

Initial Upper Palaeolithic humans in Europe had recent Neanderthal ancestry

<https://doi.org/10.1038/s41586-021-03335-3>

Received: 7 July 2020

Accepted: 5 February 2021

Published online: 7 April 2021

Open access

 Check for updates

Mateja Hajdinjak^{1,2}✉, Fabrizio Mafessoni¹, Laurits Skov¹, Benjamin Vernot¹, Alexander Hübner^{1,3}, Qiaomei Fu⁴, Elena Essel¹, Sarah Nagel¹, Birgit Nickel¹, Julia Richter¹, Oana Teodora Moldovan^{5,6}, Silviu Constantin^{7,8}, Elena Endarova⁹, Nikolay Zahariev¹⁰, Rosen Spasov¹⁰, Frido Welker^{11,12}, Geoff M. Smith¹¹, Virginie Sinet-Mathiot¹¹, Lindsey Paskulin¹³, Helen Fewlass¹¹, Sahra Talamo^{11,14}, Zeljko Rezek^{11,15}, Svoboda Sirakova¹⁶, Nikolay Sirakov¹⁶, Shannon P. McPherron¹¹, Tsenka Tsanova¹¹, Jean-Jacques Hublin^{11,17}, Benjamin M. Peter¹, Matthias Meyer¹, Pontus Skoglund², Janet Kelso¹ & Svante Pääbo¹✉

Modern humans appeared in Europe by at least 45,000 years ago^{1–5}, but the extent of their interactions with Neanderthals, who disappeared by about 40,000 years ago⁶, and their relationship to the broader expansion of modern humans outside Africa are poorly understood. Here we present genome-wide data from three individuals dated to between 45,930 and 42,580 years ago from Bacho Kiro Cave, Bulgaria^{1,2}. They are the earliest Late Pleistocene modern humans known to have been recovered in Europe so far, and were found in association with an Initial Upper Palaeolithic artefact assemblage. Unlike two previously studied individuals of similar ages from Romania⁷ and Siberia⁸ who did not contribute detectably to later populations, these individuals are more closely related to present-day and ancient populations in East Asia and the Americas than to later west Eurasian populations. This indicates that they belonged to a modern human migration into Europe that was not previously known from the genetic record, and provides evidence that there was at least some continuity between the earliest modern humans in Europe and later people in Eurasia. Moreover, we find that all three individuals had Neanderthal ancestors a few generations back in their family history, confirming that the first European modern humans mixed with Neanderthals and suggesting that such mixing could have been common.

The transition between the Middle and Upper Palaeolithic periods in Europe, which started about 47,000 years before present (47 kyr BP)^{1,2}, overlapped with the spread of modern humans and the disappearance of Neanderthals, which occurred by about 40 kyr BP⁶. Analyses of the genomes of Neanderthals and modern humans have shown that gene flow occurred between the two hominin groups approximately 60–50 kyr BP^{8–11}, probably in southwestern Asia. However, owing to the scarcity of modern human remains from Eurasia that are older than 40 kyr^{1–5,12}, genome-wide data are available for only three individuals of this age^{7,8,13} (Fig. 1). Little is therefore known about the genetics of the earliest modern humans in Eurasia, the extent to which they interacted with archaic humans and their contribution to later populations. For example, whereas the roughly 42,000 to 37,000-year-old ‘Oase1’ individual from Romania^{7,14} and the roughly 45,000-year-old ‘Ust’Ishim’ individual from Siberia⁸ do not show specific genetic relationships to

subsequent Eurasian populations, the approximately 40,000-year-old ‘Tianyuan’ individual from China contributed to the genetic ancestry of ancient and present-day East Asian populations¹³. Another open question is the extent to which modern humans mixed with Neanderthals when they spread across Europe and Asia. Direct evidence of local interbreeding exists only for the Oase1 individual, who had a recent Neanderthal ancestor⁷ in his family history.

Here, we analyse genome-wide data from human specimens found in direct association with an Initial Upper Palaeolithic (IUP) assemblage of artefacts in Bacho Kiro Cave, Bulgaria¹ (Fig. 1), as well as from two more recent specimens from the same site (Supplementary Information 1). The IUP groups together assemblages that fall chronologically between the last Middle Palaeolithic assemblages and the first bladelet industries of the Upper Palaeolithic. The IUP spans a broad geographical area¹⁵, from southwest Asia, central and eastern Europe to Mongolia¹⁶ (Fig. 1,

¹Department of Evolutionary Genetics, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany. ²Francis Crick Institute, London, UK. ³Department of Archaeogenetics, Max Planck Institute for the Science of Human History, Jena, Germany. ⁴Key Laboratory of Vertebrate Evolution and Human Origins of Chinese Academy of Sciences, IVPP, Center for Excellence in Life and Palaeoenvironment, Beijing, China. ⁵Emil Racovita Institute of Speleology, Cluj Department, Cluj-Napoca, Romania. ⁶Romanian Institute of Science and Technology, Cluj-Napoca, Romania. ⁷Department of Geospeleology and Paleontology, Emil Racovita Institute of Speleology, Bucharest, Romania. ⁸Centro Nacional de Investigación sobre la Evolución Humana, CENIEH, Burgos, Spain. ⁹National History Museum, Sofia, Bulgaria. ¹⁰Archaeology Department, New Bulgarian University, Sofia, Bulgaria. ¹¹Department of Human Evolution, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany. ¹²Section for Evolutionary Genomics, GLOBE Institute, University of Copenhagen, Copenhagen, Denmark. ¹³Department of Archaeology, University of Aberdeen, Aberdeen, UK. ¹⁴Department of Chemistry ‘G. Ciamician’, University of Bologna, Bologna, Italy. ¹⁵University of Pennsylvania Museum of Archaeology and Anthropology, University of Pennsylvania, Philadelphia, PA, USA. ¹⁶National Institute of Archaeology with Museum, Bulgarian Academy of Sciences, Sofia, Bulgaria. ¹⁷Chaire de Paléanthropologie, Collège de France, Paris, France. ✉e-mail: mateja_hajdinjak@eva.mpg.de; paabo@eva.mpg.de



Fig. 1 Archaeological sites that have yielded genetic data and/or IUP assemblages. Sites with modern human genome-wide data older than 40 kyr BP (red circles) or older than 30 kyr BP (yellow circles), sites in Europe with modern human remains older than 40 kyr BP (red squares) and sites with IUP assemblages (black squares).

1, Bacho Kiro Cave; 2, Ust'Ishim; 3, Peștera cu Oase; 4, Tianyuan Cave; 5, 6, Kostenki14 (Markina Gora) and Kostenki12 (Vokovskaya); 7, Troisème Caverne of Goyet; 8, Sungir; 9, Peștera Muierilor; 10, Grotta Paglicci; 11, Peștera Cioclovina Uscată; 12, Krens Wachtberg; 13, Yana RHS; 14, 15, Dolní Věstonice and Pavlov; 16, Grotta del Cavallo; 17, Kents Cavern; 18, Grotta di Fumane; 19, Brno-Bohunice; 20, Stánska Skála III; 21, Temnata; 22, Kulychivka; 23, Korolevo 1 and 2; 24, Shlyakh; 25, 26, Üçagizli and Kanal Cave; 27, Um el'Tel; 28, Jerf Ajlah; 29, Yabrud II; 30-32, Antelias; Abou Halka and Ksar Akil; 33-35, Emireh, El Wad and Raqefet; 36, Boker Tachtit; 37, Denisova Cave; 38, Kara-Bom; 39, Ust-Karakol 1; 40, Kara-Tenesh; 41, Makarvo IV; 42, Kamenka A-C; 43, Khoty; 44, Podzvonkaya; 45, 46, Tolbor4 and Tolbor16; 47, Tsangan-Agui; 48-50, Suindonggou1, 2 and 9

Supplementary Information 1). Although there are reasons to group these assemblages on the basis of their lithic technology, the IUP also shows great regional variability. Therefore, it is debated whether the IUP represents a dispersal of modern humans across middle-latitude Eurasia, the diffusion of certain technological ideas, instances of independent invention, or a combination of some or all of these¹⁵. The IUP is contemporaneous with late Neanderthal sites in central and western Europe⁶ and precedes later Upper Palaeolithic techno-complexes in Europe, such as the Protoaurignacian and the Aurignacian, by several thousand years⁵.

Five human specimens were recovered from Bacho Kiro Cave in recent excavations. They consist of a lower molar (F6-620) found in the upper part of Layer J in the Main Sector, and four bone fragments (AA7-738, BB7-240, CC7-2289 and CC7-335) from Layer I in Niche1. They have been directly radiocarbon-dated to between 45,930 and 42,580 calibrated years before present (cal. BP)^{1,2}, and their mitochondrial genomes are of the modern human type, suggesting that they are the oldest Upper Palaeolithic modern humans that have been recovered in Europe¹. One bone fragment was found in Layer B in the Main Sector (F6-597) and another one was among the finds from excavations in the 1970s, when it was retrieved in a position corresponding to the interface of Layers B and C (BK1653). The two latter bone fragments were directly dated to 36,320–35,600 cal. BP and 35,290–34,610 cal. BP^{1,2}, respectively. Although the lithic assemblages from the later layers are sparse, they are likely to be Aurignacian^{1,2}. We also produced additional data from a mandible^{7,14} that was found outside any archaeological context in Peștera cu Oase, Romania (referred to as 'Oase1')¹⁴. The mandible was directly dated to about 42–37 kyr BP¹⁴, although this may be an underestimate as the dating was performed before recent technical improvements.

We extracted DNA from between 29.3 mg and 64.7 mg of tooth or bone powder from the specimens as described¹. We also treated 15 mg of bone powder from the Oase1 mandible with 0.5% hypochlorite solution to reduce bacterial and human contamination before DNA extraction¹⁷. Among DNA fragments sequenced from the DNA libraries constructed from the Bacho Kiro Cave and Oase1 extracts, between 0.003% and 1.8% could be mapped to the human genome (Supplementary Information 2). Owing to the low fraction of hominin DNA, we used in-solution hybridization capture¹⁸ to enrich the libraries for about 3.8 million single-nucleotide polymorphisms (SNPs) that are informative about modern human variation and archaic admixture^{7,19} (excluding F6-597, which contained very little if any endogenous DNA; Supplementary Information 2).

For the six specimens, between 57,293 and 3,272,827 of the targeted SNPs were covered by at least one DNA fragment (Extended Data Table 1). Of these, between 11,655 and 2,290,237 SNPs were covered by at least one fragment showing C-to-T substitutions in the first three and/or the last three positions from the ends, suggesting the presence of deaminated cytosine bases, which are typical of ancient DNA²⁰ (Extended Data Table 1, Extended Data Fig. 1). On the basis of the numbers of putatively deaminated fragments aligning to the X chromosome and the autosomes²¹ (Supplementary Information 4), we conclude that specimens F6-620, AA7-738, BB7-240 and CC7-335 belonged to males, whereas BK1653 and CC7-2289 belonged to females, although the low amount of data makes this conclusion tentative for CC7-2289 (Extended Data Fig. 2a).

Using an approach that makes use of DNA deamination patterns²², we estimated that the overall nuclear DNA contamination was between 2.2% ± 0.5% (F6-620) and 42.4% ± 0.6% (CC7-2289). In the male specimens, we estimated contamination from polymorphisms on the X chromosome²³ to between 1.6% ± 0.1% and 3.4% ± 0.5% (Supplementary Information 2). Owing to the presence of present-day human contamination, we restricted all downstream analyses to putatively deaminated fragments for all specimens except F6-620 (for which contamination was so low that we used all fragments). This left between 11,655 and 3,272,827 SNPs per specimen to be used for the subsequent analyses (Supplementary Information 2).

The molar F6-620 and the bone fragment AA7-738 have identical mitochondrial genome sequences¹ and both come from males. The pairwise mismatch rate between the two specimens at the SNPs²⁴ is 0.13, similar to the mismatch rate between libraries from the same specimen (Extended Data Fig. 2b). By contrast, this number is 0.23 (interquartile range: 0.22–0.25) for the other Bacho Kiro Cave specimens, similar to unrelated ancient individuals from other studies (Extended Data Fig. 2b). Thus, we conclude that specimens F6-620 and AA7-738 belonged to the same individual or to identical twins, which is much less likely.

We enriched the libraries from the male individuals using probes that targeted about 6.9 Mb of the Y chromosome²⁵ (Supplementary Information 4) and arrived at 15.2-fold coverage for F6-620, 2.5-fold for BB7-240 and 1.5-fold for CC7-335. F6-620 carries a basal lineage of the Y chromosome haplogroup F (F-M89), whereas BB7-240 and CC7-335 carry haplogroup C1 (C-F3393). Although haplogroup C is common among males from East Asia and Oceania, both haplogroups F and C1 are rare in present-day humans and are found only at low frequencies in mainland Southeast Asia and Japan^{26,27}.

