



## **An Approach to the Analysis of Political Systems**

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# AN APPROACH TO THE ANALYSIS OF POLITICAL SYSTEMS\*

By DAVID EASTON

## I. SOME ATTRIBUTES OF POLITICAL SYSTEMS

IN an earlier work I have argued for the need to develop general, empirically oriented theory as the most economical way in the long run to understand political life. Here I propose to indicate a point of view that, at the least, might serve as a springboard for discussion of alternative approaches and, at most, as a small step in the direction of a general political theory. I wish to stress that what I have to say is a mere orientation to the problem of theory; outside of economics and perhaps psychology, it would be presumptuous to call very much in social science "theory," in the strict sense of the term.

Furthermore, I shall offer only a Gestalt of my point of view, so that it will be possible to evaluate, in the light of the whole, those parts that I do stress. In doing this, I know I run the definite risk that the meaning and implications of this point of view may be only superficially communicated; but it is a risk I shall have to undertake since I do not know how to avoid it sensibly.

The study of politics is concerned with understanding how authoritative decisions are made and executed for a society. We can try to understand political life by viewing each of its aspects piecemeal. We can examine the operation of such institutions as political parties, interest groups, government, and voting; we can study the nature and consequences of such political practices as manipulation, propaganda, and violence; we can seek to reveal the structure within which these practices occur. By combining the results we can obtain a rough picture of what happens in any self-contained political unit.

In combining these results, however, there is already implicit the notion that each part of the larger political canvas does not stand alone but is related to each other part; or, to put it positively, that the operation of no one part can be fully understood without reference to the way in which the whole itself operates. I have suggested in my book, *The Political System*,<sup>1</sup> that it is valuable to adopt this implicit assump-

\* In modified form, the substance of this article was presented to a meeting of the New England Political Science Association in May 1956 and to a special conference of the International Political Science Association held in Switzerland in September 1956.

<sup>1</sup> New York, 1953.

tion as an articulate premise for research and to view political life as a system of interrelated activities. These activities derive their relatedness or systemic ties from the fact that they all more or less influence the way in which authoritative decisions are formulated and executed for a society.

Once we begin to speak of political life as a system of activity, certain consequences follow for the way in which we can undertake to analyze the working of a system. The very idea of a system suggests that we can separate political life from the rest of social activity, at least for analytical purposes, and examine it as though for the moment it were a self-contained entity surrounded by, but clearly distinguishable from, the environment or setting in which it operates. In much the same way, astronomers consider the solar system a complex of events isolated for certain purposes from the rest of the universe.

Furthermore, if we hold the system of political actions as a unit before our mind's eye, as it were, we can see that what keeps the system going are inputs of various kinds. These inputs are converted by the processes of the system into outputs and these, in turn, have consequences both for the system and for the environment in which the system exists. The formula here is very simple but, as I hope to show, also very illuminating: inputs—political system or processes—outputs. These relationships are shown diagrammatically in Figure 1. This

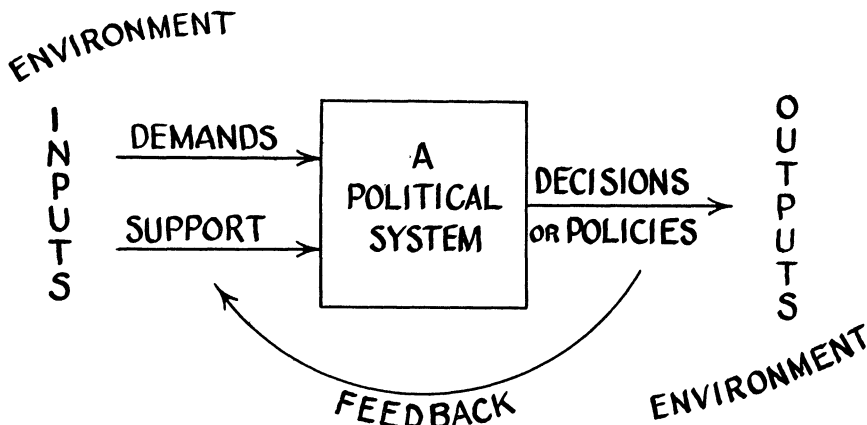


FIG. 1

diagram represents a very primitive "model"—to dignify it with a fashionable name—for approaching the study of political life.

Political systems have certain properties because they are systems.<sup>2</sup>

<sup>2</sup> My conceptions relating to system theory have been enriched through my participation in the Staff Theory Seminar of the Mental Health Research Institute at the Uni-

To present an over-all view of the whole approach, let me identify the major attributes, say a little about each, and then treat one of these properties at somewhat greater length, even though still inadequately.

