matrix is trivial, due to the large disparity in permeability near saturation; the composite permeability function will not be significantly different than the original equilibrium case. Kwicklis and Healy [16] presented results similar to Fig. 10 (their Fig. 12) in the equivalent continuum representation of permeability of networks with different fracture aperture sizes (small and large size networks resemble our matrix and fracture domains, respectively).

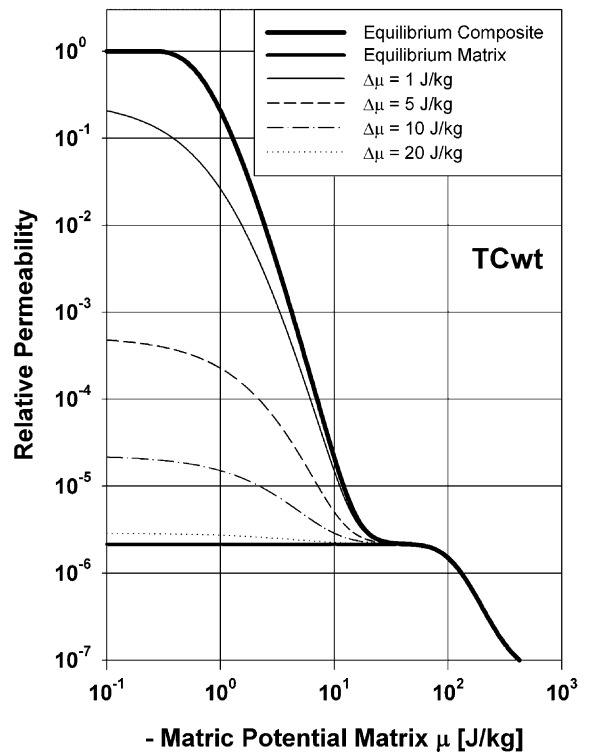


Fig. 10. Permeability curves for TCwt under non-equilibrium conditions.

#### 4.4. Simple approximations for three-dimensional network effects

An obvious limitation of the foregoing analysis is the description of hydraulic properties for a two-dimen-

sional (2-D) cross-section, neglecting potentially important three-dimensional (3-D) network effects (network connectivity and phase interference). Two relatively simple approaches are proposed for incorporation of 3-D influences into the 2D model. The first is to measure the saturated hydraulic conductivity or permeability of the FPM and use it as input parameter to constraint model parameters. Such a measurement, which presumably incorporates the 3-D nature (connectivity) of the fracture network, will provide constraints for estimation of geometrical distribution of aperture sizes. We envision that the resulting fracture aperture distribution will be a 2-D equivalent that faithfully preserves the 3-D hydrodynamics (for fully saturated conditions). In the absence of detailed information regarding fracture size distribution, we have tested this approach for the Tiva Canyon data set (TCwt).

Alternatively, a theoretical approach based on concepts of critical path analysis (CPA) from percolation theory [1,2,12,15] is proposed. The implementation of CPA in this context is based on the following argument; given a broad aperture distribution forming a 3-D fracture network, we begin by removing all the fractures, and then replace the fracture segments in order of decreasing size back to their original location. The aperture size that completes a conductive pathway across the network is labeled as the “critical” aperture size. According to CPA, all aperture sizes larger than the “critical” are essentially in series (all flow must pass through the “critical” size), and all aperture sizes smaller than the “critical” size could be in parallel but are much less conductive, thus providing a limited contribution to the overall hydraulic conductivity. Consequently, the hydraulic conductivity of the fracture network can be represented by the hydraulic conductivity of the “critical” unit fracture element. The critical fracture size is determined by finding the cumulative fraction of fracture sizes larger than the critical size ( $B_{cr}$ ) that equals the percolation threshold of the network ( $p_c$ ):

$$p_c = \int_{B_{cr}}^{\infty} f(B) dB \quad (27)$$

The percolation threshold is the minimal fraction of aperture sizes that span a conductive pathway, and its value depends mainly on the dimensionality of the network ( $d = 1, 2, \text{ or } 3$ ) and on the coordination number,  $Z$ . For simple cubic lattices  $p_c = 0.2488$ ; other values may be estimated as [29]:

$$Zp_c \cong \frac{d}{d-1} \quad (28)$$

The value of the coordination number  $Z$  is difficult to determine a priori, however, evidence suggests that for diluted fracture networks (and soil macropore net-

