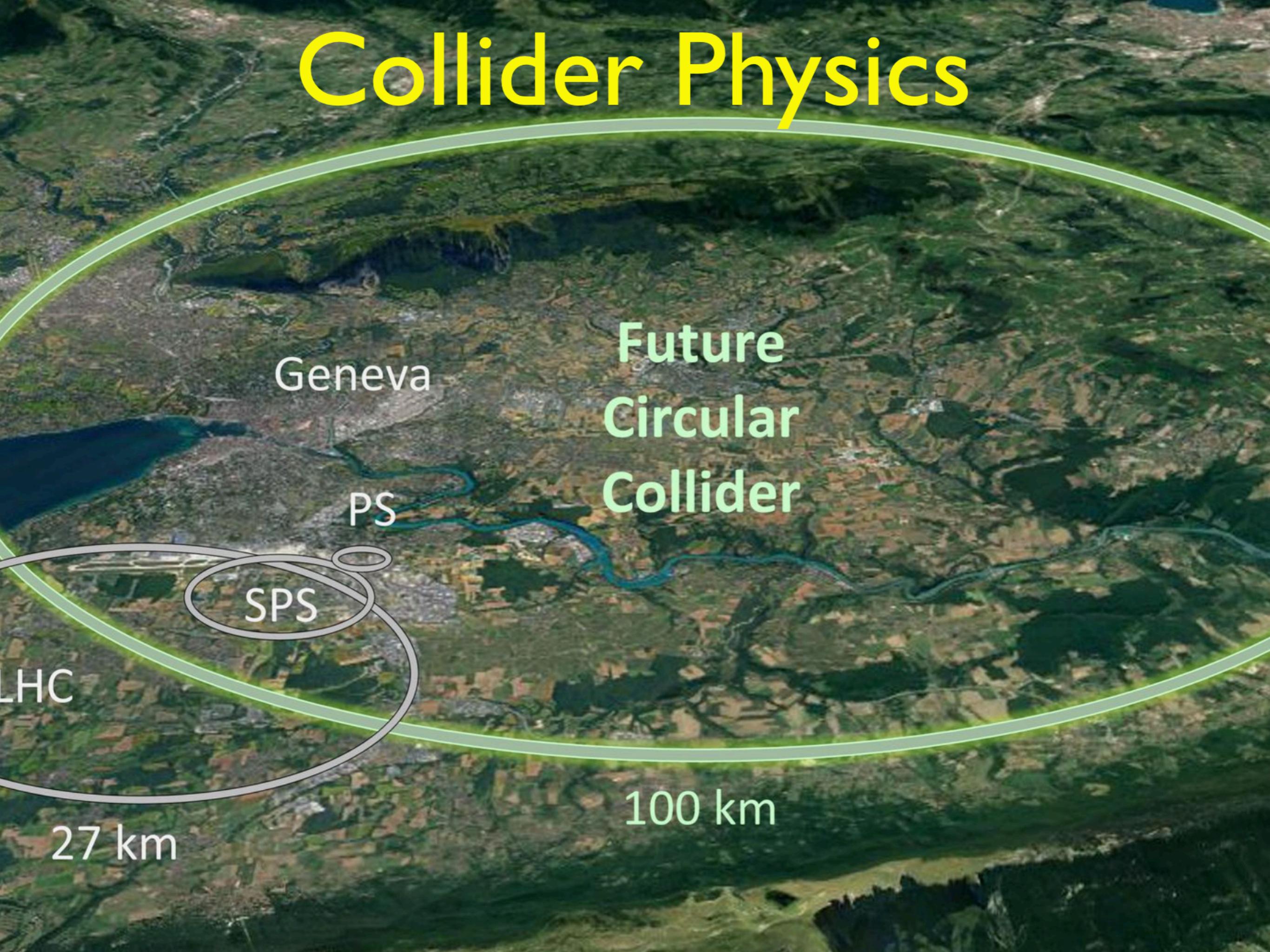


# Collider Physics



Geneva

Future  
Circular  
Collider

PS

SPS

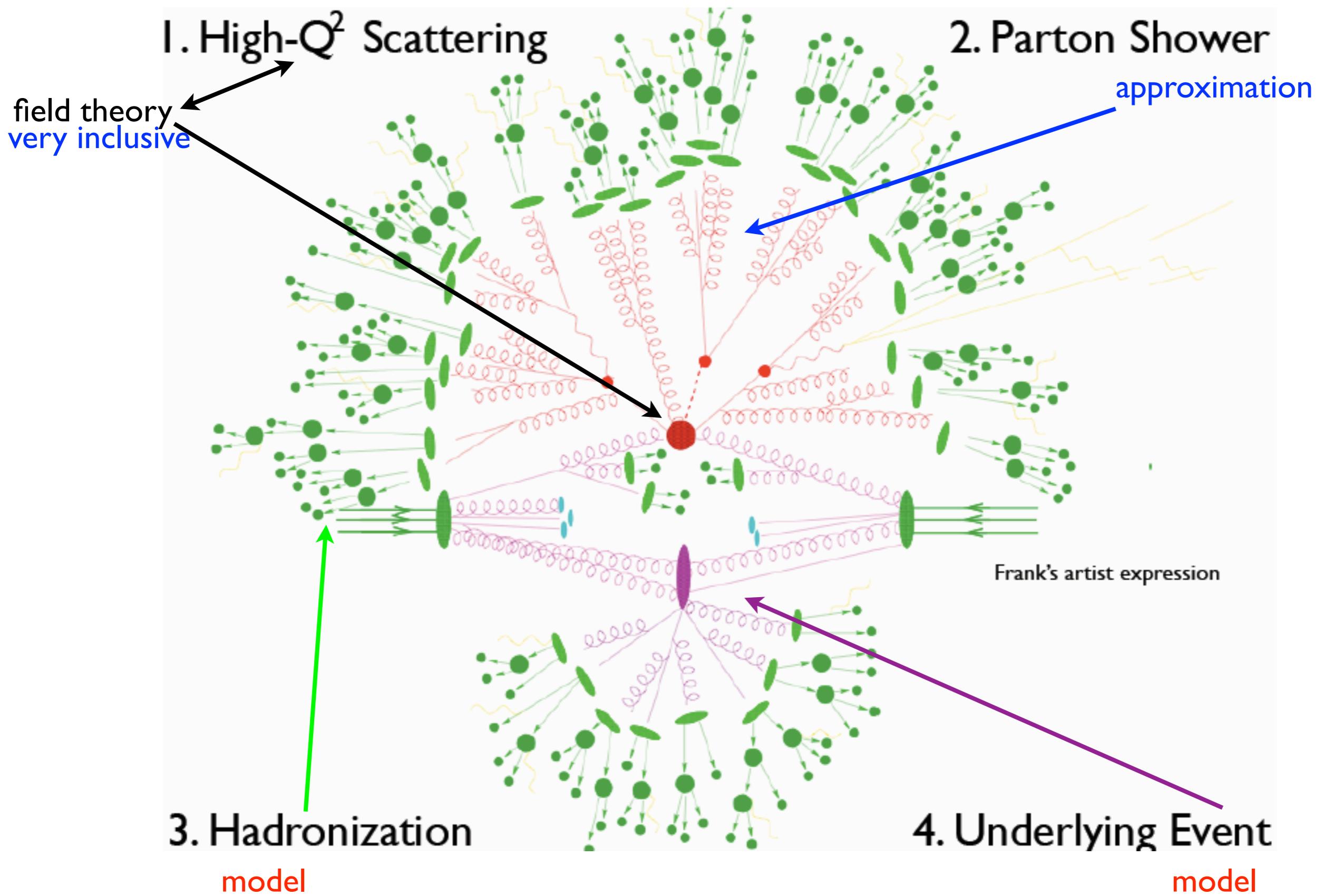
LHC

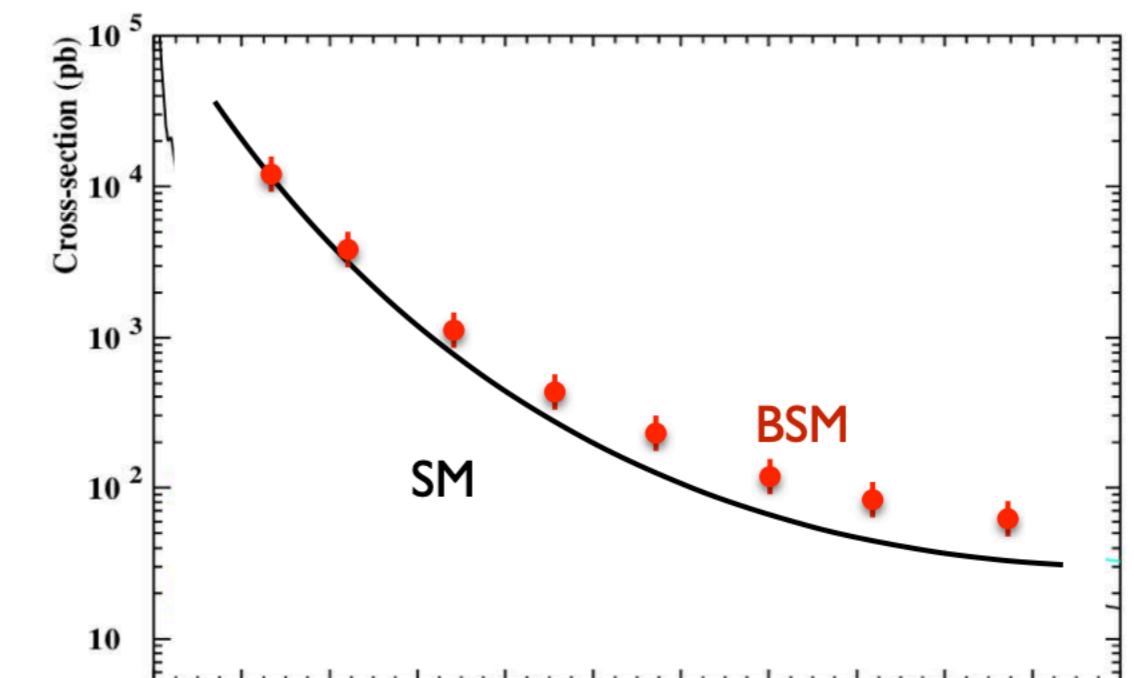
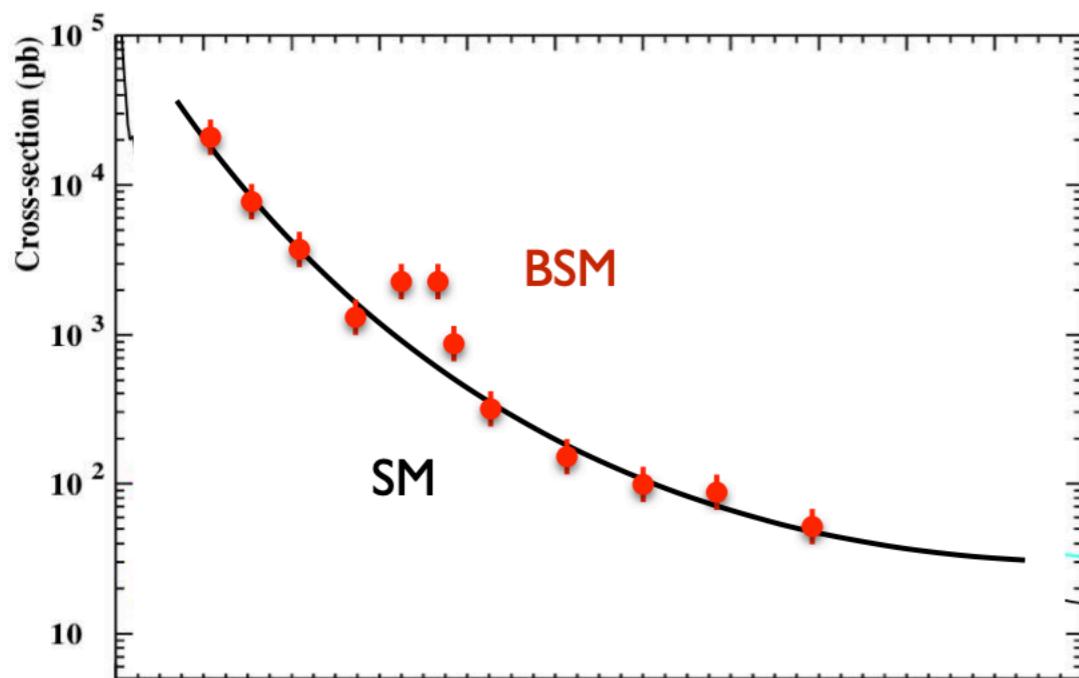
27 km

100 km

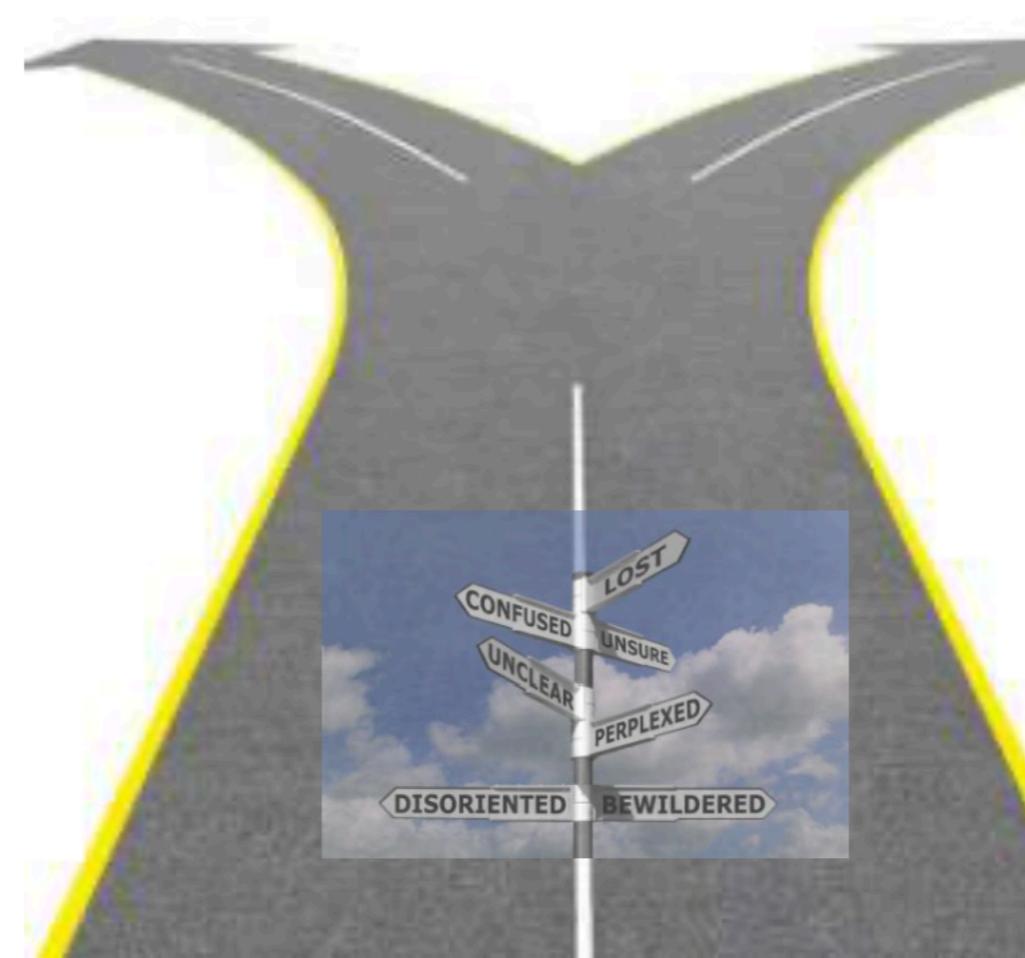
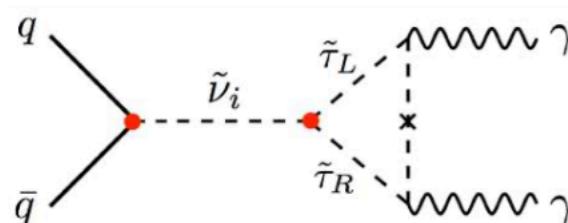
# Motivation:

“Elements” of a collision

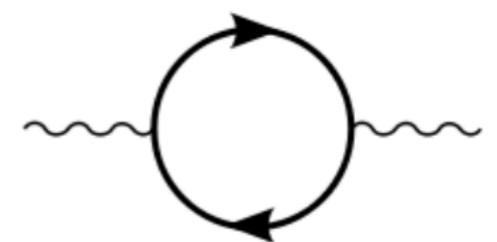




Search for  
new *states*  
Resonances  
“Descriptive TH”



Search for new  
*interactions*  
Deviations from TH  
“Precision TH”



[From Daniel de Florian @ ICTP-SAIFR]

# pQCD basic

$$\beta(\alpha) = \mu \frac{d}{d\mu} \alpha(\mu) / \alpha.$$

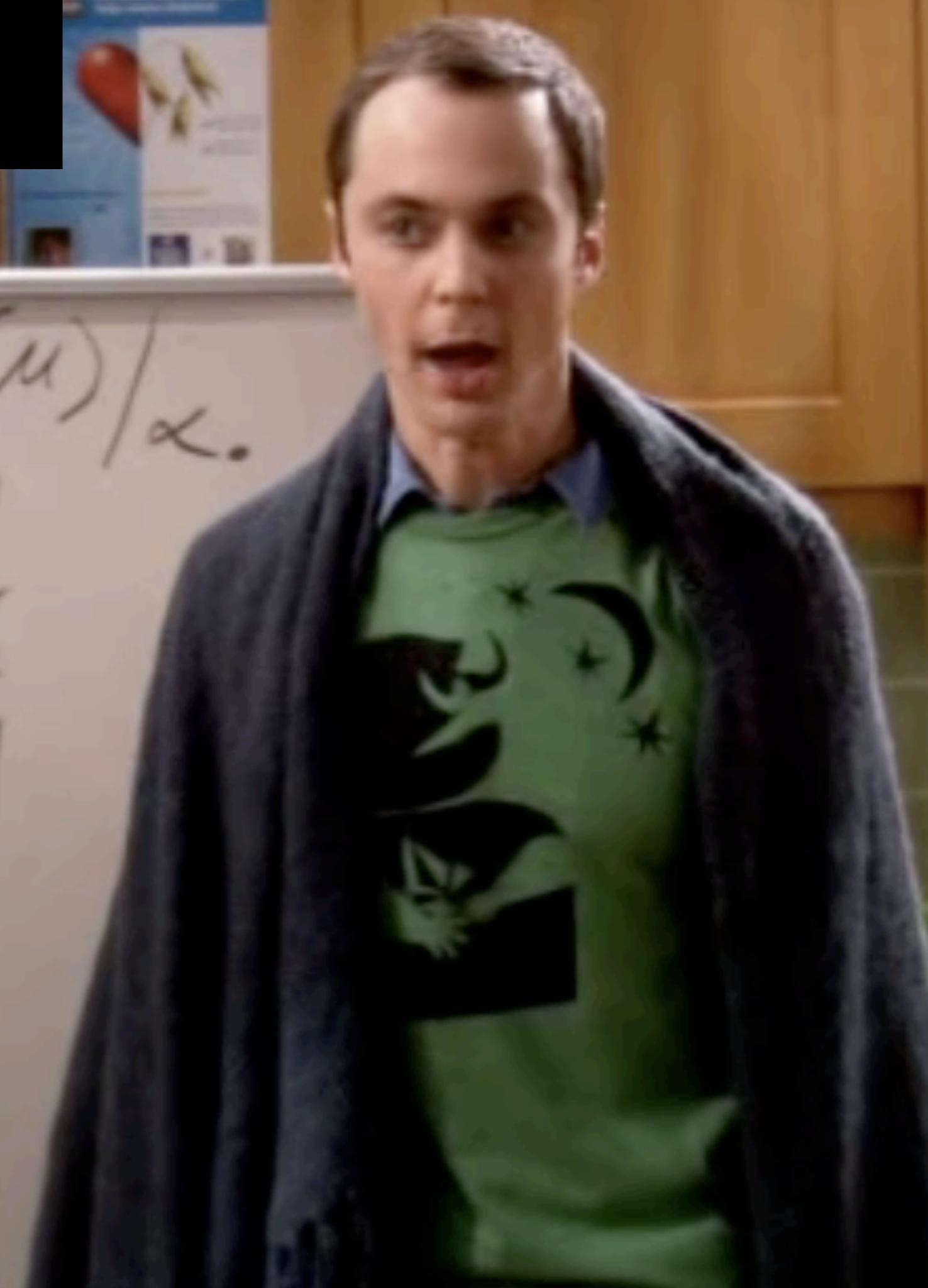
$$[m\Omega m^*] \quad [m\Omega^* m]$$

$$\beta = \frac{\alpha^2}{\pi} b_1 + \left( \frac{\alpha^2}{\pi} \right)^2 b_2$$

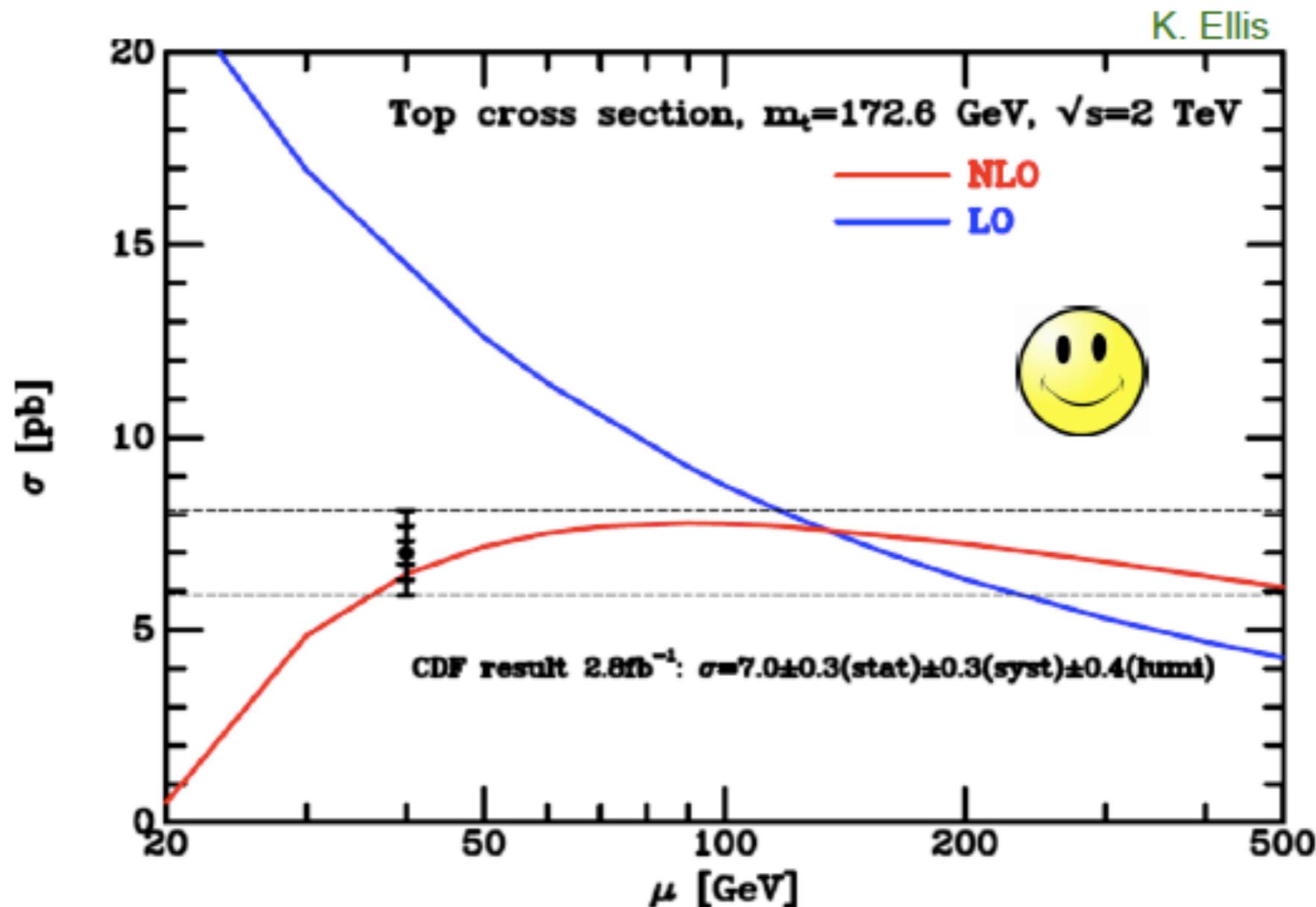
$$b_1 = \left[ -\frac{11N}{6} + \frac{2}{3} \sum Q_R T_R \right]$$

$$= \left[ -\frac{11}{2} + \frac{nf}{3} \right]$$

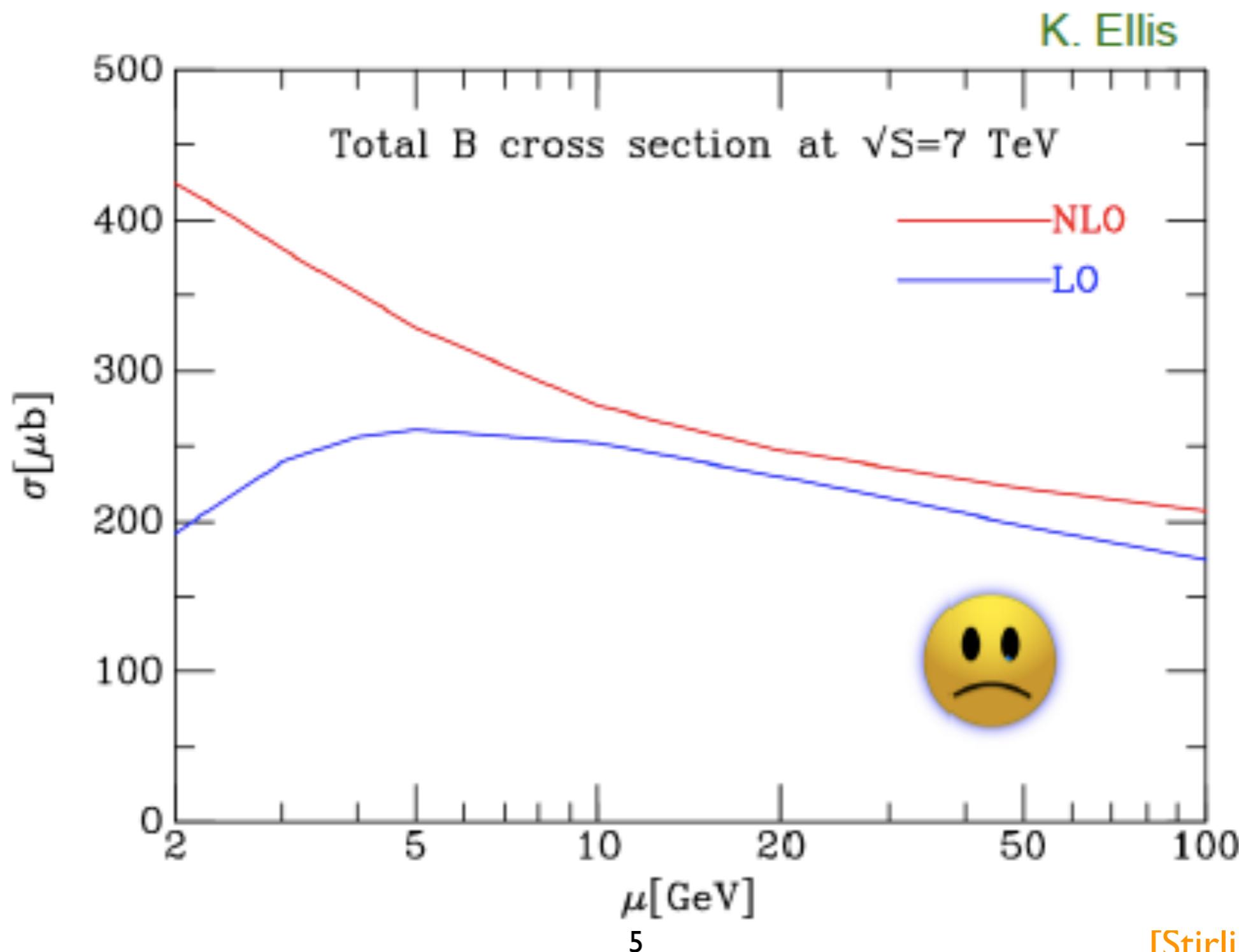
$$\Rightarrow \beta < 0$$



- In order to have precise predictions working at LO might not be enough

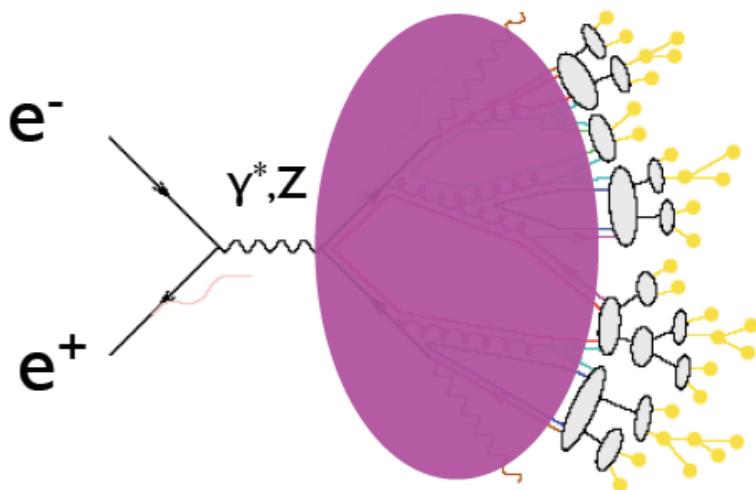


- In order to have precise predictions working at LO might not be enough

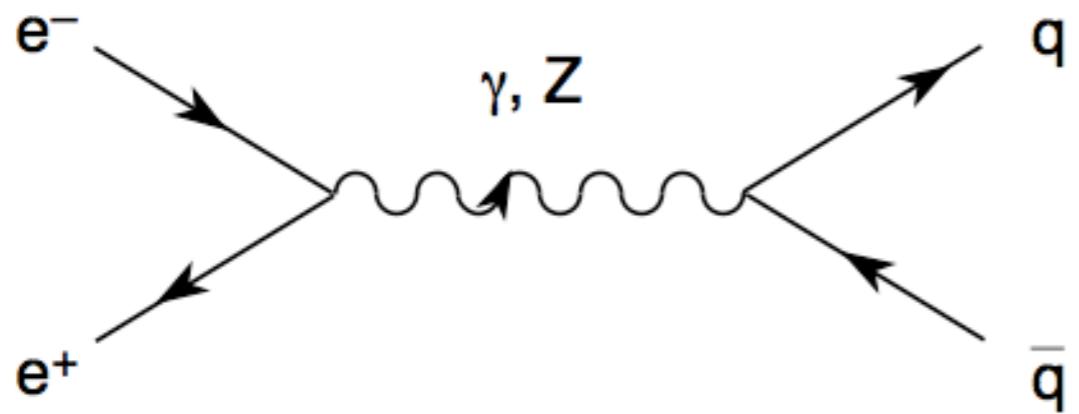


# NLO QCD in $e^+e^-$ colliders

## Total Cross Section



- ➡ Can we use pQCD despite confinement? “YES”



- \* The  $\gamma/Z$  virtuality is  $Q = \sqrt{s}$
- \* Production occurs at a distance  $\simeq \frac{1}{Q}$
- \*  $Q$  is large  $\implies$  pQCD applicable

- ➡ Hadronization changes quarks and gluons to hadrons.
- ➡ Hadronization takes place at a scale  $\frac{1}{\Lambda}$ .
- ➡ The change in the outgoing state occurs too late to modify the probability of the event to happen!
- ➡ Details of the final state certainly are changed.

## Lowest Order Result ( $\alpha_s^0$ )

- For simplicity, we neglect the  $Z$  contribution (i.e.  $\sqrt{s} \ll M_Z$ )

$$\frac{d\sigma_0}{d \cos \theta} = \frac{\pi \alpha^2 Q_f^2}{2s} N_c (1 + \cos^2 \theta) \implies \sigma_0 = \frac{4\pi \alpha^2}{3s} N_c Q_f^2$$

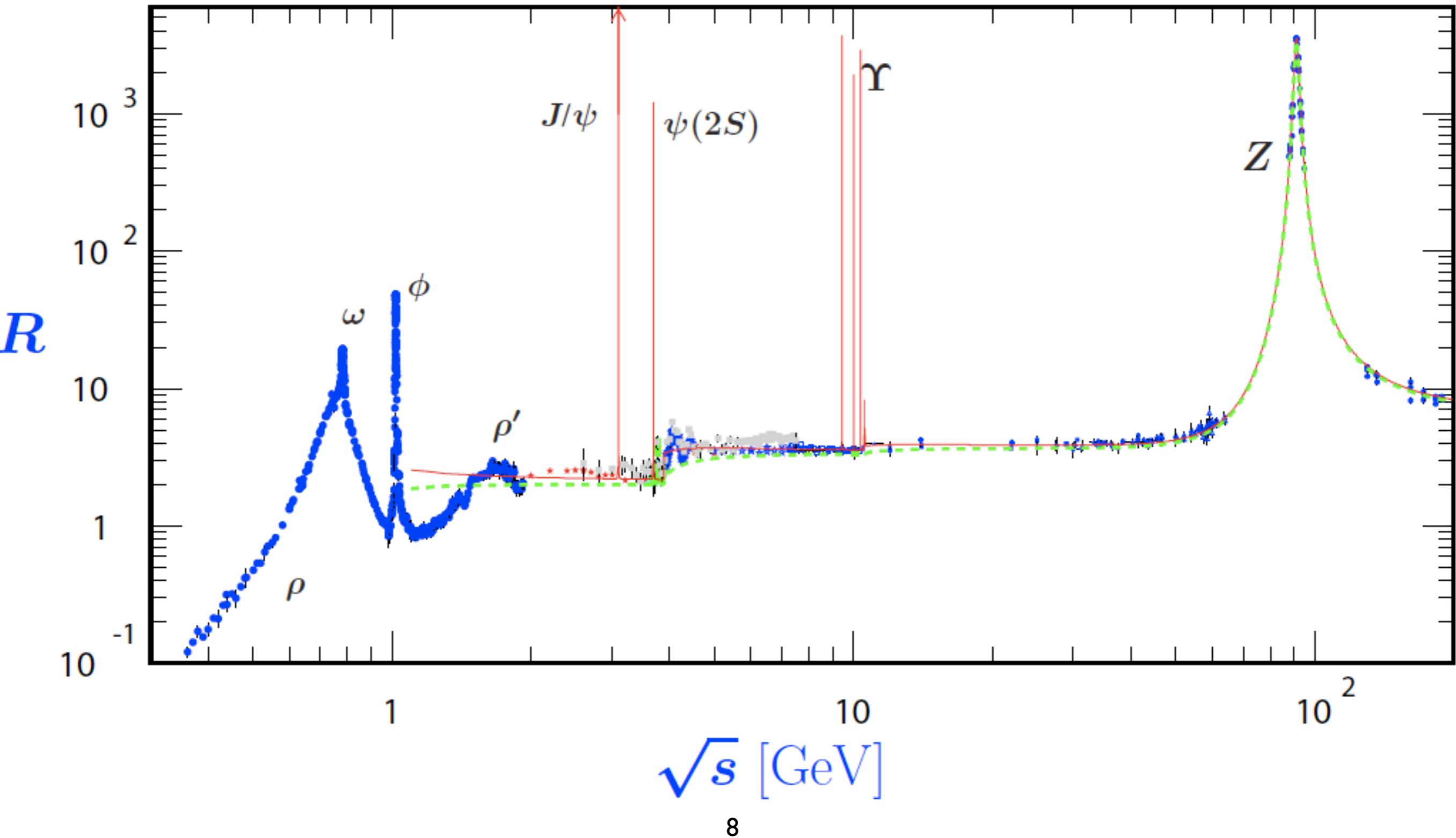
leading to

$$R_0 \equiv \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = N_c \sum_q Q_q^2$$

- At the  $Z$  pole (i.e. neglecting  $\gamma$ ), we have

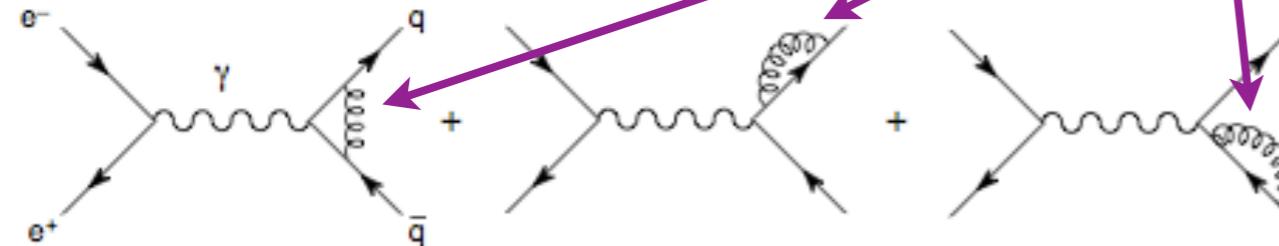
$$R_0 = N_c \frac{\sum_q (A_q^2 + V_q^2)}{A_\mu^2 + V_\mu^2}$$

$$R = \sigma(e^+e^- \rightarrow \text{hadrons})/\sigma(e^+e^- \rightarrow \mu^+\mu^-)$$

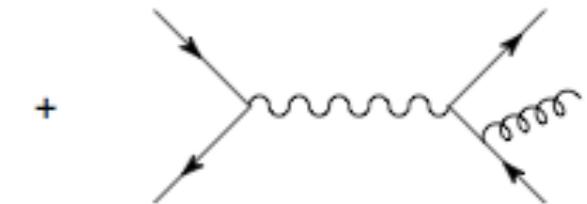
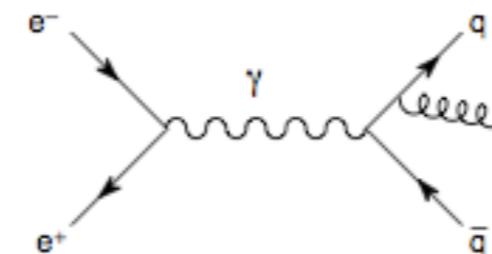


## Next Order Correction ( $\alpha_s^1$ )

>We should evaluate



$$\int \frac{d^4 k}{k^4}$$



Writing  $\mathcal{M}^P = \mathcal{M}_0^P + \mathcal{M}_1^P$ , the  $\alpha_s$  contribution has the form

$$\int d\Phi_2 \left[ 2 \operatorname{Re} \left( \mathcal{M}_0^{2 \rightarrow 2} \right)^\dagger \mathcal{M}_1^{2 \rightarrow 2} \right] + \int d\Phi_3 |\mathcal{M}_0^{2 \rightarrow 3}|^2$$

After adding all contributions the UV divergences cancel out (Ward identity). The same happens for the IR ones!

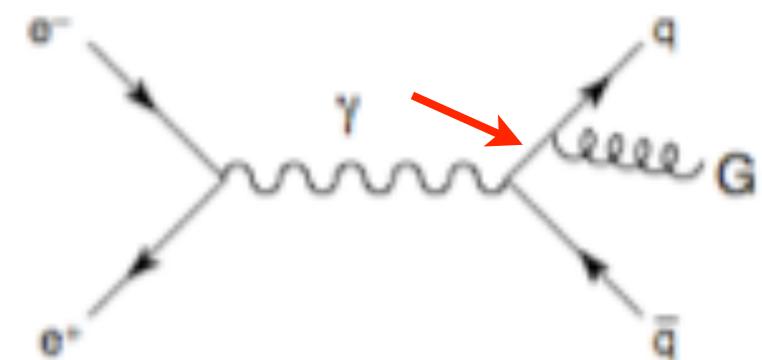
$$R = R_0 \left( 1 + \frac{\alpha_s(\mu)}{\pi} \right) \longrightarrow R_0 \left( 1 + \frac{\alpha_s(\sqrt{s})}{\pi} \right)$$

At the  $Z$  pole, the NLO corrections  $\simeq 4\%$

► There is NO renormalization for IR divergences. They indicate sensitivity to long range physics.

► The IR singularities are not physical: they indicate the breakdown of the perturbative approach.

► Origin of the IR singularities in  $e^+e^- \rightarrow q\bar{q}g$

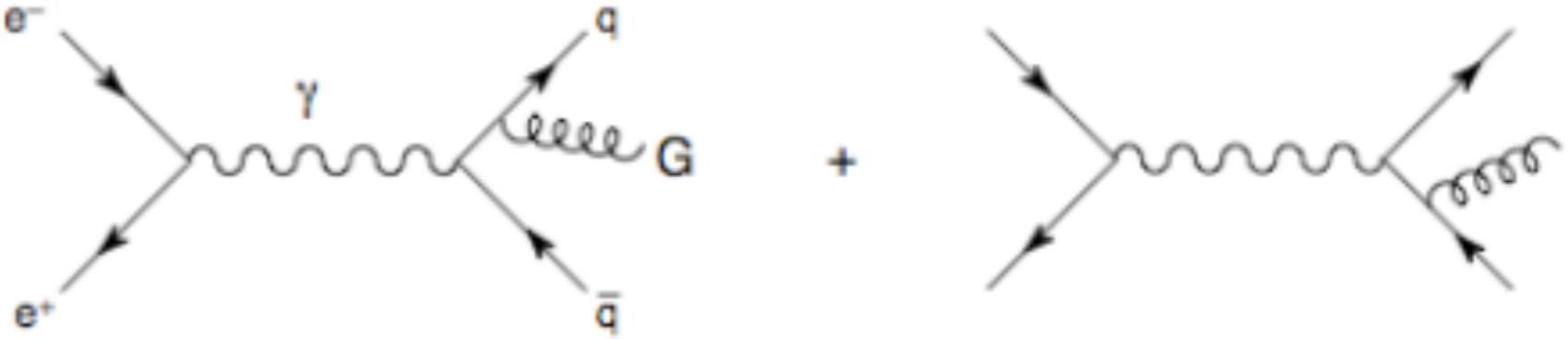


$$\frac{1}{(p_q + p_g)^2} = \frac{1}{2p_q \cdot p_g} = \frac{1}{2E_q E_g (1 - \cos \theta_{qg})}$$

► The phase space integration helps but doesn't cure the IR divergence

## $e^+e^- \rightarrow q\bar{q}g$ contribution

➡ We have to evaluate



➡ It is useful to define

$$x_1 = \frac{2E_q}{\sqrt{s}} = 1 - \frac{x_2 E_g}{\sqrt{s}} (1 - \cos \theta_{qg})$$

$$x_2 = \frac{2E_{\bar{q}}}{\sqrt{s}} = 1 - \frac{x_1 E_g}{\sqrt{s}} (1 - \cos \theta_{\bar{q}g})$$

➡ The three body phase space is (I'm sloppy with  $\pi$ 's)

$$d\Phi_3 \cong d\alpha \, d\beta \, d\gamma \, dx_1 \, dx_2$$

⇒ Doing the algebra and performing the angular integrals, we obtain

$$\sigma^{q\bar{q}g} = \sigma_0 N_c \sum_q Q_q^2 \int dx_1 dx_2 \frac{2\alpha_s}{3\pi} \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$

with  $0 \leq x_1$  ( $x_2$ )  $\leq 1$  and  $x_1 + x_2 \geq 1$ .

⇒ This integral is divergent for  $x_{1(2)} \rightarrow 1$  This corresponds to

- \* soft gluon limit  $E_g \rightarrow 0$ ;

- \* the gluon is collinear with the quark  $\theta qg \rightarrow 0$ ;

- \* the gluon is collinear with the antiquark  $\theta \bar{q}g \rightarrow 0$ .

⇒ The origin of the singularities is the massless quark (antiquark) propagator

$$\frac{1}{(p_q + p_g)^2} = \frac{1}{2p_q \cdot p_g} = \frac{1}{2E_q E_g (1 - \cos \theta_{qg})}$$

- Unlike UV divergences, there is no renormalization for the IR ones. They indicate sensitivity to long range physics like masses, hadronization process, etc.
- The singularities are not physical**; they indicate the breakdown of the perturbative approach. Quarks and gluons are never on mass-shell-particles and we can not ignore the effects of confinement at a scale  $\simeq 1 \text{ GeV}$ .
- General form of the IR divergences. Writing the born term as

$$\mathcal{M} = \bar{u}(p_q) \epsilon^\mu \gamma_\mu v(p_{\bar{q}}) \quad \text{with} \quad \mathcal{N} = \epsilon^\mu \gamma_\mu v(p_{\bar{q}}) \quad \Rightarrow \quad \mathcal{M} = \bar{u}(p_q) \mathcal{N}$$

- The gluon emission from the quark line leads to

$$\mathcal{M}_1 = \bar{u}(p_q) (-i) \gamma_\alpha i \frac{p'_q + p'_g}{(p_q + p_g)^2} \mathcal{N}$$

→ In the limit  $p_g \rightarrow 0$

$$\mathcal{M}_1 = \bar{u}(p_q) \frac{\gamma_\alpha p'_q}{(p_q + p_g)^2} \mathcal{N} = \bar{u}(p_q) \frac{2p_{q\alpha}}{2p_q \cdot p_g} \mathcal{N} = \frac{p_{q\alpha}}{p_q \cdot p_g} \mathcal{M}$$

→ The total amplitude for gluon emission is this limit is

$$\mathcal{M}_{q\bar{q}g} = \left( \frac{p_{q\alpha}}{p_q \cdot p_g} - \frac{p_{\bar{q}\alpha}}{p_{\bar{q}} \cdot p_g} \right) \mathcal{M}$$

$$|\mathcal{M}|_{q\bar{q}g}^2 = 2 \frac{p_q \cdot p_{\bar{q}}}{(p_q \cdot p_g)(p_{\bar{q}} \cdot p_g)} |\mathcal{M}|^2.$$

→ After including the  $d\Phi_3$  we obtain (explain!)

$$\sigma^{q\bar{q}g} = \frac{2\alpha_s}{3\pi} \sigma_{q\bar{q}} \int d\cos\theta_{qg} \frac{dE_g}{E_g} \frac{4}{(1 - \cos\theta_{qg})(1 + \cos\theta_{qg})}.$$

the quark and antiquark are basically back to back in this limit.