(1) Properties of identification. To distinguish a political system from other social systems, we must be able to identify it by describing its fundamental units and establishing the boundaries that demarcate it from units outside the system.

(a) Units of a political system. The units are the elements of which we say a system is composed. In the case of a political system, they are political actions. Normally it is useful to look at these as they structure themselves in political roles and political groups.

(b) Boundaries. Some of the most significant questions with regard to the operation of political systems can be answered only if we bear in mind the obvious fact that a system does not exist in a vacuum. It is always immersed in a specific setting or environment. The way in which a system works will be in part a function of its response to the total social, biological, and physical environment.

The special problem with which we are confronted is how to distinguish systematically between a political system and its setting. Does it even make sense to say that a political system has a boundary dividing it from its setting? If so, how are we to identify the line of demarcation?

Without pausing to argue the matter, I would suggest that it is useful to conceive of a political system as having a boundary in the same sense as a physical system. The boundary of a political system is defined by all those actions more or less directly related to the making of binding decisions for a society; every social action that does not partake of this characteristic will be excluded from the system and thereby will automatically be viewed as an external variable in the environment.

(2) Inputs and outputs. Presumably, if we select political systems for special study, we do so because we believe that they have characteristically important consequences for society, namely, authoritative decisions. These consequences I shall call the outputs. If we judged that political systems did not have important outputs for society, we would probably not be interested in them.

Unless a system is approaching a state of entropy—and we can assume that this is not true of most political systems—it must have continuing inputs to keep it going. Without inputs the system can do no work; without outputs we cannot identify the work done by the

versity of Michigan. There has been such thorough mingling of ideas in this Seminar that rather than try to trace paternity, I shall simply indicate my obligation to the collective efforts of the Seminar.

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system. The specific research tasks in this connection would be to identify the inputs and the forces that shape and change them, to trace the processes through which they are transformed into outputs, to describe the general conditions under which such processes can be maintained, and to establish the relationship between outputs and succeeding inputs of the system.

~~From this point of view,~~ much light can be shed on the working of a political system if we take into account the fact that much of what happens within a system has its birth in the efforts of the members of the system to cope with the changing environment. We can appreciate this point if we consider a familiar biological system such as the human organism. It is subject to constant stress from its surroundings to which it must adapt in one way or another if it is not to be completely destroyed. In part, of course, the way in which the body works represents responses to needs that are generated by the very organization of its anatomy and functions; but in large part, in order to understand both the structure and the working of the body, we must also be very sensitive to the inputs from the environment.

In the same way, the behavior of every political system is to some degree imposed upon it by the kind of system it is, that is, by its own structure and internal needs. But its behavior also reflects the strains occasioned by the specific setting within which the system operates. It may be argued that most of the significant changes within a political system have their origin in shifts among the external variables. Since I shall be devoting the bulk of this article to examining some of the problems related to the exchange between political systems and their environments, I shall move on to a rapid description of other properties of political systems.

*phenomenon* (3) Differentiation within a system. As we shall see in a moment, from the environment come both energy to activate a system and information with regard to which the system uses this energy. In this way a system is able to do work. It has some sort of output that is different from the input that enters from the environment. We can take it as a useful hypothesis that if a political system is to perform some work for anything but a limited interval of time, a minimal amount of differentiation in its structure must occur. In fact, empirically it is impossible to find a significant political system in which the same units all perform the same activities at the same time. The members of a system engage in at least some minimal division of labor that provides a structure within which action takes place.

(4) Integration of a system. This fact of differentiation opens up a

major area of inquiry with regard to political systems. Structural differentiation sets in motion forces that are potentially disintegrative in their results for the system. If two or more units are performing different kinds of activity at the same time, how are these activities to be brought into the minimal degree of articulation necessary if the members of the system are not to end up in utter disorganization with regard to the production of the outputs of interest to us? We can hypothesize that if a structured system is to maintain itself, it must provide mechanisms whereby its members are integrated or induced to cooperate in some minimal degree so that they can make authoritative decisions.

## II. INPUTS: DEMANDS

Now that I have mentioned some major attributes of political systems that I suggest require special attention if we are to develop a generalized approach, I want to consider in greater detail the way in which an examination of inputs and outputs will shed some light on the working of these systems.

Among inputs of a political system there are two basic kinds: demands and support. These inputs give a political system its dynamic character. They furnish it both with the raw material or information that the system is called upon to process and with the energy to keep it going.

