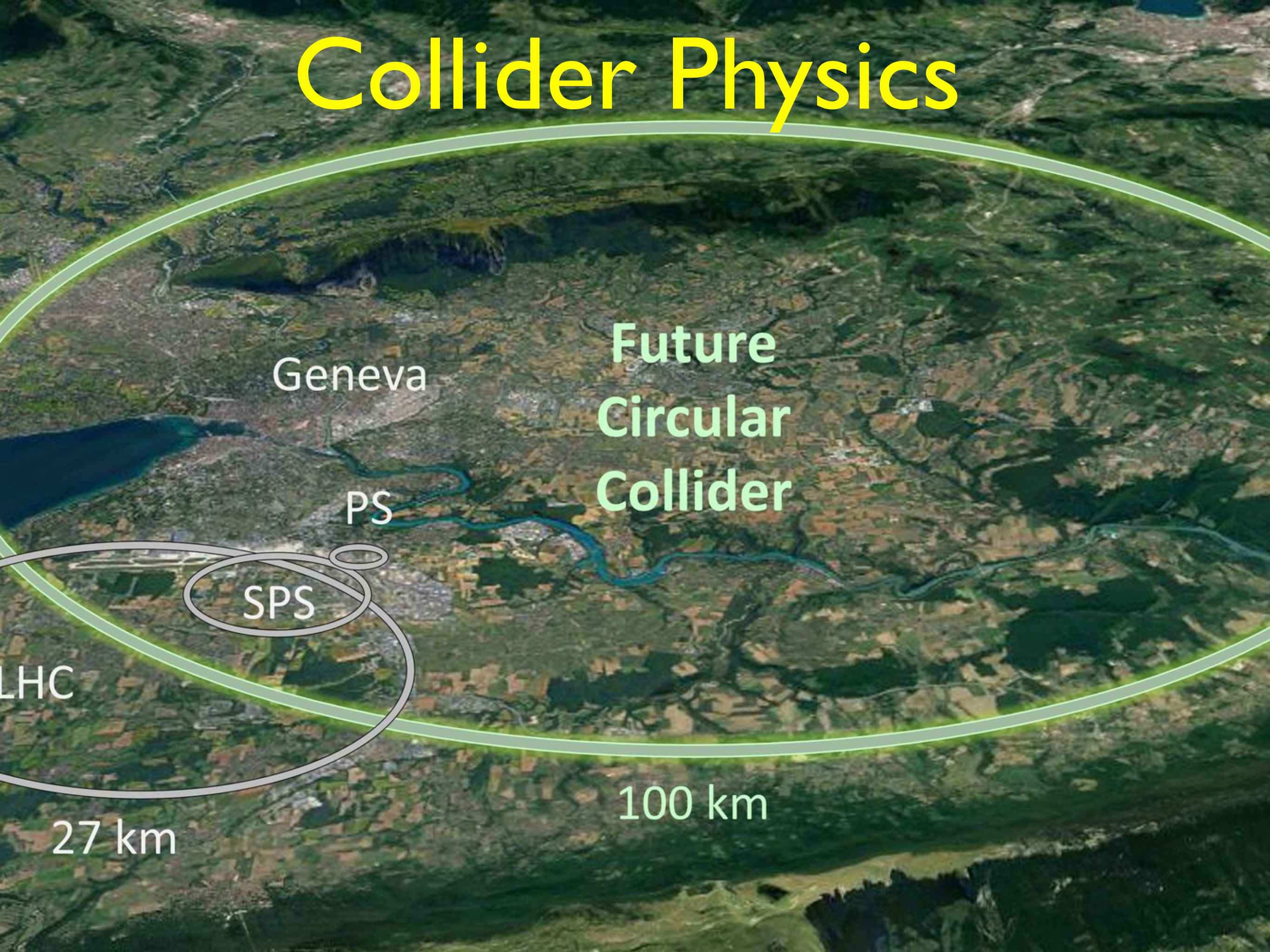


Collider Physics



Future
Circular
Collider

Geneva

PS

SPS

LHC

27 km

100 km

11.C Deep Inelastic Scattering

- Elastic ep scattering
- DIS kinematics
- Inelastic ep scattering
- Bjorken scaling
- Parton model
- Extracting PDFs

Basic idea

- Study the scattering of an elementary particle of a proton
- Extract the information about the composite object (p)
- From QM

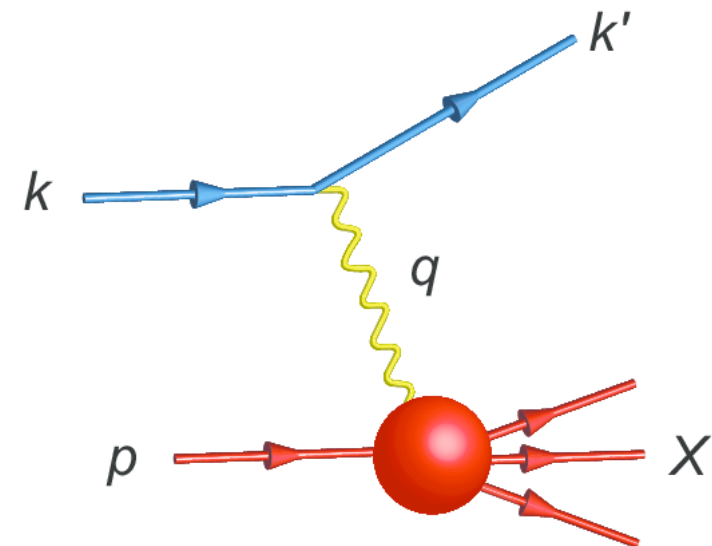
$$\frac{d\sigma}{d\Omega} = \frac{d\sigma}{d\Omega} \Big|_{\text{point}} |F(q)|^2$$

form factor



- For continuous matter/charge distributions $F(q) \rightarrow 0$ for $q \rightarrow \infty$
- For exponential charge distribution

$$F(q) \propto \left(1 - \frac{q^2}{m^2}\right)^{-2}$$



Elastic ep scattering

- We can parametrize the proton current as

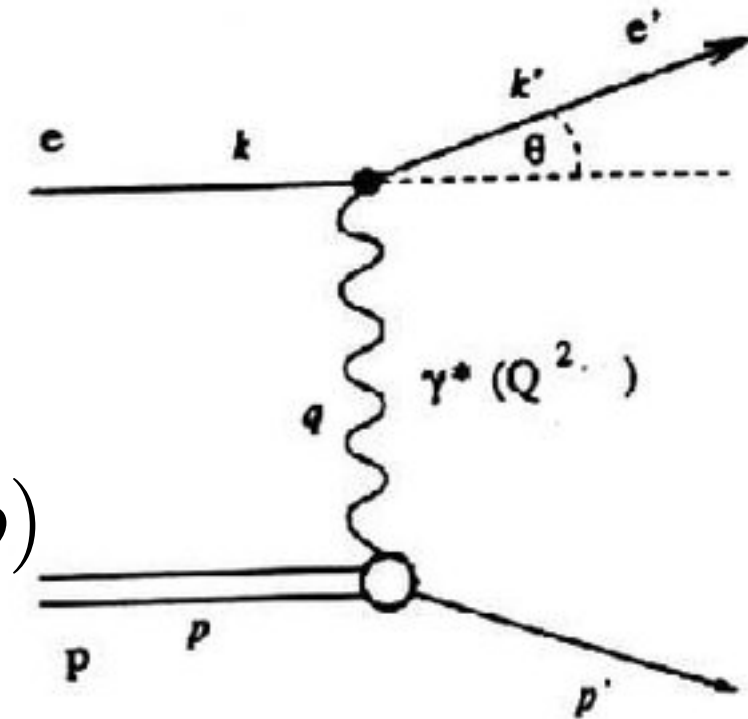
$$J^\mu = e\bar{u}(p') \left[\underline{F_1(q^2)} \gamma^\mu + \frac{\kappa}{2M} \underline{F_2(q^2)} i\sigma^{\mu\nu} q_\nu \right] u(p)$$

