Peter Auer<br>peter.auer@germanistik.uni-freiburg.de Jacinta Arnhold

# Being a "colono" and being "daitsch" in Rio Grande do Sul: Language choice and linguistic heterogeneity as a resource for social categorisation ${ }^{1}$ 


#### Abstract

This paper investigates sociolinguistic styles as indexes to social identities in the context of the 'German' colonial zone in Rio Grande do Sul, Brazil. On the basis of a detailed sequential and stylistic analysis of three interactional episodes in a sindicato office it is shown that the deployment of sociolinguistic style is relevant for the display and ascription of identity-related features of ethnic belonging (daitsch) and positioning on the rural-urban continuum (colono). In particular, the paper focuses on language choice and code-switching/mixing.


Key words: identity, bilingualism, German in RS.

## Style and identities in interaction

Choosing a certain way of speaking and behaving instead of another has social meaning. In recent years, this basic insight of sociolinguistics has been reformulated by many researchers using the concepts of (communicative, social) style and (social) identities (see Auer and Kallmeyer, in prep., for further details and bibliographical references). A 'certain way of speaking and behaving' can be called a style, if its features are perceived and interpreted in a holistic way by the members of a given group or community. It has social meaning if this interpretation refers to social categories (such as ethnic, gender, age, or a certain milieu) such that speaking/ behaving in a certain way is seen as an index of
incumbency in this category. Ascribing category membership of this type to a person, or displaying one's own membership in this category, is what we mean by social identity work.

In this paper, we will investigate socialcommunicative styles and identity work in the German/ Portuguese bilingual 'colonial zone' in Southern Brazil. We will refer to language choice and code alternation as well as the varieties of German and Portuguese used in order to characterise these styles, but also to communicative (rhetorical) strategies employed to formulate an argument, a complaint, a problem, in an institutional context. One of the points we wish to make is that bilingualism is more than a mental disposition or a set of cognitive abilities. It is a resource for constructing

[^0]meaning, in two ways. On the one hand, bilingualism can appear in interaction as code-switching by which we mean the juxtaposition of two semiotic (in our case, linguistic) systems in order to create local meaning in conversation. For instance, code-switching may contrast different participant constellations, different verbal activities, different modalities (keys) such as ironic and serious talk, etc. But on the other hand, the use of two languages (or in some cases, the lack of using two languages were this is expected) can also display a speaker's belonging to a certain social group, i.e. it may index category membership. Bilingualism is therefore both a resource for creating conversational structure and for doing identity work in interaction.

The identity-relevant categories we will be concerned with are on the one hand an ethnic category ('German'/‘daitsch'²), and on the other hand the economic/ cultural category 'colono'. Although these category labels are never used explicitly in our data, we claim that they are relevant as indexes to the participants' identities in the data we want to look at.

Before analysing the data in more detail, it may be useful to recall the basic principles upon which the identities-in-interaction approach rests; they have been formulated by Antaki and Widdicombe (1998, p. 3) as follows:
(i) having an identity means "being cast into a category with associated characteristics or features"; incumbency in this category may both be claimed by a participant to an interaction and ascribed to him/her by co-participants
(ii) identity-relevant activities in interaction are "indexical and occasioned", i.e., they cannot be understood unless their embedding into the conversational and larger context at hand is taken into account
(iii) identity as an occasioned and achieved category incumbency needs to be made relevant in an interaction in order to become consequential in/for it; this holds for brought along and brought about identities. In accordance with ethnomethodological principles, the analyst's task is to reconstruct this making relevant of a category. It need not imply the overt naming of an identityrelevant category though but can be achieved through symbolic means.
(iv) 'having an identity' is consequential for interaction, since the respective category is linked to category bound expectations of action; this consequentiality may become visible in a shift of footing of the interaction; however, it may also lead to the somewhat trivial consequence that 'nothing special' happens precisely because co-membership is established.
(v) this consequentiality opens up the possibility for the analyst to reconstruct from those category bound activities ("people's exploitation of the structures of conversation") the identity-relevant category in question.

Our primary aim is to discover how certain linguistic 'variables' can index social categories and do the identity work described by Antaki and Widdicomb (1998). For the sociolinguist, this implies that the variation space is defined, not so much within a language, but within a (group of) speaker's linguistic repertoire. However, this variability is not of interest in itself but only to the degree that its symbolic potencies are actually exploited by social actors (consciously or unconsciously) in order to present their own social persona in a given social context.

After a short introduction to the field of inquiry we will discuss three speakers' different social-communicative styles and their interactional embedding (recipient feedback) in a bilingual, rural context in Rio Grande do Sul (RS), South of Brazil.

## The Germano-Brazilians in RS as a field of sociolinguistic inquiry

Here, we remain sketchy since the sociolinguistic context of our study is well known to the present audience. However, some remarks may be useful to clarify our perspective on this group of speakers. As in most immigrant communities, membership is not categorical but rather graded in subtle ways. Among the explicit grading devices observed among our informants and reflected in their system of social categorisations is a difference between "Germans" (Daitsche) and people "of German descent" (descendência alemã: mai vatter wòr Daitscher...), which reflects a way of positioning oneself closer to or more distant from the 'core' of the community. This gradedness of membership is also reflected in and achived by the use of symbolic means which express Germanness; apart from a number of resources which could be called folkloristic (such as house-building and housekeeping, folk dances, folk music, cooking, certain sports such as bowling or shooting rifles, fairs such as Oktoberfest imitations), an important resource here is the language varieties used, including the specific way in which Brazilian Portuguese and German are spoken and in which they are intertwined. The (graded) social (membership) category Daitsch is complemented in the area by the category Italiener (Italians) (the two being the core of the secondary category imigrante); both Daitsche and Italiener are opposed to the category 'Brazilian' (Brazilianer, brazileiros) which is used by the 'Germans' as a residual (non-ethnic) category, i.e. for all Brazilians of

[^1]non-(recent) immigrant background (cf. Bueno Aniola, 2005 [forthc.]).