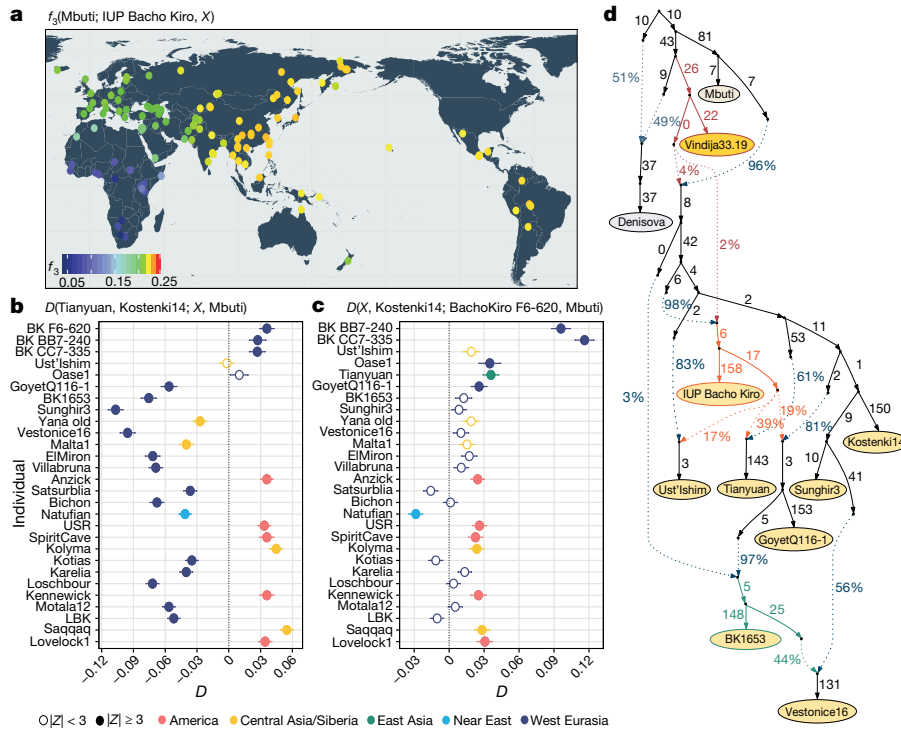


Fig. 2 | Population affinities of the IUP Bacho Kiro Cave individuals. **a**, Allele sharing (f_3) between the IUP Bacho Kiro Cave individuals and present-day populations (X) from the Simons Genome Diversity Project (SGDP)³¹ after their separation from an outgroup (Mbuti) (calculated as $f_3(\text{Mbuti}; \text{IUP Bacho Kiro}, X)$). Warmer colours on the map⁴⁸ correspond to higher f_3 values (higher shared genetic drift). **b**, IUP Bacho Kiro Cave individuals share significantly more alleles (proportions of allele sharing or D values plotted on x axis) with the roughly 40,000-year-old Tianyuan individual¹³ than with the approximately 38,000-year-old Kostenki14 individual^{29,30}. Calculated as $D(\text{Tianyuan}, \text{Kostenki14}; X, \text{Mbuti})$. **c**, F6-620 shares significantly more alleles with the Oase1⁷ and GoyetQ116-1²⁹ individuals, ancient Siberians and Native American individuals than with the Kostenki14 individual. Calculated as $D(X, \text{Kostenki14}; \text{F6-620}, \text{Mbuti})$. **b, c**, Filled circles indicate a significant value ($|Z| \geq 3$); open

circles, $|Z| < 3$. Whiskers correspond to 1 s.e. calculated across all autosomes (1,813,821 SNPs) using a weighted block jackknife²⁸ and a block size of 5 Mb. BK, Bacho Kiro. **d**, Admixture graph relating Bacho Kiro Cave individuals and ancient humans older than 30 kyr BP. This model uses 281,732 overlapping SNPs in all individuals and fits the data with a single outlier ($Z = 3.22$). Ancient non-Africans (yellow circles), Vindija 33.19 Neanderthal (orange), Denisovan (grey) and present-day African individuals (light yellow circle) are shown. Admixture edges (dotted lines) show the genetic component related to Neanderthals (red), to the IUP Bacho Kiro Cave individuals (orange) and to BK1653 (green). Numbers on solid branches correspond to the estimated drift in f_2 units of squared frequency difference; labels on dotted edges give admixture proportions.

We estimated the extent of genetic similarity among the Bacho Kiro Cave individuals and other early modern humans using outgroup f_3 -statistics²⁸. The three roughly 45,000-year-old IUP individuals are more similar to one another than to any other ancient individual (Extended Data Fig. 3a). By contrast, BK1653, which is about 35,000 years old, is more similar to later Upper Palaeolithic individuals from Europe who are around 38,000 years old or younger^{29,30} ($3.0 \leq |Z| \leq 17.4$; Extended Data Fig. 4, Supplementary Information 5); for example, to the roughly 35,000-year-old 'GoyetQ116-1' individual from Belgium and members of the 'Věstonice' genetic cluster, who are associated with later Gravettian assemblages²⁹ (Extended Data Figs. 3a, 4b, c).

When comparing the Bacho Kiro Cave individuals to present-day populations³¹, we found that the IUP individuals share more alleles (that is, more genetic variants) with present-day populations from East Asia, Central Asia and the Americas than with populations from western Eurasia (Fig. 2a, Supplementary Information 5), whereas the later BK1653 individual shares more alleles with present-day western Eurasian populations (Extended Data Figs. 3b, 4a).

We next investigated whether these observations could be due to the fact that present-day populations in western Eurasia derive part of their ancestry from 'Basal Eurasians'^{32,33}, an inferred population that diverged early from other non-African populations and may have 'diluted' allele sharing between western Eurasian populations and IUP individuals. To do this, we compared the Ust'Ishim, Oase1 and IUP Bacho Kiro Cave individuals to western Eurasian individuals such as the approximately

38,000-year-old 'Kostenki14' individual from Russia^{29,30}, which pre-dates the introduction of 'Basal Eurasian' ancestry to Europe around 8,000 cal. BP³². We found that the Ust'Ishim and Oase1 individuals showed no more affinity to western than to eastern Eurasian populations, suggesting that they did not contribute ancestry to later Eurasian populations, as previously shown^{7,8} (Supplementary Information 5, Extended Data Fig. 5). By contrast, the IUP Bacho Kiro Cave individuals shared more alleles with the roughly 40,000-year-old Tianyuan individual¹³ from China (Fig. 2b) and other ancient Siberians^{34,35} and Native Americans³⁶⁻³⁹ (Fig. 2c) than with the Kostenki14 individual ($3.6 \leq |Z| \leq 5.3$). Among other western Eurasian Upper Palaeolithic humans, the IUP Bacho Kiro Cave individuals shared more alleles with the Oase1 ($3.6 \leq |Z| \leq 4.3$) and roughly 35,000-year-old GoyetQ116-1²⁹ individuals than with the Kostenki14 individual ($3.2 \leq |Z| \leq 4.3$; Fig. 2c, Supplementary Information 5). Notably, the GoyetQ116-1 individual has previously been shown to share more alleles with early East Asians than other individuals of a similar age in Europe¹³.

When we explored models of population history that are compatible with the observations above using admixture graphs²⁸, we found that the IUP Bacho Kiro Cave individuals were related to populations that contributed ancestry to the Tianyuan individual in China as well as, to a lesser extent, to the GoyetQ116-1 and Ust'Ishim individuals (all $|Z| < 3$; Fig. 2d, Supplementary Information 6). This resolves the previously unclear relationship between the GoyetQ116-1 and Tianyuan individuals¹³ without the need for gene flow between these two geographically distant individuals. The models also suggest that the later BK1653

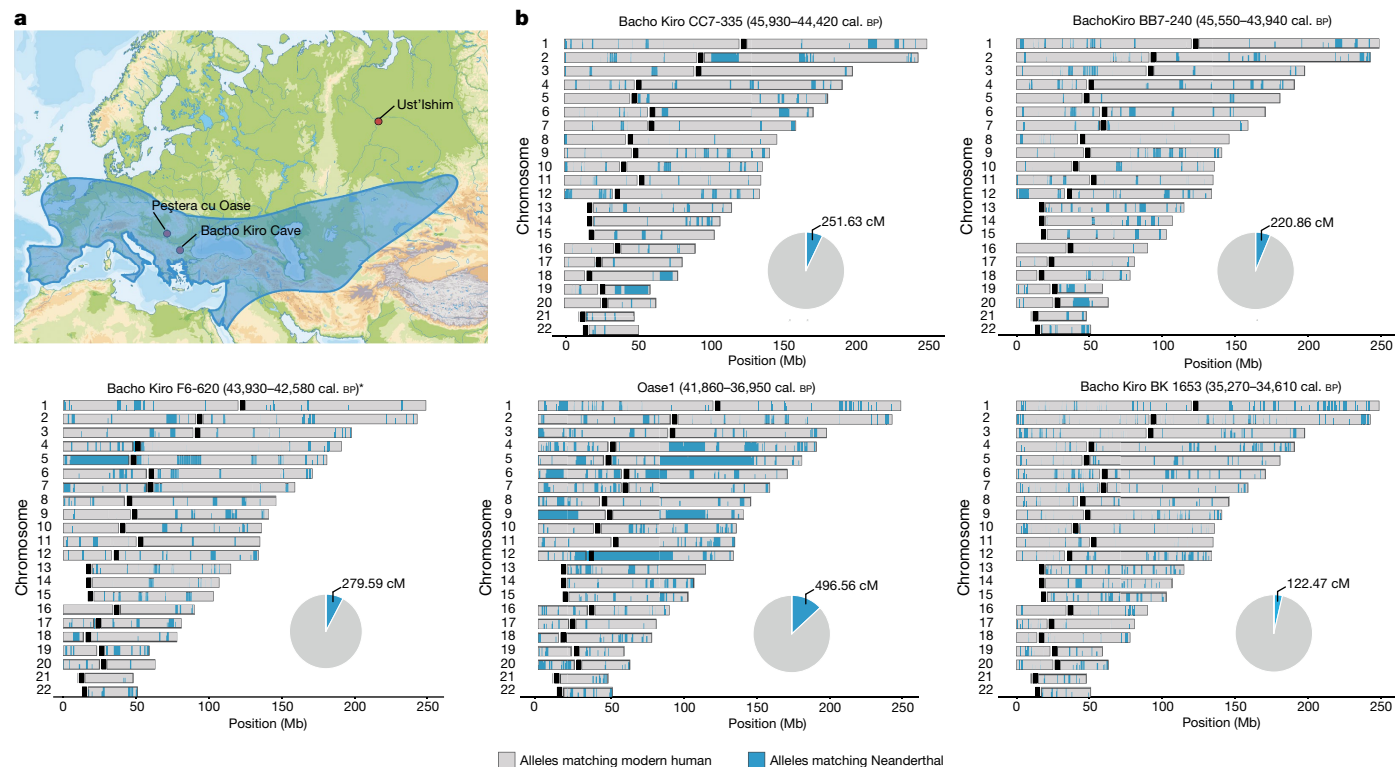


Fig. 3 | Geographical distribution of Neanderthal archaeological sites and genome-wide distribution of Neanderthal alleles in the genomes of ancient modern humans. **a**, Neanderthal geographical range (blue) and the locations of Peștera cu Oase, Bacho Kiro Cave and where the femur of the Ust'Ishim

individual was found. **b**, Distribution of Neanderthal DNA in ancient modern human genomes. Neanderthal DNA segments longer than 0.2 cM are indicated in blue. Pie charts indicate the total proportion of Neanderthal DNA identified in each genome. Centromeres are shown in black.

individual belonged to a population that was related, but not identical, to that of the GoyetQ116-1 individual (Fig. 2d, Extended Data Fig. 4, Supplementary Information 6) and that the Věstonice cluster, whose members were found in association with Gravettian assemblages²⁹, derived part of their ancestry from such a population and the rest from populations related to the roughly 34,000-year-old 'Sunghir' individuals⁴⁰ from Russia (Fig. 2d, Supplementary Information 6).

As the IUP Bacho Kiro Cave individuals lived at the same time as some of the last Neanderthals in Europe⁶, we estimated the proportion of Neanderthal DNA in their genomes by taking advantage of two high-quality Neanderthal genomes^{9,10,41}. We found that the IUP individuals F6-620, BB7-240 and CC7-335 carried 3.8% (95% confidence interval (CI): 3.3–4.4%), 3.0% (95% CI: 2.4–3.6%) and 3.4% (95% CI: 2.8–4.0%) Neanderthal DNA, respectively. This is more than the average of 1.9% (95% CI: 1.5–2.4%) found in other ancient or present-day humans, except for the Oase1 individual, who had a close Neanderthal relative (6.4% (95% CI: 5.7–7.1%); Extended Data Fig. 6, Supplementary Information 7). By contrast, the more recent BK1653 individual carried only 1.9% (95% CI: 1.4–2.4%) Neanderthal DNA, similar to other ancient and present-day humans^{10,41} (Extended Data Fig. 6). As has been the case for all humans studied so far, the Neanderthal DNA in BK1653 and the IUP Bacho Kiro Cave individuals was more similar to the Vindija33.19¹⁰ and Chagyrskaya8⁴² Neanderthals than to the Altai Neanderthal⁹ ($2.8 \leq Z \leq 5.1$; Supplementary Information 7).

To study the spatial distribution of Neanderthal ancestry in the genomes of the Bacho Kiro Cave individuals, we used around 1.7 million SNPs at which Neanderthal⁹ and/or Denisovan⁴³ genomes differ from African genomes⁷ and an approach⁴⁴ that detects tracts of archaic DNA in ancient genomes. We found a total of 279.6 centiMorgans (cM) of Neanderthal DNA in F6-620, 251.6 cM in CC7-335 and 220.9 cM in BB7-240, and these individuals carried seven, six and nine Neanderthal DNA segments longer than 5 cM, respectively (Fig. 3, Extended Data Fig. 7a, Supplementary Information 8). The longest introgressed Neanderthal

segment in F6-620 encompassed 54.3 cM, and the longest segments in CC7-335 and BB7-240 were 25.6 cM and 17.4 cM, respectively (Fig. 3, Extended Data Fig. 7a). By contrast, the total amount of Neanderthal DNA in the BK1653 genome was 121.7 cM and the longest Neanderthal segment was 2.5 cM (Fig. 3, Extended Data Fig. 7a).