- ➡ The perturbative expansion for the production of quarks and gluons is ill defined. However, it well defined for the “production of hadrons”.
- ➡ We should introduce a regulator. For us, the number of dimensions  $D = 4 - 2\epsilon$ . Doing so

$$\sigma^{q\bar{q}g} = \sigma_0 N_c \sum_q Q_q^2 H(\epsilon) \int dx_1 dx_2 \frac{2\alpha_s}{3\pi} \frac{x_1^2 + x_2^2 - \epsilon(2 - x_1 - x_2)}{(1 - x_1)^{1+\epsilon}(1 - x_2)^{1+\epsilon}}$$

with

$$H(\epsilon) = \frac{3(1 - \epsilon)^2}{(3 - 2\epsilon)\Gamma(2 - 2\epsilon)} = 1 + \mathcal{O}(\epsilon)$$

resulting in

$$\sigma^{q\bar{q}g} = \sigma_0 N_c \sum_q Q_q^2 H(\epsilon) \frac{2\alpha_s}{3\pi} \left[ +\frac{2}{\epsilon^2} + \frac{3}{\epsilon} + \frac{19}{2} + \mathcal{O}(\epsilon) \right]$$

## Virtual corrections to $e^+e^- \rightarrow q\bar{q}$

- ☞ Using dimensional regularization to evaluate the loop corrections we obtain

$$\sigma^{q\bar{q}g^*} = \sigma_0 N_c \sum_q Q_q^2 H(\epsilon) \frac{2\alpha_s}{3\pi} \left[ -\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \mathcal{O}(\epsilon) \right]$$

- ☞ The cross section for the production of gluons and quarks at order  $\alpha_s$  is finite leading to

$$R = N_c \sum_q Q_q^2 \left\{ 1 + \frac{\alpha_s}{\pi} \left( 1 + 0.448 \alpha_s - 1.30 \alpha_s^2 \right) + \mathcal{O}(\alpha_s^4) \right\}$$

- Does the parton model make sense when we include QCD corrections?

## Virtual Gluon Contributions

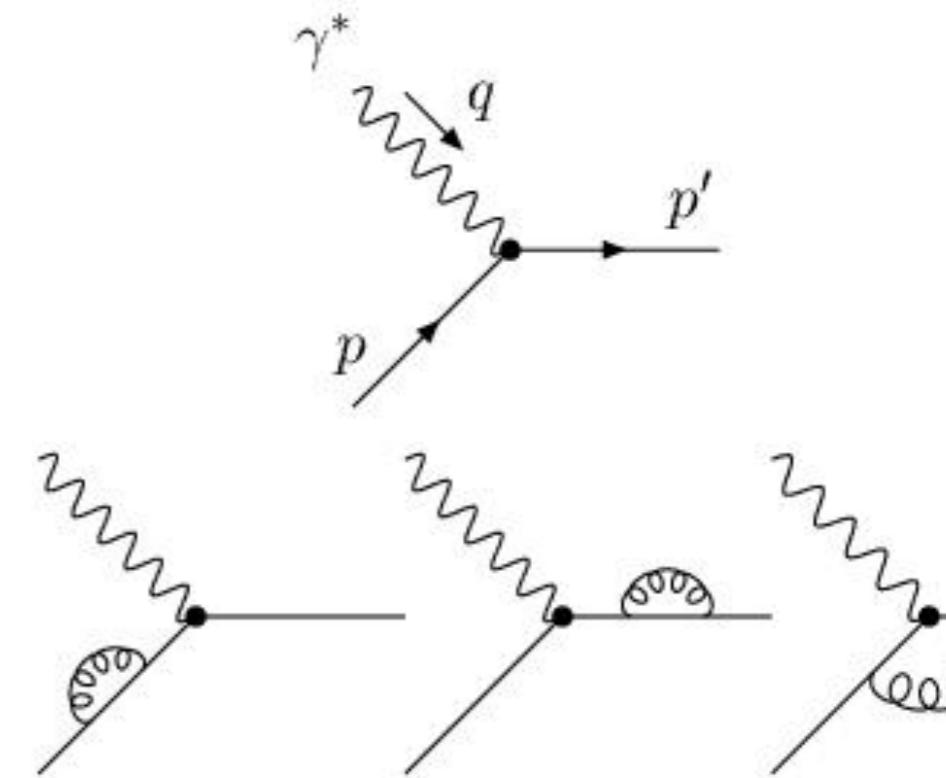
★  $M_{virt}^\mu = -ie_q \bar{u}(p') \gamma^\mu (1+\alpha_s V) u(p)$

★ Using the Landau gauge  $\implies$  only the vertex contributes.

★ There are only IR divergences.

★  $V = \frac{C_F}{4\pi} \left( \frac{4\pi\mu^2}{Q^2} \right)^\epsilon \frac{\Gamma(1+\epsilon) \Gamma^2(1-\epsilon)}{\Gamma(1-2\epsilon)} \left( -\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 \right)$

★ The contribution to  $p_\mu p_\nu W_{virt}^{\mu\nu} = 0$  (current conservation).

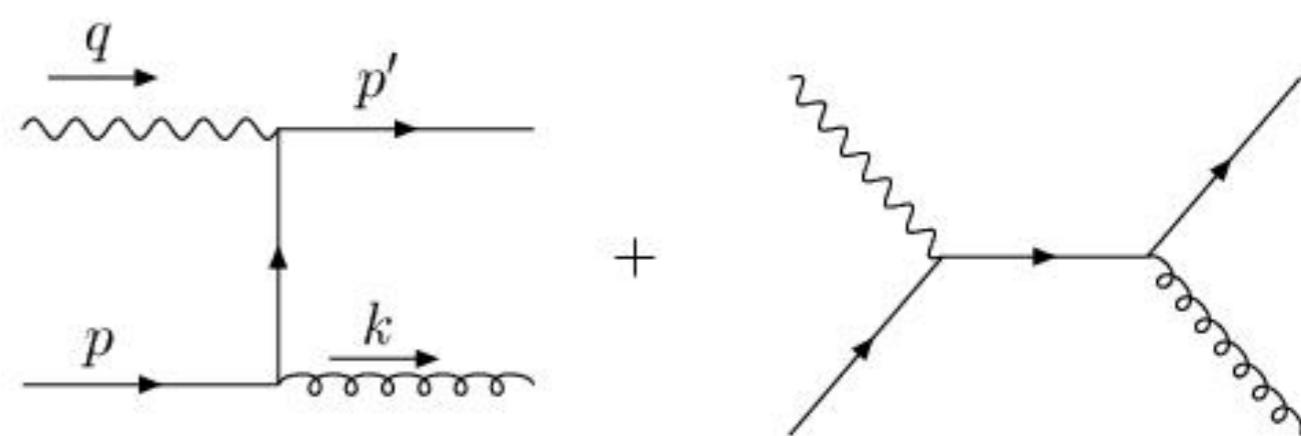


★ Finally

$$\frac{F_2}{x} \Big|_{virt} = \sum_q Q_q^2 \int_x^1 \frac{dy}{y} q_0(y) \delta(1 - y/x) \times \\ \left\{ 1 + \frac{\alpha_s}{2\pi} C_F \left( \frac{4\pi\mu^2}{Q^2} \right)^\varepsilon \frac{\Gamma(1-\varepsilon)}{\Gamma(1-2\varepsilon)} \left( -\frac{2}{\varepsilon^2} - \frac{3}{\varepsilon} - 8 - \frac{\pi^2}{3} \right) \right\} .$$

## Real Gluon Emission

- $g_{\mu\nu} W^{\mu\nu}$  and  $p_\mu p_\nu W^{\mu\nu}$  contribute.
- There are IR divergences for the 1st diagram  $\simeq \frac{1}{2p \cdot k}$



$$\sigma^{(\gamma^* q \rightarrow qg)} = \frac{\alpha_s C_F}{2\pi} \int \sigma^{(\gamma^* q \rightarrow q)}(zp) \frac{1+z^2}{1-z} \frac{d\ell_T^2}{\ell_T^2} dz$$

- After doing the algebra and some tricks, we get ( $z = y/x$ )

$$\begin{aligned} \left. \frac{F_2}{x} \right|_q &= \frac{\alpha_s}{2\pi} C_F \left( \frac{4\pi\mu^2}{Q^2} \right)^\varepsilon \frac{\Gamma(1-\varepsilon)}{\Gamma(1-2\varepsilon)} \otimes \\ &\sum_q Q_q^2 \int_x^1 \frac{dy}{y} q_0(y) \left\{ \frac{2}{\varepsilon^2} \delta(1-y/x) + \frac{3}{2\varepsilon} \delta(1-y/x) - \frac{1}{\varepsilon} \frac{1+z^2}{(1-z)_+} \right. \\ &\left. + \text{finite terms} \right\} \end{aligned}$$

where

$$\int_0^1 dx g(x) [f(x)]_+ \equiv \int_0^1 dx (g(x) - g(1)) f(x)$$

- Notice: that the IR divergences do NOT cancel when we add these two contributions! Not the whole story yet!!!!

## Initial State Gluons

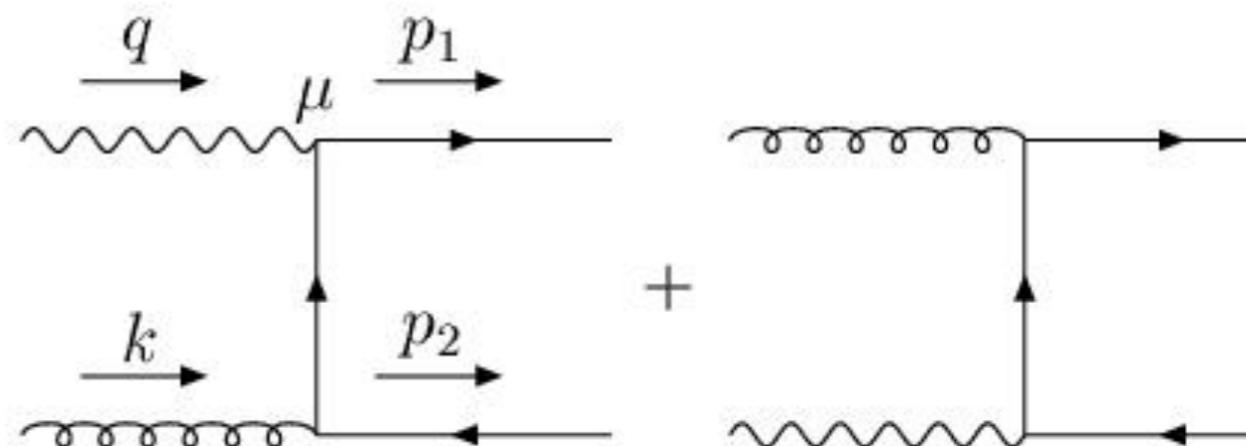
- + Inclusive reaction  $\gamma^* g \rightarrow q\bar{q}$ .

- + There are collinear divergences in  $W_T$ .

- +  $W_L$  is finite.

- + Defining  $z = x/y$

$$\frac{F_2}{x} \Big|_g = \frac{\alpha_s}{2\pi} \frac{1}{1-\varepsilon} \sum_q Q_q^2 \int_x^1 dy g(y) z \times \\ \left\{ [z^2 + (1-z)^2] \left( -\frac{1}{\varepsilon} \frac{\Gamma(1-\varepsilon)}{\Gamma(1-2\varepsilon)} + \ln \frac{Q^2}{4\pi\mu^2} \right) + 6z(1-z) \right\}$$



- + Collinear divergences are not physical ( $m_q, m_g$ , etc)  
 $\implies$  sensitivity to low scale physics.

- We can save the model  $\implies$  redefine pdf's! They will depend on  $Q^2$ .
- We define the quarks pdf's through (DIS scheme) (there are others)

$$F_2(x, Q^2) \equiv \sum_q e_q^2 x [q(x, Q^2) + \bar{q}(x, Q^2)]$$

since

$$\frac{F_2}{x} = \frac{F_2}{x} \Big|_{virt} + \frac{F_2}{x} \Big|_q + \frac{F_2}{x} \Big|_g$$

we have (including  $\frac{1}{2}$  of  $F_g$  to quarks) explain pieces!

$$z = \frac{x}{y}$$

$$\begin{aligned} q(x, Q_F^2) &= q_0(x) \\ &+ \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} q_0(y) \left[ \left( -\frac{1}{\bar{\varepsilon}} + \ln \frac{Q_F^2}{\mu^2} \right) P_{qq}(z) + F_{qq}(z) \right] \\ &+ \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} g(y) \left[ \left( -\frac{1}{\bar{\varepsilon}} + \ln \frac{Q_F^2}{\mu^2} \right) P_{qg}(z) + F_{qg}(z) \right] \end{aligned}$$

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$$F_2(x, Q^2) \equiv \sum_q e_q^2 x [q(x, Q^2) + \bar{q}(x, Q^2)]$$

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we have (including  $\frac{1}{2}$  of  $F_g$  to quarks) explain pieces!

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## Dokshitzer–Gribov–Lipatov–Altarelli–Parisi Equation

⇒ Deriving  $q(x, Q^2)$  with respect to  $\ln Q^2$  leads to

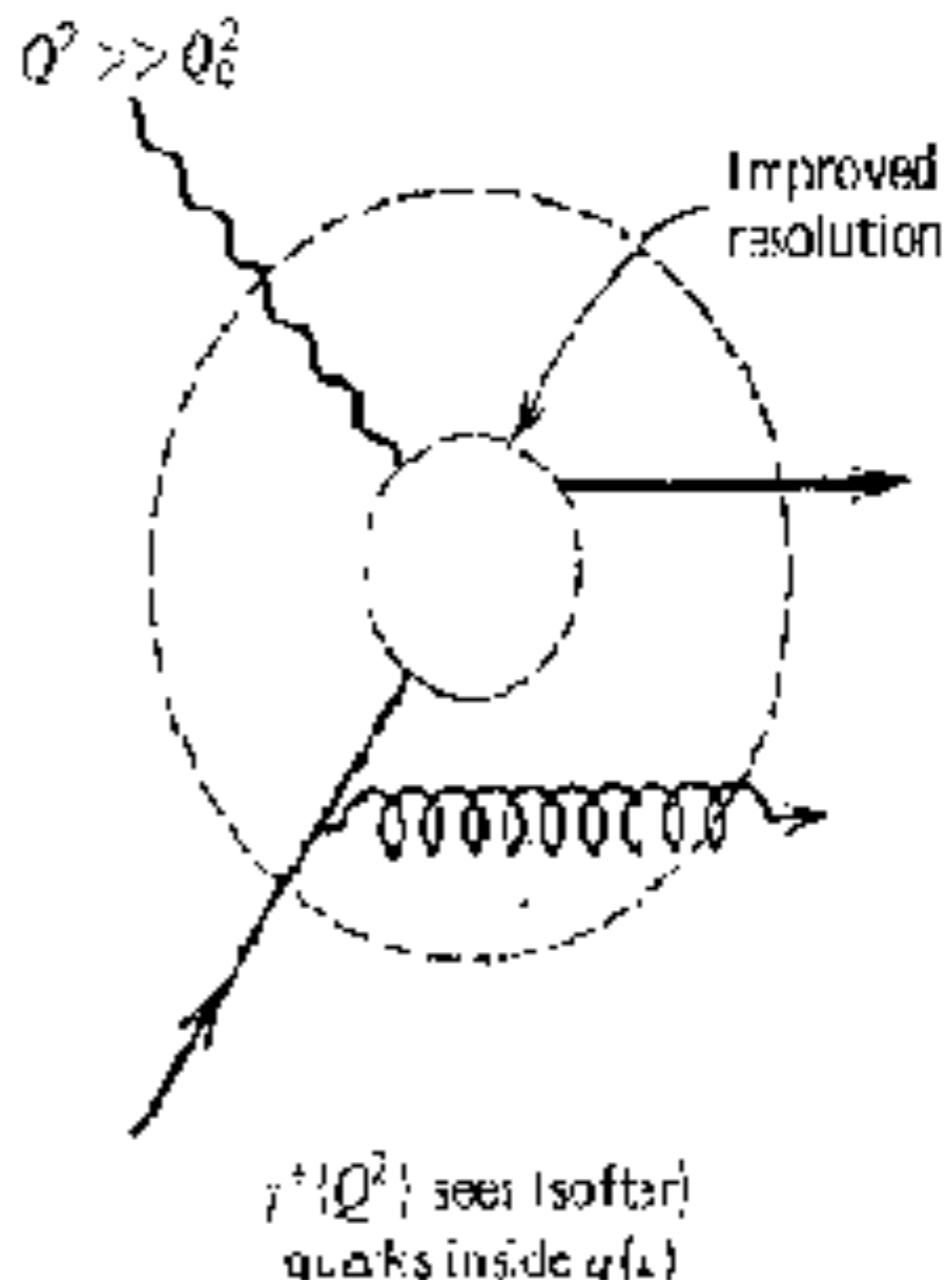
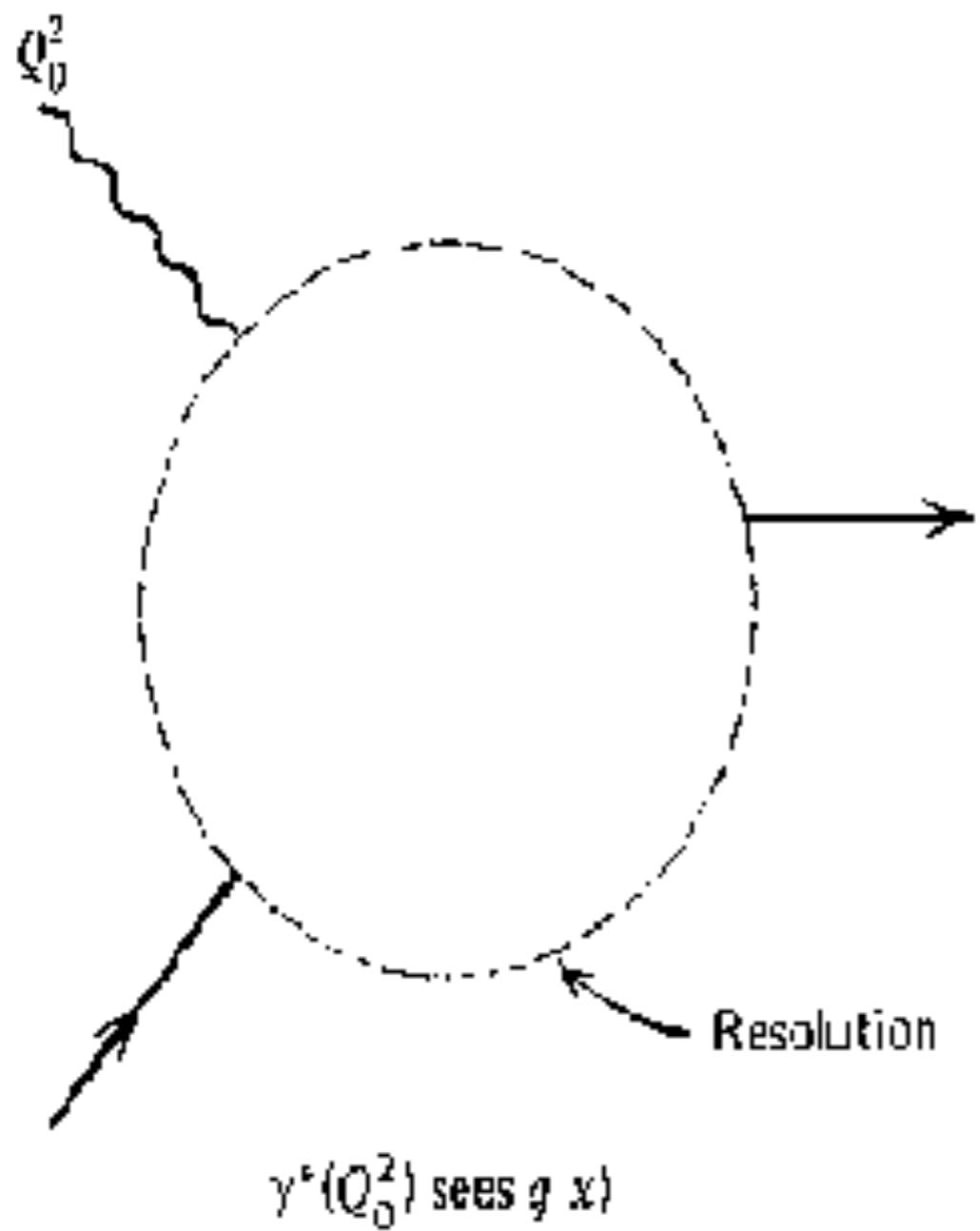
$$\begin{aligned}\frac{d q(x, Q^2)}{d \ln Q^2} &= \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left[ q_0(y) P_{qq} \left( \frac{x}{y} \right) + g(y) P_{qg} \left( \frac{x}{y} \right) \right] \\ &= \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left[ q(y, Q^2) P_{qq} \left( \frac{x}{y} \right) + g(y, Q^2) P_{qg} \left( \frac{x}{y} \right) \right] + \mathcal{O}(\alpha_s^2)\end{aligned}$$

⇒ Knowing  $q(x, Q_0^2)$  and  $g(x, Q_0^2)$  ⇒ we can obtain them for other  $Q^2$  ⇒ predictivity is not lost.

⇒ We can even use it to extract  $\alpha_s$ .

⇒ Physical interpretation:  $\gamma^*$  with  $Q_0^2$  probes scales  $1/Q_0$ .  
Fluctuations have a different lifetime.

☞  $xp = yp \times \frac{x}{y}$



- ☞  $\frac{\alpha_s}{2\pi} P_{qq}(z)$  is the “probability” of the quark to keep a fraction  $z$  of its momentum.

# NLO in hadron colliders

- ➡ The parton model expression for cross sections is

$$\sigma = \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \left\{ f_i(x_1, Q_F^2) f_j(x_2, Q_F^2) + i \leftrightarrow j \right\} \otimes \hat{\sigma}_{ij}(\alpha_s(Q_R^2), Q_R^2, Q_F^2; x_1 x_2 s)$$

short distance, perturbative 

long distance, non-perturbative 

- ➡ Expanding the pdf's and  $\hat{\sigma}$  ( $X = X^{(0)} + X^{(1)} + \dots$ ) the lowest order term is

$$\sigma = \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \left\{ f_i^{(0)}(x_1) f_j^{(0)}(x_2) + i \leftrightarrow j \right\} \otimes \hat{\sigma}_{ij}^{(0)}(x_1 x_2 s)$$

☞ The NLO contribution is obtained through

$$[f_i^{(1)} f_j^{(0)} + f_i^{(0)} f_j^{(1)} + i \leftrightarrow j] \times \hat{\sigma}^{(0)} \oplus [f_i^{(0)} f_j^{(0)} + i \leftrightarrow j] \times \hat{\sigma}^{(1)}$$

☞ The red term contains collinear divergences that are canceled by the divergences in the blue term. Let's consider one example.

## $W^+$ production

☞ For simplicity, let us consider only the initial gluon contribution to  $\bar{q}$ ! What is missing?

$$q_0(x) = q(x, Q_F^2) - \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} g(y) \left[ \left( -\frac{1}{\bar{\varepsilon}} + \ln \frac{Q_F^2}{\mu^2} \right) P_{qg}(z) + F_{qg}(z) \right]$$

and

$$\hat{\sigma}^{(0)} = \frac{\pi^2}{3} \frac{\alpha}{\sin^2 \theta_W} \delta(\hat{s} - M_W^2)$$

⇒ Defining  $\tau_0 = M_W^2/s$  and  $z = \tau_0/(x_1 x_2)$  we have

$$f_i^{(1)} f_j^{(0)} \times \hat{\sigma}^{(0)} = -\frac{\pi \alpha \alpha_s}{12 s \sin^2 \theta_W} \sum_i \int_{\tau_0}^1 dx_1 \int_{\tau_0/x_1}^1 dx_2 q_{0i}(x_1) g(x_2) \otimes \left\{ [z^2 + (1-z)^2] \left( -\frac{1}{\bar{\varepsilon}} \frac{\Gamma(1-\varepsilon)}{\Gamma(1-2\varepsilon)} \right) + G_{finite} \right\}$$

⇒ Evaluating explicitly  $gq \rightarrow W^+ q$  gives rise to

$$f_i^{(0)} f_j^{(0)} \times \hat{\sigma}^{(1)} = \frac{\pi \alpha \alpha_s}{12 s \sin^2 \theta_W} \sum_i \int_{\tau_0}^1 dx_1 \int_{\tau_0/x_1}^1 dx_2 q_{0i}(x_1) g(x_2) \otimes \left\{ [z^2 + (1-z)^2] \left( -\frac{1}{\bar{\varepsilon}} \frac{\Gamma(1-\varepsilon)}{\Gamma(1-2\varepsilon)} \right) + H_{finite} \right\}$$

⇒ The collinear divergences cancel out. We are left with the finite contribution To this order, now, we do  $q_0(x) \rightarrow q(x, Q_F^2)$

- **Scales:**

- The evaluation of  $\hat{\sigma}$  contains a UV divergence => renormalization => remnant of the process is the renormalization scale  $\mu_R$
- Full calculation should not depend on  $\mu_R$  => we can estimate the higher order corrections by the  $\mu_R$  dependence
- At each order, the subprocess cross section and the PDF's have a residual factorization scale dependence on  $\mu_F$ 
  - The residual scale dependence should improve with higher order calculations

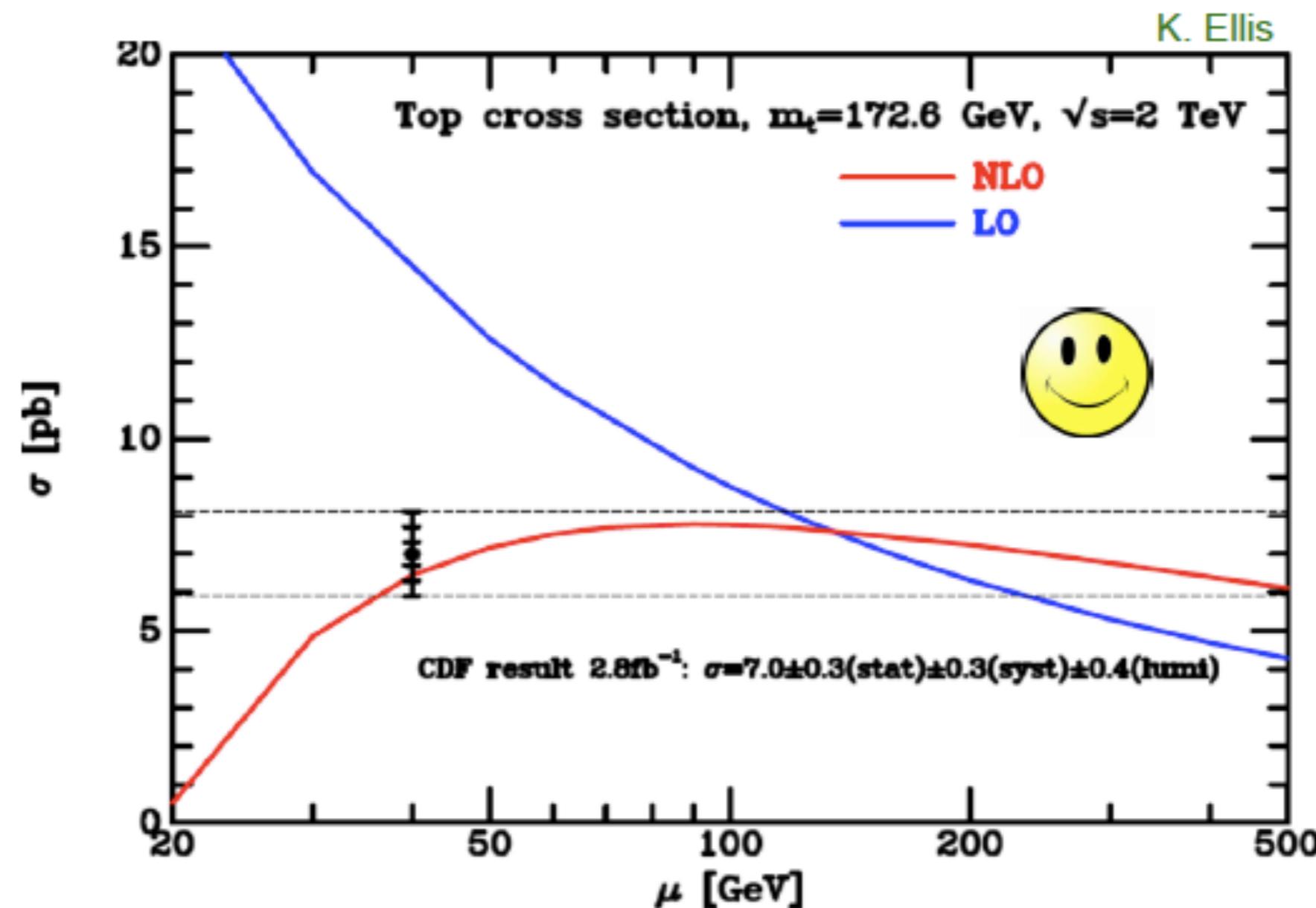
## • Scales:

- The evaluation of  $\hat{\sigma}$  contains a UV divergence => renormalization => remnant of the process is the renormalization scale  $\mu_R$
- Full calculation should not depend on  $\mu_R$  => we can estimate the higher order corrections by the  $\mu_R$  dependence
- At each order, the subprocess cross section and the PDF's have a residual factorization scale dependence on  $\mu_F$
- The residual scale dependence should improve with higher order calculations



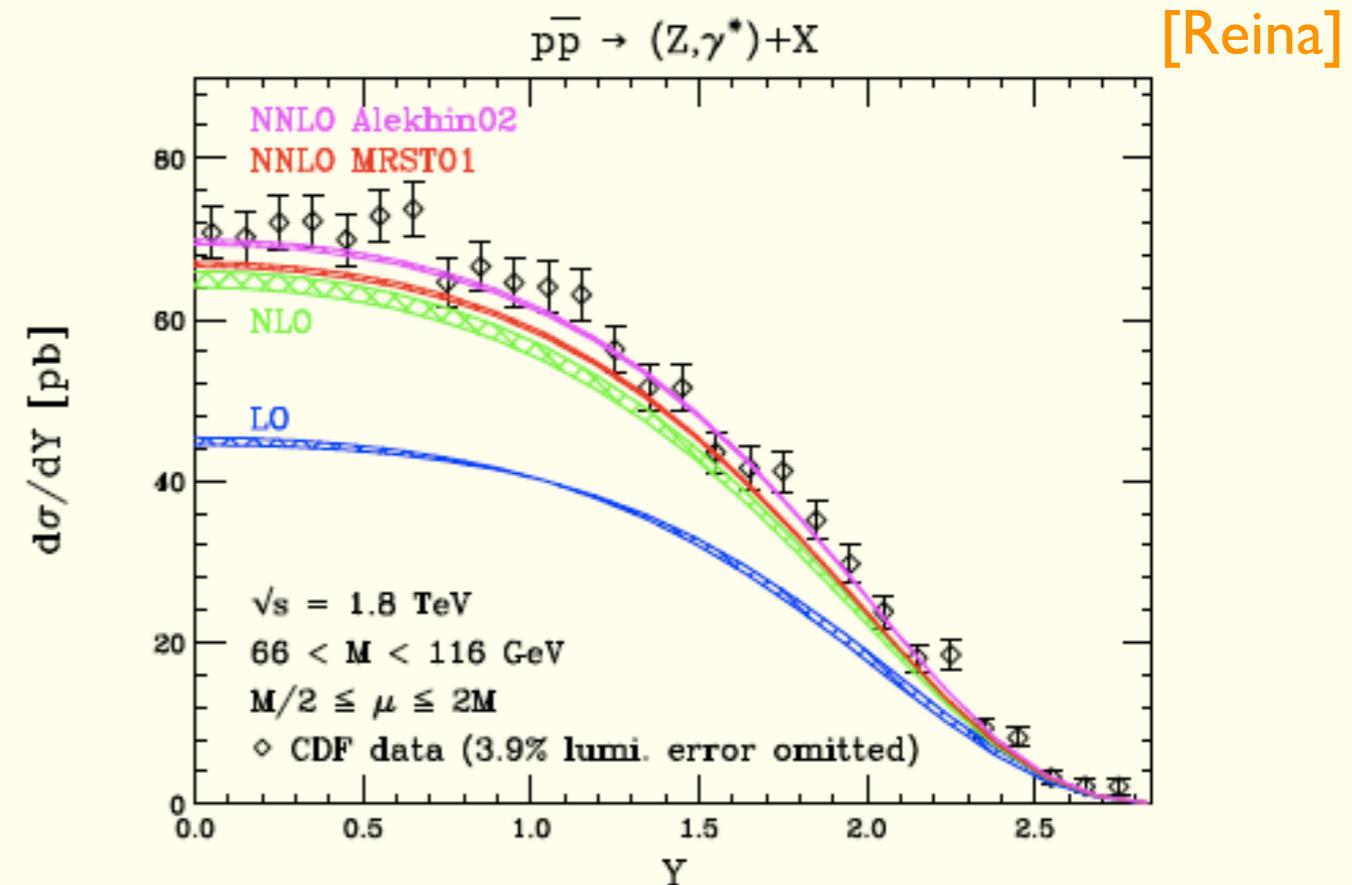
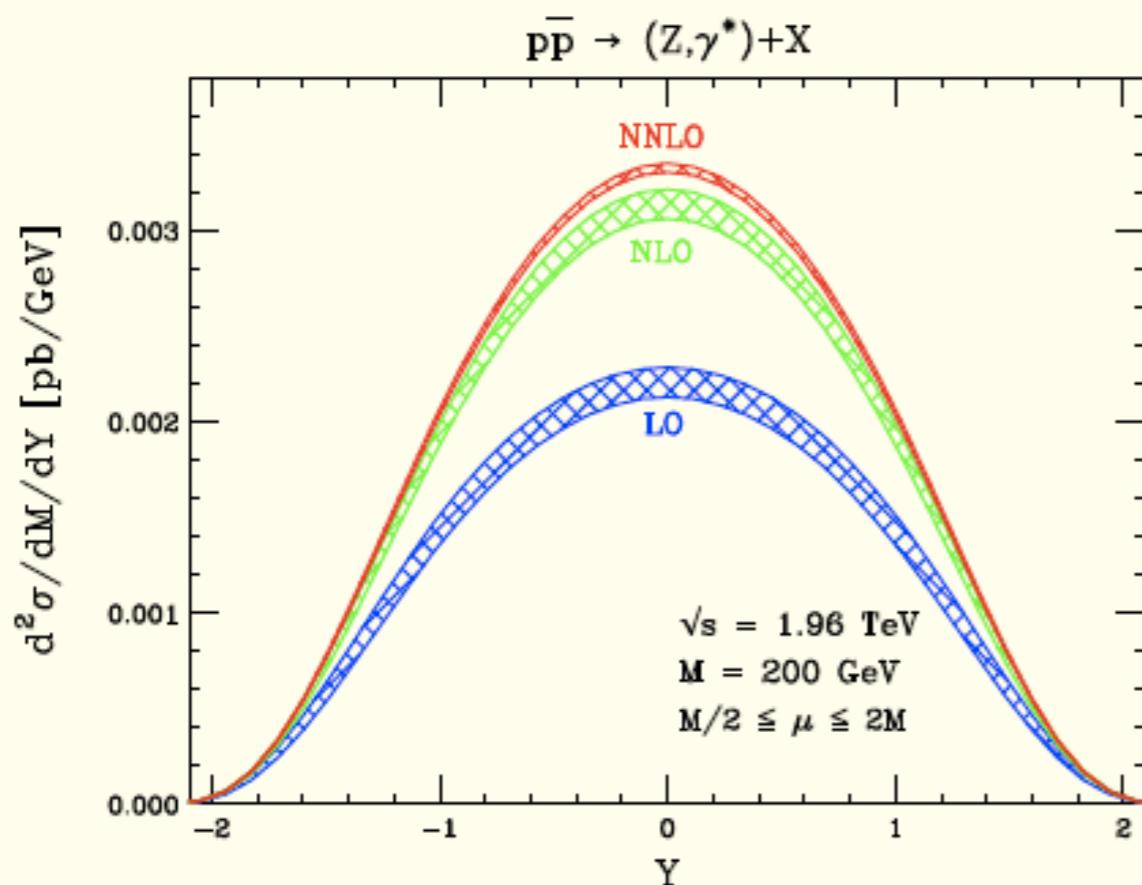
Why should we care about NLO?

- ▶ NLO correction can be large, e.g. 30-100%
- ▶ NLO reduces the sensitivity to unphysical scales
- ▶ More accurate predictions have impact in searches/measurements



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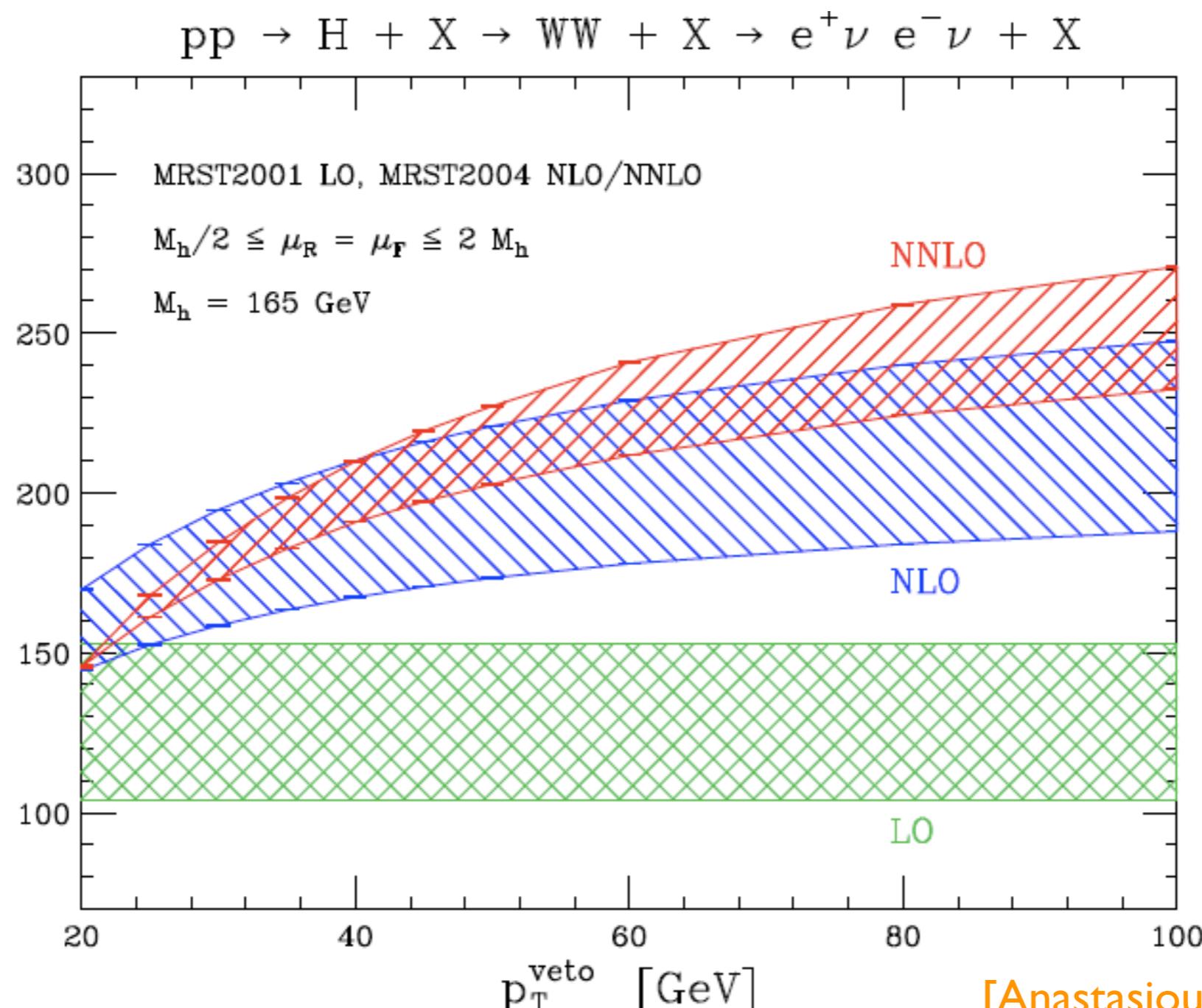
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(C. Anastasiou, L. Dixon, K. Melnikov, F. Petriello, PRL 91 (2003) 182002)

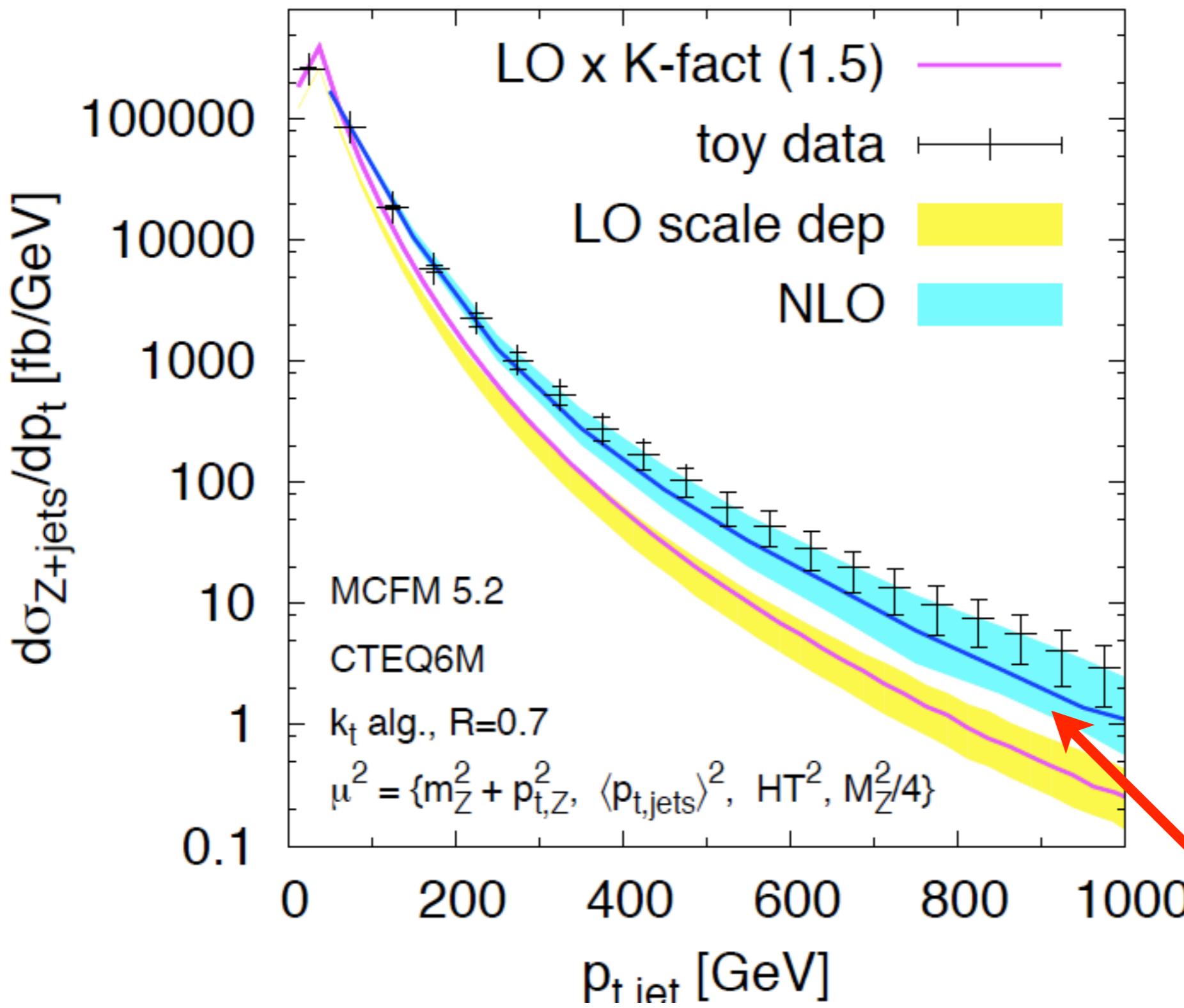
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[Anastasiou, Melnikov, Petriello-04]