The stereotype of the German colono is represented by German-origin families who live in remote areas of the hinterland in relatively homogeneous, monoethnic groups with restricted contacts with mainstream Portuguese-speaking Brazilian society. Their autarkist way of living never was the only one though. From the very beginning of the immigration in the first half of the $19^{\text {th }}$ century, another, smaller, more assimilationist group of Germans settled mainly in the developing urban centres such as Porto Alegre, the state capital. They contributed in important ways to the establishment of commerce and industry in the area, but quickly gave up their German language and culture (despite some German cultural centres in Porto Alegre which survived until the 30s), such that Porto Alegre is today a monolingual Portuguese-speaking city. What is characteristic for the last decades is a shift from the autarkist to the assimilationist position by many Brazilians of German descent also in the hinterland (interior) of RS.

It is clear that the economic structure of the colonial zone is formed in important ways by the distinction between urbanity and rurality, or between city and hinterland (interior). The more one advances into the interior of RS, the less prosperous the population becomes. But this is also an ideological issue. As already mentioned, the stereotypes which the non-immigrant Brazilians in Rio Grande do Sul (as well as most Italian-origin immigrants) share about 'the Germans' mainly target the colonos (peasants) of German origin in the 'inner-most interior' of the country (cf. Bueno Aniola, 2005 [forthc.]). These stereotypes are not very positive, ranging from character traits such as being stubborn (teimoso), impolite and unsophisticated (grosso) to outer characteristics such as being badly dressed and groomed, and lacking in hygiene standards. Of course, these stereotypes are also known by the Germano-Brazilians; for instance, a regular radio comedy programme in the town in which the following data were collected recurrently played with the stereotype of the German colono who hates to take showers while we did research in the area. It is not surprising then that there is a certain social pressure on the previous autarkist population of German descent to turn to a more assimilationist stance.

The town has roughly 17000 inhabitants the large majority ( $90 \%$ ) of which is of German descent. It is located in a hilly area in one of the earliest German settlement areas and today an area which is attractive for tourists because of its mixture of immigrant culture and scenic beauty. The dominating social groups are almost exclusively 'German'; and the town is generally perceived by its inhabitants (of German descent or not) and by the outsiders as 'German'. Most ‘Germans' see the 'Brazilians' as a threat, and there is a clear tendency to keep them out
of power positions. On the other hand, the economy of the region no longer rests on agriculture alone. Although the countryside of the town (its immediate interior) is still very much agricultural, there is also a considerable number of small industries (mainly leather and knitwear) which depend on outside labour, basically of non-German ethnic background. A somewhat half-hearted commitment to tourism also reflects a certain ambiguity towards letting the town become 'spoiled' by large scale ('Brazilian') tourism. The town is thus ideologically speaking conservative, but it also presents the image of a 'modern', up-to-date place which is integrated into the Brazilian (or at least Riograndese) economy.

The data we will focus on in the following sections were recorded at the office of the local Sindicato dos Trabalhadores Rurais (Rural Workers Union) which plays an important role by catering for the social and economic needs of the small farmers in the area. In a way, it mediates between the autarkist and the assimilationist position, or between the Brazilian state/economy and the peasants of the interior. To the European eye, the sindicato presents a mixture of state welfare, political organ and remnants of the cooperativist movement unusual for a union. The colonists become members of the sindicato (and pay membership fees). For those fees, they can claim social and economic benefits. The economic benefits are basically related to buying agricultural materials such as seeds from the sindicato and selling one's products through the sindicato on the market. This is partly done within a premonetarian exchange system (troca-troca: barter). The social benefits are perhaps even more important; they extend to all sorts of social welfare, starting from the posto de saúde (a general practicioner's office) to advice-giving about the state administered social security system. In general, the economic, legal and administrative system of the Brazilian state is translated by the sindicato for the colonists who turn to the sindicato in order to find solutions for their various problems.

Although the sindicatos historically speaking have not originated from the traditional Germano-Brazilian infrastructure (and are not related to the cooperative movement of the early $20^{\text {th }}$ century), the local office is today considered by the Germans as one of their institutions. While supported by the state, the sindicato is not looked upon as a state institution. This is also reflected in its language policy: while state institutions are always monolingual Portuguese, the sindicato is thoroughly bilingual. All the employees we were able to observe and tape-record were perfectly fluent in both languages. They preferred to speak Portuguese with some German code-switching when among themselves, but they adapted easily and freely to the German language choices of their custumers, many of whom were clearly dominant in German. These employees of the sindidaco were thus ideal brokers; not only in a linguistic sense, but also in a
cultural sense. Since most of them had grown up in the interior themselves, they knew the colonists' life from their own experience. On the other hand, they had become acquainted with the state administration through their training and studies.

## Displays and ascriptions of identities in the sindicato

In this section, we will present three farmersclients at the sindicato office in somewhat more detail, each of whom uses a specific social-communicative style, and each whom is responded differently by the employees. The three custumers can be ordered linguistically by the way in which they combine German and Portuguese - from a next-to-monolingual German mode to a next-to-monolingual Portuguese mode over a bilingual style, which combines the two languages by switching and mixing. However, the issue of language choice is just one of a co-occurring set of linguistic and non-linguistic communicative features which includes the selection of linguistic resources from the German and the Portuguese domain of the linguistic reportoire of the community, but also prosody, posture and gesture. The deployment and interactional relevance of the respective communicative styles will be analysed sequentially, i.e. by looking at the interaction unfolds in terms of the subject matters dealt with. Since Le Page's idea of focussing and diffusion crucially depends on the recipients feedback, the sequential method is particularly suited since it allows (and requires) taking into account the way in which the representatives of the institutions (the employees at the sindicato) respond to the client.

In the institutional context of the sindicato, another set of identity-relevant categories is relevant in addition to ethnic ('German') and economic-cultural ones ('colono'). These are the categories 'employee' and 'client'. As we shall see below, linguistic choices - particularly the choice of Portuguese vs. German dialect - are sensitive to the selection of this category pair which contrasts with the non-institutional category-pair 'German'/'Brazilian' but can also combine with it.
(Socio-)linguistic (and in general, stylistic) choices become meaningful by being opposed paradigmatically to other, alternative choices. It is therefore necessary to know the linguistic repertoire of the community in order to be able to understand the meaning of the choices. In the research area, the base dialects brought along from Germany have largely disappeared in favour of a dialect koiné which is often called Hunsrückisch (from a mountain
area in Germany from where many of the first settlers originated), sometimes simply Daitsch (cf. Auer, in print). This koiné has next to completely absorbed the dialects of the Rhineland, of Silesia, of Pomerania, of Swabia, etc., which also used to be spoken in the area. However, it varies internally on a basilect - acrolect continuum, the latter being closer to standard German. The acrolectal form clearly carries more prestige than the basilectal one. The leading classes, to the extent that they speak German in public, (and also the employees in the sindicato) use this acrolectal form.

