



UNIVERSIDADE DE SÃO PAULO - USP

Instituto de Química de São Carlos - IQSC

Grupo de Química Medicinal do IQSC/USP



**MÓDULO V: SUBSTITUIÇÃO NUCLEOFÍLICA
DESCRIÇÃO DETALHADA DOS MECANISMOS
(INCLUINDO MECANISMOS DE FRONTEIRA),
EFEITOS DA ESTRUTURA E DA
SOLVATAÇÃO NA REATIVIDADE,
PARTICIPAÇÃO DE GRUPOS VIZINHOS,
ESTEREOQUÍMICA.**

Carlos Montanari
(Carlos.Montanari@usp.br)



Outline

Nucleophilic Substitution

MECHANISMS

The S_N2 Mechanism. The S_N1 Mechanism

Ion Pairs in the S_N1 Mechanism

Mixed S_N1 and S_N2 Mechanisms

The Neighboring-Group Mechanism

Neighboring-Group Participation by π and σ Bonds:

Nonclassical Carbocations : Nucleophilic Substitution at an Allylic Carbon:

Allylic Rearrangements

Nucleophilic Substitution at an Aliphatic Trigonal Carbon: The Tetrahedral Mechanism

REACTIVITY

The Effect of Substrate Structure

The Effect of the Attacking Nucleophile

The Effect of the Leaving Group

The Effect of the Reaction Medium

Phase-Transfer Catalysis

Ambident Nucleophiles: Regioselectivity

Ambident Substrates

REACTIONS



Bibliography

MARCH'S ADVANCED ORGANIC CHEMISTRY
REACTIONS, MECHANISMS, AND STRUCTURE
FIFTH EDITION

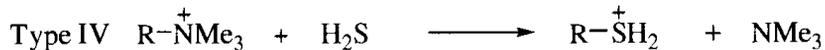
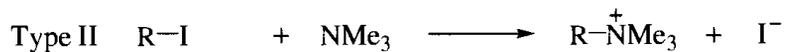
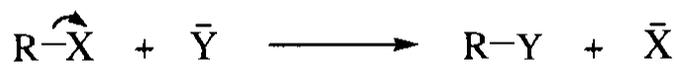
Michael B. Smith
Professor of Chemistry, University of
Connecticut
Jerry March
Professor of Chemistry, Adelphi University

Advanced Organic Chemistry
FOURTH EDITION
Part A: Structure and Mechanisms
FRANCIS A. CAREY and RICHARD J. SUNDBERG
University of Virginia Charlottesville, Virginia

Eugene E. Kwan e David A. Evans
Harvard University. 2010, 2006

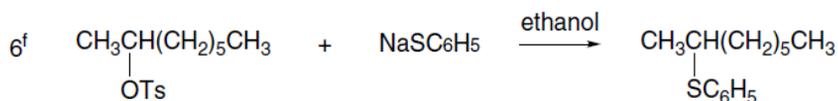
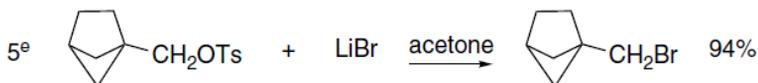
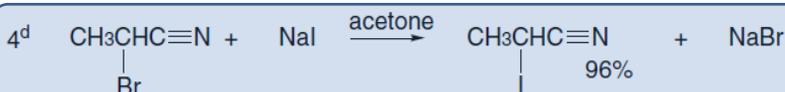
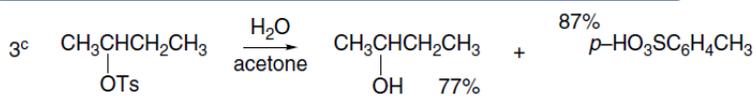
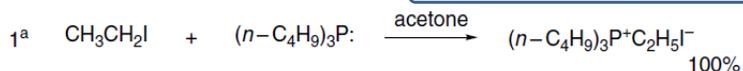
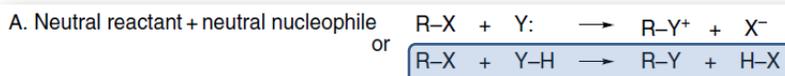


Introduction to S_N



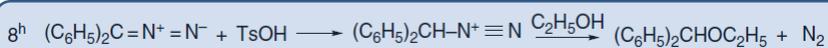
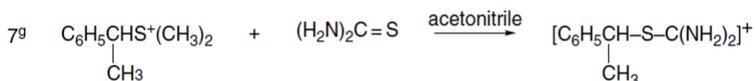


Representative Nucleophilic Substitution Reactions

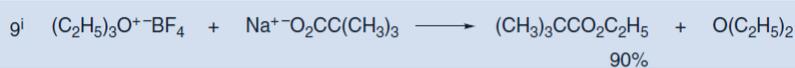




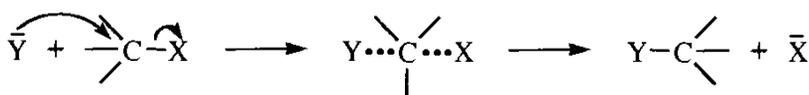
C. Cationic reactant and neutral nucleophile $R-X^+ + Y: \longrightarrow R-Y^+ + X$



D. Cationic reactant and anionic nucleophile $R-X^+ + Y^- \longrightarrow R-Y + X$:

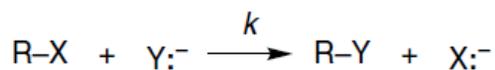


The S_N2 Mechanism



$$\text{Rate} = k[\text{RX}][\text{Y}]$$

Second-order kinetics

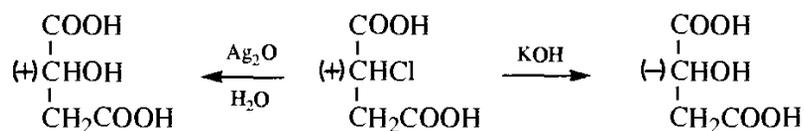
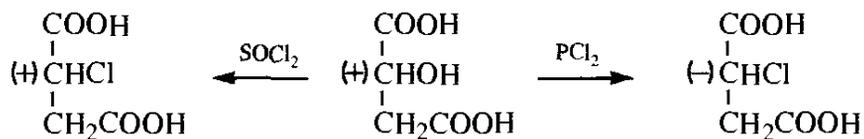


$$\text{rate} = -\frac{d[\text{R-X}]}{dt} = -\frac{d[\text{Y}^-]}{dt} = k[\text{R-X}][\text{Y}^-]$$

