

# HIV & CNS

NAP Retrovirology - 2016

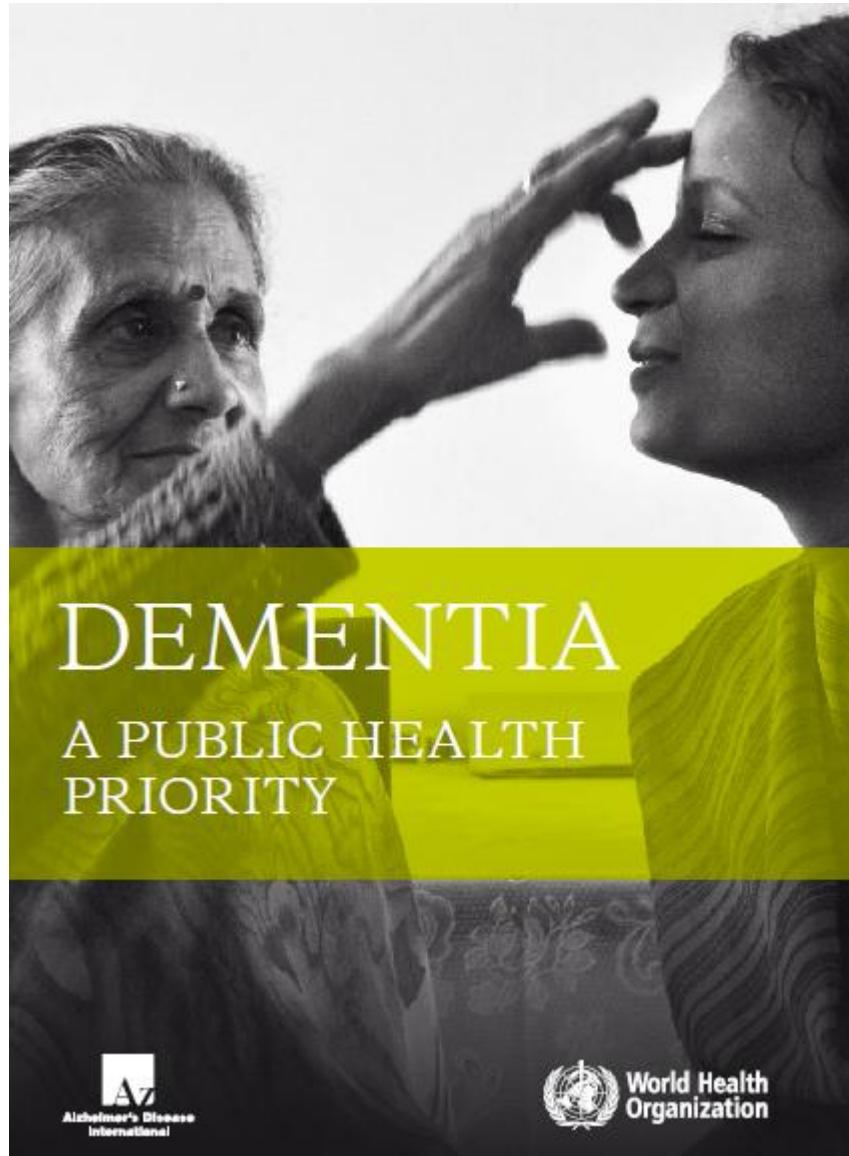
# HAND

Augusto Cesar Penalva de Oliveira, MD, PhD

Institute of Infectious Diseases Emílio Ribas

São Paulo – Brazil





# DEMEN TIA

## A PUBLIC HEALTH PRIORITY

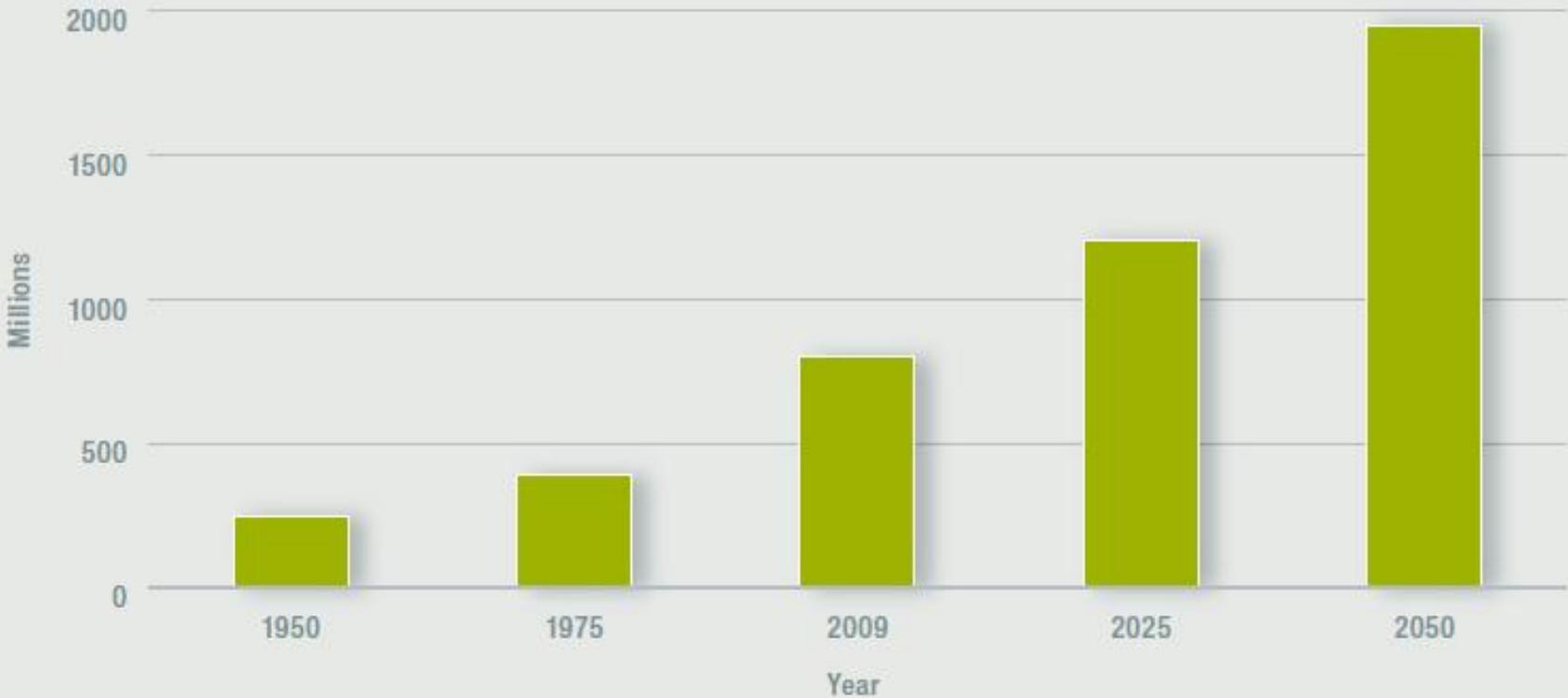


FIG 1.1 World population aged 60 years or over, 1950–2050 (5)

# DEMEN TIA

## A PUBLIC HEALTH PRIORITY



World Health Organization

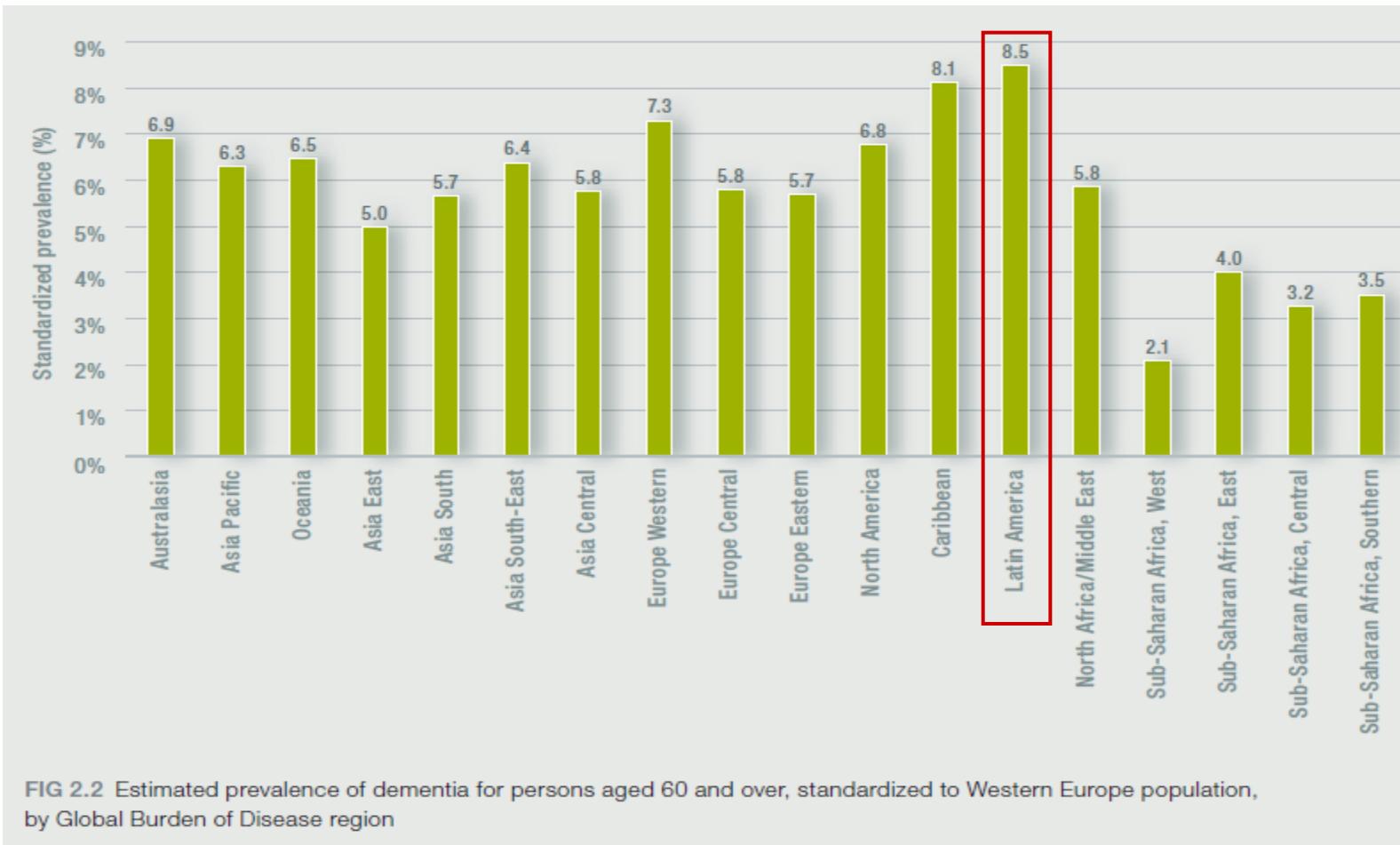


FIG 2.2 Estimated prevalence of dementia for persons aged 60 and over, standardized to Western Europe population, by Global Burden of Disease region

# **GRID**

(Horowitz SL, *et al.* Ann Neurol 12:80, 1982)

# **AIDS**

(Snider WD, *et al.* Ann Neurol 14:403, 1983)

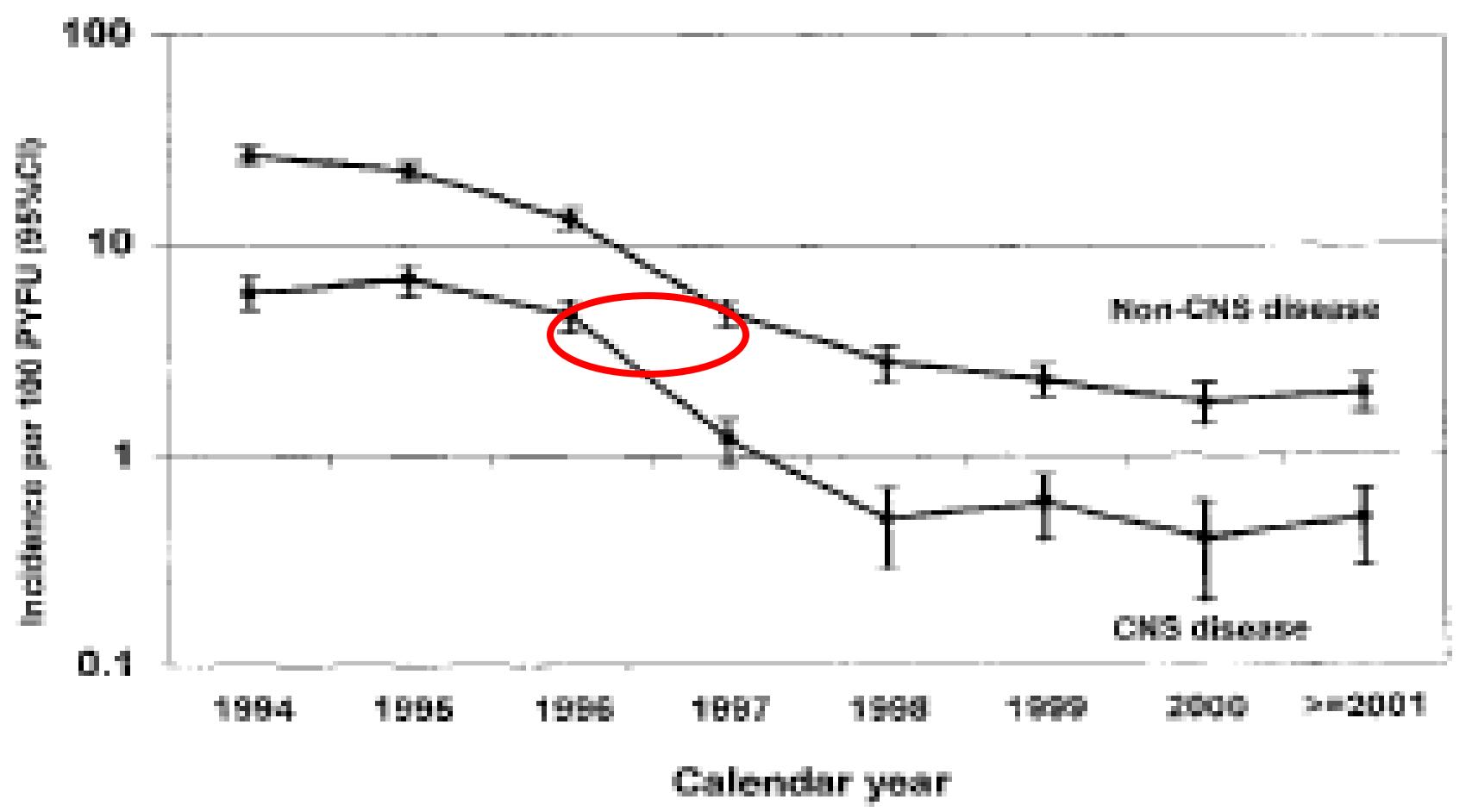


# **HAART ERA - DEVELOPED COUNTRIES**

**Important reduction in morbidity and mortality  
in patients with AIDS**

(Hogg RS et al., 1998)





d'Arminio Monforte *et al.* Ann Neurol 2004;55:320-8



# **NeuroAIDS in the HAART era - Italy**

**(January 2000 – March 2004)**

**(n = 1,136)**

<b>Disease</b>	<b>n (%)</b>
CNS Toxoplasmosis	324 (28.5)
HIV Encephalopathy	231 (20.3)
PML	136 (12)
Neurocryptococcosis	111 (9.8)
EUO	85 (7)
PSNCL	40 (3.6)
Neurotuberculosis	26 (2.3)
CMV Encephalitis	11 (1)
HSV Encephalitis	6 (0.6)



# **HAART era - Neurology**

Decrease in some opportunistic infections

Increase in inflammatory affections and therapeutic adverse effects

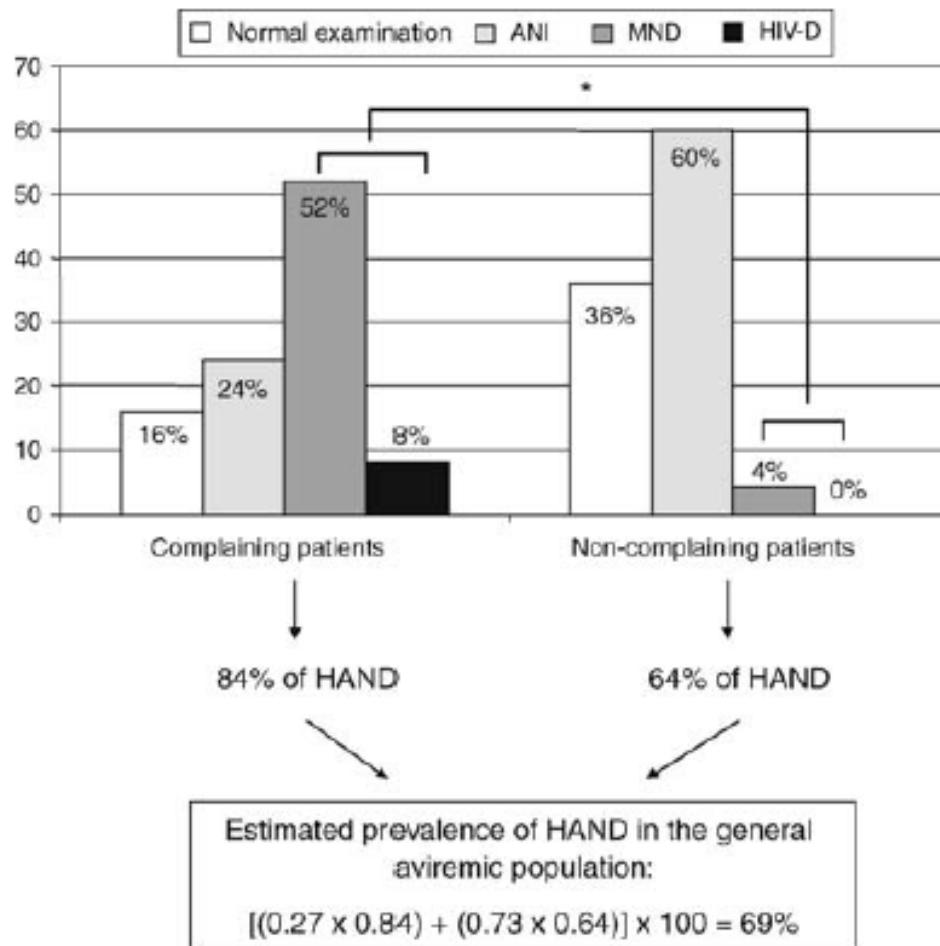
**Primary HIV-CNS infection**

(Dore G, *et al.* AIDS 13:1249, 1999)

HIV-related neurological diseases are common despite HAART



# Prevalence of HAND in cART-treated suppressed patients



**MINISTÉRIO DA SAÚDE  
SECRETARIA DE VIGILÂNCIA EM SAÚDE**

**1º ENCONTRO CIENTÍFICO DE PESQUISAS APLICADAS À VIGILÂNCIA EM SAÚDE**

**Prevalência e fatores associados as  
alterações neurocognitivas em  
pacientes com HIV-1**

**Coordenador: Maria Rita Polo Gascón**

**Instituição: Instituto de Infectologia Emílio Ribas**

**E-mail: mariaritapolo@yahoo.com.br**



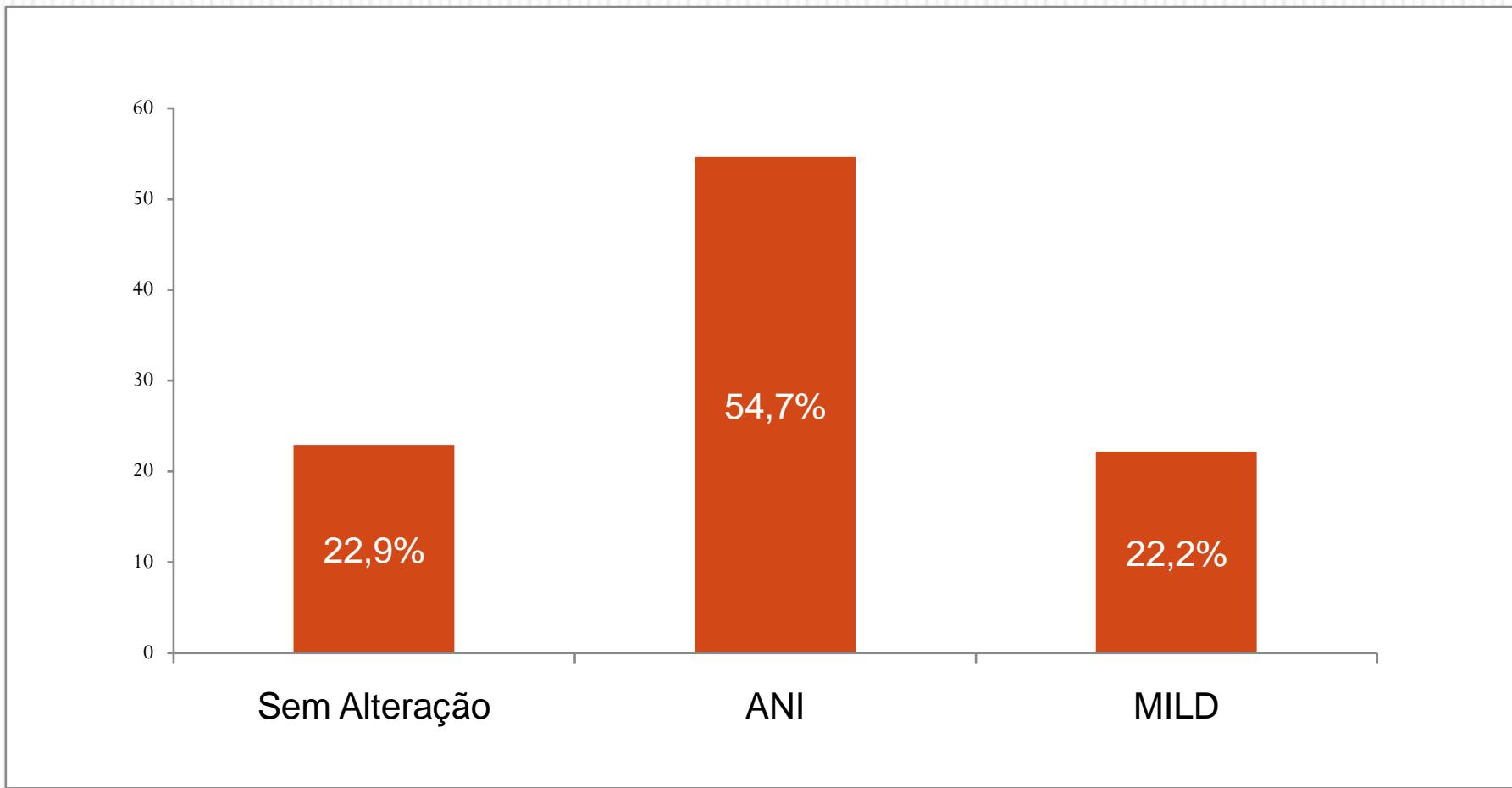
# RESULTADOS

---

- ✓ 575 pacientes;