Brazilian Portuguese is spoken by all Brazilians of German descent today; however, their Portuguese varies between a speech style which is indistinguishable from the one used in Porto Alegre over one in which local gaúcho elements of rural (non-immigrant) Rio Grande do Sul speech are present, to one which clearly betrays their German language background. It is a small set of phonological and phonetic features which is responsible for this German accent ${ }^{3}$. Given the negative attitudes of the monolingual Brazilians towards these features, it is justified to call them basilectal as well. But note that the terms acrolectal and basilectal refer to the overt prestige of the variants on the "official market"; their covert prestige may be quite different.

A custumer who enters the sindicato can theoretically exploit the whole variation space in terms of referential-cum-predicational communicative efficiency. The employees will understand all variants. The social semantics of these variants differ widely, however.

## Client 1: The seeds

In our first example, a man, presumably in his fifties (k1), has come to the sindicato office. He wants to exchange maize seeds of the type 'Agromer' 303 which he was given by mistake, for those of type 'Agromer' 122 which he had originally ordered. There are three employees in the office; one of them (a2) serves the client while the others enter and leave the space behind the counter, sometimes taking part in the interaction between A2 and the client as well (Extract 1).

## Extract 1. Interacion 1.

(NP-VCJ9; the recording starts when interaction between the client and the employee of the sindicato who serves him has just passed beyond the initial greeting sequence, the identification of the client by name, and a first problem exposure. Portuguese in italics.)

[^2]| 30 | a2: | sorry? |
| :---: | :---: | :---: |
|  |  | <<i>lijo.> |
|  |  | yes |
| 31 |  | verzich;= |
|  |  | forty |
| 32 | k1: | = j o. |
|  |  | yes |
| 33 |  | [de ande midedot Omdrogge. |
|  |  | the others exchange with those |
| 34 | a? |  |
| 35 | a3: | ((a3 enters the room and passes by))(mor[gen) |
|  |  | morning |
| 36 | a? |  |
|  |  | [( ) |
| 37 | a2: | hunnertzwaiezwanz[ich. |
|  |  | one hundred and twenty two |
| 38 | k1: | [ljo. (3.0) |
|  |  | yes |
| 39 |  | ich hatt ai (.) |
|  |  | I had |
| 40 |  | pur nekst von denne i han'= |
|  |  | very similar to those I have |
| 41 |  | sen awwa net so vill KOMM;= |
|  |  | but not so many came |
| 42 |  | hon ich net so vill gri:d; |
|  |  | I didn't get so much |
| 43 | a3: | das DOO jahr woor des (.) |
|  |  | this year it was |
| 44 | k1: | AH |
| 45 | a3: | das DOO joor woor das schE:jn gewes (.) med de (pflanzmilje). (-) |
|  |  | this year it was fine with the seed maize |
| 49 |  | sen (schu) zu we:nich [(komm von ) |
|  |  | too few came of ( ) |
| 50 | k1: | [wesst (.)ich hatt (.) fenef päck (.) von denne bestellt gehat. (.) ( ) |
|  |  | you know I had ordered five packs of those |
| 51 | a3: | vleicht grie me ja nEchscht [jahr meh $\ll p>$ ( )> |
|  |  | maybe we will get more next year ( |
| 52 | k1: | [jo |
|  |  | yes |
| 53 | a3: | awwer das do: jahr sen se schon NÄCHST nommo AAL. (.) |
|  |  | but this year they are next to gone already |
| 55 |  | un me sen erscht im okTO:ber; and it is only october |
|  |  | ( $2.0 ; \mathrm{k} 1$ signs a form for a2) |
| 56 | k1: | NE: das dO: joor wimmo GLAICH. (.) no this year I will right now |
| 57 |  | vo:rjes jahr sen ich hingang $=($. |
|  |  | last year I went there |
| 58 |  | ba die la:d dennere abgemach;= |
|  |  | to the people (and) took off ((=peeled)) (some of their maize) |
| 59 |  | das woor puur POTT. |
|  |  | this was pure crap |
| 60 |  | hon ich re geplanst wo ich kO:f hat= |
|  |  | I planted some which I had bought |
| 61 |  | sollst mo sin wi das schEjne milje wor(d)= you should see like they became good maize |
| 62 |  | anre ere PUUR ((makes a disdainful hand |
|  |  | gesture)); (.) |


| 63 | a2: | those of the others just [so STECkcha [geb; [((makes hand gesture indicating the height of the maize)) |
| :---: | :---: | :---: |
|  |  | became little sticks |
| 64 |  | [hast de hast de pack (.) wo hAs de pack? have you have you your sacks where do you have your sacks |
| 65 | k1: | im KARre |
|  |  | in the car |
| 66 | a2: | kommst ai: (.) unne (durchrinn dann). |
|  |  | come through downstairs to the back then ljo. |
| 67 | k1: | yes |
| 68 | a2: | driwwe in de FUNd[os. |
|  |  | over there into the back entrance |
| 69 | k1: | [tá bom. |
|  |  | o.k. |

((Customer leaves the room.))
In the first 11 lines of the extract, the customer (k1) and one of the employees of the sindicato (a2) are involved in a business transaction. K1 has stated that he wants to exchange (maize) seeds. The deontic formulation in 05/06 suggests that he has talked to somebody else before who instructed him to bring along the wrong seeds (type 303, line 05 : 'I have to bring them') and that he would then get the right ones (note the conjunctive grecht $=$ std.Germ. kriegte 'would I become' in line 06). The employee confirms that he will get a credit for the returned seeds (line 07), and the costumer adds the brand name about which he is not entirely sure (cf. the hedged phrase in line 08). He concludes by formulating once more his intention to exchange the seeds, and the employee starts to fill in the forms, averting gaze and looking down at his paperwork. Two things are noteworthy up to this point. First, the client selects German (dialect) for the interaction. He insists on this language choice although the employee's sim in line 04 can be heard to invite either a change to Portuguese or a mixed language use. Second, the communicative style which k1 employs is highly 'elliptical', i.e. it depends on background knowledge and inferencing on the part of the employee. For instance, his lines 02 die harre ich jo verkehrd ... 'I had ... them by mistake' and 03 hunnerdzwoienZWANzich harrich '122 I had' both leave the predicate (inferrable: 'been given' and 'ordered') implicit, since the main verb is lacking. In 08/09, it is unclear whether the brand-name Agromer refers to the seeds received or those ordered, or both. But note that neither the fact that the costumer insists on German dialect, nor his implicitness lead to major problems for the interaction: it proceeds smoothly, and the costumer gets what he wants.

