5G Architecture and Specifications







4G & 5G NEW RADIO (NR) END TO END (E2E) NETWORK ARCHITECTURE



5G ARCHITECTURE OPTIONS



4.5G & 5G 3GPP RELEASES HIGHLIGHTS

	Key Features	Release 13	Release 14	Release 15 (Stage 3 Freeze Jun 2018)					
	Cellular V2X (C-V2X)		Cellular V2X (C-V2X) introduction	V2X enhancements (eC-V2X)					
4G LTE-Advanced Pro	Cellular (Narrowband) Internet of Things (C-loT)	LTE-M (Cat M1) NB-loT (Cat NB1)	LTE-M enhancements (Cat N NB-IoT enhancements (Cat NB2)	12) LTE-M further enhancements NB-IoT further enhancements					
	Mission Critical	Mission Critical Push To Talk over LTE (MCPTT)	Enhancements for Mission Critical Push To Talk Mission Critical Video over L Mission Critical Data over LT	Mission Critical Push To Talk over LTE (MCPTT), Data, Video enhancements E					
	Radio Access Network (RAN) evolution	Higher Order Modulation: Uplink 64 QAM Licensed-Assisted Access (LAA Downlink) Elevation Beamforming/Full- Dimension (FD-MIMO) 16TX	Uplink 256 QAM Enhanced LAA for LTE (LAA Uplink) Enhanced FD-MIMO 32TX CBRS 3.5GHz band for LTE ir the United States	Downlink 1024QAM E-UTRAN Ultra Reliable Low Latency Communication (URLLC) RAN LAA/eLAA for the CBRS 3.5GHz Enhanced LTE Support for Aerial Vehicles eNB(s) Architecture Evolution for E-UTRAN and NG-RAN					
	Core Network evolution	Dedicated Core Networks (DECOR)	Dedicated Core Networks (DECOR) enhancements Control and User Plane Separation of EPC nodes (CUPS)	E-UTRAN Ultra Reliable Low Latency Communication (URLLC) Core (EPC)					
	Release 15 (Stage 3 Freeze Jun 2018), Release 15 Late Drop (Stage 3 Freeze Dec 2018)								
5G New Radio (NR)	Dec 17 (Non-Standalone - 5G New Radio (NI • Massive MIMO - Radio • FDD and Dynamii • Flexible Numerold spacing, cyclic pre • FR1: 450MHz - 60 FR2: 24.25 - 52.6G • Channel Bandwid 100MHz (FR1) & 4 • Bandwidth Adapt Bandwidth Parts • Flexible frame str scalable TTI (mini	or mul or MSA) Contai R) Chann Beam centric Polar (c TDD Supple ogies (subcarrier Supple ogies (subcarrier RAN D efix) Higher SHz Non-S Hz Archite ths up to EPC er 00MHz (FR2) SG NR cation utilizing Conne (BWP) ucture with -slot, slot	Itiple slots) and Self- ined slot structure el Coding – LDPC (data), Codes (control), Block ol uplink lower rates) Codes ementary Uplink (SUL) bis-aggregation CU-DU r Layer Split (HLS) tandalone (NSA) ecture Option 3/3a/3x nhancements to support via E-UTRA-NR Dual ctivity (EN-DC)	Jun 18 – Full Release (Standalone – SA) • 5G Next Generation Core Network (5GC) • Architecture Options 2 & 5 (Standalone) • Remaining features (Network Slicing, others) • Separation of NR Control Plane (CP) and User Plane (UP) for Split option 2 (Higher Layer Split – PDCP) Dec 18 – Late Drop (Non-Standalone – NSA) • Architecture Options 4 & 7					

Release 16 scheduled for Stage 1, 2, 3 freeze: Dec 2018, Jun 2019 and Dec 2019 respectively

