

## FOCUS ON SARS-COV-2

## Laboratory biosafety measures involving SARS-CoV-2 and the classification as a Risk Group 3 biological agent

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The current public health emergency surrounding the COVID-19 pandemic, that is the illness caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has resulted in thousands of cases in Australia since 25 January 2020 when the first case was diagnosed. This emerging virus presents particular hazards to researchers and laboratory staff in a clinical setting, highlighted by rapid and widespread global transmission. Based on the epidemiological and clinical data that have become available in mid-2020, we propose the interim classification of SARS-CoV-2 as a Risk Group 3 organism is reasonable, and discuss establishing Biosafety Level 3 (BSL-3) regulations accordingly. Despite its global spread, the reported mortality rate of SARS-CoV-2 ranging from 0.13% to 6.22% is considerably less than that of other Risk Group 4 agents including Ebola and Marburg viruses with fatality rates as high as 90%. In addition, studies have demonstrated that approximately 86% of patients presenting with severe courses of the disease are aged 70 years or above, with the presence of comorbid conditions such as cardiovascular and respiratory system diseases in the majority of all fatal cases. In contrary to recent discussions surrounding the protective and administrative measures needed in a laboratory, the emerging evidence surrounding mortality rate, distinct demographics of severe infections, and the presence of underlying diseases does not justify the categorisation of SARS-CoV-2 as a Risk Group 4 organism. This article summarises biosafety precautions, control measures and appropriate physical containment facilities required to minimise the risk of laboratory-acquired infections with SARS-CoV-2.

**Key words:** SARS-CoV-2; COVID-19; SARS-CoV; biosafety level; physical containment; risk group.

Received 28 August, revised 20 September, accepted 21 September 2020  
Available online 30 September 2020

**INTRODUCTION**

The transmission of novel infections amongst the general community poses a serious concern to public health. Upon an outbreak, it is essential to identify the causative agent in order to understand characteristics of the emerging

pathogen such as those at risk of serious disease, transmission pathways, pathogenesis of disease, and host pathology.<sup>1</sup> Given the novelty of the outbreak of COVID-19, the illness caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), and the rapid spread of disease, significant biosafety considerations for healthcare and laboratory personnel exist.<sup>2</sup> Appropriate biosafety measures and practices for the safe handling of the organism based on the assessment of potential hazards of SARS-CoV-2 must be established with high priority.<sup>1,3</sup> The evolution of these guidelines will lay the groundwork for developing the best code of practice regarding microbiological practices, equipment, biosafety levels (BSL) and physical containment (PC) facilities required in Australian laboratories. The aim of this paper is to establish a set of guidelines and regulations based on international recommendations for Australian laboratories working with SARS-CoV-2 to minimise the risk of contracting COVID-19 whilst working in clinical laboratories.

**THE CORONAVIRIDAE FAMILY**

Viruses belonging to the *Coronaviridae* family are characterised by large, enveloped, single-stranded RNA with genomes ranging from 25 to 35 kb in size.<sup>4</sup> Primarily causing mild respiratory or enteric infections in mammals, there are seven coronavirus species known to cause disease in humans including, MERS-CoV, SARS-CoV, SARS-CoV-2, HCoV NL63, HCoV 229E, HCoV OC43 and HCoV HKU1.<sup>5</sup> Whole-genome analyses revealed an 82% similarity between SARS-CoV and SARS-CoV-2, with both genomes revealing a high degree of similarity to other SARS-like coronaviruses isolated from bats, which is suggestive of a common origin.<sup>6</sup>

However, despite the genetic similarities, the trajectories and extent of community transmission of the viruses are vastly different.<sup>7</sup> The SARS epidemic in 2003 resulted in approximately 8000 cases with over 700 deaths and was considered under control within 8 months.<sup>8</sup> The SARS illness was first reported in China, and later spread to more than two dozen countries including, Singapore, Taiwan, Canada and the United States. In contrast, the unprecedented rate of COVID-19 compelled the World Health Organization (WHO) to classify SARS-CoV-2 as a global pandemic within 4 months of the initial outbreak.

