



**ROYAL INSTITUTE  
OF TECHNOLOGY**

# CT Chapter 1

# Basic Thermodynamics

# 1.1 First law of thermodynamics

States that energy can be neither created nor destroyed

Concerns *changes* in the internal energy – not the absolute value

If the system receives an amount of heat  $dQ$  and if an amount of work  $dW$  is performed on the system, then the internal energy has increased by  $dQ+dW$  i.e.  
 $dU=dQ+dW$

We consider only pressure-volume work, i.e.  $dW=-PdV$

$$dU = dQ - PdV$$

# 1.1 First law of thermodynamics

$$dU = dQ - PdV$$

Under constant volume (i.e.  $dV=0$ ):  **$dQ = dU$**

Under constant pressure (rewrite):

$$dQ = dU + PdV$$

$$dQ = dU + PdV + VdP - VdP = dU + d(PV) - VdP$$

$$dQ = d(U + PV) - VdP = dH$$

i.e.  **$dQ=dH$**

where we have introduced **enthalpy**,  $H=U+PV$

In thermodynamics we cannot distinguish between heat and work

- they are just different ways to transfer energy

## 1.2 Second law of thermodynamics

Introduces the quantity entropy,  $S$ . It requires that a process or reaction occurring spontaneously inside a system must increase  $S$  of the system if there is no heat exchange with the surroundings.

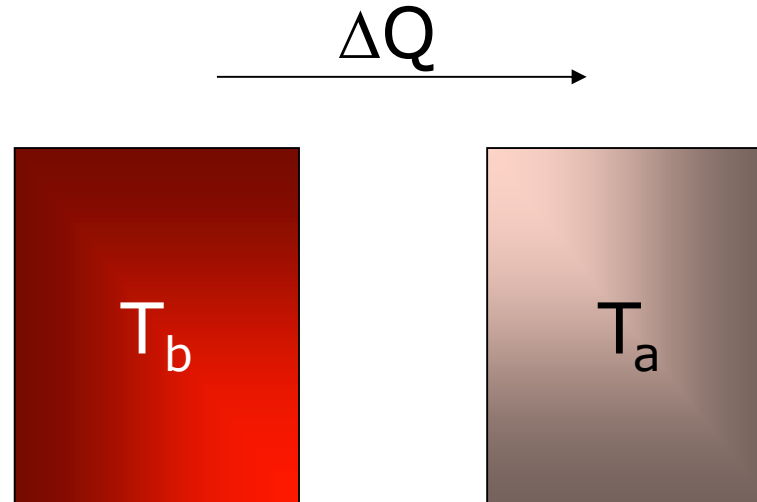
$d_{ip}S > 0$  for spontaneous **internal processes**

An internal process will be infinitely slow at  $d_{ip}S = 0$  and may be regarded as a reversible process

A heat exchange itself will change the entropy by  $dQ/T$

Total change of  $S$ : 
$$dS = \frac{dQ}{T} + d_{ip}S > \frac{dQ}{T}$$

## 1.2 Second law of thermodynamics



Heat only goes spontaneously from high to low temperature

$$\Delta S_{tot} = -\frac{\Delta Q}{T_b} + \frac{\Delta Q}{T_a} = \frac{\Delta Q(T_b - T_a)}{T_a T_b} > 0 \quad \text{where } T_b > T_a$$

Entropy produced!

## 1.3 Combined law

1st law  $dU = dQ - PdV$

2nd law rewritten  $TdS = dQ + Td_{ip}S$

Combined law  $TdS = dU + PdV + Td_{ip}S$

Rewrite  $-Td_{ip}S = dU + PdV - TdS = d(U + PV - TS) - VdP + SdT$

Introduce  $G = U + PV - TS$

Rewrite  $dG = VdP - SdT - Td_{ip}S < VdP - SdT$

At constant  $P$  and  $T$ :  $dG = -Td_{ip}S < 0$

or  $-dG = Td_{ip}S > 0$

since  $d_{ip}S$  always  
positive

# 1.4 Driving force and dissipation

## Equilibrium condition

$$(\partial G / \partial \xi)_{P,T} = 0$$

$$G = G(P, T, \xi) \quad (\xi \text{ is the extent of the internal process})$$

The slope, the rate of decrease of  $G$  may be regarded as the **driving force,  $D$** .

$$D = -(\partial G / \partial \xi)_{T,P} = Td_{ip}S / d\xi$$

Identify  $D$  with  $Td_{ip}S/d\xi$  i.e.  
 $Td_{ip}S = Dd\xi$  in previous eqs.

$$dG = VdP - SdT - Dd\xi$$

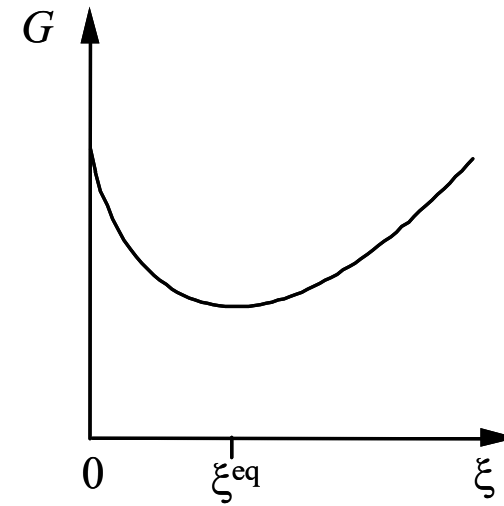


FIG.1.1.