Characteristics	
Male, n(%)	<b>377 (65.6)</b>
Age, year mean(CI)	<b>44.98 (44.1 – 45.9)</b>
Education, year mean(CI)	<b>11.92 (11.62- 12.22)</b>
Time of infection diagnosis, year mean(CI)	<b>13.13 (12.49 – 13.78)</b>
CD4, mean(CI)	<b>631 (604 – 658)</b>
Viral load indetectable n(%)	<b>427 (74.3)</b>
No previous disease n(%)	<b>456 (79.3)</b>

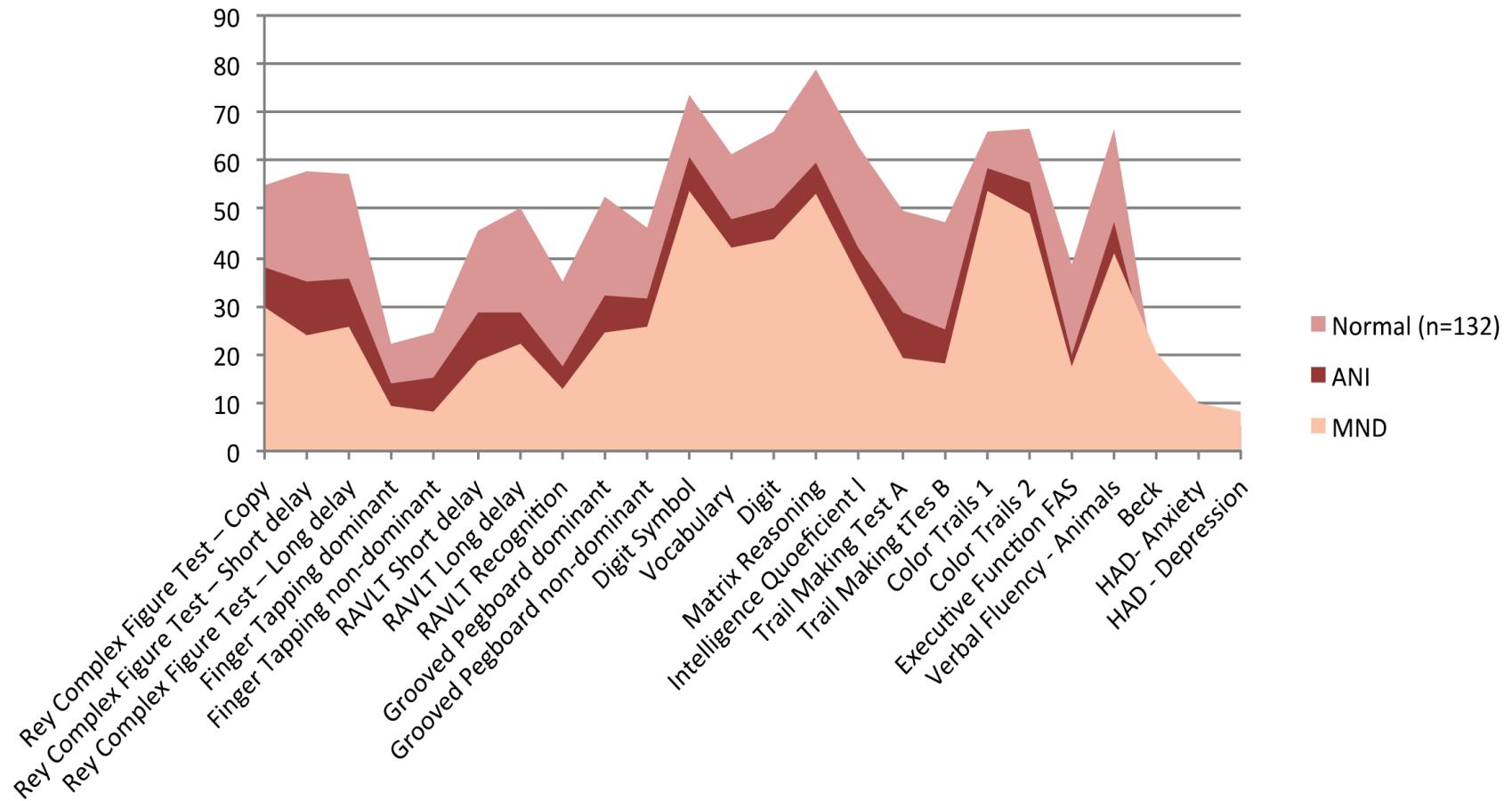
# Results: (HAND) – 575 patients – São Paulo, BRAZIL, 2015



# RESULTADOS

---

## Atenção – Memória – Speed – Função Visuo-espacial

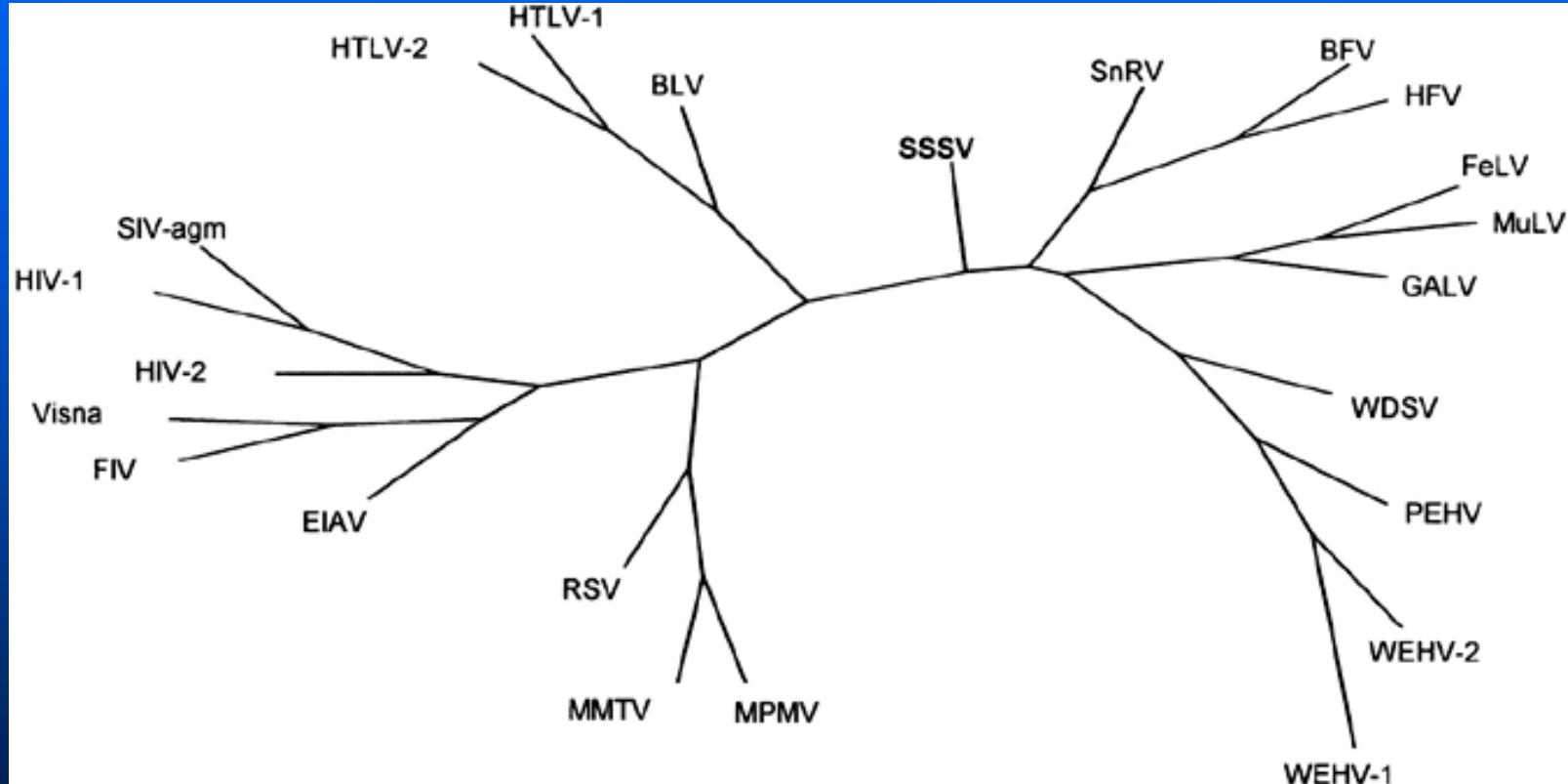


## 4. Resultados:

✓ Na comparação em função da HAND foram encontradas diferenças significativas entre os 3 grupos em:

Gênero M ( $p<0,001$ )  
Escolaridade ( $p<0,001$ )  
Carga viral ( $p<0,001$ )  
Doença prévia ( $p<0,001$ )

# ÁRVORE FILOGENÉTICA DA FAMÍLIA RETROVIRIDAE





# HIV-1 X HTLV-I - HTLV-II

## HIV-1

- Origem: ~100 anos
- Receptor: T CD4
- Infectividade: Alta
- Taxa Mutação: Alta
- 10 subtipos
- Recombinação: Sim
- Replicação: Alta
- Evolução: 90% em 10-15 anos
- Apoptose: Alta
- IL-2: Baixa

## HTLV-I

- ~ 100.000 anos
- T CD4+
- Baixa
- Baixa
- 5 subtipos
- Não
- Baixa
- <5% em 30-40 anos
- Diminuída
- IL-2: Elevada



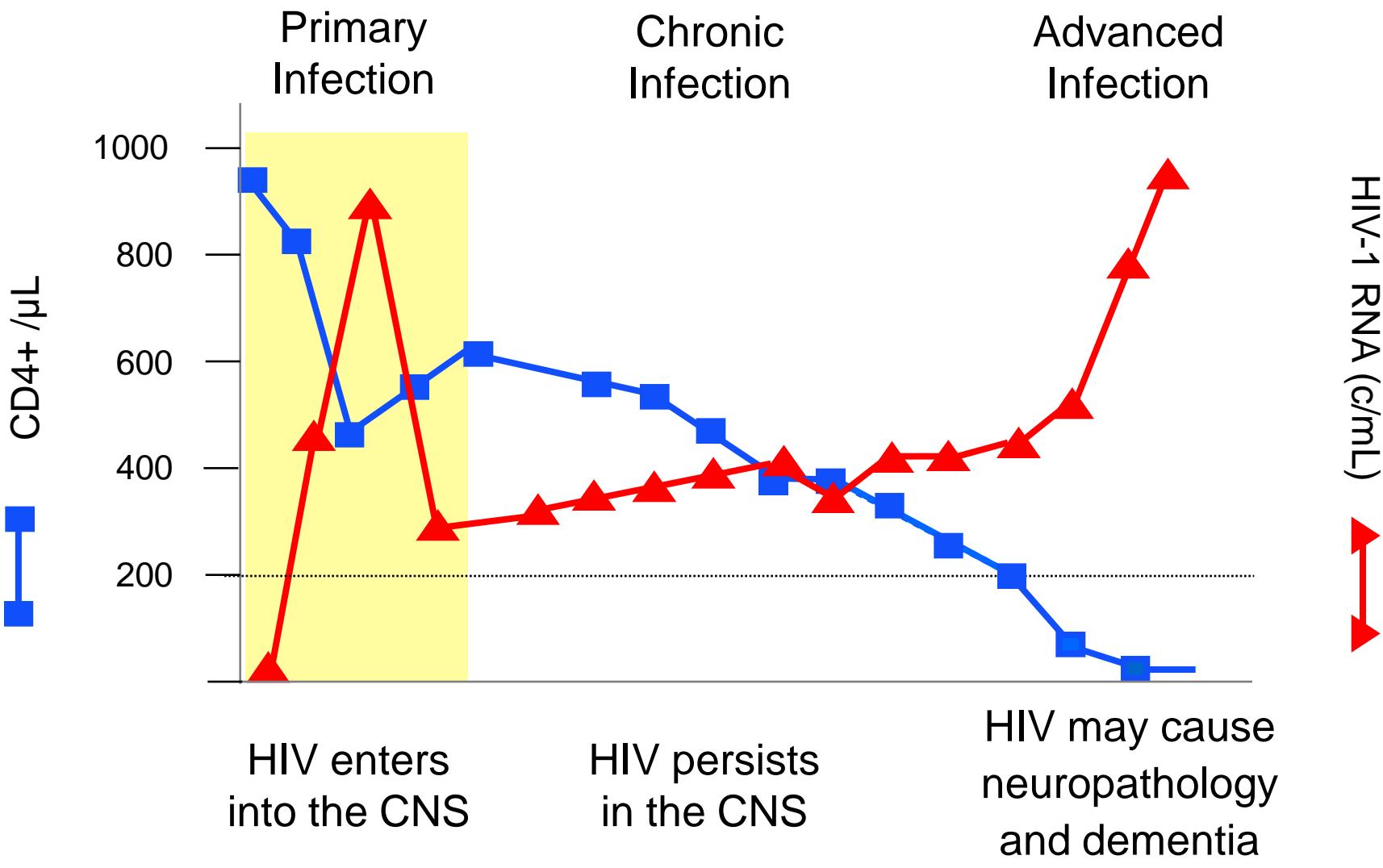
# **HAND**

**(HIV Associated Neurocognitive Disorder)**

**(Neurology, 2007)**



# HIV and the CNS



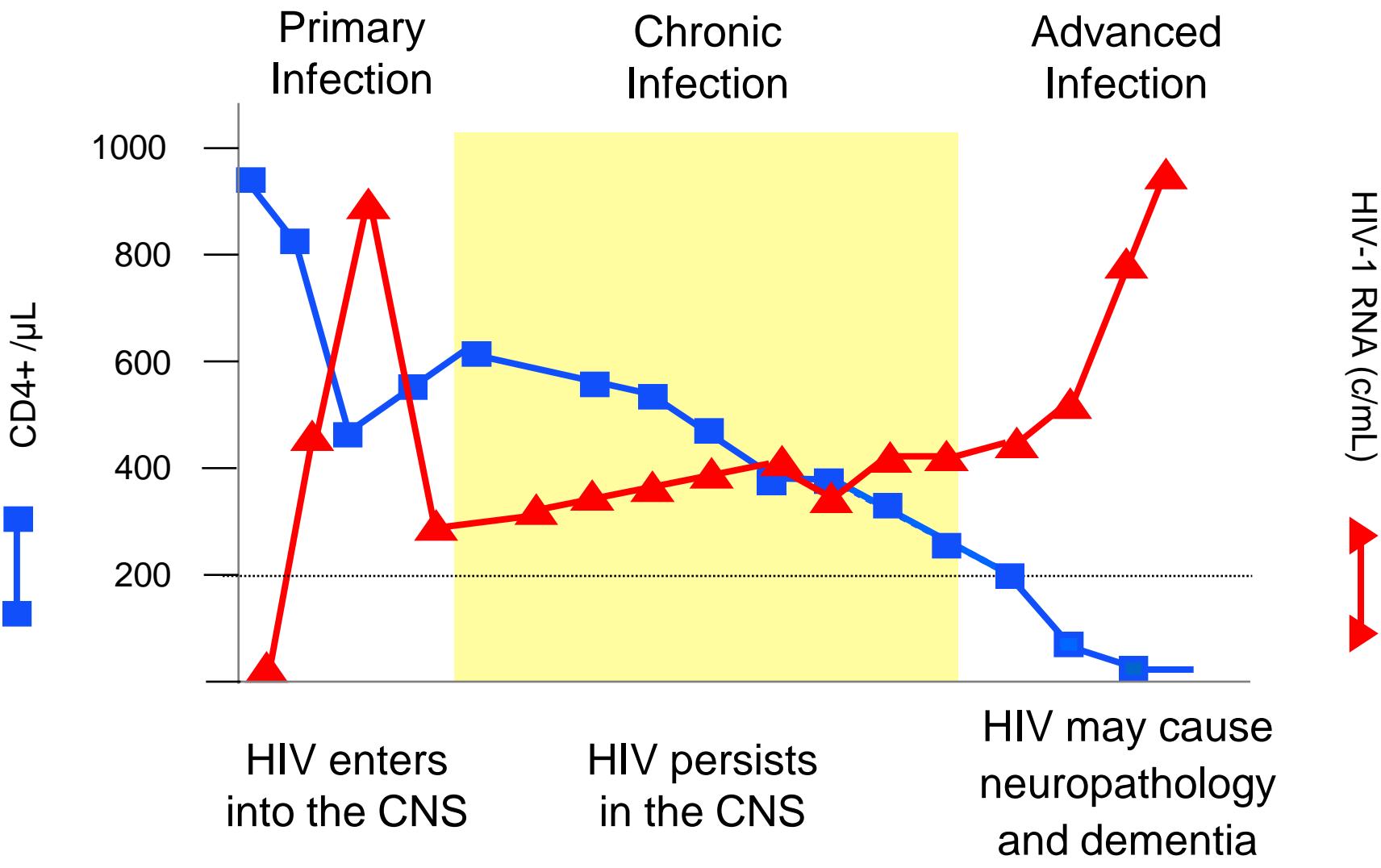
# What clinical evidence indicates that HIV invades the CNS in early HIV?