## LABORATORY BIOSAFETY: WORKING WITH MICROBIAL PATHOGENS

Stringent guidelines with appropriate facilities, practices and protective equipment for the safe handling of SARS-CoV-2 is vital to avoid laboratory-related infections and the spread of disease to those in close contact. The WHO suggests that countries (regions) should establish Risk Groups according to the microbes encountered within its borders.<sup>9</sup> Various guidelines and standards have been established for countries including Australia, New Zealand, USA, Canada, and the European Union, where in most cases the information and grouping of biological agents are the same:

- Australian and New Zealand Standards AS/NZS2243.3: Safety in Laboratories Part 3: Microbiological safety and containment;<sup>10</sup>
- American Biological Safety Association webpage listings of microorganisms including risk groupings;<sup>11</sup>

- PHAC (Public Health Agency of Canada) Pathogen Safety Data Sheets and Risk Assessments<sup>12</sup>; and
- Directive 2000/54/EC of the European Parliament.<sup>13</sup>

In general, microorganisms are categorised into four Risk Groups, based upon (i) the pathogenicity of the agent; (ii) the mode and ease of transmission; (iii) host range of the organism; and (iv) the local availability of effective preventative measures and treatment (Table 1).<sup>9</sup> The Risk Group of an organism is categorised into four ascending levels of Biosafety Levels (BSL) BSL-1, BSL-2, BSL-3 or BSL-4 and Physical Containment (PC-1–PC-4) levels that are used to define the protective measures and physical structures required in a laboratory environment to protect workers and prevent the release of pathogens into the environment (Table 2).<sup>9</sup> Similarly to Risk Group classification, the main criteria used to distinguish between the ascending levels of containment and Biosafety Levels 1 through 4, are infectivity, transmissibility, disease severity, and the type of work being conducted.<sup>14</sup> All of these levels must correspond to the

**Table 1** Risk Group classifications of biological agents

	Risk group 1	Risk group 2	Risk group 3	Risk group 4
Description	Low individual and community risk Organisms that are already present in the environment, and are unlikely to cause disease in a healthy host	Moderate individual risk, limited community risk Organisms that can cause disease in a healthy host but are difficult to transmit, don't usually cause life-threatening illness and are readily treated or prevented	High individual risk, limited community risk Organisms that usually cause disease in a healthy host and may present a serious risk to laboratory staff. RG-3 organisms could present a significant community risk if spread in the environment, but there are usually effective measures for treatment and/or prevention	High individual and community risk Organisms that cause life-threatening disease in a healthy host and represents a serious hazard to laboratory staff. RG-4 organisms are readily transmissible, and effective prevention and/or treatment are not usually available
Sample organism				
Bacteria	<i>Escherichia coli</i> Asporogenic <i>Bacillus subtilis</i> Non-infectious bacteria	<i>Bordetella pertussis</i> <i>Chlamydia</i> spp. <i>Helicobacter</i> spp. <i>Klebsiella</i> spp. <i>Legionella</i> spp. <i>Salmonella enterica serovars</i> <i>Salmonella</i> Paratyphi A and B <i>Salmonella</i> Typhi <i>Vibrio cholerae</i>	<i>Bacillus anthracis</i> <i>Bartonella bacilliformis</i> <i>Burkholderia mallei</i> <i>Brucella</i> spp. <i>Chlamydia psittaci</i> <i>Coxiella burnetii</i> <i>Francisella tularensis</i> (Type A) <i>Mycobacterium tuberculosis</i> complex <i>Rickettsia</i> spp. <i>Yersinia pestis</i> Rift Valley fever virus (Zinga virus)	Guanarito Junin Lassa Machupo Mopeia viruses Sabia Crimean-Congo haemorrhagic fever Hazara Ebola Marburg Kyasanur Forest disease Omsk haemorrhagic fever disease Tick-borne encephalitis
Viruses	Adeno-associated virus	Adenovirus Hepatitis A, B, C, E Influenza Dengue 1, 2, 3, 4 Zika Measles Menangle  Mumps Enterovirus	SARS coronavirus MERS – related coronavirus Japanese encephalitis St Louis encephalitis Tick-borne viruses West Nile  Yellow fever	
Biosafety Level (BSL)	BSL-1	BSL-2	BSL-3	BSL-4
Physical Containment (PC) Level	PC-1	PC-2	PC-3	PC-4