In the following section of the interaction, k1 introduces a different topic which is unrelated to the business at hand but linked to the topic of the seeds. K1 in fact attempts to start a chat while he has to wait until a2 has completed the paper work, and since a 2 is not available
as a recipient (he is still looking down at the papers), he after some initial problems manages to establish eye contact with another employee of the sindicato (from line 15 onwards), who has just entered the room and sat down behind the counter, next to a2. The costumer talks about two different types of (maize) seeds (12) which he apparently has tried out (15) because they are said to be particularly well suited for the production of cattle feed (13). However, the chat is not successful, presumably because of referential difficulties linked to k1's once more highly elliptical and implicit way of speaking. After a rather non-committed continuer in line 14 , al requests a clarification (16) which the costumer is unable to give; neither does it becomes clear who gave him the seeds (17: 'they gave me...' with unpersonal 'they') nor which seeds exactly he got (19). Intermingled with questions the first employee asks about the seeds the customer wants to exchange (20-23) and about the customer's membership in the sindicato, the customer tries to continue the topic of the chat (lines 24, 27), but there are no further contributions from a1 (or a2). The chat has failed, k1 has not received uptake from either of the employees. From the point of view of language choice, note that the employee switches into Portuguese for the question about k1's membership in line 25 . This is a typical code-switching which contextualises the employee's incumbency in the institutional category of the sindicato's employee, and thereby invites the co-participant's categorisation as a member of the opposite category, that of the client. K1 does not accept this contextualisation but once answers in German dialect (25-26).

The following sequence (28-38) once more deals with technical details of the exchange of seeds, this time concerning the quantity of seeds the costumer wants to take with him ( 40 kg ). At this point, the third employee (a3) enters the room and greets the costumer in passing (35). K1 now makes a second attempt to initiate small talk, this time with a3. He starts with what may be heard as a very week complaint (39-42) that he didn't get as much seeds as he wanted. a3 responds with a general remark about how good this year's harvest was (45: 'it was good year for seed maize'), but that the sindicato got too little seeds to satisfy the demand. K1 repeats that he had ordered five sacks (50) (and presumably didn't get them), and a3 suggests that the next year the sindicato may have a better supply, but that this year the stocks were already sold out almost entirely although it was only october (spring in Brazil) (53-54). While a2 hands over a form to be signed by the costumer (which presumably marks the end of the official business transaction), k1 starts a third attempt to embark on small talk. He tells a story about how it pays to buy proper seed maize from the sindicato instead of growing it oneself. Once more, his style is elliptical and can only be understood on the basis of a good deal of contextual inferencing. Line 56 pre-announces the point
of the story, but is broken off ('this year I will ...', to be continued: 'buy seed maize from the very start'). He switches into the story mode by introducing a time in the past ('last year', 57) and reports that he went some place to 'the people' and 'took off' something (by inference: he went to the other peasants' places and peeled off their maize), and it turned out to be of poor quality (59). He himself (so he continues) had bought seed maize instead (and thereby invites the retrospective inference that the other peasants had not done so, i.e. they had grown their own seed maize) (60) and it came off very well (61). He again refers to the bad quality of the self-grown maize by saying that the others had only got little 'sticks' in their fields (63) (instead of proper maize plants). But this storytelling has the same fate as the first attempt to initiate a chat with A1: there is an almost ironic lack of uptake both from a3 and a2. Instead, a2 overlaps the costumer's last evaluation with a technical question which clearly invites closing of the interactional episode: he asks where the costumer has stored the seed sacks he wants to exchange (64). The customer answers that they are in his car (65) and the employee tells him to drive it into the backyard which leads the episode to closure. It finishes with the customer's only Portuguese contribution (tá bom); neither the customer nor the employees a1 and a3 exchange final salutations with him.

The sequential development of this interactional episode as described so far gives a number of clues to its interpretation. We are dealing with a typical example of an institutional transaction which takes place between one of the employees (a2) and the client-costumer (k1). The representatives of the institution usually dispose of information, organisational and procedural knowledge not equally accessible to the client. Note that k 1 is not well acquainted with the maize types available; neither is he sure about the brand name Agromer (cf. line 08), nor does he know the names of the other maize types he talks about in the following sequence with al (cf. lines $08,12,17-21$ ). This visible lack of professional knowledge establishes a clear hierarchy of competences - the employees and the costumer are not of equal standing - , and even impedes understanding between a1 and k1 (cf. 16-21).

The unequal relationship between $\mathrm{a} 1-3$ and k 1 as incumbents of the institutional categories of 'employee of the sindicato' and 'customer/client at the sindicato' is further enhanced by another important problem in this sequence. As in many institutional contexts, talk between the participants in their institutional roles can be complemented (or replaced on occasion) by talk outside these roles ('small talk'). Such talk would establish a different, non-hierarchical relationship between the participants, often implying some kind of co-categorization. In the context of the sindicato, such co-categorization could be done (and often is done) using the membership category 'German'. K1 makes three attempts to change
the frame of the interaction in such a way, none of which is successful. In the first case (12-27), he starts small talk about a new sort of maize which he is about to try out; k1 gets some initial attention from a1 but fails to establish the topic. A second attempt is made in lines 39-55, when k1 starts to talk about his seed purchases. In this case, a3 joins into the interaction, but instead of taking up k1's slight complaint in 39-42 directly, he answers with a general statement about the shortage of maize seeds (43-54). The third attempt to establish small talk starts with k1's story-telling in lines 56 ff ; in this case, none of the employees takes up the (point of the) story (although its up-shot is clearly supportive of the sindicato: seeds should be purchased there). Instead, particularly a 2 insists on terminating the interaction in a business-like, impersonal way.

