

Trecho do Capítulo 3 (Filosofia aristotélica da natureza) do livro The Beginnings of Western Science, de David C. Lindberg.

Cosmology

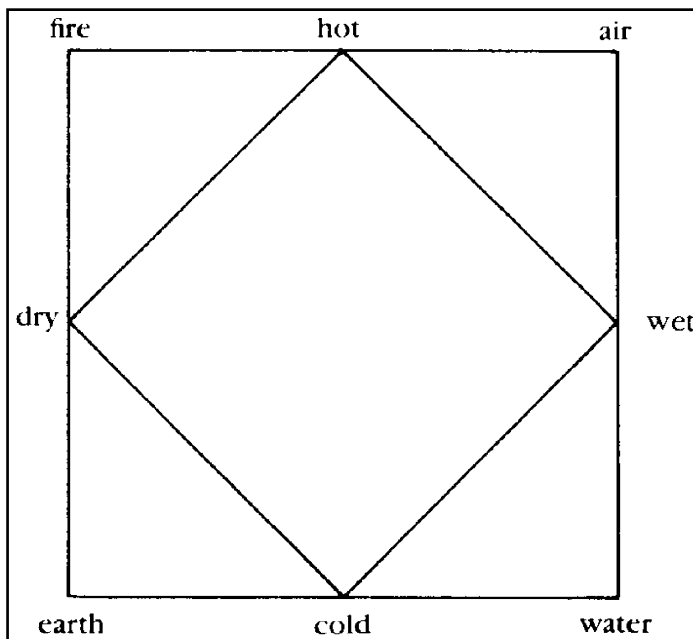
Aristotle not only devised methods and principles by which to investigate and understand the world: form and matter, nature, potentiality and actuality, and the four causes. In the process, he also developed detailed and influential theories regarding an enormous range of natural phenomena, from the heavens above to the earth and its inhabitants below.

Let us start with the question of origins. Aristotle adamantly denied the possibility of a beginning, insisting that the universe must be eternal. The alternative—that the universe came into being at some point in time—he regarded as unthinkable, violating (among other things) Parmenidean strictures about something coming from nothing. Aristotle's position on this question would prove troublesome for medieval Christian Aristotelians.

Aristotle considered this eternal universe to be a great sphere, divided into an upper and a lower region by the spherical shell in which the moon is situated. Above the moon is the celestial region; below is the terrestrial region; the moon, spatially intermediate, is also of intermediate nature. The terrestrial or sublunar region is characterized by birth, death, and transient change of all kinds; the celestial or supralunar region, by contrast, is a region of eternally unchanging cycles. That this scheme had its origin in observation would seem clear enough; in his *On the Heavens*, Aristotle noted that “in the whole range of time past, so far as our inherited records reach, no change appears to have taken place either in the whole scheme of the outermost heaven or in any of its proper parts.” If in the heavens we observe eternally unvarying circular motion, he continued, we can infer that the heavens are not made of the terrestrial elements, the nature of which (observation reveals) is to rise or fall in transient rectilinear motions. The heavens must consist of an incorruptible fifth element (there are four terrestrial elements): the quintessence (literally, the fifth essence) or aether. The celestial region is completely filled with this quintessence (no void space) and divided, as we shall see, into concentric spherical shells bearing the planets. It had, for Aristotle, a superior, quasi-divine status.

The sublunar region is the scene of generation, corruption, and impermanence. Aristotle, like his predecessors, inquired into the basic element or elements to which the multitude of substances found in the terrestrial region can be reduced. He accepted the four elements originally proposed by Empedocles and subsequently adopted by Plato—earth, water, air, and fire. He agreed with Plato that these elements are in fact reducible to something even more fundamental; but he did not share Plato's mathematical inclination and therefore refused to accept Plato's regular solids and their constituent triangles. Instead, he expressed his own commitment to the reality of the world of sense experience by choosing sensible qualities as the ultimate building blocks. Two pairs of qualities are crucial: hot-cold and wet-dry. These combine in four pairs, each of which yields one of the elements (see fig. 3.2). Notice the use made once again of contraries. There is nothing to forbid any of the four qualities being replaced by its contrary, as the result of outside influence. If water is heated, so that the cold of water yields to hot, the water is transformed into air. Such a process easily explains changes of state (from solid to liquid to vapor, and conversely), but also more general transmutation of one substance into another. On such a theory as this, alchemists could easily build.

Fig. 3.2. Square of opposition of the Aristotelian elements and qualities. For a medieval (9th c.) version of this diagram, see John E. Murdoch, *Album of Science: Antiquity and the Middle Ages*, p. 352.