with $F_1(0) = F_2(0) = 1$

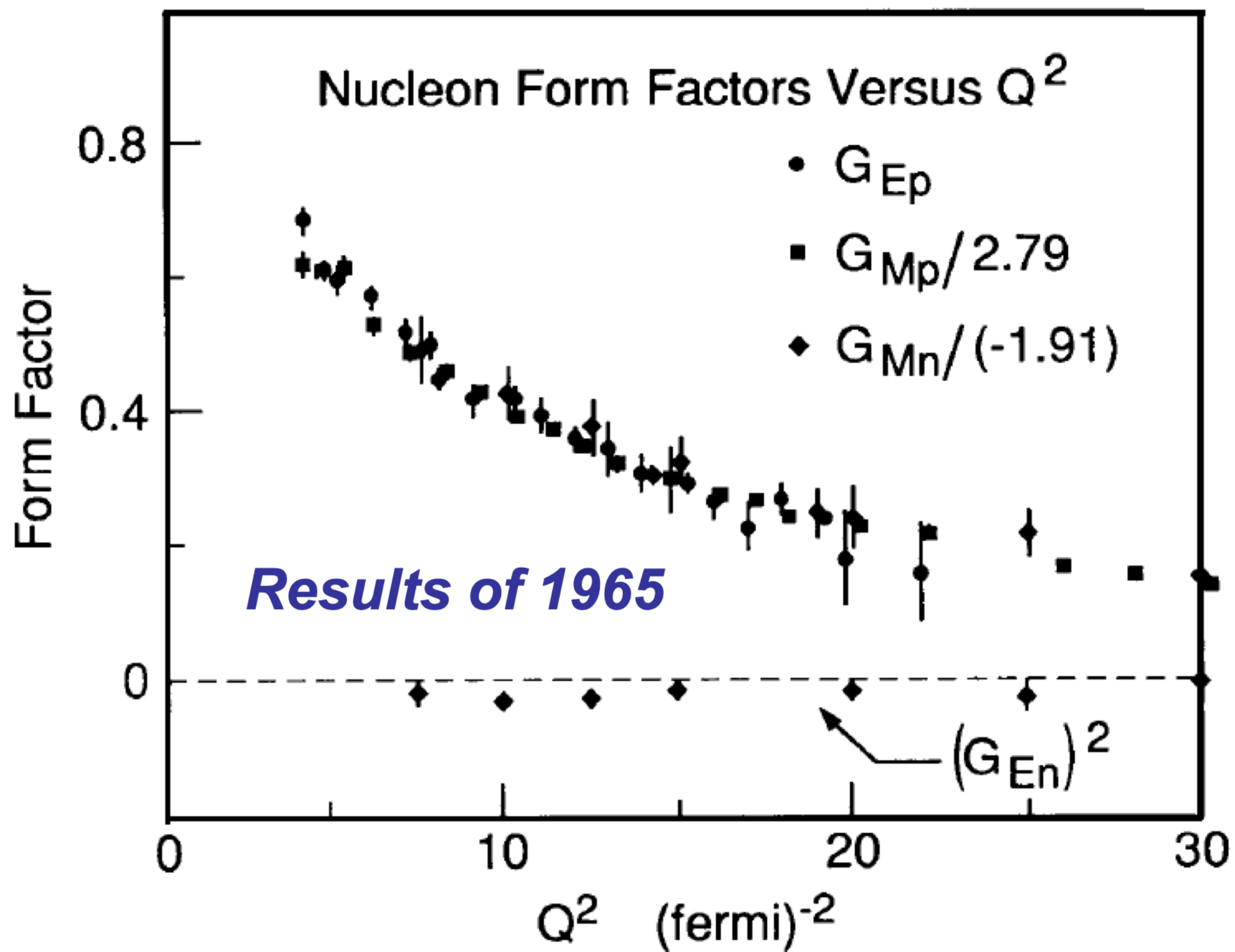
- The cross section is then

$$\left. \frac{d\sigma}{d\Omega} \right|_{lab} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \frac{E'}{E} \left(\frac{G_E^2 + \tau G_M^2}{1 + \tau} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right)$$

$$G_E = F_1 + \frac{\kappa q^2}{4M^2} F_2 \quad ; \quad G_M = F_1 + \kappa F_2 \quad ; \quad \tau = \frac{-q^2}{4M^2}$$



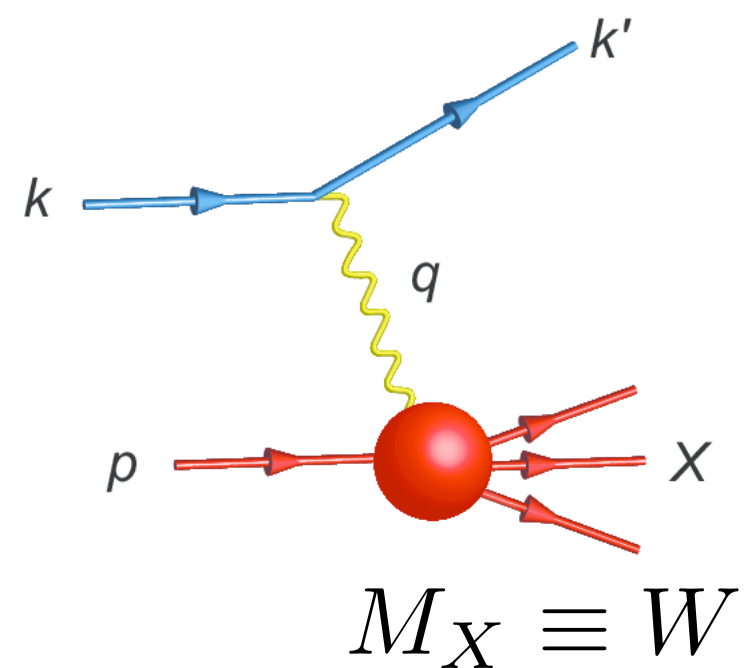
$$\frac{E'}{E} = \frac{1}{1 + \frac{2E}{M} \sin^2 \frac{\theta}{2}}$$