# 1.4 Driving force and dissipation

## Equilibrium condition

$$D = -(\partial G / \partial \xi)_{T,P} = T d_{ip} S / d \xi$$

For a phase transformation between two states,  $\alpha \rightarrow \beta$ , one obtains by integration

$$D = -\Delta G_m \quad \text{where} \quad \Delta G_m = G_m^\beta - G_m^\alpha$$

## 1.4 Driving force and dissipation

### Internal process

What is an internal process?

Everything that happens spontaneously inside a system as the system approaches equilibrium, i.e. processes that are not "directly controllable".

Example: solidification of a supercooled liquid.

## 1.4 Driving force and dissipation

### Internal process – Example 1

Imagine for instance isothermal solidification of a pure supercooled liquid metal. The only thing that changes during the process is the amounts of solid and liquid metal. Let  $\xi$  denote the number of moles of solid metal,  $n(\text{solid})$ .



External variable = can be directly controlled during an experiment  
Internal variable = property of the system that adjusts as equilibrium is approached and cannot be directly controlled from the outside

## 1.4 Driving force and dissipation

### Internal process – Example 1

The combined law:  $dG = VdP - SdT - Dd\xi$

During the solidification  $P$  and  $T$  are constant and we get  $D = -dG/d\xi = -\Delta G/\Delta\xi = -\Delta G/\Delta N^{sol}$

The process:

$$liquid \rightarrow solid \quad \Delta G = G^{sol} - G^{liq}$$

and the **driving force**:

$$D = (G^{liq} - G^{sol})/\Delta N^{sol} = G_m^{liq} - G_m^{sol}$$

# 1.4 Driving force and dissipation

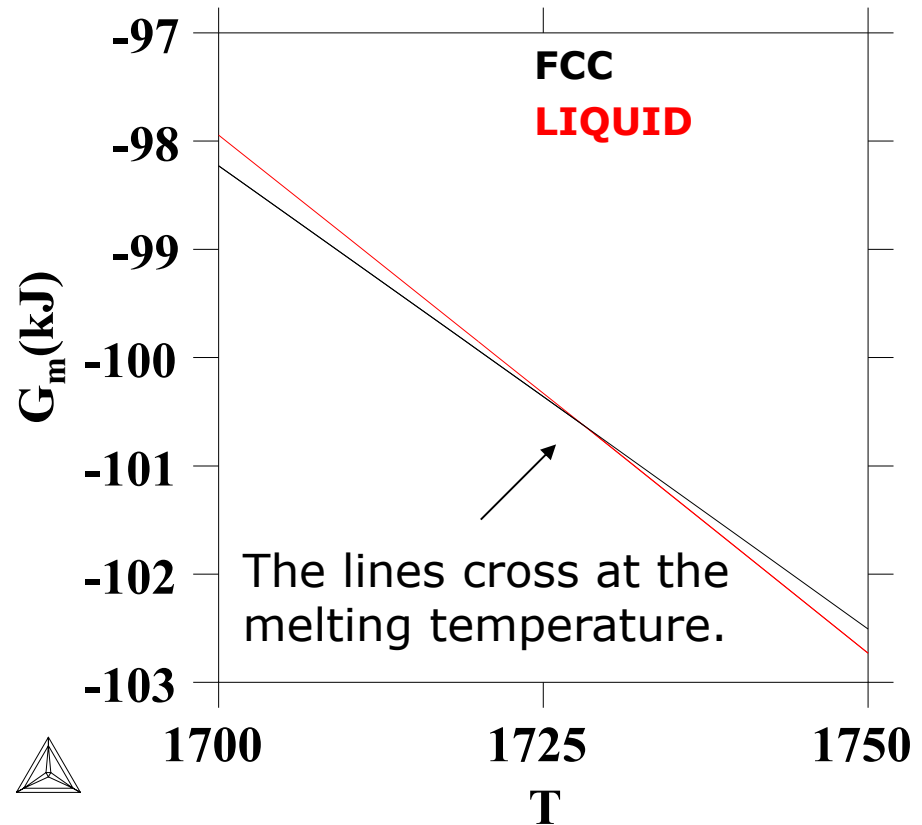
## Internal process – Example 1

Here an example where  $G_m$  for solid and liquid Ni have been calculated.

Solidification: liq  $\rightarrow$  fcc

$$D = G_m^{liq} - G_m^{fcc}$$

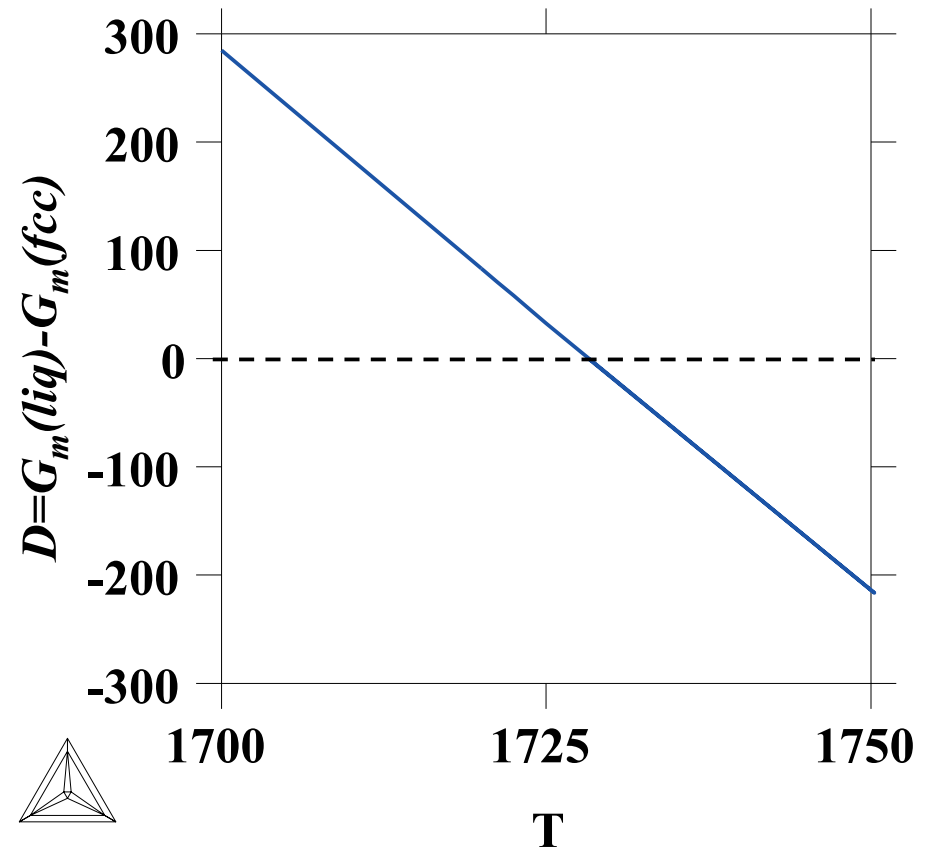
i.e. positive below  $T_m$   
and negative above