## ANSWER:

- In HIV seroconversion illness the CNS may be involved<sup>1</sup>
  - Meningoencephalitis
  - Headaches
  - Seizures
  - Myelopathy
  - Cranial nerve palsies
  - Sensory polyneuropathy
  - Guillain Barre syndrome
  - **Natalizumab – M.C. – Encefalopatia aguda**

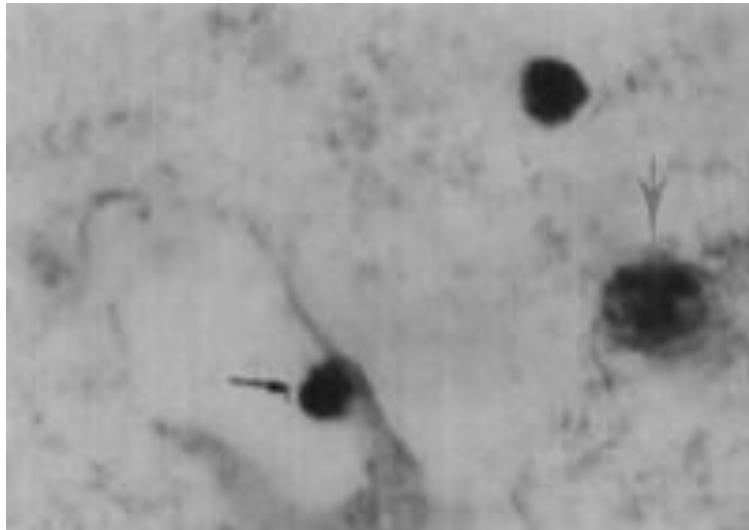


# HIV and the CNS

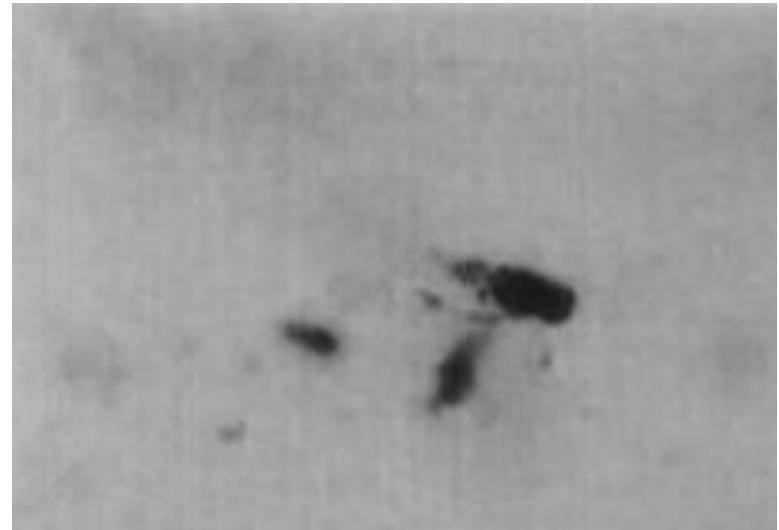


# HIV-1 DNA in the CNS in asymptomatic HIV infection: cell localization by *in situ*-PCR

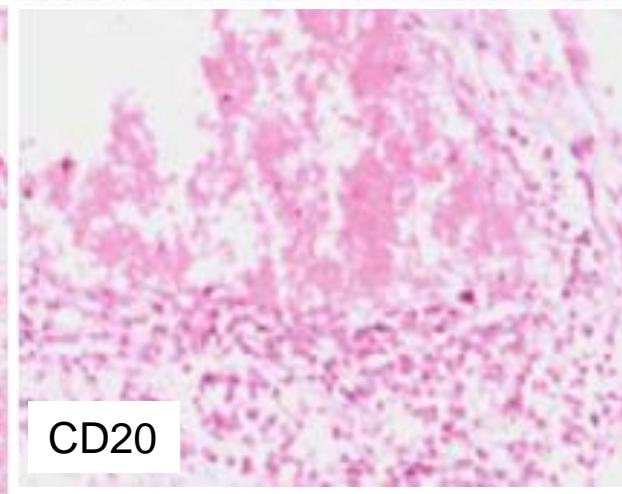
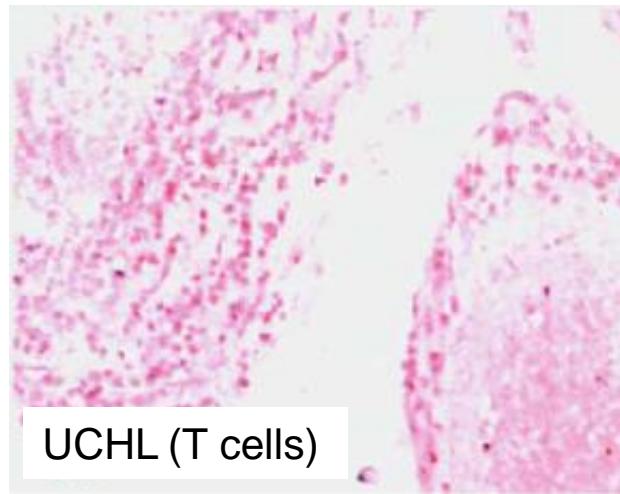
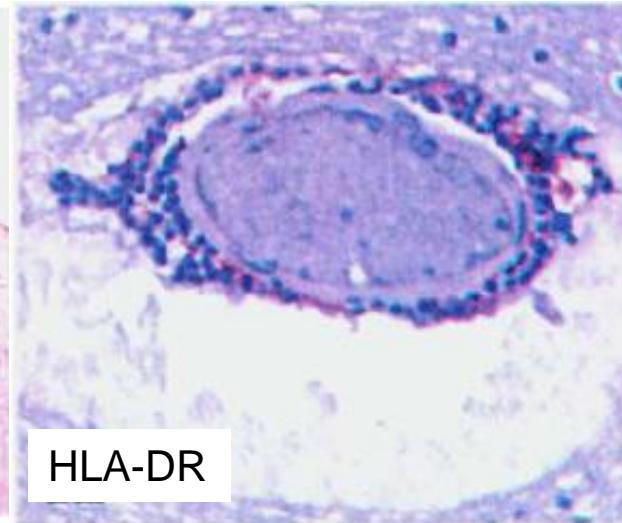
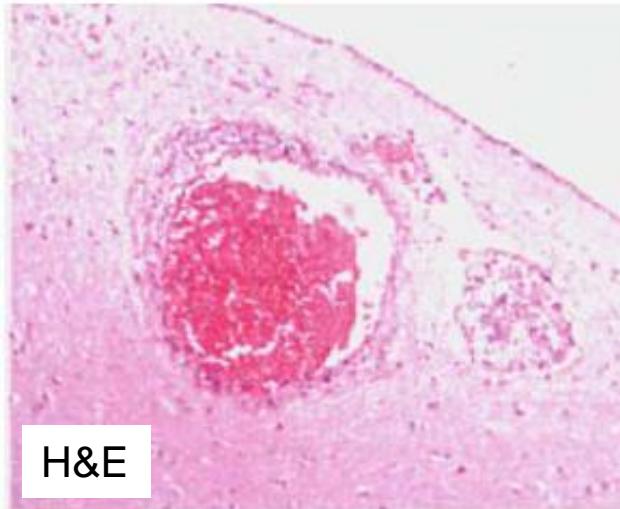
HIV DNA in microglial cells



HIV DNA in astrocytes and endothelial cells



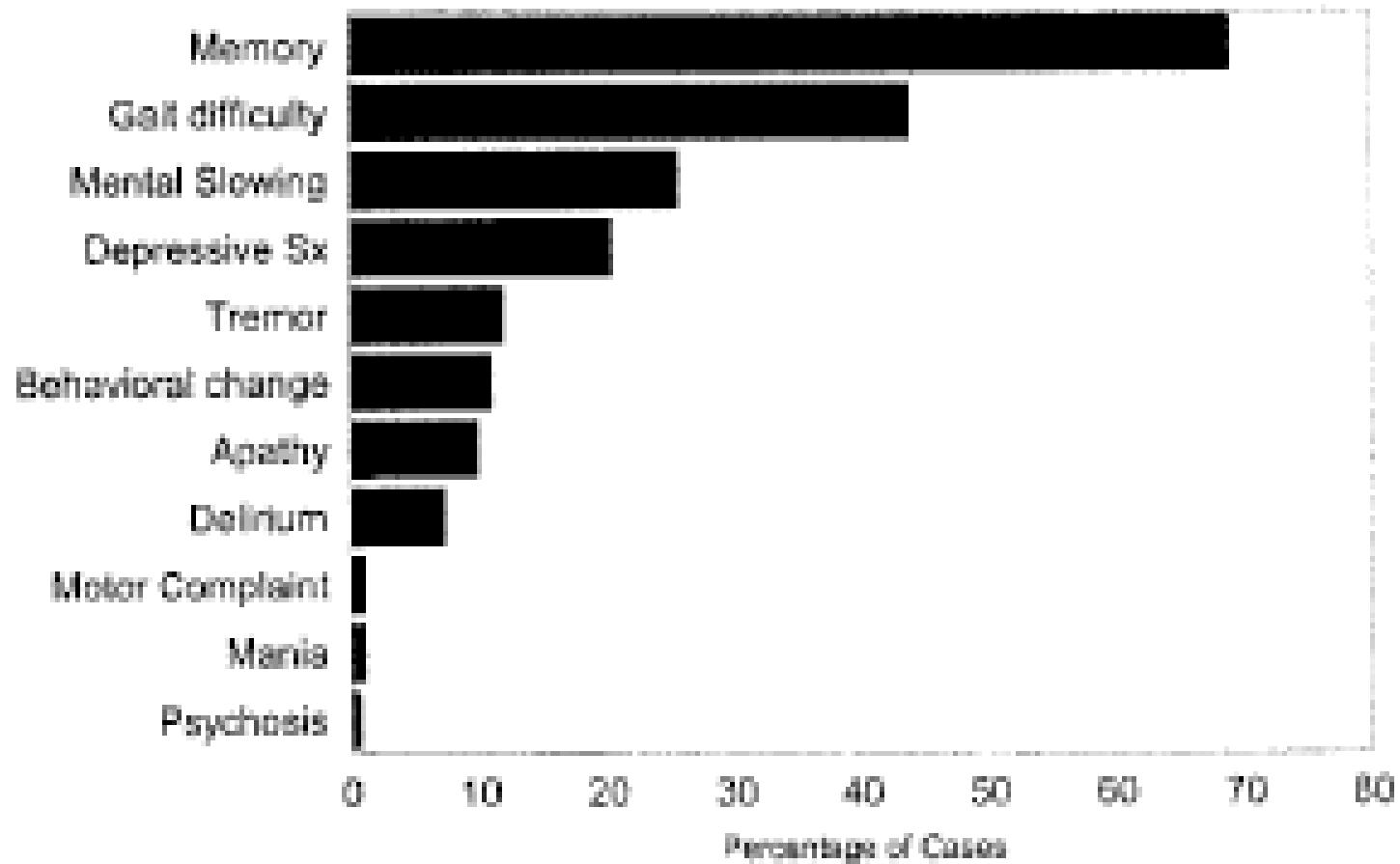
# Inflammatory changes in the brain of early HIV-1 infection



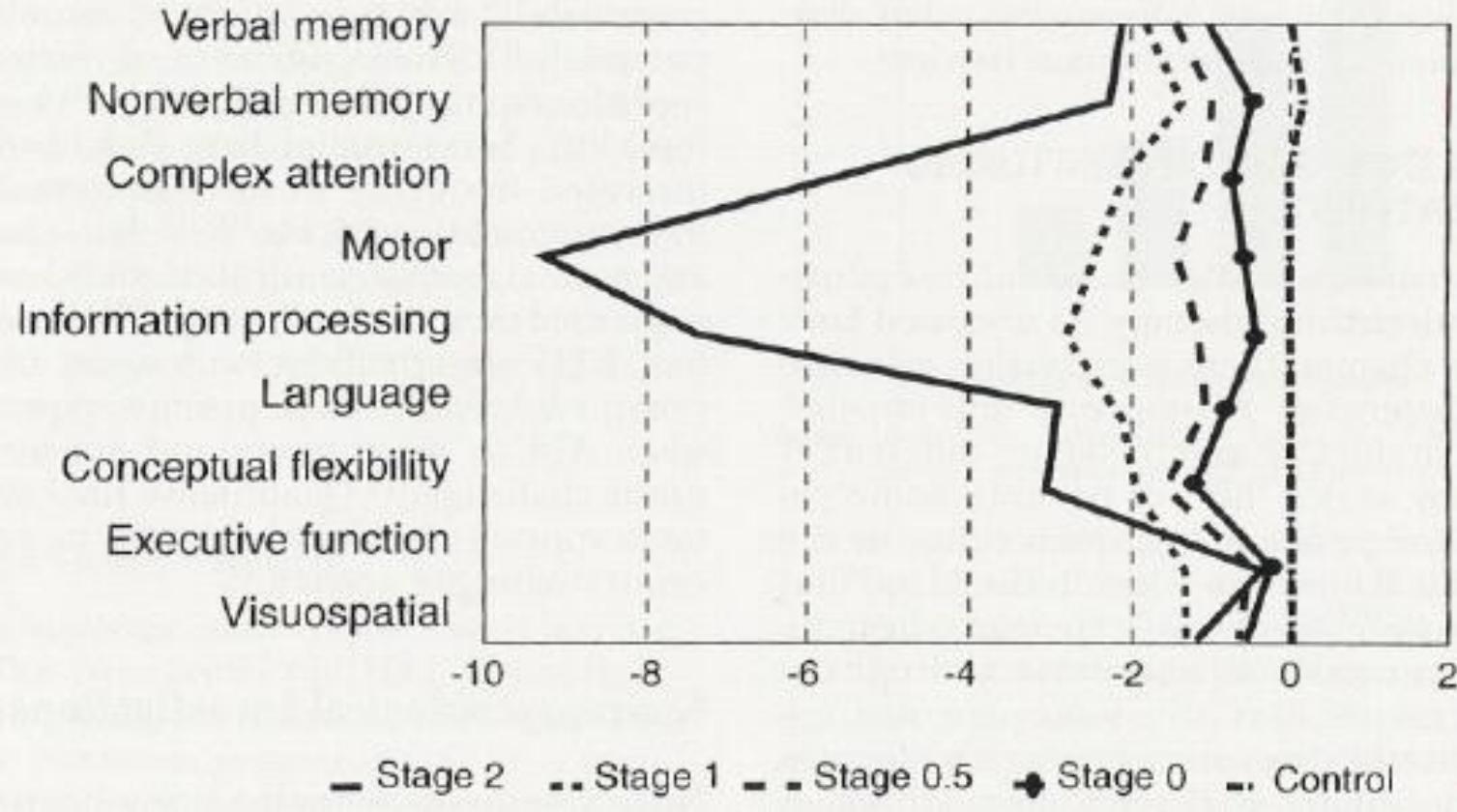
# HIV Associated Neurocognitive Disorder (HAND) - HAD

- Subcortical dementia
  - Cognitive impairment
  - Psychomotor slowing
  - Behavioural changes (*Navia et al, Ann Neurol 1986*)
- Cause
  - HIV infection brain =>immune dysregulation and damage 2<sup>o</sup> cytokines and viral toxins





# Neuropsychological profiles



# Updated research nosology for HIV-associated neurocognitive disorders

A. Antinori, MD

*Neurology®* 2007;69:1789-1799



# Definitions

## ■ HIV Associated Dementia (HAD)

- Acquired neurocognitive deficit => *functional* impact work and ADLs
- Evidence of motor and behavioural impairment
- No other plausible explanation for changes
  - » (*Report of a working group of the American Academy of Neurology AIDS Task Force. Neurology, 1991*)
- Validated Research Case Definition
  - » (*DANA Consortium. Clinical conformation of the American Academy of Neurology algorithm for HIV-1-associated cognitive/motor disorder, Neurology 1996*)



# Definitions

## ■ Mild Neurocognitive Disorder - MND

- Acquired neurocognitive deficit => **Mild** impact work and ADLs
- Evidence of motor and behavioural impairment
- No other plausible explanation for changes
  - » (*Report of a working group of the American Academy of Neurology AIDS Task Force. Neurology, 1991*)



# Definitions

- **Asymptomatic Neurocognitive Impairment (ANI)**
- **(how much asymptomatic?, progressive, CROI, 2012 )**
  - Patients have mild impairment that does not meet definition of HAD or MND
  - Acquired neuropsychological abnormalities in N. assessment – 2 ability domains



# **Asymptomatic HIV-associated Neurocognitive Disorder Increases Risk for Future Symptomatic Decline: A CHARTER Longitudinal Study**

**(Heaton R et al, CROI 2012. Abstract 77)**

“It has been suggested that the ANI diagnosis is not valid, does not predict clinical outcomes, and may be a statistical artifact. Findings from this large longitudinal study demonstrate that ANI and MND are significant and comparable risk factors for incident cognitive worsening over 18 to 42 months, indicating that ANI and MND are both clinically important. The additional specific risk factors for such cognitive decline require further elucidation.”



# Definition of HIV-Associated Neurocognitive Disorders (HAND)

	Acquired Impairment in <b>2</b> Cognitive Abilities	Interferes with Daily Functioning
<b>Asymptomatic Neurocognitive Impairment (ANI)</b>	<b>YES</b>	<b>NO</b>
<b>Mild Neurocognitive Disorder (MND)</b>	<b>YES</b>	<b>MILD</b>
<b>HIV-Associated Dementia (HAD)</b>	<b>MARKED</b>	<b>MARKED</b>

No Pre-Existing Cause, Delirium absent

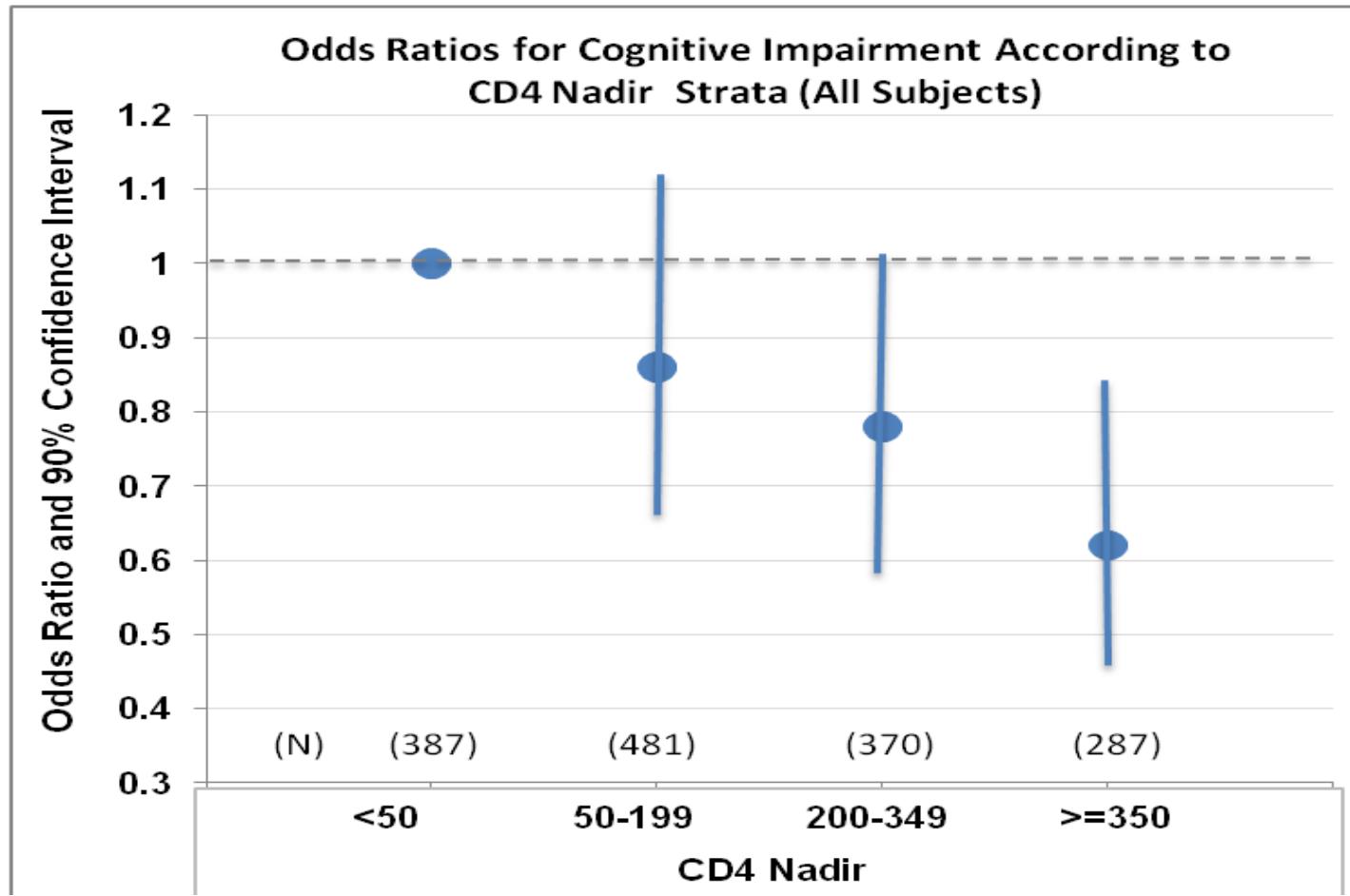
# Fatores de risco para HAND

Nadir de CD4, CD4 atual, *set point viral*, tempo de infecção, tempo de uso do HAART, idade, anemia, IMC, hepatite C, uso de drogas endovenosas, fatores genéticos do hospedeiro (p.e polimorfismos do promotor do TNF- $\alpha$  ou do MCP-1; isoforma E4 da apolipoproteína E).