DIS kinematical variables

- Independent Lorentz invariant variables

$$q^2 \quad \text{and} \quad \nu = \frac{p \cdot q}{M}$$



in the proton resting (lab) frame $\nu = E - E'$

- We know that $W^2 = (p + q)^2 = M^2 + 2M\nu + q^2$

- Useful dimensionless variables

$$x = \frac{-q^2}{2p \cdot q} = \frac{-q^2}{2M\nu} \quad \text{and} \quad y = \frac{p \cdot q}{p \cdot k}$$

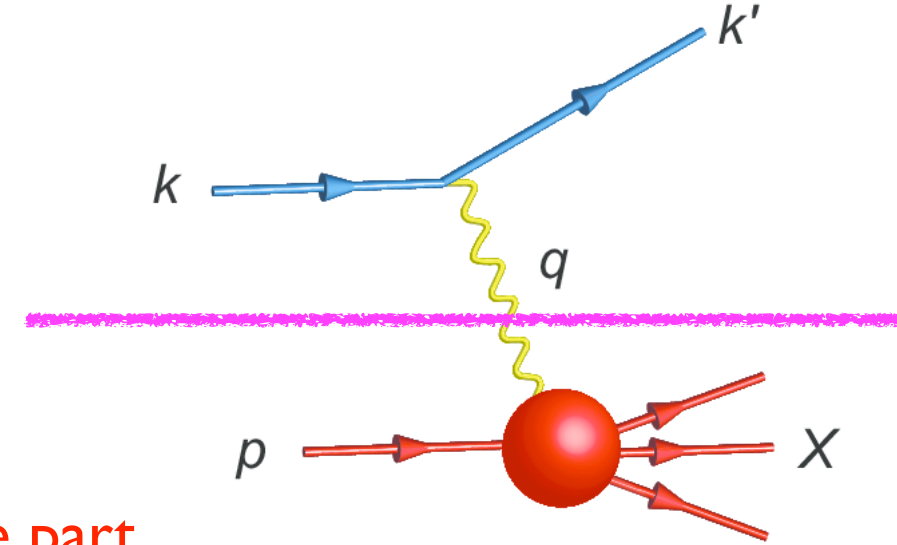
- Physical range

$$0 \leq x \leq 1 \quad ; \quad 0 \leq y \leq 1 \quad ; \quad 0 \leq Q^2 \leq 2M\nu \quad ; \quad 0 \leq \nu \leq \frac{s - M^2}{2M}$$

Inelastic ep scattering $e + p \rightarrow e + X$

$$\mathcal{M} = [\bar{u}(k')(-ie\gamma^\mu)u(k)] \frac{-ie}{q^2} \langle X | J_\mu | P \rangle$$

non perturbative part



- Leading to the cross section

$$\frac{d\sigma}{dE' d\Omega} = \frac{4\alpha^2 M^2}{q^4} (L^e)^{\mu\nu} W_{\mu\nu}$$

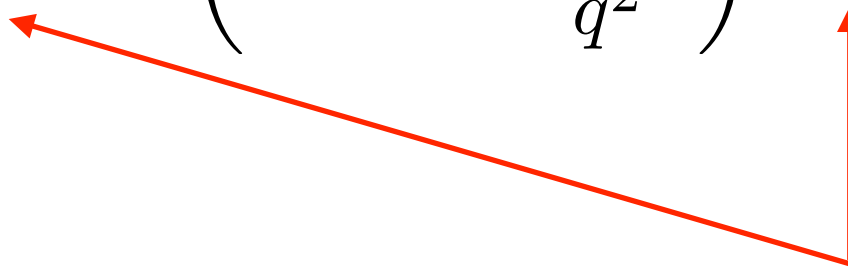
electron contribution proton contribution

$$W_{\mu\nu} = \frac{1}{4\pi M} \sum_N \frac{1}{2} \sum_s \int \prod_{n=1}^N \left(\frac{d^3 p'_n}{2E'_n (2\pi)^3} \right) \sum_{s_n} \langle p, s | J_\mu^\dagger | X \rangle \langle X | J_\nu | p, s \rangle \delta^4(p + q - \sum_n P'_n)$$

- Certainly we don't know how to calculate $W_{\mu\nu}$
- So we introduce form factors (why this form?)

$$W^{\mu\beta} = W_1(q^2, \nu) \left(-g^{\mu\beta} + \frac{q^\mu q^\beta}{q^2} \right) + W_2(q^2, \nu) \frac{1}{M^2} \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left(p^\beta - \frac{p \cdot q}{q^2} q^\beta \right)$$

we don't know



- Leading to

$$\left. \frac{d\sigma}{dE' d\Omega} \right|_{lab} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \left[W_2(q^2, \nu) \cos^2 \frac{\theta}{2} + 2W_1(q^2, \nu) \sin^2 \frac{\theta}{2} \right]$$

- For a point particle ($Q=+1$)

$$W_1^{point} = \frac{Q^2}{2m^2} \delta \left(\nu - \frac{Q^2}{2m} \right) \quad ; \quad W_2^{point} = \delta \left(\nu - \frac{Q^2}{2m} \right) \quad ; \quad Q^2 = -q^2$$

- Certainly we don't know how to calculate $W_{\mu\nu}$
- So we introduce form factors (why this form?)

$$W^{\mu\beta} = W_1(q^2, \nu) \left(-g^{\mu\beta} + \frac{q^\mu q^\beta}{q^2} \right) + W_2(q^2, \nu) \frac{1}{M^2} \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left(p^\beta - \frac{p \cdot q}{q^2} q^\beta \right)$$

we don't know

$$q_\mu W^{\mu\nu} = q_\nu W^{\mu\nu} = 0$$

- Leading to

$$\left. \frac{d\sigma}{dE' d\Omega} \right|_{lab} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \left[W_2(q^2, \nu) \cos^2 \frac{\theta}{2} + 2W_1(q^2, \nu) \sin^2 \frac{\theta}{2} \right]$$

- For a point particle ($Q=+1$)

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Bjorken scaling (DIS)

$$2mW_1^{point} = \frac{Q^2}{2m\nu} \delta \left(1 - \frac{Q^2}{2m\nu} \right) \quad ; \quad \nu W_2^{point} = \delta \left(1 - \frac{Q^2}{2m\nu} \right)$$