In sum, we argue that the appearance of k 1 at the sindicato office evokes the stereotypes of the colono: a somewhat unsophisticated man who is not very familiar with the administrative and professional aspects of agriculture. There is some evidence in the employees' behaviour which shows that they actually perceive the man's performance in these terms. In particular, the employees refuse to take up k1's initiatives to change the footing of the interaction from business to small talk, and the interaction fails to display any features of personal co-membership and co-involvement. We propose that the social categorization of the costumer as a colono is based on the social-communicative style in which he presents himself. Part of this style is the exclusive use of German dialect, as we shall now show by considering alternative stylistic choices in the following sections. The client fails to pick up on the employee's various invitations to switch (momentarily, at least, i.e. for beaurocractic issues tied to the institution) to Portuguese. It is this lack of bilingual language use which is interpreted in an identity-related way.

## Client II: The unsuccessful buyer of sorgo

Our second case is in many ways almost the opposite (Extract 2). Another man roughly of the same age enters the sindicato office and approaches the counter; the two employees, who have been talking to each other in Portuguese in the back of the room so far, establish eye contact with him immediately.

## Extract 2. Interaction 2.

((employees are talking to each other in Portuguese when customer km10 enters))

$01 \mathrm{km10:}$| $\left(\begin{array}{c}\text { (alguma vez } \\ \text { (sometimes } \\ \text { bom DIA ( ) }\end{array}\right.$ |  |
| :---: | :---: |
| 02 |  |



| ((of land)) (yes) |  |  |
| :---: | :---: | :---: |
| 31 | a1: | ( [) |
| 32 | k10: | [zehn kilo du:sd=de (.) |
|  |  | (with) ten kilos you can do |
| 33 | a2: | <eu sei eh <affirmative>> |
|  |  | I know yes |
| 34 | k10: | wieviel INseie né> (.) |
|  |  | how much sowing right? |
| 35 | a2: | ljo;= |
|  |  | sure |
| 36 | km10: | = um monte de coisa né |
|  |  | a load of things right |
| 37 | a2: | (tá isso é [claro) |
|  |  | (that's it that's clear) |
| 38 | a1: | [ÉH:que |
|  |  | right also |
| 39 |  | eu acho ruim (.) que nem pro pessoal vem aqui pra |
|  |  | I think ((it is also)) bad (.) also for the people who come here to |
|  |  | take ((=buy)) maize right |
| 40 | k10: | não eu[SEl |
|  |  | no, I know |
| 41 | a1: | [quero tantos quilos tantos quilos ja aber |
|  |  | 'I want so many kilos so many kilos' well but ja das GEHT ja [net |
| 42 | k10: | this doesn't work of course |
| 43 | am1: | [(a gente faz escondi:do assim) eh |
|  |  | (they do it under the counter like that) right |
| 44 | k10: | (-) ( ) |
| 45 | a2: | <<laughing> o que dava né> what can you do, right |
| 46 | k10: | (Éh:: ma mais éh éh) é uma uma merda (-) |
| 47 |  | tá OK dann obrigado |
|  |  | it's o.k. then thanks |

The costumer, as it turns out, has a small piece of land on which he wants to sow sorgo (millet); his problem is that millet seeds are only on the market in large sacks, not in the small quantities he needs.

The episode starts with an exchange of greetings (bom dia). The costumer then formulates his reason-forcoming by asking a somewhat underspecified question, too vague to be dealt with adequately immediately, but which, since it is negated, already implies a declination of the request it implies, i.e. a dispreferred second: 'you don't deal with those seeds here, shit'. Taken literally, this statement is obviously wrong - no doubt the sincidato sells seeds. Employee a2 lets pass the first possible turn completion point at the end of line 5 , presumably expecting some kind of specification about 'those seeds'; when this does not follow (and the client goes into an evaluation of the presumed fact instead, line 6), a2 interrupts to state the obvious, i.e. that there are some maize seeds (7). At this point, and once more in interruption of the previous,

[^3]not-yet-completed utterance/turn, the customer becomes more specific: he doesn't want maize, but rather millet seeds (9). Employee a 2 confirms that millet seeds are not available (10) and once more states that there is only maize (12); this statement is repeated as an affirmation by the customer (13). At this point, the exchange could be over since the subject matter is sufficiently dealt with, and the customer's wish responded to - albeit negatively.

The following part of the interaction is a metapragmatic sequel for the purpose of mutual face work. The main strategy is to blame a third party - 'them', i.e. the state authorities and their unreasonable laws. Transition into this metapragmatic sequel is contextualised by the client's slight curse puta como é difícil in line 14, uttered in a low voice, as if the costumer was speaking to himself. It is the costumer who also introduces the vaguely designated third party culprits, eles (them), in the same line (15). Following the employee's question whether the agricultural cooperative of the town could not be of help (16), the client explains what has not been clear up to that point: that sorgo is principally available but only in larger packs than what he needs (i.e., 10 kg sacks; 19-21). The second employee also joins in now (24), expressing his regrets for not being able to serve the costumer. Once more, a possible termination point for the interactional episode is reached. This time it is employee a 2 who expands the interaction, taking up the notion of the third party culprit. He brings up another aspect of the problem: millet is not only unavailable, but the sindicato would not be allowed to sell it anyway in small quantitites by law (2526). (Since this is presumably known to the costumer, the negative way in which he formulated his initial request becomes more understandable now in retrospect.) In line 27 an exchange starts in which the costumer and employee a2 agree that 'the law' doesn't make sense since small farmers do not need large sacks of seed (30-36): 'for those who own only a small piece of land - how much could they sow with 10 kg ! A heap of things!'. Employee al adds that the same problem also applies to farmers who want to buy maize seed in small quantities $(38,39)$. 'They want some kilograms of maize, but ...', and the costumer completes, duetting: ' ... this doesn't work of course' (42). One tries to do it surreptitiously, the employee adds, and the other employee concludes 'what can you do' (45) - another invitation to close the interaction. The costumer has the final word; with another slight curse (merda, 46) for the authorities, a pre-closing ta o.k. and a final 'thanks' he leaves the office.