# 1.4 Driving force and dissipation

## Internal process – Example 1

Here same calculation but with  $D$  on the y-axis.

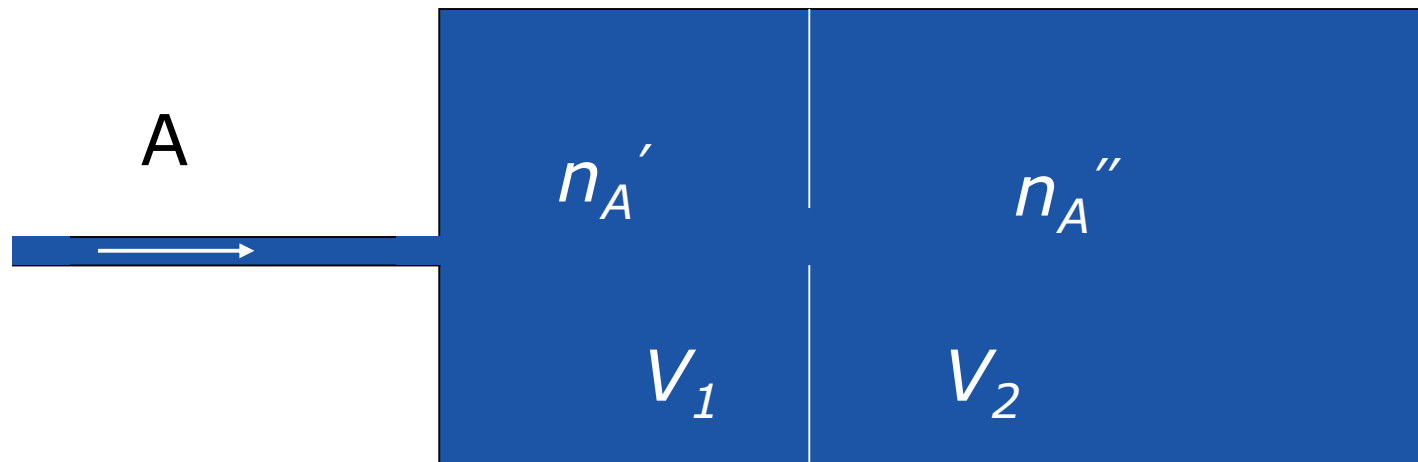


# 1.4 Driving force and dissipation

## Internal process – Example 2

$T$ ,  $V_1$  and  $V_2$  are fixed.

Suppose we blow in  $n_A$  moles of component A, what are then the equilibrium content of  $n_A'$  and  $n_A''$ ?



External variables are  $T$ ,  $V_1$ ,  $V_2$  and  $n_A$  and the internal variables are  $n_A'$  and  $n_A''$ .



## 1.4 Driving force and dissipation

### Reversible process and frozen process

$$dG = VdP - SdT - Dd\xi$$

An internal process occurring under  $D=0$  would not produce any entropy or dissipate any Gibbs energy and it would be infinitely slow (sometimes called a reversible process – does not exist)

For a frozen-in process  $d\xi = 0$

In both cases:  $dG = VdP - SdT$

## 1.5 Variable composition

Consider systems where also the content may change by exchange of matter with the surroundings, then the combined law becomes:

$$dG = VdP - SdT + \sum \mu_i dN_i - Dd\xi$$

$$V = (\partial G / \partial P)_{T, N_i, \xi} \quad S = -(\partial G / \partial T)_{P, N_i, \xi}$$

$$\mu_k = (\partial G / \partial N_k)_{P, T, N_j, \xi} \quad D = -(\partial G / \partial \xi)_{P, T, N_i}$$

If no internal entropy production, we get

$$dG = VdP - SdT + \sum \mu_i dN_i$$

and if  $P$ ,  $T$  and  $N_j$  are constant

$$\mu_k = (\partial G / \partial N_k)_{P, T, N_j}$$

# 1.5 Variable composition

## External variables and potentials

$\mu_k$  is the **partial Gibbs energy** of component k

$P, T, \mu_k, V, S, N_i$  are all **external variables**

$P, T, \mu_k$  are also **potentials** as they must have uniform values in the whole system at equilibrium