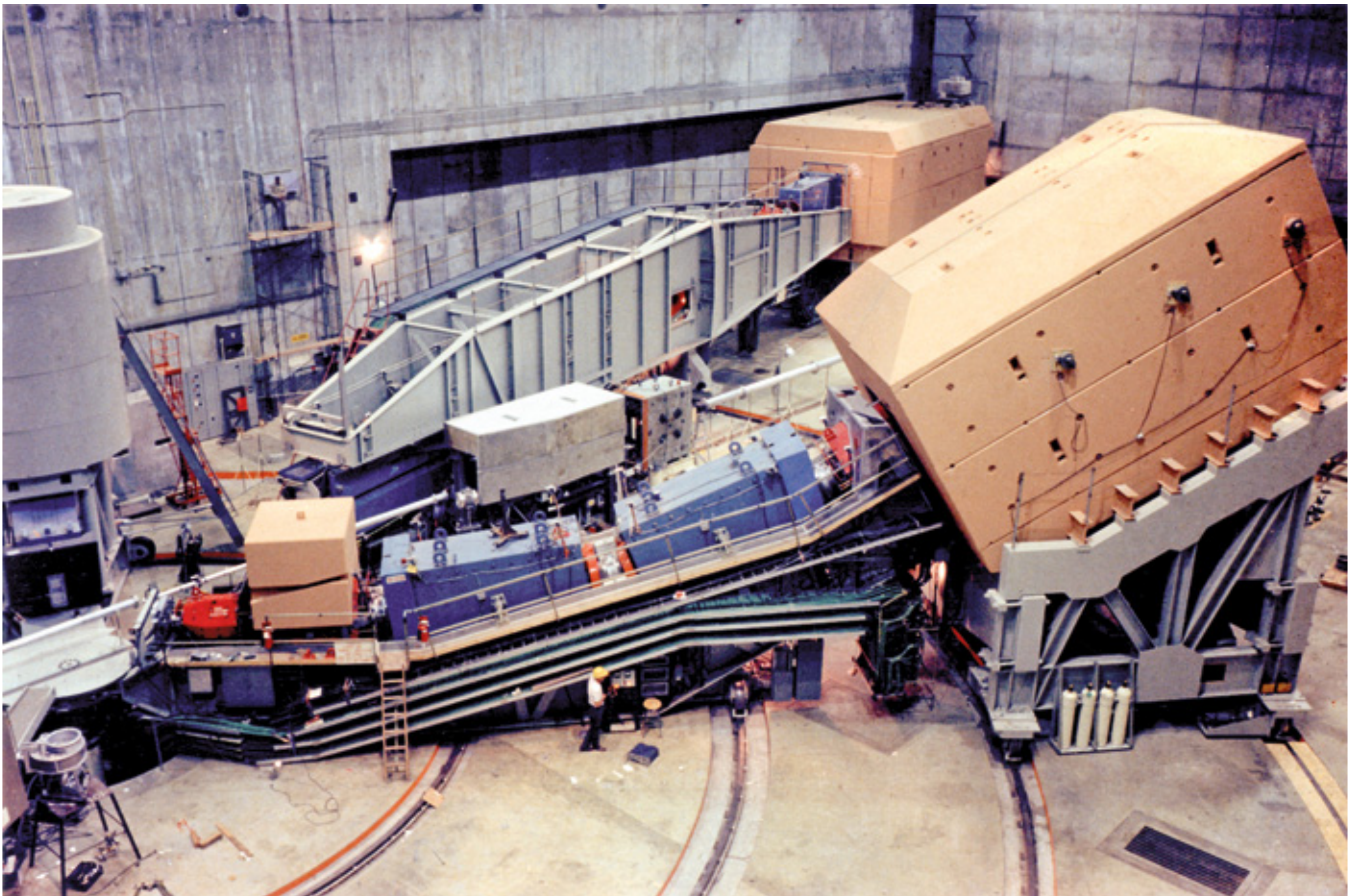
point form factors depend on a single dimensionless variable!

- For elastic scattering ($\kappa = 0$)

$$W_1^{elastic} = \frac{Q^2}{4M^2} G^2(Q^2) \delta \left(\nu - \frac{Q^2}{2M} \right)$$

- If there are elementary constituents at large Q^2 we should have

$$\begin{aligned} MW_1(Q^2, \nu) &\rightarrow F_1(x) \\ \nu W_2(Q^2, \nu) &\rightarrow F_2(x) \end{aligned} \quad Q^2 \rightarrow \infty \text{ and } x = \frac{Q^2}{2m\nu} \text{ fixed}$$