Tozzi *et al.*, 2005; Sacktor *et al.*, 2006; Wong *et al.*, 2007; Muñoz-Moreno *et al.*, 2008; McArthur 2010



# HAND está associado com nadir de CD4

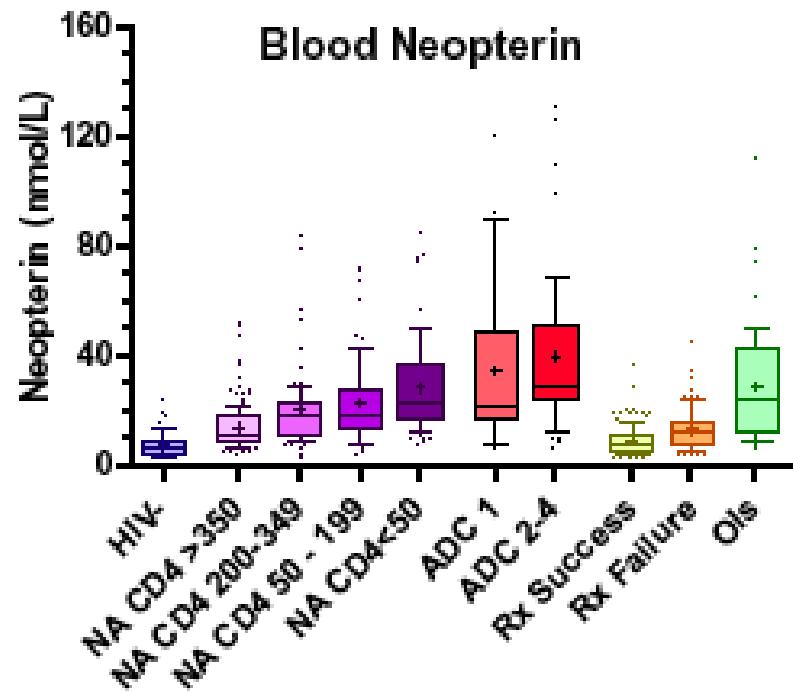
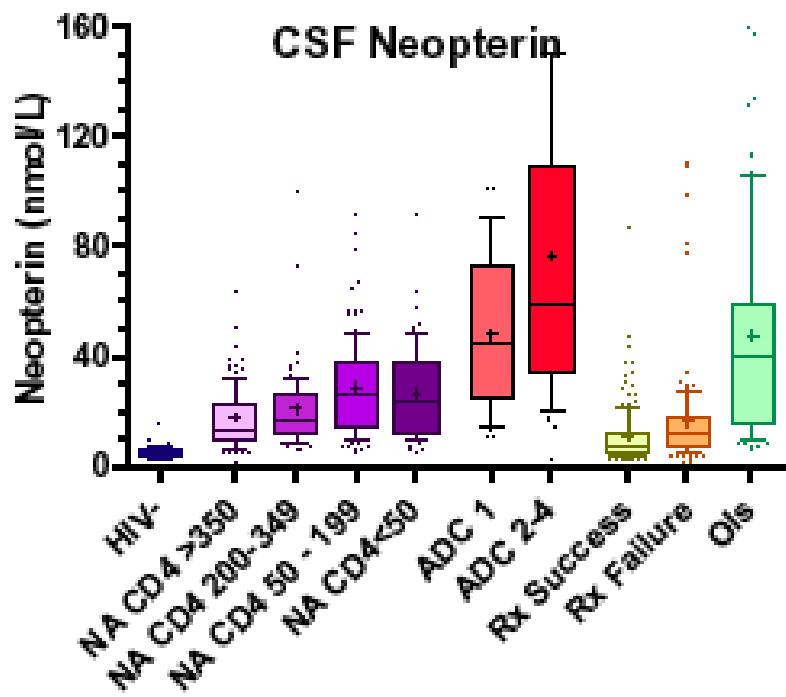


# Blood Biomarkers

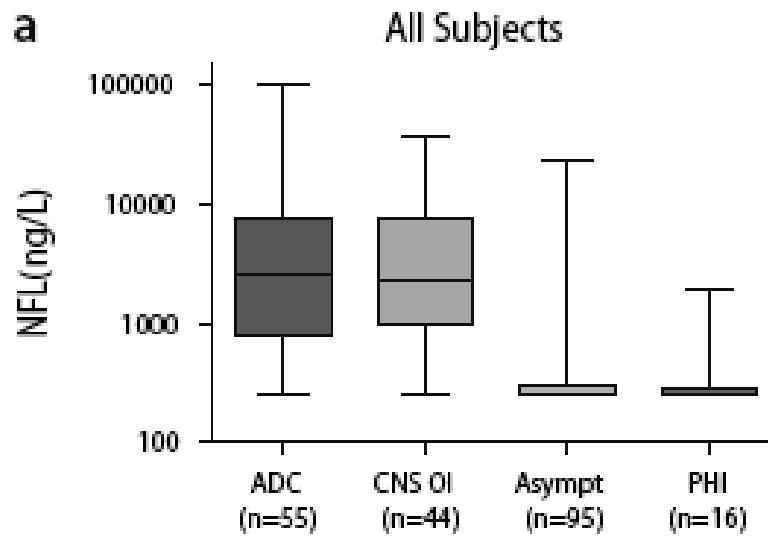
- CD4 Counts – especially nadir CD4
- Platelet Decline
- C-Reactive Protein
- Osteopontin
- Glucose, Lipids
- CVD & risk factors – (Smart - Neurology, 2010) – **CROI 2016**



# CSF markers of immune activation



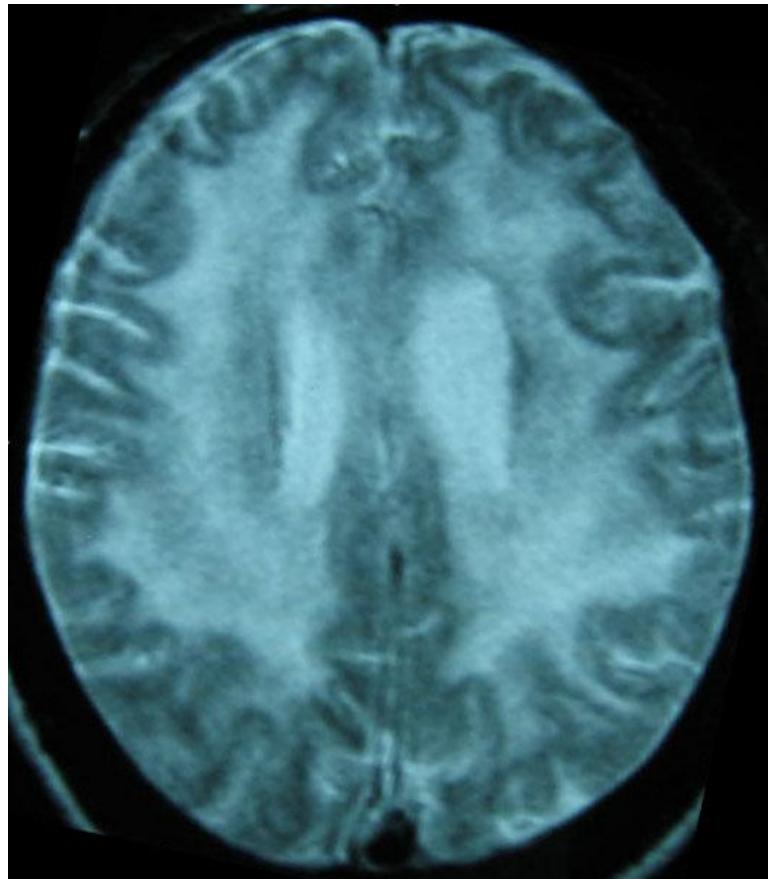
# CSF Neurofilament (NFL) as marker of neuronal damage



# The AIDS dementia complex (ADC)

## AAN definition criteria (1991)

1. Acquired abnormality in at least two of the following cognitive abilities:
  - attention/concentration,
  - speed of processing of information,
  - abstraction/reasoning,
  - visuospatial skills,
  - memory/learning,
  - speech/language
2. At least one of the following:
  - a) Acquired abnormality in motor function or performance;
  - b) Decline in motivation or emotional control or change in social behavior
3. Absence of clouding of consciousness
4. Absence of evidence of other etiology



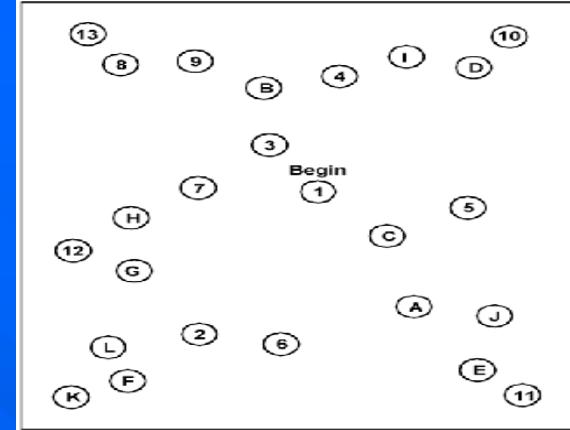
# Screening for HAND

- MMSE – not very useful as concentrates on cortical features whereas HIV clinical features are more subcortical
- HIV Dementia Rating Scale – revised by Woods et al. with appropriate norms and improves sensitivity from 17% to 70%, respectively
- Neuro-screening – IHDS - Sactor, 2005



# Diagnose - HAND

- Refer for neuropsychological testing, OR carry out
    - Grooved pegboard
    - Trails A and B
    - Digit-symbol
    - Action words/verbs/doing vs rather than verbal fluency



10. DIGIT SYMBOL	1	2	3	4	5	6	7	8	9	SCORE															
		2	3	4	5	6	7	8	9	<input type="text"/>															
	-	↓	□	L	U	O	Δ	X	=																
SAMPLES																									
2 1 3 7 2 4 8	2	1	3	2	1	4	2	3	5	2	3	1	4	5	6	3	1	4							
1 5 4 2 7 6 3 5 7 2 8 5 4 6 3 7 2 8 1 9 5 8 4 7 3	1	5	4	2	7	6	3	5	7	2	8	5	4	6	3	7	2	8	1	9	5	8	4	7	3
6 2 5 1 9 2 8 3 7 4 6 5 9 4 8 3 7 2 6 1 5 4 6 3 7	6	2	5	1	9	2	8	3	7	4	6	5	9	4	8	3	7	2	6	1	5	4	6	3	7
9 2 8 1 7 9 4 6 8 5 9 7 1 8 5 2 9 4 8 6 3 7 8 6	9	2	8	1	7	9	4	6	8	5	9	7	1	8	5	2	9	4	8	6	3	7	8	6	

# HIV-1 Associated Neurocognitive Disorders (HAND) - classification

## 1. Asymptomatic Neurocognitive Impairment (ANI)

- **15-60% patients**
- **Asymptomatic: only detectable with formal neuropsychological testing**

## 2. Mild Neurocognitive Disorder (MND)

- **Up to 40% patients pre- & post-HAART**
- **Causes mild-moderate impact on function at work and home**

## 3. HIV-Associated Dementia (HAD)

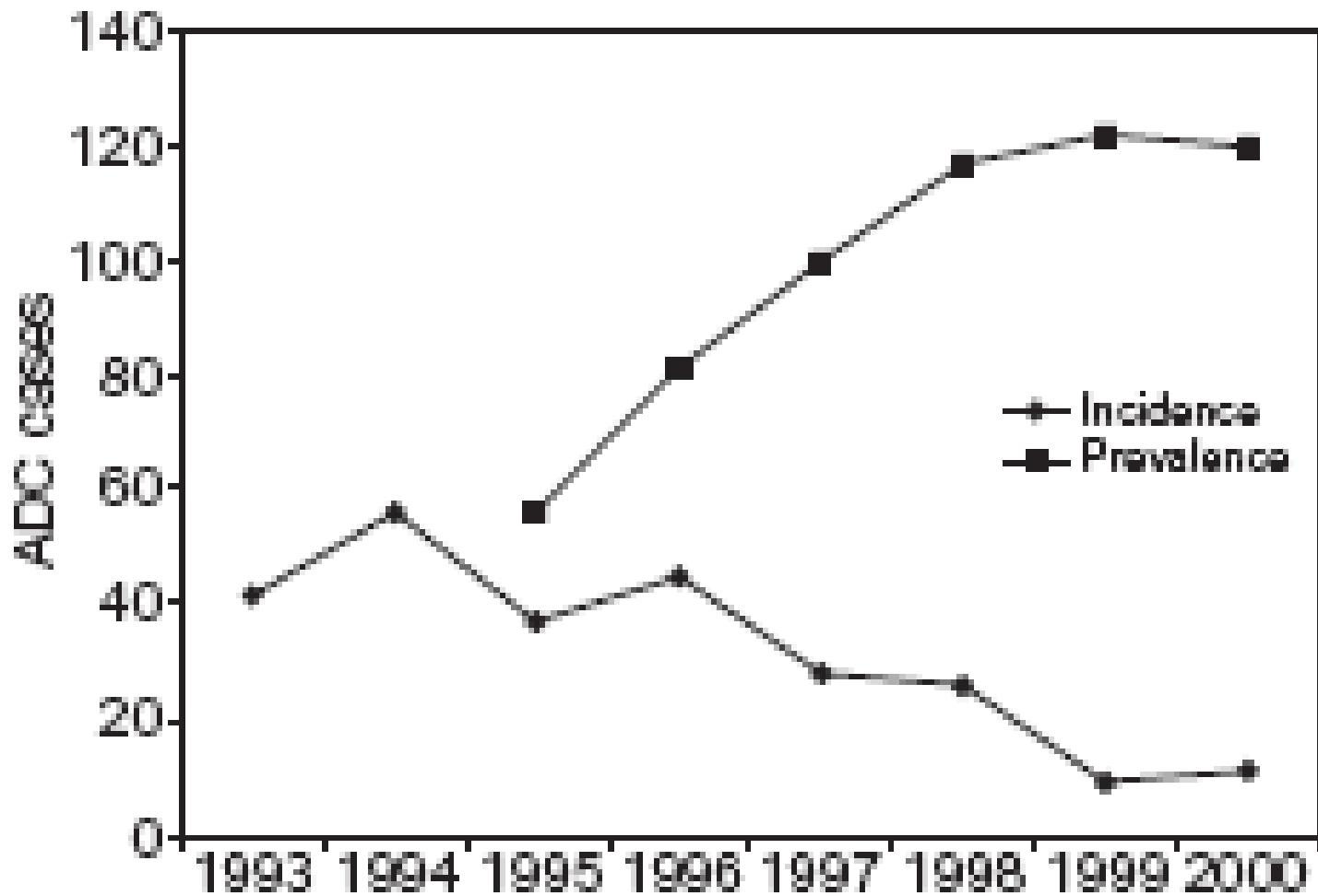
- **Incidence 7% per year CD4+ cells < 200/uL pre-HAART and 3% per year post-HAART'**
- **Causes significant impact upon function at home and work**

# HAART - HAD

Johns Hopkins University - J. McArthur, 2003

- Incidence - decrease 50% after 1996
- Prevalence - 6,6% - 1994
- Prevalence - 10,1% - 2000





Dore *et al.* AIDS 2003;17:1539-45



# HAART era - HAD

Sacktor N, *et al.* Neurology 56:257, 2001

- Pre - 20-30%
- Post - 10,5%
- Increased as AIDS defining illness
- Increased cases with CD4+>200
- Increased - MND



# Change in the Natural History of HAD in HAART era: CD4 cell count “disconnect”

Study	1990-1995 Mean CD4 cell count/mm <sup>3</sup> at diagnosis ADC	1996-1998 Mean CD4 cell count/mm <sup>3</sup> at diagnosis ADC	1999-2001 Mean CD4 cell count/mm <sup>3</sup> at diagnosis ADC
MACS	150	286 <i>(Sacktor, 2001)</i>	518 <i>(Sacktor, 2003)</i>



# Demência e contagem de células CD4

(média de CD4 ao momento do diagnóstico da demência)

Estudo	1990-1995	1996-1998	1999-2001	2001-2003
MACS	150	286 <i>(Sacktor et al 2001)</i>	518 <i>(Sacktor et al, 2003)</i>	
AUS	70	160 <i>(Dore et al 1999)</i>		418 <i>(Cysique et al 2004)</i>



# HIV-related Neurocognitive Impairment - MND

- 40% HIV positive outpatients (*Cysique et al, 2002*)
  - » Mean CD4 cell count at testing 339 cells/uL
  - » 52% undetectable plasma HIV viral load
- Dana & NEAD cohorts
  - No change in neurocognitive impairment pre & post HAART (*Sacktor et al, 2002*)



# HIV-Related Neurocognitive Disorder

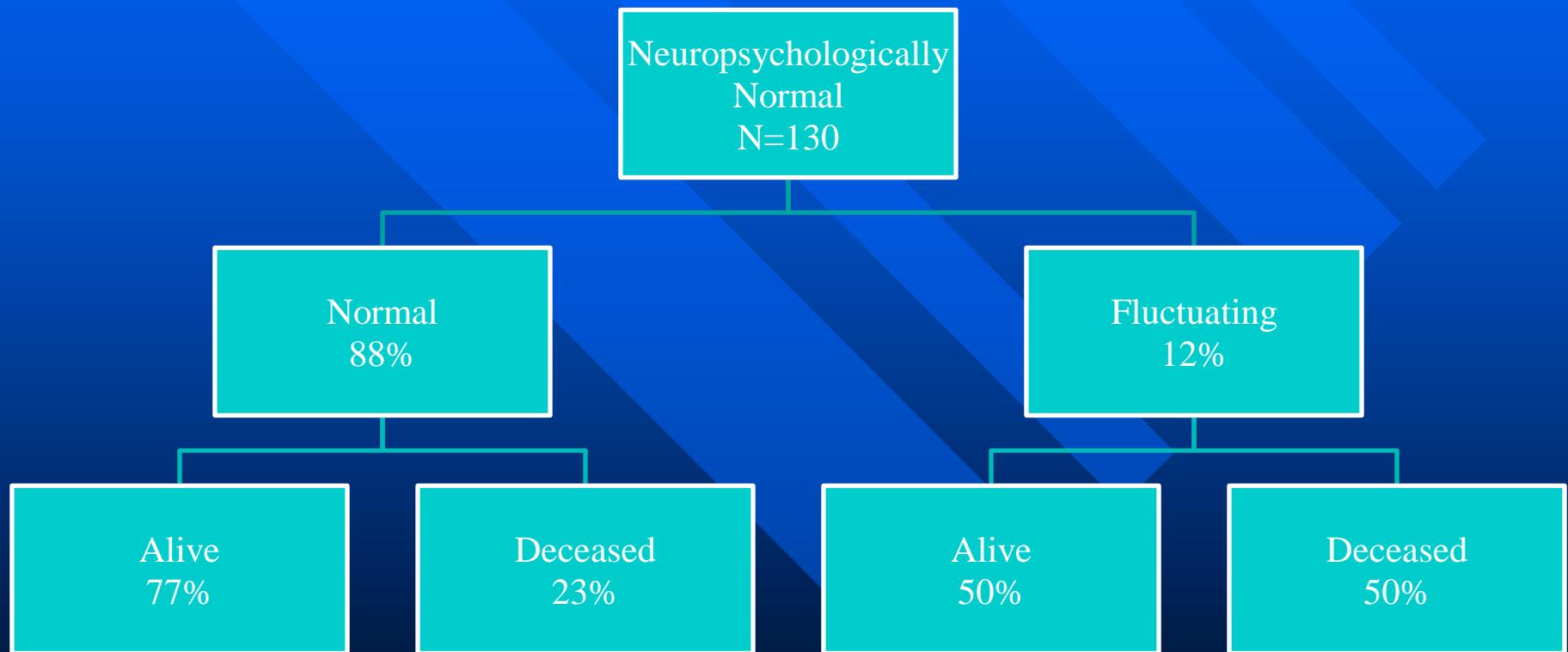
## HIV-related Neurocognitive Impairment – MND

- Predicts underlying HIV-related Disease of Brain  
*(Cherner et al ,2002)*
- Associated with Increased Risk for:
  - » Mortality (*Wilkie et al 1998; Sacktor et al 1996; Mayeux et al 1993; Price et al 1999*)
  - » ADC (*Stern et al ,2001*)
  - » Job loss (*Albert et al,1995*)
  - » Driving ability decline (*Marcotte et al, 1999*)
  - » Poor medication adherence in more severe neurocognitive impairment (*Hinkin et al, 2002*)