4.5G & 5G ARCHITECTURE **SPECIFICATIONS**

	4G LTE-Advanced Pro	5G New Radio (NR)
System Architecture	3GPP TS 23.401: GPRS enhancements for E-UTRAN access 3GPP TS 23.402: Architecture enhancements for non-3GPP accesses	3GPP TS 23.501: System Architecture for the 5G System 3GPP TS 23.502: Procedures for the 5G System
Policy and Charging Control	3GPP TS 23.203: Policy and charging control architecture	3GPP TS 23.503: Policy and Charging Control Framework for the 5G System; Stage 2
Security Architecture	3GPP TS 33.401: 3GPP SAE; Security architecture	3GPP TS 33.501: Security architecture and procedures for 5G System
RAN Overall Description	3GPP TS 36.300: E-UTRA and E-UTRAN; Overall description; Stage 2	3GPP TS 38.300: NR; Overall description; Stage-2
RAN Architecture	3GPP TS 36.401: E-UTRAN; Architecture description	3GPP TS 38.401: NG-RAN; Architecture description
Multi-connectivity	3GPP TS 37.340: Evolved Universal Terrestrial connectivity; Stage 2	Radio Access (E-UTRA) and NR; Multi-
CU Control User Plane Separation	3GPP TS 23.214: Architecture enhancements for control and user plane separation of EPC nodes	3GPP TR 38.806: Study of separation of NR Control Plane (CP) and User Plane (UP) for split option 2
CU DU RAN functions dis-aggregation	3GPP TR 37.876: Study on eNB(s) Architecture Evolution for E-UTRAN and NG-RAN	3GPP TR 38.816: Study on CU-DU lower layer split for NR

5G RADIO

Massive MIMO Beam Forming & Management



Radio Protocols, Management & Procedures Specifications

	4G LTE-Advanced Pro	5G New Radio (NR)
Service Data Adaptation Protocol		SDAP ¹ : 3GPP TS 37.324
Radio Resource Control	RRC: 3GPP TS 36.331	NR-RRC: 3GPP TS 38.331
Packet Data Convergence Protocol	PDCP: 3GPP TS 36.323	NR-PDCP: 3GPP TS 38.323
Radio Link Control	RLC: 3GPP TS 36.322	NR-RLC: 3GPP TS 38.322
Medium Access Control	MAC: 3GPP TS 36.321	NR-MAC: 3GPP TS 38.321
Physical Layer	PHY	NR-PHY
Physical channels and modulation	3GPP TS 36.211	3GPP TS 38.211
Multiplexing and channel coding	3GPP TS 36.212	3GPP TS 38.212
Physical layer procedures	3GPP TS 36.213	3GPP TS 38.213 (control) 3GPP TS 38.214 (data)
Physical layer Measurements	3GPP TS 36.214	3GPP TS 38.215
User Equipment (UE) radio transmission and reception	3GPP TS 36.101	3GPP TS 38.101-1: Part 1: Range 1 Standalone 3GPP TS 38.101-2: Part 2: Range 2 Standalone 3GPP TS 38.101-3: Part 3: Range 1 and Range 2 Interworking operation with other radios 3GPP TS 38.101-4: Part 4: Performance requirements
Base Station (BS) radio transmission and reception	3GPP TS 36.104	3GPP TS 38.104
Requirements for support of radio resource management	3GPP TS 36.133	3GPP TS 38.133
Physical layer; General description	3GPP TS 36.201	3GPP TS 38.201
Services provided by the physical layer	3GPP TS 36.302	3GPP TS 38.202
User Equipment (UE) procedures in idle mode	3GPP TS 36.304	3GPP TS 38.304
Multi-RAT Co-Existence	3GPP TR 37.872: Supplemer	ntary uplink (SUL) and LTE-NR co-existence