On the basis of the distribution of the long Neanderthal segments, we estimate that individual F6-620 had a Neanderthal ancestor less than six generations back in his family tree (Extended Data Table 2, Supplementary Information 8). Both the CC7-335 and BB7-240 individuals had Neanderthal ancestors about seven generations back in their families, with upper confidence intervals of ten and seventeen generations, respectively (Extended Data Table 2, Extended Data Fig. 7b, Supplementary Information 8). Thus, all IUP Bacho Kiro Cave individuals had recent Neanderthal ancestors in their immediate family histories.

To further explore the extent to which the Bacho Kiro Cave individuals contributed ancestry to later populations in Eurasia, we investigated whether the Neanderthal DNA segments in Bacho Kiro Cave genomes overlapped with Neanderthal segments in present-day populations more than expected by chance. We found more overlapping of segments between present-day East Asian populations and the IUP Bacho Kiro Cave individuals (lowest correlation coefficient of 0.09, 95% CI: 0.08–0.1) than with the BK1653 individual ($P = 0.02$, Wilcoxon test). By contrast, the BK1653 individual shows more overlapping of Neanderthal segments with present-day western Eurasian populations (a correlation coefficient of 0.11, 95% CI: 0.1–0.12) than do the IUP Bacho Kiro Cave individuals ($P < 1 \times 10^{-18}$, Wilcoxon test). This is compatible with the observation that the IUP Bacho Kiro Cave population contributed more ancestry to later populations in Asia and the Americas, whereas the BK1653 individual contributed more ancestry to populations in western Eurasia.

We next looked for overlap between parts of the human genome that carry little or no Neanderthal ancestry (Neanderthal 'deserts'), which are thought to have been caused by purifying selection against

Neanderthal DNA shortly after introgression^{45,46}. We find almost no introgressed Neanderthal DNA in the previously described deserts in the IUP Bacho Kiro Cave and Oase1 individuals (249 kb out of 898 Mb of introgressed sequence; $P = 0.0079$, permutation P value). When we restricted these comparisons to the more recent Neanderthal contributions (that is, segments longer than 5 cm), we similarly found no overlap (0 Mb out of 415 Mb, $P = 0.15$, permutation P value), suggesting that selection against Neanderthal DNA variants occurred within a few generations, although additional individuals with recent Neanderthal ancestry will be needed to fully resolve this question.

In conclusion, the Bacho Kiro Cave genomes show that several distinct modern human populations existed during the early Upper Palaeolithic in Eurasia. Some of these populations, represented by the Oase1 and Ust'Ishim individuals, show no detectable affinities to later populations, whereas groups related to the IUP Bacho Kiro Cave individuals contributed to later populations with Asian ancestry as well as some western Eurasian humans such as the GoyetQ116-1 individual in Belgium. This is consistent with the fact that IUP archaeological assemblages are found from central and eastern Europe to present-day Mongolia^{5,15,16} (Fig. 1), and a putative IUP dispersal that reached from eastern Europe to East Asia. Eventually populations related to the IUP Bacho Kiro Cave individuals disappeared in western Eurasia without leaving a detectable genetic contribution to later populations, as indicated by the fact that later individuals, including BK1653 at Bacho Kiro Cave, were closer to present-day European populations than to present-day Asian populations^{29,30}. In Europe, the notion of successive population replacements is also consistent with the archaeological record, where the IUP is clearly intrusive against the Middle Palaeolithic background and where, apart from the common focus on blades, there are no clear technological connections between the IUP and the subsequent Aurignacian technologies. Finally, it is striking that all four of the European individuals who overlapped in time with late Neanderthals⁷ and from whom genome-wide data have been retrieved had close Neanderthal relatives in their family histories (Fig. 3, Extended Data Figs. 7, 8). This suggests that mixing between Neanderthals and the first modern humans that arrived into Europe was perhaps more common than is often assumed.

Note added in proof: A companion paper⁴⁷ describes an individual from the Czechia who—based on genetic analyses—may be of similar age to the IUP Bacho Kiro Cave individuals and who carries a proportion of Neanderthal ancestry similar to later Upper Palaeolithic humans.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41586-021-03335-3>.

- Hublin, J.-J. et al. Initial Upper Palaeolithic *Homo sapiens* from Bacho Kiro Cave, Bulgaria. *Nature* **581**, 299–302 (2020).
- Fewlass, H. et al. A ¹⁴C chronology for the Middle to Upper Palaeolithic transition at Bacho Kiro Cave, Bulgaria. *Nat. Ecol. Evol.* **4**, 794–801 (2020).
- Higham, T. et al. The earliest evidence for anatomically modern humans in northwestern Europe. *Nature* **479**, 521–524 (2011).
- Benazzi, S. et al. Early dispersal of modern humans in Europe and implications for Neanderthal behaviour. *Nature* **479**, 525–528 (2011).
- Hublin, J.-J. The modern human colonization of western Eurasia: when and where? *Quat. Sci. Rev.* **118**, 194–210 (2015).
- Higham, T. et al. The timing and spatiotemporal patterning of Neanderthal disappearance. *Nature* **512**, 306–309 (2014).
- Fu, Q. et al. An early modern human from Romania with a recent Neanderthal ancestor. *Nature* **524**, 216–219 (2015).
- Fu, Q. et al. Genome sequence of a 45,000-year-old modern human from western Siberia. *Nature* **514**, 445–449 (2014).
- Prüfer, K. et al. The complete genome sequence of a Neanderthal from the Altai Mountains. *Nature* **505**, 43–49 (2014).
- Prüfer, K. et al. A high-coverage Neandertal genome from Vindija Cave in Croatia. *Science* **358**, 655–658 (2017).
- Green, R. E. et al. A draft sequence of the Neandertal genome. *Science* **328**, 710–722 (2010).

- Benazzi, S. et al. The makers of the Protoaurignacian and implications for Neandertal extinction. *Science* **348**, 793–796 (2015).
- Yang, M. A. et al. 40,000-year-old individual from Asia provides insight into early population structure in Eurasia. *Curr. Biol.* **27**, 3202–3208.e9 (2017).
- Trinkaus, E. et al. An early modern human from the Peștera cu Oase, Romania. *Proc. Natl Acad. Sci. USA* **100**, 11231–11236 (2003).
- Kuhn, S. L. & Zwyns, N. Rethinking the initial Upper Paleolithic. *Quat. Int.* **347**, 29–38 (2014).
- Zwyns, N. et al. The northern route for human dispersal in central and northeast Asia: new evidence from the site of Tolbor-16, Mongolia. *Sci. Rep.* **9**, 11759 (2019).
- Korlević, P. et al. Reducing microbial and human contamination in DNA extractions from ancient bones and teeth. *Biotechniques* **59**, 87–93 (2015).
- Fu, Q. et al. DNA analysis of an early modern human from Tianyuan Cave, China. *Proc. Natl Acad. Sci. USA* **110**, 2223–2227 (2013).
- Haak, W. et al. Massive migration from the steppe was a source for Indo-European languages in Europe. *Nature* **522**, 207–211 (2015).
- Briggs, A. W. et al. Patterns of damage in genomic DNA sequences from a Neandertal. *Proc. Natl Acad. Sci. USA* **104**, 14616–14621 (2007).
- Meyer, M. et al. Nuclear DNA sequences from the Middle Pleistocene Sima de los Huesos hominins. *Nature* **531**, 504–507 (2016).
- Peyrégne, S. & Peter, B. M. AuthenticCT: a model of ancient DNA damage to estimate the proportion of present-day DNA contamination. *Genome Biol.* **21**, 246 (2020).
- Korneliusson, T. S., Albrechtsen, A. & Nielsen, R. ANGSD: analysis of next generation sequencing data. *BMC Bioinformatics* **15**, 356 (2014).
- Mitnik, A. et al. Kinship-based social inequality in Bronze Age Europe. *Science* **366**, 731–734 (2019).
- Petr, M. et al. The evolutionary history of Neanderthal and Denisovan Y chromosomes. *Science* **369**, 1653–1656 (2020).
- Poznik, G. D. et al. Punctuated bursts in human male demography inferred from 1,244 worldwide Y-chromosome sequences. *Nat. Genet.* **48**, 593–599 (2016).
- Kutanan, W. et al. Contrasting paternal and maternal genetic histories of Thai and Lao populations. *Mol. Biol. Evol.* **36**, 1490–1506 (2019).
- Patterson, N. et al. Ancient admixture in human history. *Genetics* **192**, 1065–1093 (2012).
- Fu, Q. et al. The genetic history of Ice Age Europe. *Nature* **534**, 200–205 (2016).
- Seguin-Orlando, A. et al. Genomic structure in Europeans dating back at least 36,200 years. *Science* **346**, 1113–1118 (2014).
- Mallick, S. et al. The Simons Genome Diversity Project: 300 genomes from 142 diverse populations. *Nature* **538**, 201–206 (2016).
- Lazaridis, I. et al. Ancient human genomes suggest three ancestral populations for present-day Europeans. *Nature* **513**, 409–413 (2014).
- Lazaridis, I. et al. Genomic insights into the origin of farming in the ancient Near East. *Nature* **536**, 419–424 (2016).
- Sikora, M. et al. The population history of northeastern Siberia since the Pleistocene. *Nature* **570**, 182–188 (2019).
- Rasmussen, M. et al. Ancient human genome sequence of an extinct Palaeo-Eskimo. *Nature* **463**, 757–762 (2010).
- Moreno-Mayar, J. V. et al. Terminal Pleistocene Alaskan genome reveals first founding population of Native Americans. *Nature* **553**, 203–207 (2018).
- Moreno-Mayar, J. V. et al. Early human dispersals within the Americas. *Science* **362**, eaav2621 (2018).
- Rasmussen, M. et al. The genome of a Late Pleistocene human from a Clovis burial site in western Montana. *Nature* **506**, 225–229 (2014).
- Rasmussen, M. et al. The ancestry and affiliations of Kennewick Man. *Nature* **523**, 455–458 (2015).
- Sikora, M. et al. Ancient genomes show social and reproductive behavior of early Upper Paleolithic foragers. *Science* **358**, 659–662 (2017).
- Petr, M., Pääbo, S., Kelso, J. & Vernot, B. Limits of long-term selection against Neandertal introgression. *Proc. Natl Acad. Sci. USA* **116**, 1639–1644 (2019).
- Mafessoni, F. et al. A high-coverage Neandertal genome from Chagyrskaya Cave. *Proc. Natl Acad. Sci. USA* **117**, 15132–15136 (2020).
- Meyer, M. et al. A high-coverage genome sequence from an archaic Denisovan individual. *Science* **338**, 222–226 (2012).
- Peter, B. M. 100,000 years of gene flow between Neandertals and Denisovans in the Altai mountains. Preprint at <https://doi.org/10.1101/2020.03.13.990523> (2020).
- Vernot, B. et al. Excavating Neandertal and Denisovan DNA from the genomes of Melanesian individuals. *Science* **352**, 235–239 (2016).
- Sankararaman, S., Mallick, S., Patterson, N. & Reich, D. The combined landscape of Denisovan and Neanderthal ancestry in present-day humans. *Curr. Biol.* **26**, 1241–1247 (2016).
- Prüfer, K. et al. A genome sequence from a modern human skull over 45,000 years old from Zlatý kůň in Czechia. *Nat. Ecol. Evol.* <https://doi.org/10.1038/s41559-021-01443-x> (2021).
- R Core Team. *R: A Language and Environment for Statistical Computing* <http://www.R-project.org/> (R Foundation for Statistical Computing, 2013).

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2021

Methods

Ethics declaration

All approvals for specimen handling have been obtained from the relevant institutions. For the Oase1 specimen, the permission was granted to S.P. by the Emil Racovita Institute of Speleology, as the national authority in caves study. For the Bacho Kiro Cave specimens, the permission was granted by the Bulgarian Ministry of Culture and the National Museum of Natural History (Sofia, Bulgaria).

DNA extraction and library preparation

Data generation for the seven Bacho Kiro Cave specimens (specimen IDs: F6-620, AA7-738, BB7-240, CC7-2289, CC7-335, F6-597 and BK1653) was based on DNA libraries prepared and described previously¹. To obtain additional data from the Oase1 individual, we extracted DNA from 15 mg of bone powder from the specimen^{7,14}. As it was previously found to be highly contaminated with microbial and present-day human DNA, the bone powder was treated with 0.5% hypochlorite solution before DNA extraction¹⁷. Four single-stranded DNA libraries were prepared from the resulting extract and two additional libraries were prepared, each using 5 µl of the two DNA extracts generated previously⁷ as described⁴⁹. The pools of libraries were then sequenced directly on Illumina MiSeq and HiSeq 2500 platforms in a double index configuration (2 × 76 cycles)⁵⁰ and base calling was done using Bustard (Illumina).

DNA captures

We enriched the selected amplified libraries for about 3.7 million SNPs across the genome described in supplementary data 2 of ref.¹⁹ (SNP Panel 1 or 390k array), and supplementary data 1–3 of ref.⁷ (SNP Panels 2, 3 and 4, or 840k, 1000k and Archaic admixture arrays, respectively). For the male individuals (Bacho Kiro Cave F6-620, BB7-240 and CC7-335), an aliquot of each library was additionally enriched for about 6.9 Mb of the Y chromosome²⁵. All of the enriched libraries were sequenced on the Illumina HiSeq 2500 platforms in a double index configuration (2 × 76 cycles)⁵⁰ and base calling was done using Bustard (Illumina).