It is not difficult to see that this interaction evolves completely differently from the one discussed before. Maybe most striking is the difference in which the employees respond to the two clients. As the customer in the first example, the man in the second example seems to be unknown to the employees in the office. However, both employees immediately focus their attention on him as
soon as he enters the room, and they continue to be focused on him until he leaves. The client, in turn, sets the pace, and keeps the initiative most of the time. The equal standing of the client on one hand and the representatives of the institution on the other is both reflected in and achieved through the complaint about the counterproductive state regulations which keep both the client (as a farmer) and the sindicato (as the provider of goods for the farmers) from functioning effectively, and lifts the responsibility for the failed deal from both of them. This sequence at the same time enables all three participants to enact a categorization device which allows them to cocategorize themselves, i.e. the device 'us/the state'. Compared to the first example, the communicative style used by the costumer is very much an "involvement style" (Tannen, 1984): there are numerous overlaps, simultaneous starts and interruptions which, however, do not seem to inhibit or disturb the flow of interaction but rather support it.

The stylistic choices the costumer makes on the linguistic level also show a different pattern from the one we observed in the first example: the interaction is almost completely in Portuguese. The Portuguese spoken by the client does not have a German accent; rather it conforms to the variety used by most speakers in that area of RS, regardless of their ethnic background. Note, however, that the interaction is not entirely monolingual. It is employee a2 who first turns it into a bilingual one (line 12: mir han BLOSS milje), and it is only through the client's German repetition in 13 that we get to know for the first time that he is a bilingual of German descent. The second excursion into Hunsrück dialect is initiated by the client in 30, 32, 34 where he starts a turn (and, presumably, complex sentence) in Portuguese (se vê quem tem uma coisinha pequena...), continues in German (zehn kilo du:sd=de wieviel INseie né?) and finishes in Portuguese again with an answer to his own rhetorical question (um monte de coisa). The employee responds partly in Portuguese $(33,37)$, partly in German (35), thus acknowledging the bilingual nature of the on-going turn. The third excursion into German occurs in the duetting sequence 41-42 in which the employee switches in mid-sentence from Portuguese to German ( $j a$ aber), a sentence which is completed by the client (das GEHT ja net). Finally, there is small bit of admixture of German in the final turn by the client (dann obrigado).

The German utterance parts are only minor components in a basically Portuguese interaction ${ }^{5}$. However, they do not happen without producing social meaning. Particularly the first exchange of German utterances (lines $12 / 13$ ) is relevant here. On the one hand, the employee's mir han BLOSS milje is closure-implicative: it could terminate the failed business interaction. On the other hand, the switch into German opens up the possibility to switch from that business interaction into another, less institutional type of interaction since it implies a 'metaphorical' move away from institutional talk. As such, it is followed by the
first German utterance of the client in this interaction which establishes his German-descent background. This cocategorisation may be instrumental in the transition to the metapragmatic sequel of the interaction.

In sum, this speaker avoids activating the social category of the German colono in the interior. Both he and the employees activate their German ethnic background en passant, but they see to it that for the bulk of the interaction, the symbolic resources employed to not differ from those which would be used by monolingual Brazilians as well. It is obvious that the costumer shares with the employees an agricultual background, but he comes across as a professional farmer - even though the land he owns may be small and not larger than the one owned by k 1 . The social category indexed first and foremost is that of a male rural Southern Brazilian, the category 'German' remains in the background.

## The story of the selos

Our third example documents yet a third, typical way of managing one's social identity by using a bilingual communicative style on the stage of of the sindicato office (Extract 3). The client is once more male, and of approximately the same age as in the previous examples. One of the brought along and brought about differences is that the client and at least two of the employees ( a 2 and a1) seem to be known to each other.

Extract 3. Interaction 3.

| (Sindicato 3, VC II 27:17) |  |  |
| :---: | :---: | :---: |
| ((as k11 enters the room, the two of the employees who are |  |  |
|  |  | present, a2 and a1, are located in the back of the room, a1 sitting, a2 standing. The both turn to k11 as he enters.)) |
|  |  | ((nods as a greeting to k11 as he sees him entering)) |
| 02 | k11: | guten MORgen; good morning |
| 03 | a2: | MORgen; morning |
| 04 | k11: | alles GUT? |
|  |  | everything o.k.? |
| 05 | a1: | [ljo (.) wenn=s mo sche:n wedda gebt [nOch besser sure if the weather becomes better even more (so) |
| 06 | a2: | [alles gut everything o.k. |
| 07 | k11: | [gut, (.) |
|  |  | o.k.? |
| 08 |  | is das do kEEn WEDda; |
|  |  | is this no weather; ((=isn't that a (fine) weather!)) |
| 09 | a1: | ljo s=IS? (.) |
|  |  | sure it is! |


| 10 |  | [sche:n AUSgehn- (.) go out |
| :---: | :---: | :---: |
| 11 |  | [((a2 gets up and slowly starts to approach the counter; at the same time, a5 enters the room, takes a chair from the table behind the counter and moves it to a table on the window to the right where he sits down to work)) |
| 12 |  | spaZIEre gehengo for a walk |
| 13 | k11: | duut=s aich on you take it |
| 14 |  | duut=s onnehme wie=s kOmmt?= you take it as it comes |
| 15 | a1: | ljo; sure |
| 16 |  | hhhhh |
| 17 |  | k11: [ma |
|  |  | we |
| 18 | a1: | [MISS ma MISS ma[( ) we have to we have to |
| 19 | k11: | [<<lachend> MACH ma was you (SG) do something about it! ((=there is nothing to do about it)) |
| 20 |  | =machd=a da was dron> you (PL) do something about it! |
| 21 | a1: | MACH=mo was [droon; you (SG) do something about it! |
| 22 |  | [( a 2 is sat down next to k 11 now behind the counter)) |
| 23 |  | ljo. |
|  |  |  |
| 24 | a2: | <<più piano> ja awer (.) que que mAnda.> yes but what what can I do for you (4.0) |
| 25 | k11: | eu não sEi; I don't know |
| 26 | a2: | net zu VIEL reden $h \mathrm{~h} h$ <br> don't talk too much <br> ((general laughter, appr. 6 sec.; a1, still standing in the background, looks at k11 while he starts to describe his problem, until line 54 , when he disengages from the on-going interaction between k11 and a2)) (1.0) |
| 27 | k11: | ((from now on mostly in a subdued voice until 54)) eu tEnho um cadastro aí (.) de sElo não SEl; I have a registration (.) of a stamp, I don't know |
| 28 | a2: | para aproVAR. (1.0) <br> to approve |
| 29 | k1: | eu tenho o (.) (os quitado); (-) <br> I have (paid ones) |
| 30 | a2: | $\mathrm{hm}(-)$ |
| 31 | k11: | simples e (.) e wie=s (.) wie=s wor (-) <br> simple ones like like it used to be |
| 32 |  | das ENde= the end |
| 33 |  | ich han=s uf=m noome um ma bru (.) bruuder das administriere. |