Physical Channels & Signals

Applicable only when the 5G gNB is connected to the 5G NGC

	Downlink				
Radio (NR)	Channels	NR-PDSCH: Physical Downlink Shared Channel NR-PBCH: Physical Broadcast Channel NR-PDCCH: Physical Downlink Control Channel		Can be used for estimation of channel- state information (CSI) to further prepare feedback reporting to gNB to assist in MCS selection, beamforming, MIMO rank selection and resource	
	Signals	NR-PSS: Primary Synchronization Signal NR-SSS: Secondary Synchronization Signal NR-DM-RS: Demodulation Reference Signal		allocation. CSI-RS also can be used for interference measurement and fine frequency/time tracking purposes	
		NR-CSI-RS: Channel-State Information Reference Signal	Γ.		
		NR-PT-RS: Phase-Tracking Reference Signal		Can be used in addition to the DM-RS for PDSCH for correcting common	
e K	Uplink		\mathbf{N}	not containing DM-RS. It may also be	
5G Ne	Channels NR-PUSCH: Physical Uplink Shared Channel NR-PUCCH: Physical Uplink Control Channel			used for Doppler and time varying channel tracking	
		NR-PRACH: Physical Random Access Channel		Can be used in addition to the DM-RS	
	Signals	NR-DM-RS: Demodulation Reference Signal		for PUSCH for correcting common phase error between PUSCH symbols	
		NR-PT-RS: Phase-Tracking Reference Signal		not containing DM-RS. It may also be used for Doppler and time varving	
		NR-SRS: Sounding Reference Signal		channel tracking	

Reference source: 3GPP Submission of initial 5G description for IMT-2020







Examples of Service Adaptive Slices with Dynamic Deployments of Flexible Radio, RAN,



CU (HLS) 🔪

5G F1

8 (IQ) Not

eCPRI 1.0 (I_D)

Figure 4.2-1

MeNB

((()))

SaNB



SS and PBCH Block

Refer to 3GPP TS 38.213 Section 4.1 for more details

Dep

Fran Size

Cha

Fred

Carr

Nur

(SCS

Chai



5G RAN

5GIMIF

Non-Standalone (NSA) (EN-DC) Architecture with Disaggregated RAN Functional Split



Source: 3GPP TS 38.300 V15.0.0 (2017-12) Figure 5.2.4-1 Comparison of Key Radio Characteristics and Parameters