Sequencing of capture products and data processing

For all sequencing runs we trimmed the adapters and merged overlapping forward and reverse reads into single sequences using leeHom⁵¹ (version: <https://bioinf.eva.mpg.de/leehom/>). The Burrows-Wheeler Aligner⁵² (BWA, version: 0.5.10-*evan.9-1-g44db244*; <https://github.com/mpieva/network-aware-bwa>) with the parameters adjusted for ancient DNA (“-n 0.01 -o 2 -l 16500”)⁴³ was used to align the data from all sequencing runs to the human reference genome (GRCh37/1000 Genomes release; ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/reference/phase2_reference_assembly_sequence/). Only reads that showed perfect matches to the expected index combinations were used for all downstream analyses. PCR duplicates were removed using bam-rmdup (version: 0.6.3; <https://github.com/mpieva/biohazard-tools>) and SAMtools (version: 1.3.1)⁵³ was used to filter for fragments that were at least 35 bp long and that had a mapping quality equal to or greater than 25. BAM files of the libraries enriched for the specific subset of the nuclear genome were further intersected with the BED files containing target SNP positions (390k, 840k, 1000k, Archaic admixture, a merged set of SNP Panels 1 and 2 or 1240k, and a merged set of SNP Panels 1, 2 and 3 or 2200k) and regions (Y chromosome) using BEDtools⁵⁴ (version: 2.24.0). In order to filter for endogenous ancient DNA or putatively deaminated fragments, we used elevated C-to-T substitutions relative to the reference genome at the first three and/or last three positions of the alignment ends²⁰. We merged libraries originating from the same specimen using samtools merge⁵³ to produce the final datasets for downstream analyses (Extended Data Table 1, Supplementary Information 2).

Merging of the Bacho Kiro Cave and Oase1 data with other genomes

We performed random read sampling using bam-caller (<https://github.com/bodkan/bam-caller>, version: 0.1) by picking a base with a base quality of at least 30 at each position in the 1240k and 2200k SNP Panels that was covered by at least one fragment longer than 35 bp with a mapping quality equal to or higher than 25 ($L \geq 35$ bp, $MQ \geq 25$, $BQ \geq 30$). To mitigate the effect of deamination-derived substitutions on downstream analyses, we did not sample any Ts on the forward strands (in the orientation as sequenced) or any As on the reverse strands in the first five and/or last five positions from the alignment ends. Owing to the haploid nature of the Y chromosome, we called genotypes across the approximately 6.9 Mb of the Y chromosome for the enriched libraries of male individuals by calling a consensus allele at each position by majority call requiring a minimum coverage of 3 for specimens F6-620 and BB7-240 and of 2 for specimen CC7-335 using bam-caller (<https://github.com/bodkan/bam-caller>, version: 0.1) (Supplementary Information 2).

We merged the data from the newly sequenced specimens with datasets of previously published ancient and present-day humans, as well as archaic humans, for three SNP panels (1240k, 2240k and Archaic admixture; Supplementary Information 3). Data from the 1240k panel include genotypes of 2,109 ancient and 2,974 present-day individuals compiled from published studies and available in the EIGENSTRAT format²⁸ at <https://reich.hms.harvard.edu/allen-ancient-dna-resource-aadr-downloadable-genotypes-present-day-and-ancient-dna-data> (version 37.2, released 22 February 2019). Data from the 2240k panel include published genetic data of ancient modern humans obtained through hybridization captures^{7,13,29} and a range of present-day^{9,31} and ancient modern humans^{8,30,32–37,55–60}, as well as the archaics^{9,10,42,43,61}, for which whole-genome shotgun data of varying coverage are available (Supplementary Information 3). The Archaic admixture panel data include 21 ancient modern humans directly enriched for these sites^{7,13,29}, as well as the genotypes of present-day^{9,31} and ancient modern humans^{8,30,32–37,55–60}, as well as the archaics^{9,10,42,43,61}, for which whole-genome shotgun data are available (Supplementary Information 3) and that were intersected with about 1.7 million SNPs of the Archaic admixture panel using BEDTools⁵⁴ (version: 2.24.0).

Population genetic analyses

To determine the relationship of the Bacho Kiro Cave and Oase1 individuals to other modern and archaic humans we used a range of *f*-statistics from ADMIXTOOLS²⁸ (version: v5.1) and as implemented in the R package admixr⁶² (version: 0.7.1; Supplementary Information 4). We used qpGraph program (Admixture Graph) from ADMIXTOOLS²⁸ (version: v5.1) to test models of the relationship among Initial Upper Palaeolithic Bacho Kiro Cave individuals, the roughly 35,000-year-old Bacho Kiro Cave individual BK1653 and other ancient modern humans from Eurasia older than 30,000 years BP (Fig. 2d, Supplementary Information 6).

Neanderthal ancestry

We estimated the proportion of Neanderthal DNA in the genomes of present-day and ancient modern humans by computing a direct f_4 ratio²⁸ that takes advantage of the two high-quality Neanderthal genomes^{9,10,41} (Extended Data Fig. 6, Supplementary Information 7). We used admixfrog⁴⁴ (version: 0.5.6, <https://github.com/BenjaminPeter/admixfrog/>) to detect archaic introgressed segments in the genomes of the Bacho Kiro Cave and Oase1 individuals, as well as in other ancient modern humans and 254 present-day non-African individuals from the SGDP³¹ as a direct comparison (Supplementary Information 8). We used these introgressed segments to estimate the number of generations since the most recent Neanderthal ancestor of the IUP Bacho Kiro Cave and Oase1 individuals (Supplementary Information 8), to investigate the overlap of Neanderthal segments in Bacho Kiro Cave individuals

with those detected in present-day and ancient modern humans (Supplementary Information 9), and to investigate the overlap of Neanderthal segments in the IUP Bacho Kiro Cave and Oase1 individuals with parts of the human genome devoid of Neanderthal ancestry^{45,46} (Neanderthal deserts; Supplementary Information 10).

Reporting summary

Further information on research design is available in the Nature Research Reporting Summary linked to this paper.

Data availability

The aligned sequences of the Bacho Kiro Cave and Oase1 individuals have been deposited in the European Nucleotide Archive under accession number PRJEB39134. Comparative data of present-day human genomes from the SGDP that were used in this study are available at <https://www.simonsfoundation.org/simons-genome-diversity-project/>. Comparative data used in this study, which include genotypes of 2,109 ancient and 2,974 present-day individuals compiled from published studies, are available in the EIGENSTRAT file format at <https://reich.hms.harvard.edu/allen-ancient-dna-resource-aadr-downloadable-genotypes-present-day-and-ancient-dna-data> (version 37.2, released 22 February 2019). To determine the Y chromosome haplogroups of male individuals in this study, we used the Y-haplogroup tree from the International Society of Genetic Genealogy (ISOGG, available at <http://www.isogg.org>, version: 13.38).

49. Gansauge, M.-T., Aximu-Petri, A., Nagel, S. & Meyer, M. Manual and automated preparation of single-stranded DNA libraries for the sequencing of DNA from ancient biological remains and other sources of highly degraded DNA. *Nat. Protocols* **15**, 2279–2300 (2020).
50. Kircher, M., Sawyer, S. & Meyer, M. Double indexing overcomes inaccuracies in multiplex sequencing on the Illumina platform. *Nucleic Acids Res.* **40**, e3 (2012).
51. Renaud, G., Stenzel, U. & Kelso, J. *leeHom*: adaptor trimming and merging for Illumina sequencing reads. *Nucleic Acids Res.* **42**, e141 (2014).
52. Li, H. & Durbin, R. Fast and accurate long-read alignment with Burrows-Wheeler transform. *Bioinformatics* **26**, 589–595 (2010).
53. Li, H. et al. The Sequence Alignment/Map format and SAMtools. *Bioinformatics* **25**, 2078–2079 (2009).
54. Quinlan, A. R. & Hall, I. M. BEDTools: a flexible suite of utilities for comparing genomic features. *Bioinformatics* **26**, 841–842 (2010).
55. Raghavan, M. et al. Upper Palaeolithic Siberian genome reveals dual ancestry of Native Americans. *Nature* **505**, 87–91 (2014).

56. Olalde, I. et al. Derived immune and ancestral pigmentation alleles in a 7,000-year-old Mesolithic European. *Nature* **507**, 225–228 (2014).
57. Gallego Llorente, M. et al. Ancient Ethiopian genome reveals extensive Eurasian admixture throughout the African continent. *Science* **350**, 820–822 (2015).
58. Keller, A. et al. New insights into the Tyrolean Iceman's origin and phenotype as inferred by whole-genome sequencing. *Nat. Commun.* **3**, 698 (2012).
59. Gamba, C. et al. Genome flux and stasis in a five millennium transect of European prehistory. *Nat. Commun.* **5**, 5257 (2014).
60. Jones, E. R. et al. Upper Palaeolithic genomes reveal deep roots of modern Eurasians. *Nat. Commun.* **6**, 8912 (2015).
61. Hajdinjak, M. et al. Reconstructing the genetic history of late Neanderthals. *Nature* **555**, 652–656 (2018).
62. Petr, M., Vernot, B. & Kelso, J. *admix-R* package for reproducible analyses using ADMIXTOOLS. *Bioinformatics* **35**, 3194–3195 (2019).

Acknowledgements We thank A. Weihmann and B. Schellbach for their help with DNA sequencing; R. Barr, P. Korlević and S. Tüpke for help with graphics; D. Reich and M. Slatkin for discussions and input; the Tourist Association STD “Bacho Kiro” in Dryanovo; the History Museum in Dryanovo; the Regional History Museum in Gabrovo; the National Museum of Natural History (NMNH) in Sofia; and N. Spassov. M.H. is supported by a Marie Skłodowska Curie Individual Fellowship (no. 844014). Q.F. was supported by the Strategic Priority Research Program (B) (XDB26000000) of CAS, NSFC (41925009, 41630102, 41672021). O.T.M. and S.C. were supported by a grant from the Ministry of Research and Innovation, CNCS - UEFISCDI, project number PN-III-P4-ID-PCCF-2016-0016, within PNCDI III and the EEA Grants 2014-2021, under Project contract no. 3/2019. F.W. received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement no. 948365). P.S. was supported by the Vallee Foundation, the European Research Council (grant no. 852558), the Wellcome Trust (217223/Z/19/Z) and Francis Crick Institute core funding (FC001595) from Cancer Research UK, the UK Medical Research Council and the Wellcome Trust. This study was funded by the Max Planck Society and the European Research Council (grant agreement no. 694707 to S.P.).

Author contributions M.H., E. Essel, S.N., B.N., J.R. and Q.F. performed ancient DNA lab work. O.T.M., S.C., E. Enderova, N.Z., R.S., F.W., G.M.S., V.S.-M., H.F., S.T., Z.R., S.S., N.S., S.P.M., T.T. and J.-J.H. provided and analysed archaeological material. M.H., F.M., L.S., B.V., A.H. and B.M.P. analysed DNA data. B.M.P., M.M., P.S., J.K. and S.P. supervised the study. M.H., J.K. and S.P. wrote the manuscript with input from all co-authors.

Funding Open access funding provided by Max Planck Society.

Competing interests The authors declare no competing interests.

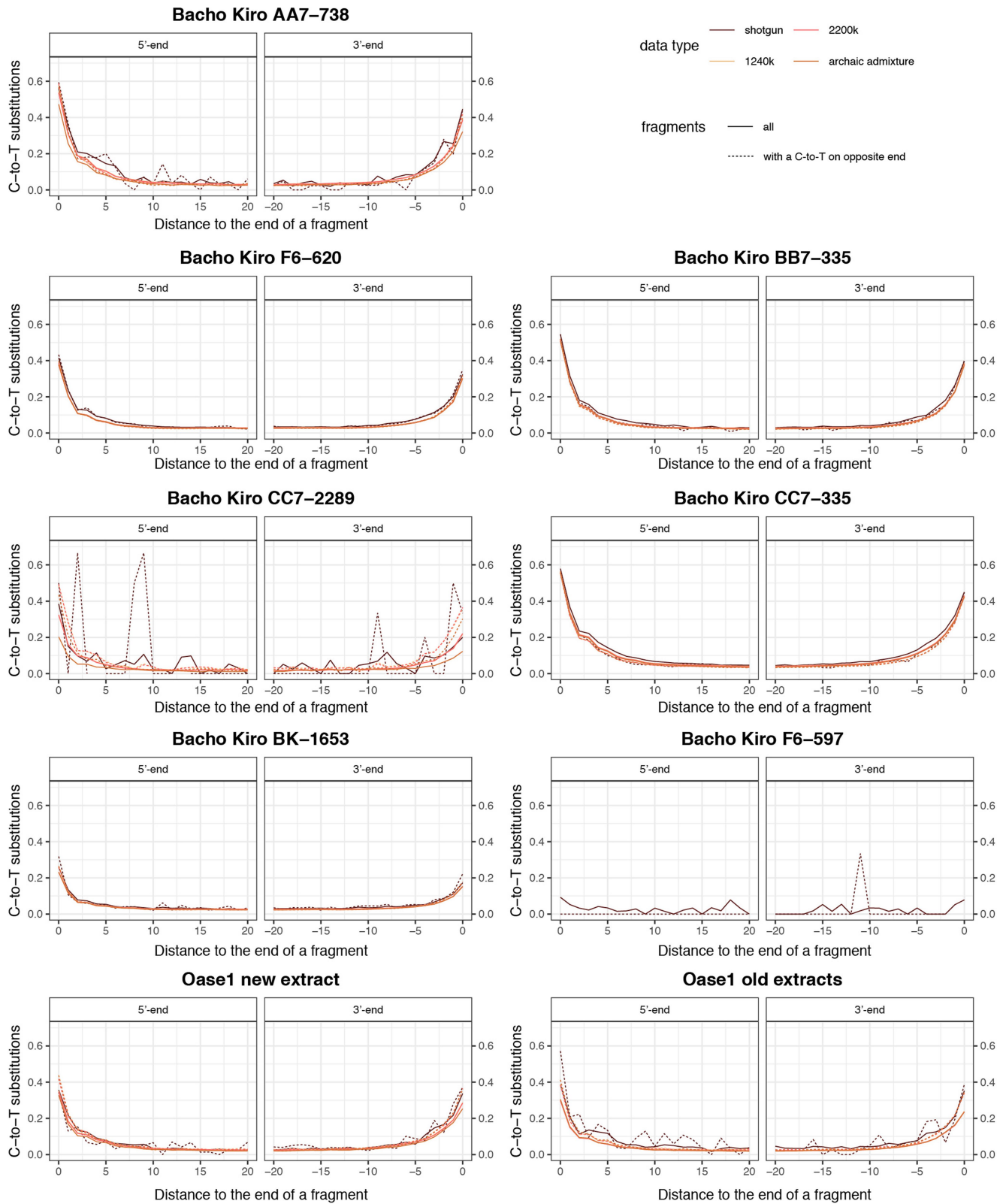
Additional information

Supplementary information The online version contains supplementary material available at <https://doi.org/10.1038/s41586-021-03335-3>.