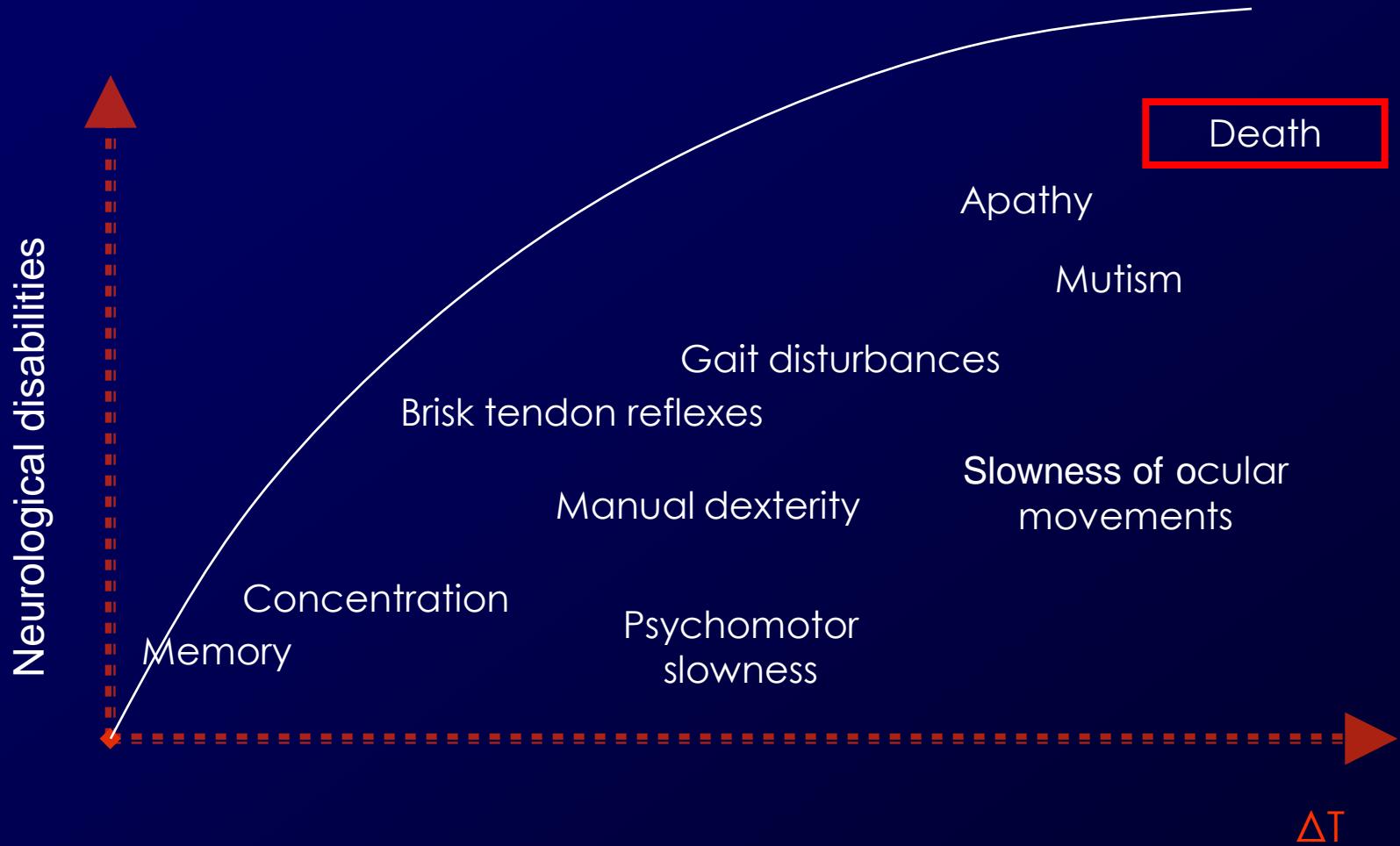


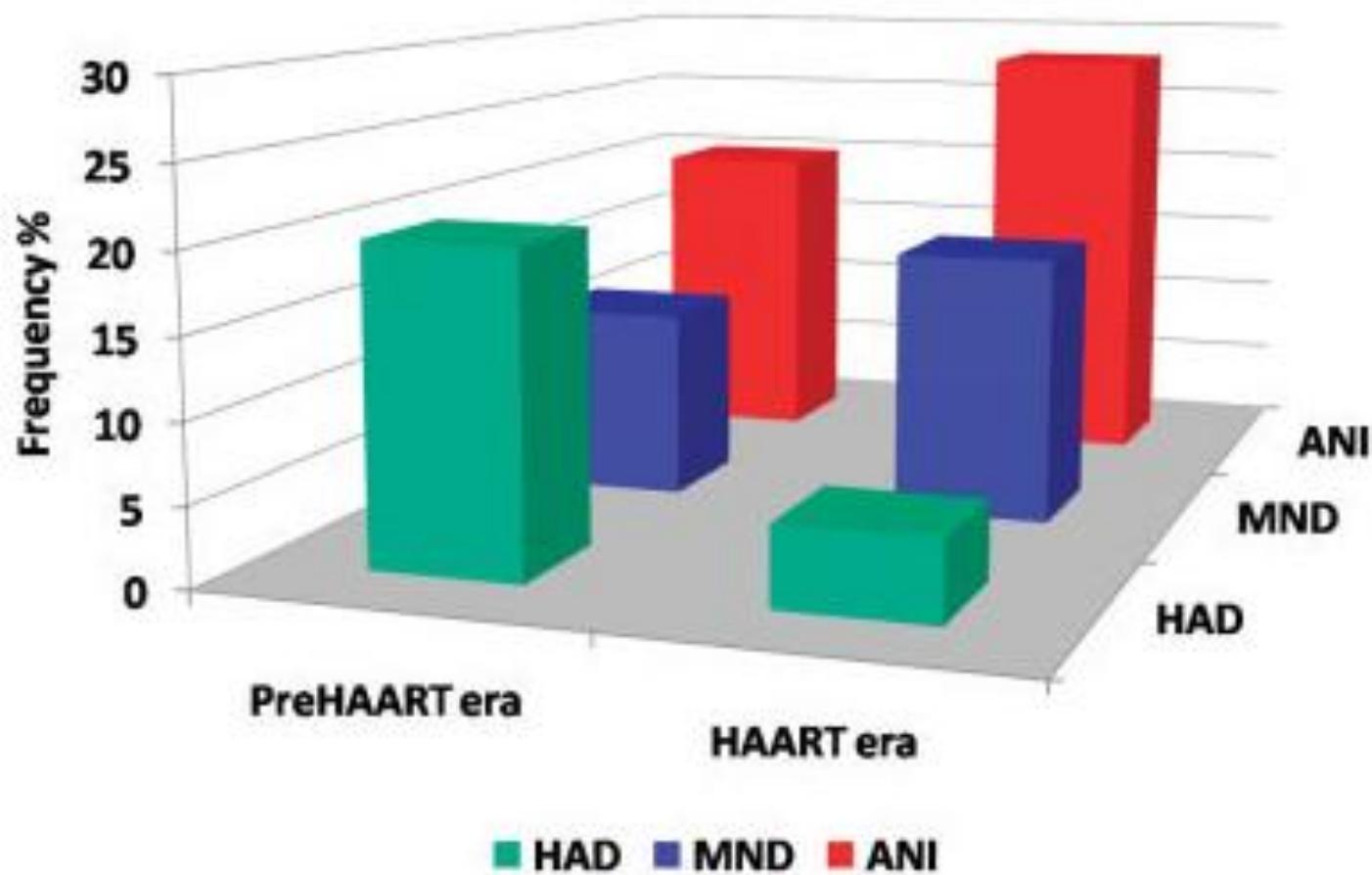
# Clinical Progress

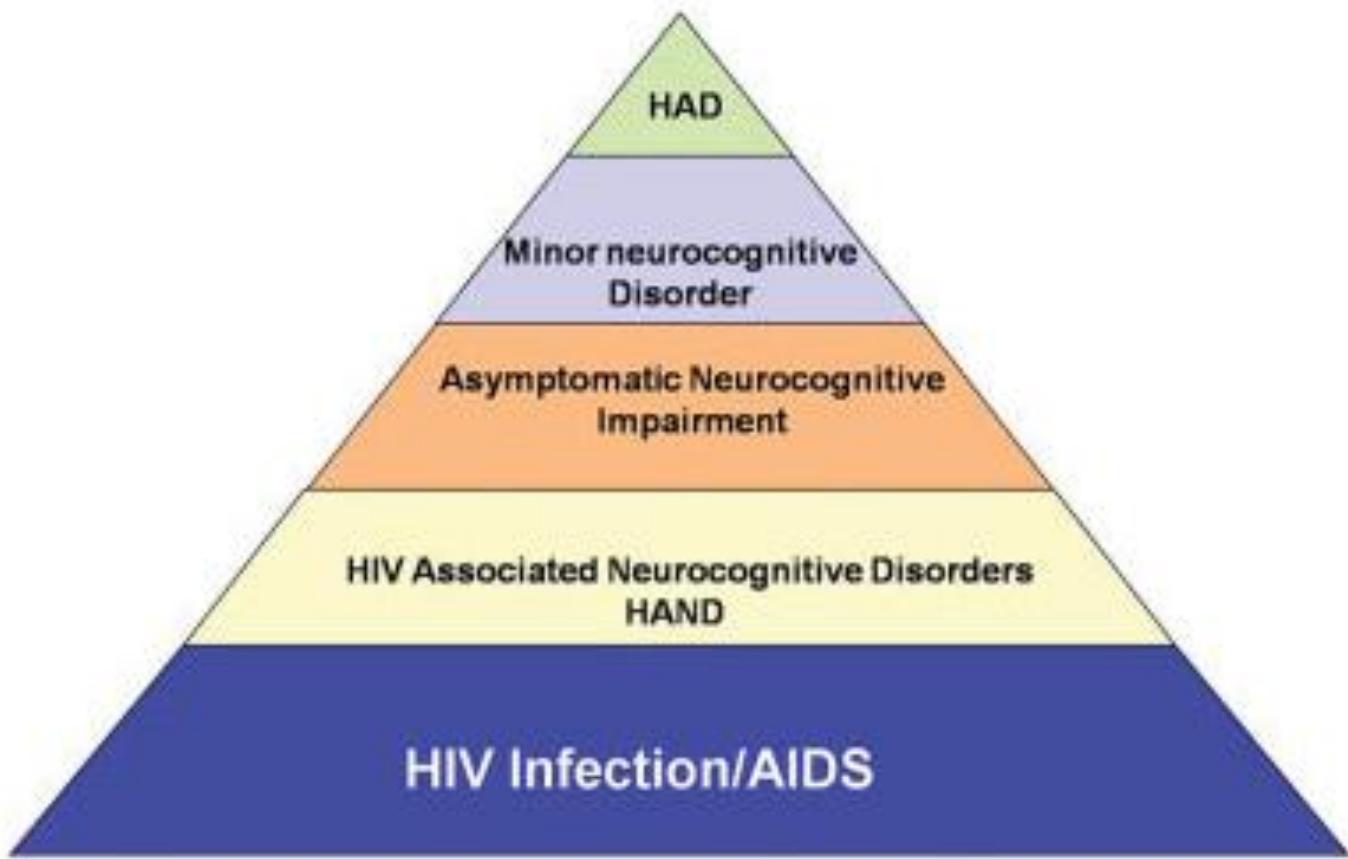
Consequences of neuropsychological impairments (over a minimum of 2 and up to 8 yrs) HNRC Study



# HIV Dementia – without HAART

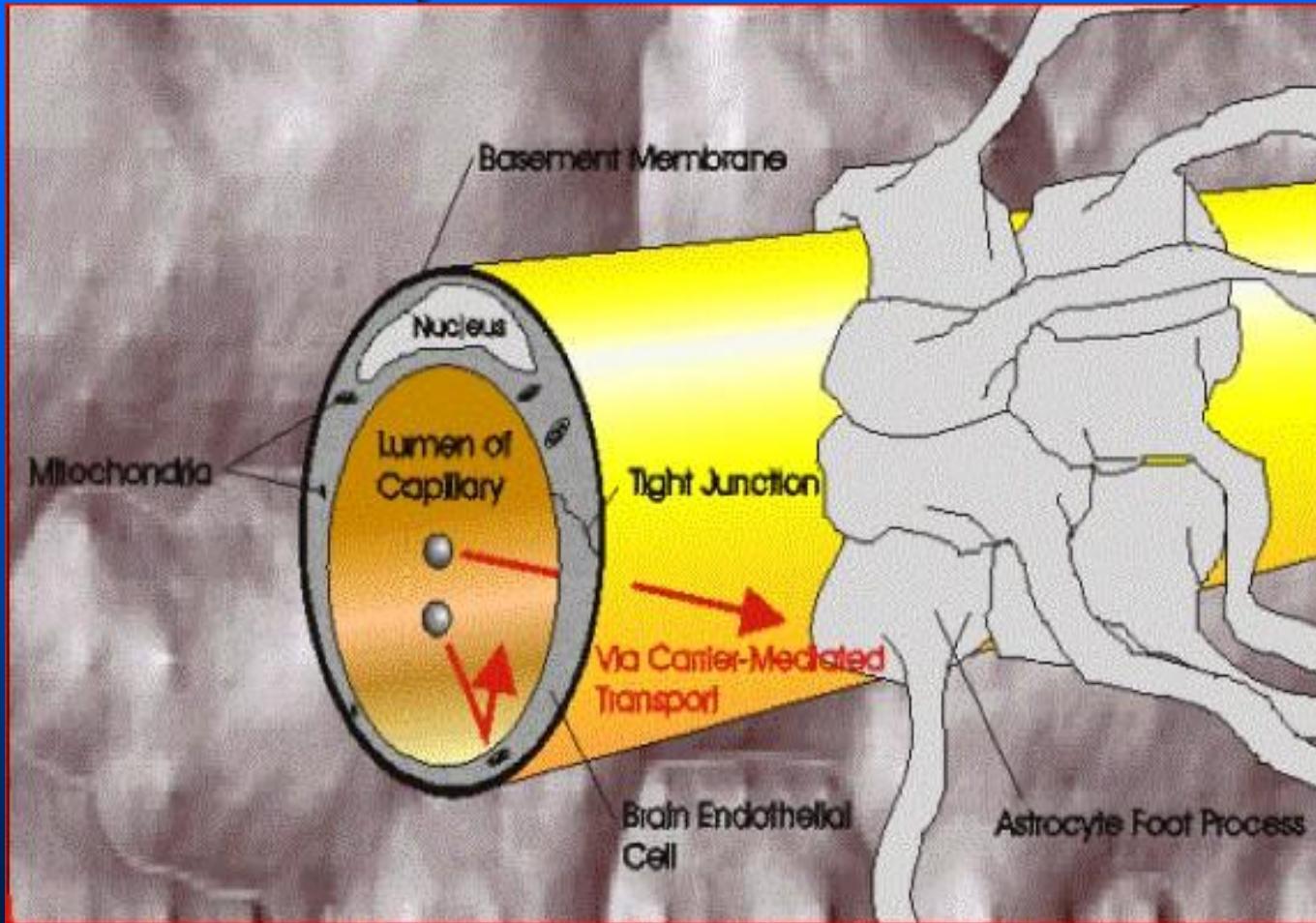






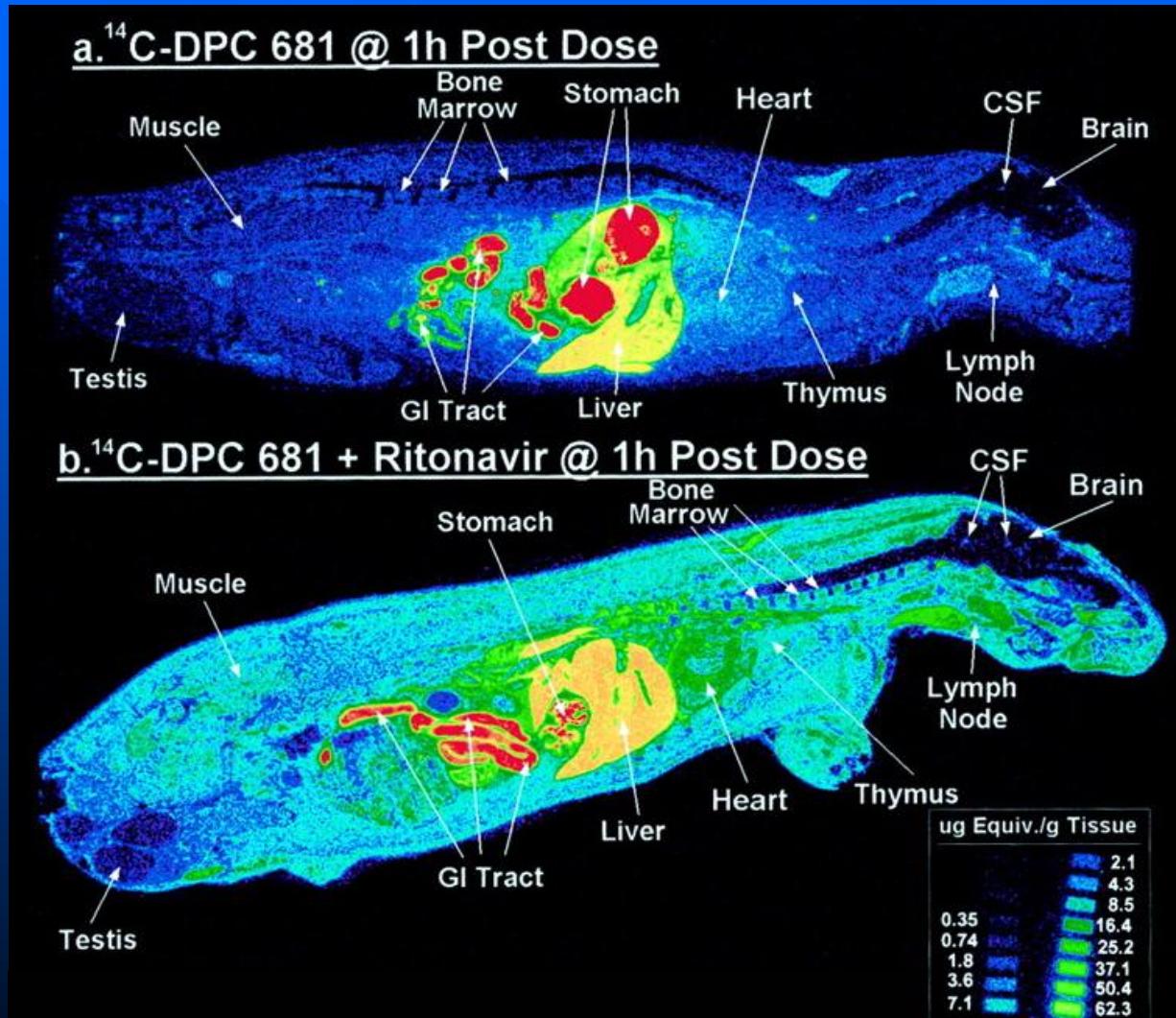
# Antiretroviral Distribution

## *Structure of the Blood-Brain Barrier*



# Antiretroviral Distribution

## *Distribution to the Brain Can Be Limited*



# HAART – Neurocognitive Impairment

- Antiretroviral - variable penetrance in CSF
- HAART improve ADC  
(Sacktor, et al, 2001)



# HAART - NI

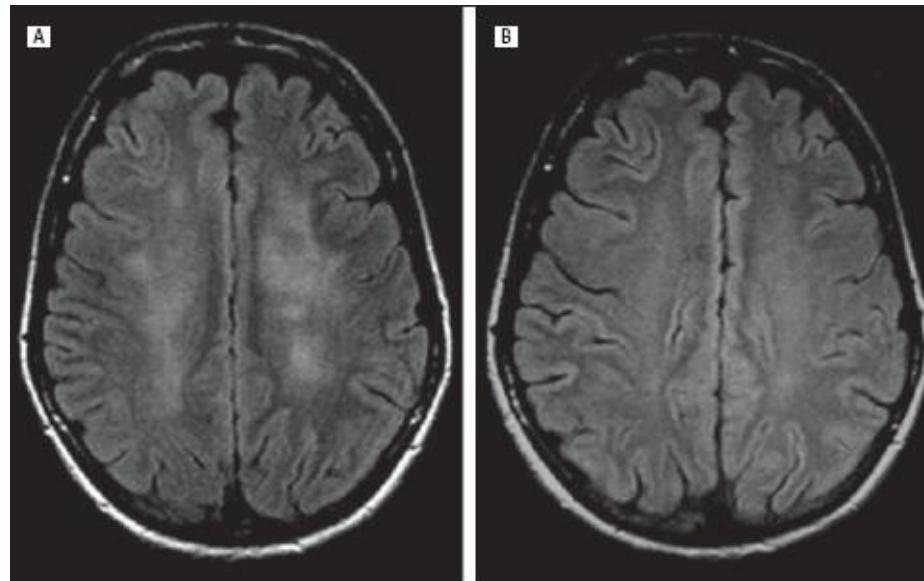
- Equivalent impact of CSF-penetrating HAART or not in psychomotor slowing treatment

(Sacktor, et al, Neurology, 2001)



# Human Immunodeficiency Virus Encephalopathy

*Cognitive and Radiologic Improvement After Antiretroviral Therapy*



**Table. Neuropsychological Assessment Before and After HAART**

Assessment	Before HAART	After HAART
Grooved pegboard, min:s		
Dominant hand	1:48	1:01
Nondominant hand	1:57	1:12
Timed gait, s <sup>a</sup>	19.6	13.3
Verbal fluency test <sup>b</sup>	10	16
Finger tapping <sup>c</sup>		
Dominant hand	23.8	42.8
Nondominant hand	20.6	43
HDS <sup>d</sup>	3/12	12/12