Time-frequency structure of the synchronization

signal and PBCH block

	4G LTE-Advanced Pro	5G New Radio (NR)
oyment mode	4G works independent of 3G	Non-standalone (NSA): 4G Master – 5G Secondary in EN-DC mode 5G is dependent on 4G coordination- supports both fixed and mobility scenarios
e Size, Subframe	10ms, 1ms	10ms, 1ms
eform	OFDM	UL/DL: CP-OFDM UL: DFT-spread OFDM
iplexing	FDD/TDD	FDD & Dynamic TDD
nel Modulation	Downlink: 1024QAM Uplink: 256QAM	QPSK, 16QAM, 64QAM, 256QAM , $\pi/2\text{-}BPSK$ (Transform precoding enabled in the UL) QPSK for control channels and signals
nel Coding	Data: Turbo Control: Convolutional	Data: LDPC Control: Downlink – Polar Code Uplink – Block and Polar (higher rates) Codes
uency Bands	Up to 6GHz	FR1: 450 MHz to 6GHz FR2: 24.25 to 52.6 GHz
er Aggregation	Up to 32 CCs	Different bandwidth parts can be associated with different numerologies (subcarrier spacing, cyclic prefix) Refer to 3GPP TS 38.300 Section 6.10 for more details Up to 16 CCs - can be aggregated to 6.4GHz of transmission bandwidth
erology	Static	Based on exponentially scalable sub-carrier spacing Δf = 2^{μ} \times 15 kHz
carriers Spacing)	15KHz	$\Delta f = 2^{\mu} \times 15 \text{ kHz}$ $\mu = \{0,1,3,4\}$ for PSS, SSS and PBCH $\mu = \{0,1,2,3\}$ for other channels
smission Slot tion	14 (7 per slot)	FR1: 15, 30, 60kHz FR2: 60, 120kHz (240kHz applicable to only SSB) Higher SCS for larger bandwidth, shorter slots, lower latency Lower SCS improves delay spread robustness
Duration	0.5ms each slot	Normal Cyclic Prefix: 14 Symbols – supported for All SCS Extended Cyclic Prefix: 12 Symbols – supported for SCS 60kHz
per Subframe	2	Number of Slots per Subframe depends on the Sub-carrier spacing (SCS) μ =0: 15 kHz SCS: 1 ms slot, 1 slot per sub-frame μ =1: 30 kHz SCS: 0.5 ms slot, 2 slots per sub-frame μ =2: 60 kHz SCS: 0.25 ms slot, 4 slots per sub-frame μ =3: 120 kHz SCS: 0.125 ms slot, 8 slots per sub-frame μ =4: 240 kHz SCS: 0.0625 ms slot (only used for synchronization, not for data)
nel Bandwidth	Max. 20MHz	FR1: 5, 10, 15, 20, 25, 40, 50, 60, 80, 100MHz FR2: 50, 100, 200, 400MHz Maximum Channel Bandwidth 15 kHz SCS (FR1): 50MHz 30 kHz SCS (FR1): 100MHz 60 kHz SCS (FR1): 100MHz 60 kHz SCS (FR2): 200MHz
lwidth Part (BWP)	Not applicable	120 kHz SCS (FR2): 400MHz BWP consists of a group of contiguous physical resource blocks (PRBs)
		UE can be configured with up to four carrier bandwidth parts in the downlink/uplink/supplemental uplink (if configured) with a single downlink/uplink/supplemental uplink (if configured) carrier bandwidth part being active at a given time From network perspective, different bandwidth parts can be associated with different numerologies (subcarrier spacing, cyclic prefix)
	1ms	Scalable Transmission Time Interval (TTI) Schedulers assign radio resources in a unit of TTI (e.g. one mini-slot, one slot, or multiple slots). Data transmissions can be scheduled on a slot basis, as well as on a partial slot basis, where the partial slot transmissions that may occur several times within one slot. The supported partial slot allocations and scheduling intervals are • 2 4 and 7 symbols for normal cyclic prefix

 \cdot 2, 4 and 6 symbols for extended cyclic prefix

		Interf	ace	Protocols	and Spe	ecifications	NE1	NE2		
	RAN – Core	S1		S1-MME (S1 S1-MME (EF S1-U: 3GPP	-AP): 3GP PS NAS): 3 TS 29.281	MME	eNB			
!	nter base stations	X2 W1		X2-AP: 3GPP TS 36.423 X2-U: 3GPP TS 38.425 (TS 29.281) W1-AP: 3GPP TS 37.473 W1-U: in progress			eNB MeNB	eNB en-gNB/ SgNB		
	CU DU Higher Layer Split (HLS)						eNB-CU ng-eNB-CU	eNB-DU ng-eNB-DU		
1	RAN – Core	NG		NG-C (NG-/ NG-C (5GS NG-U: 3GPI	AP): 3GPP NAS): 3GP P TS 29.28	TS 38.413 P TS 24.501 1	NGC	NG-RAN		
2	Inter base stations Xn CU DU Higher Layer Split (HLS)			Xn-AP: 3GP Xn-U: 3GPP	P TS 38.42 TS 38.425	gNB gNB	gNB ng-eNB			
				F1-AP: 3GPF F1-U: 3GPP	P TS 38.473 TS 38.425	3 (TS 29.281)	gNB-CU	gNB-DU		
	CU Control User Plane Separation	J Control E1 E1-AP: 3GPP TS 38.463 paration				gNB-CU-CP	gNB-CU-UP			
ic	Access	Netv	vorł	(RAN)	Protoc	ol Stacks				
	F1-U	Xn-C	Xn-U	NG-C	NG-U	E1				
	User Data (IP)	PDCP ³ , RRC								
	SDAP1	PDCP ³ , NR-RRC		5GS- NAS ²						
-	GTP-U	Xn-AP	GTP-U	NG-AP	GTP-U	E1-AP				
	UDP	SCTP	UDP	SCTP	UDP	SCTP				
or	t	Transport	Į	Transpor	t	Transport				
	W1-U	X2-C	X2-U	S1-MME	S1-U					
	User Data (IP)	RRC				¹ only when co	onnected to 5G NG	с		
NR- PDCP ³ ,		EPS- ² non-access		tratum (NAS) encry	/pted/					
		NR-RRC		NAS ² S1-AP	GTP-U	ciphered at th	ne NAS protocol lay	ers		
	GTP-U	X2-AP	GTP-U			³ access stratu	Im (AS) encrypted/	ciphered at		
	UDP SCTP UDP			3018	SCTP UDP the PDCP prot			tocol layers for control and user ane PDCP compressed		
> 	ODP					plane, user pl	une i bei compres.	seu		