Correspondence and requests for materials should be addressed to M.H. or S.P.

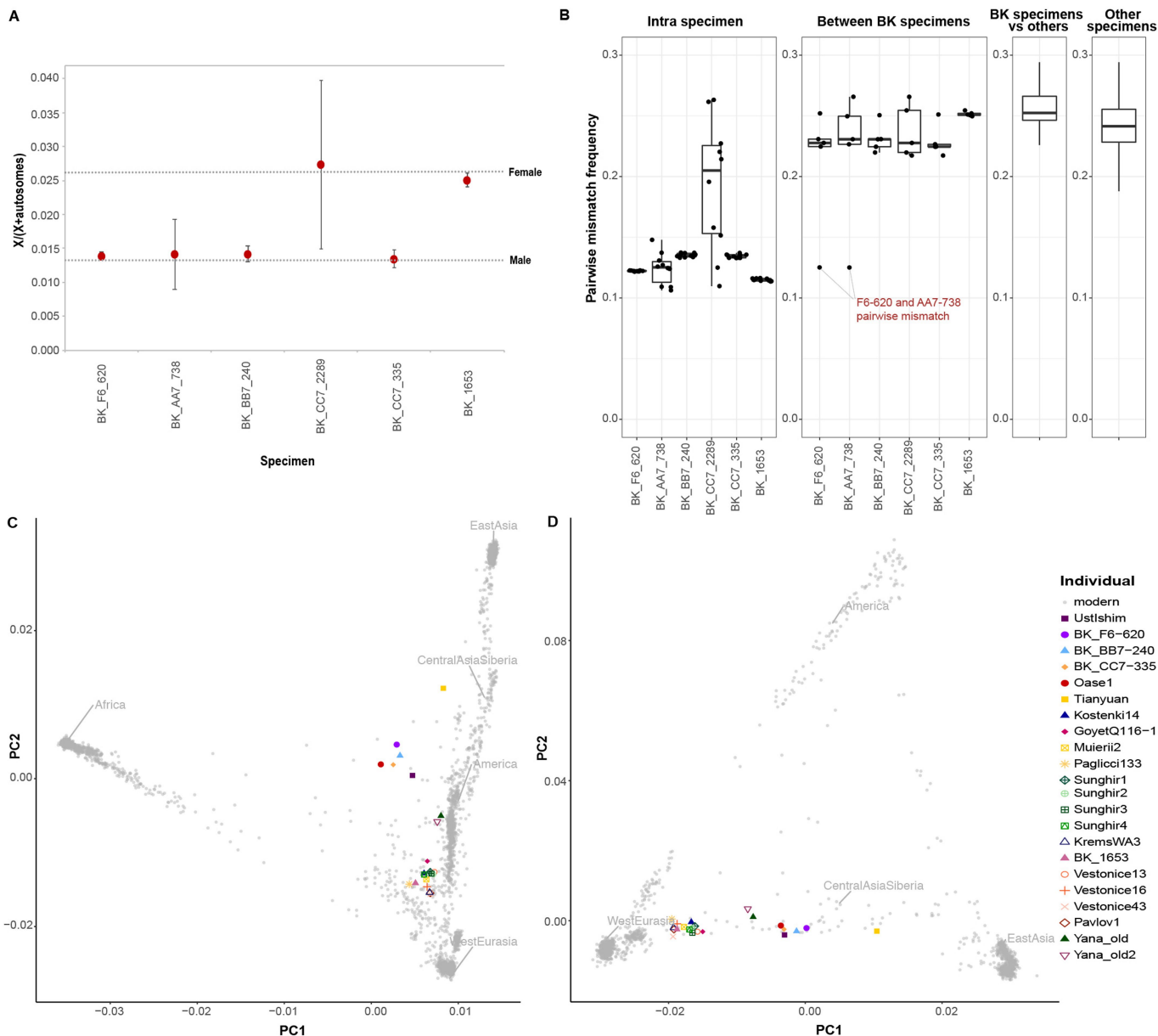
Peer review information *Nature* thanks Carlos Lalueza-Fox, Marie Soressi and the other, anonymous, reviewer(s) for their contribution to the peer review of this work. Reviewer reports are available.

Reprints and permissions information is available at <http://www.nature.com/reprints>.



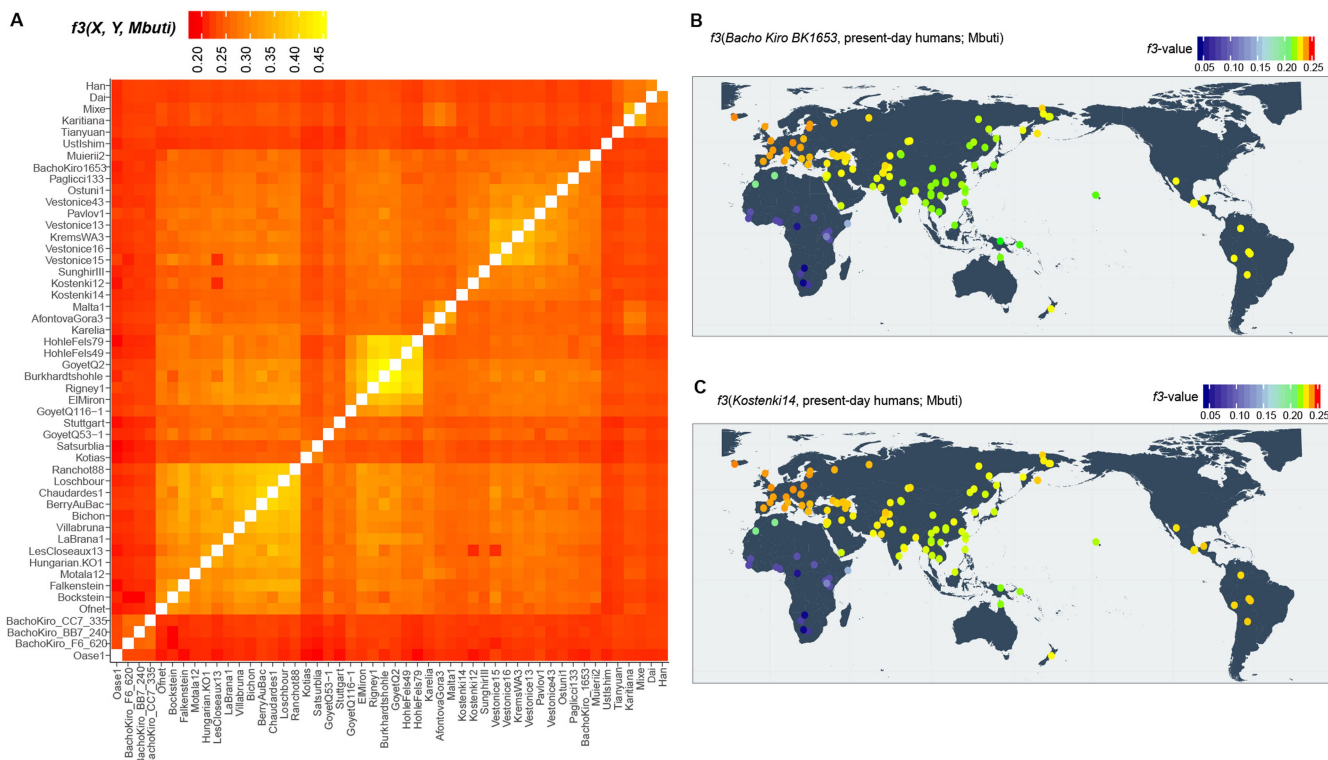
Extended Data Fig. 1 | C-to-T substitution frequencies at the beginning and end of nuclear alignments for the merged libraries of the Bacho Kiro Cave and Oase1 specimens. Only fragments of at least 35 bp that mapped to the human reference genome with a mapping quality of at least 25 ($MQ \geq 25$) were

used for this analysis. Solid lines depict all fragments and dashed lines the fragments that have a C-to-T substitution at the opposing end (conditional substitutions).



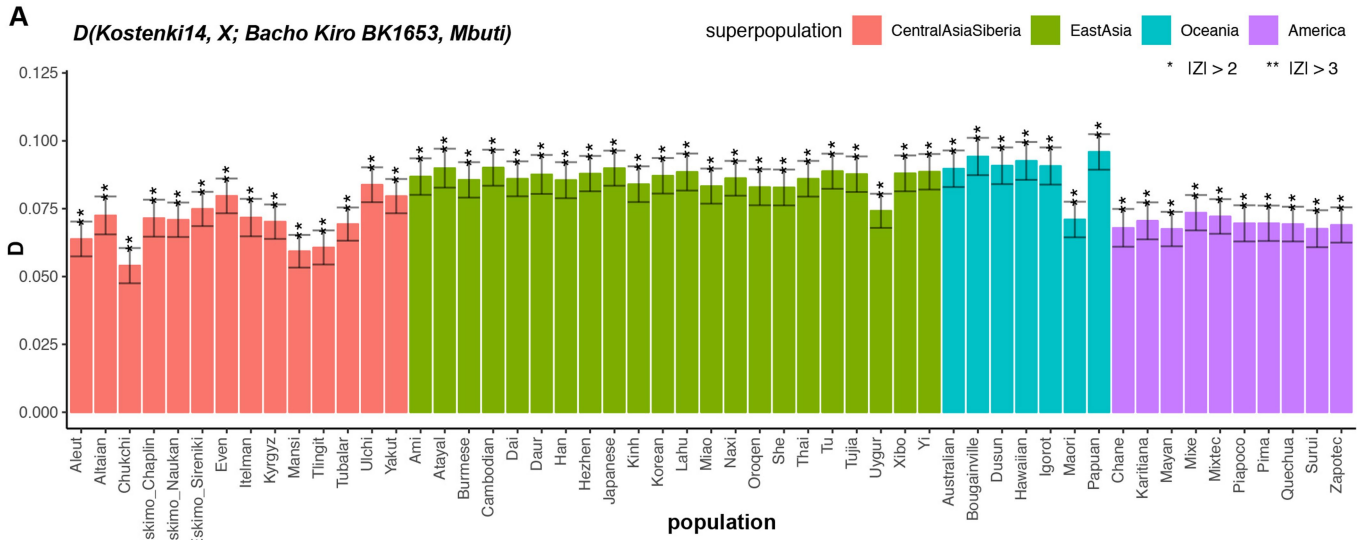
Extended Data Fig. 2 | Sex determination, pairwise mismatch rate between specimens and principal component analyses (PCAs). **a**, Sex determination for Bacho Kiro Cave specimens. Only fragments that showed C-to-T substitutions in the first three and/or last three positions and overlapping 2200k Panel SNPs were used for this analysis (for the number of deaminated fragments per specimen, see Supplementary Table 2.8). The expected ratios of X to (X + autosomal) fragments for a female and a male individual are depicted as dashed lines, and circles correspond to the calculated values for each of the Bacho Kiro Cave specimens. Whiskers correspond to 95% binomial confidence intervals. **b**, Pairwise mismatch rate between different libraries from the same specimen (intra-specimen), between different Bacho Kiro Cave specimens

(inter-specimen) and between other ancient modern humans older than 30,000 cal. BP. The boxplots were drawn using the summary statistics geom_stat from the R-package ggplot; lower and upper hinges, first and third quartiles; whiskers, maximum value of $1.5 \times$ the interquartile range; centre line, median. SNPs across all autosomes of the 2200k Panel were used for the calculations (number of SNPs (nsnps) = 2,056,573). **c**, A PCA of 2,970 present-day humans genotyped on 597,573 SNPs with 22 ancient individuals older than 30,000 cal. BP projected onto the plane. **d**, A PCA of 1,444 present-day Eurasian and Native American individuals genotyped on 597,573 SNPs with 22 ancient individuals older than 30,000 cal. BP projected onto the plane. **c**, **d**, Grey dots denote present-day human genomes.