Specifically,  $\mu_k$  is the **chemical potential** of k

# 1.5 Variable composition

## Chemical potential

Using  $x_k \equiv N_k / \sum N_i = N_k / N$  we can get

$$dG = VdP - SdT + (\sum \mu_i x_i) dN - Dd\xi$$

Consider a large amount of homogenous matter with uniform  $P$ ,  $T$  and composition and let the system be a very small volume of it. Extend its limits gradually i.e. integrate

$$G = (\sum \mu_i x_i) N = \sum \mu_i N_i$$

the molar Gibbs energy is defined as

$$G_m \equiv G / N = \sum \mu_i x_i$$

# 1.5 Variable Composition

## Definition of chemical potential illustrated

Definition:  $\mu_k = \left(\frac{\partial G}{\partial N_k}\right)_{P,T,N_j}$

Binary case:  $\mu_B = \left(\frac{\partial G}{\partial N_B}\right)_{P,T,N_A}$

$$G_B \equiv \mu_B = G_m + x_A \frac{dG_m}{dx_B}$$

$$G_A \equiv \mu_A = G_m - x_B \frac{dG_m}{dx_B}$$

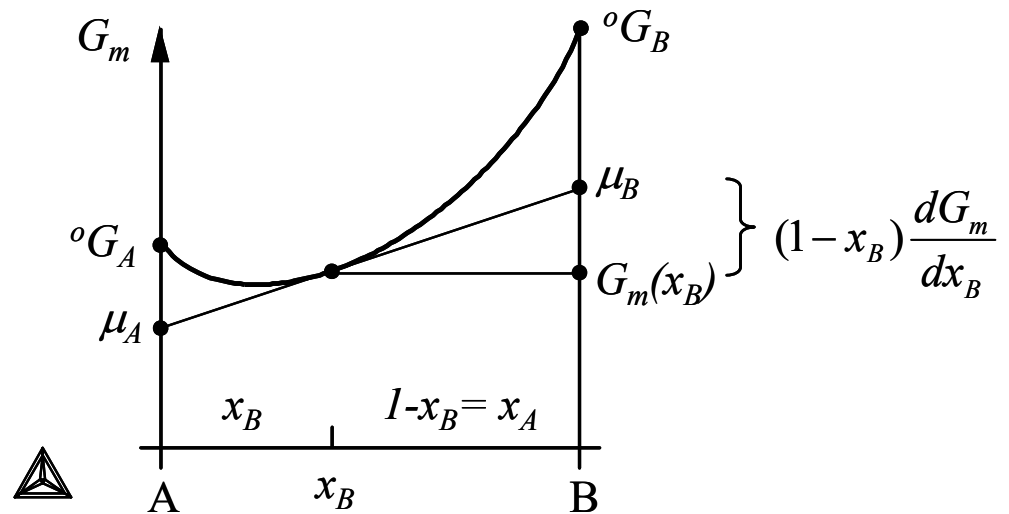


FIG.1.2.

# 1.6 Expressing chemical potentials through the molar Gibbs energy

## Binary system

We may derive the following equation for the slope of the tangent in Fig.1.2:

$$\mu_B - \mu_A =$$

$$G_m + x_A \left( \frac{\partial G_m}{\partial x_B} - \frac{\partial G_m}{\partial x_A} \right) - G_m - x_B \left( \frac{\partial G_m}{\partial x_A} - \frac{\partial G_m}{\partial x_B} \right) =$$

$$\frac{\partial G_m}{\partial x_B} - \frac{\partial G_m}{\partial x_A} = \frac{dG_m}{dx_B}$$

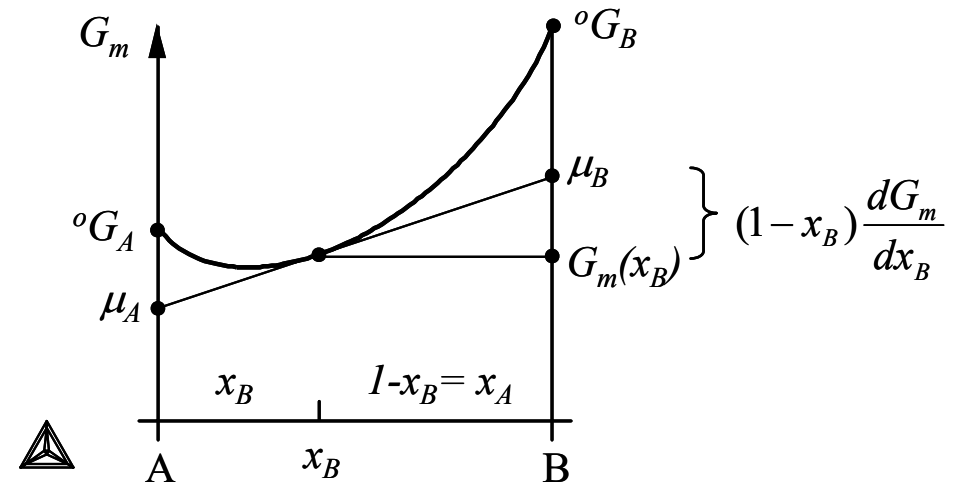


FIG.1.2

# 1.6 Expressing chemical potentials through the molar Gibbs energy

## Multicomponent system

Chemical potential of B

$$G_B \equiv \mu_B = G_m + \frac{\partial G_m}{\partial x_B} - \sum x_i \frac{\partial G_m}{\partial x_i}$$

may also be regarded as the **partial** molar Gibbs energy of component B.