RANTOCOre[.] Device emulation

LABS

and network test





TRIALS

Alianment Tool



Option 6 (MAC-PHY), Option 7 (Intra-PHY) Possible, Non-Exhaustive, Functional Split Options for DL (left) and UL (right)



4.5G CORE

Control and User Plane Separation of EPC Nodes (CUPS)



CUPS Protocol Stack and Specifications



TRANSPORT, FIBER, METRO, AND RF TEST PRODUCTS





VERTICALS, AUTONOMOUS DRIVING **CELLULAR VEHICLE TO EVERYTHING** C-V2X (V2I, V2N, V2V, V2P)



Reference sources: 3GPP TS 23.285 - Figure 4.2.1.1-1, Figure 4.2.2-1a, Figure 4.2.2-1b

- 4G LTE - C-V2X control - eMBMS and applications

SPECIFICATIONS

					_		_			
V4	V6			Specifications – Requirements & Architecture						
				TR 22.885: Study on LTE support for V2X services						
				TS 22.886: Study on enhancement of 3GPP support for 5G V2X services						
V4 application is 16777355		V6 application is 16777356		TS 22.185: Service requirements for V2X services						
Diameter		Diameter SCTP			TS 22.186: Service requirements for enhanced V2X scenarios					
SCTP					TS 23.285: Arc	hitecture enhanc	ements fo	or V2X services		
Transport		Transport			TS 33.185: Secu	TS 33.185: Security aspect for LTE support of V2X services				
				-	TR 37.885: Stu cases for LTE 8	dy on evaluatior & NR	methodo	ology of new V2X use		
Specifications - Proto	ocols						Refere	nce Points		
TS 24.385: V2X services	Manag	ement Object (MO)								
TS 24.386: User Equipm	ent (UE	i) to V2X Control Fur	nction	Protoc	ol aspects; Stag	e 3 SDP	V3			
TS 29.388: V2X Control	Functio	n to HSS aspects (V4	4); Stag	je 3			V4			
TS 29.389: Inter-V2X Co	ntrol Fi	unction Signaling asp	oects (V6); St	itage 3 V6					
2CDD TS 22 195	V2V		V2B	,		V2I		VaN		
	v 2 v	Ome	V 2 F	100mc	V21			<= 1000ms (E2E)		
Latency	Vith <= 20	or without RSU Oms (without RSU)	Vit <= 2	h or w 20ms (thout RSU vithout RSU)		ui K30)			
Potential V2X service	Mutu Awar safet	Mutual Vehicle Road Awareness and Road safety			ty use cases	use cases Road safety use cases		Mutual Vehicle Awareness use case		
Reliability	High	reliability without re	equirin	g appl	ication-layer m	essage retransmi	ssions			
Message Size, Frequency	Perio Up to	dic broadcast - 50-30 0 10 messages per se	00 byt cond p	es, Eve er trar	ent-triggered - I nsmitting UE	up to 1200 bytes				
Range	Drive	r(s) ample response	time (e	e.g. 4 s	econds)					
Speed	V2V i V2V a	naximum relative ve and V2P maximum al	locity bsolute	of the e veloc	UEs is 500 km/ tity is 250 km/h	h				
3GPP TS 22.186		Vehicles Platooni	ng¹	Adva	nced Driving ²	Extended Se	1sors ³	Remote Driving⁴		
Max end-to-end latenc	y (ms)	10-25		3		3-100	5			
Reliability (%)		90-99.99		99.99	9	95-99.999		99.999		
Data Rate		12kbps-65Mbps		30Mb	ops 10-1000Mbp		(1Gbps)	UL: 25Mbps DL: 1Mbps		
Min required communic range ⁵	ation	80-350 meters 2.2-9.7 seconds		500 r 13.8 s	neters econds	ters 50-1000 meters (1km) onds 1.4-27.7 seconds		N/A		