The various substances that make up the cosmos totally fill it, leaving no empty space. To appreciate Aristotle's view, we must lay aside our almost automatic inclination to think atomistically; we must conceive material things not as aggregates of tiny particles but as continuous wholes. If it is obvious that, say, a loaf of bread is composed of crumbs separated by small spaces, there is no reason not to suppose that those spaces are filled by some finer substance, such as air or water. And there is certainly no simple way of demonstrating, nor indeed any obvious reason for believing, that water and air are anything but continuous. Similar reasoning, applied to the whole of the universe, led Aristotle to the conclusion that the universe is full, a *plenum*, containing no void space. This claim would be attacked by medieval scholars.

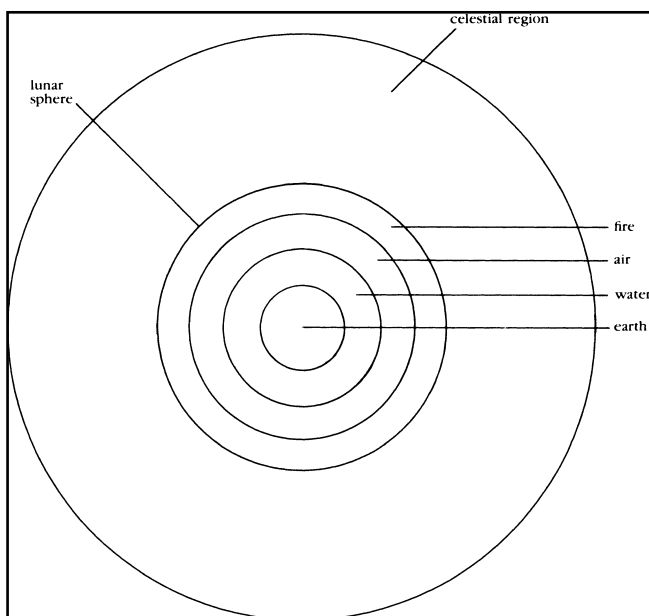
Aristotle defended this conclusion with a variety of arguments, such as the following. The speed of a falling body is dependent on the density of the medium through which it falls—the less the density, the swifter the motion of the falling body. It follows that in a void space (density zero), there is nothing to slow the descent of the body, from which we would be forced to conclude that the body would fall with infinite speed—a nonsensical notion, since it implies that the body could be at two places at the same time. Critics have frequently noted that this argument can just as well be taken to prove that the absence of resistance does not entail infinite speed as to prove that void does not exist. The point is, of course, well taken. However, we need to understand that Aristotle's denial of the void did not rest on this single piece of reasoning. In fact, this was but one small part of a lengthy campaign against the atomists, in which Aristotle battled the notion of void space (or void place) with a variety of arguments, some more and some less persuasive.

In addition to being hot or cold and wet or dry, each of the elements is also heavy or light. Earth and water are heavy, but earth is the heavier of the two. Air and fire are light, fire being the lighter of the two. In assigning levity to two of the elements, Aristotle did not mean (as we might, if we were making the claim) simply that they are less heavy, but that they are light in an absolute sense; levity is not a weaker version of gravity, but its contrary. Because earth and water are heavy, it is their nature to descend toward the center of the universe; because air and fire are light, it is their nature to ascend toward the periphery (that is, the periphery of the terrestrial region, the spherical shell that

contains the moon). If there were no hindrances, therefore, earth and water would collect at the center; because of its greater heaviness, earth would achieve a lower position, forming a sphere at the very center of the universe; water would collect in a concentric spherical shell just outside it. Air and fire naturally ascend, but fire, owing to its greater levity, occupies the outermost region, with air as a concentric sphere just inside it. In the ideal case (in which there are no mixed bodies and nothing prevents the natures of the four elements from fulfilling themselves), the elements would thus form a set of concentric spheres: fire on the outside, followed by air and water, and finally earth at the center (see fig. 3.3). But in reality, the world is composed largely of mixed bodies, one always interfering with another, and the ideal is never attained. Nonetheless, the ideal arrangement defines the natural place of each of the elements; the natural place of earth is at the center of the universe, of fire just inside the sphere of the moon, and so forth.