[^4]


$\left.\begin{array}{lll}\text { 87 } & & \begin{array}{l}\text { e nessa área aQUI né; } \\ \text { and in this land here, you see } \\ \text { lá já entregaram pouca (gasse) né ( [ ) (fazê } \\ \text { de novo). }\end{array} \\ \text { (here they have already given out ( ) } \\ \text { (do it again). }\end{array}\right\}$

| 115 |  | cpf (.) tu traz o talão também. (.) CPF you take along as well. |
| :---: | :---: | :---: |
| 116 | k11 | ((nods head, takes piece of paper and reads it)) |
| 117 | a2: | certo |
|  |  | sure |
| 118 | k11: | certo |
| 119 | a2: | sure <br> [dann MACH ma=s so. <br> then let's do it like that <br> [((turns away from the counter and seems to be terminating the interaction with k11)) |
| 120 | k11: | hm. |
| 121 |  | (3.0) |
| 122 |  | ken problE:m (2.0) no problem |
|  |  | ((conversation continues beyond this possible closing point)) |

The topic of this sequence is a somewhat complex administrative matter. Since it is forbidden today in RS to clear wood without state approval, the farmers, who are often also owners of a small area of forest, have to get a stamp (selo) for cutting down trees. The quantity of wood which can be cut down per year depends on the size of the land somebody owns, and it is registered on the land. This client wants to sell some of his wood. However, since the land which is nominally in his possession was 'administered' by his brother, he isn't sure whether his brother has already used up his share of wood-cutting this year. The problem has arisen since the brother has suddenly disappeared.

The sequence is structured in four parts. The first part (lines 1-23) consists of an initial exchanges of greetings and small-talk about the weather between the employees a 2 and a1 and the costumer. During this sequence, one of the employees, who in the beginning of the interaction had been talking to the costumer from the back of the room, slowly approaches the counter and sits down behind it. When the trajectory of this movement comes to an end, transition into the second part of the interaction is initiated by this employee who asks what the client came here for (24). The second part contains the exposition of the problem by the client, starting in 27 , and coming to completion in 63 . The transition into the third part of the interaction is once more marked, not only verbally but also by body movement: as soon as he understands that the costumer wants him to look up in the books whether he can get a selo for this year, the employee gets up from his desk behind the counter, approaches the filing cabinets to the left and starts to search for the land registration file. This part of the interaction (until 82) mainly consists of the search process in the papers which is mainly done by a2 and his colleague and boss a5 whom he has asked for help; during this process, the two employees of the sindicato ask the costumer a number of questions about the
size of the land and the legal possessor. The fourth and final part starts with the superordinated employee's decision that the stamp needs to be issued on a different piece of land (which is also owned by the client) (83); while a5 retreats from the interaction, a2 explains the situation and the proposed solution to the client who agrees to bring along the documents necessary for the administrative process. The interaction comes to a possible closure by the costumer's repeated affirmation that he will go along with the employees suggestions as soon as the weather is bad (i.e. it is raining) and he is not needed in the fields, which will enable him to come to the sindicato again.

Without going into a detailed reconstruction of this interactional episode, let us point out some of its central features. First, it is clear that this interaction is invested with a lot more politeness routines and face work in general than the previous two. The episode is introduced by a longish sequence about the weather (which just previously to the time of the recordings had been notoriously bad; in fact, the region had been badly devastated by heavy rainfall and storms in the past days). The sequence is full of joking and laughter. In terms of language choice, it is purely German, i.e. in dialect. Note in particular the typical "how-are-things-going"-formula by the costumer right in the beginning after the exchange of greetings, i.e. alles GUT? (line 04), a loan translation of Portuguese tudo bom?, which is heard everywhere in the German colonies and clearly indexes belonging the the German community. (The employees, incidentally, uses a more acrolectal variety of German than the costumer; note in particular the verb ausgehen 'got out' instead of the more basilectal maiegehen in 10.)

The shift from small-talk into business is initiated by the employee who for that purpose switches into Portuguese, using a formula typical of service encounters (que manda, 24). The costumer's long silence before answering, as well as his 'I don't know' preface make a complicated exposition expectable (which is jokingly criticised by a2 in his German admonition 'not to talk too much', 26, and aside still outside the business transaction and therefore marked by code-switching). The costumer accepts the new language-of-interaction for the new frame 'business talk' and starts to explain what his problem is in Portuguese. In addition to the new language choice, the new footing is also contextualised by the reduced loudness (27ff). As in the previous two extracts, particularly in the first one, the initial exposition of the problem is not very clear and full of vagueness. The client starts to say that he
is registered to receive stamps (selos, 27); the employee conjectures that he has come to renew (renovar) this registration (28), but k11 disregards this conjecture and continues to explain that the registration has been paid (quitado), and that it is a simple one, 'as it used to be' (31). The registration is under his name but his brother was in charge of the land (33). The next step in the exposition of the problem is also referentially vague; something has been cut off (35/36; we can infer from the later parts of the interaction that it probably is wood what the client talks about). Equally vague is the reference of $o$ homem ('the man') who 'disappeared' (38); neither do we now who this man is nor how he connects to the previous story. Again judging from the later parts of the interaction, we assume that k11 at this point failed to state that 'this man' refers to his brother who was previously mentioned as having been in charge of the administration of the land. He continues that there is wood to be sold there (39), and he concludes by asking (albeit in an affirmative clause) whether he can renew 'something' in order to 'get a stamp' (40/41). During this problem statement, the employee remains silent and does not verbally display his recipiency. After the possible turn completion point in 41, however, he starts with a series of questions through which he attempts to reduce some of the vagueness in k1's problem statement (42/43: who disappeared? and 46/47: did the brother have stamps?). At that point, the business interaction which began in Portuguese has already turned into a bilingual one in which both the client and the employee use German in addition to Portuguese, in what we call (opposing switching to mixing) a mixing style (cf. Auer, 1999): without being motivated by changes in the contextualisation of the situation, or achieving such a change of footing, this style seem to be the unmarked way of talking between these two men.