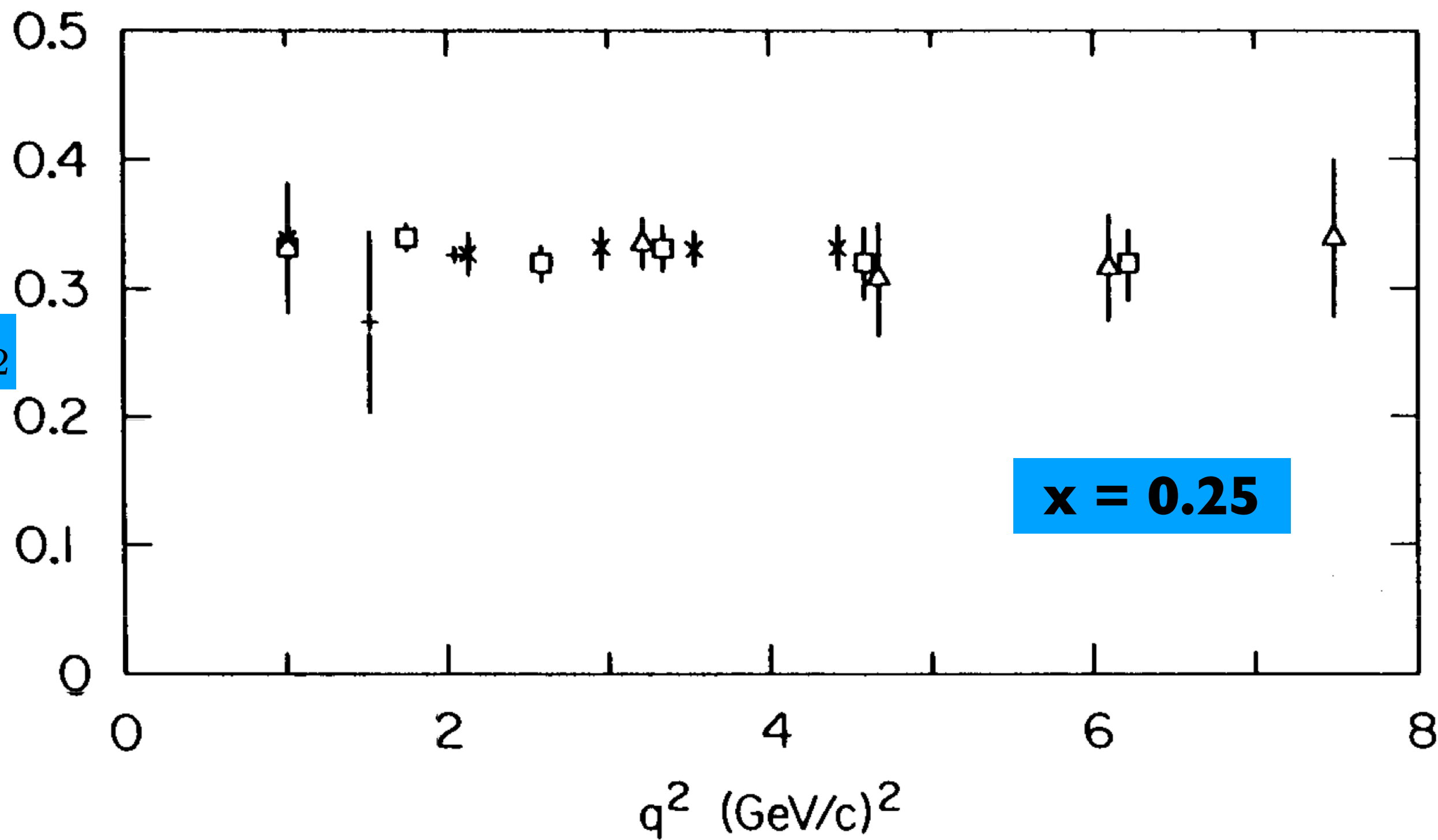


νW_2

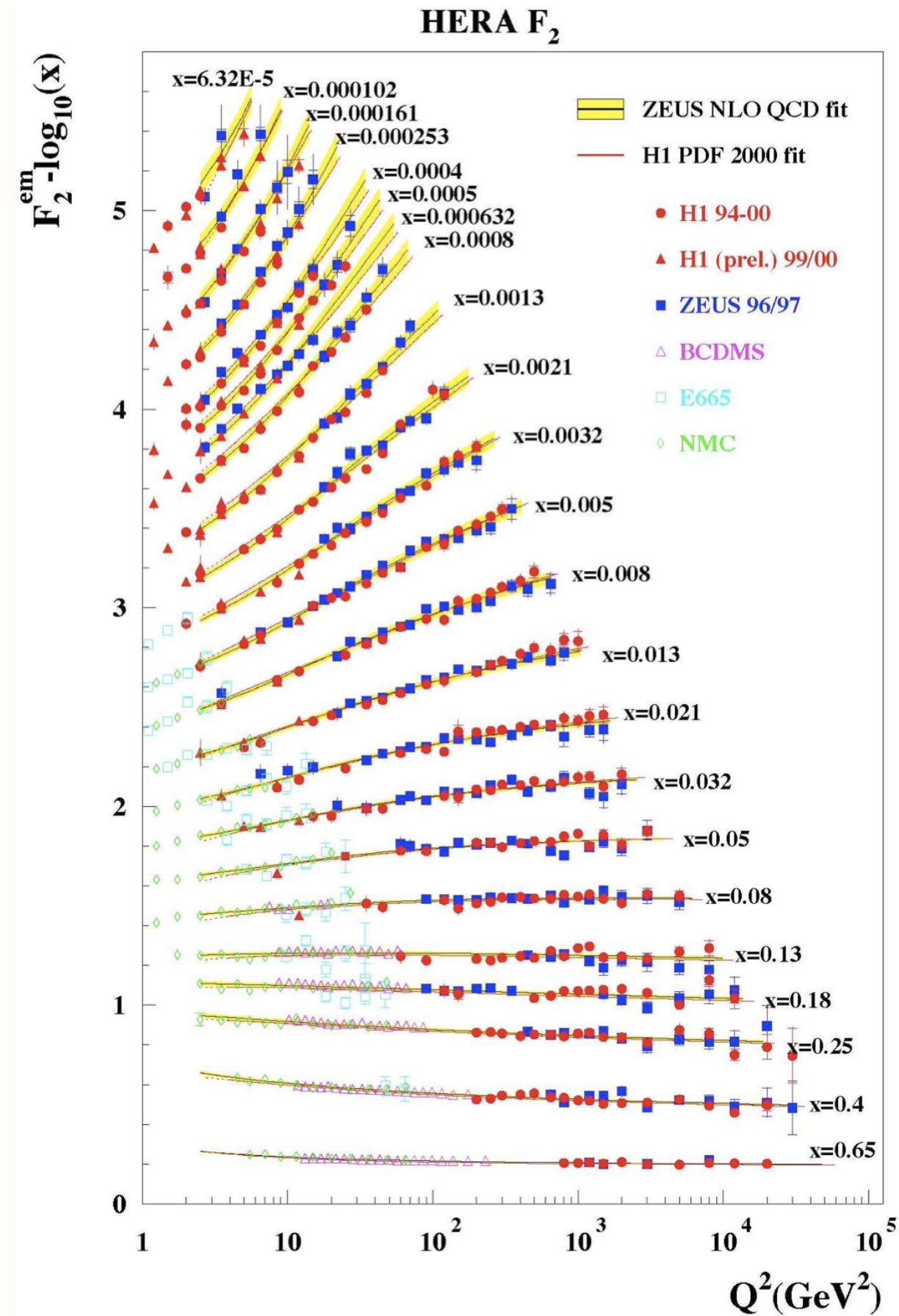
+ 6° □ 18°
× 10° △ 26°

x = 0.25

$q^2 \text{ (GeV/c)}^2$



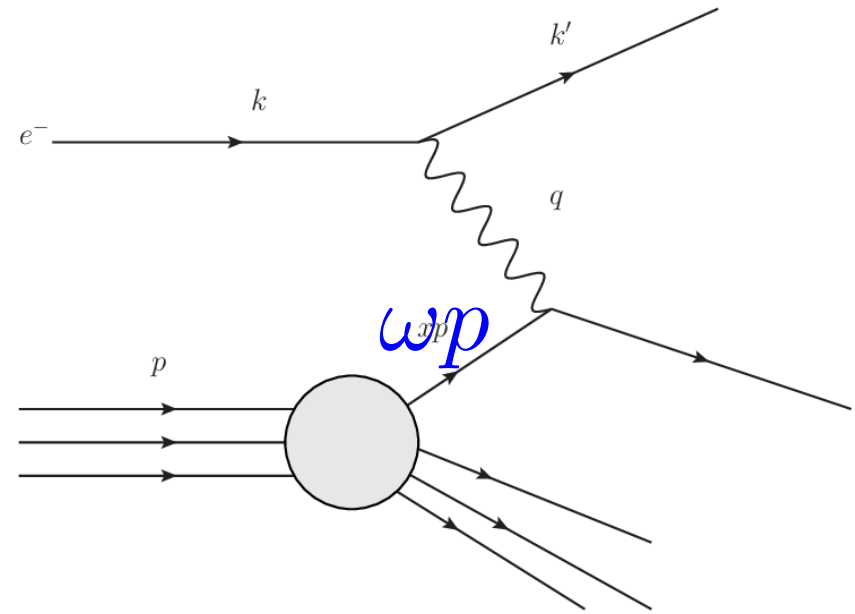
- QCD predicts scaling violation



Parton Model

$$\sigma(e(k)p(p) \rightarrow e(k')X) = \sum_j \int d\omega f_j(\omega) e_j^2 \hat{\sigma}(e(k)q(\omega p) \rightarrow e(k') \dots)$$

there is the sum rule $\sum_j \int d\omega f_j(\omega) = 1$



- Let's apply the parton model to DIS

$$p_j = \omega p \rightarrow m = \omega M \quad \text{and} \quad \nu = \frac{p_j \cdot q}{m} = \frac{p \cdot q}{M}$$

$$\nu W_2^{point} = \delta \left(1 - \frac{Q^2}{2m\nu} \right) = \delta \left(1 - \frac{Q^2}{2M\nu\omega} \right) = \delta \left(1 - \frac{x}{\omega} \right)$$

$$\nu W_2^{point} = \delta \left(1 - \frac{Q^2}{2m\nu} \right) = \delta \left(1 - \frac{Q^2}{2M\nu\omega} \right) = \delta \left(1 - \frac{x}{\omega} \right)$$

$$\begin{aligned} F_2 = \nu W_2 &= \sum_j \int d\omega f_j(\omega) e_j^2 \nu W_2^{point} = \sum_j \int d\omega f_j(\omega) e_j^2 \delta \left(1 - \frac{x}{\omega} \right) \\ &= \sum_j e_j^2 x f_j(x) \end{aligned}$$