## Z + jet cross section (LHC)



Using LO there might be fake excesses

# In brief,

$$d\sigma = \sum_{ab} \int dx_a \int dx_b f_a(x_a, \mu_F^2) f_b(x_b, \mu_F^2) \times d\hat{\sigma}_{ab}(x_a, x_b, Q^2, \alpha_s(\mu_R^2))$$

LO

Born level partonic cross section

pdfs obtained from a LO analysis and 1 loop AP

$\alpha_s(m_q)$  obtained from a LO analysis and evolved with 1 loop  $\beta$

NLO

1-loop level partonic cross section

pdfs obtained from a NLO analysis and 2 loop AP

$\alpha_s(m_q)$  obtained from a NLO analysis and evolved with 2 loop  $\beta$

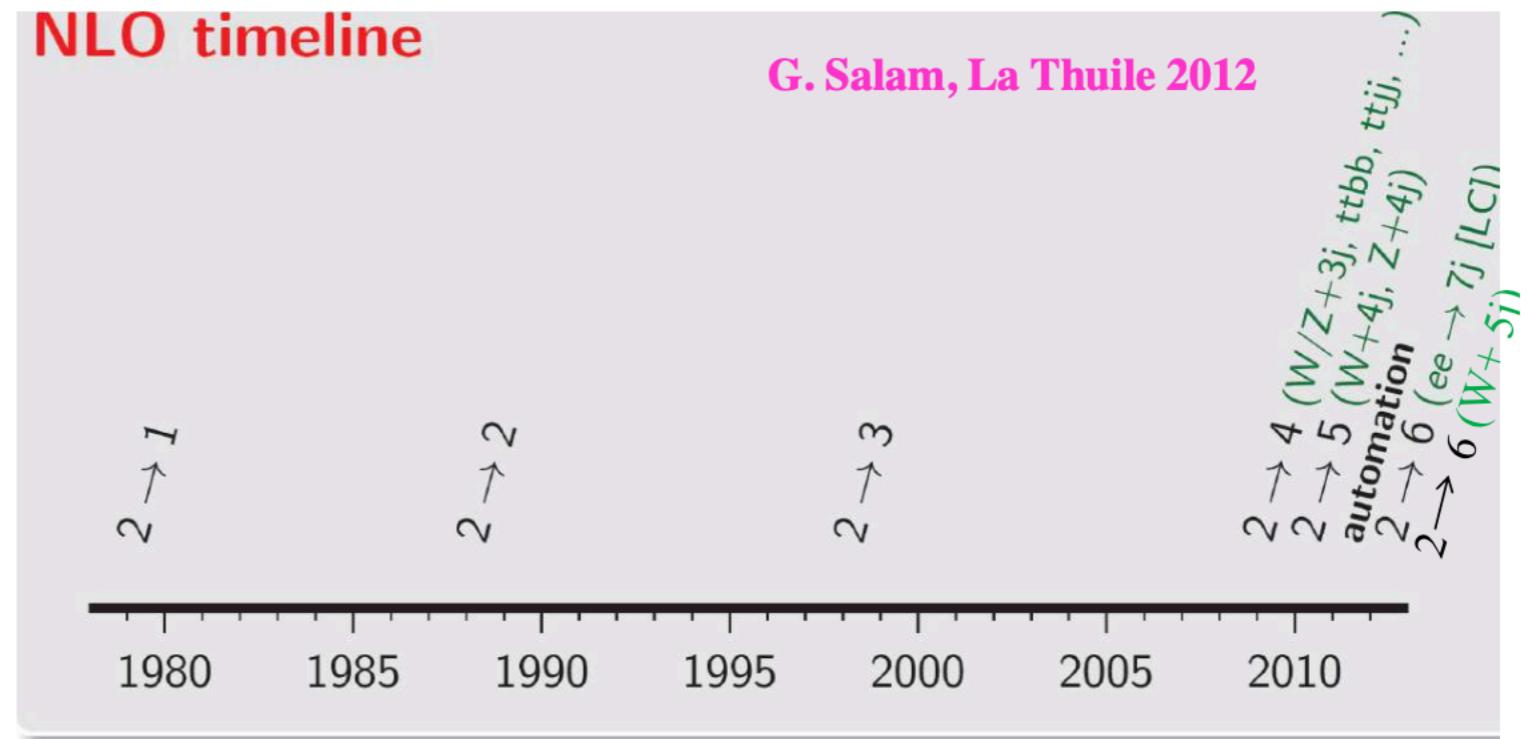
NNLO

2-loop level partonic cross section

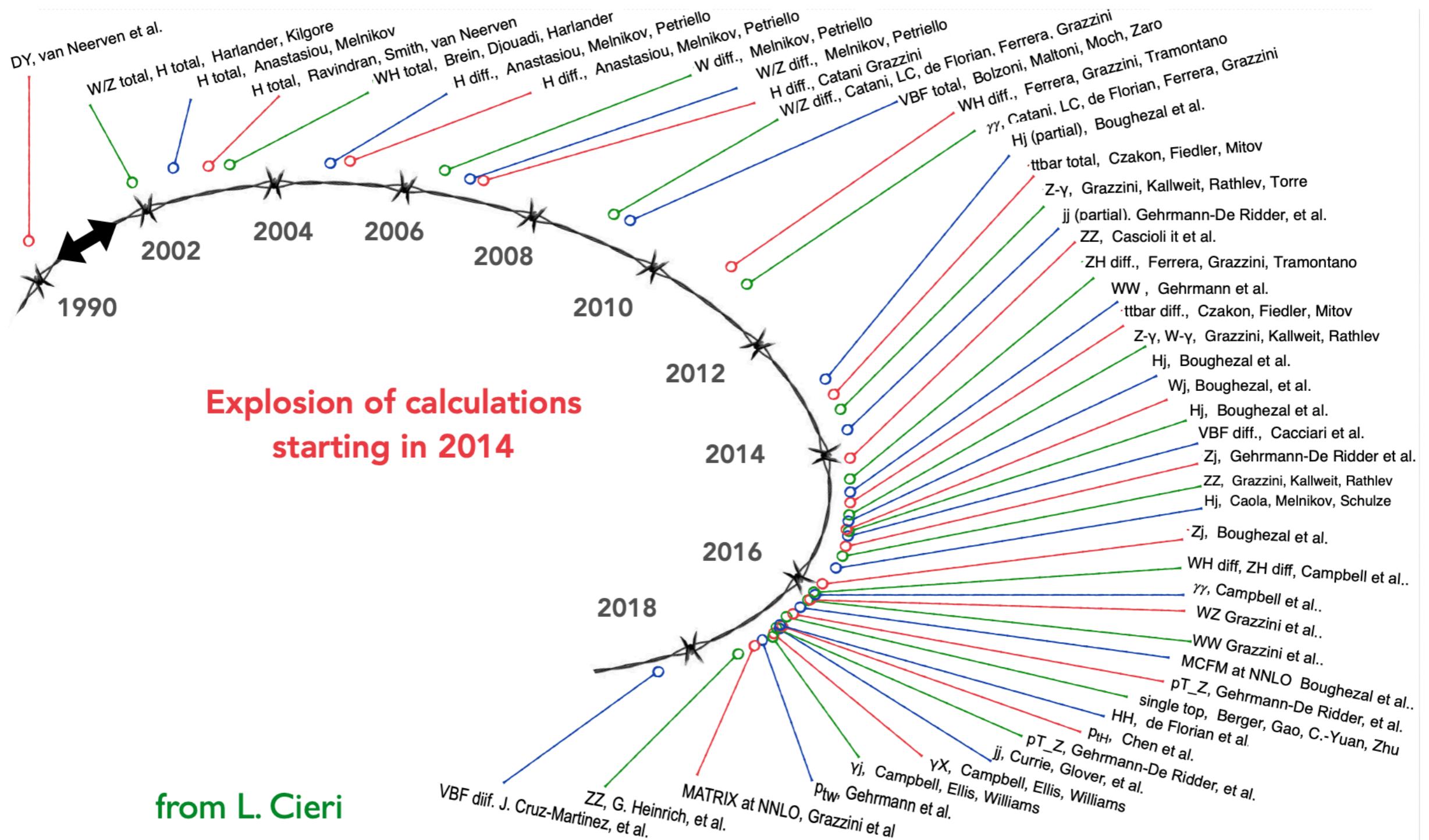
pdfs obtained from a NNLO analysis and 3 loop AP

$\alpha_s(m_q)$  obtained from a NNLO analysis and evolved with 3 loop  $\beta$

- “state of the art”
- automatic NLO

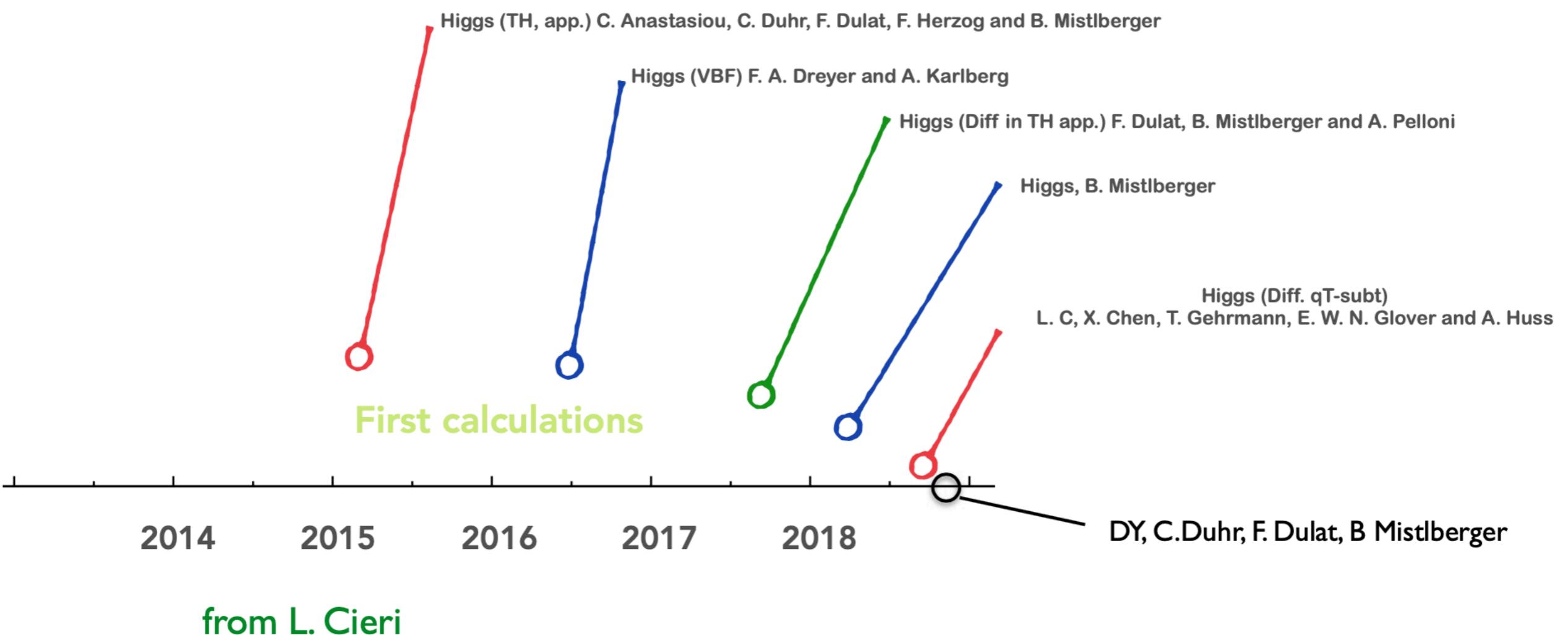


# The NNLO revolution



# N<sup>3</sup>LO

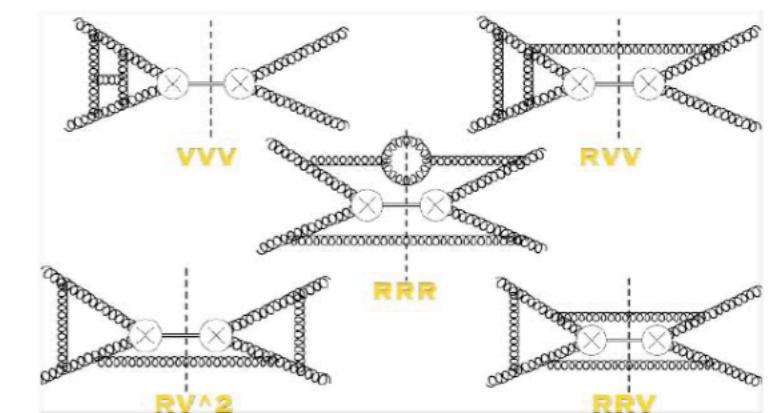
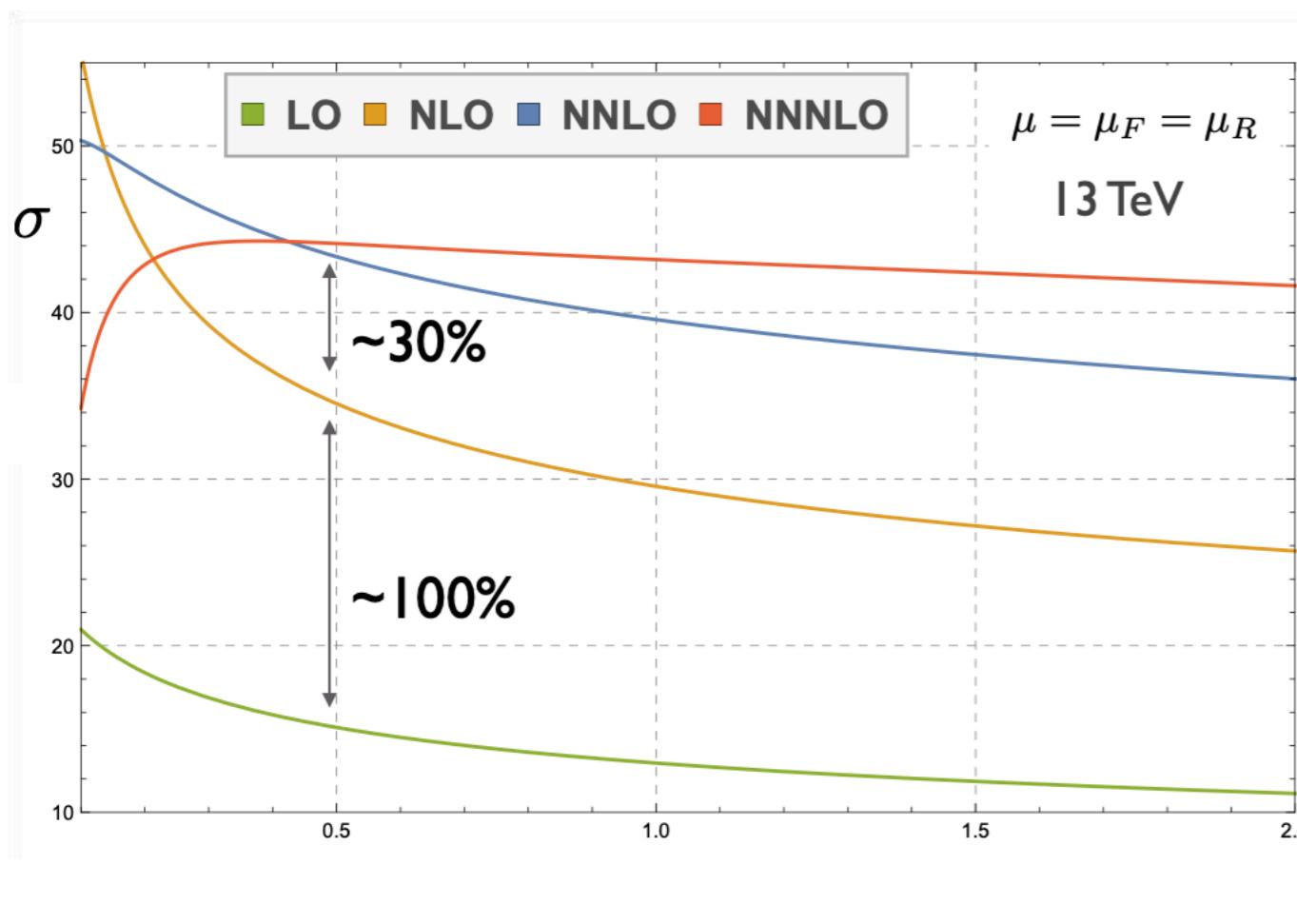
## The new Frontier?



# Higgs at $N^3\text{LO}$

C.Anastasiou, C. Duhr, F. Dulat, F. Herzog, B. Mistlberger (2015)  
B. Mistlberger (2018)

- Very relevant observable called for higher orders (slow convergence)
- Impressive calculation : new techniques
  - Within (excellent) heavy top approximation

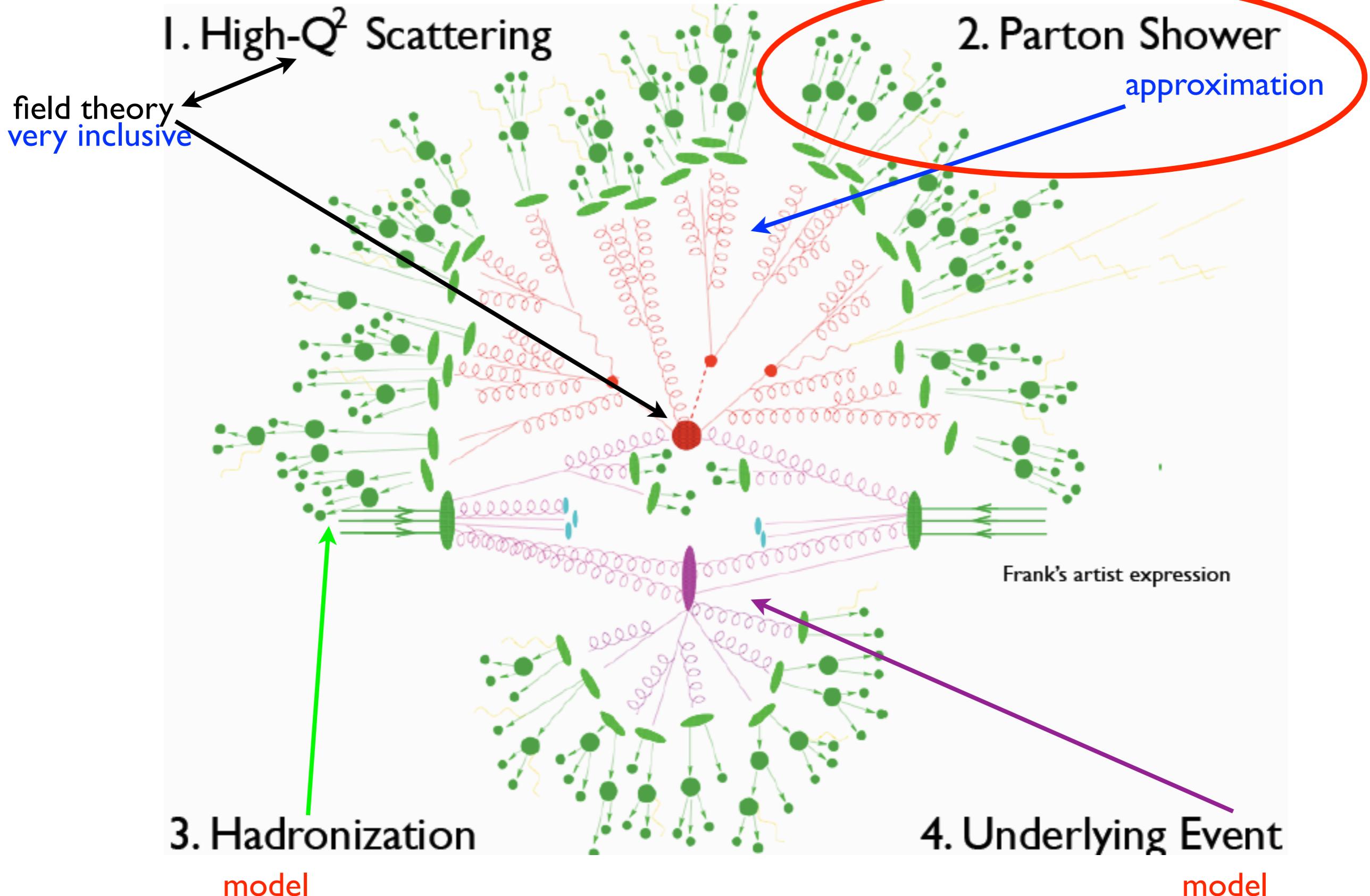


68273802 loop and phase space integrals

- Observe stabilization of expansion
- Small correction (2% at  $M_H/2$ )
- Scale variation at  $N^3\text{LO} \sim 2\%$

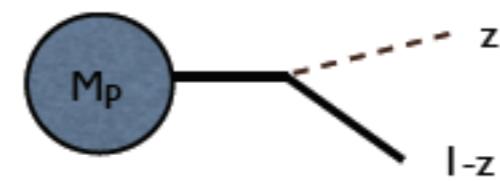
# Parton shower

we should describe the whole event!



- Parton shower is an **approximation** for multi-particle states.
- Matrix elements for  $q \rightarrow qg$  ( $g \rightarrow gg \dots$ ) due to soft and collinear divergences can be approximated

$$\frac{1}{(p_q + p_g)^2} \simeq \frac{1}{2E_g E_q (1 - \cos \theta)}$$



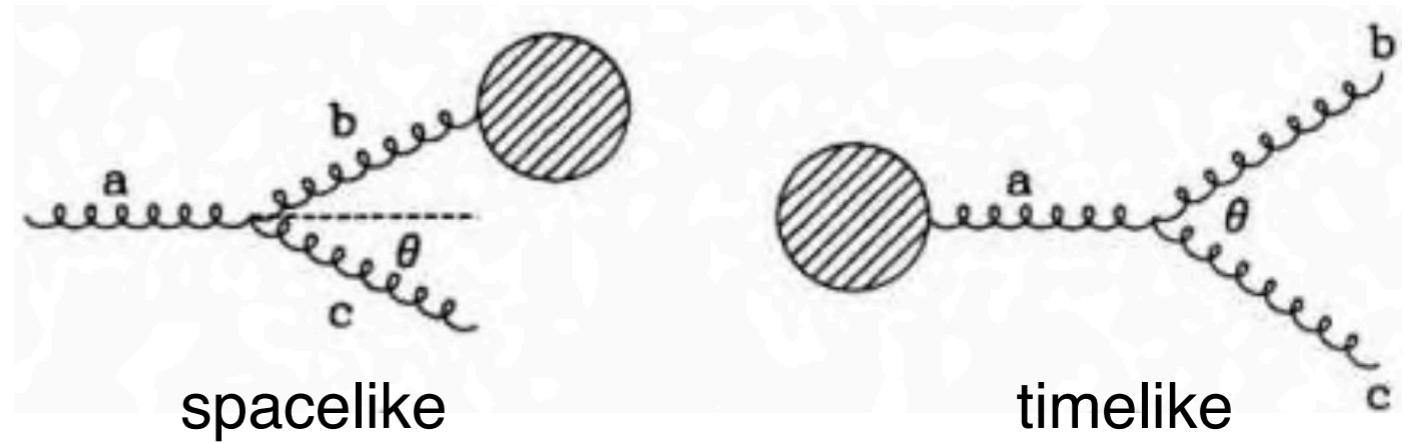
- We write the approximation:

$$|M_{p+1}|^2 d\Phi_{p+1} \simeq |M_p|^2 d\Phi_p \frac{dt}{t} \frac{\alpha_S}{2\pi} P(z) dz d\phi$$

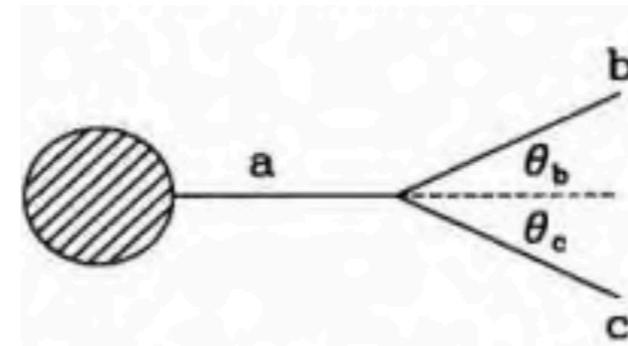
- The parton shower evolution is a Markov process based on this approximation.
- General purpose MC (eg PYTHIA) use this approximation to simulate higher order processes.

# Parton branching

- Two types of branching



- For a timelike branching



$$\theta = \theta_b + \theta_c$$

$$p_b^2, p_c^2 \ll p_a^2 = t$$

$$E_b = z E_a, \quad E_c = (1 - z) E_a$$

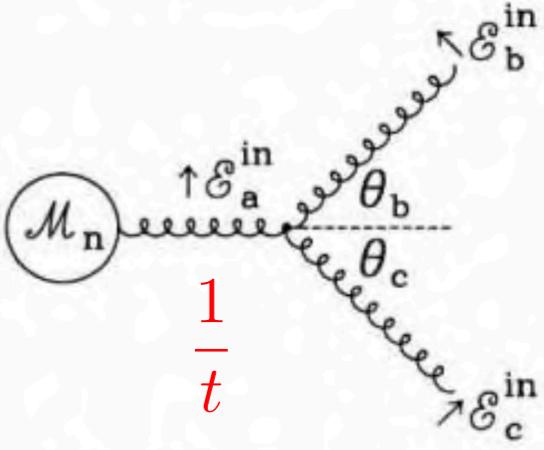
- Kinematics (for small angles)

$$t = 2E_b E_c (1 - \cos \theta) \simeq z(1 - z) E_a^2 \theta^2$$

$$\theta = \frac{1}{E_a} \sqrt{\frac{t}{z(1 - z)}}$$

$$p_T \text{ conservation} \quad z\theta_b = (1 - z)\theta_c$$

- Matrix element for  $g > g \ g$



$$M_{n+1} \simeq M_n \frac{g_s^2}{t} V_{ggg} \implies |M_{n+1}|^2 \simeq \frac{4g_s^2}{t} C_A F(z; \epsilon_a, \epsilon_b, \epsilon_c) |M_n|^2$$

$$C_A = 3$$

- Non-vanishing contributions

| $\epsilon_a$ | $\epsilon_b$ | $\epsilon_c$ | $F(z; \epsilon_a, \epsilon_b, \epsilon_c)$ |
|--------------|--------------|--------------|--|
| in           | in           | in           | $(1-z)/z + z/(1-z) + z(1-z)$               |
| in           | out          | out          | $z(1-z)$                                   |
| out          | in           | out          | $(1-z)/z$                                  |
| out          | out          | in           | $z/(1-z)$                                  |

- Average + sum of polarizations

$$C\langle F \rangle = C_A \left[ \frac{1-z}{z} + \frac{z}{1-z} + z(1-z) \right] \stackrel{\text{c soft}}{\equiv} \hat{P}_{gg}(z)$$

b soft

- Matrix element for  $g \rightarrow q\bar{q}$

$$C\langle F \rangle = T_R [z^2(1-z)^2] \equiv \hat{P}_{qg}(z) \quad \text{with} \quad T_R = \frac{1}{2}$$

- Matrix element for  $q \rightarrow qg$

$$C\langle F \rangle = C_F \frac{1+z^2}{1-z} \equiv \hat{P}_{gq}(z) \quad \text{with} \quad C_F = \frac{4}{3}$$

- Phase space:

we showed that  $d\Phi_{n+1} = d\Phi_n \otimes dM^2 \otimes d\Phi_2$

here  $dM^2 = dt$

Now  $d\Phi_2 = \int \frac{d^3 p_b}{2E_b} \delta((p_a - p_b)^2) = \int \frac{d^3 p_b}{2E_b} \delta(t - 2p_a \cdot p_b)$

$$= \frac{E_b^2 dE_b \ d\varphi \ d\cos\theta_b}{2E_b} \delta(t - 2E_a E_b (1 \cos\theta_b))$$

$$= \frac{1}{2} \frac{1}{2E_a E_b} \ E_b dE_b \ d\varphi = \frac{1}{4} \frac{dE_b}{E_a} \ d\varphi$$

$$= \frac{1}{4} dz d\varphi$$

Finally  $d\Phi_{n+1} = d\Phi_n \otimes dt \otimes \frac{1}{4} dz d\varphi$

- Cross section

$$d\sigma_{n+1} = \frac{1}{\mathcal{F}_{n+1}} |M_{n+1}|^2 d\Phi_{n+1}$$

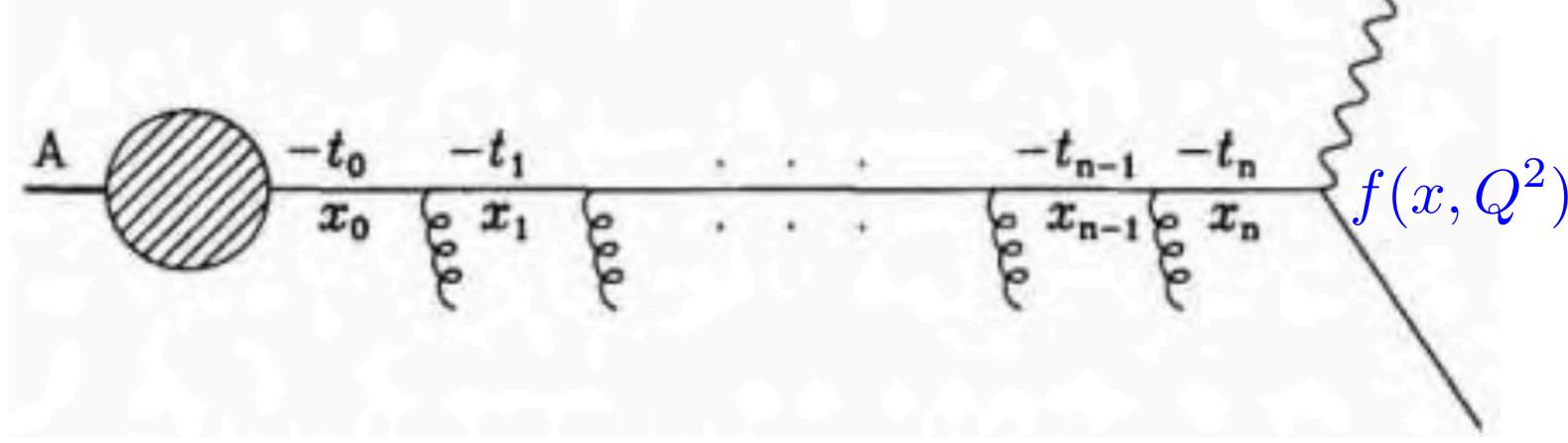
putting all together and remembering that  $\mathcal{F}_{n+1} = (2\pi)^3 \mathcal{F}_n$

$$d\sigma_{n+1} = d\sigma_n \frac{dt}{t} \frac{g_s^2}{(2\pi)^3} dz d\varphi$$

$$= d\sigma_n \frac{dt}{t} dz \frac{\alpha_s}{2\pi} \hat{P}_{ba}(z)$$

- For spacelike branchings the expression for t changes

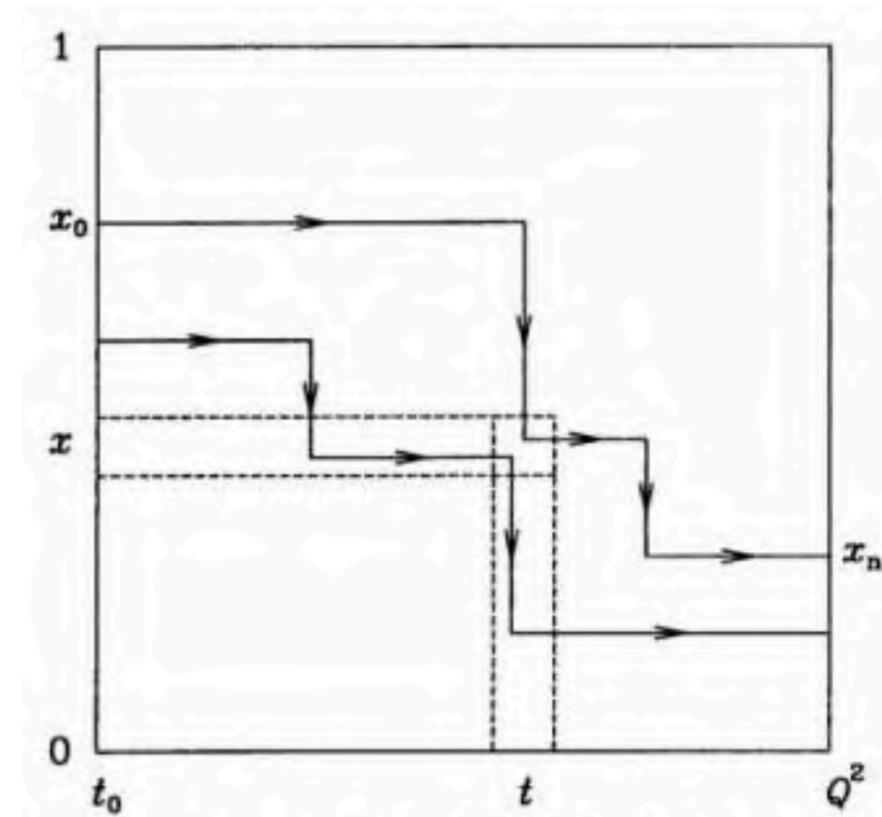
# Evolution equations



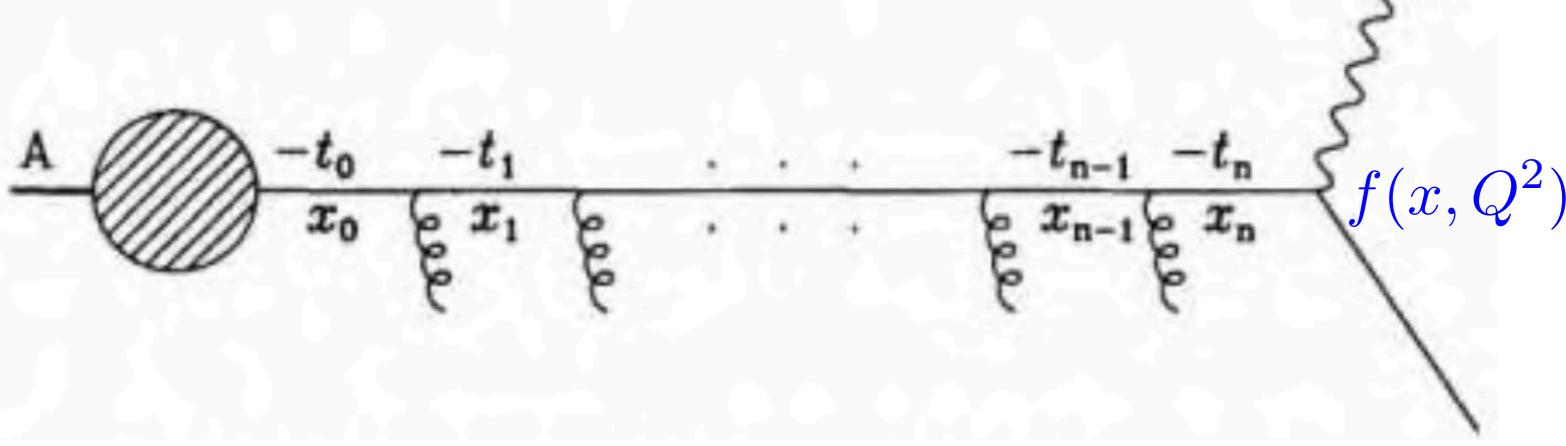
- Let's consider a space like evolution (e.g. DIS)
- Pictorial representation

$$\delta f_{in}(x, t) = \frac{\delta t}{t} \int_x^1 dx' f(x', t) \frac{\alpha_s}{2\pi} \hat{P}(z) \delta(x - zx') dz$$

$$= \frac{\delta t}{t} \int_0^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f(x/z, t)$$



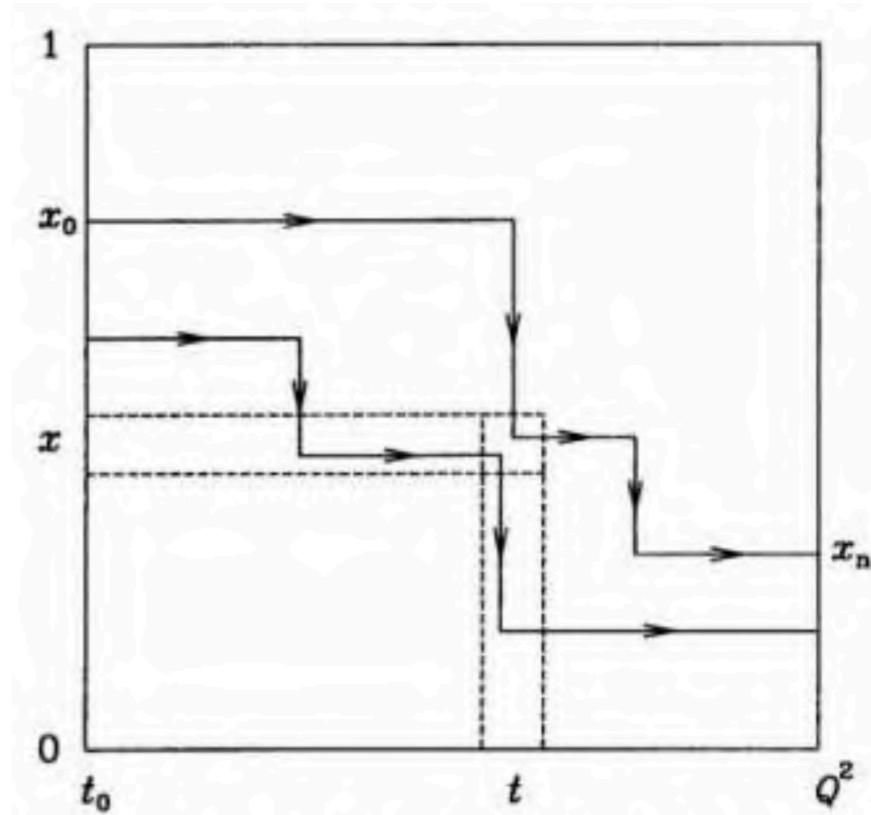
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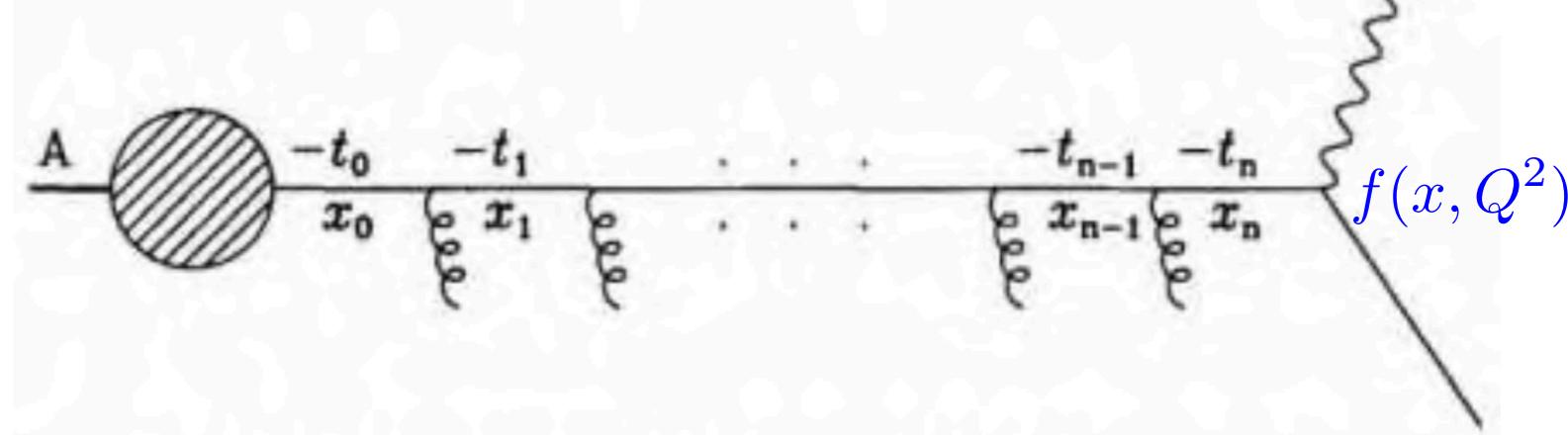
- Let's consider a space like evolution (e.g. DIS)
- Pictorial representation

$$\delta f_{out}(x, t) = \frac{\delta t}{t} f(x, t) \int_0^x dx' \frac{\alpha_s}{2\pi} \hat{P}(z) \delta(x' - zx) dz$$

$$= \frac{\delta t}{t} f(x, t) \int_0^1 dz \frac{\alpha_s}{2\pi} \hat{P}(z)$$



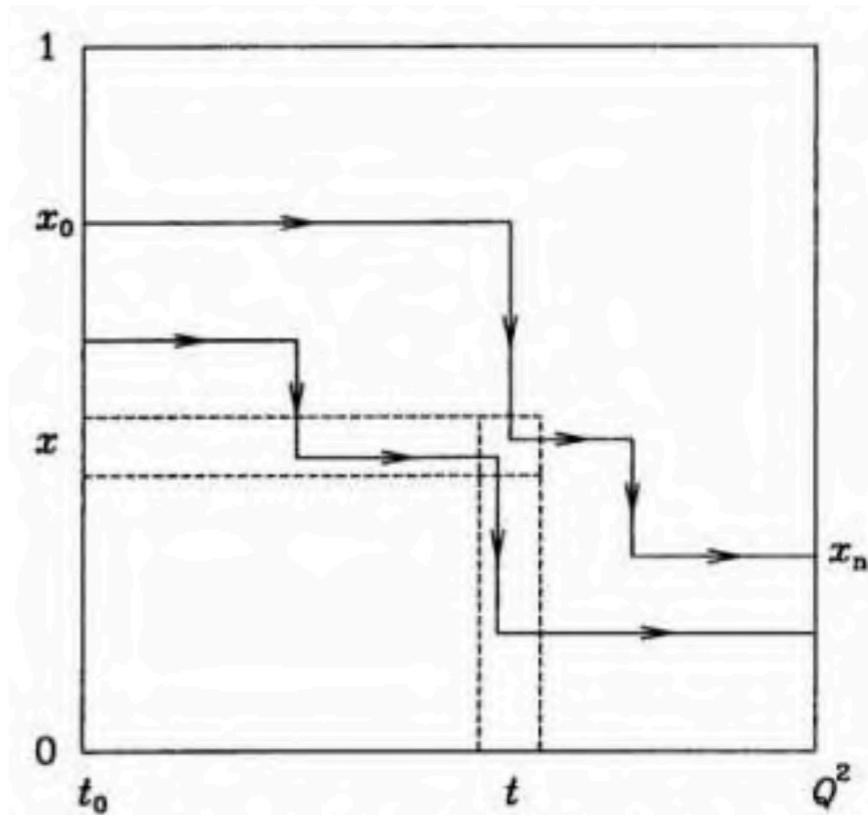
# Evolution equations



- Let's consider a space like evolution (e.g. DIS)
- Pictorial representation

$$\delta f_{in}(x, t) = \frac{\delta t}{t} \int_0^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f(x/z, t)$$

$$\delta f_{out}(x, t) = \frac{\delta t}{t} f(x, t) \int_0^1 dz \frac{\alpha_s}{2\pi} \hat{P}(z)$$



So

$$\delta f(x, t) = \delta f_{in} - \delta f_{out} = \frac{\delta t}{t} \int_0^1 dz \frac{\alpha_s}{2\pi} \hat{P}(z) \left[ \frac{1}{z} f\left(\frac{x}{z}, t\right) - f(x, t) \right]$$

$$t \frac{\partial}{\partial t} f(x, t) = \int_0^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} P(z) f\left(\frac{x}{z}, t\right)$$

with  $P(z) = \hat{P}(z)_+$

- Sudakov factor

$$\Delta(t) \equiv \exp \left[ - \int_{t_0}^t \frac{dt'}{t'} \int dz \frac{\alpha_s}{2\pi} \hat{P}(z) \right]$$

$$t \frac{\partial}{\partial t} f(x, t) = \int_0^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f\left(\frac{x}{z}, t\right) + \frac{f(x, t)}{\Delta(t)} t \frac{\partial}{\partial t} \Delta(t)$$

- Sudakov factor

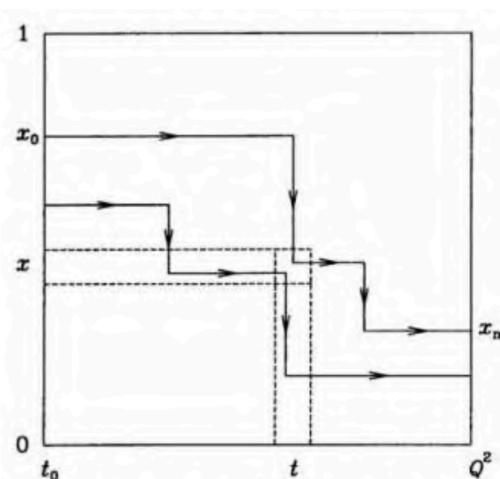
$$\Delta(t) \equiv \exp \left[ - \int_{t_0}^t \frac{dt'}{t'} \int dz \frac{\alpha_s}{2\pi} \hat{P}(z) \right]$$

$$t \frac{\partial}{\partial t} f(x, t) = \int_0^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f\left(\frac{x}{z}, t\right) + \frac{f(x, t)}{\Delta(t)} t \frac{\partial}{\partial t} \Delta(t)$$

$$\implies t \frac{\partial}{\partial t} \left( \frac{f(x, t)}{\Delta(t)} \right) = \frac{1}{\Delta(t)} \int_0^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f\left(\frac{x}{z}, t\right)$$

$$\implies f(x, t) = \Delta(t) f(x, t_0) + \int \frac{dt'}{t'} \frac{\Delta(t)}{\Delta(t')} \int \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f\left(\frac{x}{z}, t'\right)$$

$\Delta(t) f(x, t_0)$  paths that did not branch in  $t_0 \rightarrow t$   
 probability of not branching!