**Table 2** Description of Biosafety Levels (BSL) and Physical Containment (PC) facility requirements<sup>14,26</sup>

	BSL-1/PC-1	BSL-2/PC-2	BSL-3/PC-3	BSL-4/PC-4
Description	BSL-1 laboratories that are designated a PC-1 facility are used for work conducted on microorganisms and toxins not known to cause disease in healthy adults	BSL-2 laboratories that are designated a PC-2 facility are used for work conducted on microorganisms and toxins that pose a moderate risk to staff and the environment	BSL-3 laboratories that are designated a PC-3 facility are used for work conducted on microorganisms and toxins that can be transmitted by air and cause potentially lethal infection through respiratory transmission	BSL-4 laboratories that are designated a PC-4 facility are used for work conducted on microorganisms and toxins that pose a high risk of aerosol-transmitted laboratory infections with no vaccine or therapy
Laboratory practices	<ul style="list-style-type: none"> <li>• Standard Microbiological Practices are followed</li> <li>• Work can be performed on an open bench or table</li> </ul>	<ul style="list-style-type: none"> <li>• Access to laboratory is restricted when work is being conducted</li> </ul>	<ul style="list-style-type: none"> <li>• Laboratory access is always restricted and controlled</li> <li>• Laboratory access required significant training and carefully controlled</li> </ul>	<ul style="list-style-type: none"> <li>• Laboratory staff receive immunisations for microbes they work with (recommended)</li> <li>• Change clothing before entering</li> <li>• Shower upon exiting</li> <li>• Decontaminate all materials before exiting</li> </ul>
Safety equipment	<ul style="list-style-type: none"> <li>• No special equipment required</li> <li>• PPE (lab coats, gloves, eye protection) worn as needed</li> </ul>	<ul style="list-style-type: none"> <li>• Appropriate PPE (lab coats and gloves) must be worn</li> <li>• Eye protection and face shield worn as needed.</li> <li>• Procedures that produce aerosols or splashed are performed within a Class I or Class II biological safety cabinet (BSC) (recommended)</li> <li>• An autoclave or alternate method of contamination is available for decontamination of laboratory waste</li> </ul>	<ul style="list-style-type: none"> <li>• Appropriate PPE (lab coats, gloves and eye protection) must be worn</li> <li>• Respirators worn as required</li> <li>• All work with biological agents must be performed in a Class I or Class II BSC</li> </ul>	<ul style="list-style-type: none"> <li>• All work is performed within a Class III BSC or by wearing a full body, positive pressure, air supplied suit</li> </ul>
Facility construction	<ul style="list-style-type: none"> <li>• No special facility design required</li> <li>• Easily cleaned surfaces that can endure the basic chemicals used in the laboratory</li> <li>• A sink for handwashing must be accessible</li> </ul>	<ul style="list-style-type: none"> <li>• Hand and eye washing sink stations readily available</li> </ul>	<ul style="list-style-type: none"> <li>• Hands-free sink accessible near exit</li> <li>• Exhaust air cannot be recirculated and must use 'directional' air flow to ensure that air flows from non-laboratory areas into laboratory areas</li> <li>• Two self-closing doors and sealed windows</li> </ul>	<ul style="list-style-type: none"> <li>• Laboratory is an isolated and restricted zone</li> <li>• Dedicated supply and exhaust air</li> </ul>