• Analogously,

$$MW_1^{point} = \frac{Q^2 M}{4m^2 \nu} \delta \left(1 - \frac{Q^2}{2m\nu} \right) = \frac{x}{2\omega^2} \delta \left(1 - \frac{x}{\omega} \right)$$

$$\begin{aligned} F_1 = MW_1 &= \sum_j \int d\omega f_j(\omega) e_j^2 MW_1^{point} \\ &= \frac{1}{2} \sum_j e_j^2 f_j(x) \end{aligned}$$

$F_2(x) = 2x F_1(x)$
Callan-Gross relation

Extracting PDFs

- First let's rewrite in terms of Lorentz invariant variables

$$y = \frac{q \cdot p}{k \cdot p} = \frac{E' - E}{E} \implies E' = E(1 - y)$$

$$Q^2 = -(k - k')^2 = 4EE' \sin^2 \frac{\theta}{2}$$

$$x = \frac{Q^2}{2p \cdot q} = \frac{E(1 - y)}{My} (1 - \cos \theta) \implies \sin^2 \frac{\theta}{2} = \frac{Mxy}{2E(1 - y)}$$

- From this we have

$$\frac{d\sigma}{dxdy} = \frac{2\pi My}{1 - y} \left. \frac{d\sigma}{dE' d\Omega} \right|_{lab}$$

- Starting from

$$\left. \frac{d\sigma}{dE' d\Omega} \right|_{lab} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \left[W_2(q^2, \nu) \cos^2 \frac{\theta}{2} + 2W_1(q^2, \nu) \sin^2 \frac{\theta}{2} \right]$$

we get

$$\frac{d\sigma}{dx dy} = \frac{4\pi\alpha^2 s}{Q^4} \left\{ F_1 xy^2 + F_2(1 - y) = \frac{xM}{2E} F_2 \right\}$$

with

$$F_1 = MW_1 \quad \text{and} \quad F_2 = \nu W_2$$

$$F_1 = \frac{1}{2} \sum_j e_j^2 f_j(x) = \frac{1}{2} \left[\frac{4}{9} (u(x) + \bar{u}(x) + c(x) + \bar{c}(x)) + \frac{2}{9} (d(x) + \bar{d}(x) + s(x) + \bar{s}(x)) \right]$$

$$F_2 = \sum_j e_j^2 x f_j(x) = x \left[\frac{4}{9} (u(x) + \bar{u}(x) + c(x) + \bar{c}(x)) + \frac{2}{9} (d(x) + \bar{d}(x) + s(x) + \bar{s}(x)) \right]$$

- Starting from

$$\left. \frac{d\sigma}{dE' d\Omega} \right|_{lab} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \left[W_2(q^2, \nu) \cos^2 \frac{\theta}{2} + 2W_1(q^2, \nu) \sin^2 \frac{\theta}{2} \right]$$

we get

$$\frac{d\sigma}{dx dy} = \frac{4\pi\alpha^2 s}{Q^4} \left\{ F_1 xy^2 + F_2(1-y) = \frac{xM}{2E} F_2 \right\}$$

with

$$F_1 = MW_1 \quad \text{and} \quad F_2 = \nu W_2$$

$$F_1 = \frac{1}{2} \sum_j e_j^2 f_j(x) = \frac{1}{2} \left[\frac{4}{9} (u(x) + \bar{u}(x) + c(x) + \bar{c}(x)) + \frac{2}{9} (d(x) + \bar{d}(x) + s(x) + \bar{s}(x)) \right]$$

$$F_2 = \sum_j e_j^2 x f_j(x) = x \left[\frac{4}{9} (u(x) + \bar{u}(x) + c(x) + \bar{c}(x)) + \frac{2}{9} (d(x) + \bar{d}(x) + s(x) + \bar{s}(x)) \right]$$

We need further information to extract PDFs

- Let's use neutrino scattering

$\nu_\mu + \text{nucleon} \rightarrow \mu^- + \text{hadrons}$
 $\bar{\nu}_\mu + \text{nucleon} \rightarrow \mu^+ + \text{hadrons}$

- We parametrize the cross section as

$$\frac{d\sigma^\nu}{dx dy} = \frac{G_F^2 M E}{\pi} \left[\left(1 - y - \frac{M}{2E} xy \right) F_2^\nu + xy^2 F_1^\nu + \left(y + \frac{y^2}{2} \right) x F_3^\nu \right]$$

$$\frac{d\sigma^{\bar{\nu}}}{dx dy} = \frac{G_F^2 M E}{\pi} \left[\left(1 - y - \frac{M}{2E} xy \right) F_2^{\bar{\nu}} + xy^2 F_1^{\bar{\nu}} + \left(y - \frac{y^2}{2} \right) x F_3^{\bar{\nu}} \right]$$