- Generalization

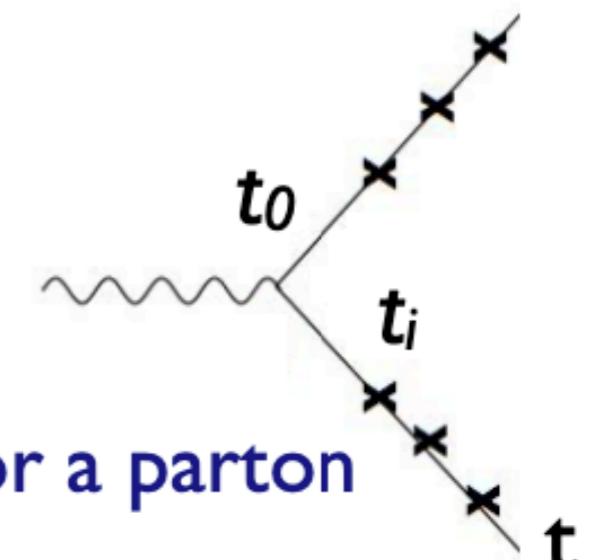
$$\Delta_i(t) = \exp \left[ - \sum_j \int_{t_0}^t \frac{dt'}{t'} \int dz \frac{\alpha_s}{2\pi} \hat{P}_{ij}(t') \right]$$

$$t \frac{\partial}{\partial t} \left( \frac{f_i}{\Delta_i} \right) = \frac{1}{\Delta_i} \sum_j \int \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}_{ij} f_j \left( \frac{x}{z}, t \right)$$

- Comments

1. there are infrared divergences
2. we need a regularization prescription
3. ad hoc cut off to define resolvable emissions  $\int_{\epsilon}^{1-\epsilon} dz$
4. virtual corrections cure the problem

# Parton Shower basics



- Now, consider the non-branching probability for a parton at a given virtuality  $t_i$ :

$$\mathcal{P}_{\text{non-branching}}(t_i) = 1 - \mathcal{P}_{\text{branching}}(t_i) = 1 - \frac{\delta t}{t_i} \frac{\alpha_s}{2\pi} \int_z^1 \frac{dz}{z} \hat{P}(z)$$

- The total non-branching probability between virtualities  $t$  and  $t_0$ :

$$\begin{aligned} \mathcal{P}_{\text{non-branching}}(t, t_0) &\simeq \prod_{i=0}^N \left( 1 - \frac{\delta t}{t_i} \frac{\alpha_s}{2\pi} \int_z^1 \frac{dz}{z} \hat{P}(z) \right) \\ &= e^{\sum_{i=0}^N \left( -\frac{\delta t}{t_i} \frac{\alpha_s}{2\pi} \int_z^1 \frac{dz}{z} \hat{P}(z) \right)} \\ &\simeq e^{-\int_t^{t_0} \frac{dt'}{t'} \frac{\alpha_s}{2\pi} \int_z^1 \frac{dz}{z} \hat{P}(z)} = \Delta(t, t_0) \end{aligned}$$

- This is the famous “Sudakov form factor”

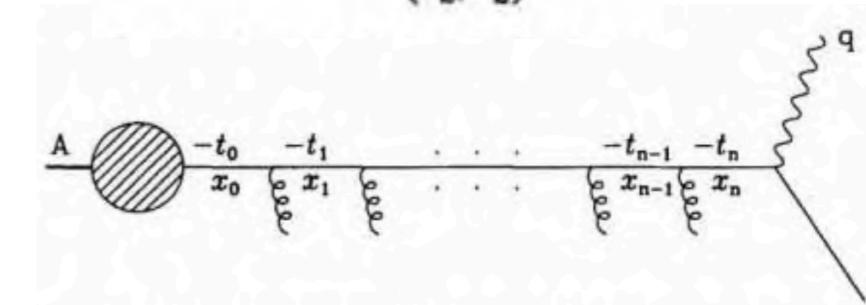
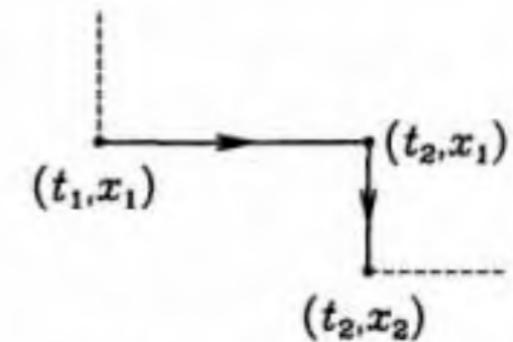
- Monte Carlo

- Goal: given  $(x_1, t_1)$  generate  $(x_2, t_2)$

- To obtain  $t_2$  solve

space like evolution

$$\frac{\Delta(t_2)}{\Delta(t_1)} = r \in [0, 1]$$



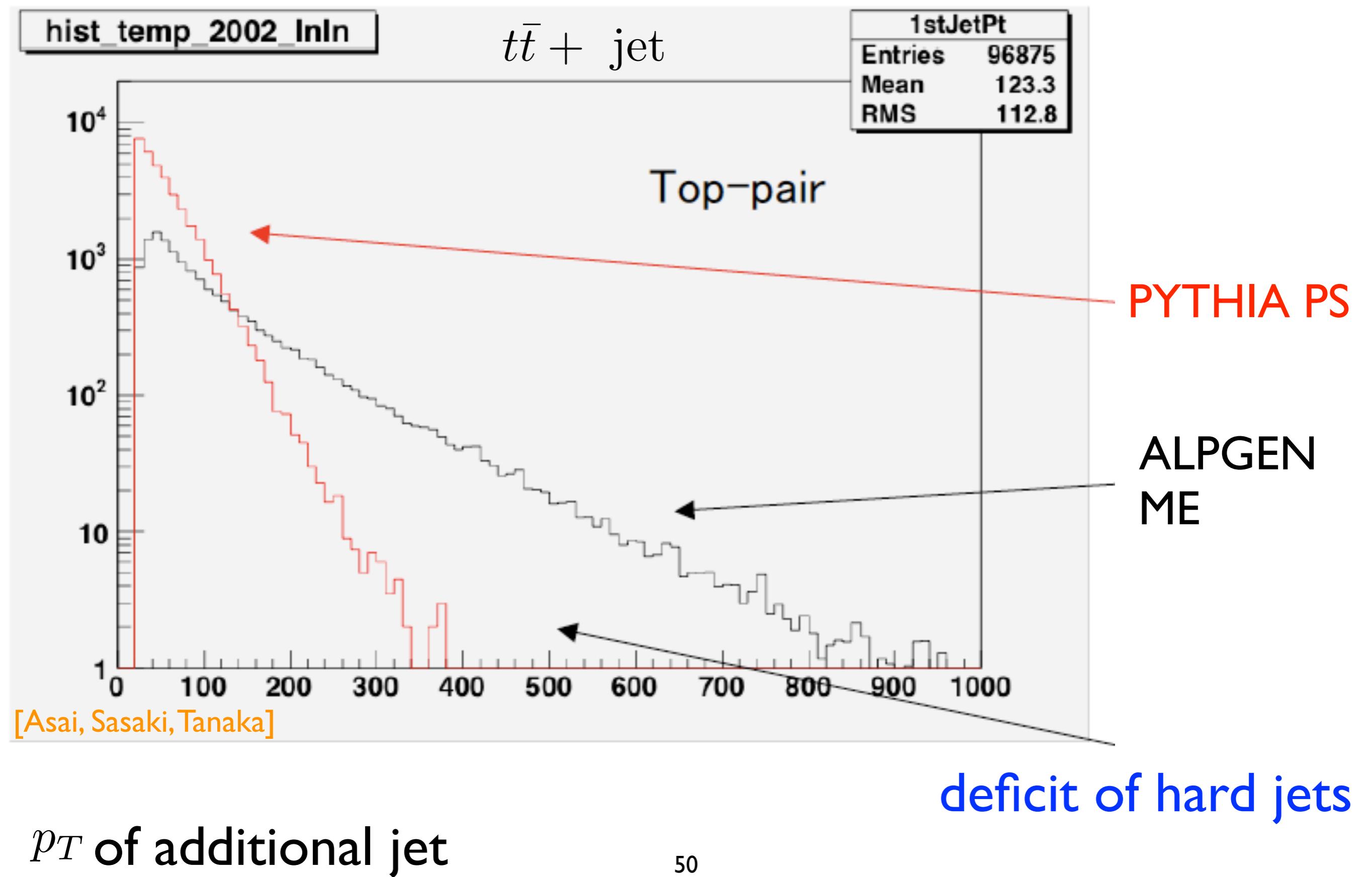
- To obtain  $z=x_2/x_1$  solve

$$\int_{\epsilon}^{x_2/x_1} dz \frac{\alpha_s}{2\pi} P(z) = r' \int_{\epsilon}^{1-\epsilon} dz \frac{\alpha_s}{2\pi} P(z) \quad \text{with} \quad r' \in [0, 1]$$

- Stop the process if  $t_2 > Q^2$

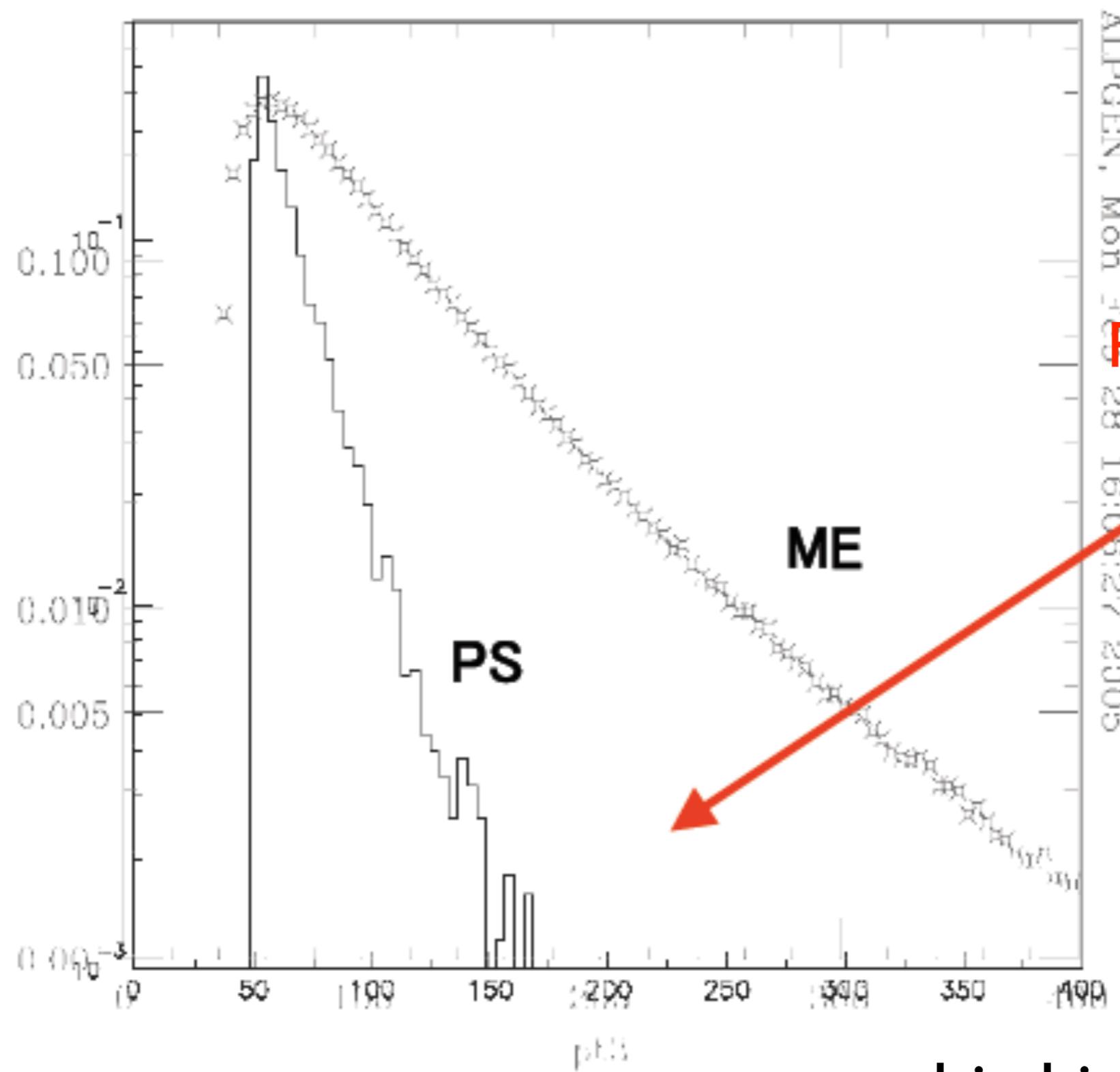
- For time like evolution switch  $t_2$  with  $t_1$  and stop at  $t_0$

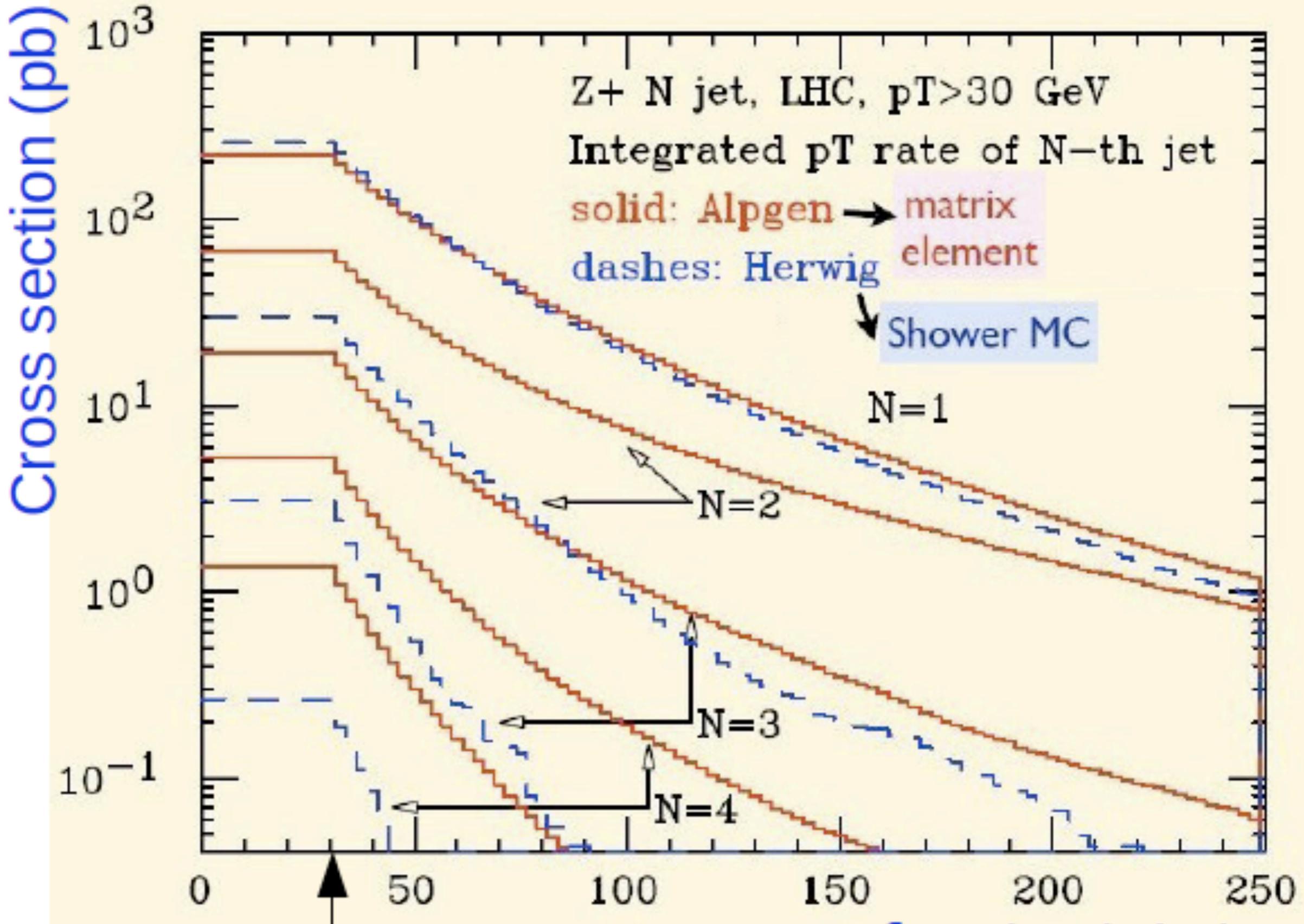
- PS is not accurate to describe extra hard jets, by construction!



$Z + N$  jets

pt\_3





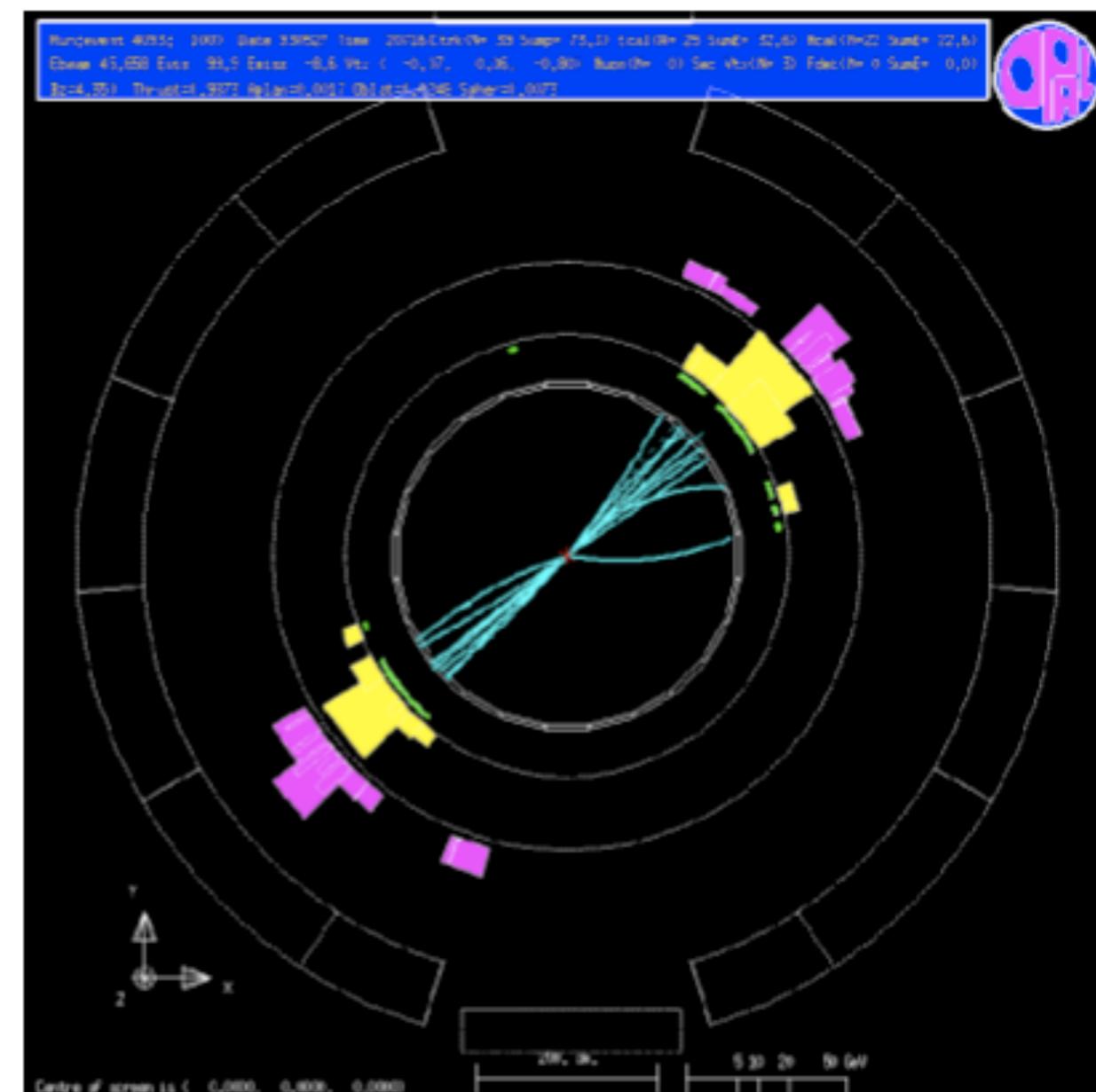
important for SUSY searches<sub>52</sub>

[Mangano]

## IV. Jets

- ➡ Can we obtain more information on the hadron production besides the total cross section?

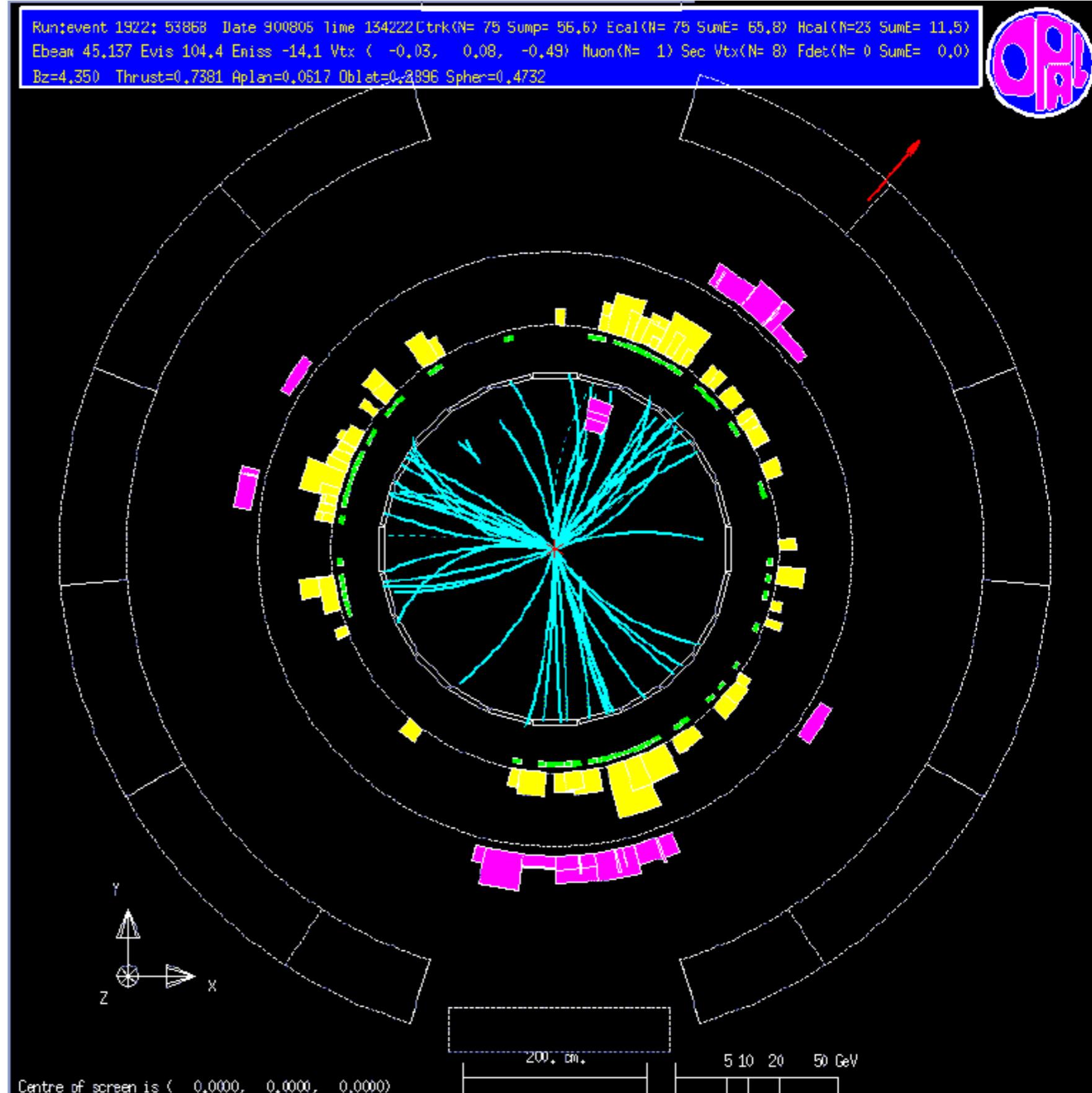
- ➡ We expect that soft process don't change completely the high energy features  $\implies$  a spray of hadrons follows the direction of the original quarks and gluons.



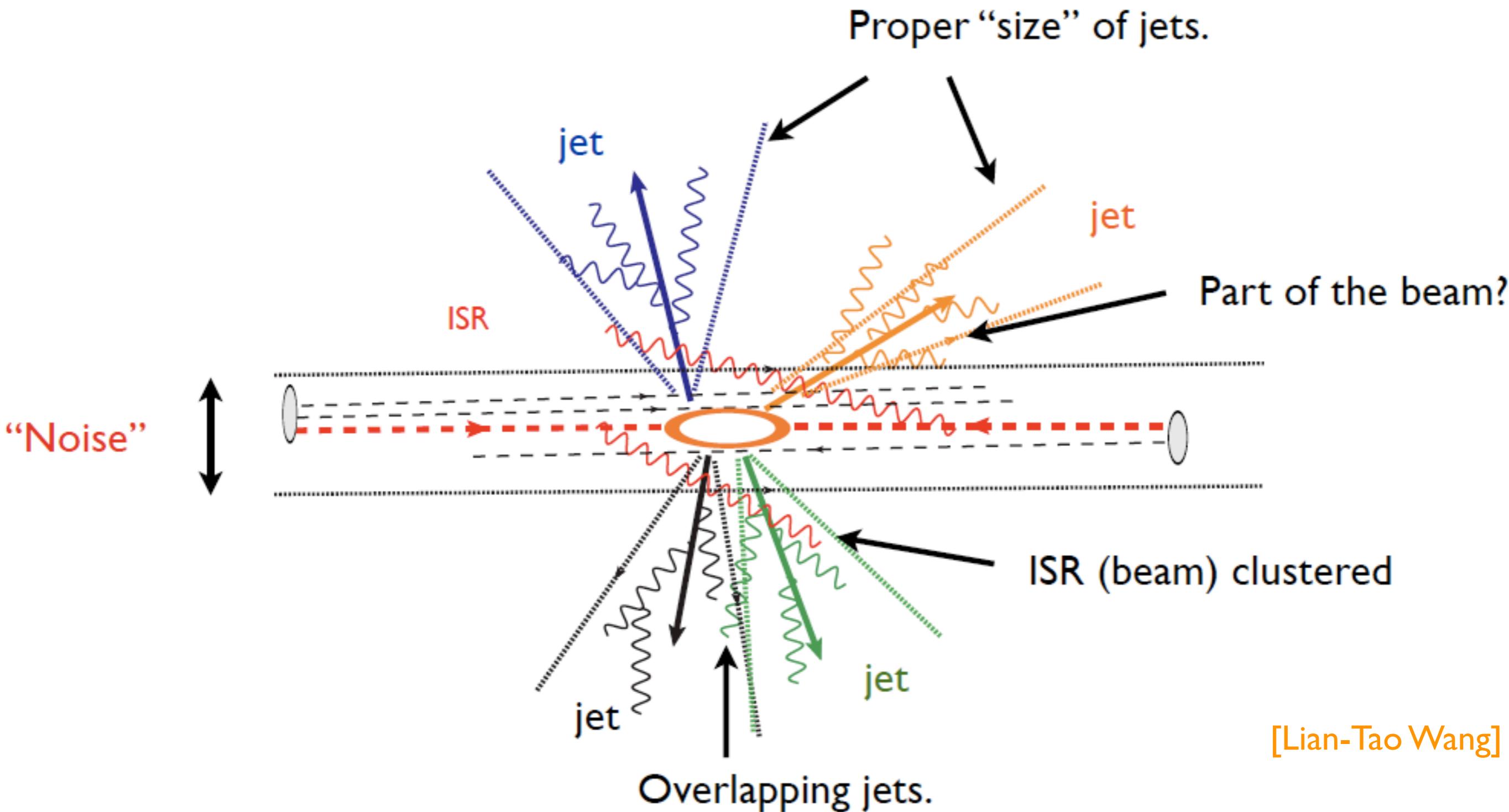
# Three jet event:

- why not 4?
- Which particles belong to a jet?
- how to get

$$p_{\text{parton}} \simeq p_{\text{jet}} ?$$



# Not an easy task:



# Criteria for a good jet recipe:

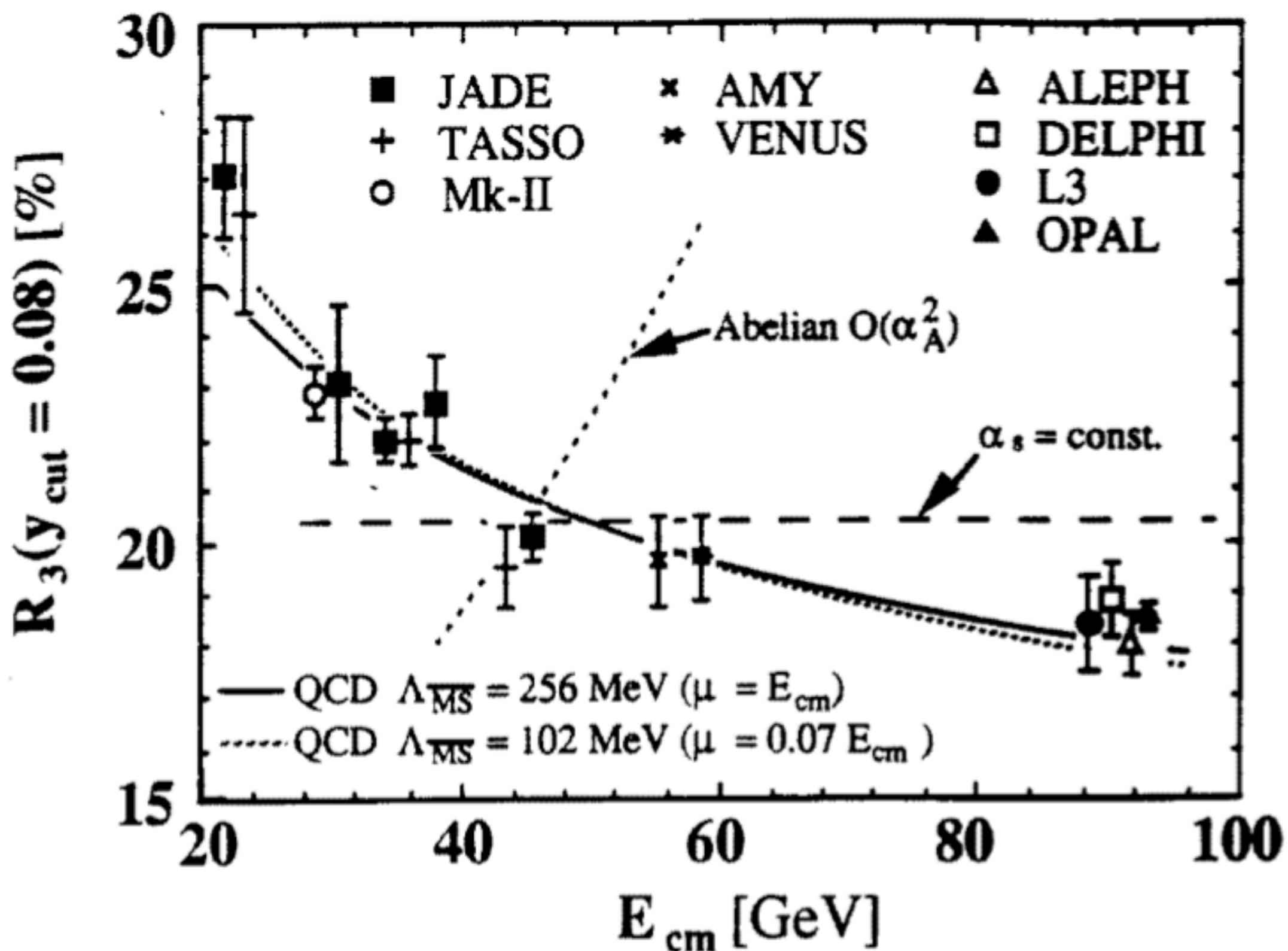
[Snowmass]

1. Simple to implement in an experimental analysis
2. Simple to implement in a theoretical calculation
3. Defined at any order of perturbation theory
4. Yields finite cross sections at any order of PT
5. Yields a cross section rather insensitive to hadronization

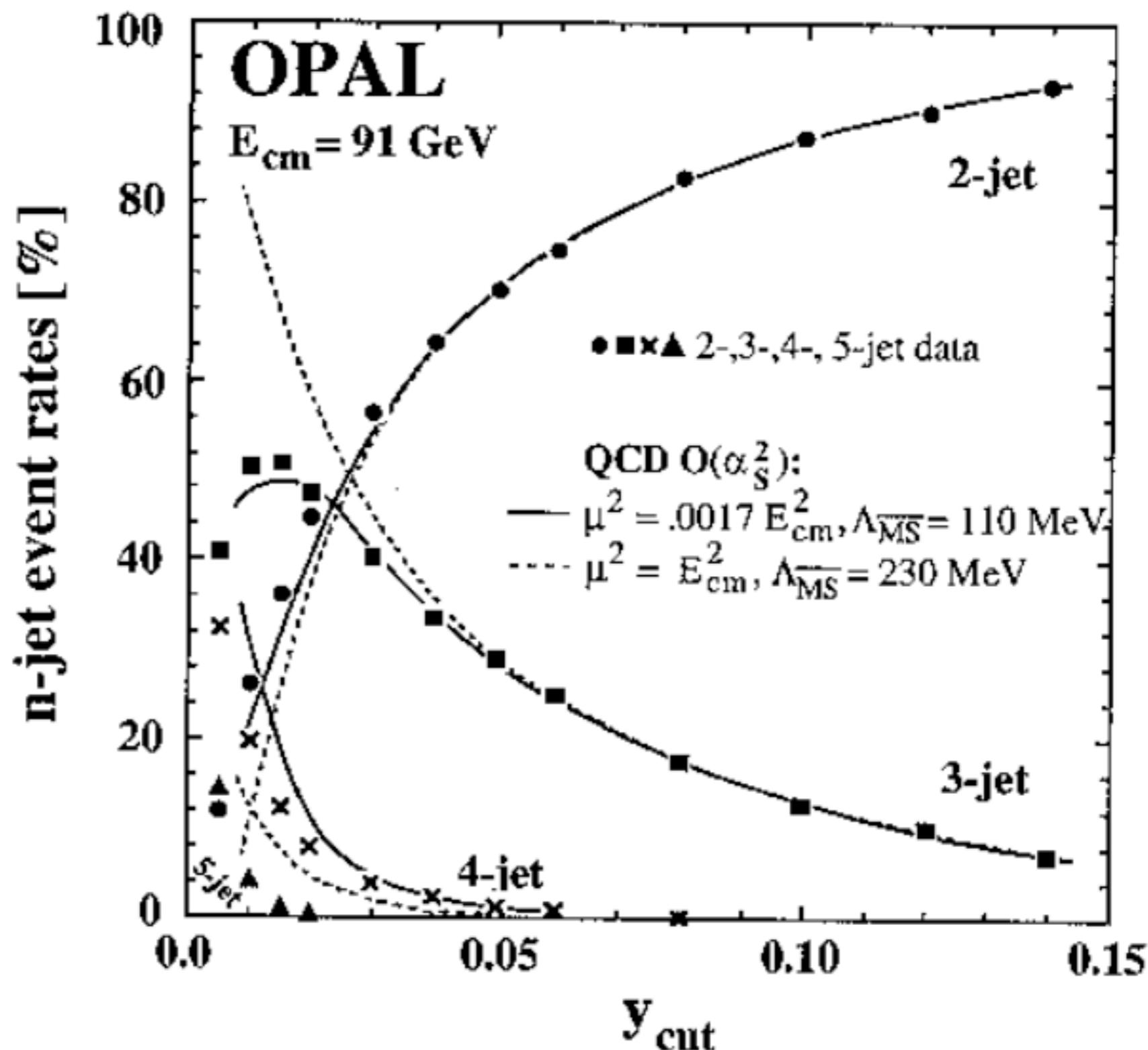
controls the number of jets

- ➡ The JADE jet algorithm is the following: Consider  $n$  particles/partons and a cut  $y$
- ✿ Identify the pair with minimal invariant mass  $\bar{m}$ . If  $\bar{m}^2 < ys$  join the two particle into a single cluster.
- ✿ Apply the previous procedure to the  $n - 1$  particles and clusters until we can not form new clusters.
- ✿ The number of particles/clusters at the end of the process is the number of jets.

- ➡ This expression describes well the energy dependence of  $R_3$



- ➡ This expression also describes well the  $y$  dependence



## A few jet algorithms

- Three popular jet algorithms are  $kT$ , anti- $kT$ , and Cambridge/Aachen
- The distance and rule to join objets is

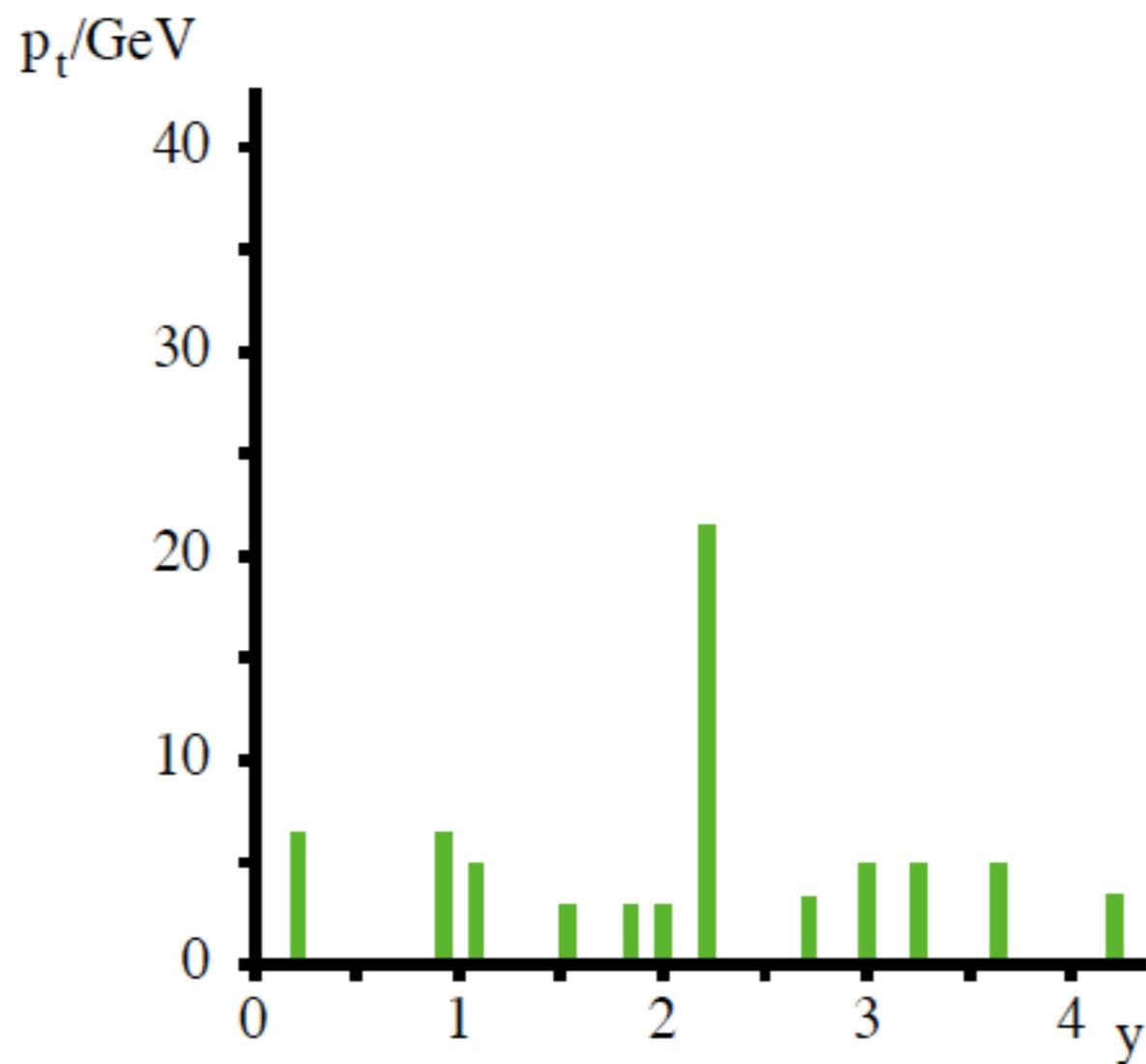
$$d_{ij} = \min[p_{Ti}^{2\alpha}, p_{Ti}^{2\alpha}] \left( \frac{\Delta R_{ij}}{R} \right)^2 \quad \text{and} \quad d_{iB} = p_{Ti}^{2\alpha}$$

with  $\Delta R_{ij} = \sqrt{\Delta\eta_{ij}^2 + \Delta\varphi_{ij}^2}$

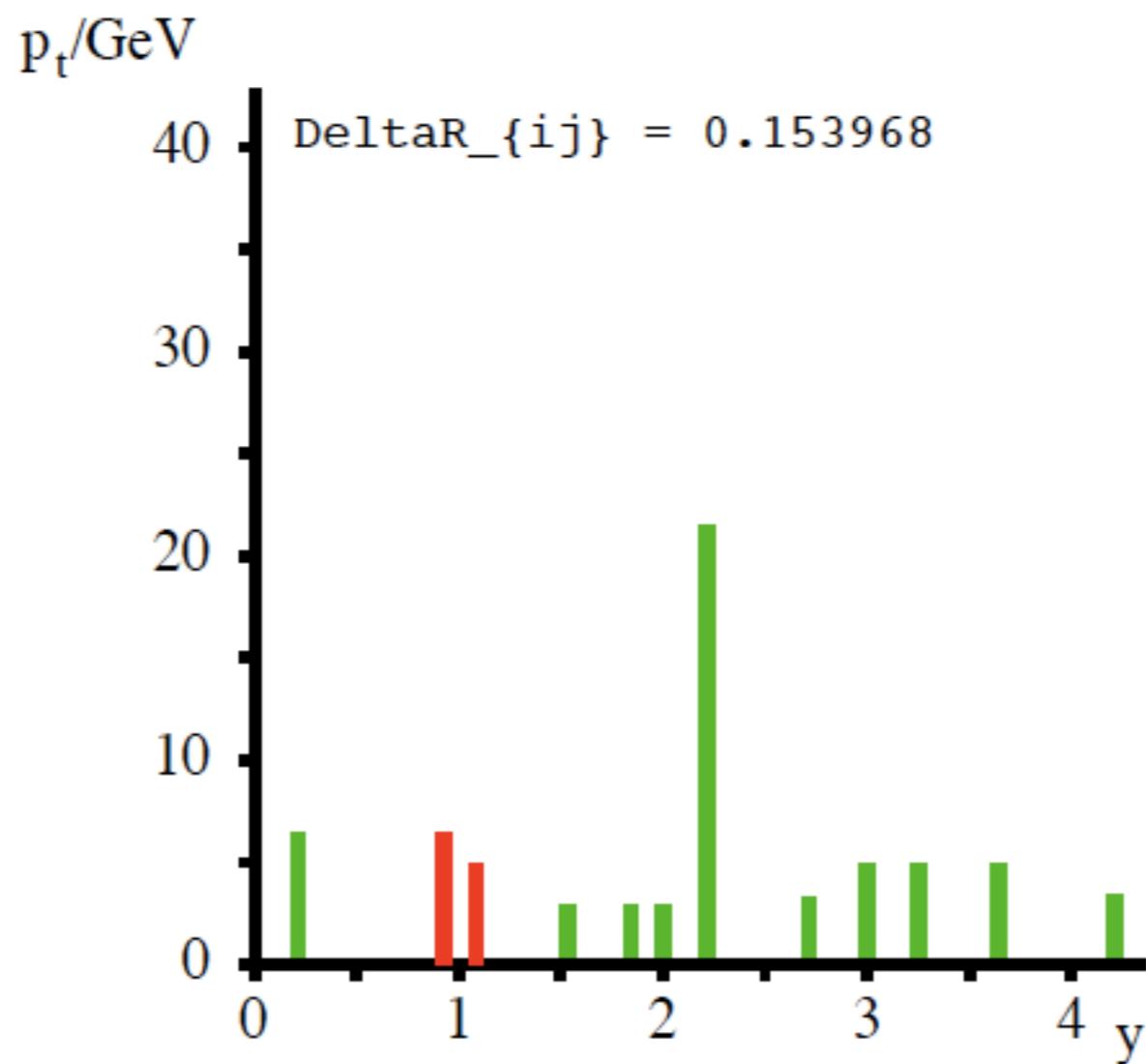
repeatedly combine objets until  $d_{iB}$  is the smaller distance.  
Then call it a jet, remove from the list and start again