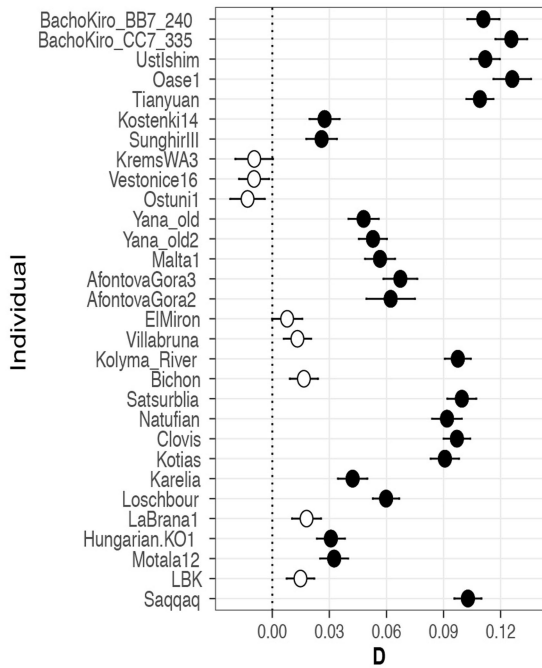


Extended Data Fig. 3 | Heatmaps of outgroup f_3 -statistics corresponding to the amount of shared genetic drift between individuals and/or populations. a. Genetic clustering of ancient individuals, including the IUP Bacho Kiro Cave, BK1653 and Oase1 individuals based on the amount of shared genetic drift and calculated as $f_3(\text{ancient}_1, \text{ancient}_2; Mbuti)$. Lighter colours in this panel indicate higher f_3 values and correspond to higher shared genetic drift (nsnps = 2,056,573). **b, c.** Shared genetic drift between the approximately 35,000-year-old BK1653 (**b**; nsnps = 825,379) or approximately 38,000-year-old

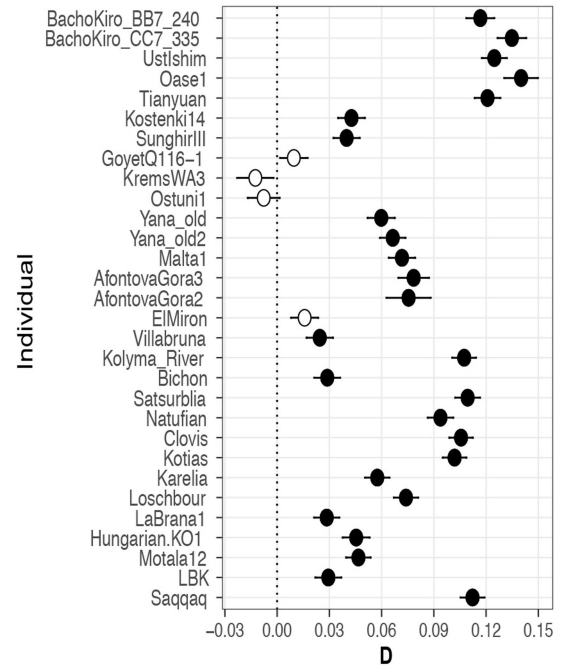
Kostenki14 individuals^{29,30} (**c**; nsnps = 1,676,430) and present-day human populations from the SGDP³¹ calculated as $f_3(\text{Bacho Kiro BK1653/Kostenki14, present-day humans; Mbuti})$. Three Mbuti individuals from the same panel³¹ were used as an outgroup. Higher f_3 values⁴⁷ are indicated with warmer colours and correspond to higher shared genetic drift. Plotted f_3 values were calculated using ADMIXTOOLS²⁸ as implemented in admixr⁶¹. Coordinates for present-day humans were previously published³¹. The heatmap scale is consistent with those in Fig. 2a, Supplementary Figs. 5.1, 5.2.



B *D(GoyetQ116-1, X; Bacho Kiro BK1653, Mbuti)*

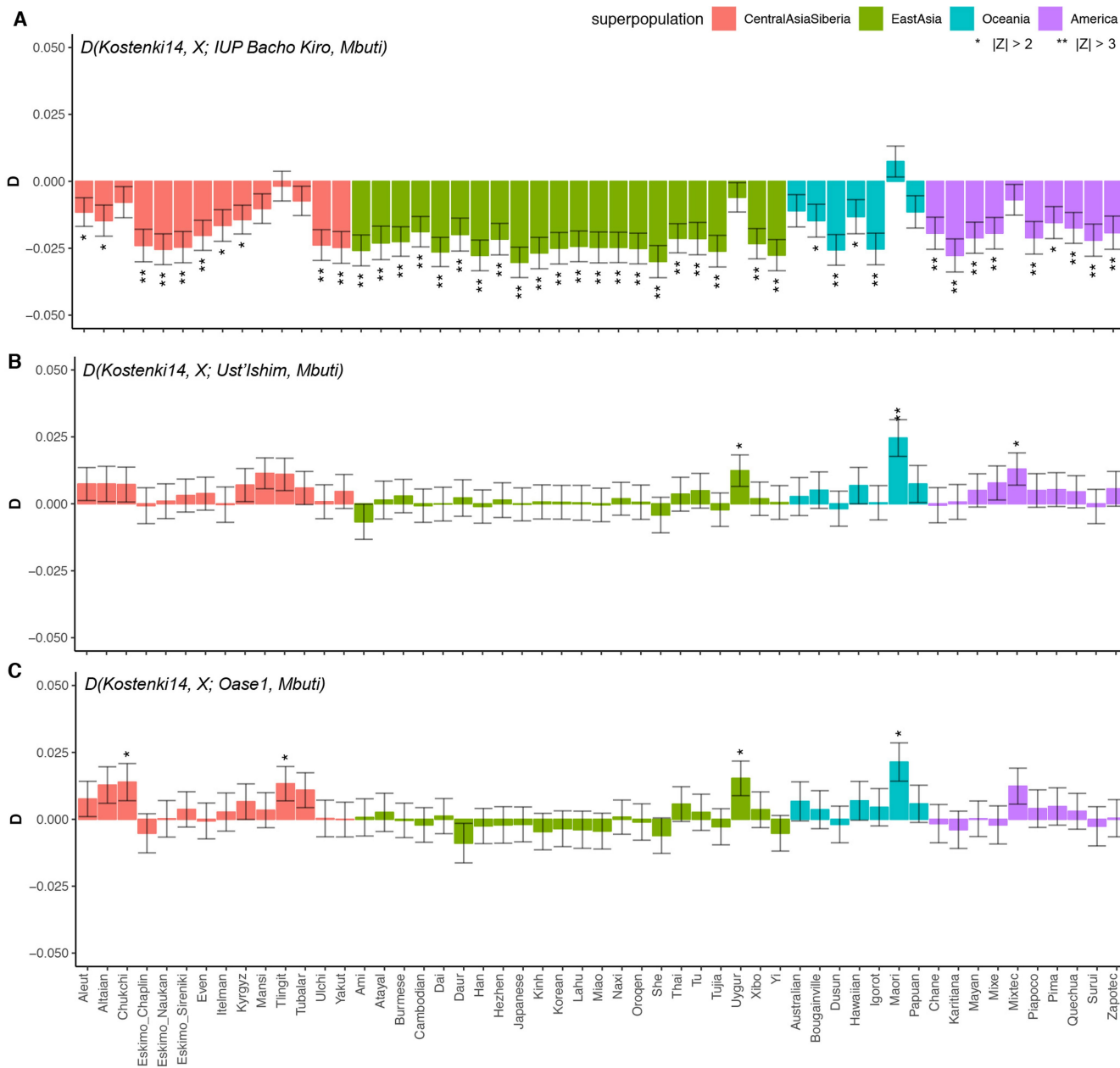


C *D(Vestonice16, X; Bacho Kiro BK1653, Mbuti)*



Extended Data Fig. 4 | Population affinities of the approximately 35,000-year-old BK1653 individual. a. In contrast to the IUP Bacho Kiro Cave individuals, individual BK1653 is significantly closer to the approximately 38,000-year-old Kostenki14 individual^{29,30} than to present-day non-African populations from Central Asia and Siberia, East Asia, South Asia, Oceania or the Americas, as calculated by $D(Kostenki14, present\text{-}day\ humans; BachoKiro\ BK1653, Mbuti)$. D values for each comparison, plotted as barplots, were calculated using ADMIXTOOLS²⁸ as implemented in admixr⁶¹. Present-day human genomes from the SGDP³¹ were used in these statistics, and three Mbuti

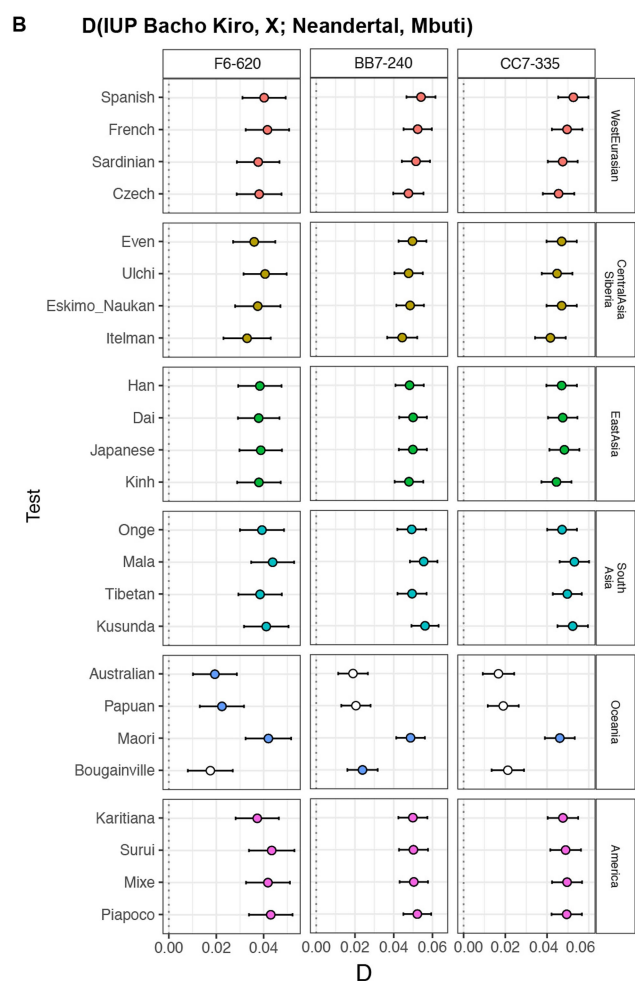
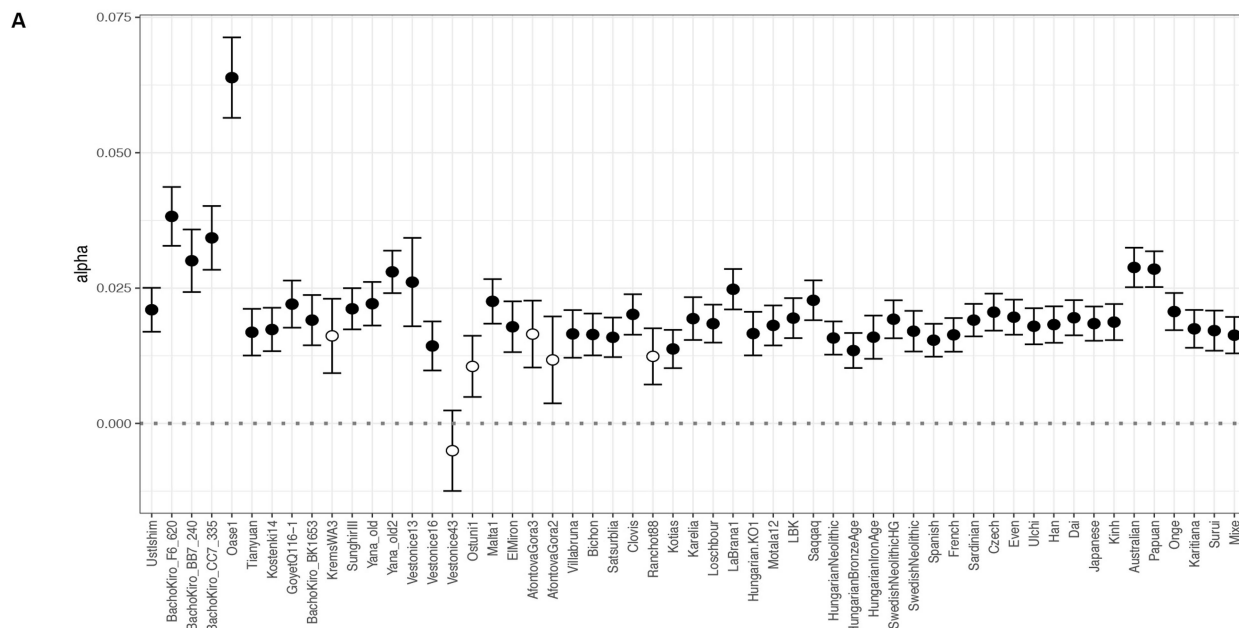
individuals from the same panel were used as an outgroup. ** $|Z| \geq 3$, * $|Z| \geq 2$. **b, c.** BK1653 shares significantly more alleles with the approximately 35,000-year-old GoyetQ116-1²⁹ (**b**) and approximately 31,000-year-old Vestonice16²⁹ (**c**) than with most other ancient modern humans. D values calculated as in **a**. Filled circles correspond to $|Z| \geq 3$, and open circles indicate a $|Z| < 3$ (not significant). Error bars in all panels show s.e. calculated using a weighted block jackknife²⁸ across all autosomes on the 2200k Panel (nsnp (Bacho Kiro BK1653) = 825,379) and a block size of 5 Mb.



Extended Data Fig. 5 | $D(Kostenki14, X; IUP\ Bacho\ Kiro\ Cave\ individuals / Ust'Ishim/Oase1, Mbuti)$ where X is a present-day non-African population from Central Asia and Siberia, East Asia, South Asia, Oceania or the Americas. D values for each comparison, plotted as barplots, were calculated using ADMIXTOOLS²⁸ as implemented in admixr⁶¹. Present-day human genomes from the SGDP³¹ were used in these statistics, and three Mbuti

population

individuals from the same panel were used as an outgroup. ** $|Z| \geq 3$, * $|Z| \geq 2$. Error bars denote s.e. calculated using a weighted block jackknife²⁸ across all autosomes on the 2200k Panel and a block size of 5 Mb. **a**, A pool of three IUP Bacho Kiro Cave individuals (nsnps = 1,813,821). **b**, Ust'Ishim (nsnps = 1,951,462). **c**, Oase1 (nsnps = 402,526).