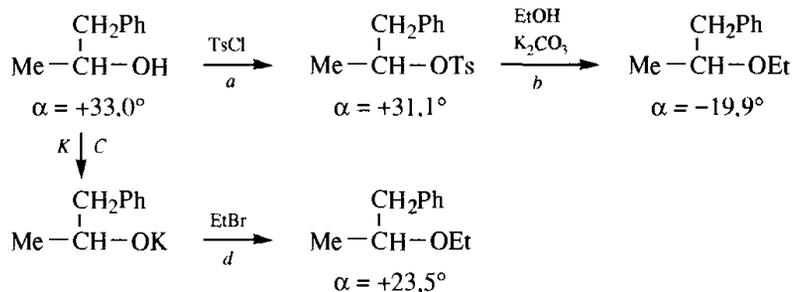




Walden examples

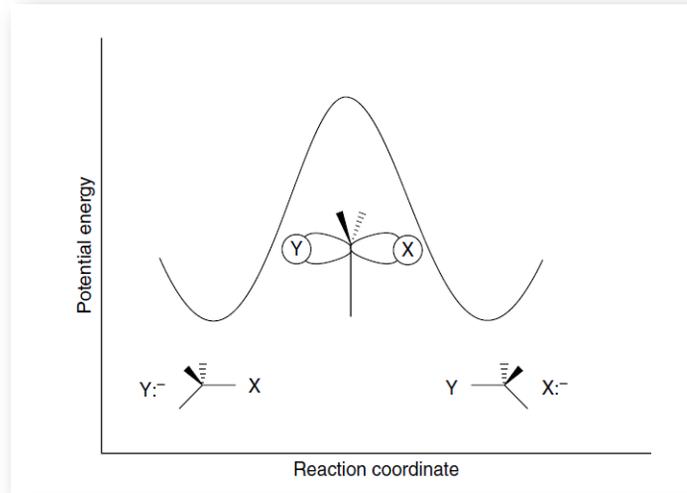


Phillips, Kenyon, and co-workers.



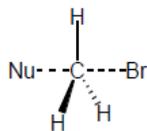


S_N2



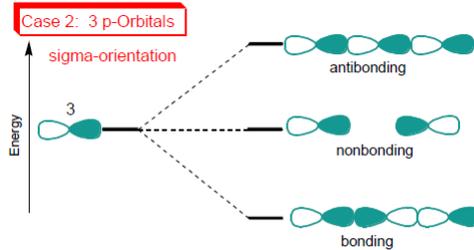
MO description of S_N2 TS

The S_N2 Transition state: (3-center, 4 electron)



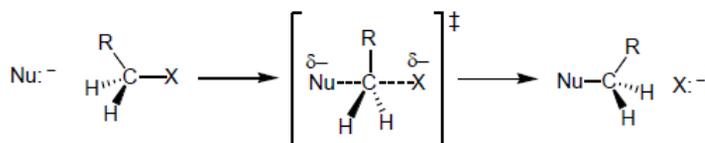
The S_N2 transition state approximates a case 2 situation with a central carbon p-orbital

The three orbitals in reactant molecules used are:
 1 nonbonding MO from Nucleophile (2 electrons)
 1 bonding MO σ C-Br (2 electrons)
 1 antibonding MO σ^* C-Br



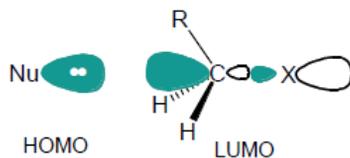


Why do S_N2 Reactions proceed with backside displacement?



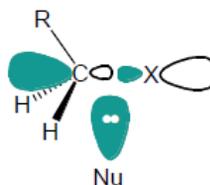
Given the fact that the LUMO on the electrophile is the C-X antibonding orbital, Nucleophilic attack could occur with either inversion or retention.

Inversion



Constructive overlap between Nu & $\sigma^* \text{C-X}$

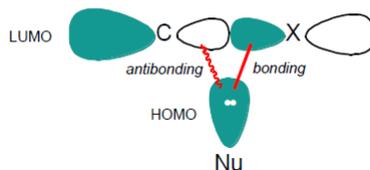
Retention



Overlap from this geometry results in no net bonding interaction



Expanded view of $\sigma^* \text{C-X}$



■ Stereoelectronic Effects

Geometrical constraints placed upon ground and transition states by orbital overlap considerations.

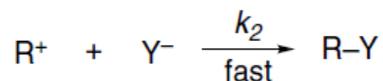
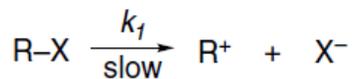
Fukui Postulate for reactions:

"During the course of chemical reactions, the interaction of the highest filled (HOMO) and lowest unfilled (antibonding) molecular orbital (LUMO) in reacting species is very important to the stabilization of the transition structure."

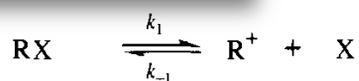




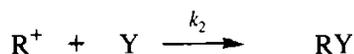
The S_N1 Mechanism



$$\text{rate} = k_1[\text{R-X}]$$



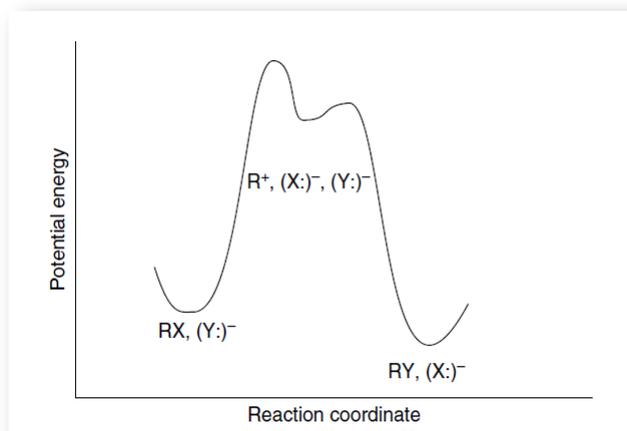
First-order kinetics



$$\text{Rate} = \frac{k_1 k_2 [\text{RX}][\text{Y}^-]}{k_{-1} [\text{X}^-] + k_2 [\text{Y}^-]}$$

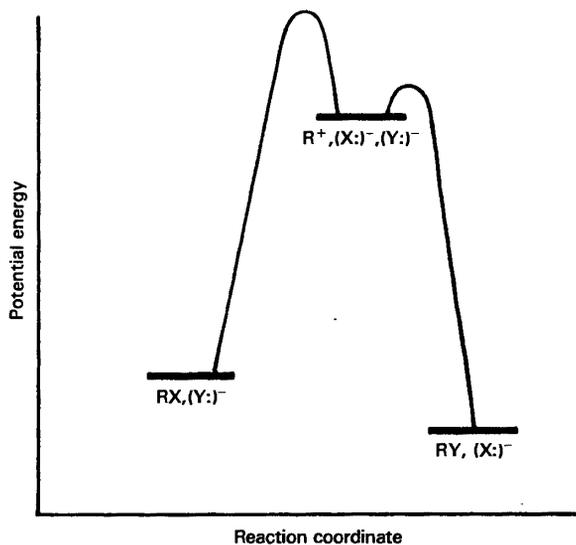


Reaction energy profile for nucleophilic substitution by the ionization S_N1 mechanism.