- The choices are:  $kT$  ( $\alpha = 1$ ); anti- $kT$  ( $\alpha = -1$ );  
 $C/A$  ( $\alpha = 0$ )

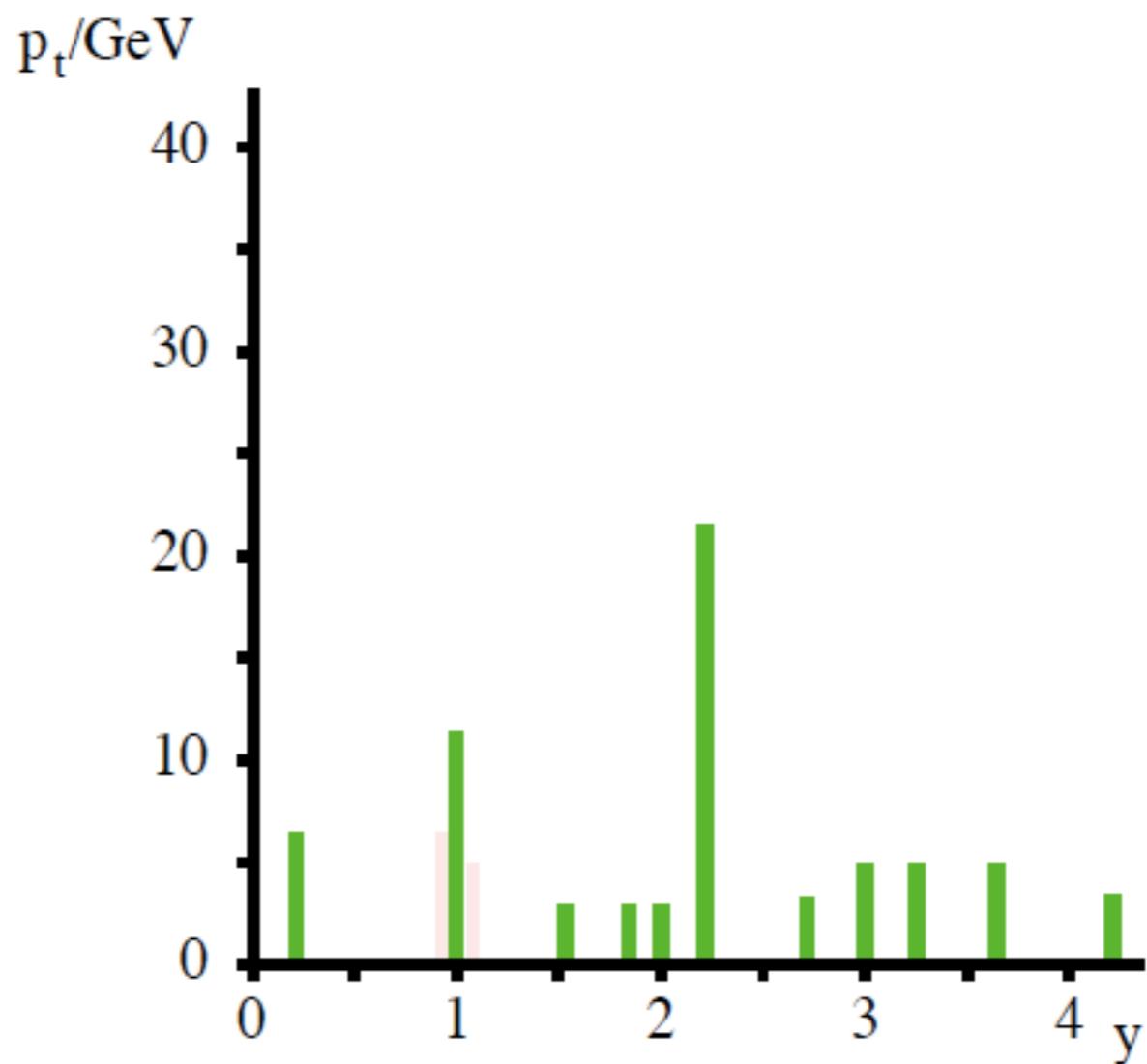
- Example with C/A algorithm [borrow from G. Salam]



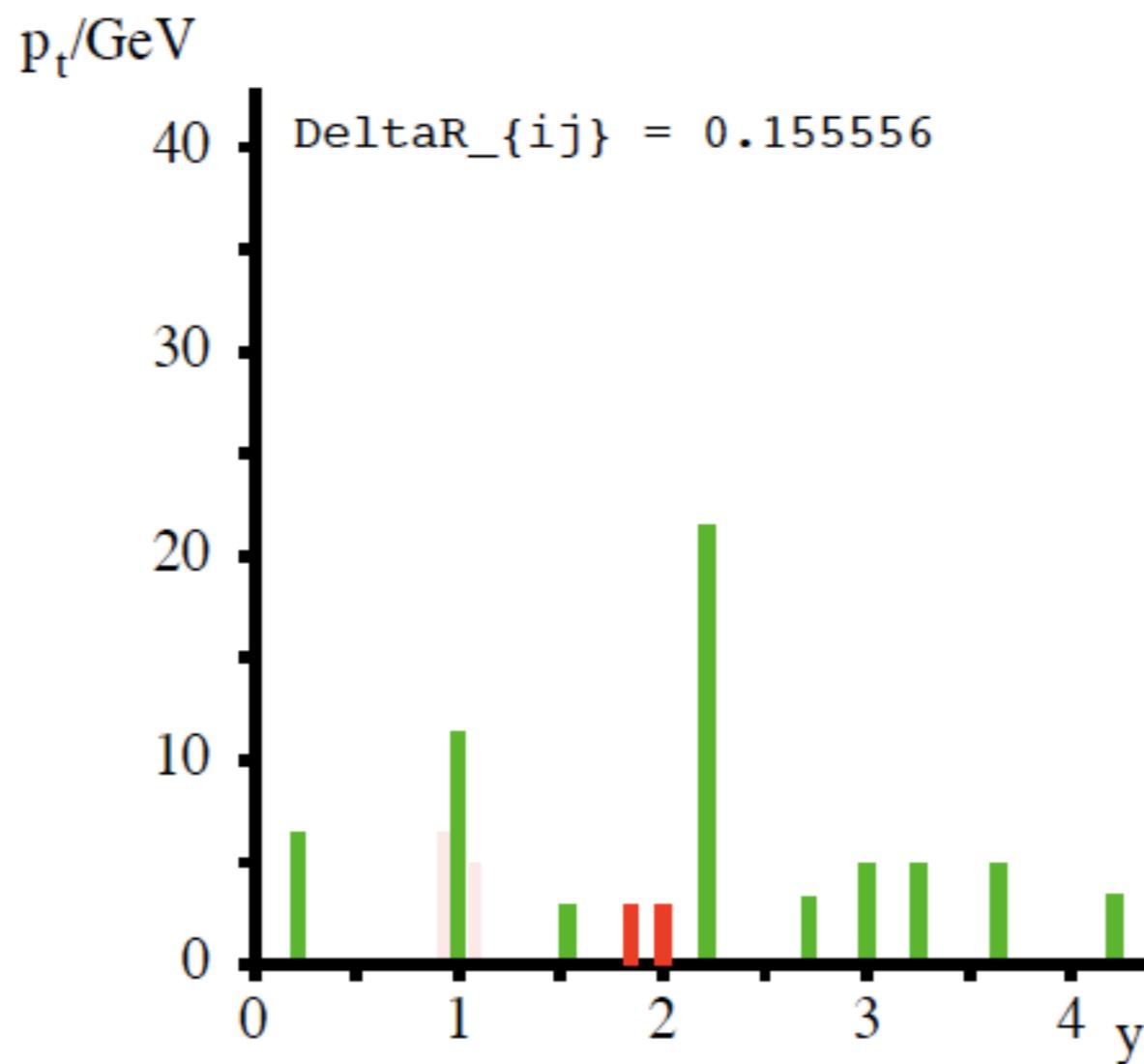
- Example with C/A algorithm [borrow from G. Salam]



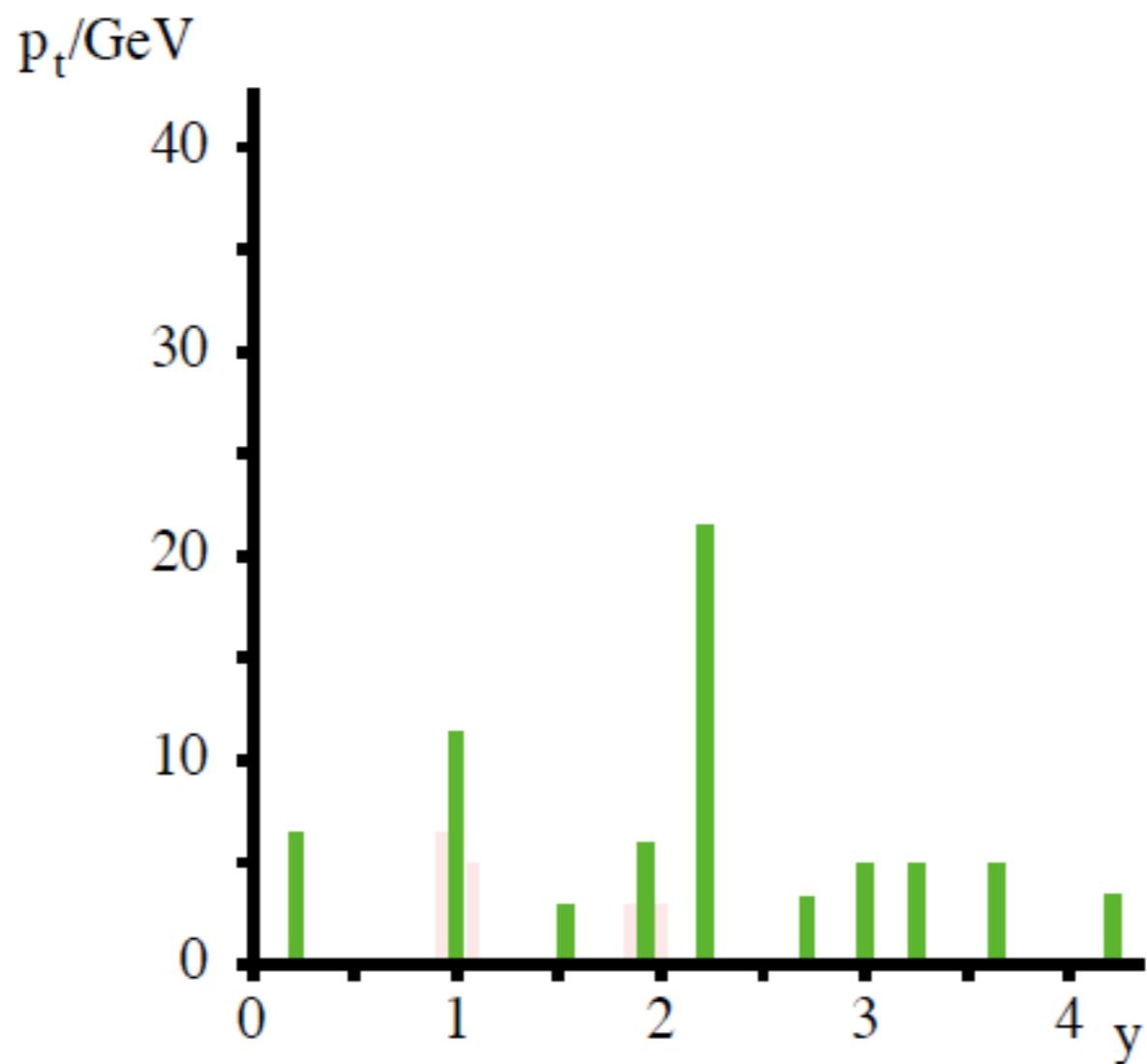
- Example with C/A algorithm [borrow from G. Salam]



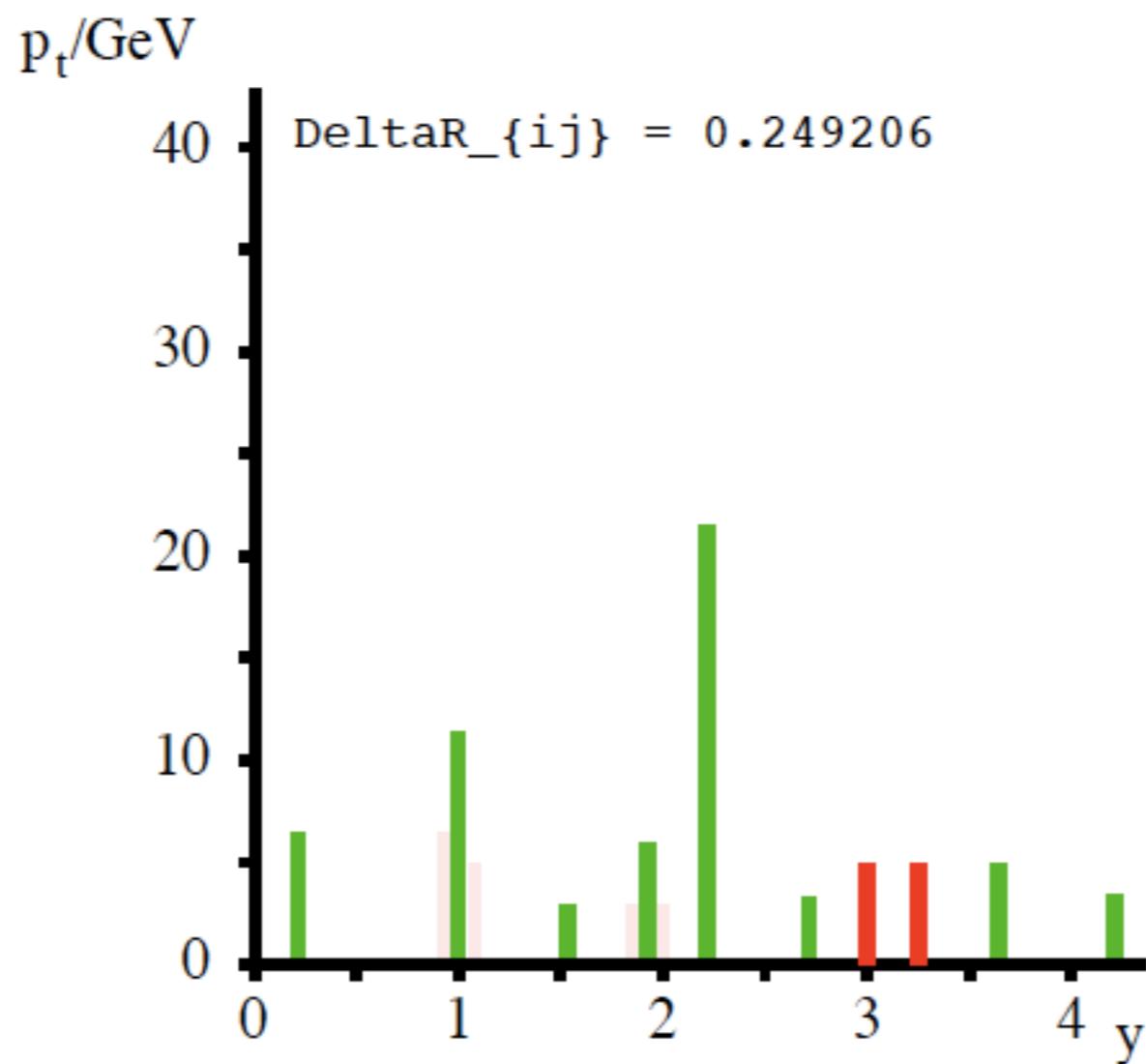
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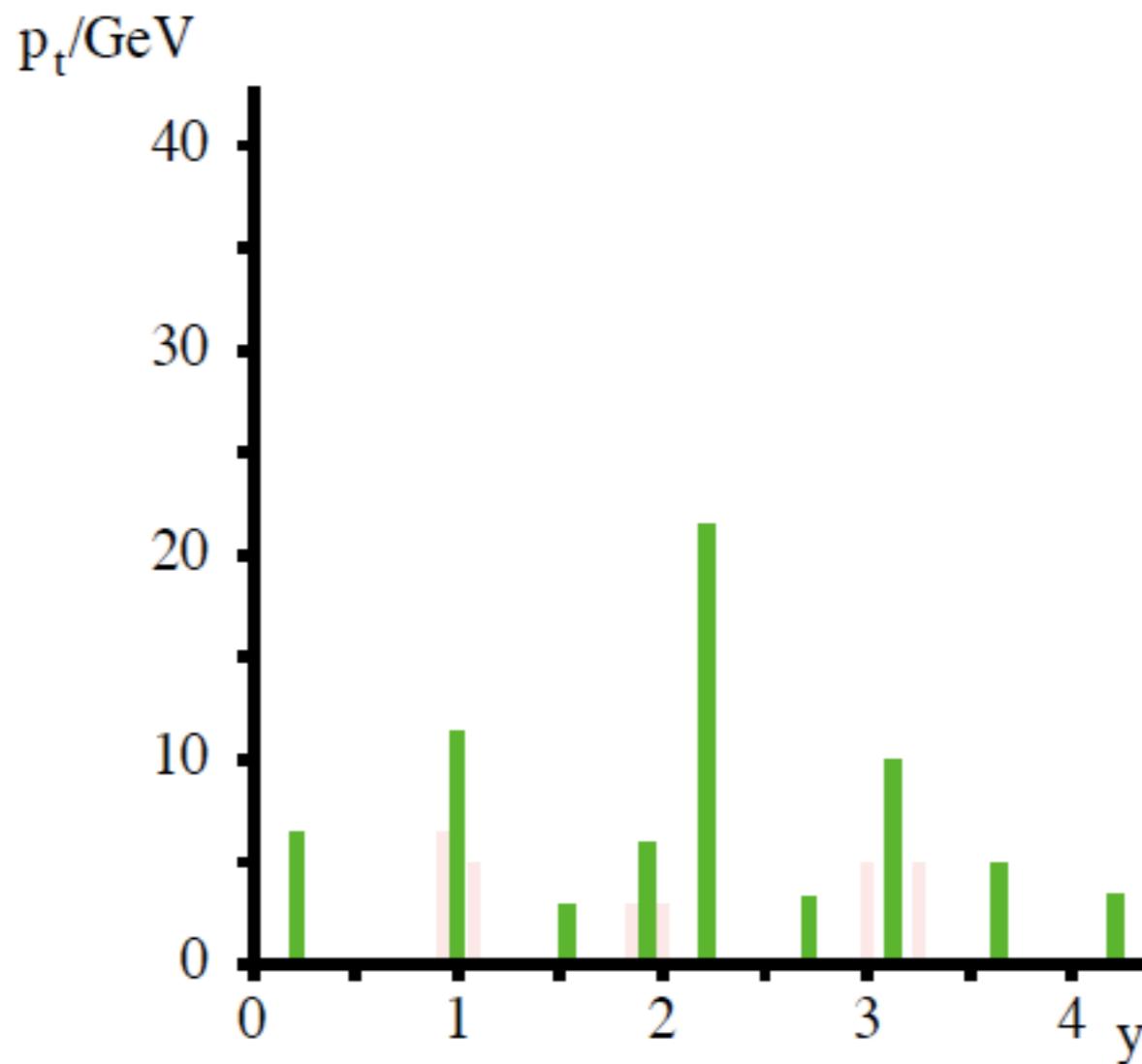
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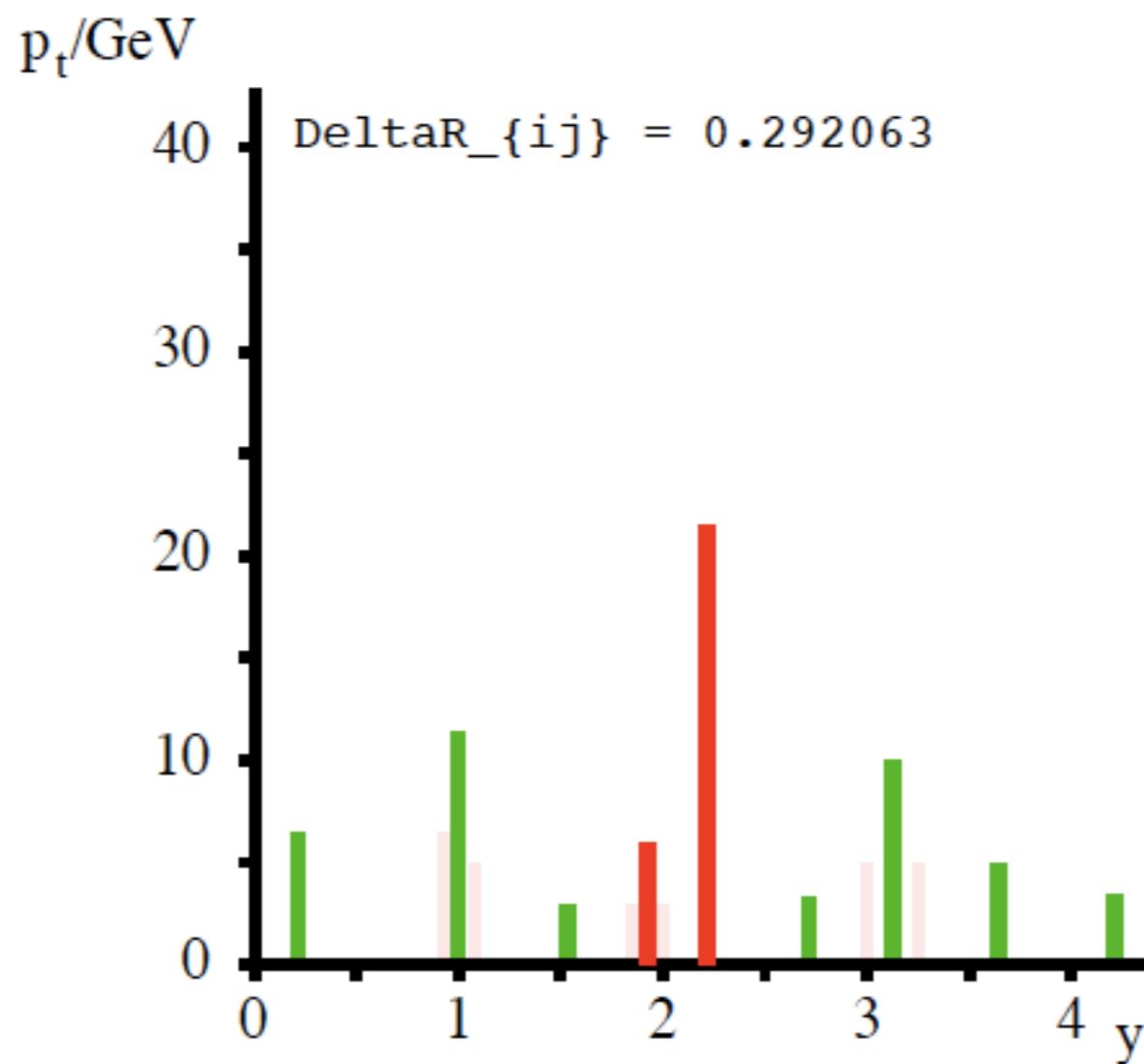
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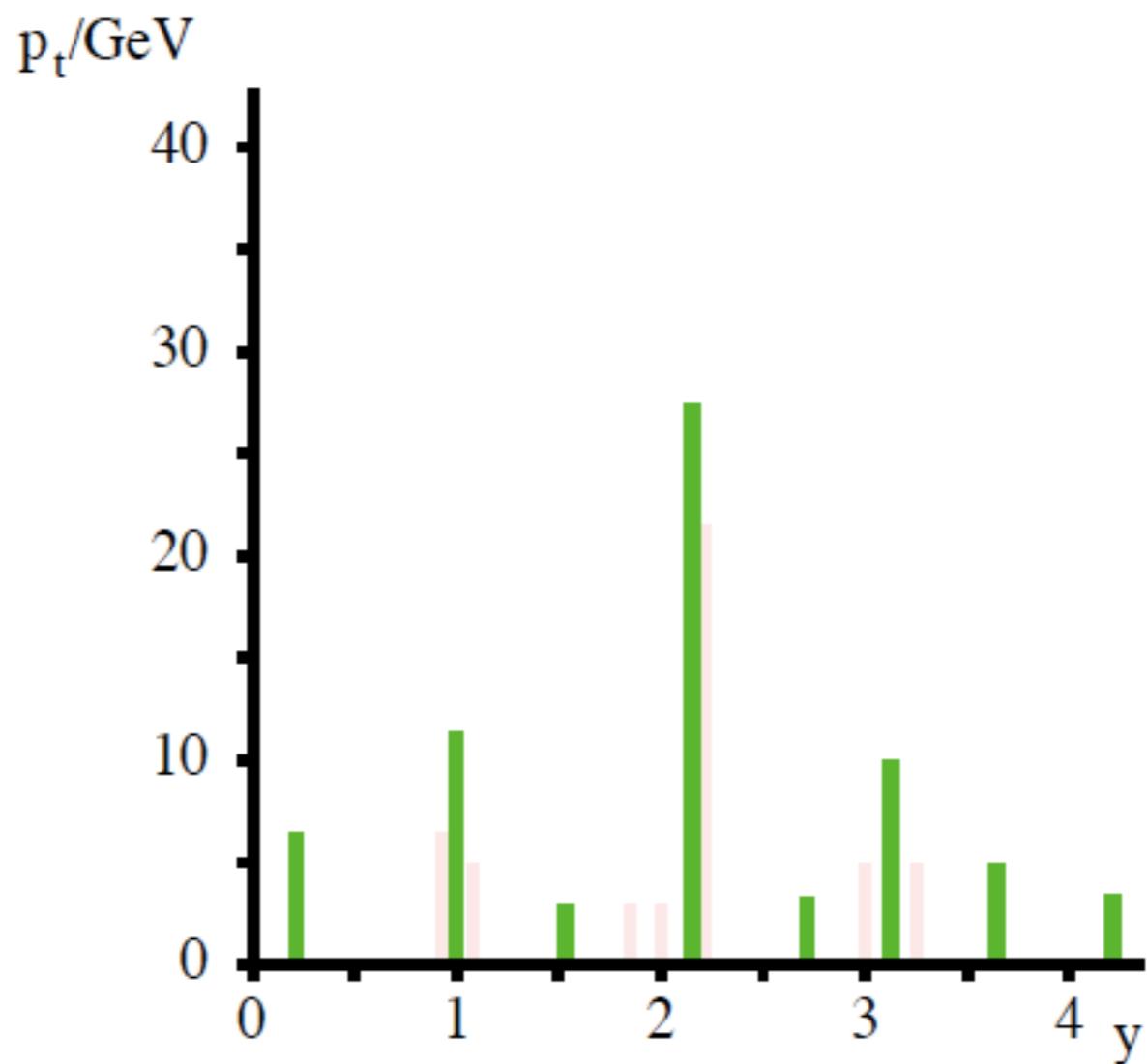
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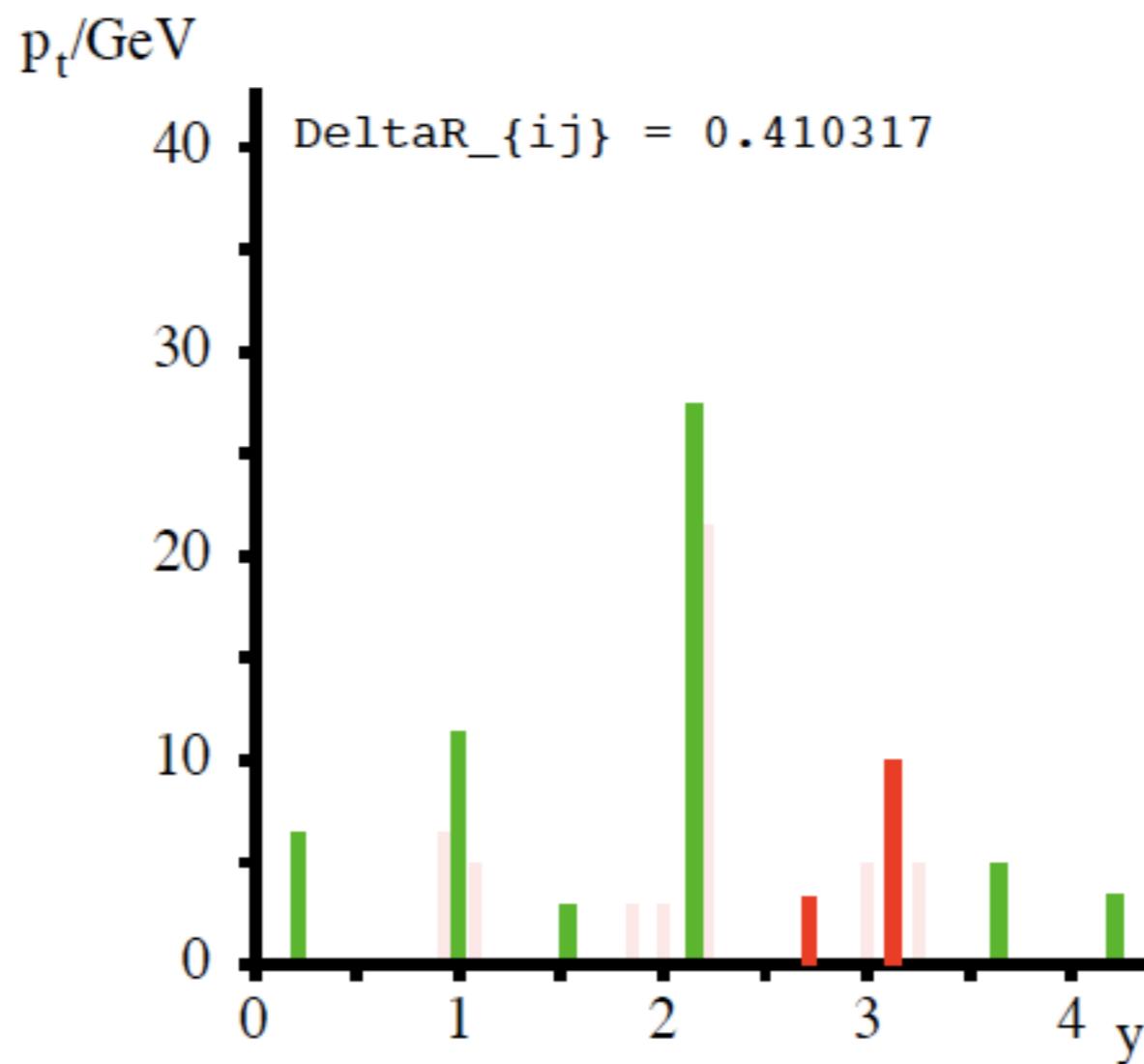
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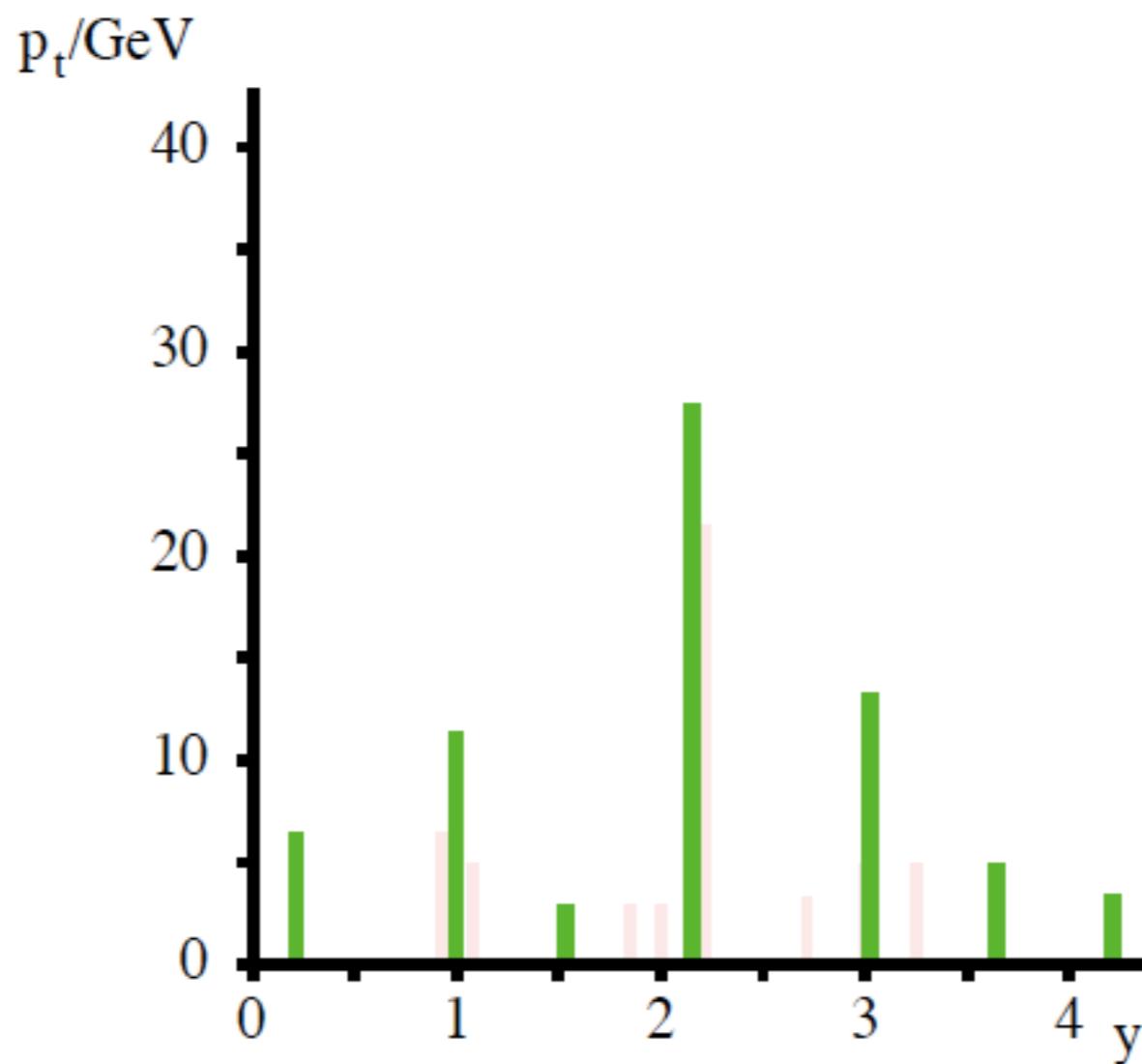
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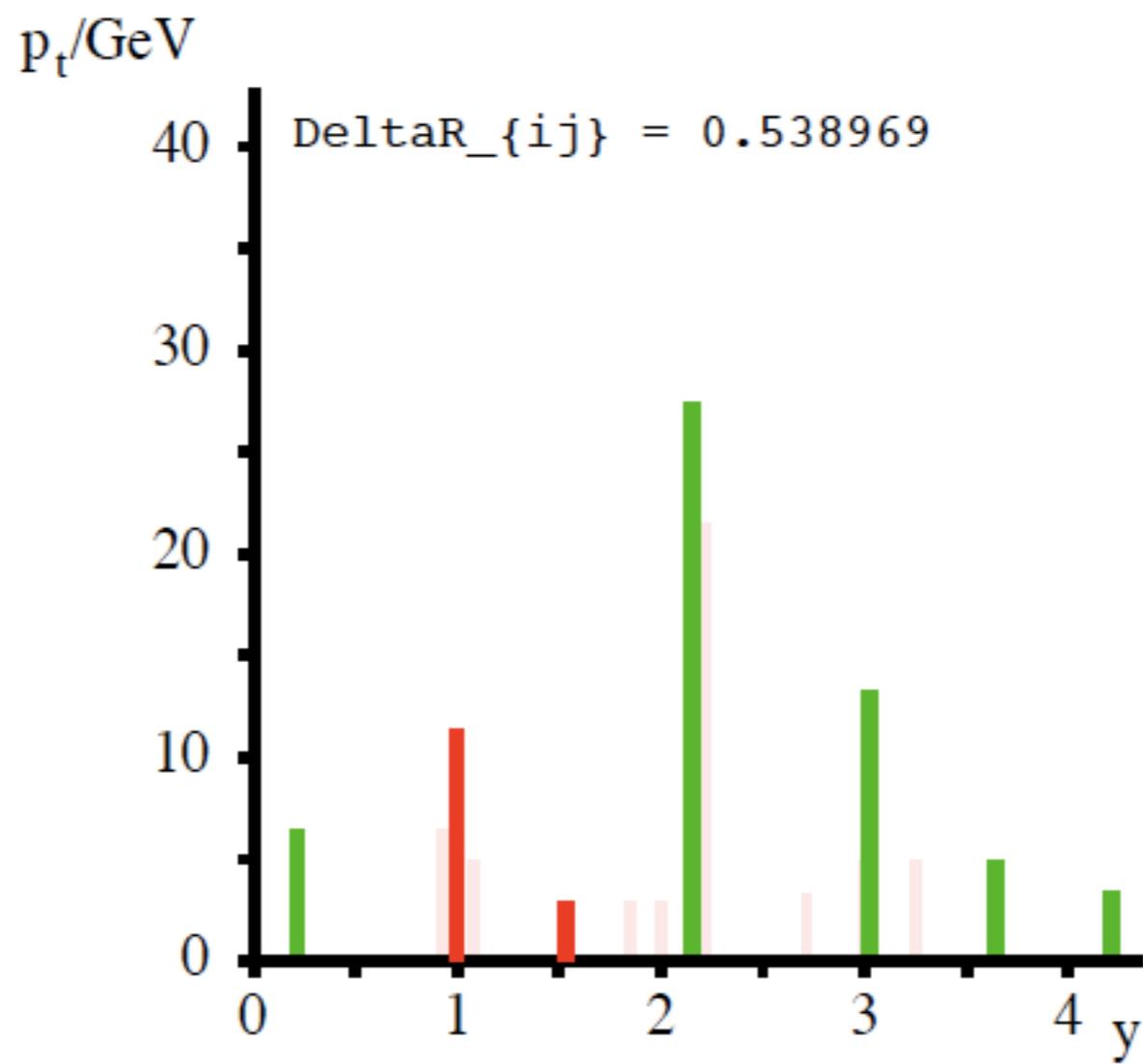
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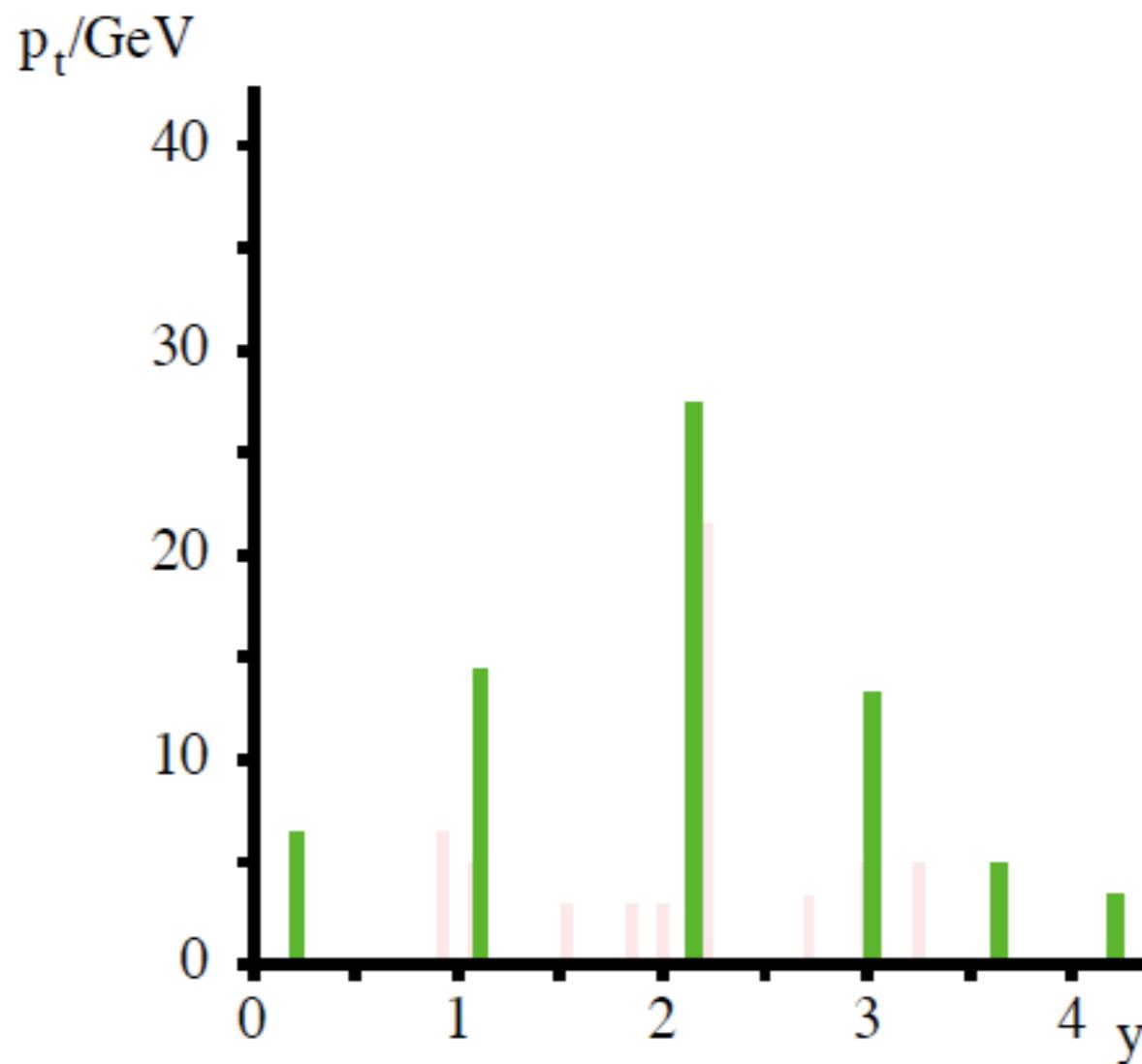
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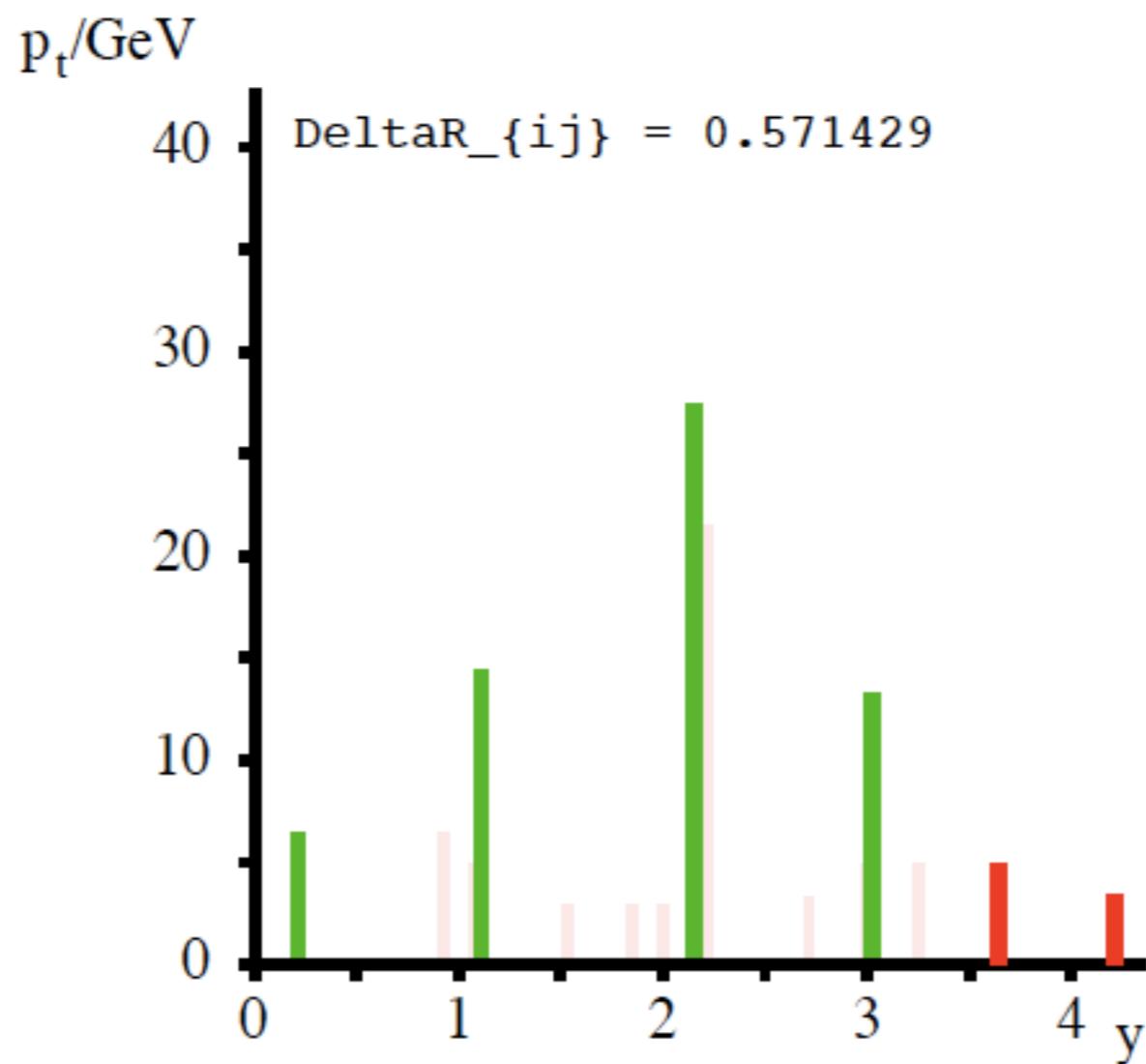
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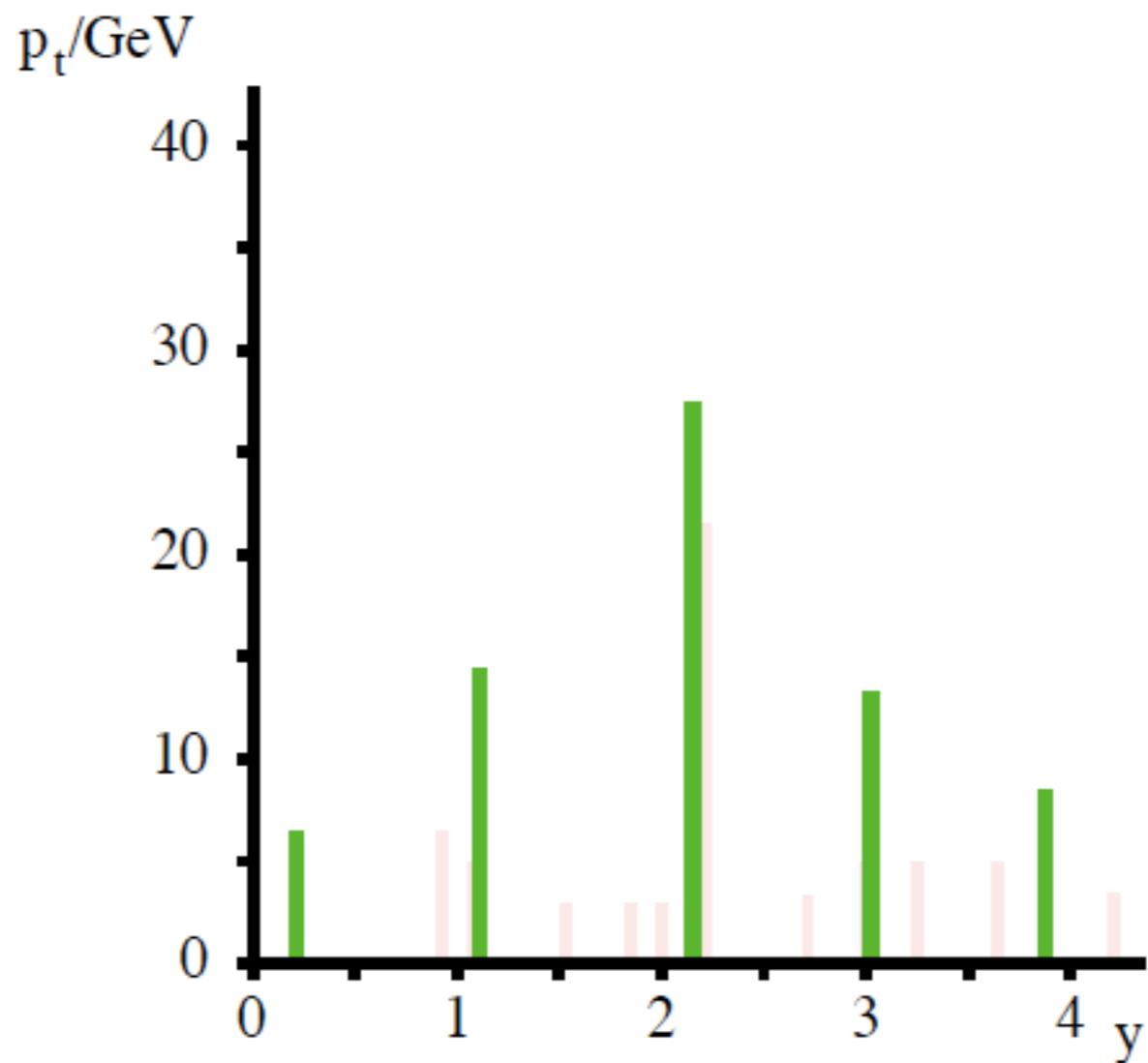
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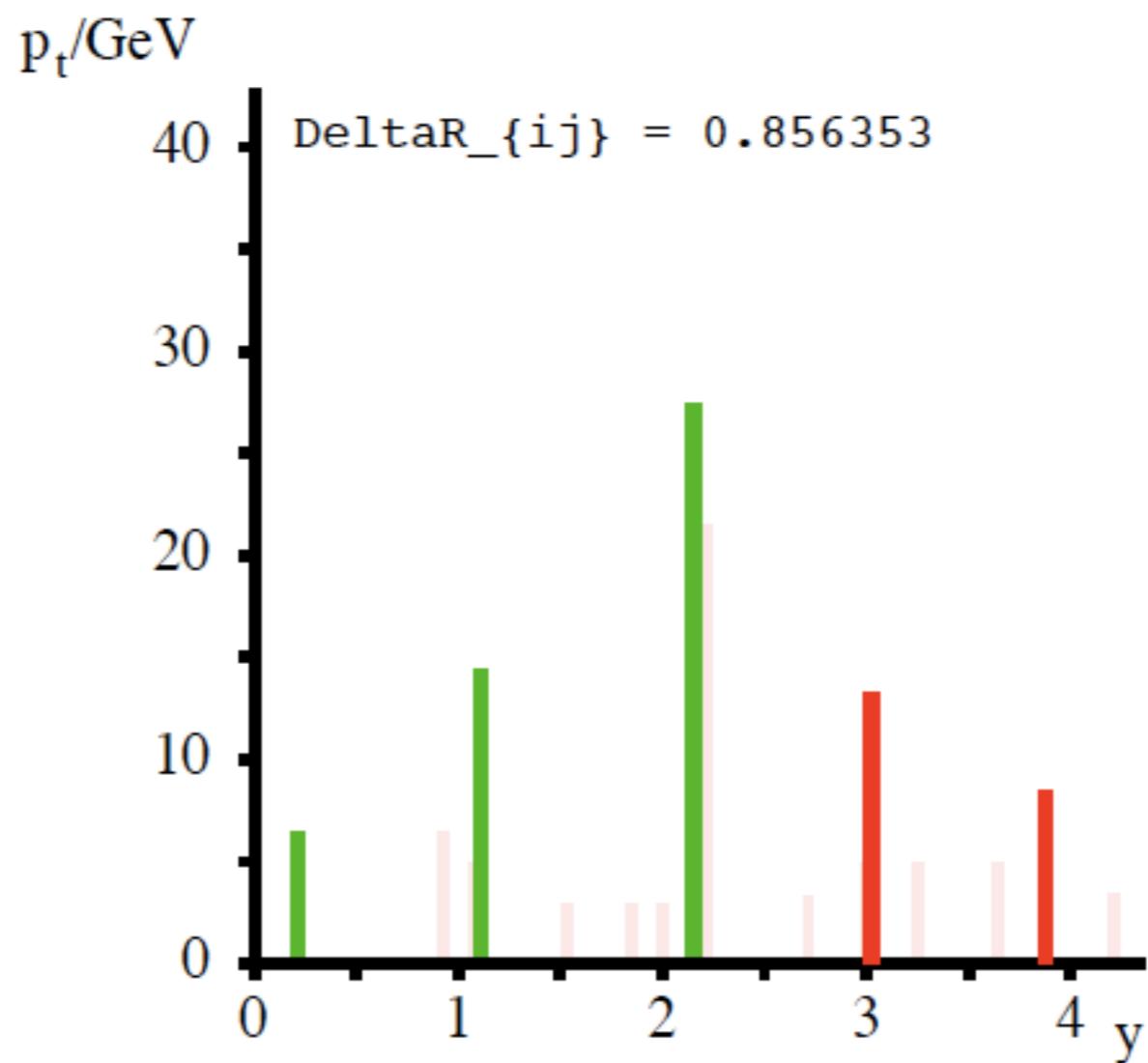
- Example with C/A algorithm [borrow from G. Salam]