Generally we can express any partial molar quantity:

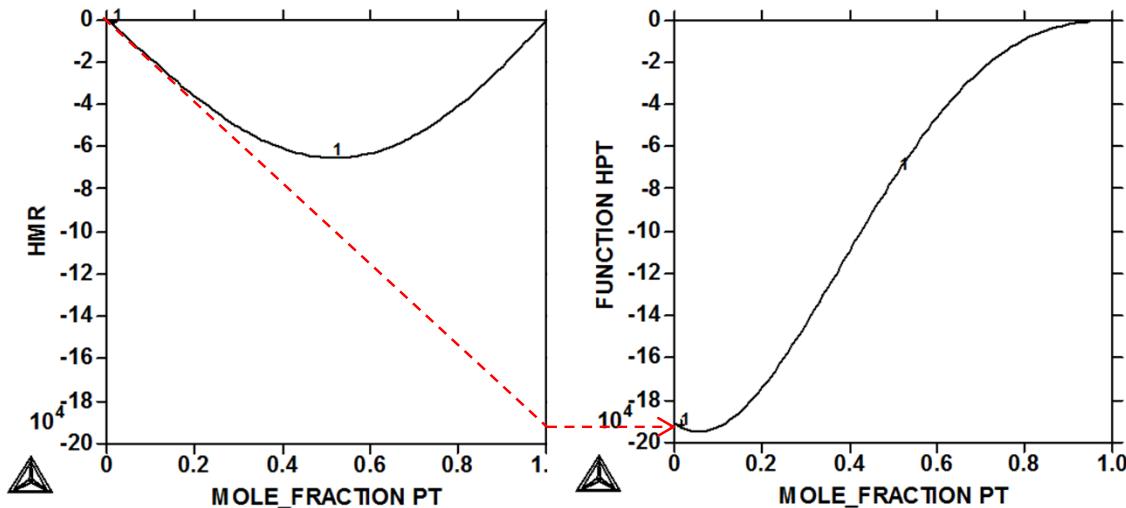
$$A_k \equiv \left( \frac{\partial A}{\partial N_k} \right)_{P,T,N_j} = A_m + \frac{\partial A_m}{\partial x_B} - \sum x_i \frac{\partial A_m}{\partial x_i}$$

e.g. if  $A$  is exchanged with  $H$  we get the partial enthalpy of component  $k$  usually called **heat of solution** of component  $k$

# 1.6 Expressing chemical potentials through the molar Gibbs energy

## Heat of solution – Example 1

Enthalpy versus mole fraction Pt in an Fe-Pt fcc solution at 700 K



Reference phase for both components is fcc.  
Enthalpy of solution may be calculated as

$$H_{Pt} = H_m + x_{Fe} \frac{dH_m}{dx_{Pt}}$$

or

$$H_{Pt} = \left( \frac{\partial H}{\partial N_{Pt}} \right)_{P,T,N_{Fe}}$$

# 1.6 Expressing chemical potentials through the molar Gibbs energy

## Two phase equilibrium – common tangent construction

Phases at equilibrium must have the same values for  $T$ ,  $P$  and all chemical potentials.

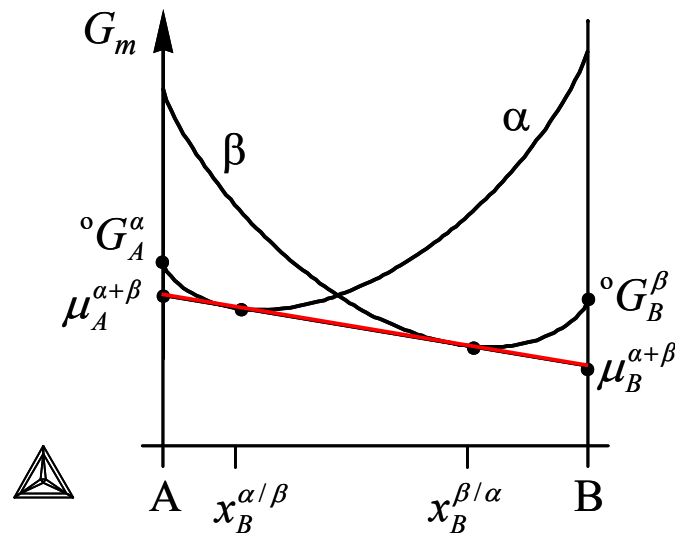


FIG.1.3.

# 1.6 Expressing chemical potentials through the molar Gibbs energy

## Stoichiometric phase, $\varphi$

Here the tangents can be drawn arbitrarily i.e. the chemical potentials are not defined for such a phase alone.

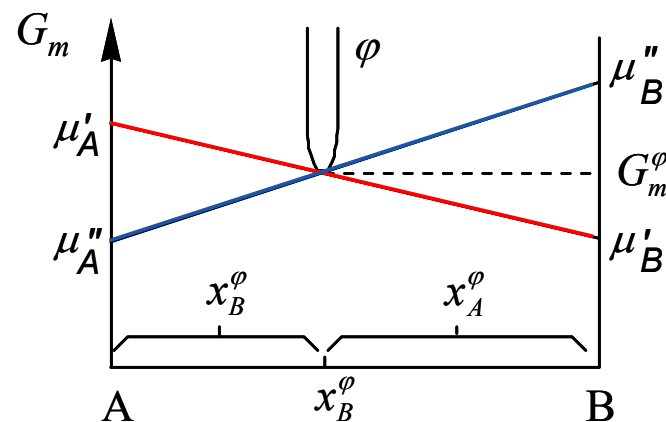


FIG.1.4.