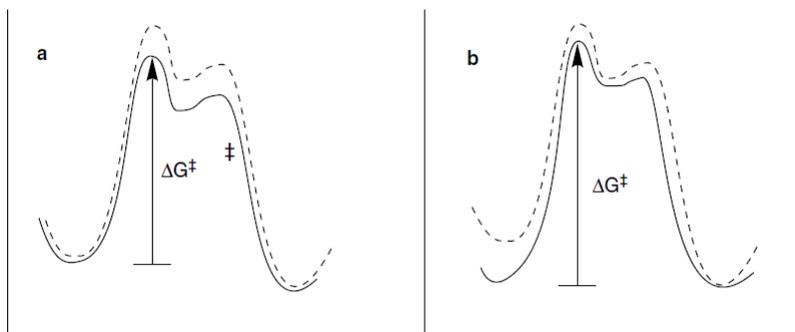


Potential Energy Diagram Hammond Postulate



Solvent effects

Solid line: polar solvent.
Dashed line: nonpolar solvent



(a) $R-X \rightarrow R^+ + X^-$

Polar solvents increase the rate by stabilization of the $R^{\delta+} \cdots X^{\delta-}$ TS.

(b) $R-X^+ \rightarrow R^+ + X$

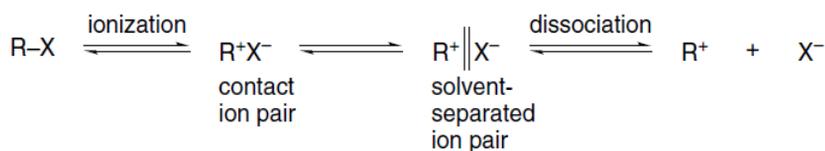
Polar solvents decrease the rate because stabilization of $R-\delta^+ \cdots X$ TS is less than for the more polar



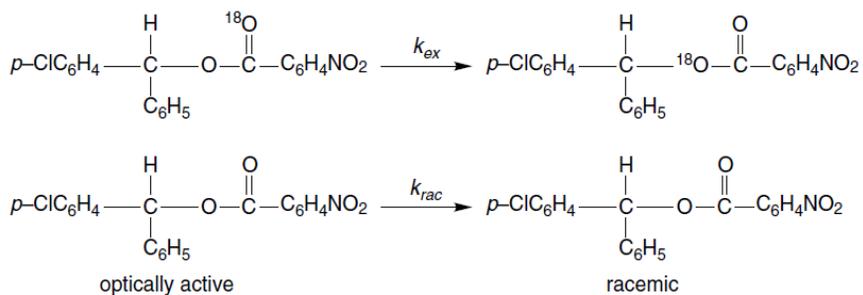


Ion pair

Detailed mechanism



Support to scheme

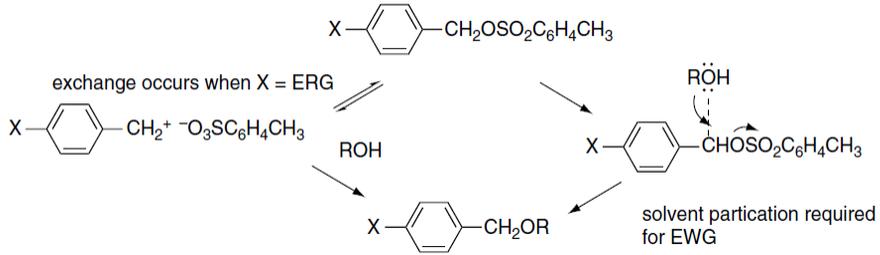


At 100 °C, $k_{ex}/k_{rac} = 2.3$

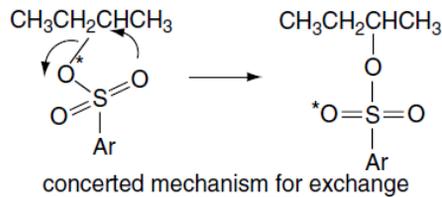
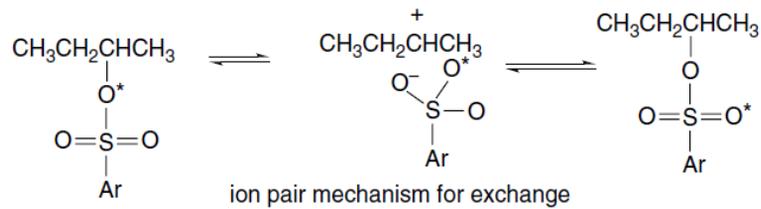




ERG favors exchange



Isotopic scrambling with no racemization

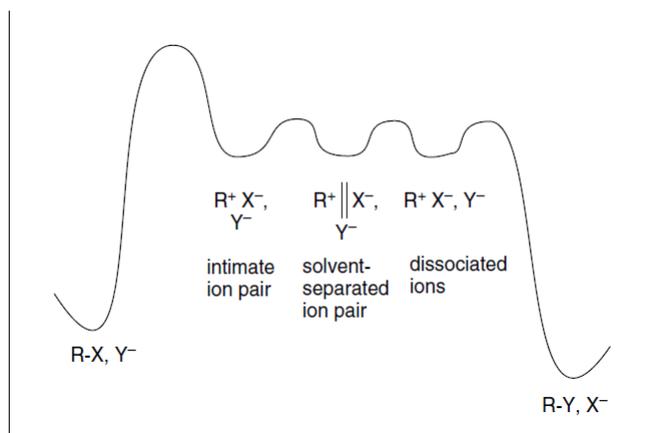


Avoids a carbocation intermediate but requires a front-side displacement!

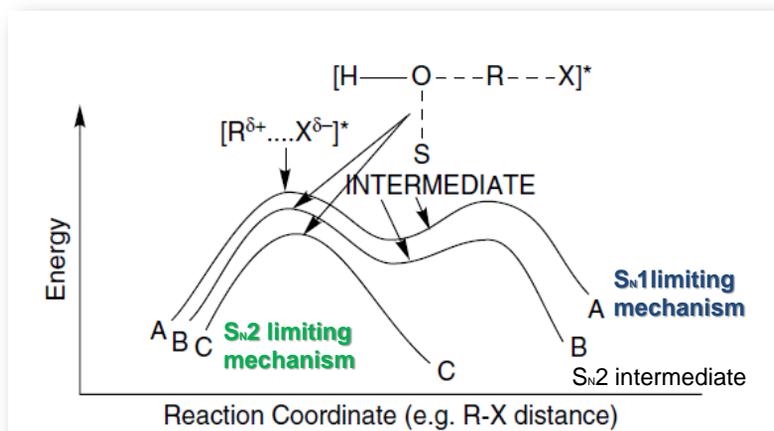




Schematic relationship between reactants, ion pairs, and products in substitution proceeding through ion pairs.