with $F_2^\nu = 2x [d(x) + s(x) + \bar{u}(x) + \bar{c}(x)]$

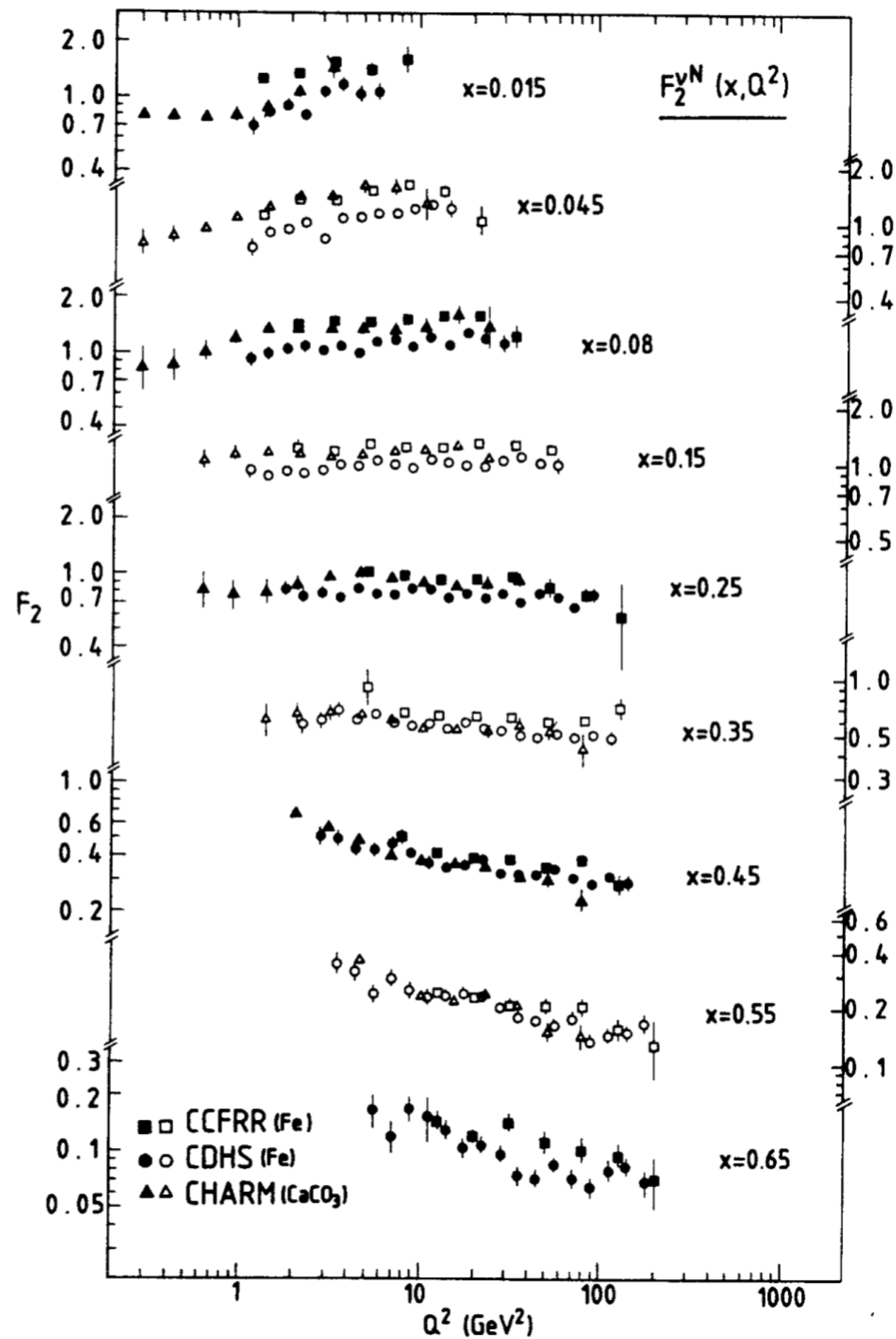
$$xF_3^\nu = 2x [d(x) + s(x) - \bar{u}(x) - \bar{c}(x)]$$

$$F_2^{\bar{\nu}} = 2x [u(x) + c(x) + \bar{d}(x) + \bar{s}(x)]$$

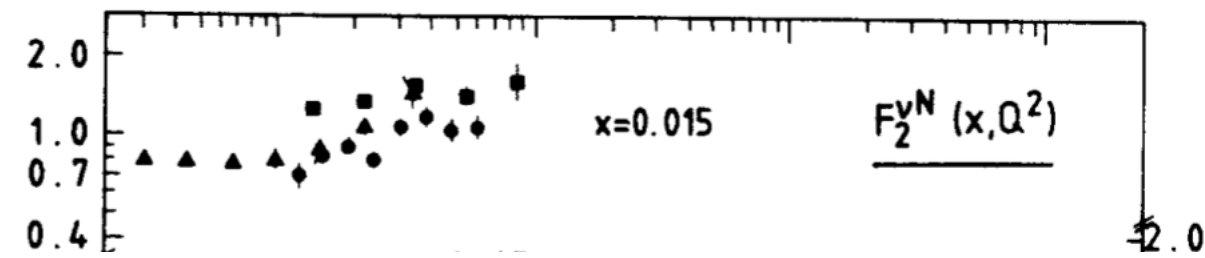
$$xF_3^{\bar{\nu}} = 2x [u(x) + c(x) - \bar{d}(x) - \bar{s}(x)]$$

$$2xF_1 = F_2$$

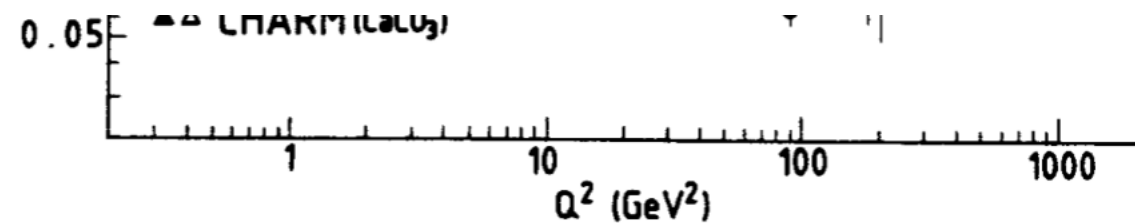
- There is data



- There is data



1980	1990	2000	2010	
<---- SLAC				Electrons,3 different detectors, H2,D2,heavy targets
	FNAL E665			Muons, iron toroid, iron target
CERN BCDMS				Muons, iron toroid, H2,D2,C targets
CERN EMC		NMC		Muons, open spectrometer,H2,D2,heavy targets
CERN CDHSW				Neutrinos, iron toroid, iron target
	FNAL CCFRW		NuTeV	Neutrinos, iron toroid, iron target
	HERA H1 AND ZEUS			Electron-Proton Collider
	SLAC Polarised targets			Polarised electron beam and targets
	CERN SMC		COMPASS	-----> Polarised muon beam and targets
	HERA HERMES			Polarised electron beam and targets
	JLAB HALL A and B			-----> Polarised electron beam and targets



Doing the job

- Variable count 6:

$$u(x) , \bar{u}(x) , d(x) , \bar{d}(x) , s(x) = \bar{s}(x) , c(x) = \bar{c}(x)$$

- Some constraints:

$$\int_0^1 dx [u(x) - \bar{u}(x)] = 2 \quad ; \quad \int_0^1 dx [d(x) - \bar{d}(x)] = 1$$