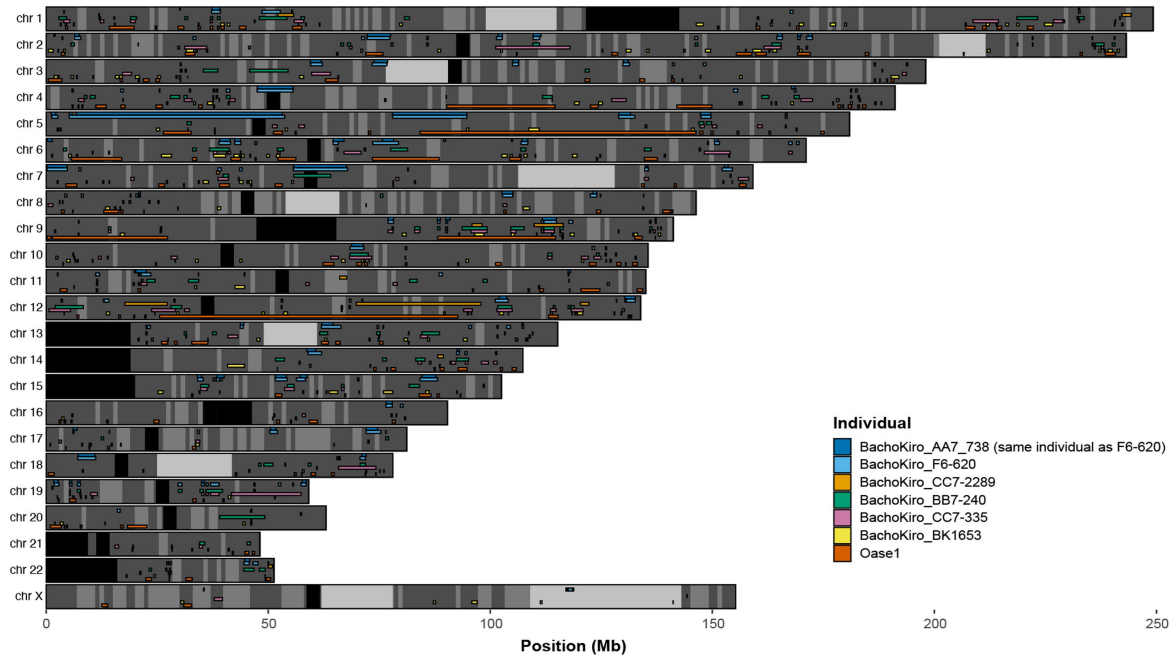
Extended Data Fig. 6 | Neanderthal ancestry in IUP Bacho Kiro Cave individuals. **a**, The proportion of Neanderthal ancestry in Bacho Kiro Cave individuals and other ancient and present-day modern humans calculated with a direct f_4 ratio that takes advantage of the two high-coverage Neanderthal genomes^{9,10,41}. f_4 ratio (alpha) values calculated using ADMIXTOOLS²⁸ as implemented in admixr⁶¹. **b, c**, Neanderthals^{9,10,42} share significantly more

derived alleles with the IUP Bacho Kiro Cave individuals than with most present-day³¹ (b) or ancient modern humans (c). D values calculated using ADMIXTOOLS²⁸ as implemented in admixr⁶¹. Filled circles correspond to $|Z| \geq 3$; open circles indicate $|Z| < 3$ (not significant). Error bars in all panels show s.e. calculated using a weighted block jackknife²⁸ across all autosomes on the 2200k Panel (nsnps = 2,056,573) and a block size of 5 Mb.

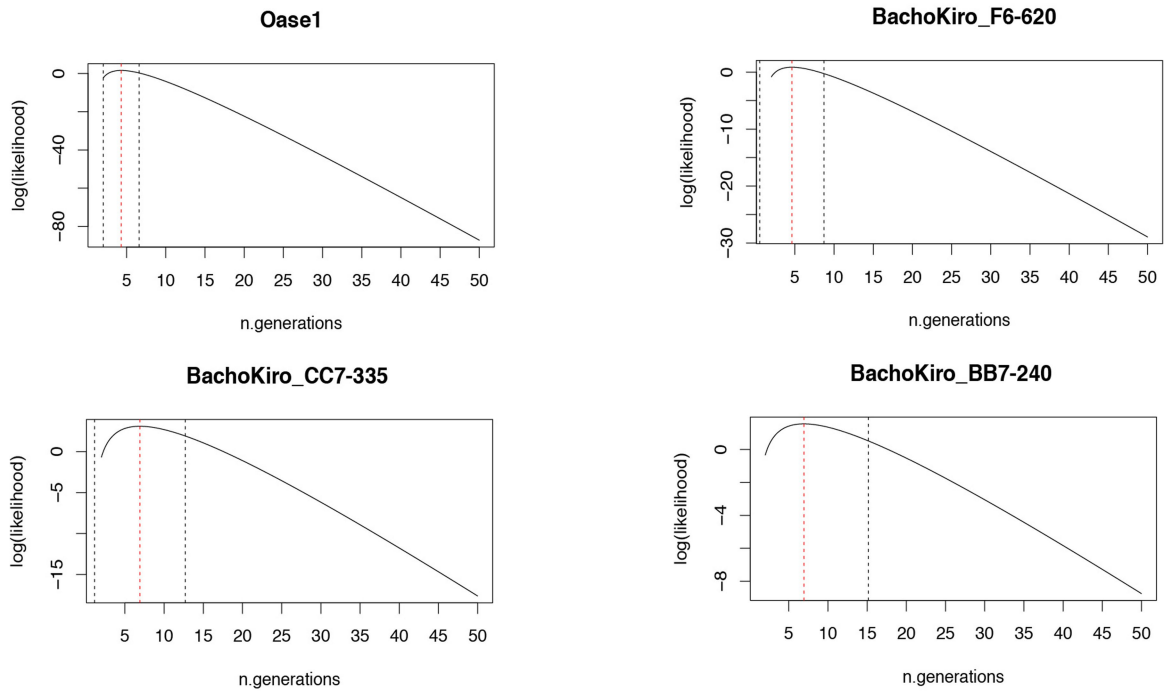
A Genomic positions of archaic fragments

Using fragments longer than 0.2 cM

Light grey areas are deserts > 10 Mb and grey are deserts > 1 Mb. Black regions are gaps > 1 Mb in hg19

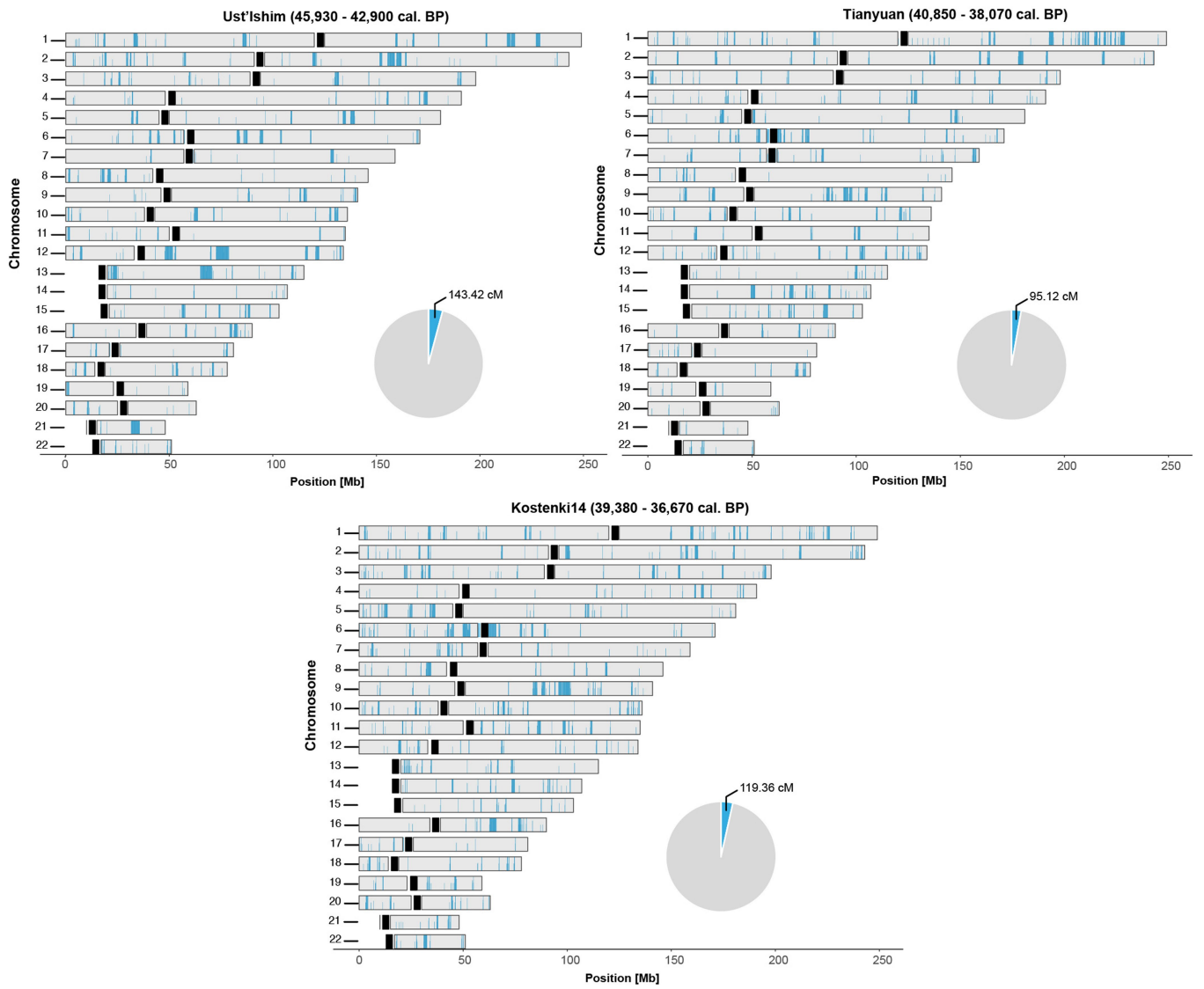


B



Extended Data Fig. 7 | Segments of Neanderthal ancestry and estimates of the number of generations since the most recent Neanderthal ancestor. a. A combined plot of the inferred Neanderthal segments in the genomes of the IUP Bacho Kiro Cave, BK1653 and Oase1 individuals, including chromosome X,

using a hidden Markov model approach (admixfrog)⁴⁴. **b.** Maximum likelihood estimates (dashed red lines) of the number of generations since a recent additional Neanderthal introgression into the IUP Bacho Kiro Cave and Oase1 individuals. Dashed black lines show 95% confidence intervals.



Extended Data Fig. 8 | Spatial distribution of Neanderthal DNA in the Ust'Ishim, Tianyuan and Kostenki14 genomes. Segments corresponding to Neanderthal ancestry inferred using a hidden Markov model approach

(admixfrog)⁴⁴ longer than 0.2 cM are indicated in blue. Centromeres are indicated in black. Pie charts indicate the total amount of Neanderthal DNA identified in each genome.

Article

Extended Data Table 1 | Amount of data generated for Bacho Kiro Cave and Oase1 libraries for each SNP panel