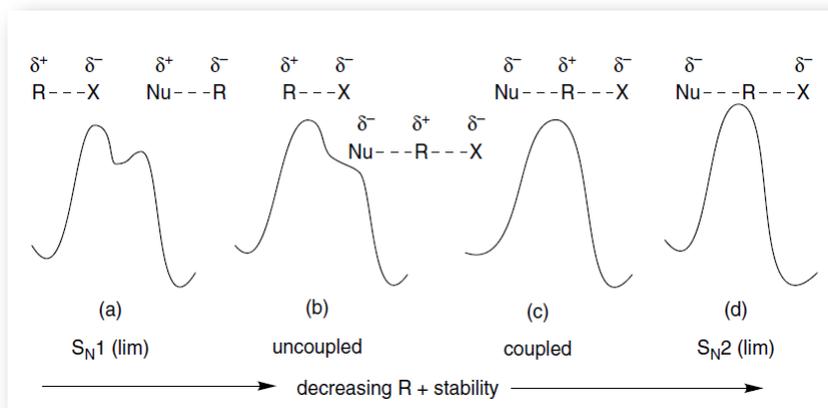


The gradation from S_N1 to S_N2 mechanisms can be summarized in terms of the shape of the potential energy diagrams for the reactions

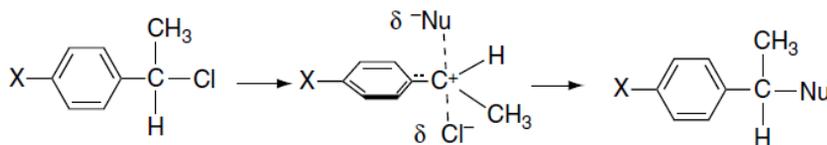




Jencks: reaction energy profiles showing decreasing carbocation stability in change from S_N1 (lim) to S_N2 (lim) mechanisms.



An example of a coupled displacement: the "exploded" S_N2 TS.



Second-order kinetics with a substantially ρ^+

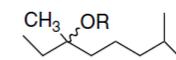
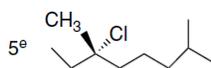
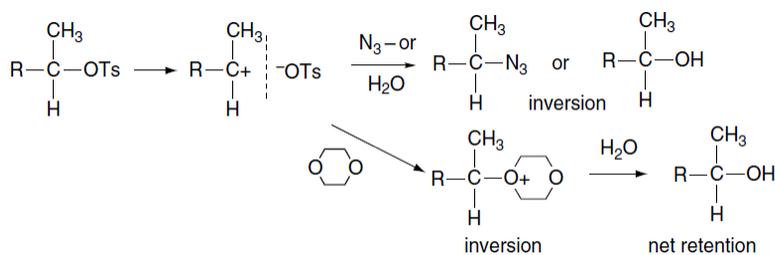




Stereochemistry of Nucleophilic Substitution Reactions



Reactant ^a	Conditions	Product	Stereochemistry
1 ^b CH ₃ CH ₂ CH ₂ CHDOBs	HCO ₂ H 99° C	CH ₃ CH ₂ CH ₂ CHDO ₂ CH	99 ± 6% inv.
2 ^c C ₆ H ₅ CHDOTs	CH ₃ CO ₂ H 25° C	C ₆ H ₅ CHDO ₂ CCH ₃	82 ± 1% inv.
3 ^c CH ₃ CH(CH ₂) ₅ CH ₃ OTs	Et ₄ N ⁺ -O ₂ CCH ₃ acetone, 56° C	CH ₃ CH(CH ₂) ₅ CH ₃ O ₂ CCH ₃	100% inv.
4 ^d CH ₃ CH(CH ₂) ₅ CH ₃ OTs	75 % aq. dioxane 65° C	CH ₃ CH(CH ₂) ₅ CH ₃ OH	77% inv.
	75 % aq. dioxane 0.06 M NaN ₃ , 65° C	CH ₃ CH(CH ₂) ₅ CH ₃ OH	100% inv.
		CH ₃ CH(CH ₂) ₅ CH ₃ N ₃	22% 78%

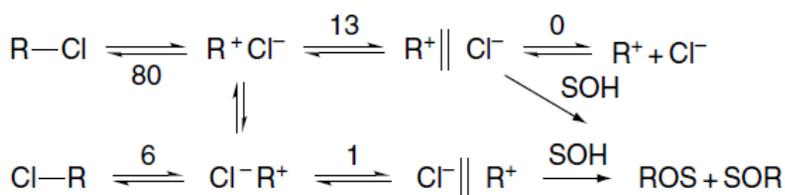
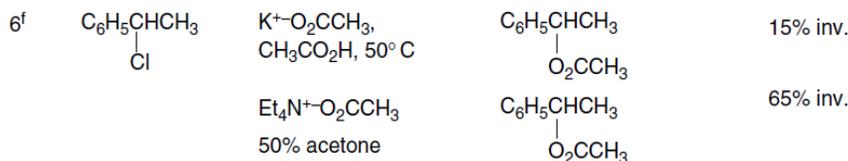


CH ₃ OH, DTBP, 25° C	78% inv.
C ₂ H ₅ OH, DTBP, 40° C	55% inv.
HCO ₂ H, DTBP, 0° C	42% inv.
CF ₃ CH ₂ OH, DTBP, 25° C	13% ret.
<i>t</i> -BuOH, 20% H ₂ O, 25° C	49% inv.
dioxane, 20% H ₂ O, 25° C	98% inv.





Stereochemical, kinetic, and isotope effects on solvolysis reactions of 1-phenylethyl chloride in several solvent systems



Structural and Solvation Effects on Reactivity Characteristics of Nucleophilicity



- Strong solvation lowers the energy of an anionic nucleophile relative to the TS**, in which the charge is more diffuse, and results in an increased E_a .
- Because the **S_N2 process is concerted**, the strength of the partially formed new bond is reflected in the TS.
- A more **electronegative** atom binds its electrons more tightly than a less electronegative one.
- Polarizability** describes the ease of distortion of the electron density of the nucleophile.
- A **sterically congested nucleophile** is less reactive than a less hindered one. The trigonal bipyramidal geometry of the S_N2 transition state is sterically more demanding than the tetrahedral reactant so steric interactions increase as the TS is approached.





Nucleophilic constant, n , defined by Swain and Scott



$$n_{\text{CH}_3\text{I}} = \log(k_{\text{nucl}}/k_{\text{CH}_3\text{OH}}) \text{ in } \text{CH}_3\text{OH}, 25^\circ\text{C}$$

Nucleophile	$n_{\text{CH}_3\text{I}}$	Conjugate acid $\text{p}K_a$
CH_3OH	0.0	-1.7
NO_3^-	1.5	-1.3
F^-	2.7	3.45
CH_3CO_2^-	4.3	4.8
Cl^-	4.4	-5.7
$(\text{CH}_3)_2\text{S}$	5.3	
NH_3	5.5	9.25
N_3^-	5.8	4.74
$\text{C}_6\text{H}_5\text{O}^-$	5.8	9.89
Br^-	5.8	-7.7



Effect of Solvation on Nucleophilicity



In **protic hydrogen-bonding solvents**, anions are subject to strong interactions with solvent.

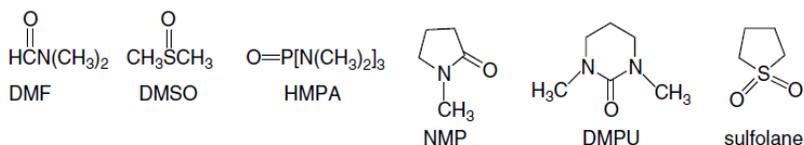
Hard nucleophiles are more strongly solvated by protic solvents than soft nucleophiles, and this difference contributes to the greater nucleophilicity of soft anions in such solvents.