## 1.7 Gibbs-Duhem relation

Different possibilities (pairs) for the chemical potentials in Fig. 1.4. Can express the molar Gibbs energy of  $\varphi$  using any pairs and the relation

$$G_m^\varphi = \sum \mu_i^\varphi x_i^\varphi = \mu_A^\varphi x_A^\varphi + \mu_B^\varphi x_B^\varphi$$

and since the  $x_i$ :s and  $G_m$  are **constants** we get

$$dG_m^\varphi = x_A^\varphi d\mu_A^\varphi + x_B^\varphi d\mu_B^\varphi = 0$$

Can be proven that it holds also for solution phases with small compositional variations. The general form is

$$\sum x_i d\mu_i - V_m dP + S_m dT = 0$$

## 1.8 Phases

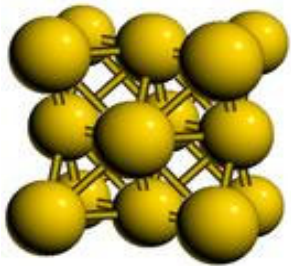
### Phases

- are often denoted by a Greek letter e.g.  $\alpha$ ,  $\beta$ ,  $\gamma$ .
- are identified by their structure (not composition)
- may be
  - stoichiometric i.e. fixed composition e.g.  $\text{Al}_2\text{O}_3$ ,  $\text{CaO}$
  - line compounds e.g.  $\text{Al}_2\text{O}_3 - \text{Fe}_2\text{O}_3$
  - solution phases e.g. fcc, liquid

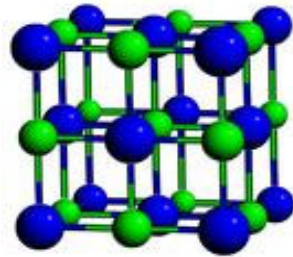
In thermodynamic databases phases usually have generic phase names, named by their structure e.g. FCC\_A1, BCC\_A2, BCC\_B2.

# Crystallographic data

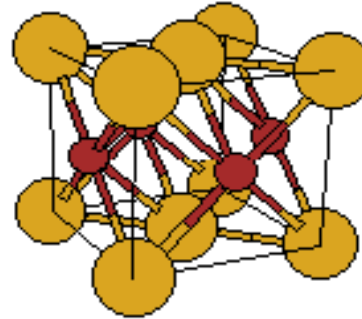
A1



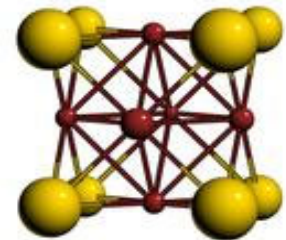
B1



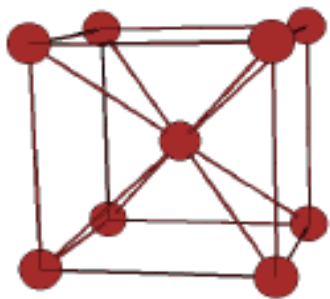
L1<sub>0</sub>



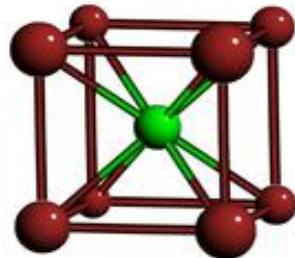
L1<sub>2</sub>



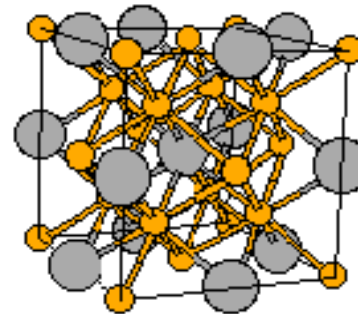
A2



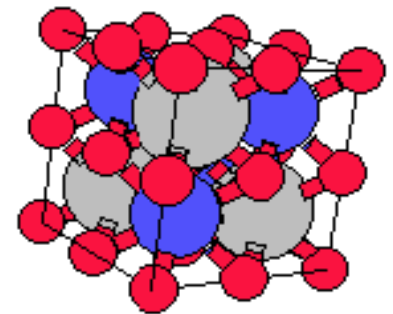
B2



D0<sub>3</sub>



L2<sub>1</sub>



## 1.9 Gibbs phase rule

In Gibbs-Duhem relation

$$\sum x_i d\mu_i - V_m dP + S_m dT = 0$$

there are  $c+2$  terms ( $c = \#$  of independent components), each term consisting of one **extensive** quantity and one **potential**. The two quantities in a pair are **conjugate variables**.

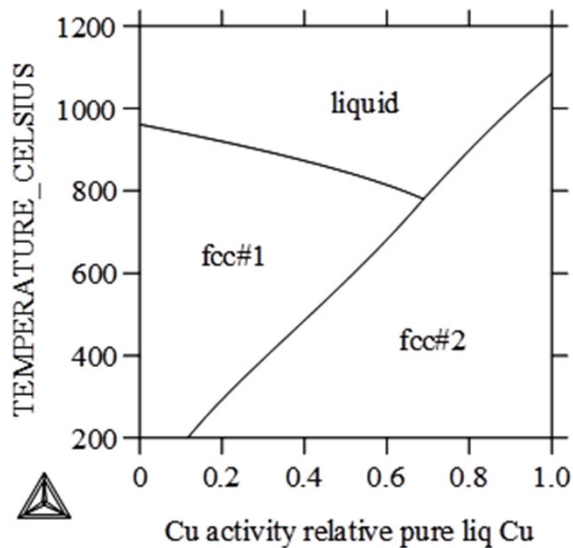
In a one phase system we may vary the potentials in  $c+2-1$  ways since G-D gives a relation between the potentials.

In a system with  $p$  phases we get the variance,  $v$ , (or degrees of freedom):  **$v = c + 2 - p$**

# 1.9 Gibbs phase rule

## Example

Gibbs phase rule was derived for potentials and can thus only be applied to phase diagrams with potential axes, e.g.  $P$  vs  $T$  or  $T$  vs  $\mu_i$  or as below,  $T$  vs activity:



Ag-Cu system at constant  $P$ .

$$c=2$$

$$v = c+1 - p \text{ (} c+1 \text{ since } P \text{ const.)}$$

$$p=1: v = 2 + 1 - 1 = 2 \text{ (area)}$$

$$p=2: v = 2 + 1 - 2 = 1 \text{ (line)}$$

$$p=3: v = 2 + 1 - 3 = 0 \text{ (point)}$$

FIG 1.5.