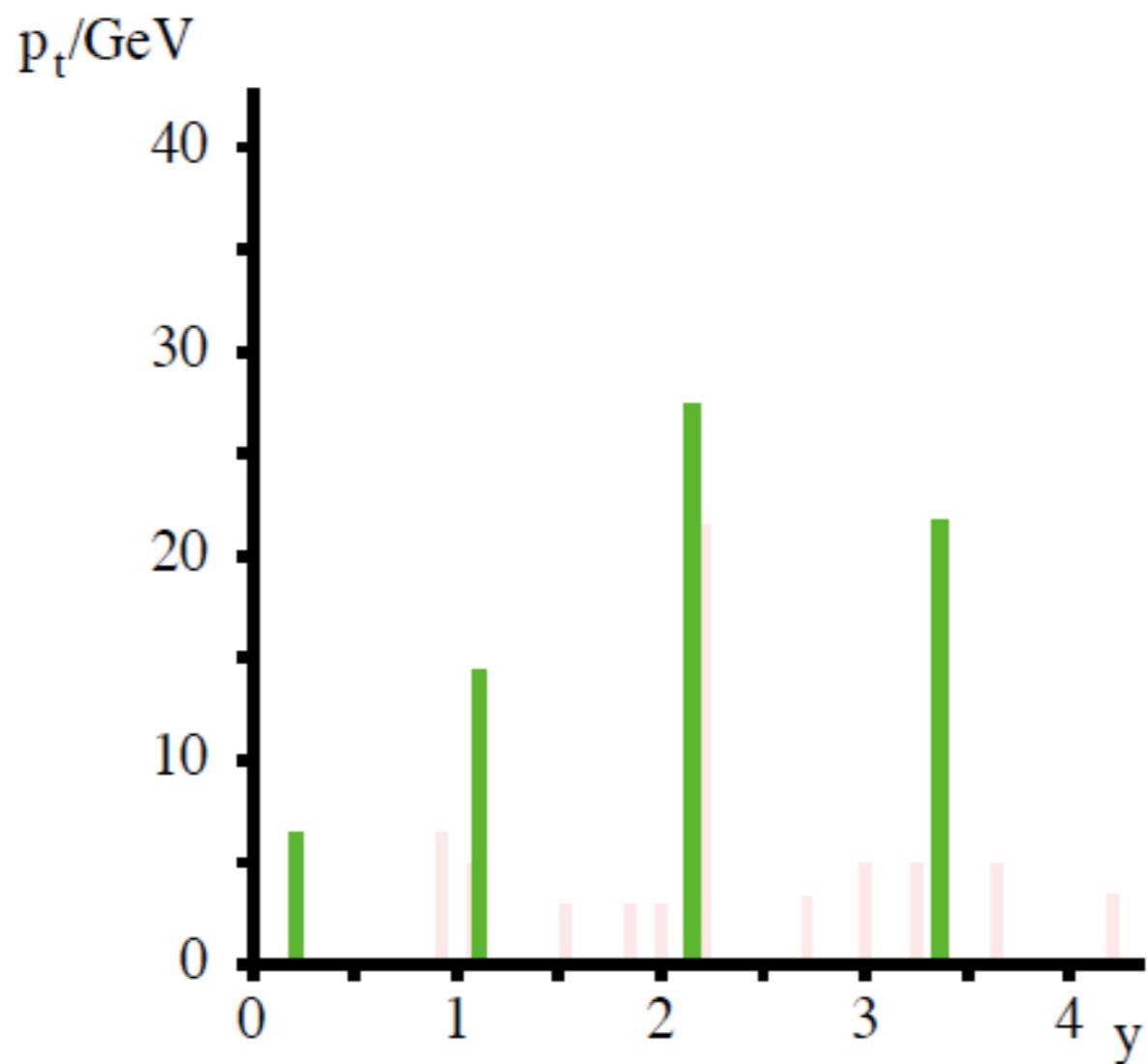
- Example with C/A algorithm [borrow from G. Salam]



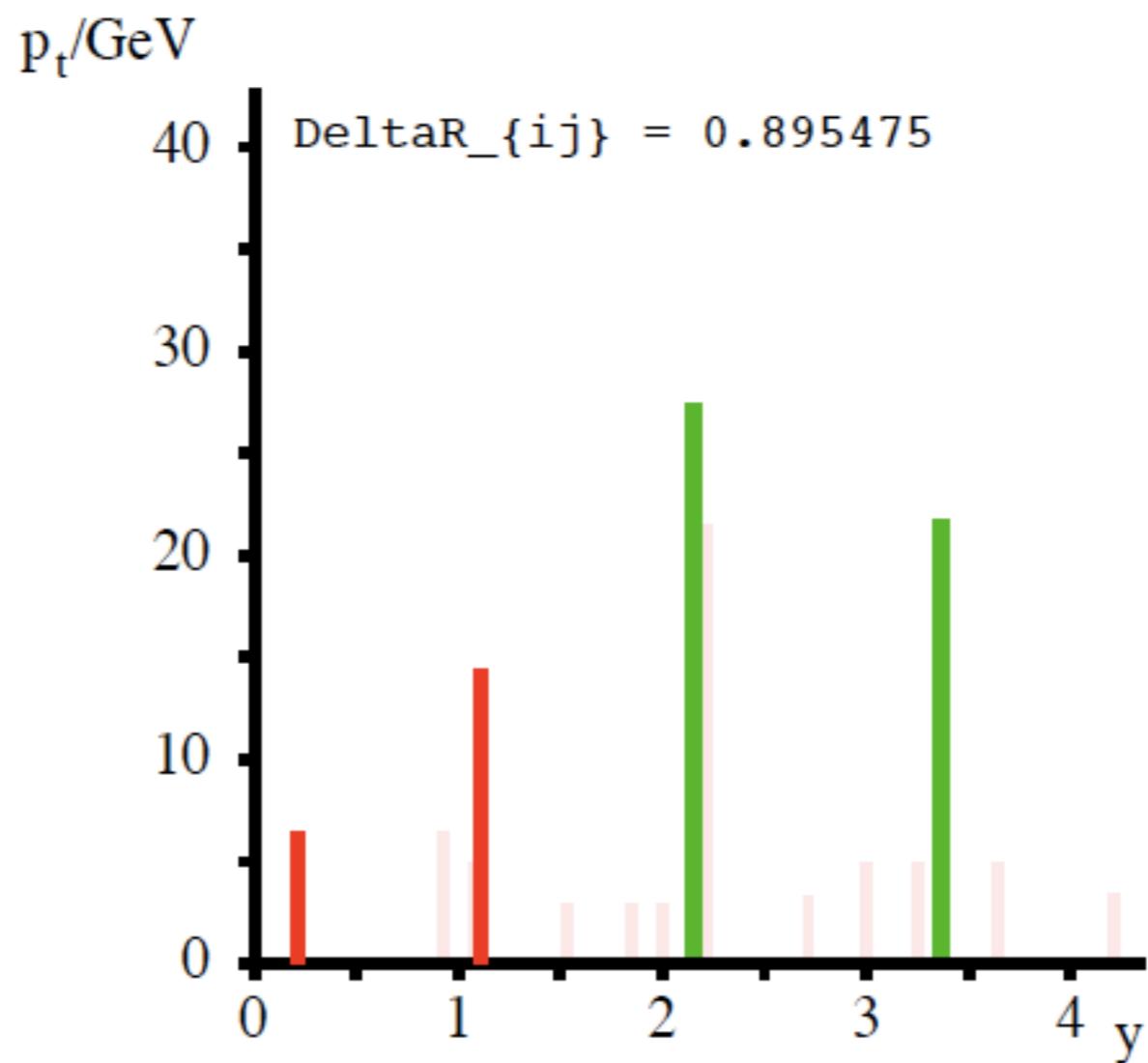
- Example with C/A algorithm [borrow from G. Salam]



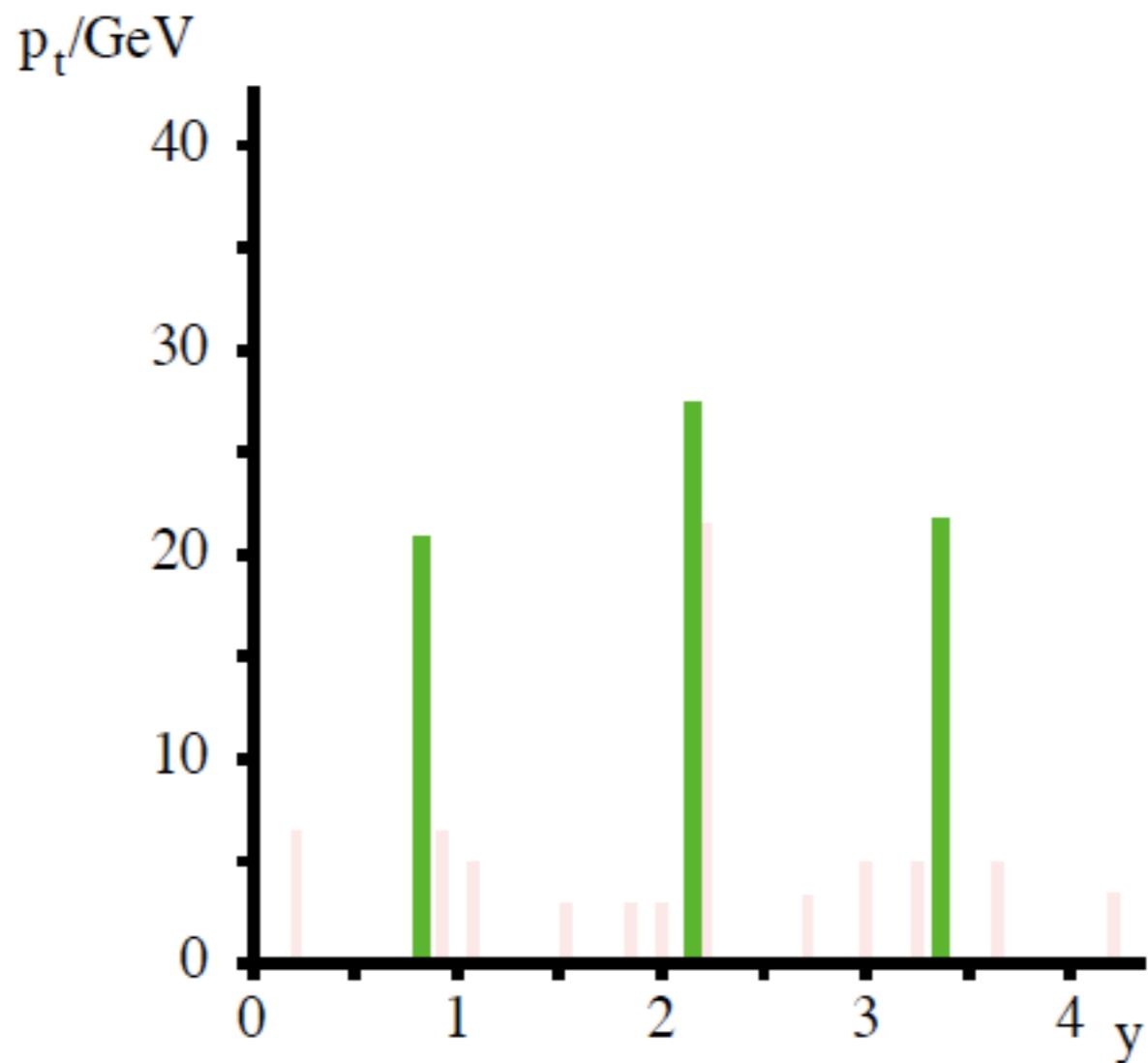
- Example with C/A algorithm [borrow from G. Salam]



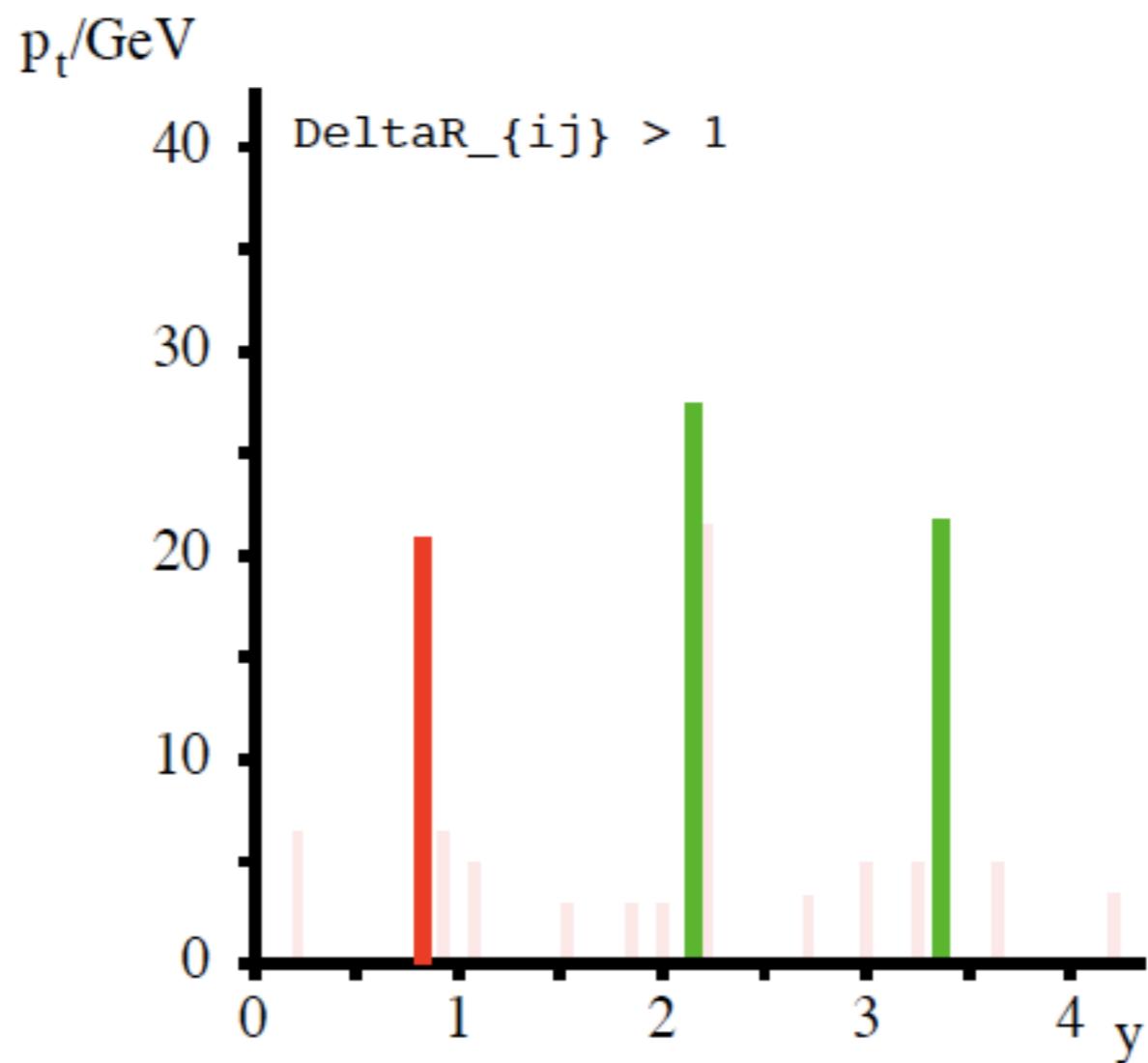
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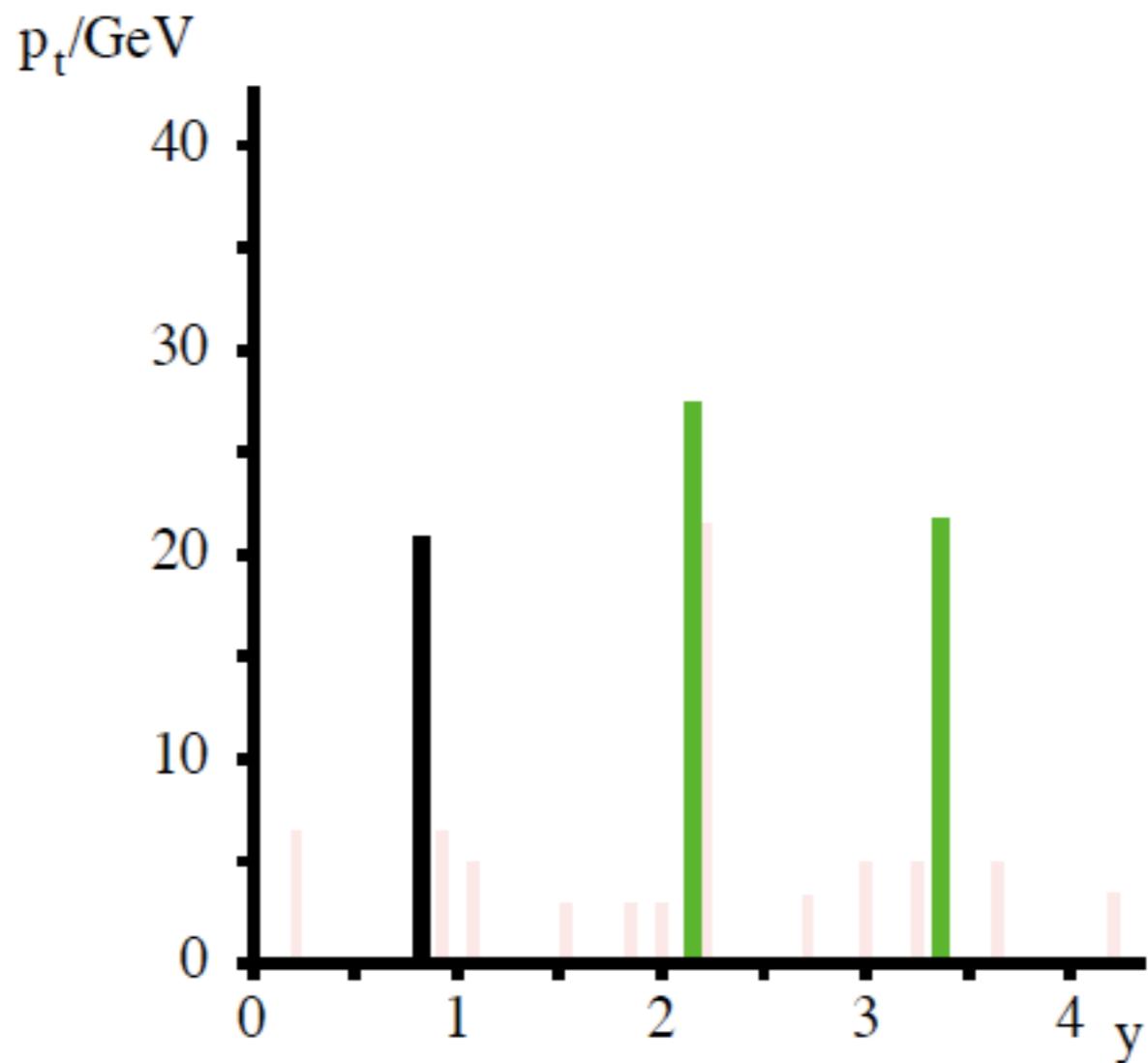
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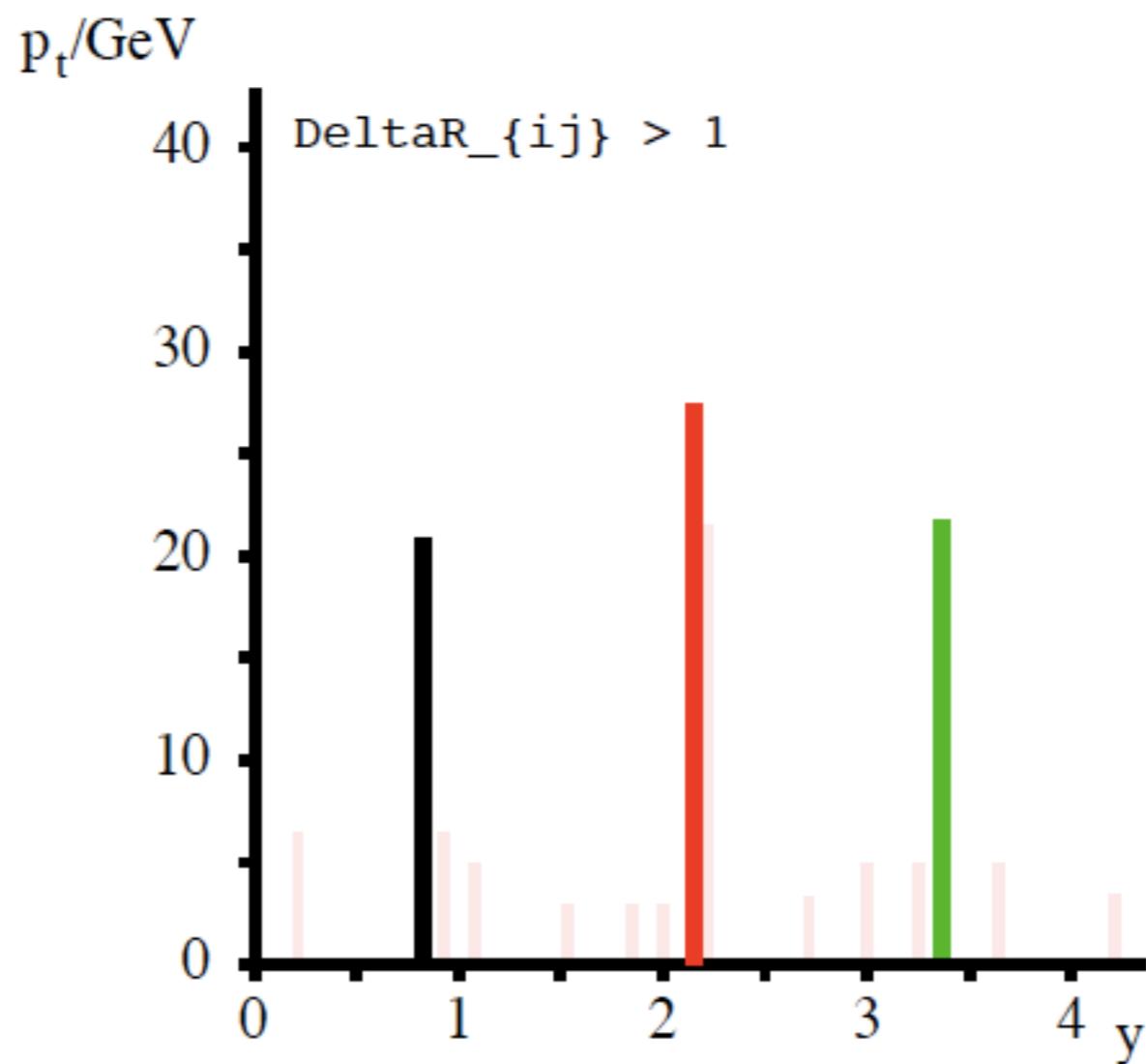
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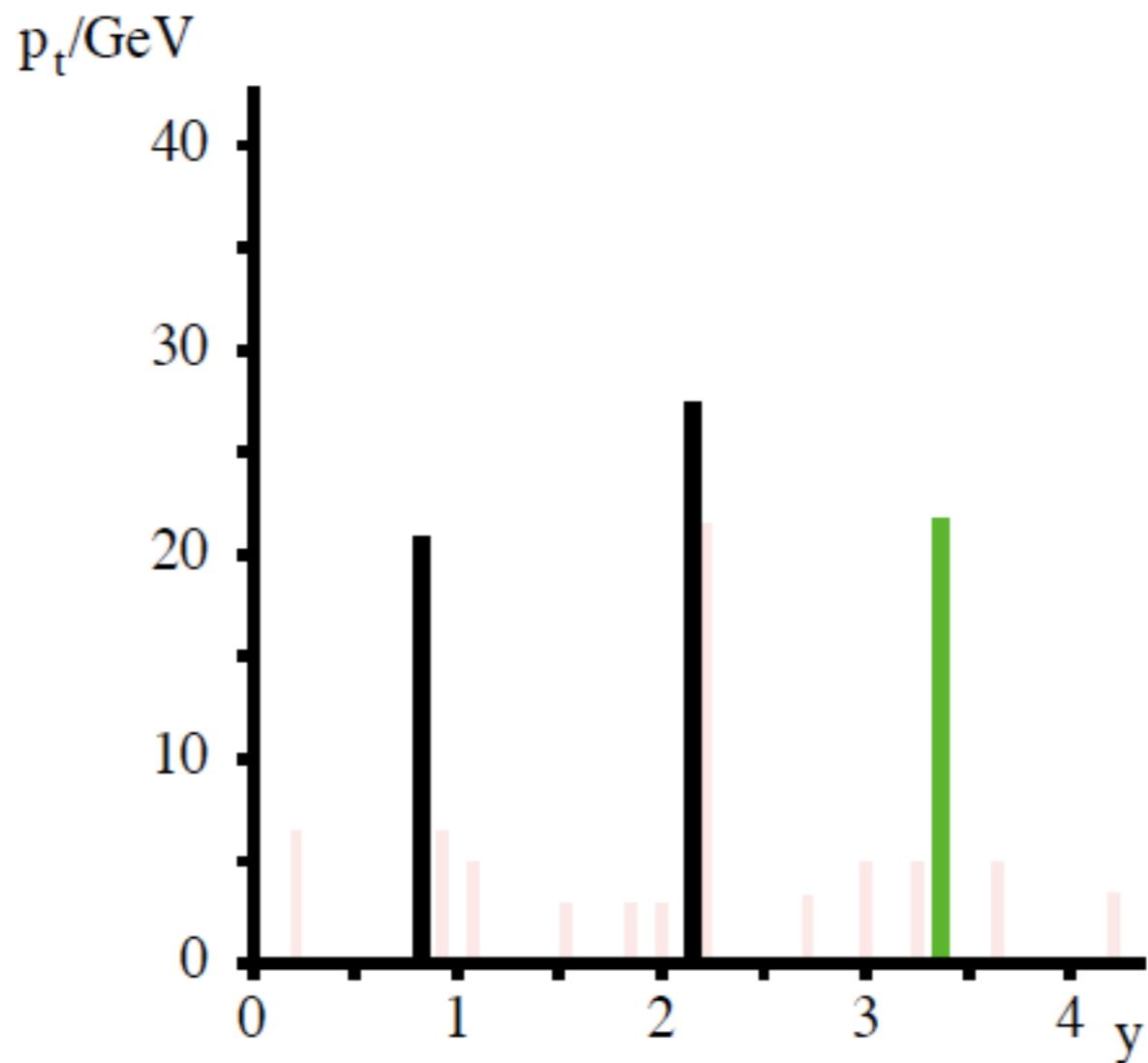
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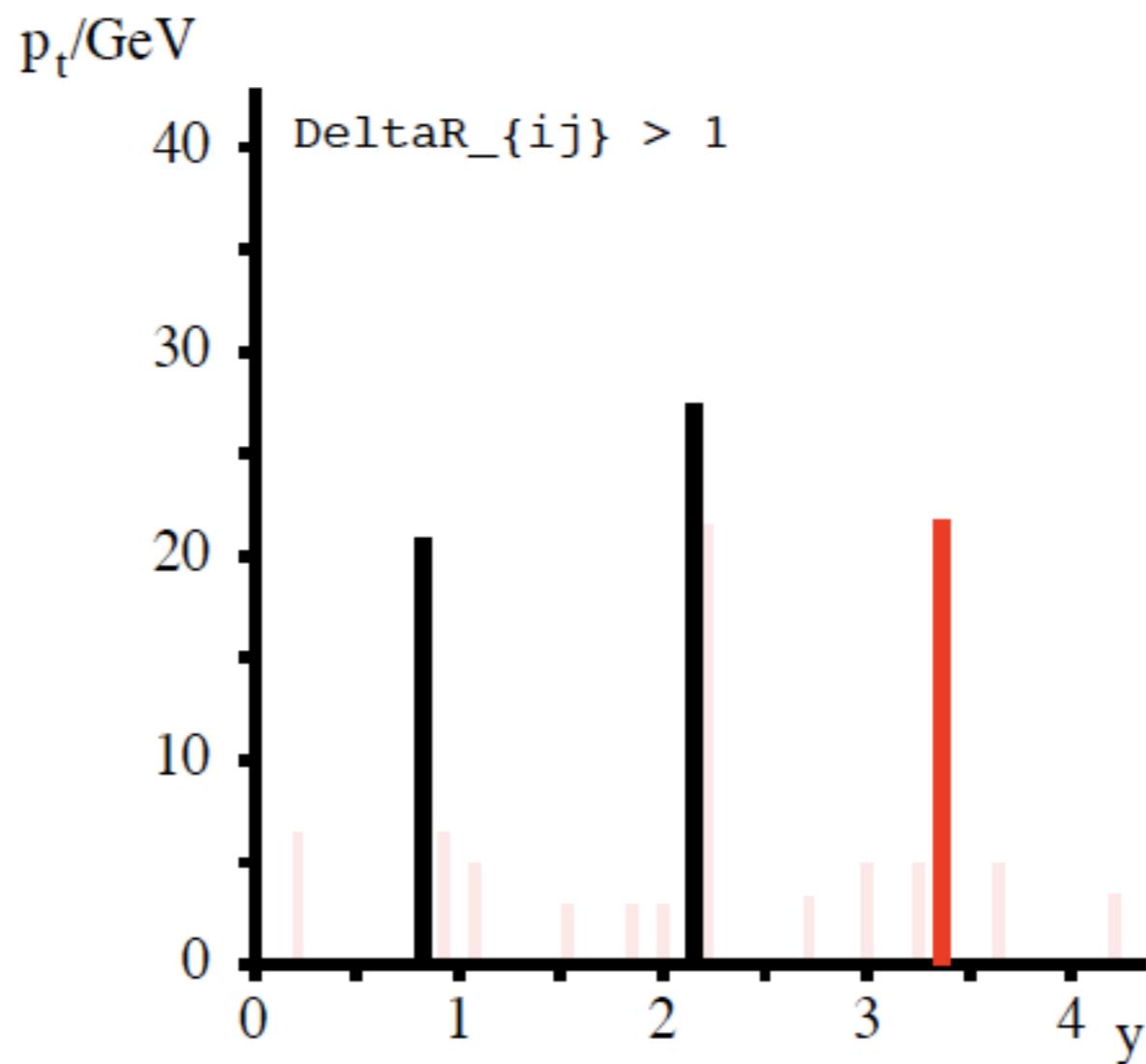
- Example with C/A algorithm [borrow from G. Salam]



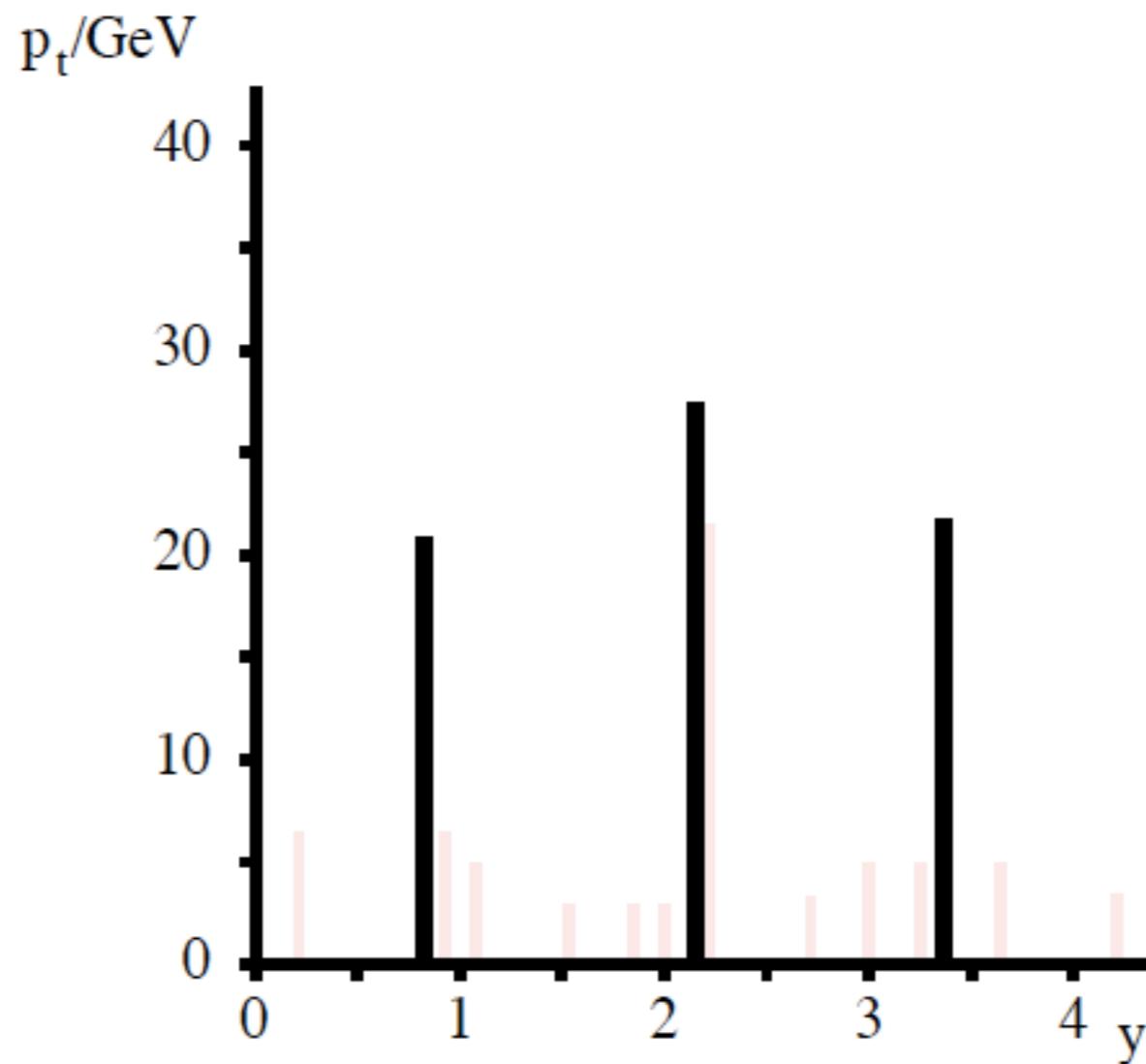
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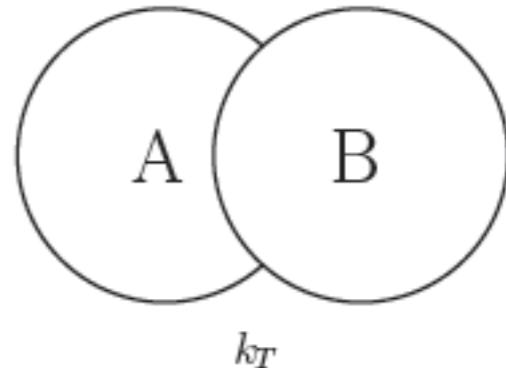


- Example with C/A algorithm [borrow from G. Salam]

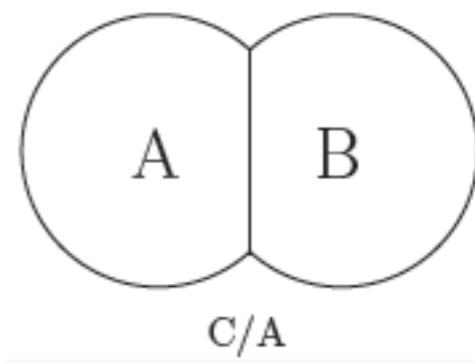


- The different algorithms lead to distinct jets shapes when they overlap

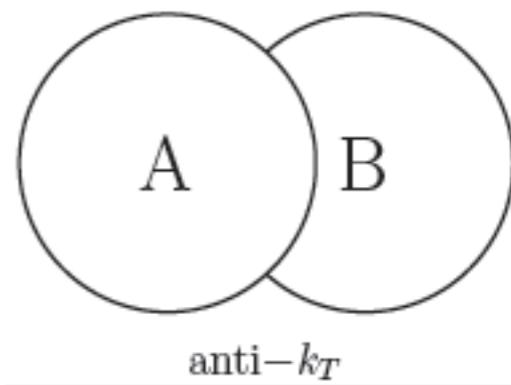
$kT$  ( $\text{I}$ ) starts around softer objects