Nucleophilic substitution reactions of anionic nucleophiles usually occur more rapidly in **polar aprotic solvents than they do in protic solvents**, owing to the fact that anions are weakly solvated in such solvents





Common polar aprotic solvents



But, in methanol, the relative reactivity order
 $\text{N}_3^- > \text{I}^- > \text{CN}^- > \text{Br}^- > \text{Cl}^-$

Becomes, in DMSO
 $\text{CN}^- > \text{N}_3^- > \text{Cl}^- > \text{Br}^- > \text{I}^-$



The nucleophilicity of the solvent.

The Winstein-Grunwald equation



$$\log(k/k_0) = lN + mY$$

Standard reactant $l = 1.00$; Standard solvent $N = 0.00$, for solvolysis of *t*-butyl chloride.
 The scale has also been assigned for 2-adamantyl tosylate.
 Ethanol-water in the ratio 80:20 is taken as the standard solvent.

Solvent	<i>t</i> -Butyl chloride		2-Adamantyl tosylate	
	N	Y	N_{Tos}	Y_{Tos}
Ethanol	+0.09	-2.03	0.00	-1.75
Methanol	+0.01	-1.09	-0.04	-0.92
50% Aqueous ethanol	-0.20	1.66	-0.09	1.29
Water	-0.26	3.49		
Acetic acid	-2.05	-1.64	-2.35	-0.61
Formic acid	-2.05	2.05	-2.35	3.04
Trifluoroethanol	-2.78	1.05	-3.0	1.80
97% (CF ₃) ₂ CHOH-H ₂ O	-3.93	2.46	-4.27	3.61
Trifluoroacetic acid	-4.74	1.84	-5.56	4.57





Leaving group effects



Relative Solvolysis Rates of 1-Phenylethyl Esters and Halides

Leaving group	k_{rel}
$CF_3SO_3^-$ (triflate)	1.4×10^8
<i>p</i> -Nitrobenzensulfonate (nosylate)	4.4×10^5
<i>p</i> -Toluenesulfonate (tosylate)	3.7×10^4
$CH_3SO_3^-$ (mesylate)	3.0×10^4
I^-	91
Br^-	14
$CF_3CO_2^-$	2.1
Cl^-	1.0
F^-	9×10^{-6}
<i>p</i> -Nitrobenzoate	5.5×10^{-6}
$CH_3CO_2^-$	1.4×10^{-6}

Relative rates of solvolysis of 1-phenylethyl esters and halides in 80% aqueous ethanol at 75 °C.



Ionization exhibits greater dependence on LG



R	k_{Tos}/k_{Br}
Methyl	11
Ethyl	10
Isopropyl	40
<i>t</i> -Butyl	4000
1-Adamantyl	9750

Tosylate/Bromide Rate Ratios for Solvolysis of RX in 80% Ethanol.



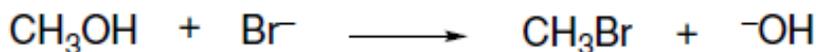


Relative Reactivity of Leaving Groups in S_N2 Substitution Reactions

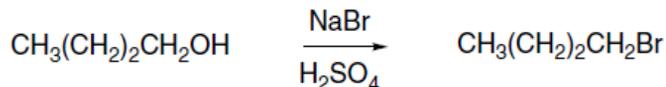


Nucleophile	CH ₃ I		CH ₃ Br		CH ₃ OTs	
	MeOH	DMF	MeOH	DMF	MeOH	DMF
N ₃ ⁻	8.0 × 10 ⁻⁵	3.2	5.0 × 10 ⁻⁵	4.0 × 10 ⁻¹	5.0 × 10 ⁻⁴	5.0 × 10 ⁻²
NCS ⁻	5.0 × 10 ⁻⁴	8.0 × 10 ⁻²	2.5 × 10 ⁻⁴	1.3 × 10 ⁻²	1.3 × 10 ⁻⁴	8.0 × 10 ⁻⁴
NC ⁻	6.4 × 10 ⁻⁴	3.2 × 10 ²				
ArS ⁻	6.4 × 10 ⁻²	16			1.6 × 10 ⁻²	6.4 × 10 ⁻¹

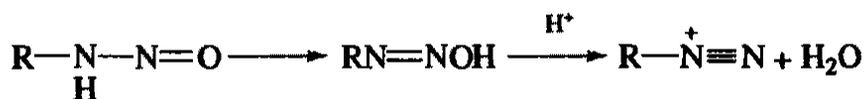
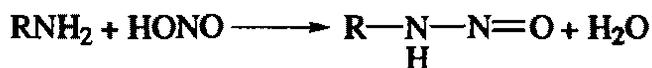
A poor leaving group can be made more reactive by coordination to an electrophile.



It is endothermic by 16 kcal mol⁻¹



N₂ best LG





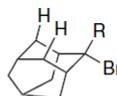
Steric & strain effects



Rate Constants for Nucleophilic Substitution of Primary Alkyl Bromides and Tosylates

$k \times 10^5$ for RCH_2-X^b	R = H	CH ₃	CH ₃ CH ₂	(CH ₃) ₂ CH	(CH ₃) ₃ C
RCH ₂ Br + LiCl, acetone, 25 ° C	600	9.9	6.4	1.5	2.6×10^{-4}
RCH ₂ I + <i>n</i> -Bu ₃ P, acetone, 35 ° C	26,000	154	64	4.9	
RCH ₂ Br + NaOCH ₃ , methanol	8140	906	335	67	
RCH ₂ OTs, acetic acid, 70 ° C ^c	5.2×10^{-2}	4.4×10^{-2}		1.8×10^{-2}	4.2×10^{-3}

In contrast to S_N2 reactions, rates of reactions involving TSs with cationic character increase with substitution.

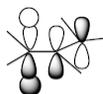


$$k_{\text{rel}} \frac{R=\text{CH}_2}{R=\text{H}} = 10^{3.7} \quad k_{\text{rel}} \frac{R=\text{CH}_2}{R=\text{H}} = 10^{8.1}$$

A high CH₃/H rate ratio is expected if nucleophilic participation is weak and stabilization of the cationic nature of the TS is important.



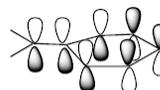
Effects of conjugation



interaction of sp^2 hybridized substitution center with π LUMO



interaction of empty sp^2 orbital with π HOMO



interaction of empty sp^2 orbital of benzyl cation with HOMO aromatic π system

For example, allyl chloride is 33 times more reactive than ethyl chloride toward iodide ion in acetone!





α -Substituent Effect

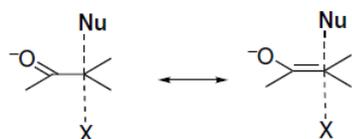
Substituent Effects of α -EWG Substituents

$Z-CH_2-Cl + I^- \rightarrow Z-CH_2-I$			
Z	Relative rate	Z	Relative rate
$CH_3CH_2CH_2$	1	$PhC=O$	3.2×10^4
$PhSO_2$	0.25	$N \equiv C$	3×10^3
$CH_3C=O$	3.5×10^4	$C_2H_5OC=O$	1.7×10^3

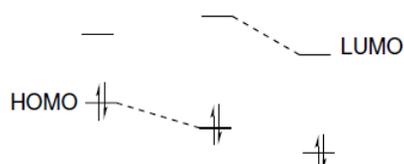
Steric effects may be responsible for part of the observed acceleration.