In the third part of the interaction, the two employees speak Portuguese among each other, but the information they request from the client is once more asked and given in both languages. For instance, a2 asks the client in Portuguese whether it is true that the selos were renewed the year before, and k11 first answers in the same language (65-68) but then elaborates in German and Portuguese (that in order to build the shed they presumably had to have the stamp; 69-71). On the other hand, the German question by a5 in line 73/75 whether k11 owns that piece of land, and a2's follow-up question of how many hectars he owns (77), are responded to by the customer in Portuguese.

[^5]When the superordinated employee decides on the matter and states that the selos can be issued, but only on another piece of land (83ff) he does so in Portuguese, thereby underlining his superior position; it is a2, the client's acquaintance, who explains the decision to the client, sometimes in German, sometimes in Portuguese (91ff). The final routines (k11: das mache ich wenn ich zeit hon, 105-109; a2: dann mach ma=s so, 119, k11: ken problE: $m, 122$ ) are mainly in German, leading back to the language choice in the beginning of the episode.

What kind of identity does this costumer display through his linguistic choices? First of all, he acts in a polystylistic way - he is able to switch from the Germanonly mode in the initial small-talk exchange to a codemixing style between Hunsrück dialect and a variety of Portuguese marked by a German accent. Monolingual German is not considered adequate for dealing with business matters in an institution such as the sindicato. It is, however, employed to establish solidarity and cocategorisation with (at least) one of the employees (a2). The symbolic value of switching and mixing as a communicative style implies that the speaker can neither subsumed under the category of the backwardish colono of the hinterland, who is naive in dealing with business and administrative matters and does not speak Portuguese well; nor does he actively distance himself from the category of the 'Germans' (as does the man in the second example, who has an equal standing vis-a-vis the institution but does not establish co-categorisation as a Daitscher). This costumer symbolises through his language choice that he has some kind of understanding of how the state administration works and how it can be made to work for his own benefit. By mixing Hunsrückisch and Portuguese he at the same time displays this kind of understanding, and indexes the employee's and his own common ethnic background, i.e., he establishes comembership.

## Conclusions

In this paper, we have presented three typical Brazilians of German descent who come to the sindicato's office in a small town in the colonial zone (Rio Grande do Sul) in southern Brazil. Each of them uses the linguistic resources available in the community differently to index (or not) economic-cultural (colono) and ethnic (daitsch) categories. Despite these differences, the three costumers at the sindicato's also show similarities; for instance, the way in which they present their concern is similarly vague. There are, then, commonalities of conversational style relating to discourse structure, sequentiality, the organization of complex (extended) turns, and so on which do not distinguish sharply between the three costumers. However, their different ways of displaying their bilingualism, and to speak German and/or Portuguese, has
important consequences for the way in which they are treated by the representatives of the institution: both the 'Portuguese' style and the 'mixing/switching style' occur in episodes in which the employees of the sindicato are easily engaged in cooperation with the costumer, while the first, German-speaking costumer fails to establish coinvolvement from the employees beyond the minimum necessary to carry out the business transaction. In this sense, the communicative styles in which the three speakers act become the interpretive resources for the ascription of identity-related categories which are indeed, as Antaki and Widdicomb (1998) claim, consequential for interaction.

## References

ANTAKI, C. and WIDDICOMBE, S. 1998. Introduction. In: C. ANTAKI and S. WIDDICOMBE (eds.), Identities in Talk. London, Sage.
AUER, P. 1999. From code-switching via language mixing to fused lects: toward a dynamic typology of bilingual speech. International Journal of Bilingualism, 3(4):309-332.
AUER, P. (in print). A(nother) scenario for new dialect formation the German koiné in Rio Grande do Sul. To appear in a festschrift.
AUER, P. and KALLMEYER, W. (in prep.). Introduction. In: P. AUER and W. KALLMEYER (eds), Social identities and style. Alternative approaches to linguistic heterogeneity.
BUENO ANIOLA, C. 2005. XX Unpublished Ph.D. Thesis, Department of German, University of Freiburg/Germany. [Obra sem título???]
TANNEN, D. 1984. Conversational style: analyzing talk among friends. Norwood, Ablex.
ZILLES, A.M.S. and KING, K. 2005. Self-presentation in sociolinguistic interviews: Identities and language variation in Panambi, Brazil. J. Sociolinguistics, 9(1):74-94.



[^0]:    ${ }^{1}$ This paper emerged from a research project on the Sprachliche Symbolisierung ethnischer Identität (Linguistic symbols of ethnic identity) co-directed by the first author and Christian Mair at the University of Freiburg within the framework of the research unit Identitäten und Alteritäten (SFB 471) funded by the German Research Council (DFG). A similar version will be published in a volume edited by P. Auer and W. Kallmeyer with the title Social identities and style. Alternative approaches to linguistic heterogeneity. We wish to thank Gilvan Mueller de Oliveira for his comments on the conference version.

[^1]:    ${ }^{2}$ The term will be used here in the sense in which it is used both by Brazilians of German descents and those of non-German descent, i.e. excluding Germans from Germany.

[^2]:    ${ }^{3}$ Among them, the distribution of the /R/-variants (with a merger of the Portuguese phonemic contrast between <rr> and <r>, /h/vs. /r/), loss of nasalisation in the vowels (particularly in the ending -ão, in its extreme form pronounced as $/ \cdot \mathrm{N} /$ ), lacking palatalisation of $/ \mathrm{t} /$ before /i/ and lack of voicing in the voiced stop system (cf. Bueno Aniola, in prep., for details; also cf. Zilles e King, 2005).

[^3]:    ${ }^{4}$ Name of an agricultural cooperative in the town.

[^4]:    ${ }^{5}$ Where he does speak German, the client uses a very broad, basilectal variant of Hunsrückisch, though. Note in particular the monophthong and the high onglide in the diphthong in inseie (std. German einsäen)

[^5]:    ${ }^{6}$ What is meant is a deed of sale.
    ${ }^{7}$ INCRA (Instituto Nacional de Colonização e Reforma Agrária) is the state run institution (national institute) for agricultural reforms.