## 1.10 Introduction of new components

Sometimes convenient to use other components than the elements and **at equilibrium** we have

$$\mu_{A_a B_b} = a\mu_A + b\mu_B$$

For the H-O system we might want H<sub>2</sub>O

$$\mu_{H_2O} = 2\mu_H + \mu_O$$

or expressed differently

$$\mu_{H_2O} = \mu_{H_2} + 0.5\mu_{O_2}$$

## 1.10 Introduction of new components

For the reaction  $\text{H}_2 + 0.5\text{O}_2 \rightarrow \text{H}_2\text{O}$  we have,  
**before equilibrium** has been established, a driving  
force,  $D$

$$D = \mu_{\text{H}_2} + 0.5\mu_{\text{O}_2} - \mu_{\text{H}_2\text{O}}$$

Any new set of components may be used, but the  
number of independent components never changes.

## 1.11 Gibbs energy of formation

The change of the molar Gibbs energy when one mole of phase  $\varphi$  is formed from the correct amounts of A and B. A and B are insoluble in one another.

$$\Delta G_m = G_m^\varphi - x_A^\varphi \mu_A - x_B^\varphi \mu_B = G_m^\varphi - x_A^{\varphi 0} G_A^\alpha - x_B^{\varphi 0} G_B^\beta$$

The driving force,  $D = -\Delta G_m$ , is here positive.

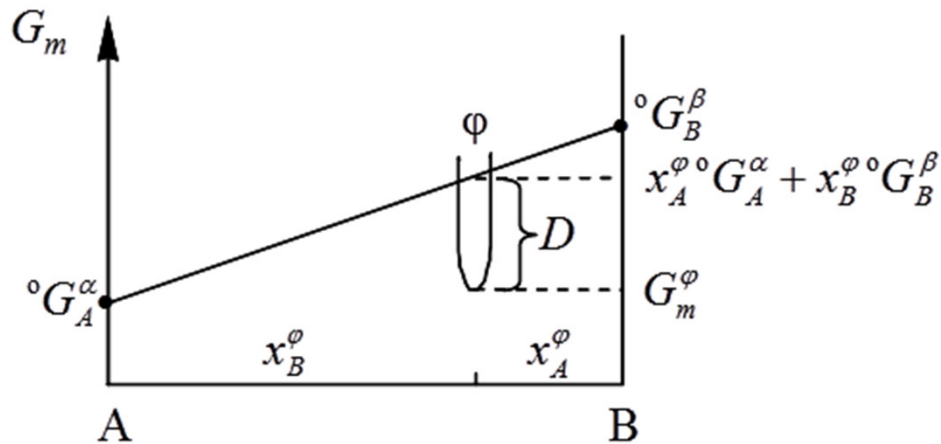


FIG 1.6.

# 1.11 Gibbs energy of formation

## Standard Gibbs energy of formation

A compound is usually give as  $A_aB_b$  and Gibbs energy is given per mole of **formula units**

$$G_{A_aB_b}^{\varphi} = (a + b)G_m^{\varphi}$$

and the change per formula unit becomes

$$\Delta_f^{\circ} G_{A_aB_b}^{\varphi} = {}^{\circ}G_{A_aB_b}^{\varphi} - a {}^{\circ}G_A^{\alpha} - b {}^{\circ}G_B^{\beta} = -(a + b)D$$

◦ denotes **pure component** (i.e. pure element or stoichiometric compound)

$\Delta_f^{\circ} G_{A_aB_b}^{\varphi}$  is the **standard Gibbs energy of formation** of  $\varphi$  if pure  $\alpha$  and  $\beta$  have been chosen as the standard states for A and B, respectively, at the same  $P$  and  $T$ .

# 1.11 Gibbs energy of formation

## Precipitation from a solution phase

When  $\varphi$  precipitates from a solution phase the maximum driving force is obtained with a parallel tangent construction

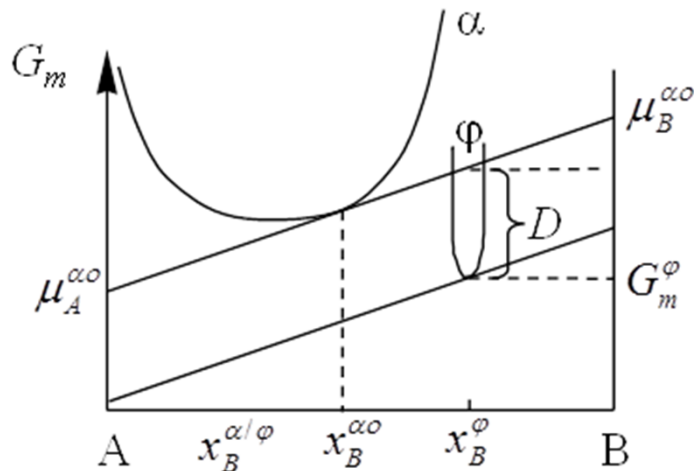


FIG 1.7a.

$$D = x_A^{\varphi} \mu_A^{\alpha o} + x_B^{\varphi} \mu_B^{\alpha o} - G_m^{\varphi}$$

$$(a + b)D = a(\mu_A^{\alpha o} - {}^o G_A^{\alpha}) + b(\mu_B^{\alpha o} - {}^o G_B^{\beta}) - \Delta_f {}^o G_{A_a B_b}^{\varphi}$$