Cooperative driving for vehicle platooning 2: Emergency trajectory alignment between UEs

3: Sensor information sharing between UEs 4: Information exchange between a UE supporting V2X application and a V2X Application Server) absolute speed of up to 250 km/h 5: meters, seconds, (130km/h)

ACRONYMS/ABBREVIATIONS

5GC	5G Core Network	eMBB	Enhanced Mobile Broadband	NFVO	NFV Orchestrator	SDAP	Service Data Adaptation Protocol
5GS	5G System	FDC	Evolved Packet Core	NG-RAN	NG Radio Access	SDN-C	SDN Controller
AMF	Access and Mobility	EPC 5DC			N D V	SDN-C	SDN Controller
	Management Function	EPS	System	NR	New Radio	SGW	Serving GW
AS	Access Stratum	FDD	Frequency Division	NSA	Non-Standalone	SMF	Session Managemen Function
выр	Backbaul Pate		Duplex	OSI	Other System	SN	Secondary Node
		FFT	Fast Fourier Transform			514	Secondary Node
BM-SC	Broadcast Multicast Service Center	FR	Frequency Range	OSS	Operations Support System	SUL	Supplementary Uplin
BSS	Business Support	HLS	Higher Laver Split	PDCP	Packet Data	TDD	Time Division Duple>
000	System	LDDC	Leur Dessitu Pesitu	, bei	Convergence Protocol	TDF	Traffic Detection
BWP	Bandwidth Part	LDFC	Check	PFCP	Packet Forwarding		
CBRS	Citizens Broadband	LLS	Lower Layer Split		Control Plane	ISN	Time-Sensitive Networking
	Radio Service	MAC	Medium Access	PGW	PDN Gateway	TTI	Transmission Time
CoMP	Coordinated		Control	PHY	Physical Layer		Interval
C D		MBMS	Multimedia Broadcast	PON	Passive Optical	UP	User Plane
CP	Control Plane		Multicast Service		Network	UPF	User Plane Function
CP	Cyclic Prefix	MBMS- GW	MBMS Gateway	RAN	Radio Access Network	URLLC	Ultra-reliable
CPRI	Common Public Radio	MIMO	Multiple-Ipput	RAT	Radio Access		Low Latency
		WIIWIO	Multiple-Output				communications
CU	Central Unit	MME	Mobility Management	RLC	Radio Link Control	V2I	Vehicle-to- Infrastructure
CUPS	Control and User Plane Separation		Entity	RMSI	Remaining System Information	V2N	Vehicle-to-Network
DC	Data Center	mMTC	Massive Machine Type	PPC	Padio Pesource	VaD	Vehicle-to-Pedestria
DC	Data center			NNC	Control	VZr	venicle-to-redestria
DN	Data Network	MN	Master Node	RSU	Roadside Unit	V2V	Vehicle-to-Vehicle
DU	Distributed Unit	NAS	Non-Access Stratum	SA	Standalone	V2X	Vehicle-to-Everythin
eCPRI	Enhanced Common	NR IoT	Narrow Rand	505	Sub carriers	WDM	Wavelength-division
	Interface	IND-101	Internet of Things	303	Spacing		multiplexing

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