C/A ( $0$ ) cares only about distances

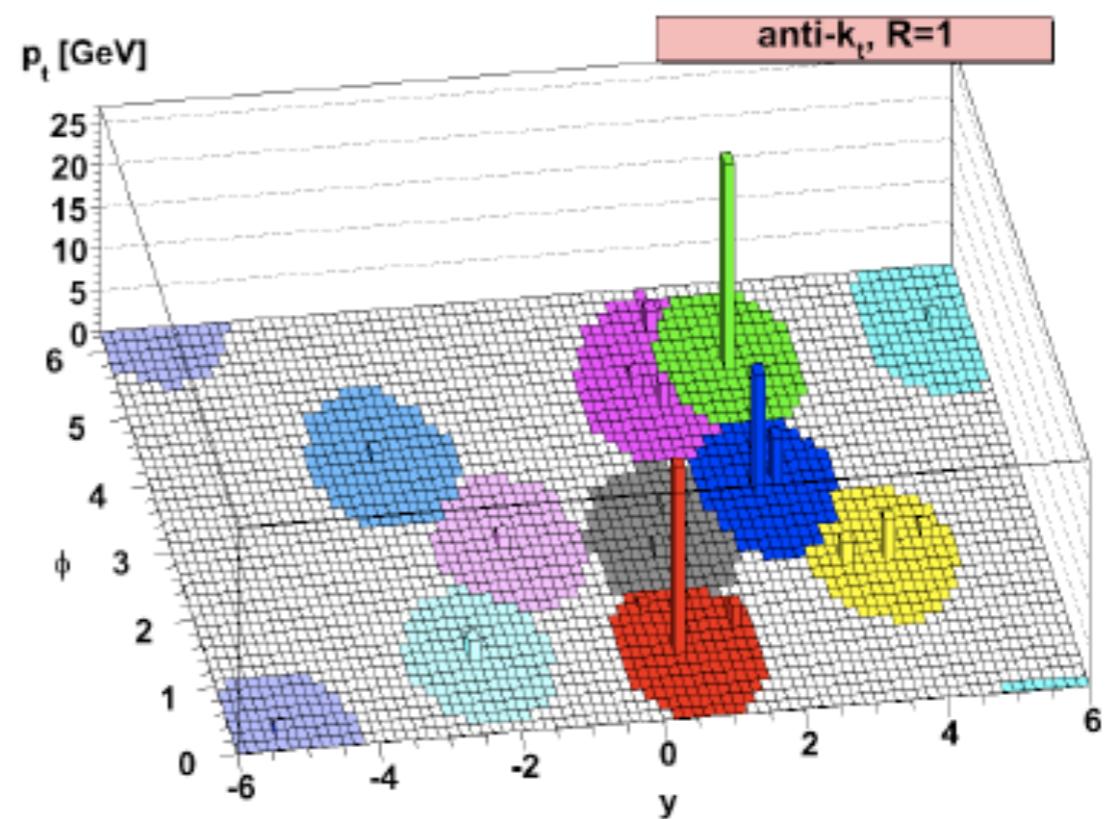
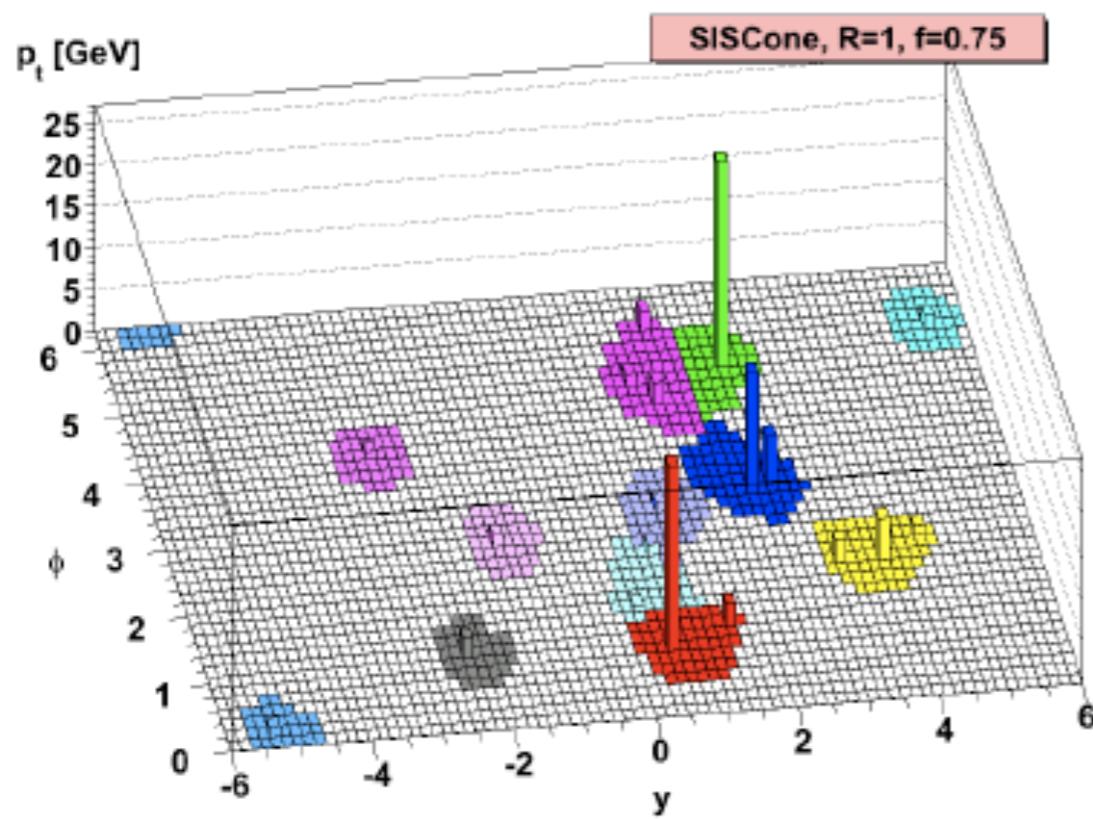
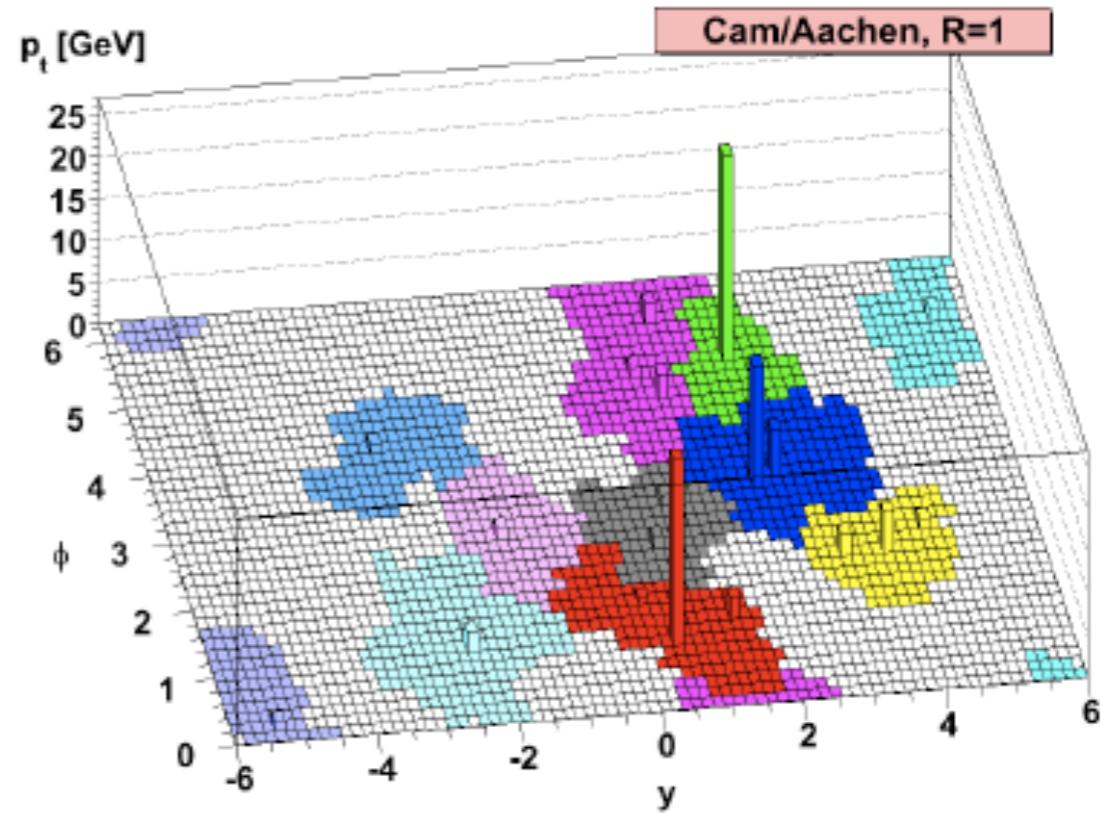
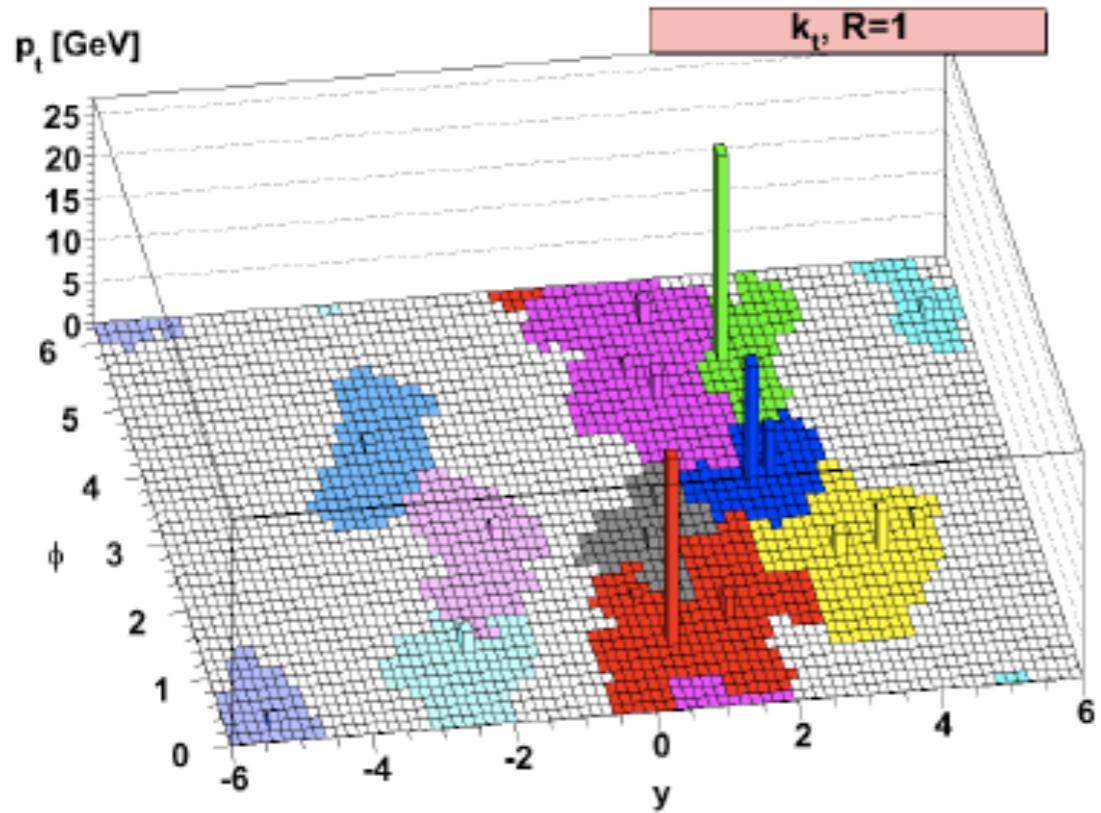


anti- $k_T$  ( $-1$ ) clusters around hard objects



$$d_{ij} = \min[p_{Ti}^{2\alpha}, p_{Ti}^{2\alpha}] \left( \frac{\Delta R_{ij}}{R} \right)^2 \quad \text{and} \quad d_{iB} = p_{Ti}^{2\alpha}$$

$$p_T^A > p_T^B$$



# Jet production

- The basic expression for 2 to 2 processes is

$$\frac{d\sigma}{dp_T^2} = \sum_{ij} \int dx_1 dx_2 \frac{f_i(x_1, Q_F^2) f_j(x_2, Q_F^2)}{(1 + \delta_{ij})} \times \frac{d\hat{\sigma}}{dp_T^2}$$

- + In the jet-jet CMS  $\implies dy_1 dy_2 dp_T^2 = \frac{1}{2} s dx_1 dx_2 d \cos \theta^*$

$$\frac{d^3\sigma}{dy_1 dy_2 dp_T^2} = \frac{1}{16\pi s^2} \sum_{ij} \frac{f_i(x_1, Q_F^2) f_j(x_2, Q_F^2)}{(1 + \delta_{ij}) x_1 x_2} \times \overline{\sum} |M(ij \rightarrow kl)|^2$$

with

$$x_1 = \frac{x_T}{2} (e^{y_1} + e^{y_2}) \quad ; \quad x_2 = \frac{x_T}{2} (e^{-y_1} + e^{-y_2}) \quad \mathbf{x_T} = \frac{2\mathbf{p_T}}{\sqrt{s}}$$

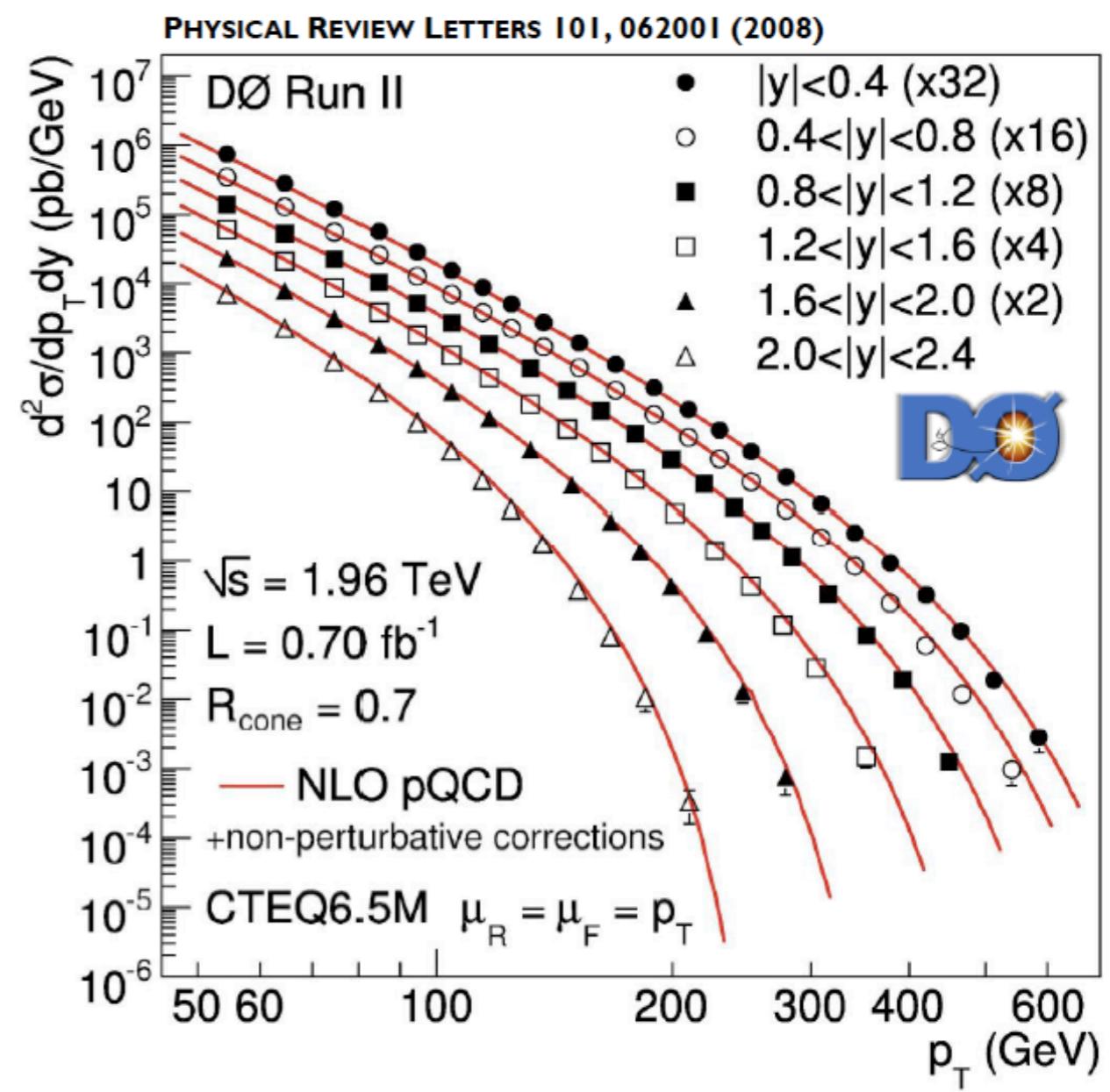
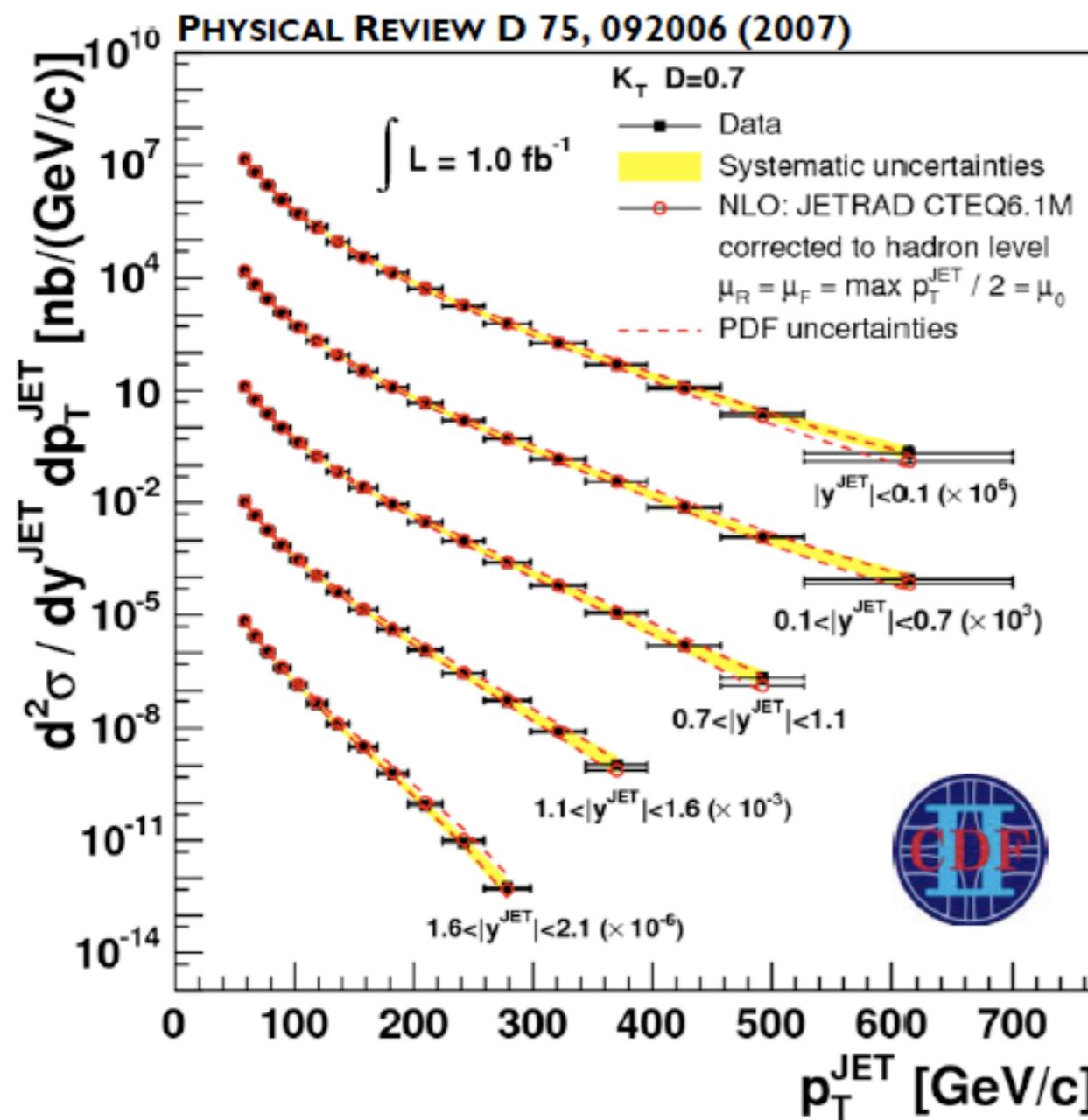
- + The LO processes leading to jets are (gluon in the  $t$ -channel)

| Process                           | $\frac{32\pi^2}{\alpha_s^2} \frac{d\hat{\sigma}}{d\Omega}$   | at 90 degrees |
|-----------------------------------|--|---------------|
| $qq' \rightarrow qq'$             | $\frac{1}{2\hat{s}} \frac{4}{9} \frac{\hat{s}^2 + \hat{u}^2}{\hat{t}^2}$   | 2.2           |
| $qq \rightarrow qq$               | $\frac{1}{2} \frac{1}{2\hat{s}} \left[ \frac{4}{9} \left( \frac{\hat{s}^2 + \hat{u}^2}{\hat{t}^2} + \frac{\hat{s}^2 + \hat{t}^2}{\hat{u}^2} \right) - \frac{8}{27} \frac{\hat{s}^2}{\hat{u}\hat{t}} \right]$ | 3.3           |
| $q\bar{q} \rightarrow q'\bar{q}'$ | $\frac{1}{2\hat{s}} \frac{4}{9} \frac{\hat{t}^2 + \hat{u}^2}{\hat{s}^2}$   | 0.2           |
| $q\bar{q} \rightarrow q\bar{q}$   | $\frac{1}{2\hat{s}} \left[ \frac{4}{9} \left( \frac{\hat{s}^2 + \hat{u}^2}{\hat{t}^2} + \frac{\hat{t}^2 + \hat{u}^2}{\hat{s}^2} \right) - \frac{8}{27} \frac{\hat{u}^2}{\hat{s}\hat{t}} \right]$             | 2.6           |
| $q\bar{q} \rightarrow gg$         | $\frac{1}{2} \frac{1}{2\hat{s}} \left[ \frac{32}{27} \frac{\hat{t}^2 + \hat{u}^2}{\hat{t}\hat{u}} - \frac{8}{3} \frac{\hat{t}^2 + \hat{u}^2}{\hat{s}^2} \right]$   | 1.0           |
| $gg \rightarrow q\bar{q}$         | $\frac{1}{2\hat{s}} \left[ \frac{1}{6} \frac{\hat{t}^2 + \hat{u}^2}{\hat{t}\hat{u}} - \frac{3}{8} \frac{\hat{t}^2 + \hat{u}^2}{\hat{s}^2} \right]$   | 0.1           |
| $gq \rightarrow gq$               | $\frac{1}{2\hat{s}} \left[ -\frac{4}{9} \frac{\hat{s}^2 + \hat{u}^2}{\hat{s}\hat{u}} + \frac{\hat{u}^2 + \hat{s}^2}{\hat{t}^2} \right]$  | 6.1           |
| $gg \rightarrow gg$               | $\frac{1}{2} \frac{1}{2\hat{s}} \frac{9}{2} \left( 3 - \frac{\hat{t}\hat{u}}{\hat{s}^2} - \frac{\hat{s}\hat{u}}{\hat{t}^2} - \frac{\hat{s}\hat{t}}{\hat{u}^2} \right)$                                       | 30.4          |

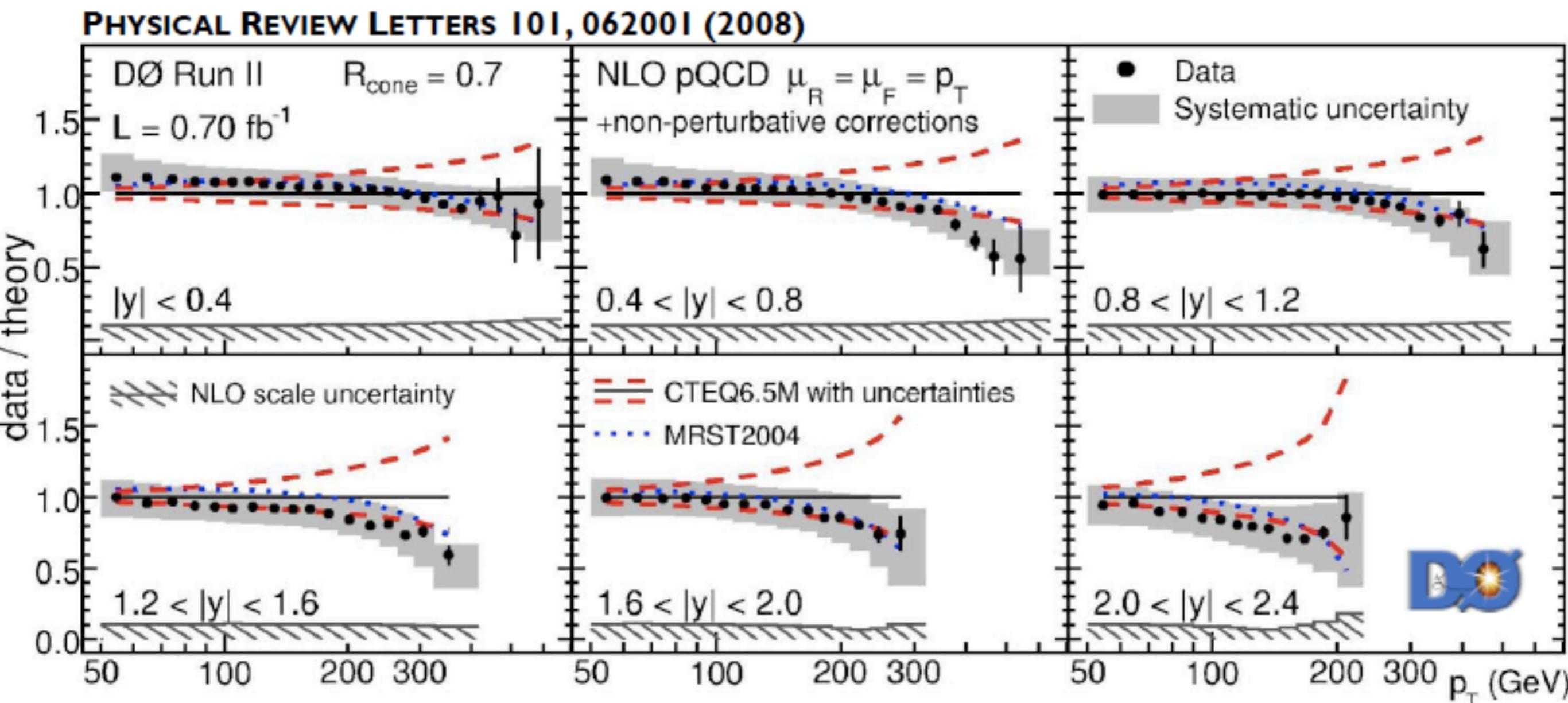
with  $\hat{t} = -\hat{s}(1 - \cos\theta)/2$  and  $\hat{u} = -\hat{s}(1 + \cos\theta)/2$

# Tevatron results

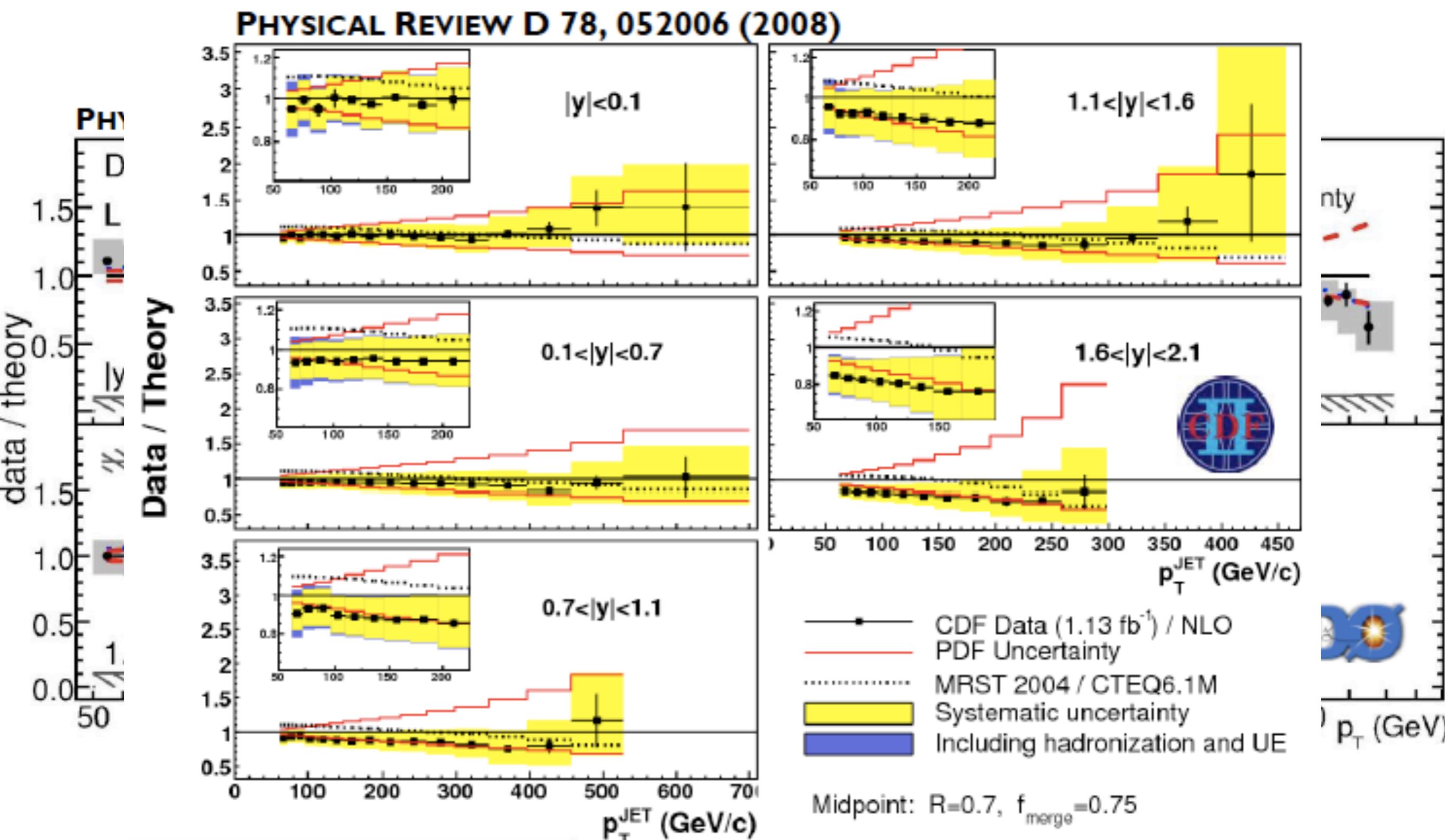
the inclusive jet cross section does agree with NLO QCD over 8 orders of magnitude!



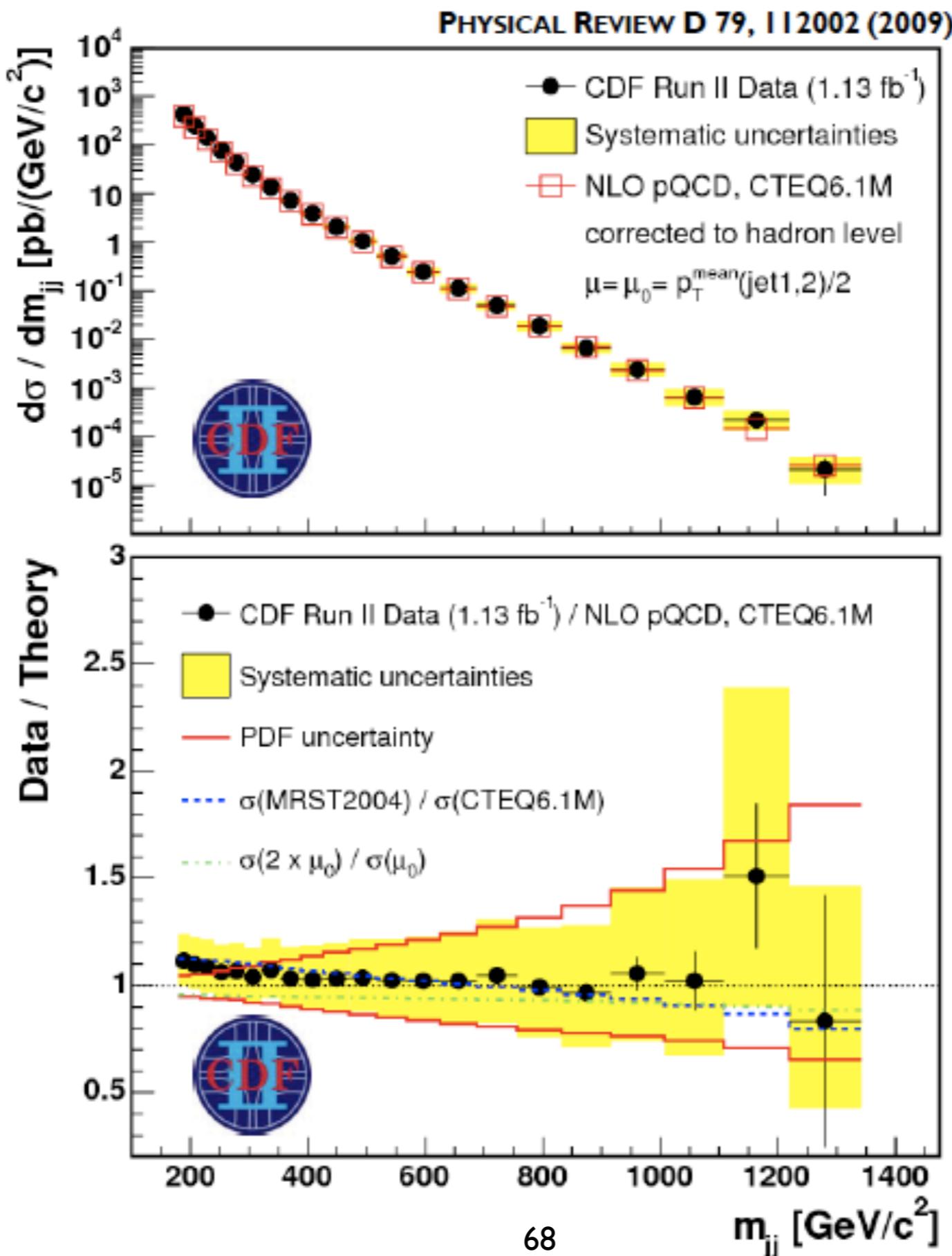
- Let's look the results without the dirt trick of log plots



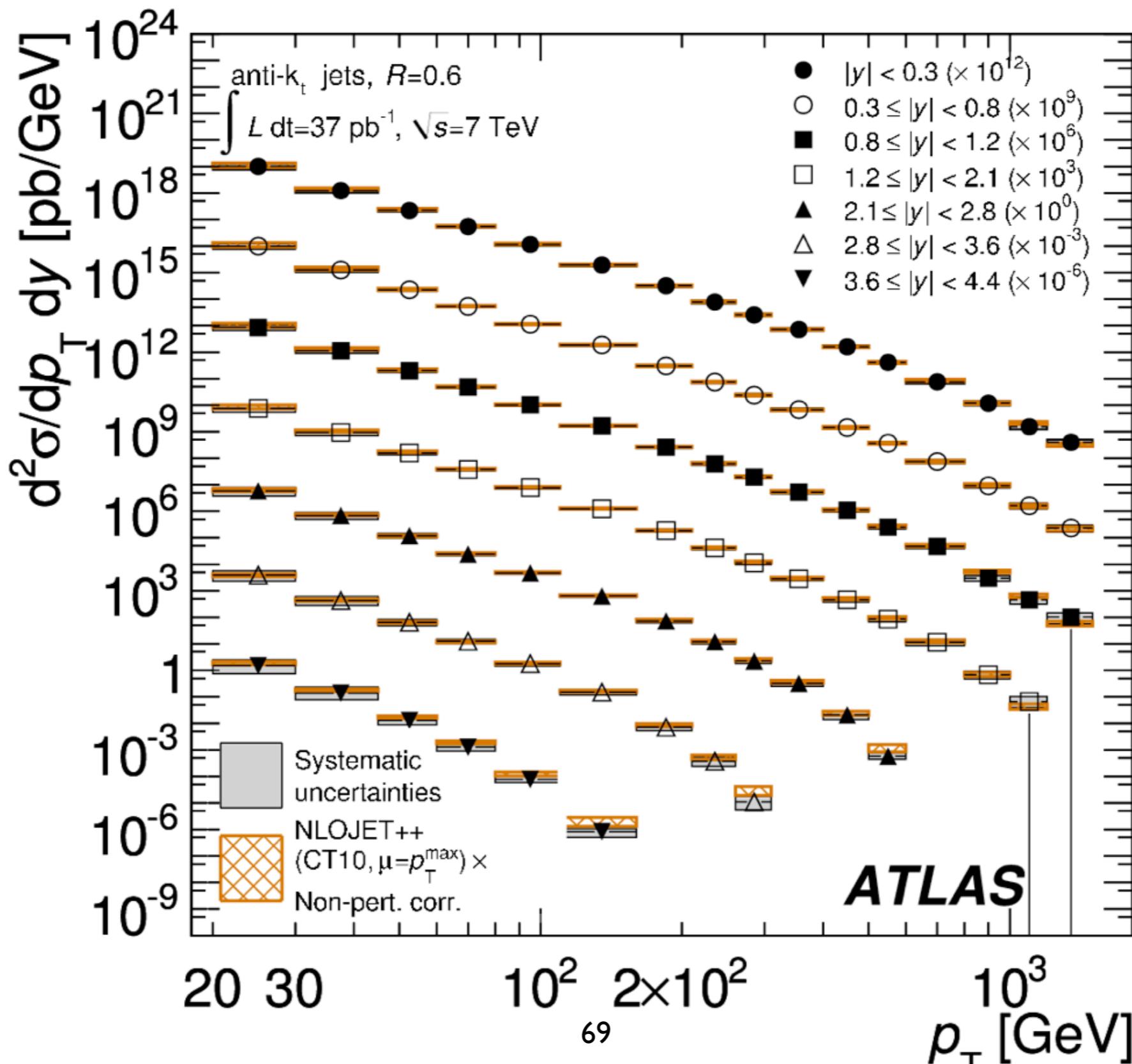
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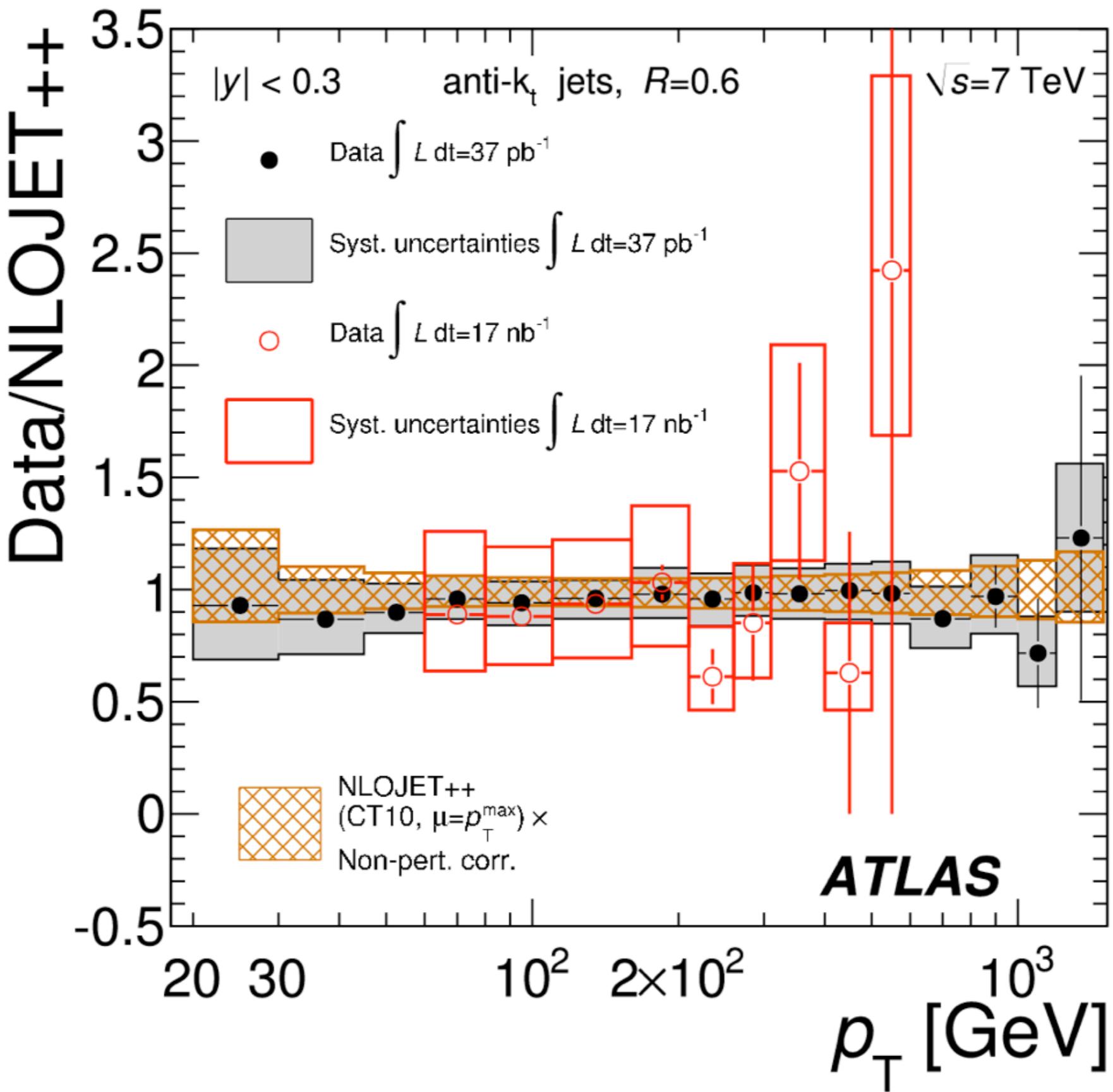
- The agreement is also nice for the dijet invariant mass, eg, at CDF



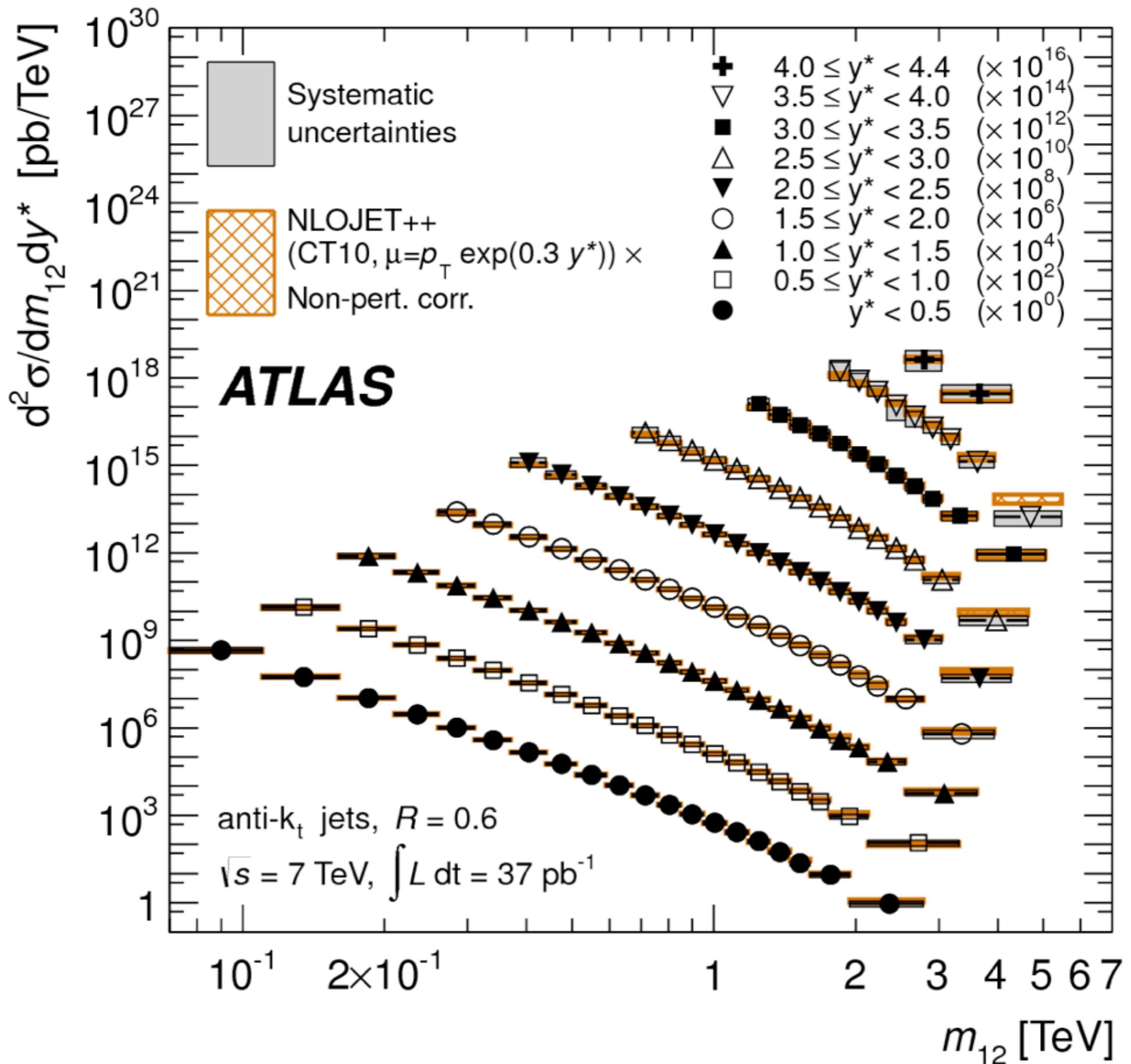
the inclusive jet cross section is nicely described by NLO QCD