works),  $Z$  values close to 3 are common [9,22,29]. Hence, for  $Z = 3$  in a 3-D fracture network, the value of  $p_c = 0.5$  and the critical aperture size is equal to the mean value of the aperture size distribution. The value of  $B_{cr}$  can be used to estimate the saturated hydraulic conductivity of a unit fracture element (using Eq. (16)) to represent the entire fracture domain and the FPM. Performing this calculation (3-D network,  $Z = 3$ ,  $p_c = 0.5$ ) for the SFIT dataset yields a  $K_{SAT}$  of  $4.6 \times 10^{-15} \text{ m}^2$ , compared to  $6.1 \times 10^{-15} \text{ m}^2$  calculated with Eq. (20). Although such a match should be regarded with caution, it illustrates the potential usefulness of the CPA in using univariate distribution of fracture apertures to obtain an estimate for network  $K_{SAT}$  [12,15].

In summary, we propose to constrain our estimates of fracture size distribution such that the calculated planar (2-D) saturated hydraulic conductivity will match the 3-D estimated from the critical aperture identified by CPA. This could be an iterative process constrained by other input parameters, such as measured saturated hydraulic conductivity.

## 5. Summary and conclusions

The large disparity in hydraulic behavior between fracture and matrix domains in partially saturated FPM presents a challenge to developing constitutive hydraulic relationships required for modeling total system response. This study builds upon recent developments in modeling equilibrium liquid–vapor interfacial configurations in various pore spaces to provide approximate boundary conditions for introduction of hydrodynamic behavior. The media pore space is represented by a bimodal distribution of the two disparate populations of matrix pores and fracture apertures. Additionally, fracture surface roughness is represented by a distribution of angular pits and grooves. The model considers a cross-section in the FPM where liquid configurations take place in the plane, and steady flow takes place in the perpendicular direction (no lateral interactions are considered). In this study we focus on derivation of hydraulic conductivity of the fracture domain, and refer interested readers to a study by Tuller and Or [35] for details on matrix hydraulic conductivity functions.

Unlike the negligible role of the fracture domain in liquid retention behavior, flow in this domain dominates the hydraulic conductivity of the FPM near saturation. The composite unsaturated hydraulic conductivity function contains multiple “humps” representing at least three processes: (1) fracture flow (dominates under wet conditions); (2) matrix capillary flow (controls conductivity for intermediate wetness range); and (3) film flow (dry conditions). Aside from several conceptual limitations, the primary constraint to model testing and application is the lack of definitive data sets.

The 2-D analysis presented in this study relies on some measure of fracture aperture distribution, which is likely to be derived from image analysis, due to the limited information contained in fracture domain liquid retention. However, considering evidence suggesting that less than 20% of the fractures are water conducting [7,17], this casts some doubts on the direct use of such information. We are thus left with limited options with respect to reliable input data for the model, and the most robust input variable is a direct measurement of FPM saturated permeability. Critical path analysis offers an additional avenue for considerations of potential 3-D effects using fracture aperture distribution (rather than the detailed 3-D network). The results reduce the problem to calculation of the saturated permeability of a “critical” unit fracture element.

In summarizing the results of this study, it is important to bear in mind several critical assumptions: (1) equilibrium between matrix and fracture domains; (2) flow occurs in parallel flow pathways perpendicular to the partially saturated FPM cross-section (no 3-D or cross flows considered); (3) interfacial configurations remain unchanged under slow and steady laminar flow; and (4) we do not consider rivulet flows and similar processes arising under strong dominance of gravitational forces.

The primary results of this study include (1) introduction of a new geometrical model for FPM pore space representation; (2) derivation of closed-form expressions for FPM liquid saturation, considering individual contributions of matrix and fracture domains; (3) derivation of physically based functions for prediction of FPM unsaturated hydraulic conductivity; (4) illustration of potential effects of non-equilibrium conditions between matrix and fractures on the unsaturated hydraulic conductivity function; and (5) introduction of potential strategies for inclusion of 3-D network effects via measured saturated permeability or CPA. Future work will focus on detailed experimental characterization of FPM properties to be used as input parameters for testing and refining the proposed model.