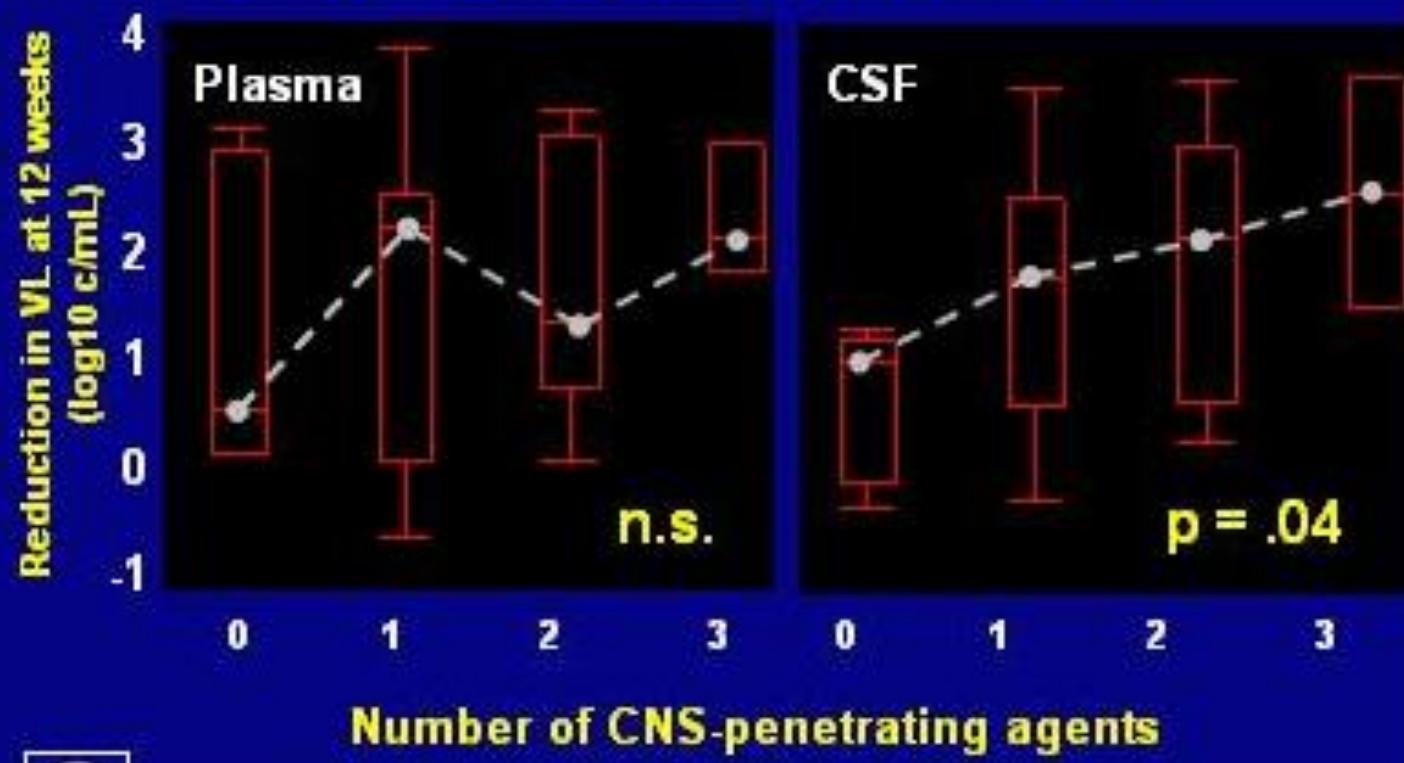
# HAART - NI

- CSF HIV-1-RNA reduction according to use of three CSF-penetrating ARV drugs

(Antinori A, et al. AIDS, 2002)



## Reduction in viral load vs. # of CNS-penetrating ARVs



UCID HNRG, AVRC, CFAR



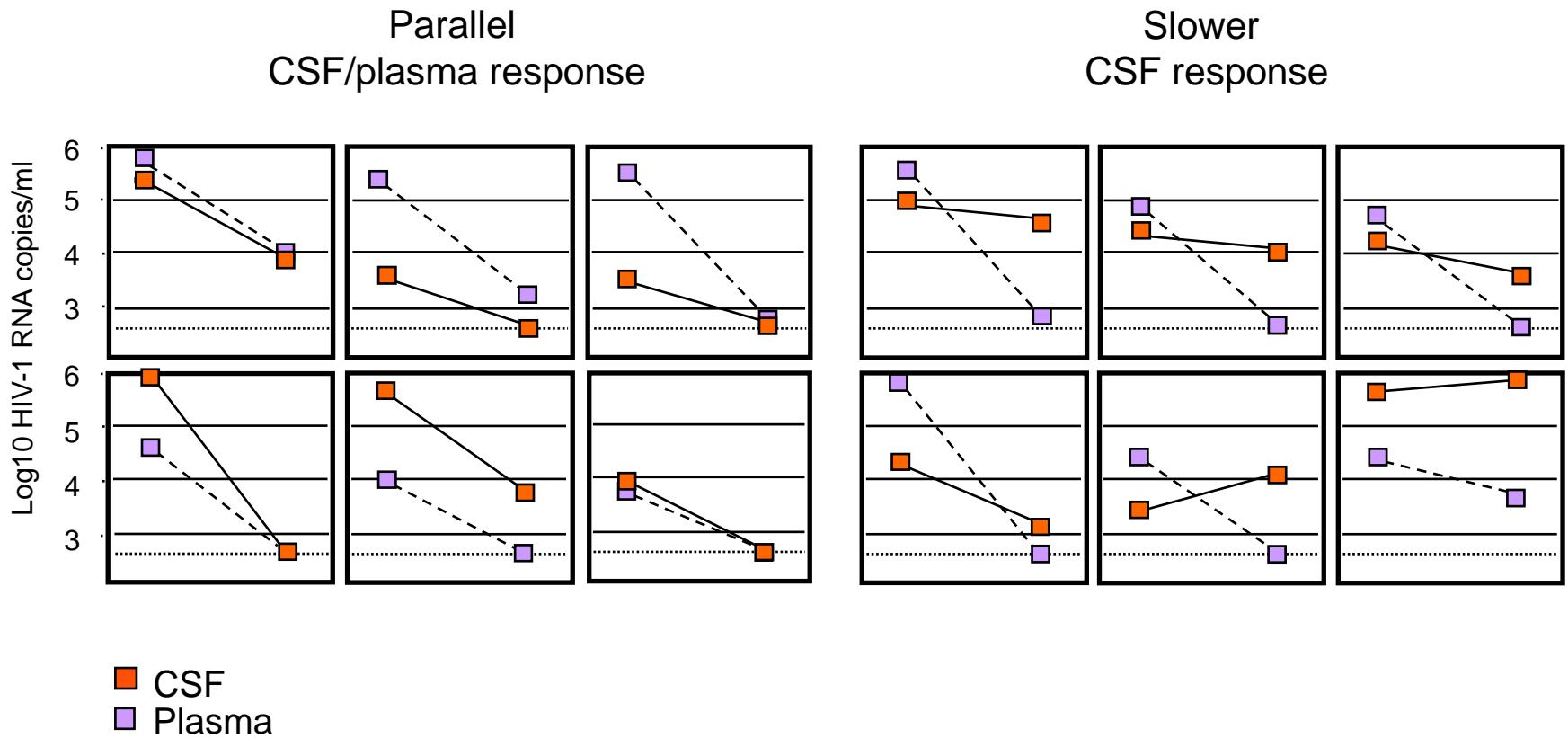
# HAART - NI

- ADC associated with delayed virus elimination in the CSF during HAART

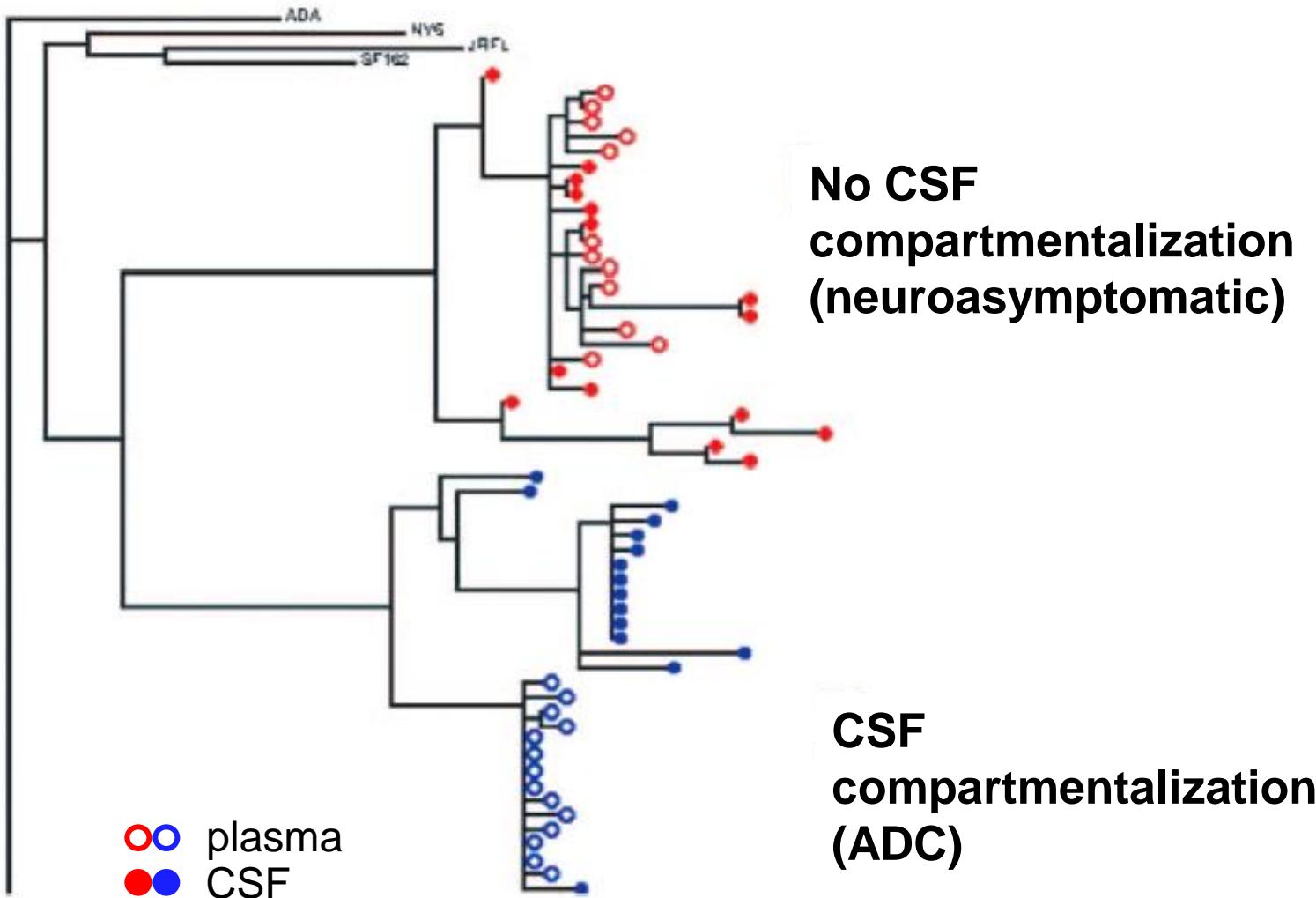
(Egges C, et al. AIDS, 2003)



# Short-term kinetics of virological response in CSF and plasma of ART-treated patients



# HIV strains are usually non compartmentalized in CSF of neuroasymptomatic patients



# HIV CNS Compartmentalization

## Seroconversion study<sup>1</sup>

Seroconversion - 17 patients

- 3/17 patients - HIV cns compartmentalization



# HAART - NI

- ARV resistance different patterns -  
Plasma/CSF

(Cunningham PH, *et al.* AIDS 14: 1949, 2000)

- CNS escape

(J McArthur et al, J Neurovirol, 2003)



# HAART - NI

- SIV gp120 sequences – laser capture micro-dissection

(Monocytes & BM macrophages ➤ CNS)

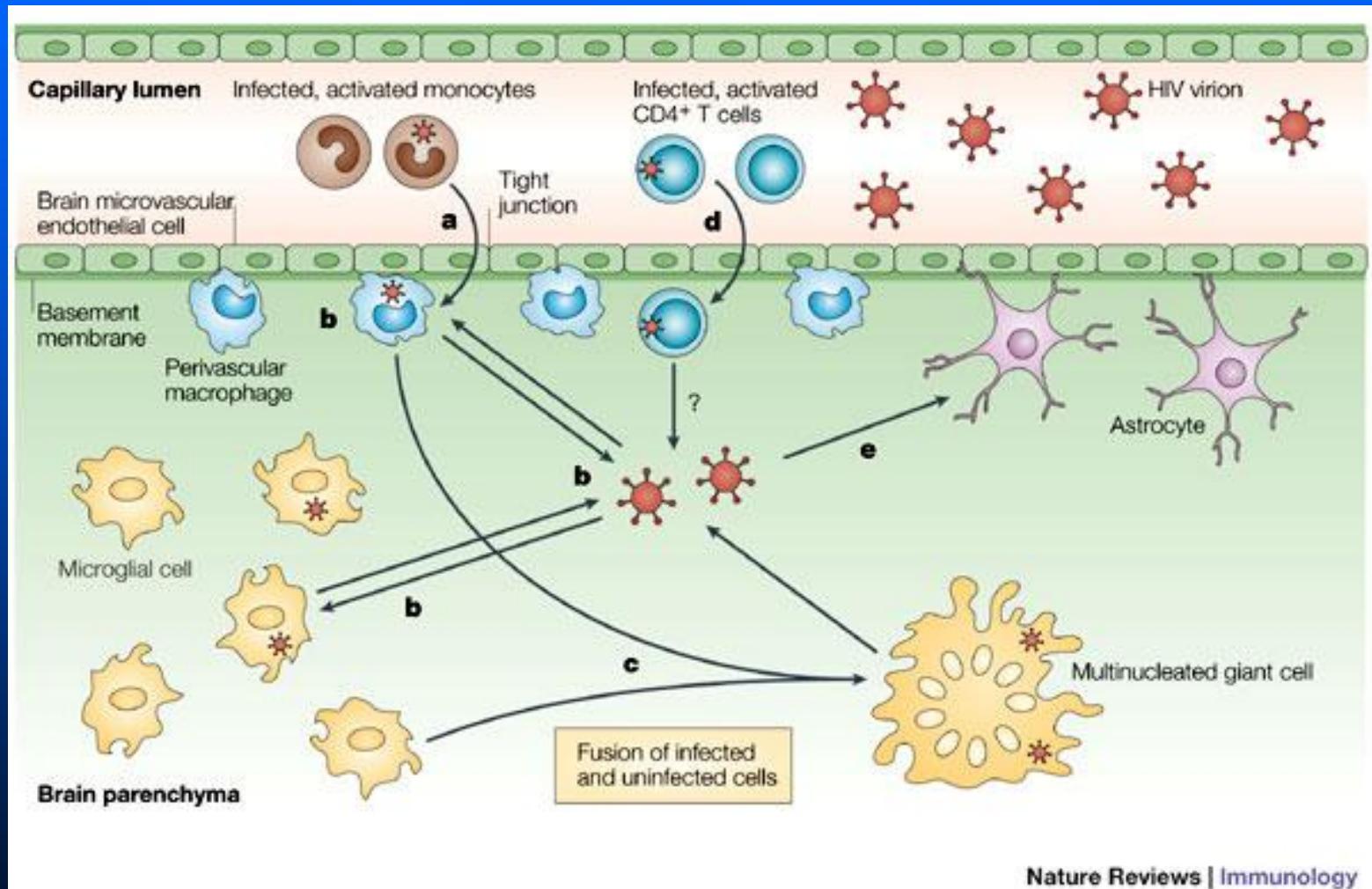
- SPIONS - Macrophages CD163+

(CNS ➤ Cervical LN + CNs + BP + SC)

(K Willians et al, Matera, 2015)



# Transendothelial Migration



Nature Reviews | Immunology

Gonzalez-Scarano Nat Rev Immunol 2005



**Table 1 | Susceptibility of cells of the central nervous system to infection with HIV**

Cell type	CD4 antigen	Chemokine receptors	HIV susceptibility	Productive infection
Perivascular macrophages	Yes	Yes	Yes	Yes
Microglia	Yes	Yes	Yes	Yes
Astrocytes	No	Yes	Yes	No
Oligodendrocytes	No	Yes	In vitro	No
Neurons	No	Yes	No	No
Brain microvascular endothelial cells	No	Yes	In vitro	No





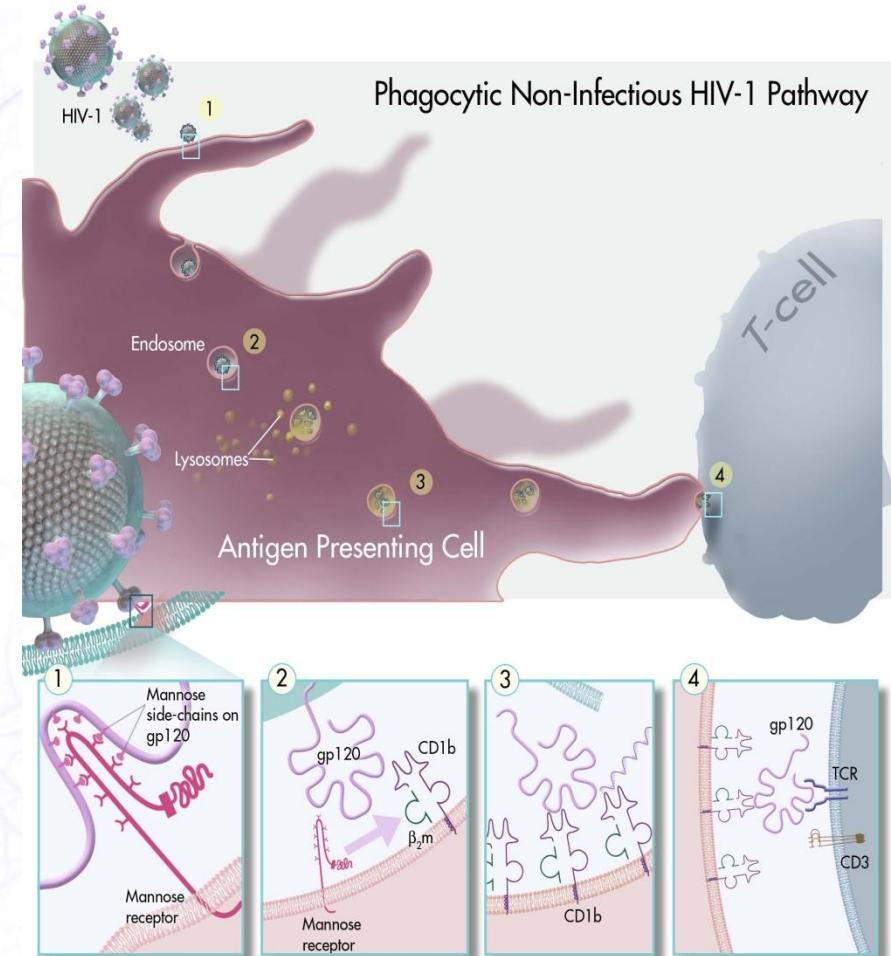
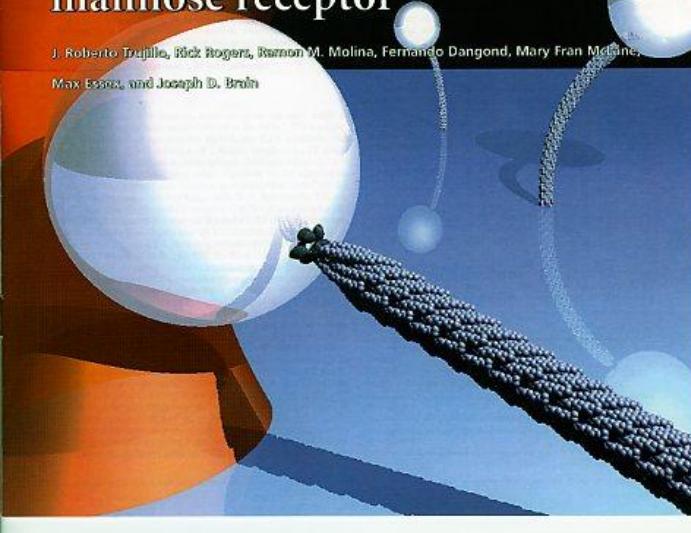
# NeuroAIDS Pathogenesis: Mannose Receptor