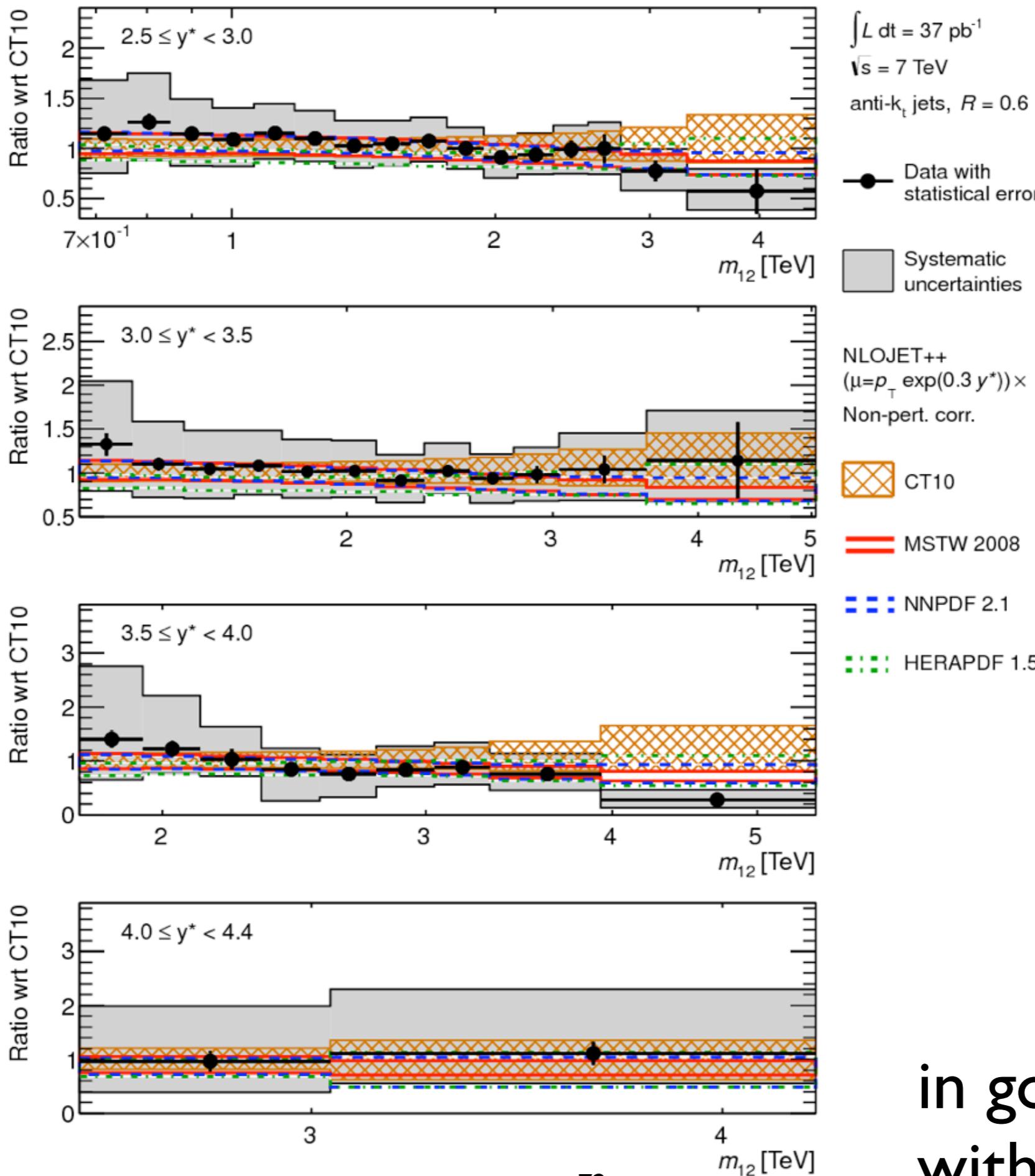


# a more serious comparison



again we can study dijet invariant masses

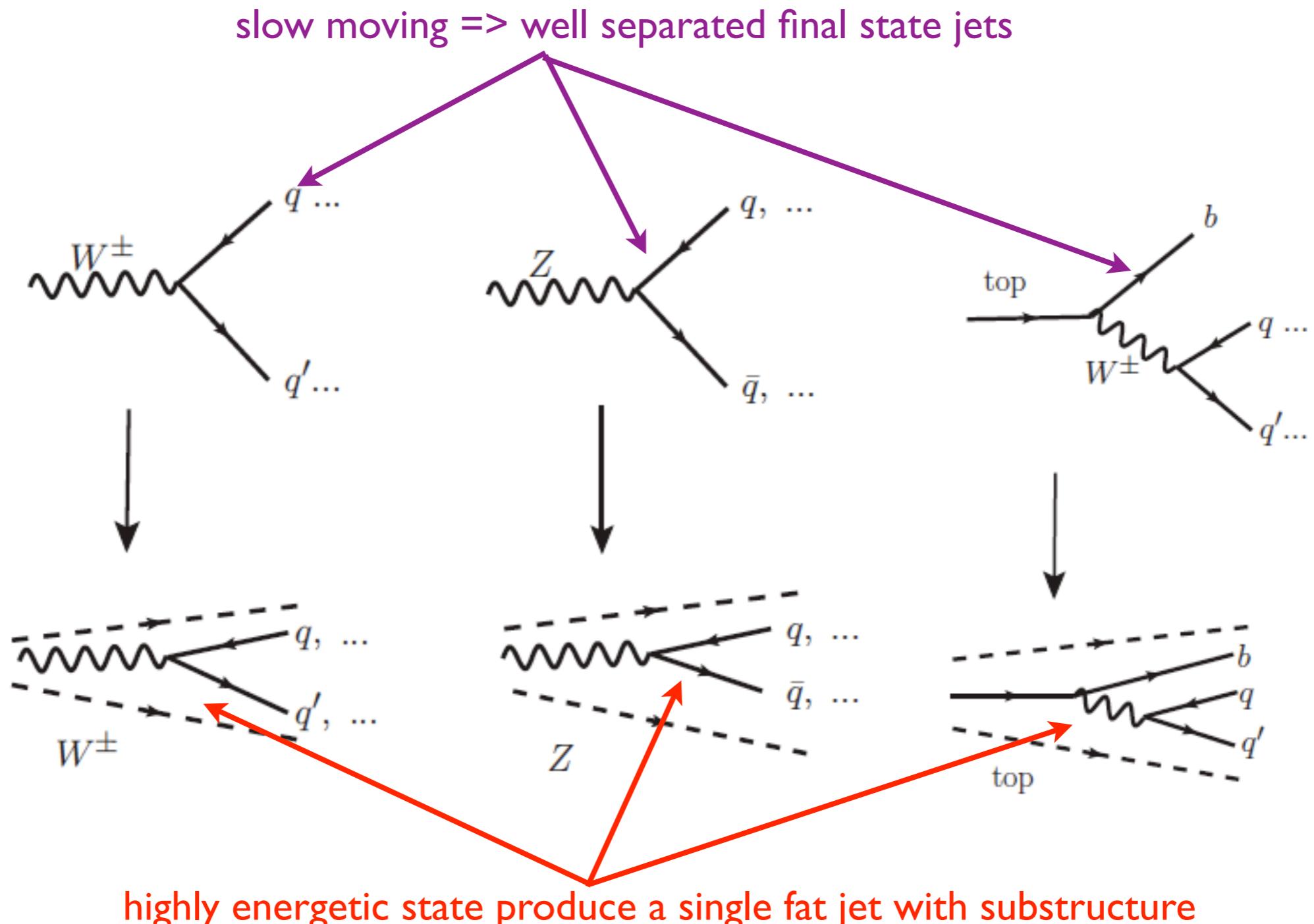




in good agreement  
with QCD

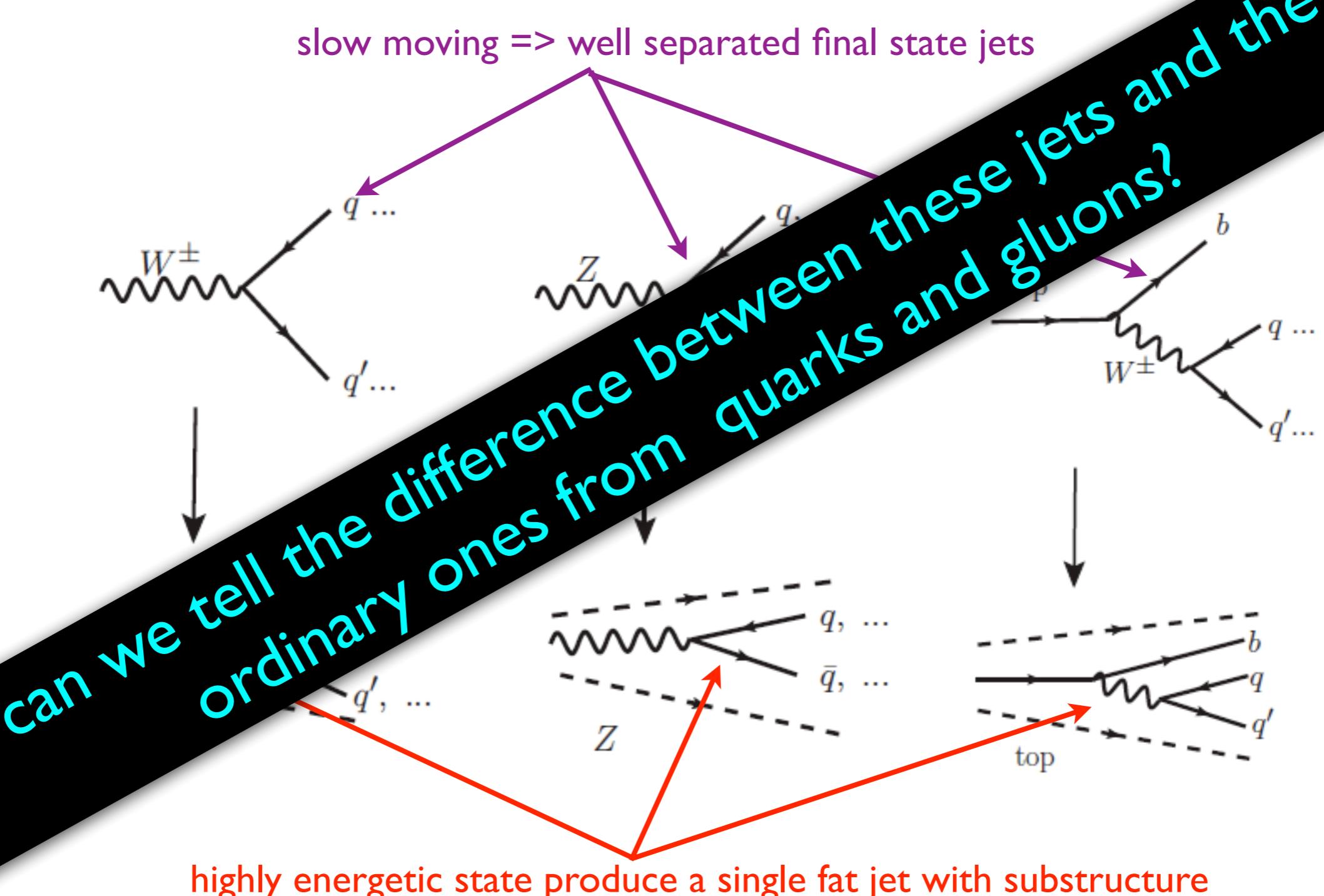
# Highly boosted objects: fat jets

- At the LHC W's, Z's, H's, and tops can be very energetic such that their decay products are collimated, merging the final state jets!

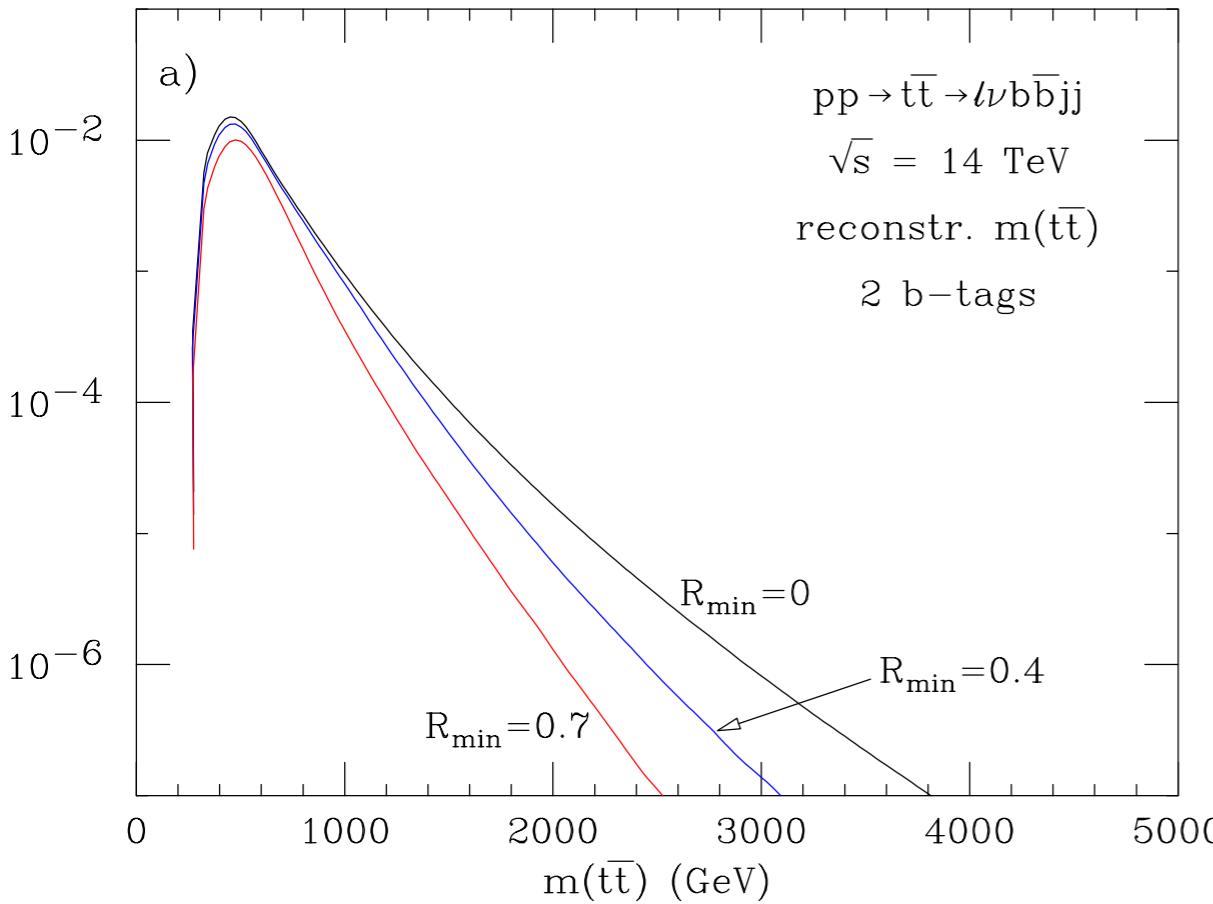


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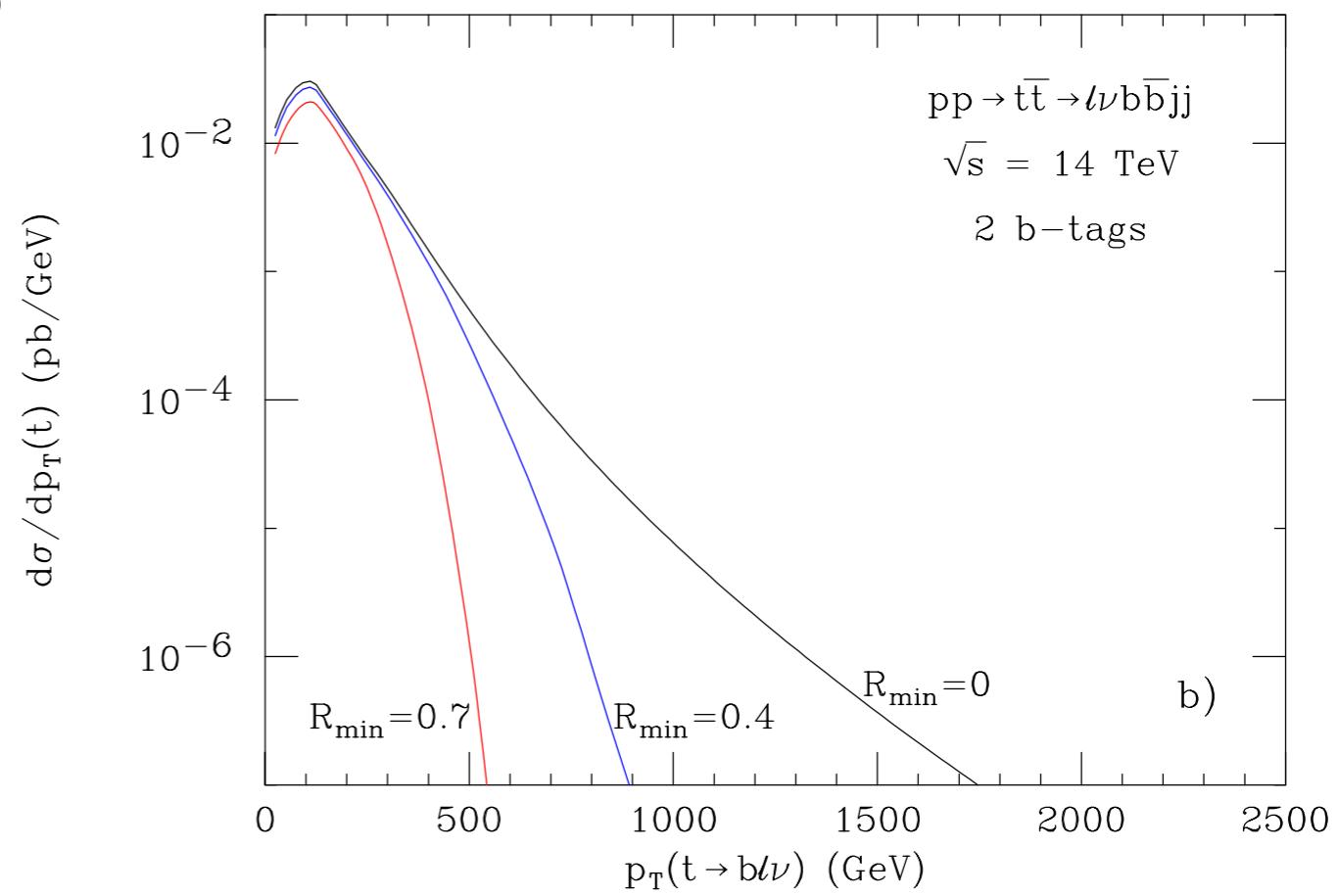
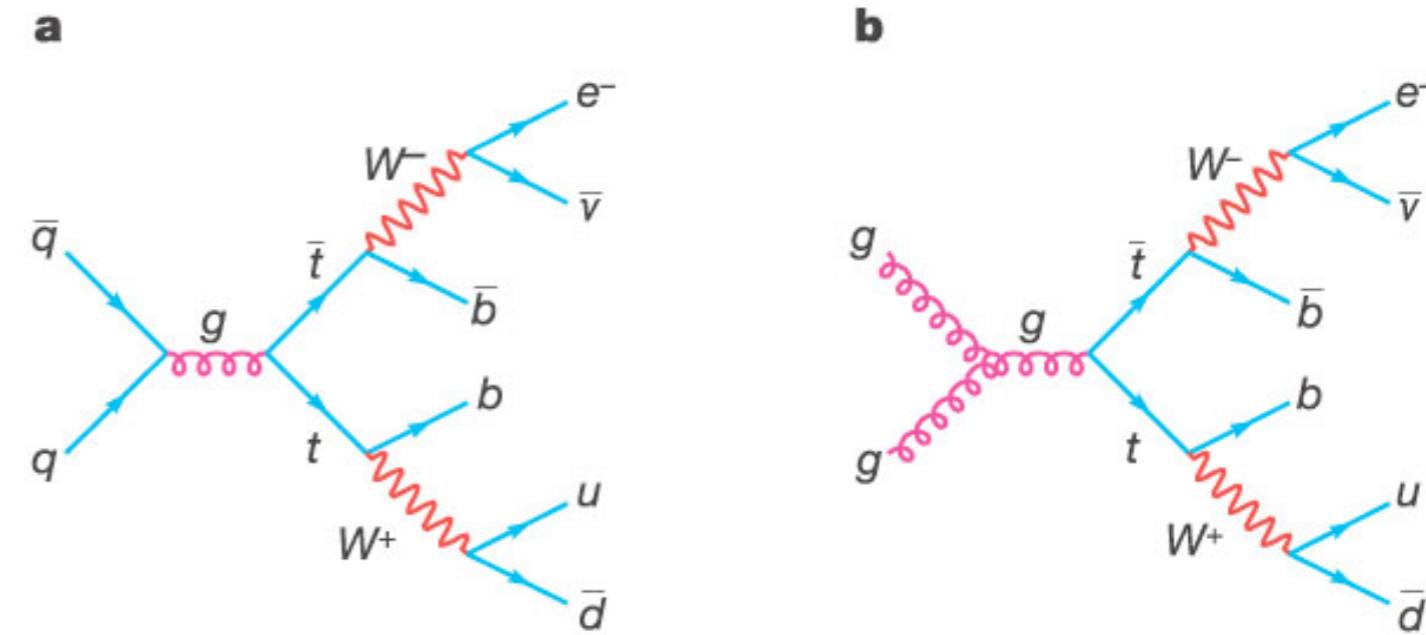
# Why should we care?



$$\Delta R_{jj} \geq R_{min}$$

$$\Delta R_{jj} = \sqrt{\Delta\varphi_{jj}^2 + \Delta\eta_{jj}^2}$$

top pair production at the LHC

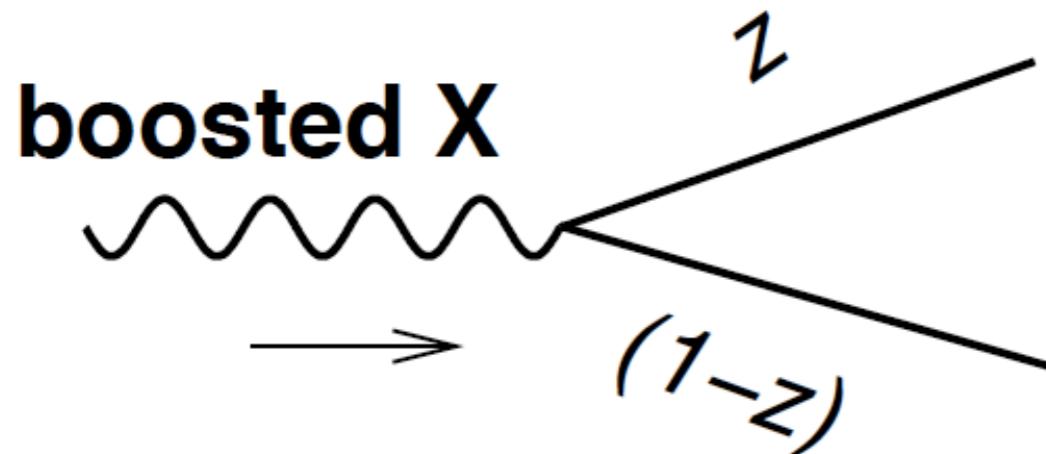


- requiring separated jets suppress the signal at high invariant masses



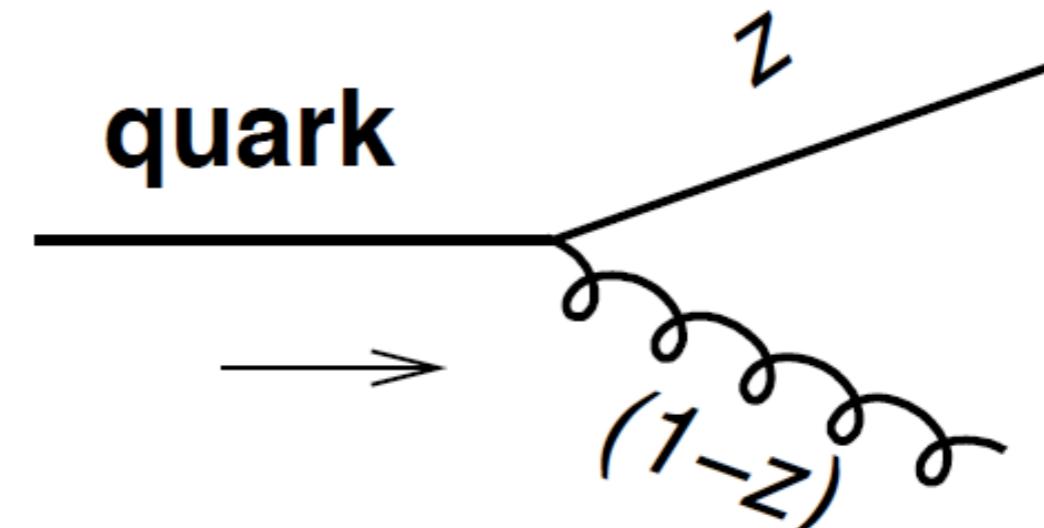
## Basic idea

signal



$$P(z) \propto 1$$

background (QCD)



$$P(z) \propto \frac{1+z^2}{1-z}$$

daughters have similar momenta

QCD prefers softer radiation

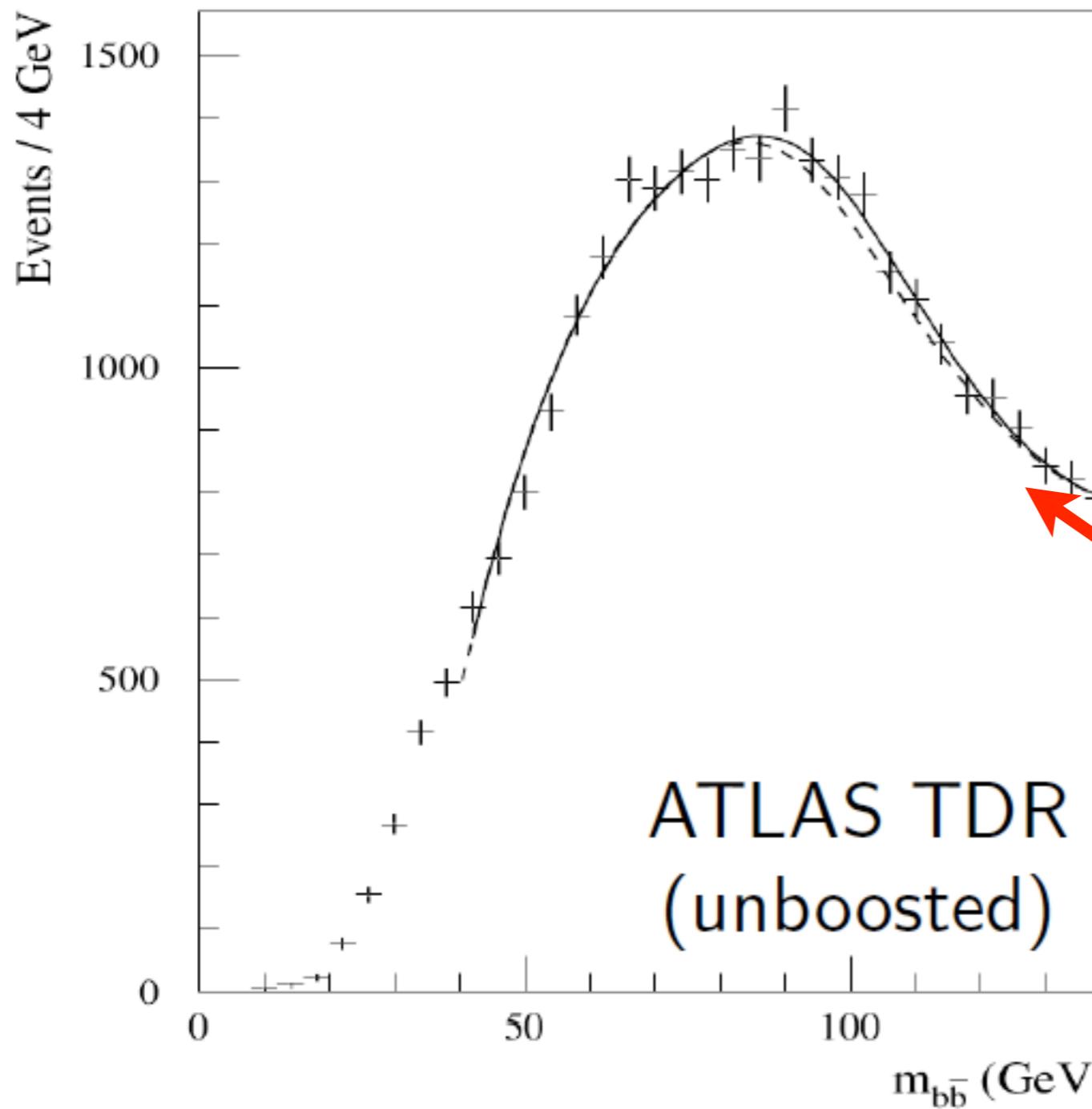


- idea: reverse the jet algorithm analyzing the daughters
- first proposed by Seymour (1993) for W's

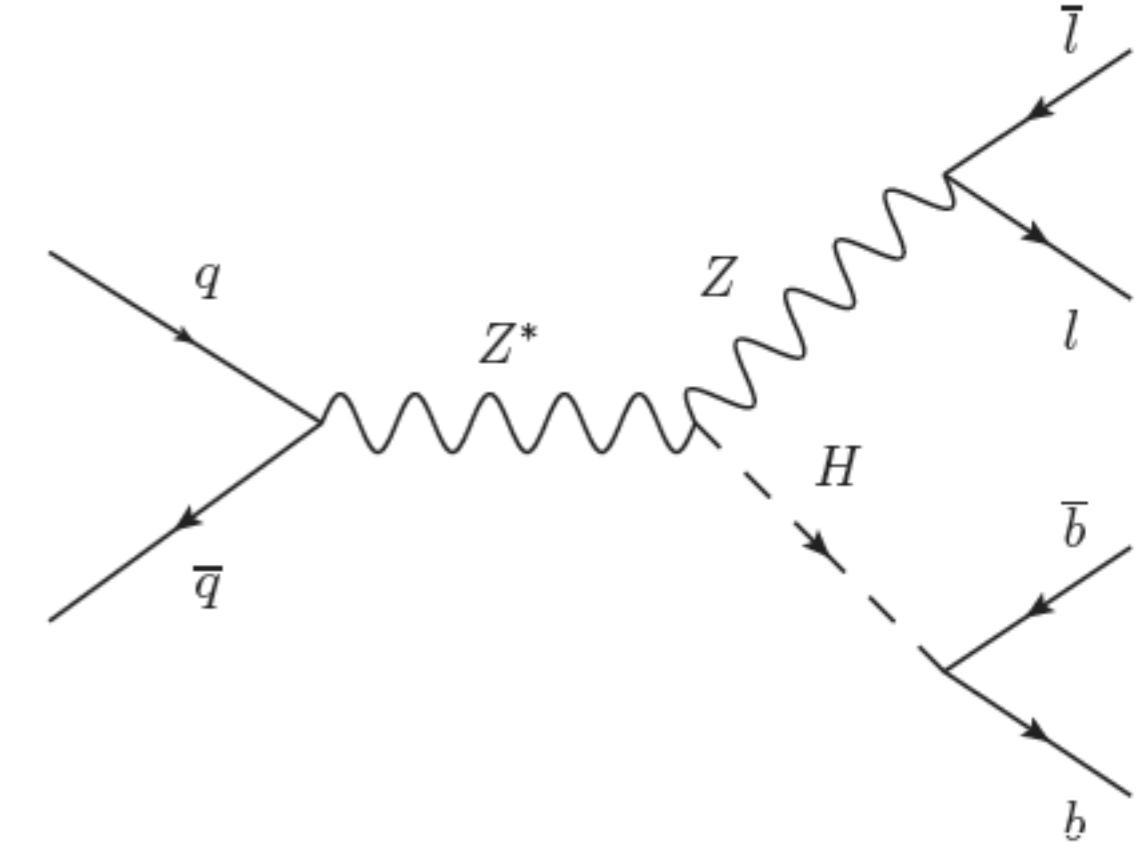


Example: VH at the LHC [Butterworth et al arXiv 0802.2470]

## Unboosted analysis



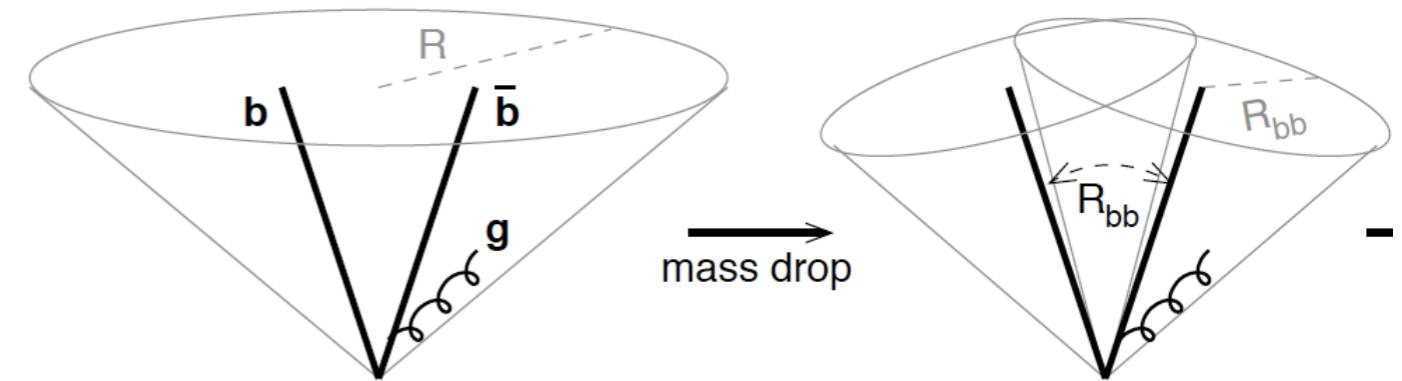
ATLAS TDR  
(unboosted)



H is hard to see.

# Boosted analysis

- ▶ Search for boosted Higgs:  
for jet  $j$  with size  $R$



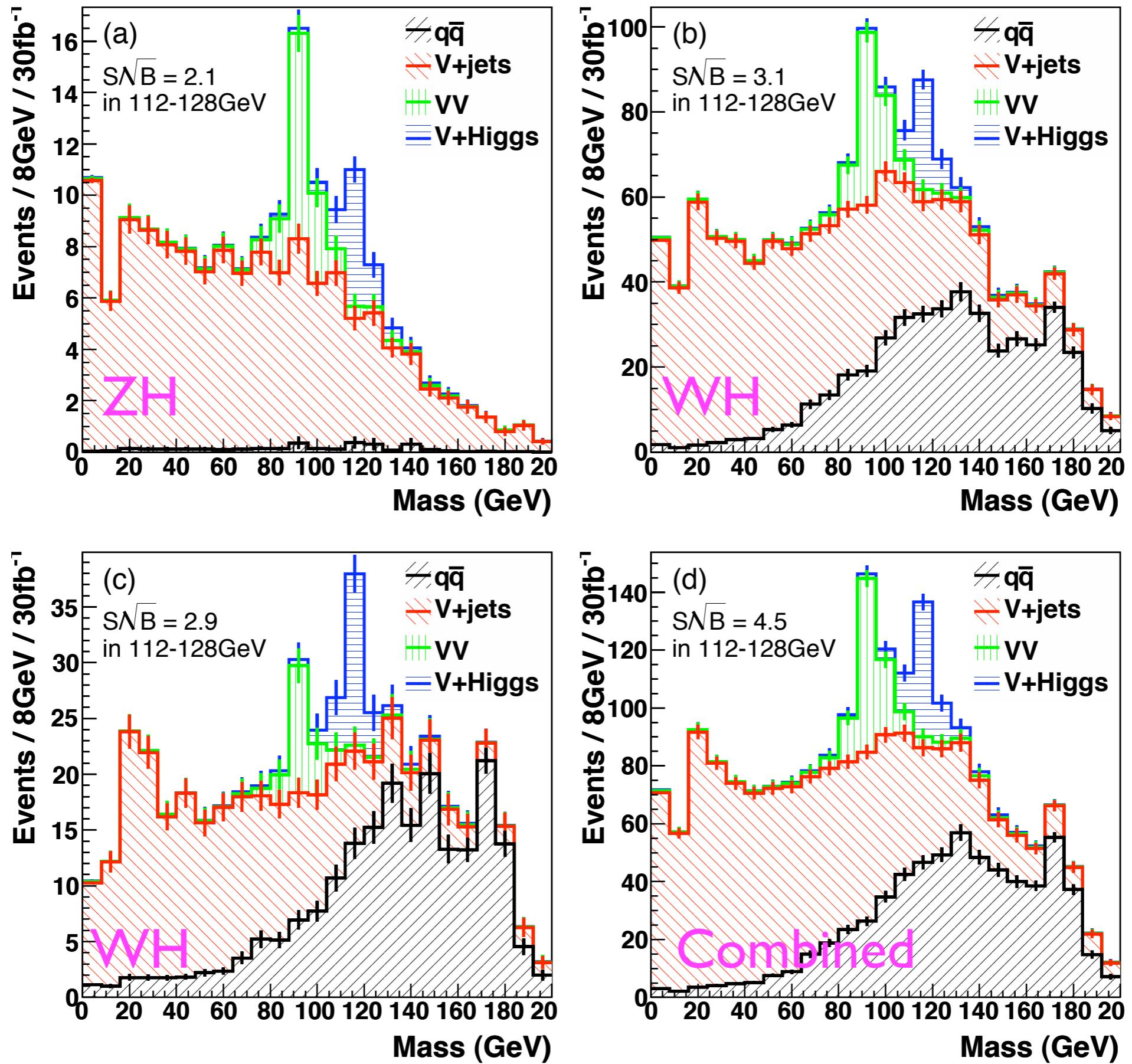
- Undo the clustering and label  $j_1, j_2$  with  $m_{j_1} > m_{j_2}$
- $j$  is a heavy particle if there is a mass drop such that

$$m_{j_1} < \mu m_j \quad [\text{mass drop}]$$

$$y \simeq \frac{\min(p_{tj_1}, p_{t,j_2})}{\max(p_{tj_1}, p_{t,j_2})} > y_{cut} \quad [\text{symmetric splitting}]$$

- if there is no mass drop rename and redo the analysis

For instance  $y_{cut} = 0.15$  and  $\mu = 0.67$

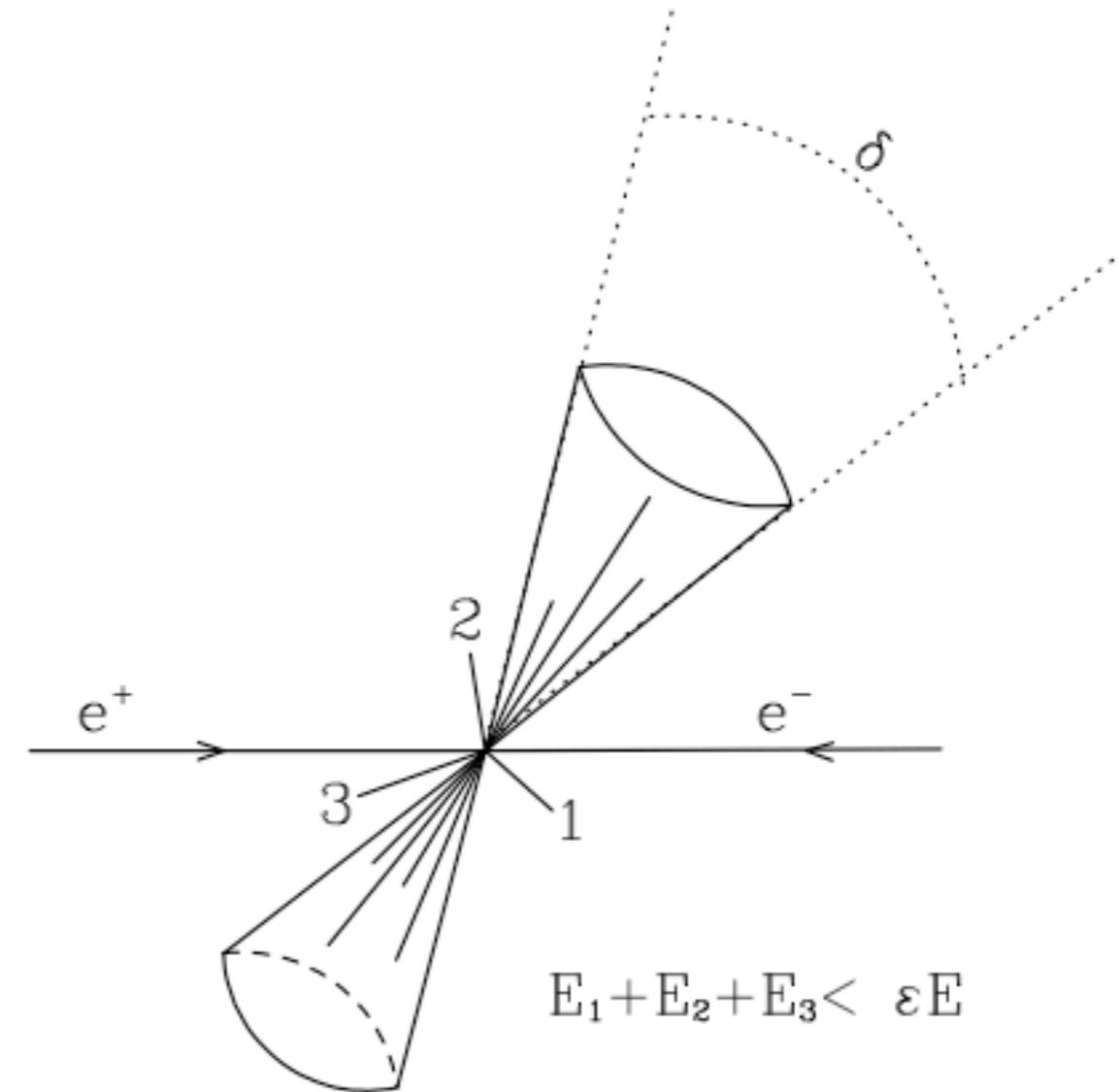


$R = 1.2$  and  $p_T^j > 200$  GeV



## First answer

- ➡ Sterman and Weinberg: 2 jet event if a fraction  $1 - \epsilon$  of the total energy is contained in two cones of size  $\delta$ .



- ➡ This can be applied to hadrons (experimental data) and quarks/gluons (theory).
- ➡ This is IR finite: sums collinear and soft gluons and virtual corrections.

# General form of the IR divergences

$$\sigma^{q\bar{q}g} = \frac{2\alpha_s}{3\pi} \sigma_{q\bar{q}} \int d \cos \theta_{qg} \frac{dE_g}{E_g} \frac{4}{(1 - \cos \theta_{qg})(1 + \cos \theta_{qg})}$$

► The integral diverges for

$E_g \rightarrow 0$  (soft gluon limit )

$\theta_{gq} \rightarrow 0$  (collinear limit)  
 $\theta_{g\bar{q}} \rightarrow 0$

## II. Connecting theory and experiment