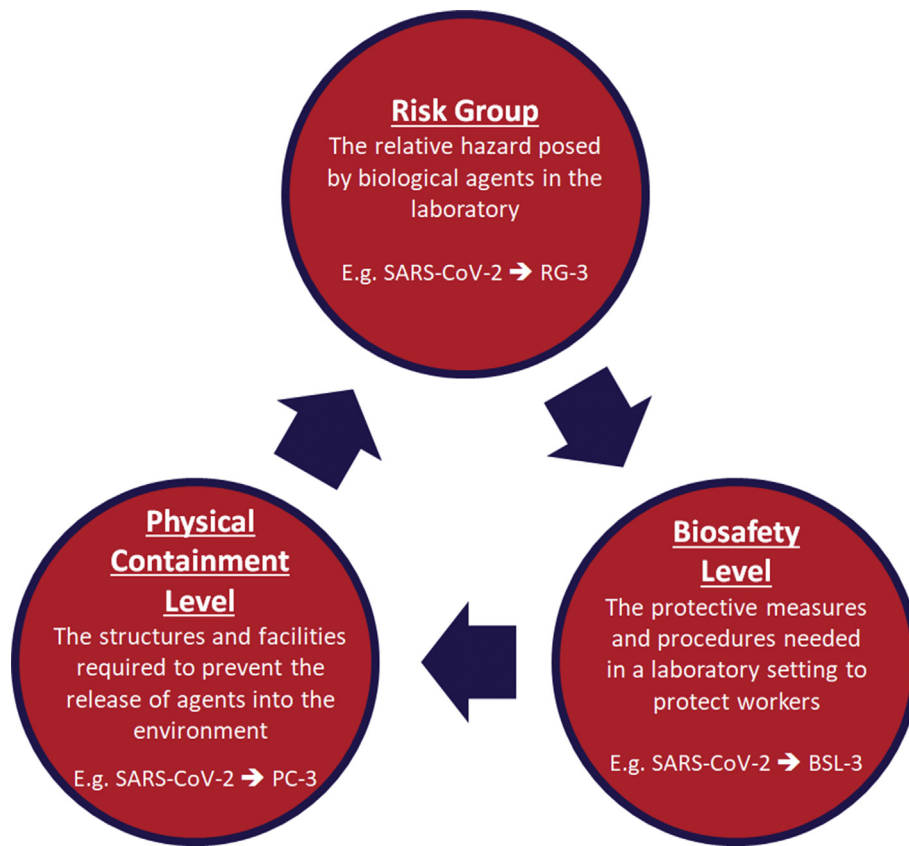
hazard and risk posed by the biological agent and the type of laboratory activity required.<sup>9</sup> For example, the Risk Group of an organism should help determine the Biosafety Level that is required for the safe handling of the agent, and identify the appropriate physical containment facilities that should be used (Fig. 1).

To date, there has been no case of laboratory acquired infection of SARS-CoV-2 reported in the scientific literature. However, lessons learned from previous outbreaks have included the importance of quickly establishing and distributing laboratory guidelines for laboratory personnel safety.<sup>15</sup> In 2003, the first case of the laboratory acquired SARS-CoV infection was reported in a laboratory setting after the initial global outbreak ended.<sup>16</sup> Epidemiological investigations revealed the patient acquired the infection in his laboratory, with sequence analysis of the isolated strain matching laboratory samples of SARS-CoV with which he had been working 3 days prior to the onset of symptoms.<sup>16</sup> The aetiology of SARS-CoV and SARS-CoV-2 share striking similarities, with recent evidence showing the transmission pathway of both viruses likely to be respiratory droplets, and

the progression of disease following a similar pattern in patients.<sup>7</sup> Thus, laboratory-acquired infection with SARS-CoV-2 is plausible if laboratory guidelines and safety precautions are not adhered to and concern regarding the potential risk to laboratory staff is justified.

## RISK GROUP CLASSIFICATION OF SARS-COV-2

Interim recommendations published by the Centers for Disease Control (CDC) and WHO classified SARS-CoV-2 as a Risk Group 3 biological agent (Table 3). Contrary to this provisional assignment, it can be argued that SARS-CoV-2 is comparable to Risk Group 4 pathogens due to the rapid and worldwide spread of the virus, and the fact there is currently no effective antiviral treatment or protective vaccine available.<sup>17</sup> Despite these recent discussions,<sup>17</sup> the scientific data that have emerged show that the classification of SARS-CoV-2 as a Risk Group 4 organism is not justified according to the criteria defined in the Australian Standard AS/NZS2243.3 (Table 1).<sup>10,17</sup> The closely related coronaviruses SARS-CoV



**Fig. 1** Chart demonstrating how the Risk Group of an organism should help determine the biosafety level that is required for the safe handling of the agent, and identify the physical containment facilities that should be used.<sup>9</sup>

**Table 3** Classification of SARS-CoV and SARS-CoV-2 by the Australian Government Department of Health, Centers for Disease Control (CDC) and World Health Organization (WHO)

	Australian Government Department of Health	CDC	WHO
SARS-CoV-2			
Risk Group Classification	Risk Group 3 (tentative)		
Non-propagative diagnostic laboratory work (routine diagnostic testing, sequencing, NAAT)	BSL-2	BSL-2	BSL-2
Propagative work (virus culture, neutralisation assays)	PC-3	BSL-3	BSL-3
SARS-CoV			
Risk Group Classification	Risk Group 3		
Non-propagative diagnostic laboratory work (sequencing, NAAT)	BSL-2	BSL-2	BSL-2
Propagative work (virus culture, neutralisation assays)	BSL-3	BSL-3	BSL-3

NAAT, nucleic acid amplification tests.