Stabilizing π orbital TS



resonance representation of electronic interaction with carbonyl group and substitution center to delocalize negative charge

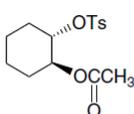
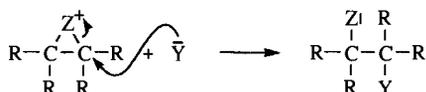
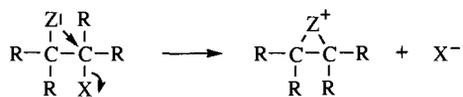


MO representation of stabilization of substitution transition state through interaction with $C=O \pi^*$ orbital

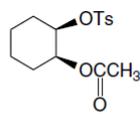




Neighboring-Group Participation

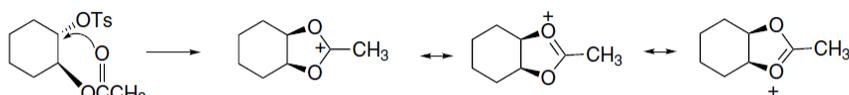
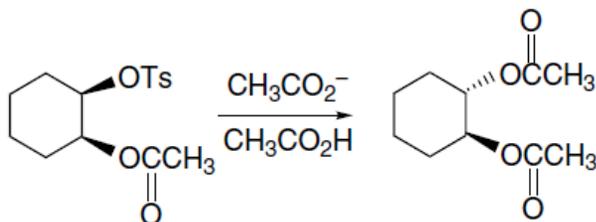
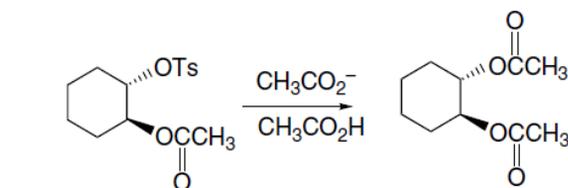


$k = 1.9 \times 10^{-4} \text{ s}^{-1} (100^\circ \text{C})$



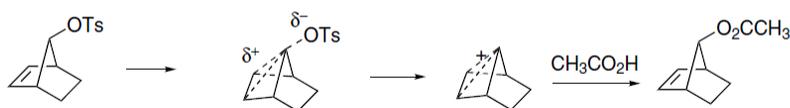
$k = 2.9 \times 10^{-7} \text{ s}^{-1} (100^\circ \text{C})$

The rates of solvolysis of the *cis* and *trans* isomers of 2-acetoxycyclohexyl p-toluenesulfonate differ by a factor of about 655!

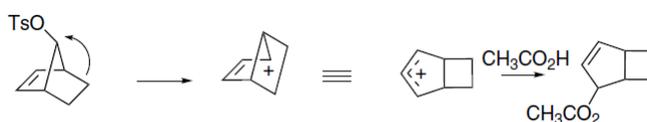




The π electrons of C=C can also become involved in S_N



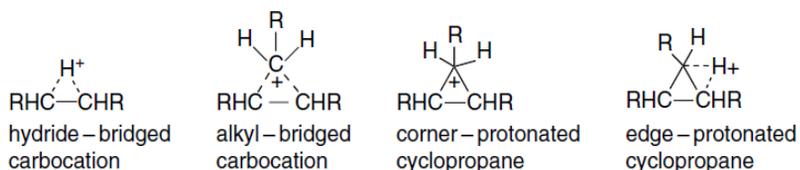
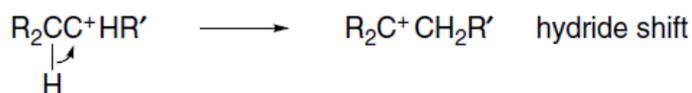
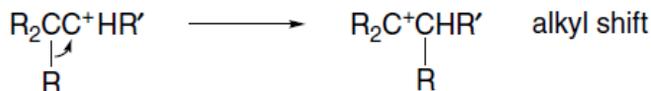
It is more reactive by a factor of about 10^{11} than the saturated analog toward acetolysis



It reacts 10^7 times slower than the *anti* isomer.

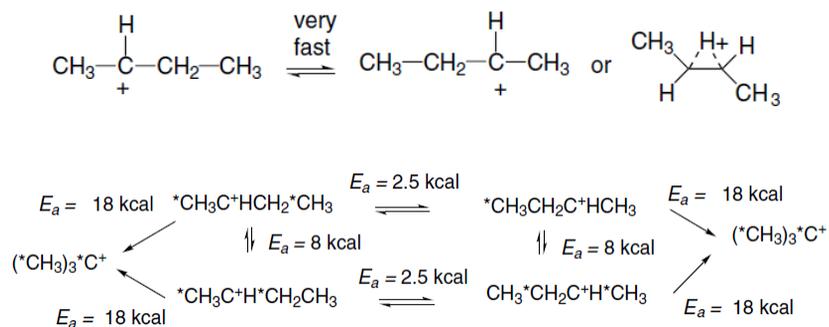


Mechanism of Rearrangements of Carbocations

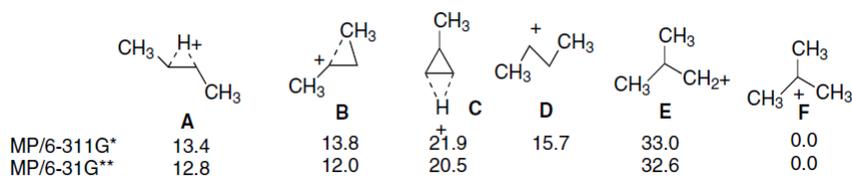




The 2-butyl cation

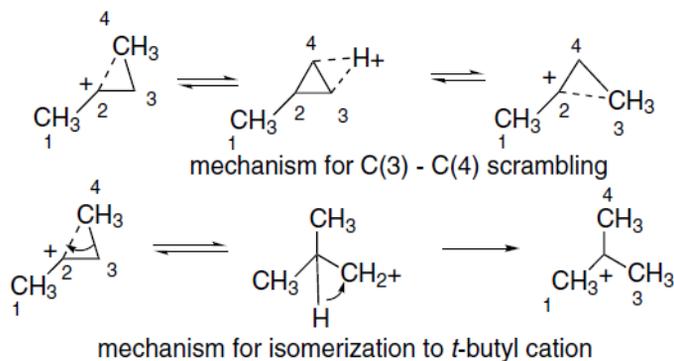
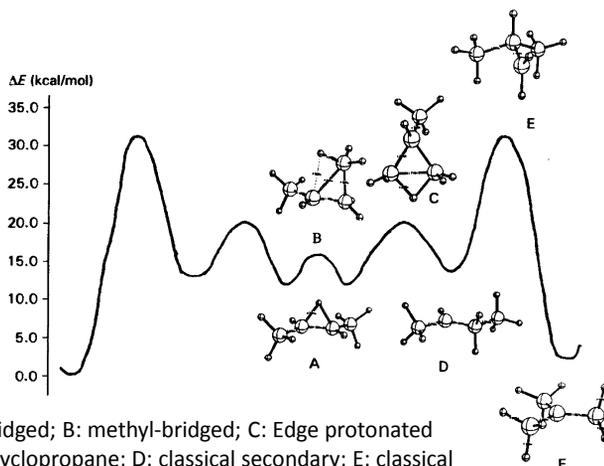


The methyl-bridged ion is only slightly less stable.