It must be emphasized that the arrangement of the elements is spherical. Earth collects at the center to form *the earth*, and it too is spherical. Aristotle defended this belief with a variety of arguments. Arguing from his natural philosophy, he pointed out that since the natural tendency of earth is to move toward the center of the universe, it must arrange itself symmetrically about that point. But he also called attention to observational evidence, including the circular shadow cast by the earth during a lunar eclipse and the fact that north-south motion by an observer on the surface of the earth alters the apparent position of the stars. Aristotle even reported an estimate by mathematicians of the earth's circumference (400,000 stades, about 45,000 miles, roughly 1.8 times the modern value). The sphericity of the earth, thus defended by Aristotle, would never be forgotten or seriously questioned. The widespread myth that medieval people believed in a flat earth is of modern origin.

Fig. 3.3. The Aristotelian cosmos.



Finally, we must note one of the implications of this cosmology, namely that space, instead of being a neutral, homogeneous backdrop (analogous to our modern notion of geometrical space) against which events occur, has properties. Or to express the point more precisely, ours is a world of space, whereas Aristotle's was a world of place. Heavy bodies move toward their place at the center of the universe not because of a tendency to unite with other heavy bodies located there, but simply because it is their nature to seek that central place; if by some miracle the center happened to be

vacant (a physical impossibility in an Aristotelian universe, but an interesting imaginary state of affairs), it would remain the destination of every heavy body.

Motion, terrestrial and celestial

We can best understand Aristotle's theory of motion by grasping its two most fundamental claims. The first is that motion is never spontaneous; there is

no motion without a mover. The second is the distinction between two types of motion: motion toward the natural place of the moving body is "natural" motion; motion in any other direction occurs only under coercion from an outside force and is therefore a "forced" or "violent" motion.

The mover in the case of natural motion is the nature of the body, which is responsible for its tendency to move toward its natural place as defined by the ideal spherical arrangement of the elements. Mixed bodies have a directional tendency that depends on the proportion of the various elements in their composition. When a body undergoing natural motion reaches its natural place, its motion ceases. The mover in the case of forced motion is an external force, which compels the body to violate its natural tendency and move in a direction or manner other than straight-line motion toward its natural place. Such motion ceases when the external force is withdrawn.

So far, this seems sensible. One obvious difficulty, however, is to explain why a projectile hurled horizontally, and therefore undergoing forced motion, does not come to an immediate halt when it loses contact with whatever propelled it. Aristotle's answer was that the medium takes over as mover. When we project an object, we also act on the surrounding medium (air, for instance), imparting to it the power to move objects; this power is communicated from part to part, in such a way that the projectile is always in contact with a portion of the medium capable of keeping it in motion. If this seems implausible, consider the greater implausibility (from Aristotle's standpoint) of the alternative—that a projectile, which is inclined by nature to move toward the center of the universe, moves horizontally or upward despite the fact that there is no longer anything causing it to do so.

Force is not the only determinant of motion. In all real cases of motion in the terrestrial realm, there will also be a resistance or opposing force. And it seemed clear to Aristotle that the quickness of motion must depend on these two determining factors—the motive force and the resistance. The question arose: what is the relationship between force, resistance, and speed? Although it probably did not occur to Aristotle that there might be a quantitative law of universal applicability, he was not without interest in the question and did make several forays into quantitative territory. In reference to natural motion in his *On the Heavens* and again in his *Physics*, Aristotle claimed that when two bodies of differing weight descend, the times required to cover a given distance will be inversely proportional to the weights. (A body twice as heavy will require half the time). In the same chapter of the *Physics*, Aristotle introduced resistance into the analysis of natural motion, arguing that if bodies of equal weight move through media of different densities, the times required to traverse a given distance are proportional to the densities of the respective media; that is, the greater the resistance the slower the body moves. Finally, Aristotle also dealt with forced motion in his *Physics*, claiming that if a given force moves a given weight (against its nature) for a given distance in a given time, the same force will move half that weight twice the distance in that same time (or the same distance in half that time); alternatively, half the force will move half the weight the same distance in the same time.

From such statements, some of Aristotle's successors have made a determined effort to extract a general law. This law is customarily stated as:

$$v \propto F/R.$$

That is, velocity (v) is proportional to the motive force (F) and inversely proportional to the resistance (R). For the special case of the natural descent of a heavy body, the motive force is the weight (W) of the body, and the relationship then becomes:

$$v \propto W/R.$$

Such relationships probably do no great violence to Aristotle's intent for most cases of motion; however, giving them mathematical form, as we have done, suggests that they hold for all values of v , F (or W), and R —a claim that Aristotle would certainly have denied. He stated explicitly, for example, that a resistance equal to the motive force will prevent motion altogether, whereas the formula above offers no such result. Moreover, the appearance of velocity in these relationships seriously misrepresents Aristotle's conceptual framework, which contained no concept of velocity as a quantifiable measure of motion, but described motion only in terms of distances and times. Velocity as a technical scientific term to which numerical values might be assigned was a contribution of the Middle Ages.