and MERS-CoV are classified as Risk Group 3 pathogens, even in the absence of effective treatments and vaccines.<sup>17</sup> In addition, Risk Group 4 organisms are biological agents that are likely to cause severe or lethal disease in almost all infected individuals and are associated with high fatality rates of >30%.<sup>9,18</sup> Viral haemorrhagic fevers (such as Ebola, Marburg, Lassa and Machupo viruses) represent one group of diseases that meet the Risk Group 4 criteria, characterised by severe febrile illnesses, widespread internal bleeding in tissues and organs, and high mortality rates (with reported death rates up to 90% with Ebola and Marburg virus outbreaks).<sup>19,20</sup>

The fatality rate of SARS-CoV-2 is considerably less than that of the criteria specified for Risk Group 4 organisms, with one study revealing a case fatality rate ranging from 0.13% to

6.22% in a cross-country comparison.<sup>21</sup> In addition, age and comorbidities have been identified as major risk factors in COVID-19 patients who develop a severe course of infection compared to those who are considered non-severe or asymptomatic.<sup>22</sup> Studies have demonstrated that fatal cases are predominantly in patients aged 70 years or above (86%), with almost all deceased individuals suffering from underlying conditions including cardiovascular disease, diabetes and respiratory system disease.<sup>18,22</sup> Asymptomatic patients appear to account for approximately 40–45% of all SARS-CoV-2 infections, with the majority of infected people developing only mild illness that does not require hospitalisation.<sup>23</sup> Thus, unlike viral haemorrhagic fevers, SARS-CoV-2 is not likely to cause severe disease in all infected

patients as specified in AS/NZS2243.3, with only particular groups of individuals more likely to develop life-threatening respiratory infections.

We believe the data presented and provisional classification published by the WHO, CDC and various international governments, including the Australian Government Department of Health, justifies the assignment of SARS-CoV-2 as a Risk Group 3 biological agent. In addition, a risk assessment performed by the Australian Department of Health in conjunction with experts from the health, agriculture, gene technology and Australian intelligence sectors has determined that SARS-CoV-2 should not be included on the list of Security Sensitive Biological Agents.<sup>24</sup> As more epidemiological and clinical information becomes available, it is evident that the data do not warrant a Risk Group 4 classification for SARS-CoV-2.

## RECOMMENDATIONS

Given the evidence summarised in this article, the following guidelines are based on the assignment of SARS-CoV-2 as a Risk Group 3 biological agent. Laboratories must adhere to national guidelines on laboratory safety procedures, and ensure the handling of all suspected SARS-CoV-2 specimens is performed in strict observance of the relevant Biosafety Level.

Non-propagative routine diagnostic testing of specimens from patients who are suspected or confirmed COVID-19 positive can be conducted in a PC-2 laboratory using BSL-2 practices. In addition, the Australian Public Health Laboratory Network (PHLN) recommends all manipulations of potentially infectious materials that may generate aerosols, droplets or splashes (including the loading and unloading of centrifuges, and vortexing) to be undertaken in PC-2 facilities using a Class II Biosafety cabinet.<sup>25</sup> Examples of non-propagative routine procedures include the use of automated platforms, sample processing, analysis of inactivated specimens, sequencing and nucleic acid amplification tests. Following standard precautions when handling material likely to be infectious, BSL-2 personal protective equipment (PPE) standard laboratory gowns, gloves, and face and eye protection are recommended for routine diagnostics.

Propagative work on SARS-CoV-2 or handling of material with high concentrations of live virus should only be conducted in a PC-3 laboratory using BSL-3 practices. Biosafety Level 3 practices include all BSL-2 guidelines, with the addition of respiratory protection (such as N95/P2 masks) as required, and controlled access and decontamination of laboratory PPE before washing. Examples of propagative procedures include culturing of virus, isolation and neutralisation assays.

## CONCLUSION

The risk assessment of novel pathogens is essential in public health to develop policies, regulations and control measures to protect scientists and healthcare professionals in the laboratory. All recommendations published by international and national health agencies (including the WHO and CDC), have assigned SARS-CoV-2 as a Risk Group 3 organism. We believe the interim classification of SARS-CoV-2 should be accepted and finalised to inform the appropriate biosafety levels, physical containment facilities and PPE required for the handling of SARS-CoV-2 and to minimise the risk of

laboratory-acquired COVID-19 infections during this public health emergency.

**Conflicts of interest and sources of funding:** The authors state that there are no conflicts of interest to disclose.

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