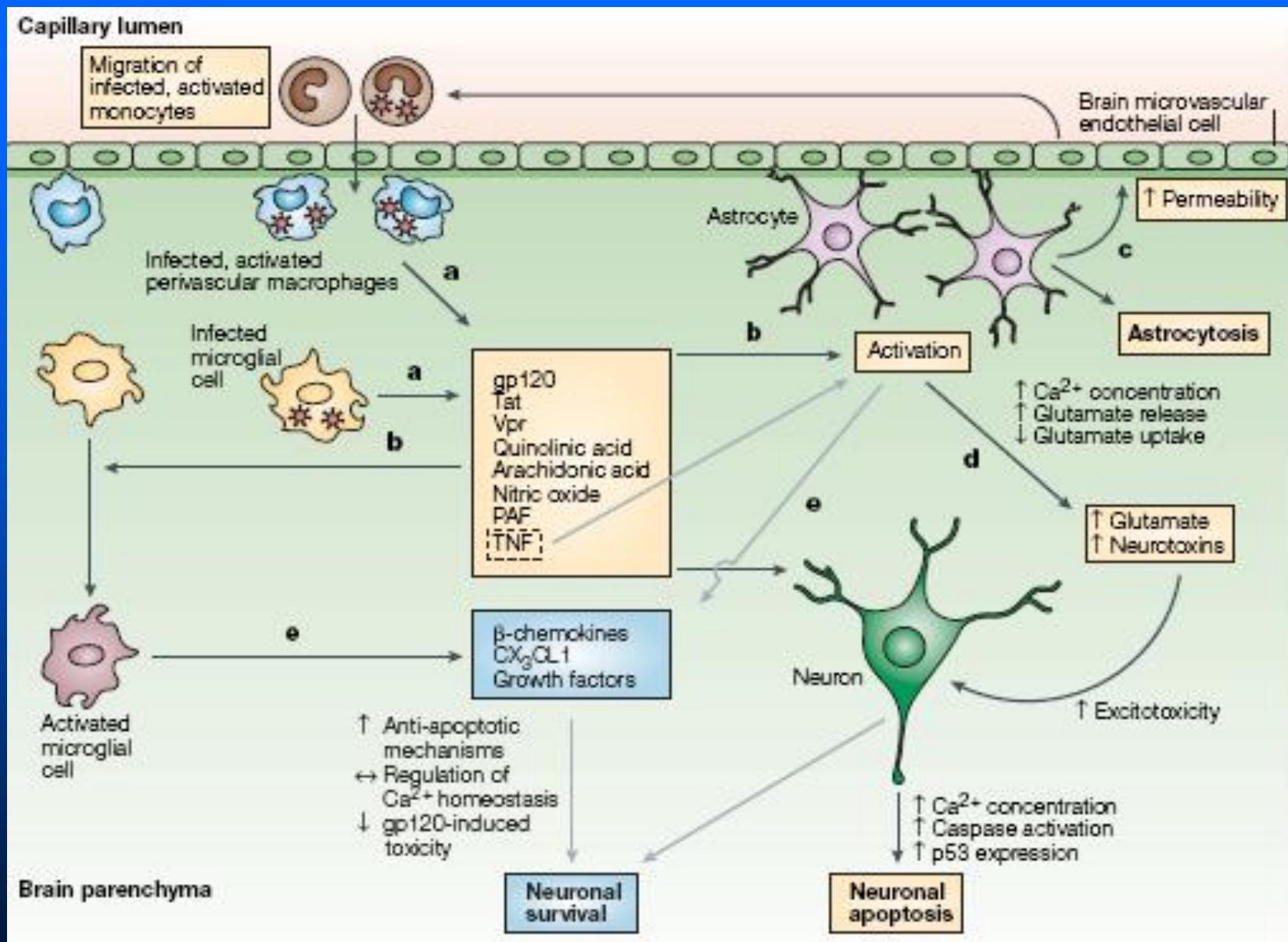
March 20, 2007 | vol. 104 | no. 12 | pp. 5097-5102  
www.pnas.org

PNAS  
Proceedings of the National Academy of Sciences of the United States of America

## Noninfectious entry of HIV-1 into peripheral and brain macrophages mediated by the mannose receptor

J. Roberto Trujillo, Rick Rogers, Ramon M. Molina, Fernando Dangond, Mary Fran McNamee, Max Essex, and Joseph D. Brain



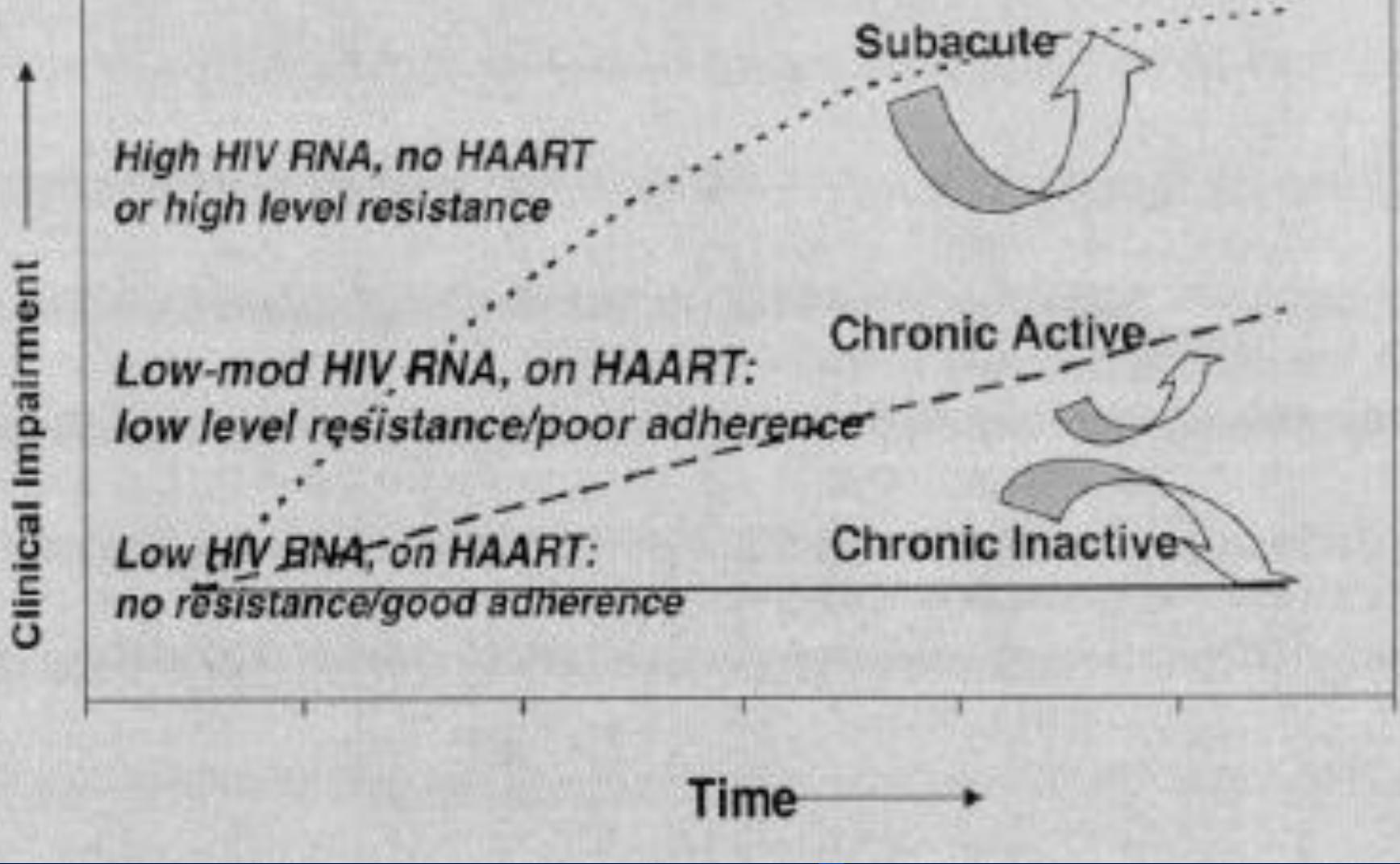


# Terapia combinada - NI

- Duração do impacto sistêmico X
- Ação no SNC X
- Tempo de evolução

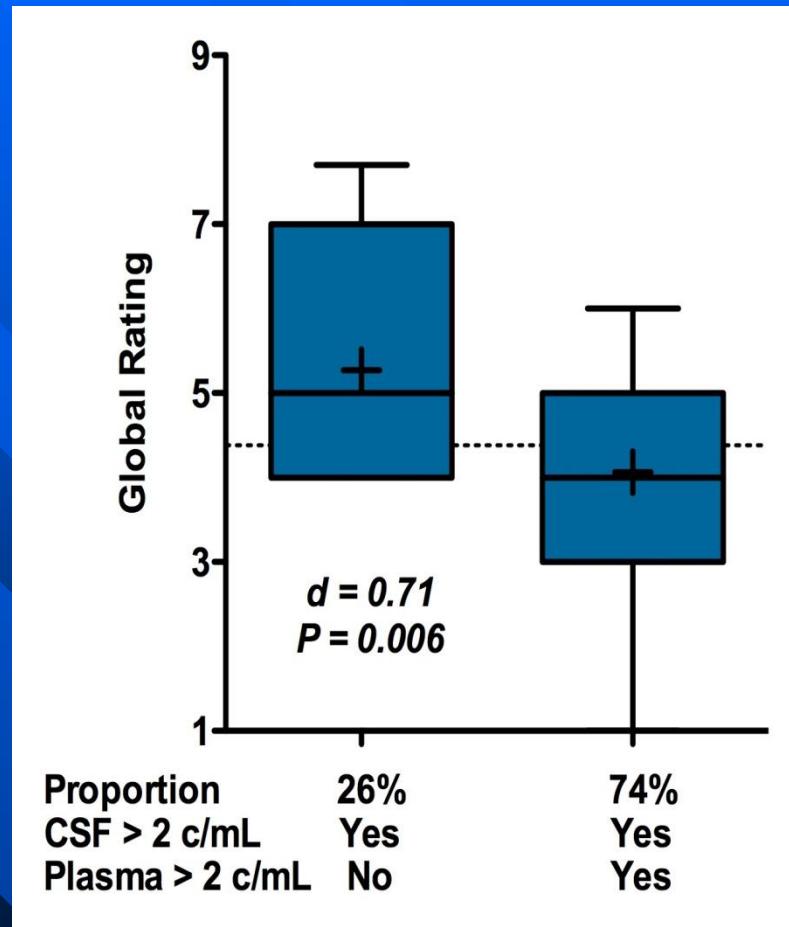
(Gartner S. Science 287:602, 2000)



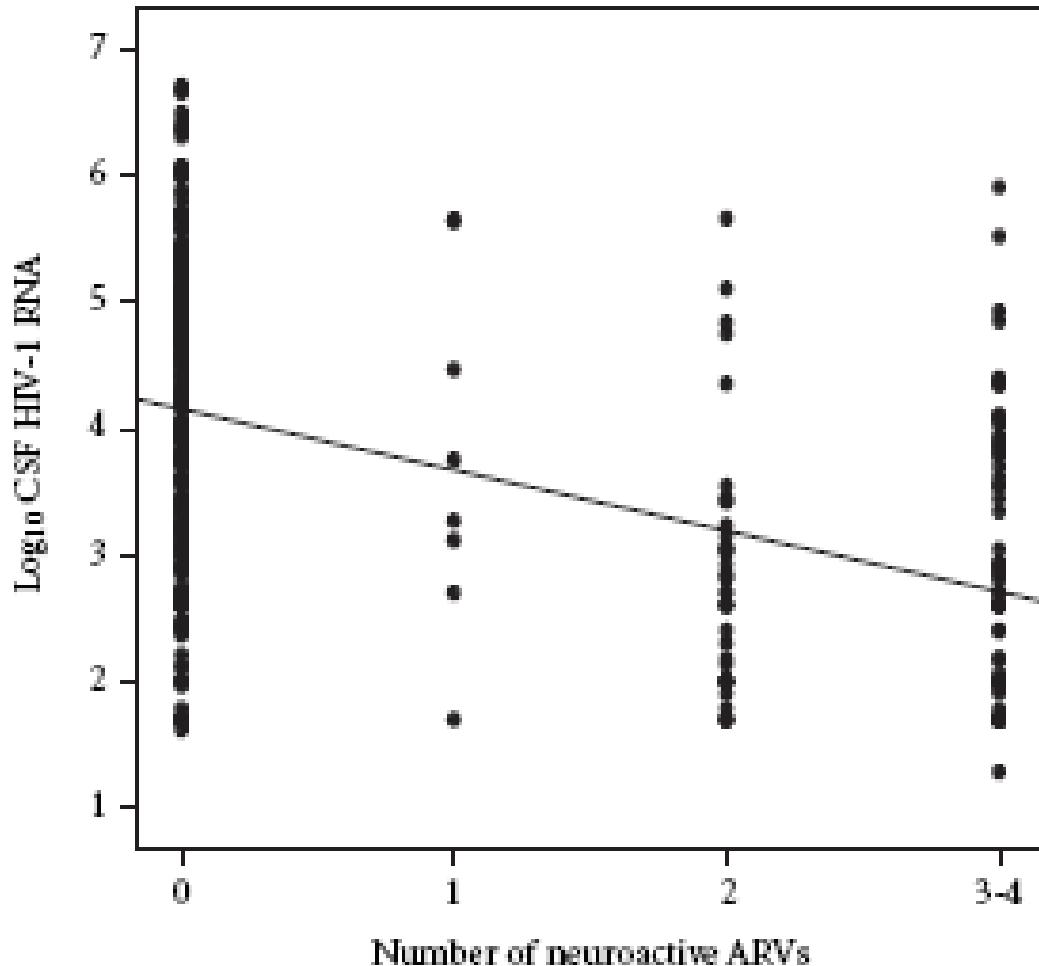


# HIV persists in CSF in 41% during ART

- 122 of 300 (41%) CSF specimens that had HIV RNA < 50 c/mL were still detectable with a more sensitive assay
- Detectable HIV was associated with worse CPE scores ( $d = 0.25, p = 0.03$ )
  - Was associated with worse NP performance



# Virological Response in Cerebrospinal Fluid to Antiretroviral Therapy in a Large Italian Cohort of HIV-Infected Patients with Neurological Disorders

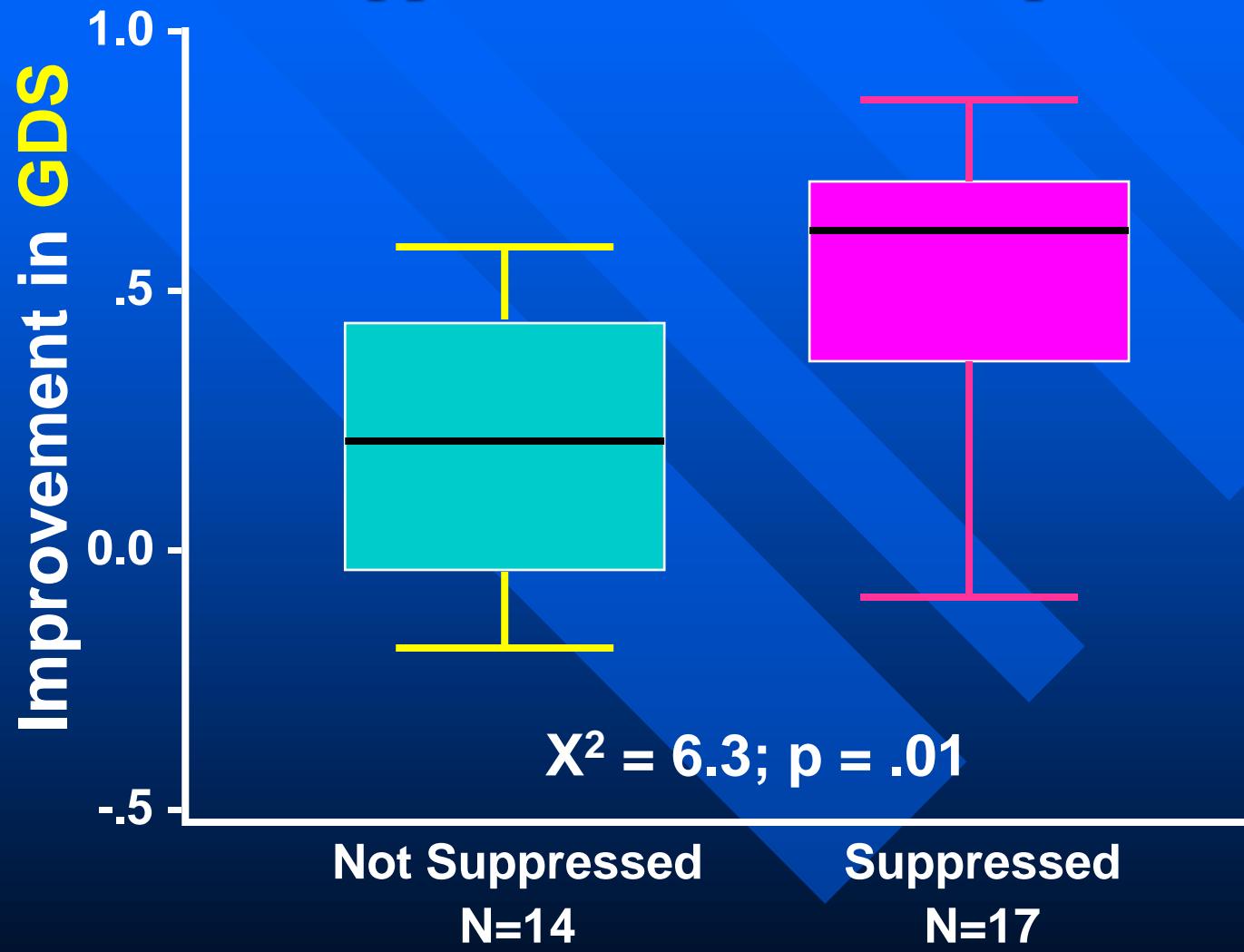


CSF HIV-RNA. Compared to regimens containing no CNS-penetrating ARVs, the use of two ( $OR = 4.11; 95\% CI = 1.22-13.79$ ) or at least three ( $OR = 5.48; 95\% CI = 1.94-15.48$ ) penetrating CNS ARVs markedly improved the probability of having a CSF HIV RNA level below the detection limit of 50 copies/mL.



# Antiretroviral Effectiveness

## *HIV RNA Suppression and NP Improvement*



# **Cerebrospinal fluid HIV escape associated with progressive neurologic dysfunction in patients on antiretroviral therapy with well controlled plasma viral load**

Michael J. Peluso<sup>a</sup>, Francesca Ferretti<sup>b</sup>, Julia Peterson<sup>c</sup>, Evelyn Lee<sup>c</sup>, Dietmar Fuchs<sup>d</sup>, Antonio Boschini<sup>e</sup>, Magnus Gisslén<sup>f</sup>, Nancy Angoff<sup>a</sup>, Richard W. Price<sup>c</sup>, Paola Cinque<sup>b</sup> and Serena Spudich<sup>a</sup>

*AIDS* 2012, 26:1765–1774



# HAART - NI

- Two or 3 CSF penetrating HAART drugs

(Portegies, et al, 2004; Lettendre et al, 2005; Price et al, 2008)



# Revised categorization of ARV CNS penetration

	4 (Best)	3	2	1
NRTIs	Zidovudine	Abacavir Emtricitabine	Didanosine Lamivudine Stavudine	Tenofovir Zalcitabine
NNRTIs	Nevirapine	Delavirdine Efavirenz	Etravirine	
PIs	Indinavir-r	Darunavir-r Fosamprenavir-r Indinavir Lopinavir-r	Atazanavir Atazanavir-r Fosamprenavir	Nelfinavir Ritonavir Saquinavir Saquinavir-r Tipranavir-r
Fusion / Entry Inhibitors		Maraviroc		Enfuvirtide
Integrase Inhibitors		Raltegravir		



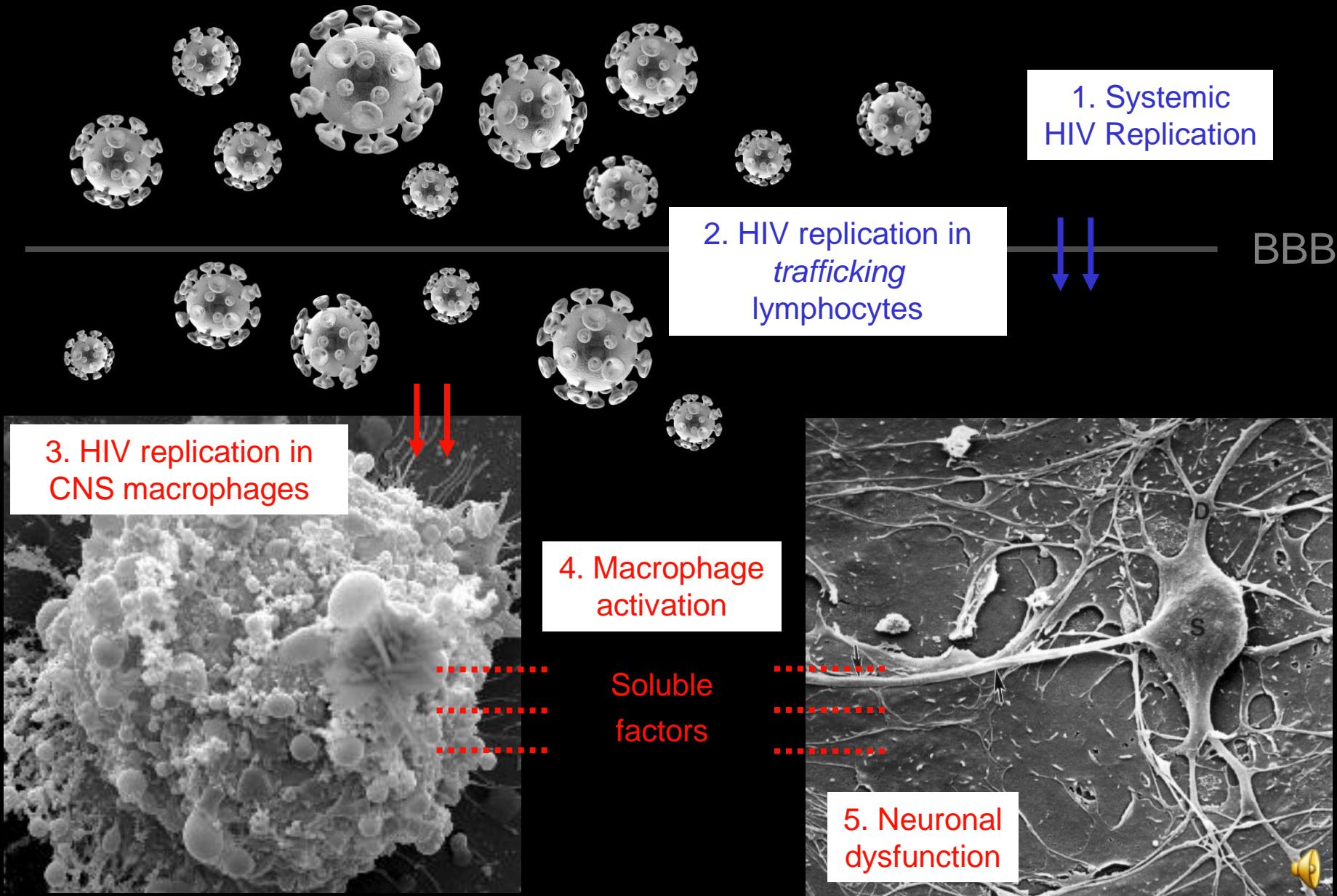
## Definition of 'potentially CNS-active' drugs