### Acknowledgements

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### Appendix A. Average flow velocity in isosceles triangular surface grooves

A solution for average flow velocity in isosceles triangular ducts with a solid–liquid (no-slip) boundary at the legs of the triangle and a liquid–liquid boundary at the triangle base was derived using a finite difference-based numerical scheme. The results were generalized and expressed as:

$$\bar{v} = \zeta \frac{\tau^2 B^2}{\eta_0} \left( -\frac{dP}{dz} \right) \quad (\text{A.1})$$

where  $\zeta$  is a dimensionless flow resistance parameter dependent on the vertex angle (pit angle),  $\eta_0$  is the liquid viscosity, and  $dP/dz$  is the hydraulic head gradient in flow direction  $z$ . The relationship between flow resistance parameter  $\zeta$  and pit angle  $\gamma$  may be parameterized as:

$$\zeta = 3.324 \times 10^{-4} + 6.057 \times 10^{-6} \gamma^2 - 1.963 \times 10^{-8} \gamma^3 \quad (\text{A.2})$$

with  $\gamma$  in degree ( $r^2 = 0.99998$ ). The validity of Eq. (A.2) and the solution for flow in corners bounded by a liquid–vapor interface [21,27,35] are limited to a range of pit angles of  $30^\circ \leq \gamma \leq 150^\circ$ , because of errors at very small and very large pit angles emerging from the applied numerical evaluation schemes.

### Appendix B. Analytical solution for sample scale liquid saturation and hydraulic conductivity for the fracture domain

For all geometrical configurations with  $\tau \geq \cos(\gamma/2)/(2 \tan(\gamma/2))$  Eq. (4), we are able to obtain closed-form expressions for the sample-scale response of the fracture domain by multiplying the single element expressions for liquid saturation Eqs. (6) and (8) and hydraulic conductivity Eqs. (16) and (18) with the gamma distribution for aperture sizes, and integrating the resulting expressions over part of the fracture population associated with the different filling stages, as discussed in Section 2.2. The resulting integral equations may be expressed by the following general integral:

$$H = \int_{B_L}^{B_U} (c_1 B^4 + c_2 B^2 + c_3 B + c_4) \text{Exp} \left[ -\frac{B}{\omega} \right] dB \quad (\text{B.1})$$

where  $H$  represents the sample-scale hydraulic function,  $B_L$  and  $B_U$  are the lower and upper integration limits,

Table 4  
Integration limits, constants, variables used to calculate sample scale liquid saturation, and saturated and unsaturated hydraulic conductivities for the fracture domain employing general Eq. (B.1)

	Lower integration limit $B_L$	Upper integration limit $B_U$	$c_1$	$c_2$	$c_3$	$c_4$
<i>Degree of saturation</i>						
$S_1$ complete saturation	$B_{\min}$	$B_1(\mu)$	0	$\frac{1}{2\omega^3}$	0	0
$S_3(\mu)$ partially saturated	$B_1(\mu)$	$B_{\max}$	0	0	$\frac{h(\mu)(2\tau + v \cos(\frac{\tau}{2}))}{\omega^3[v \cos(\frac{\tau}{2}) + 2\tau(1 + \tau) \sin(\frac{\tau}{2})]}$	$\frac{F_7 r(\mu)^2 - 2h(\mu)r(\mu) \cot(\frac{\tau}{2})}{\omega^3[v + 2\tau(1 + \tau) \tan(\frac{\tau}{2})]}$
<i>Hydraulic conductivity</i>						
$K_{SRR}$ saturated conductivity	$B_{\min}$	$B_1(\mu)$	$\frac{\phi_F [K_d(v + 2\tau \tan(\frac{\tau}{2})) + 2K_d \tau^2 \delta \tan(\frac{\tau}{2})]}{2\omega^3[v + 2\tau(1 + \tau) \tan(\frac{\tau}{2})]}$	0	0	0
$K_2$ (m) Unsaturated conductivity	$B_1(\mu)$	$B_{\max}$	0	0	$\frac{\phi_F K F(\mu) h(\mu) (2\tau + v \cos(\frac{\tau}{2}))}{\omega^3[v \cos(\frac{\tau}{2}) + 2\tau(1 + \tau) \tan(\frac{\tau}{2})]}$	$\frac{\phi_F [K C(\mu) r(\mu)^2 F_7 \delta - 2K F(\mu) h(\mu) r(\mu) \cot(\frac{\tau}{2})]}{\omega^3[v + 2\tau(1 + \tau) \tan(\frac{\tau}{2})]}$

and  $c_1$ ,  $c_2$ ,  $c_3$ , and  $c_4$  are constants or variables listed in Table 4. The analytical solution of the integral in Eq. (B.1) is given as:

$$H = F(B_L) - F(B_U) \tag{B.2}$$

with

$$F(B) = \omega \left\{ e^{-B/\omega} [B(B^3 c_1 + B c_2 + c_3) + c_4 + \omega(4B^3 c_1 + 2B c_2 + c_3) + 2\omega^2(6B^2 c_1 + c_2) + 24\omega^3 B c_1 + 24\omega^4 c_1] \right\} \tag{B.3}$$

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