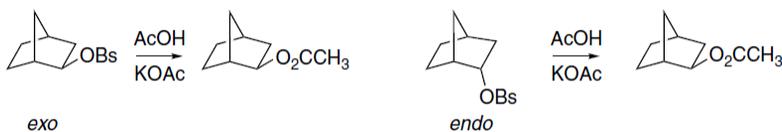


The 2-butyl to *t*-butyl rearrangement gives the following energy surface



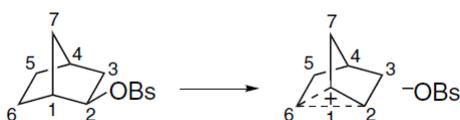


The Norbornyl Cation Nonclassical Carbocations

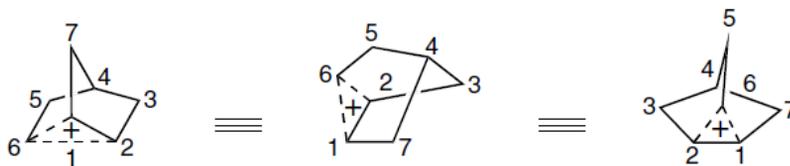


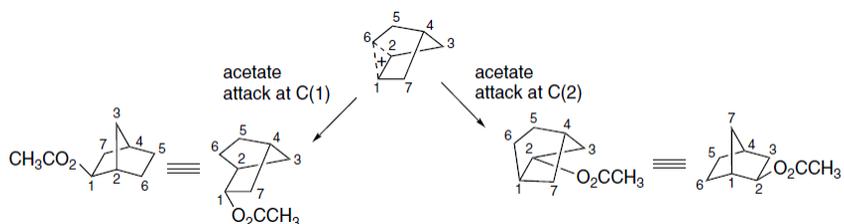
Racemic!

The exo-brosylate is more reactive than the endo isomer by a factor of 350.

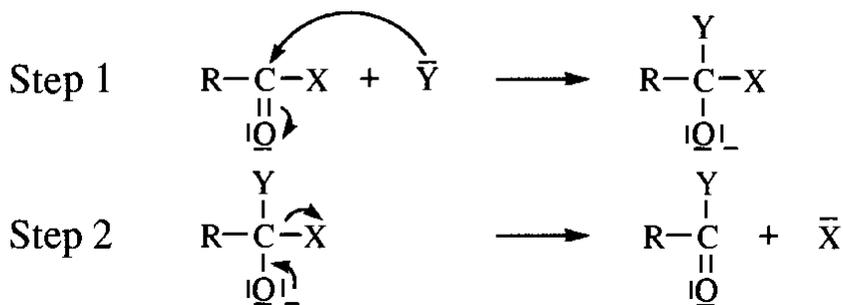


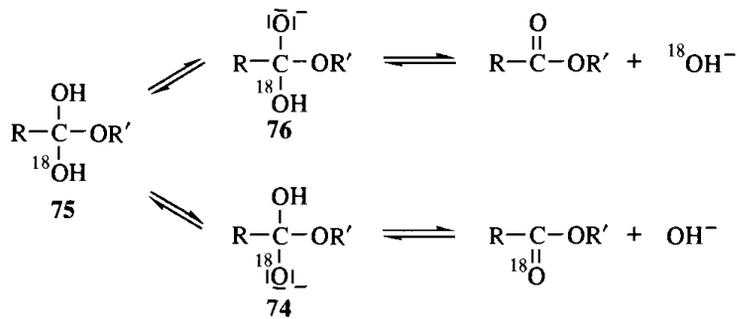
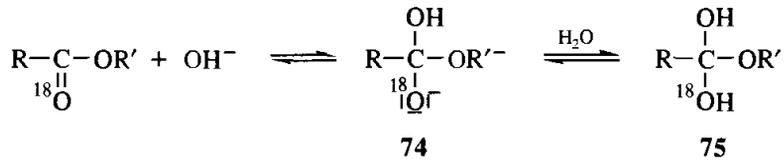
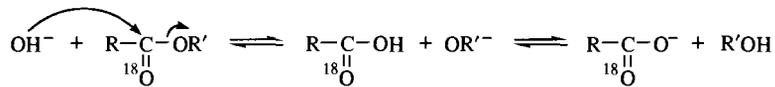
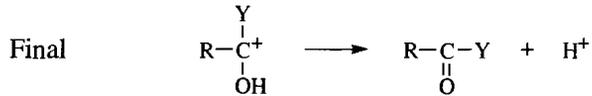
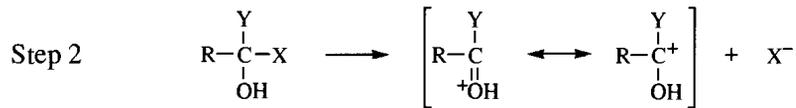
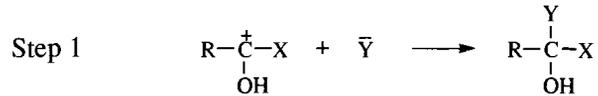
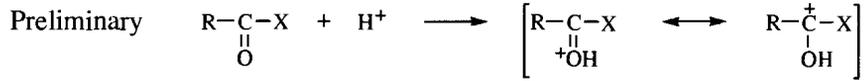
The plane of symmetry:
intermediate explains racemic
product





Nucleophilic Substitution at an Aliphatic Trigonal Carbon: The Tetrahedral Mechanism