# 1.11 Gibbs energy of formation

## Driving force for the complete process

The driving force decreases as the process proceeds and will reach zero when equilibrium is reached. The *total, integrated* or *average* driving force is the total change in molar Gibbs energy between the final state and the initial state

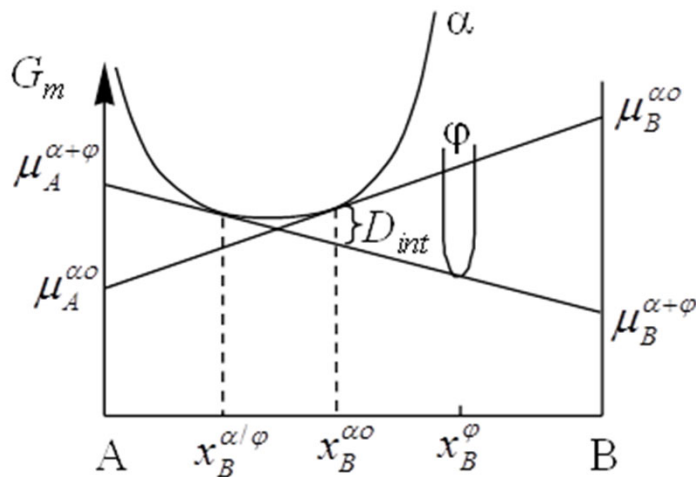


FIG 1.7b.

# 1.12 Properties of the Gibbs energy function

All thermodynamic properties can be expressed through its Gibbs energy, e.g.

$$V = (\partial G / \partial P)_{T, N_i}$$

$$S = -(\partial G / \partial T)_{P, N_i}$$

$$H \equiv U + PV = G + TS = G - T(\partial G / \partial T)_{P, N_i} = (\partial(G/T) / \partial(1/T))_{P, N_i}$$

# 1.12 Properties of the Gibbs energy function

## Heat capacity

Heat capacity is defined as the capability of a system to receive heat under a given increase of  $T$ ,  $dQ/dT$

Under constant volume:  **$dQ = dU$**

$$C_V \equiv \left( \frac{\partial Q}{\partial T} \right)_V = \left( \frac{\partial U}{\partial T} \right)_V$$

Under constant pressure:  **$dQ = dH$**

$$C_P \equiv \left( \frac{\partial Q}{\partial T} \right)_P = \left( \frac{\partial H}{\partial T} \right)_P$$

# 1.12 Properties of the Gibbs energy function

## Isothermal compressibility and thermal expansion

We can also obtain  $\kappa_T$  and  $\alpha$  from Gibbs energy

$$\kappa_T = -(\partial V / \partial P)_{T, N_i} / V = -(\partial^2 G / \partial P^2)_{T, N_i} / (\partial G / \partial P)_{T, N_i}$$

$$\alpha = (\partial V / \partial T)_{P, N_i} / V = (\partial^2 G / \partial T \partial P)_{N_i} / (\partial G / \partial P)_{T, N_i}$$

Such important properties can be determined experimentally and are stored in tables in books.

Nowadays one instead stores  $G(P, T, N_i)$  in thermodynamic databases and obtain all quantities discussed above by computer calculations.

## 1.13 Adiabatic changes

During an **adiabatic** process  $dQ = 0$

During a **reversible** process  $d\xi = 0$

We may rearrange the second law into

$$dS = dQ/T + d_{ip}S = dQ/T + (1/T)Dd\xi$$

and for an adiabatic reversible process we thus obtain  $dS = 0$ , i.e. an **isentropic** process.

# 1.14 State of reference and standard state

## Model-based reference

Chemical potentials of pure components are usually given as  ${}^{\circ}G_A^{\alpha}$  i.e. molar Gibbs energy of pure ( ${}^{\circ}$ ) A in the same crystal structure as the solution ( $\alpha$ ).  ${}^{\circ}G_A^{\alpha}$  is thus the reference state for A in the  $\alpha$ -solution. We may call this a **model-based reference**.

Comparing the chemical potential of A in  $\alpha$  and  $\beta$  one should remember that  $\mu_A^{\alpha}$  is unaffected by the choice of reference

$$\mu_A^{\alpha} = {}^{\circ}G_A^{\alpha} + f(\text{comp}) = {}^{\circ}G_A^{\text{std}} + ({}^{\circ}G_A^{\alpha} - {}^{\circ}G_A^{\text{std}}) + f(\text{comp})$$

# 1.14 State of reference and standard state

## State of reference

Different choices e.g.

$G_k^{NPT}$  = Gibbs energy of the equilibrium state at 25 °C and 1 atm for element  $k$ . NPT = Normal  $P$  and  $T$

$H_k^{SER}$  = enthalpy of the equilibrium state at 25 °C and 1 bar and entropy at 0 K which by agreement is set to zero, for the element  $k$ .

SER = Standard Element Reference

## 1.15 Duhem's theorem

For a closed system, i.e. all  $N_i$  are constant, the equilibrium state is uniquely defined by giving  $P$  and  $T$  (or their conjugate variables) regardless of how many phases are involved. We thus have to give  $c+2$  conditions to define the equilibrium.

## 1.16 Characteristic state function and Gibbs energy model

The **characteristic state function** for fixed  $P$ ,  $T$  and  $N_i$  is Gibbs energy.

In order to use it we need to know how it varies for all the different phases as function of  $P$ ,  $T$ ,  $N_i$  and internal variables

$$G^\alpha(P, T, N_i^\alpha, \xi_1, \xi_2 \dots)$$

Such an analytical expression is regarded as a thermodynamic model from which all thermodynamic information may be obtained.