ARV drugs with either demonstrated clear CSF penetration when studied in healthy HIV-infected populations (concentration above the IC90 in > 90 % examined patients) or with proven short-term (3-6 months) efficacy on cognitive function or CSF viral load decay when evaluated as single agents or in controlled studies in peer-reviewed papers:

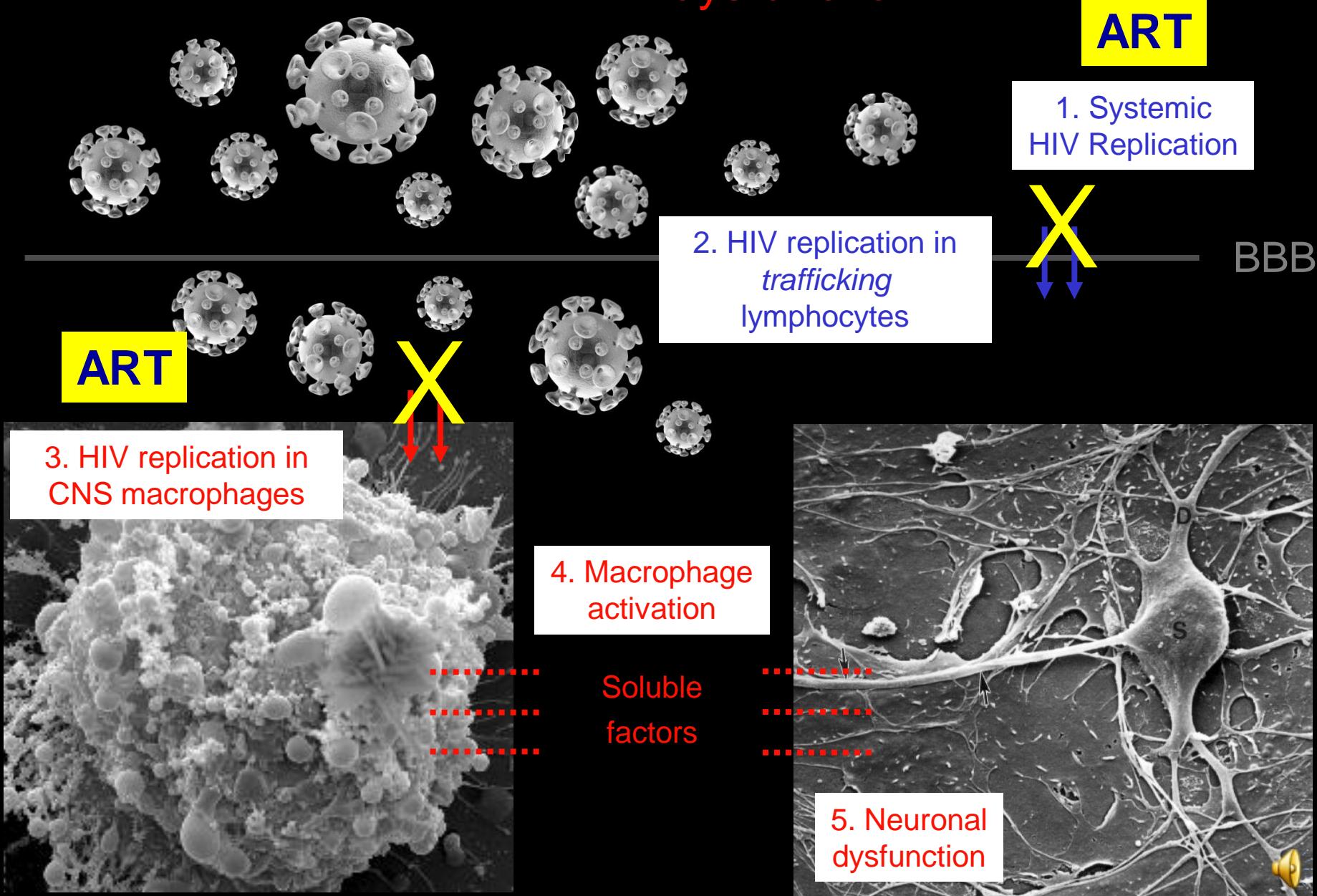
- Agents with demonstrated clear CSF penetration:
  - NRTIs: ZDV, ABC
  - NNRTIs: EFV, NVP
  - Boosted PIs: IND/r, LPV/r, DRV/r
  - Other classes: MAR
- Drugs with proven "efficacy":
  - NRTIs: ZDV, d4T, ABC
  - Boosted PIs: LPV/r



# HIV brain infection and neurocognitive dysfunction

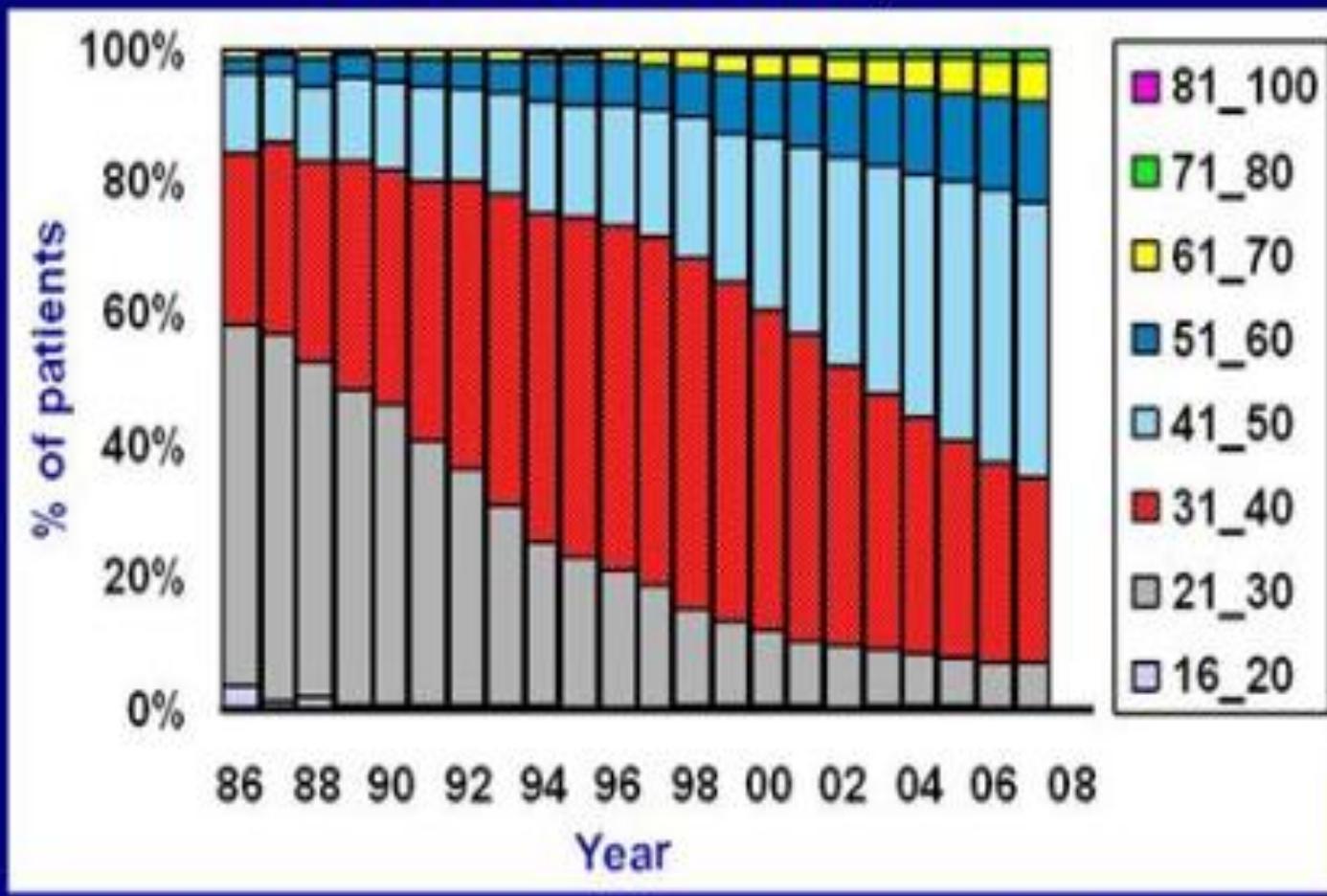


# HIV brain infection and neurocognitive dysfunction



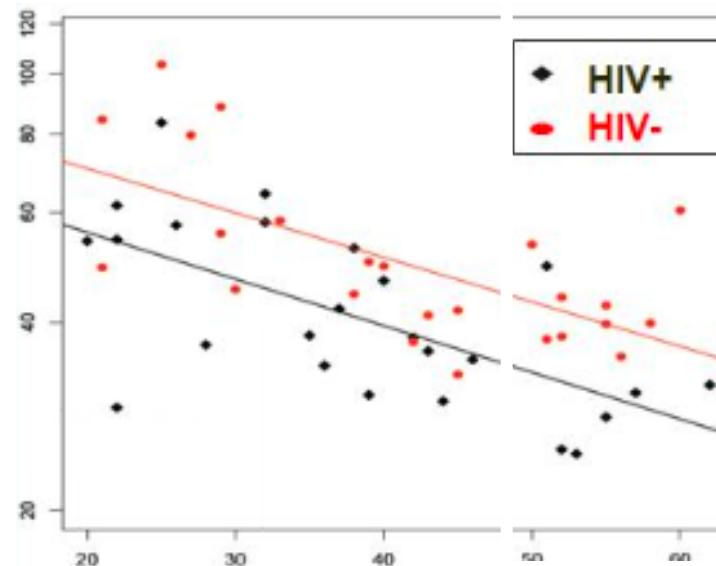
# Increase in older adults (> 50 yrs) living with HIV/AIDS

Swiss Cohort Study



# Additive effect of aging and HIV serostatus on cerebral blood flow (CBF)

Baseline CBF



P<0.0001 (age)  
P=0.0001 (HIV)



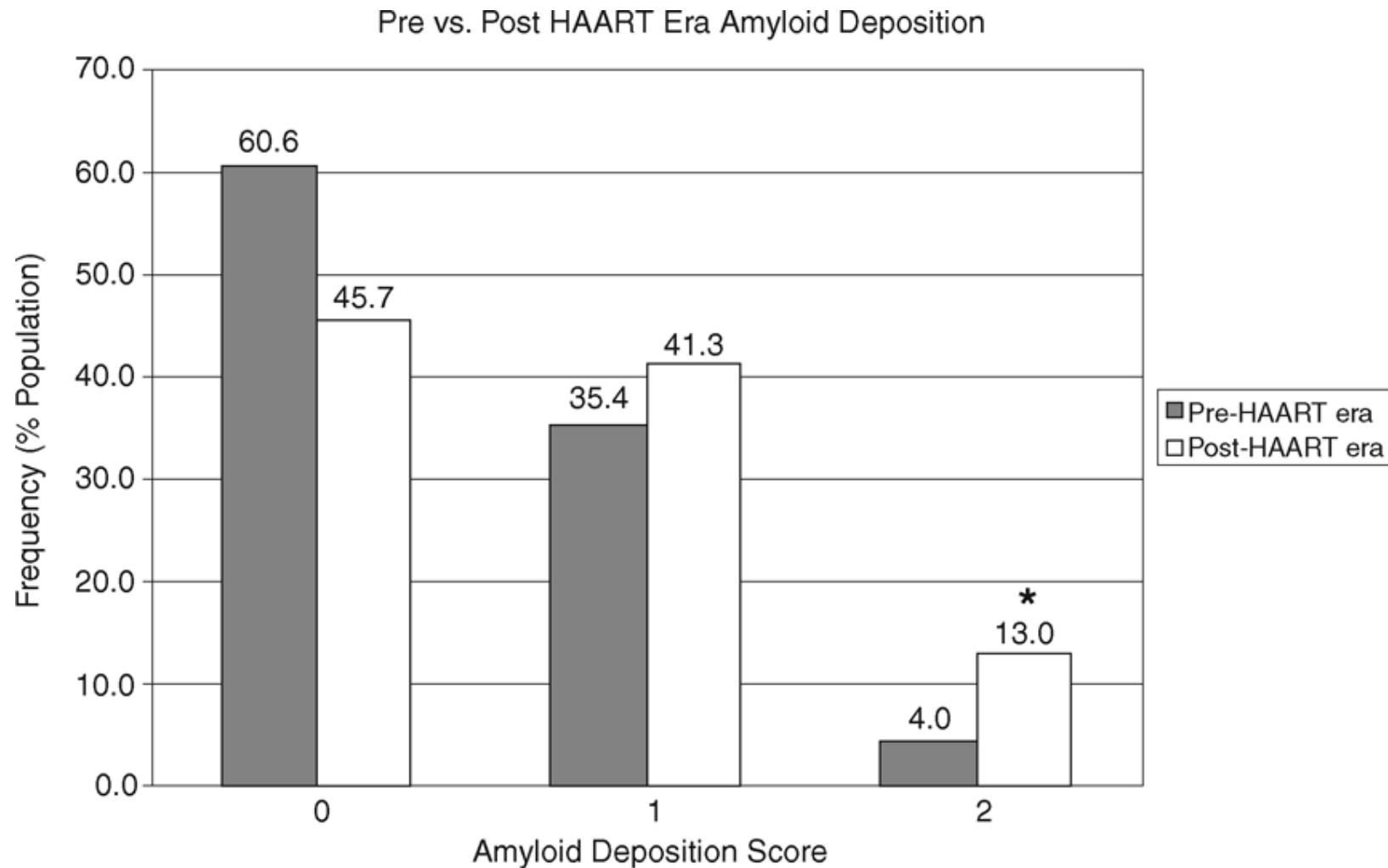
# PONTOS CRÍTICOS

- Envelhecimento
- Dislipidemia
  - Vascular
- Injúria axonal
- tat - neprilisyn
- Inibição degradação - beta amilóide
  - HCV

(Brew, AIDS, 2003)



# $\beta$ -amyloid deposition is increased in HAART-treated patients



# Doença de Alzheimer

## Aspectos metabólicos: SPECT

Estádio I



Estádio II



Estádio III



# Prevalência e fatores associados as alterações neurocognitivas em pacientes com HIV-1

*Marcadores líquoricos - Ana Carolina (CR)*  
*Senescênciia - Marília (JC)*

**Coordenador: Maria Rita Polo Gascón**

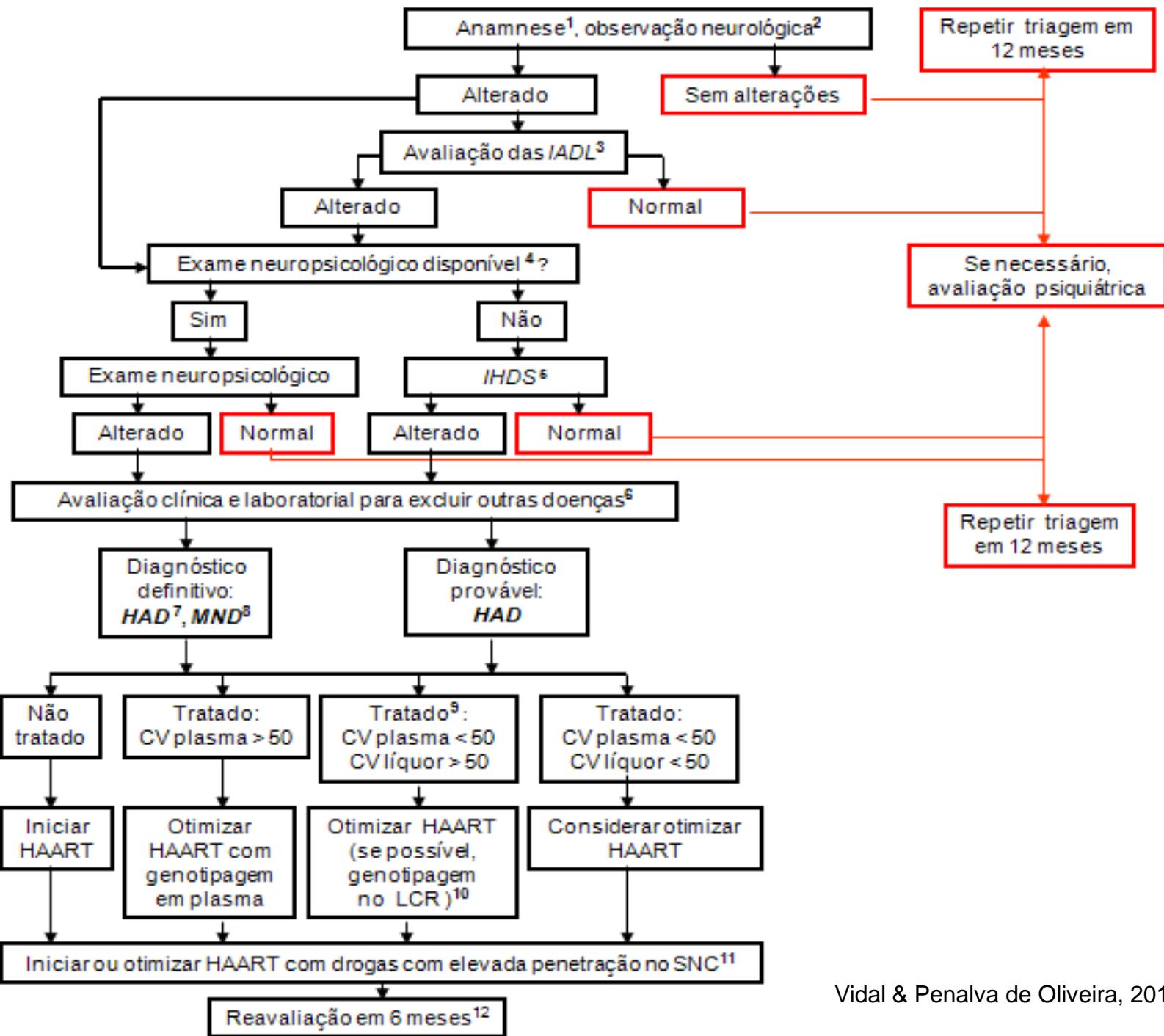
**Instituição: Instituto de Infectologia Emílio Ribas**

**E-mail: mariaritapolo@yahoo.com.br**

## Triagem

## Diagnóstico e caracterização

## Tratamento e seguimento



# Terapia antiretroviral em pacientes com MND e HAD

Cenários	
Paciente sem tratamento ARV prévio	Terapia ARV com 2 e idealmente 3 drogas potencialmente neuroativas .
Paciente em tratamento ARV com falha terapêutica	Modificação da terapia ARV guiada por testes de resistência atuais e prévios, considerando 2 e idealmente 3 drogas potencialmente neuroativas
Paciente em tratamento ARV com carga viral sérica indetectável	Modificação da terapia ARV guiada por testes de resistência prévios, considerando 2 e idealmente 3 drogas potencialmente neuroativas. Alternativamente, intensificar o esquema com uma ou mais drogas potencialmente neuroativas.

# Strategic Timing of Antiretroviral Treatment

START Neurology Substudy



# HIV and Cognitive Health

- Quality of life and longevity are of paramount importance to individuals living with HIV in the post-HAART era
- Preservation of neurocognitive health is integral to good quality of life and sustained well being in HIV positive individuals