Aristotle has been severely criticized for this theory of motion, on the assumption that any sensible person should have recognized its fatal flaws. Is such criticism justified? In the first place, our goal is to understand the behavior, beliefs, and achievements of historical actors against the background of the culture in which they lived, rather than to assess credit or blame according to the degree to which those historical actors resemble us. In short, historians must always contextualize their subjects. Second, some of the criticisms of Aristotle's theories of motion apply only to theories foisted onto Aristotle by followers and critics, rather than to his own. Third, the theory in its genuinely Aristotelian (and properly contextualized) version makes quite good sense today and would surely have made good sense in the fourth century b.c. For example, various surveys have shown that the majority of modern, university-educated people are prepared to assent to many of the basics of Aristotle's theory of motion. Fourth, the relatively modest level of quantitative content in Aristotle's theory is easily explained as the outcome of his larger philosophy of nature. His primary goal was to understand essential natures, not to explore quantitative relationships between such incidental factors as the space-time (or place-time) coordinates applicable to a moving body; even an exhaustive investigation of the latter gives us no useful information about the former. You may criticize Aristotle, if you like, for not being interested in whatever interests modern scientists, but we do not thereby learn anything significant about Aristotle.

Motion in the celestial sphere is an altogether different sort of phenomenon. The heavens, composed of the incorruptible quintessence, possess no contraries and are therefore incapable of qualitative change. It might seem fitting for such a region to be absolutely motionless, but this hypothesis is defeated by the most casual observation of the heavens. Aristotle therefore assigned to the heavens the most perfect of motions—continuous uniform circular motion. Besides being the most perfect of motions, uniform circular motion appears to have the capability of explaining the observed celestial cycles.

By Aristotle's day, these cycles had been an object of study for centuries in the Greek world and for millennia in its predecessor civilizations. It was understood that the "fixed" stars move with perfect uniformity, as though fixed to a uniformly rotating sphere, with a period of rotation of approximately one day. But there were seven stars, the wandering stars or planets, that displayed a more intricate motion, apparently crawling around on the stellar sphere as it went through its daily rotation. These seven were the Sun, Moon, Mercury, Venus, Mars, Jupiter, and Saturn. The sun crawls slowly (about 1/day), west to east with small variations in speed, through the sphere of fixed stars along a path called the ecliptic, which passes through the center of the zodiac (see fig. 2.6).

The moon follows approximately the same course, but at the more rapid rate of about 12/day. The remaining planets also move along the ecliptic (or in its vicinity) with variable speed and with an occasional reversal of direction.

Are such complex motions compatible with the requirement of uniform circular motion in the heavens? Eudoxus, a generation before Aristotle, had already shown that they are. I will return to this subject in chap. 5; for the moment, it will be sufficient to point out that Eudoxus treated each complex planetary motion as a composite of a series of simple uniform circular movements.

He did this by assigning to each planet a set of concentric spheres, and to each sphere one component of the complex planetary motion. Aristotle took over this scheme, with various modifications. When he was finished, he had produced an intricate piece of celestial machinery, consisting of fifty-five planetary spheres plus the sphere of the fixed stars.

What is the cause of movement in the heavens? Aristotle's natural philosophy would not allow such a question to go unasked. The celestial spheres are composed, of course, of the quintessence; their motion, being eternal, must be natural rather than forced. The cause of this eternal motion must itself be unmoved, for if we do not postulate an unmoved mover, we quickly find ourselves trapped in an infinite regress: a moving mover must have acquired its motion from yet another moving mover, and so on. Aristotle identified the unmoved mover for the planetary spheres as the "Prime Mover," a living deity representing the highest good, wholly actualized, totally absorbed in self-contemplation, nonspatial, separated from the spheres it (or he or she) moves, and not at all like the traditional anthropomorphic Greek gods. How, then, does the Prime Mover or Unmoved Mover cause motion in the heavens? Not as efficient cause, for that would require contact between the mover and the moved, but as final cause. That is, the Prime Mover is the object of desire for the celestial spheres, which endeavor to imitate its changeless perfection by assuming eternal, uniform circular motions. Any reader who has followed this much of Aristotle's discussion would be justified in assuming that there is a single Unmoved Mover for the entire cosmos; it comes as something of a surprise, therefore, when Aristotle announces that, in fact, each of the celestial spheres has its own Unmoved Mover, the object of its affection and the final cause of its motion.