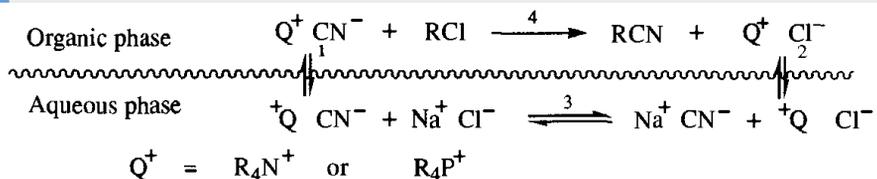




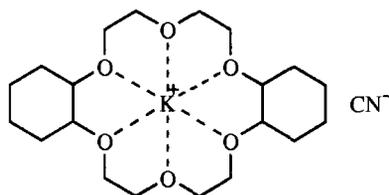


Phase-Transfer Catalysis

1. Quaternary Ammonium or Phosphonium Salts.

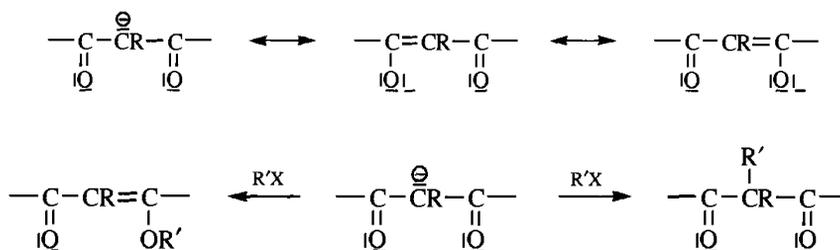


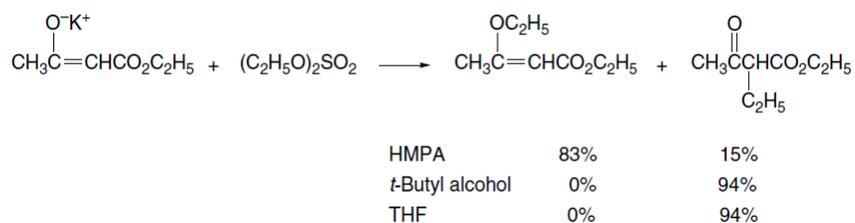
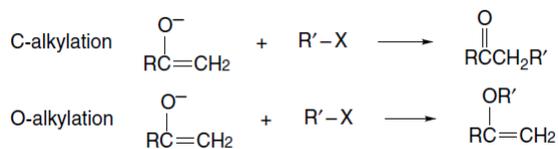
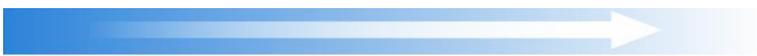
2. Crown Ethers and Other Cryptands (no water needed)



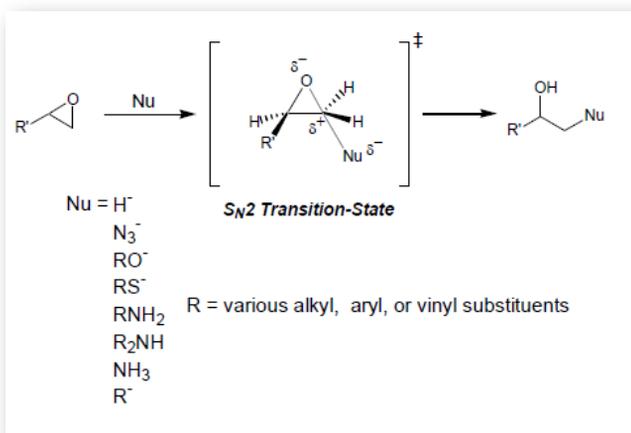
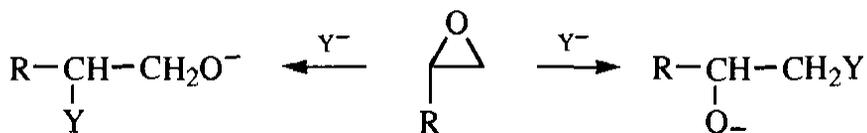
Ambident Nucleophiles: Regioselectivity

1. Ions of the Type. $-\text{CO}-\text{C}^{\ominus}:\text{R}-\text{CO}-$





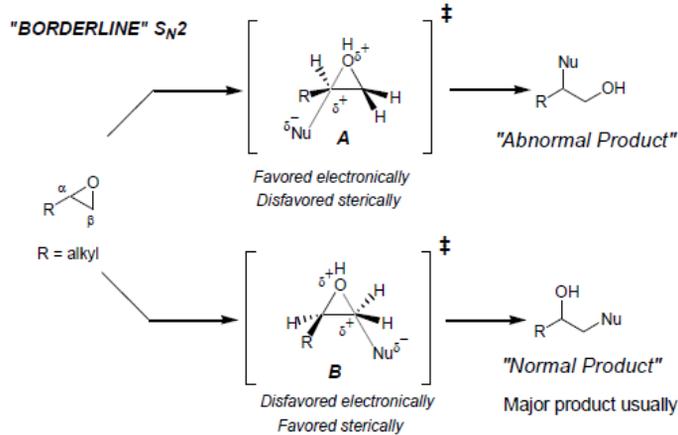
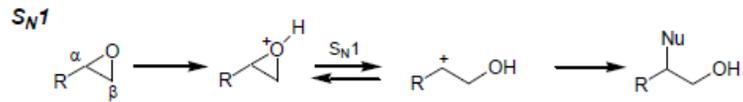
Ambident Substrates





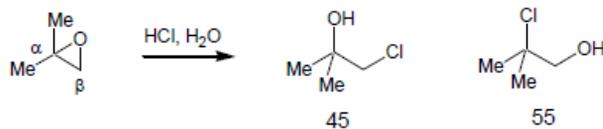
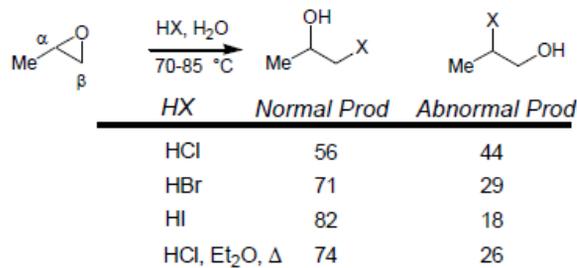
REGIOSELECTIVITY OF EPOXIDE RING OPENING

ACIDIC CONDITIONS: BORDERLINE S_N2 TO S_N1



REGIOSELECTIVITY OF EPOXIDE RING OPENING

ACIDIC CONDITIONS: BORDERLINE S_N2 TO S_N1





Exercício do dia



Keywords:
 intrinsic barriers -
 linear free-energy relationships -
 Marcus theory -
 nucleophilic substitution -
 regioselectivity

In memory of Jürgen Sauer

Ambident Reactivity

Hard and Soft Acids and Bases

Charge vs. Orbital Control

Regioselectivity

Thermodynamic Control

Kinetic Control

Diffusion Control

Activation Control

Marcus Theory

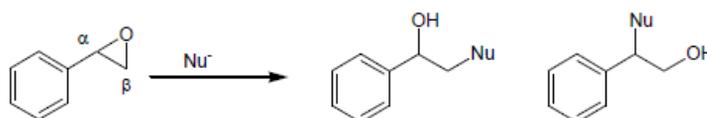
Angewandte Chemie

6470 www.angewandte.org © 2011 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim *Appl. Chem., Int. Ed.* 2011, 50, 6470–6595



REGIOSELECTIVITY OF EPOXIDE RING OPENINGS

EFFECT OF CONJUGATING SUBSTITUENTS



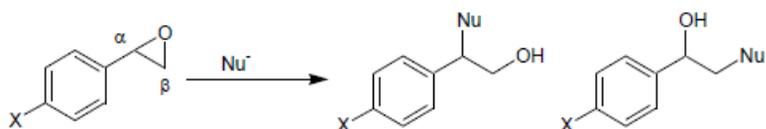
Nucleophile	Ratio
LiAlH ₄	100: 00
LiBH ₄	74:26
PhLi	100: 00
MeONa	70:30
MeOH, H ₂ SO ₄	10:90
NaN ₃	00:100
NaOPh	24:76
HOPh	12:88
HOPh, TsOH	06:94





REGIOSELECTIVITY OF EPOXIDE RING OPENINGS

EFFECT OF CONJUGATING SUBSTITUENTS



Nu	substituent (X)	Ratio
NaOPh	-NO ₂	36:64
	-H	76:24
	-OMe	100:0
NaOMe	-H	30:70



Portinari

MUITO OBRIGADO
 CARLOS.MONTANARI@USP.BR