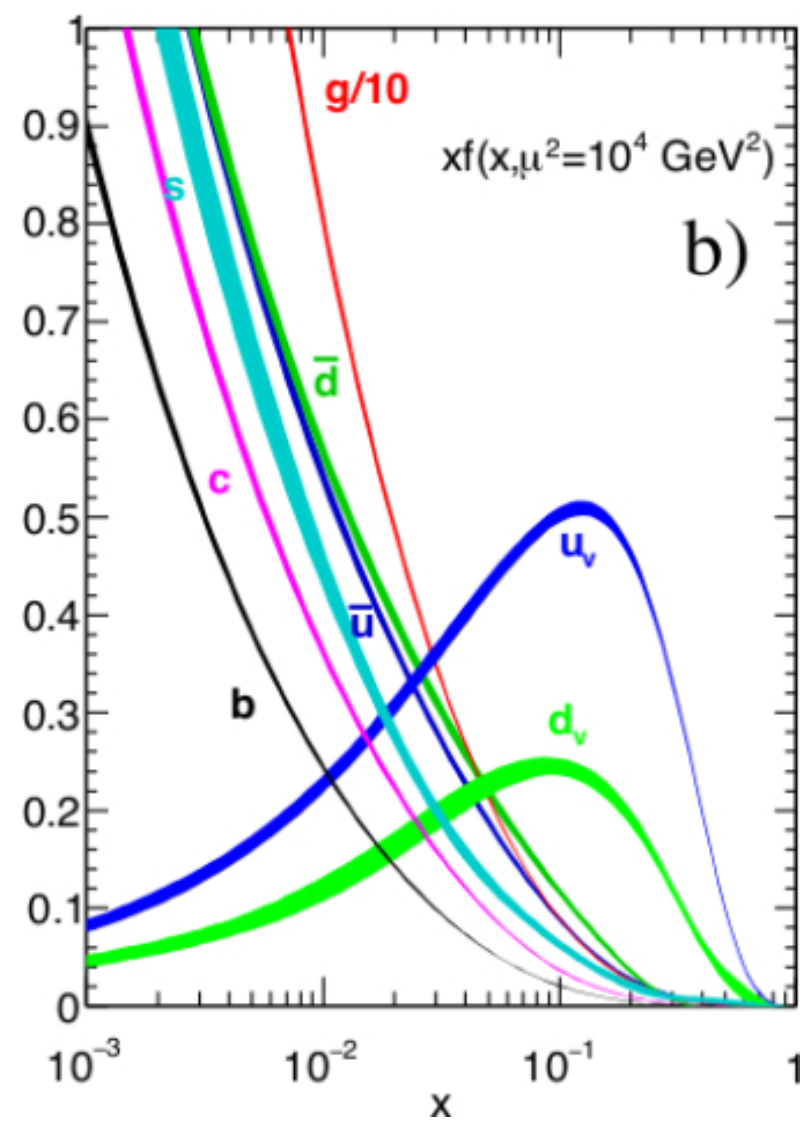
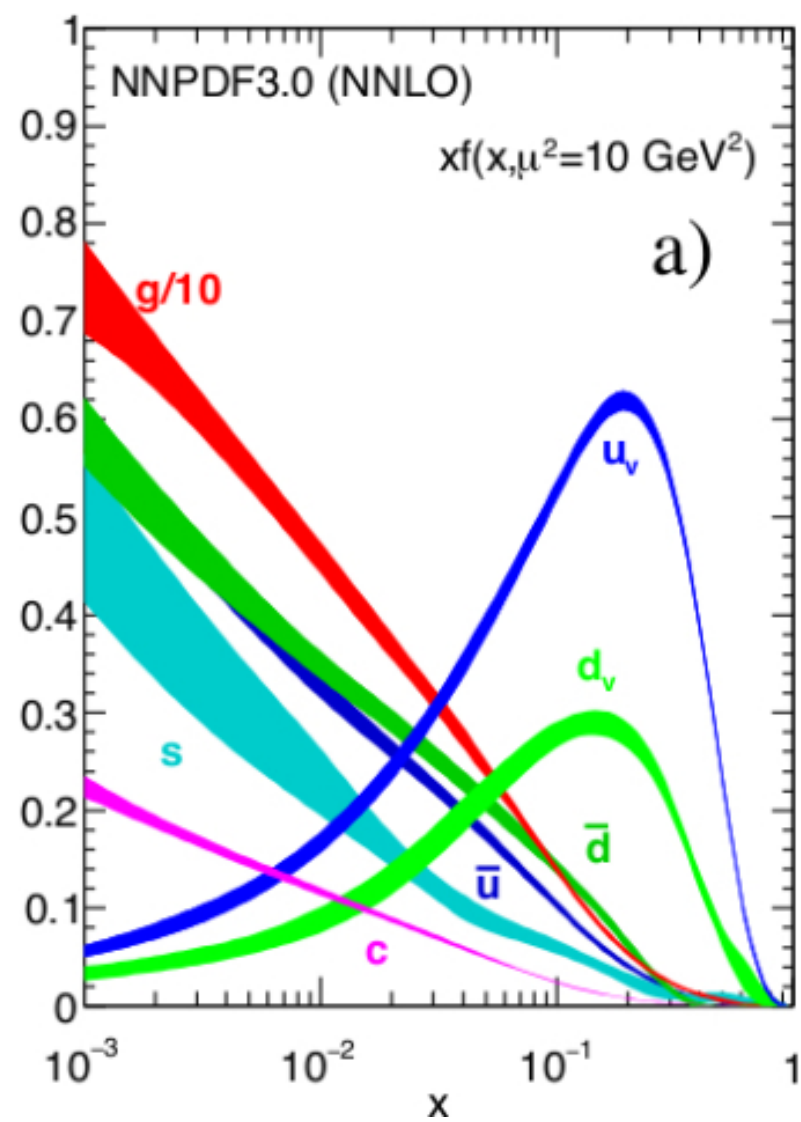
$$\int_0^1 dx [s(x) - \bar{s}(x)] = 0 \quad ; \quad \int_0^1 dx [c(x) - \bar{c}(x)] = 0$$

- Reasonable hypothesis

$$u(x) = u_v(x) + \underline{u_s(x)} \quad ; \quad d(x) = d_v(x) + \underline{d_s(x)} \quad ; \quad u_s(x) = d_s(x)$$

$$\underline{\bar{u}(x) = u_s(x)} \quad ; \quad \underline{\bar{d}(x) = d_s(x)}$$

Now we can fit!



Further tests

- The neutron PDF is obtained from the p ones by $u(x) \Longleftrightarrow d(x)$

$$F_2^{\nu n} = 2x [u(x) + s(x) + \bar{d}(x) + \bar{c}(x)]$$

$$xF_3^{\nu n} = 2x [u(x) + s(x) - \bar{d}(x) - \bar{c}(x)]$$

$$F_2^{\bar{\nu} n} = 2x [d(x) + c(x) + \bar{u}(x) + \bar{s}(x)]$$

$$xF_3^{\bar{\nu} n} = 2x [d(x) + c(x) - \bar{u}(x) - \bar{s}(x)]$$

$$F_2^{emn} = x \left[\frac{4}{9} (d(x) + \bar{d}(x) + c(x) + \bar{c}(x)) + \frac{2}{9} (u(x) + \bar{u}(x) + s(x) + \bar{s}(x)) \right]$$

- Consider

$$\frac{\int dx [F_2^{\nu p}(x) + F_2^{\nu n}(x)]}{\int dx [F_2^{ep}(x) + F_2^{en}(x)]} \stackrel{\text{ignoring s, c..}}{=} \frac{2}{Q_u^2 + Q_d^2} = \frac{18}{5}$$

- Gargamelle collaboration: 3.4 ± 0.7 **evidence for fractional charges**

- Gross Llewellyn Smith sum rule:

$$\int dx F_3^{\nu N}(x) = \int dx [u(x) + d(x) + s(x) - \bar{u}(x) - \bar{d}(x) - \bar{s}(x)]$$

- Gargamelle: 3.2 ± 0.6

- Momentum sum rule:

$$\int dx [F_2^{\nu p}(x) + F_2^{\nu n}(x)] = \int dx x[u(x) + d(x) + s(x) + \bar{u}(x) + \bar{d}(x) + \bar{s}(x)]$$

- Gargamelle: 0.49 ± 0.07

References:

1. Halzen & Martin, chapter 8 and 9
2. Barger & Phillips, chapter 5
3. Cahn & Goldhaber, chapter 8
4. Ellis & Stirling & Webber, chapter 4