SNP panel	Specimen	Number of sequenced fragments	Number of fragments ≥ 35 bp	Number of mapped fragments ≥ 35 bp, MQ ≥ 25	Number of mapped fragments on target ≥ 35 bp, MQ ≥ 25	Number of unique fragments on target ≥ 35 bp, MQ ≥ 25	Number of SNPs on target	% of SNPs on target	Number of deminated fragments on target ≥ 35 bp, MQ ≥ 25	Number of SNPs on target	% of SNPs on target
390k	F6-620	42,944,497	31,607,676	10,664,089	5,417,563	3,610,135	372,571	94.61	879,932	294,287	74.73
	AA7-738	43,905,093	22,343,746	579,771	68,137	29,434	26,299	6.68	9,975	9,629	2.45
	BB7-240	48,679,210	32,531,469	5,428,952	1,666,056	893,179	311,587	79.13	307,661	191,684	48.68
	CC7-2289	16,999,931	12,126,017	51,649	19,810	9,097	8,659	2.2	1,828	1,816	0.46
	CC7-335	46,334,184	33,761,817	6,255,866	1,557,930	707,423	290,832	73.85	263,187	174,782	44.38
	BK1653	68,575,246	42,484,607	13,844,793	4,326,253	2,510,542	393,788	93.41	381,268	215,802	54.8
	Oase1	59,102,089	38,286,426	1,846,880	1,320,207	223,401	155,508	39.49	48,536	44,591	11.32
840k	F6-620	68,861,832	52,019,777	19,021,767	13,169,868	6,350,393	757,051	89.84	1,560,036	558,143	66.24
	AA7-738	62,671,011	46,507,342	790,242	478,993	64,038	58,037	6.89	21,929	21,376	2.54
	BB7-240	72,044,536	49,699,585	9,815,710	6,412,040	1,650,434	610,752	72.48	571,213	362,810	43.06
	CC7-2289	58,615,440	42,560,335	150,916	71,215	18,721	18,151	2.15	3,716	3,731	0.44
	CC7-335	68,794,275	51,231,565	10,390,207	6,599,859	1,290,666	561,737	66.66	479,284	326,926	38.8
	BK1653	66,744,975	49,155,219	14,281,786	9,230,491	3,840,020	694,592	82.43	594,397	356,855	43.35
	Oase1	56,745,317	37,030,590	3,031,789	1,920,548	368,252	270,601	32.11	80,709	75,569	8.97
1000k	F6-620	69,608,818	53,289,756	19,230,002	13,384,508	5,313,451	808,722	81.05	1,255,469	537,525	53.87
	AA7-738	61,551,239	42,095,902	677,982	398,801	41,360	39,660	3.97	13,567	13,677	1.37
	BB7-240	75,390,565	51,844,259	8,990,852	5,821,596	1,209,288	565,852	56.71	402,866	294,403	29.51
	CC7-2289	54,944,426	40,633,321	167,548	64,293	9,745	10,003	1	1,874	1,965	0.2
	CC7-335	72,464,739	54,221,955	10,907,573	6,935,567	1,062,963	536,521	53.77	383,734	286,514	28.72
	BK1653	72,387,295	49,998,398	14,529,900	9,637,535	2,911,357	726,130	72.77	434,351	305,619	30.63
	Oase1	59,348,519	38,586,583	2,347,235	1,434,618	272,943	221,020	22.15	56,420	55,309	5.54
Archaic admixture	F6-620	153,782,894	113,385,420	31,863,327	20,457,629	8,262,261	1,405,078	80.32	2,004,756	927,570	53.02
	AA7-738	74,474,294	55,404,191	741,606	316,366	72,962	67,495	3.86	22,510	24,423	1.4
	BB7-240	159,324,081	104,745,219	11,377,514	6,359,192	1,852,195	947,950	54.19	632,972	500,142	28.59
	CC7-2289	77,644,299	56,438,550	351,596	78,994	28,065	19,703	1.13	4,048	3,959	0.27
	CC7-335	156,715,471	112,448,992	14,570,648	8,134,133	1,650,897	907,904	51.9	606,177	488,615	27.93
	BK1653	159,168,237	111,693,225	22,866,297	13,162,057	4,591,355	1,257,365	71.87	702,281	533,274	30.48
	Oase1	52,319,743	33,767,952	1,719,613	890,910	306,637	278,234	15.9	64,447	71,112	4.06
"2200k"	F6-620	181,415,147	136,917,209	48,915,858	35,088,657	15,022,650	1,867,749	87.09	3,639,282	1,362,667	64.54
	AA7-738	168,127,343	110,946,990	2,047,995	1,328,039	131,193	123,265	5.75	44,375	44,610	2.08
	BB7-240	196,114,311	134,075,313	24,235,514	16,377,366	3,662,866	1,451,175	67.67	1,252,736	840,421	39.19
	CC7-2289	130,559,797	95,319,673	370,113	169,597	37,238	37,590	1.75	7,372	7,696	0.36
	CC7-335	187,593,198	139,215,337	27,553,646	18,181,311	2,967,786	1,353,413	63.11	1,093,477	776,283	36.2
	BK1653	207,707,516	141,638,224	42,656,479	29,863,646	9,098,112	1,728,159	80.59	1,387,333	874,287	40.77
	Oase1	175,195,925	113,903,599	7,225,904	4,722,435	850,586	646,646	30.15	183,143	177,336	8.27

Table shows number of fragments after merging all of the sequencing libraries together for each specimen. Fragments longer than 35 bp with a mapping quality of at least 25 that overlapped different target sites were used for reporting the number of SNPs on target.

Extended Data Table 2 | Estimates of the number of generations before the most recent Neanderthal introgression in Bacho Kiro Cave and Oase1 individuals (tracts >5 cM) obtained by calculating the complementary cumulative distribution (CCD) of the lengths of Neanderthal tracts

Individual	tracts > 5cM n.generations (+/- 95% CI)	tracts ≤ 5cM n.generations (+/- 95% CI)
BachoKiro BB7-240	11.9 (6.9-17.0)	89.9 (87.9-92.0)
BachoKiro CC7-2289	7.7 (3.4-11.9)	60.3 (50.7- 70.0)
BachoKiro CC7-335	7.4 (5.0 -9.8)	99.3 (95.7- 102.6)
BachoKiro F6-620	3.9 (1.9-6.0)	87.7 (85.8- 89.7)
Oase1	3.9 (3.4-4.6)	89.0 (86.6-91.2)

Estimates for tracts ≤5 cM and therefore representative of older introgression events are also shown.

Reporting Summary

Nature Research wishes to improve the reproducibility of the work that we publish. This form provides structure for consistency and transparency in reporting. For further information on Nature Research policies, see our [Editorial Policies](#) and the [Editorial Policy Checklist](#).

Statistics

For all statistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.

n/a Confirmed

- The exact sample size (n) for each experimental group/condition, given as a discrete number and unit of measurement
- A statement on whether measurements were taken from distinct samples or whether the same sample was measured repeatedly
- The statistical test(s) used AND whether they are one- or two-sided
Only common tests should be described solely by name; describe more complex techniques in the Methods section.
- A description of all covariates tested
- A description of any assumptions or corrections, such as tests of normality and adjustment for multiple comparisons
- A full description of the statistical parameters including central tendency (e.g. means) or other basic estimates (e.g. regression coefficient) AND variation (e.g. standard deviation) or associated estimates of uncertainty (e.g. confidence intervals)
- For null hypothesis testing, the test statistic (e.g. F , t , r) with confidence intervals, effect sizes, degrees of freedom and P value noted
Give P values as exact values whenever suitable.
- For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
- For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
- Estimates of effect sizes (e.g. Cohen's d , Pearson's r), indicating how they were calculated

Our web collection on [statistics for biologists](#) contains articles on many of the points above.

Software and code

Policy information about [availability of computer code](#)

Data collection	No software was used for data collection.
Data analysis	All software packages used for analysis are cited in the Online Methods section and in the Supplementary Information and all used packages are publicly available: base caller: Bustard (Illumina); adapter trimming: leeHom (version used for this manuscript available at https://bioinf.eva.mpg.de/leehom/); mapping: Burrows-Wheeler Aligner (BWA, version 0.5.10-evan.9-1-g44db244); PCR duplicate removal: bam-rmdup (version 0.6.3, https://github.com/mpieva/biohazard-tools); handling BAM files: samtools (version 1.3.1), bedtools (version 2.24.0), bam-caller (https://github.com/bodkan/bam-caller , version 0.1); mtDNA contamination estimates: schmutzi (version 1.5.5); nuclear contamination estimates: ANGSD (version 0.929-27-ge7739a5), AuthenticCT (https://github.com/StephanePeyregne/AuthenticCT , version 1.0.0); f-statistics and qpGraph: ADMIXTOOLS (version 5.1) and R package admixr (version 0.7.1); genotype calls for Y-chromosome of F6-620: snpAD (version 0.3.4); haplogroup calling: yHaplo (version 1.0.18); PCA: smartpca from EIGENSOFT package; detecting archaic introgressed segments: admixfrog (version 0.5.6, https://github.com/BenjaminPeter/admixfrog/).

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Research [guidelines for submitting code & software](#) for further information.

Data

Policy information about [availability of data](#)

All manuscripts must include a [data availability statement](#). This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A list of figures that have associated raw data
- A description of any restrictions on data availability

Newly produced sequence data of Bacho Kiro Cave specimens and Oase 1 are deposited in the European Nucleotide Archive (ENA, <https://www.ebi.ac.uk/ena/browser/home>) under the accession number PRJEB39134.

Comparative data of present-day human genomes from Simons Genome Diversity Project that were used in this study is available at: <https://www.simonsfoundation.org/simons-genome-diversity-project/>

Comparative data used in this study that includes genotypes of 2,109 ancient and 2,974 present-day individuals compiled from published studies is available in the EIGENSTRAT file format at: <https://reich.hms.harvard.edu/downloadable-genotypes-present-day-and-ancient-dna-data-compiled-published-papers/> (version 37.2, released February 22, 2019).

To determine the Y chromosome haplogroups of male individuals in this study, we used the Y-haplogroup tree from the International Society of Genetic Genealogy (ISOGG, <http://www.isogg.org>, version: 13.38).

Field-specific reporting

Please select the one below that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.

Life sciences Behavioural & social sciences Ecological, evolutionary & environmental sciences

For a reference copy of the document with all sections, see [nature.com/documents/nr-reporting-summary-flat.pdf](https://www.nature.com/documents/nr-reporting-summary-flat.pdf)

Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	<p>The number of genomes analysed in this study was determined by identifying those specimens that had sufficient levels of ancient DNA preservation for downstream sequencing and analysis. Human specimens from this time period in Europe are extremely scarce, and in this study we screened seven specimens excavated recently from the Bacho Kiro Cave in Bulgaria:</p> <ul style="list-style-type: none"> - a human lower molar (F6-620) found in the upper part of the Layer J in the Main Sector of Bacho Kiro Cave - four bone fragments (AA7-738, BB7-240, CC7-2289 and CC7-335) from Layer I in the Niche 1 sector - a bone fragment from the Layer B in the Main Sector (F6-597) - a bone fragment that was identified among the finds from excavations in the 1970s when it was retrieved in a position corresponding to the interface of Layers B/C (BK1653). <p>The bone fragments were initially identified as hominin based on the Zooarchaeology by Mass Spectrometry (ZooMS), and we screened them for ancient DNA preservation. Therefore, the sample size was predetermined based on the availability of the identified hominin specimens. We also used the remaining bone powder of Oase 1 specimen from Romania from the 2015 study (Fu et al, Nature) and treated it with 0.5% hypochlorite solution in order to remove some of the present-day human and microbial contamination. Given very low proportion of endogenous DNA and high levels of present-day human DNA contamination, we excluded the specimen F6-597 from downstream in solution hybridization captures and analyses.</p>
Data exclusions	<p>We used pre-established criteria in ancient DNA research of excluding sequences from the sequencing data that did not map to the human genome, sequences that were shorter than 35 base pairs and sequences mapping with a low mapping quality (< 25), all of which are excluded to avoid using sequences that are not endogenous to the individual sequenced.</p> <p>Given the high contamination estimates and low nuclear DNA content, we excluded the libraries of the specimen F6-597 from nuclear captures and further downstream analyses.</p>
Replication	<p>The specimens sampled in this study were sampled on three different occasions. We produced in total 35 single-stranded DNA libraries from seven extracts of Bacho Kiro Cave specimens and 6 single-stranded libraries from three Oase 1 extracts, along with respective extraction and library negative controls, and distributed over six different experiments. The results of reproducibility of the data generation and analyses are reported across the tables in the Supplementary Information Section 2.</p> <p>To allow the reproducibility of the downstream analyses, all filtering steps and the comparative data used are detailed in the Online Methods and the Supplementary Information of this study; also all of the sequence data obtained from Bacho Kiro Cave specimens and Oase 1 needed for replication of obtained results and conclusions of this study are deposited in the European Nucleotide Archive (ENA) under the accession number PRJEB39134.</p>
Randomization	<p>Randomization is not relevant to this study. We first determined DNA preservation in all ancient specimens selected for this study and then proceeded to analyse generated genome-wide data of all specimens that showed evidence of endogenous DNA preservation.</p>
Blinding	<p>Blinding was not relevant as we sampled ancient hominin specimens that were selected for this study based on their age and provenance, thus blinding would be inappropriate given the scarcity and value of the sampled material. Blinding in downstream data analyses was not relevant given that we analysed genome-wide data of eight specimens in relation to the publicly available datasets of present-day and ancient</p>

Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Materials & experimental systems

- | | | |
|-------------------------------------|-------------------------------------|-------------------------------|
| n/a | <input checked="" type="checkbox"/> | Involved in the study |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Antibodies |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Eukaryotic cell lines |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | Palaeontology and archaeology |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Animals and other organisms |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Human research participants |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Clinical data |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Dual use research of concern |

Methods

- | | | |
|-------------------------------------|-------------------------------------|------------------------|
| n/a | <input checked="" type="checkbox"/> | Involved in the study |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | ChIP-seq |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Flow cytometry |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | MRI-based neuroimaging |

Palaeontology and Archaeology

- Specimen provenance Specimen Oase 1 is deposited at the "Emil Racovita" Institute of Speleology in Bucharest, Romania. Permission was granted to Svante Pääbo of the MPI-EVA in September 2009 for the sampling of the Oase 1 for genetic analyses with the specimen being sampled on the September 28, 2009 in Bucharest, Romania.
Excavation of the Bacho Kiro Cave was authorized by the Bulgarian Ministry of Culture, and delivered by NAIM-BAS: Nr124/11.05 2015; Nr225/28.04.2016; Nr47/02.05.2017; Nr99/17.04.2018/ Nr120/2019. Bacho Kiro Cave specimens were sampled in the clean room facility of the MPI-EVA in Leipzig, Germany in January and March 2018.
- Specimen deposition The Oase 1 specimen is deposited at "Emil Racovita" Institute of Speleology, Department of Geospeleology and Palaeontology, str. Frumoasa 31, Bucharest, Romania.
The palaeontological material from the Bacho Kiro Cave is deposited at the National Museum of Natural History in Sofia, Bulgaria.
- Dating methods There are no new radiocarbon dates provided in this study. However, all the previously published radiocarbon dates were newly re-calibrated using the new calibration curve IntCal20 and are provided in the Supplementary Information Section 1, Table S1.1.
- Tick this box to confirm that the raw and calibrated dates are available in the paper or in Supplementary Information.
- Ethics oversight All approvals for specimen handling have been obtained from the relevant institutions:
- for the Oase1 specimen, the permission was granted to Svante Pääbo of the MPI-EVA by the Emil Racovita Institute of Speleology, as national authority in caves study.
- for the Bacho Kiro Cave specimens the permission was granted by the Bulgarian Ministry of Culture and the National Museum of Natural History (Sofia, Bulgaria)

Note that full information on the approval of the study protocol must also be provided in the manuscript.