The reason why a political system emerges in a society at all—that is, why men engage in political activity—is that demands are being made by persons or groups in the society that cannot all be fully satisfied. In all societies one fact dominates political life: scarcity prevails with regard to most of the valued things. Some of the claims for these relatively scarce things never find their way into the political system but are satisfied through the private negotiations of or settlements by the persons involved. Demands for prestige may find satisfaction through the status relations of society; claims for wealth are met in part through the economic system; aspirations for power find expression in educational, fraternal, labor, and similar private organizations. Only where wants require some special organized effort on the part of society to settle them authoritatively may we say that they have become inputs of the political system.

Systematic research would require us to address ourselves to several key questions with regard to these demands.

(1) How do demands arise and assume their particular character in a society? In answer to this question, we can point out that demands have their birth in two sectors of experience: either in the environment

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of a system or within the system itself. We shall call these the external and internal demands, respectively.

Let us look at the external demands first. I find it useful to see the environment not as an undifferentiated mass of events but rather as systems clearly distinguishable from one another and from the political system. In the environment we have such systems as the ecology, economy, culture, personality, social structure, and demography. Each of these constitutes a major set of variables in the setting that helps to shape the kind of demands entering a political system. For purposes of illustrating what I mean, I shall say a few words about culture.

The members of every society act within the framework of an on-going culture that shapes their general goals, specific objectives, and the procedures that the members feel ought to be used. Every culture derives part of its unique quality from the fact that it emphasizes one or more special aspects of behavior and this strategic emphasis serves to differentiate it from other cultures with respect to the demands that it generates. As far as the mass of the people is concerned, some cultures, such as our own, are weighted heavily on the side of economic wants, success, privacy, leisure activity, and rational efficiency. Others, such as that of the Fox Indians, strive toward the maintenance of harmony, even if in the process the goals of efficiency and rationality may be sacrificed. Still others, such as the Kachins of highland Burma, stress the pursuit of power and prestige. The culture embodies the standards of value in a society and thereby marks out areas of potential conflict, if the valued things are in short supply relative to demand. The typical demands that will find their way into the political process will concern the matters in conflict that are labeled important by the culture. For this reason we cannot hope to understand the nature of the demands presenting themselves for political settlement unless we are ready to explore systematically and intensively their connection with the culture. And what I have said about culture applies, with suitable modifications, to other parts of the setting of a political system.

But not all demands originate or have their major locus in the environment. Important types stem from situations occurring within a political system itself. Typically, in every on-going system, demands may emerge for alterations in the political relationships of the members themselves, as the result of dissatisfaction stemming from these relationships. For example, in a political system based upon representation, in which equal representation is an important political norm, demands may arise for equalizing representation between urban and rural voting districts. Similarly, demands for changes in the process



of recruitment of formal political leaders, for modifications of the way in which constitutions are amended, and the like may all be internally inspired demands.

I find it useful and necessary to distinguish these from external demands because they are, strictly speaking, not inputs of the system but something that we can call "withinputs," if we can tolerate a cumbersome neologism, and because their consequences for the character of a political system are more direct than in the case of external demands. Furthermore, if we were not aware of this difference in classes of demands, we might search in vain for an explanation of the emergence of a given set of internal demands if we turned only to the environment.

(2) How are demands transformed into issues? What determines whether a demand becomes a matter for serious political discussion or remains something to be resolved privately among the members of society? The occurrence of a demand, whether internal or external, does not thereby automatically convert it into a political *issue*. Many demands die at birth or linger on with the support of an insignificant fraction of the society and are never raised to the level of possible political decision. Others become issues, an issue being a demand that the members of a political system are prepared to deal with as a significant item for discussion through the recognized channels in the system.

The distinction between demands and issues raises a number of questions about which we need data if we are to understand the processes through which claims typically become transformed into issues. For example, we would need to know something about the relationship between a demand and the location of its initiators or supporters in the power structures of the society, the importance of secrecy as compared with publicity in presenting demands, the matter of timing of demands, the possession of political skills or know-how, access to channels of communication, the attitudes and states of mind of possible publics, and the images held by the initiators of demands with regard to the way in which things get done in the particular political system. Answers to matters such as these would possibly yield a conversion index reflecting the probability of a set of demands being converted into live political issues.

If we assume that political science is primarily concerned with the way in which authoritative decisions are made for a society, demands require special attention as a major type of input of political systems. I have suggested that demands influence the behavior of a system in a number of ways. They constitute a significant part of the material upon



which the system operates. They are also one of the sources of change in political systems, since as the environment fluctuates it generates new types of demand-inputs for the system. Accordingly, without this attention to the origin and determinants of demands we would be at a loss to be able to treat rigorously not only the operation of a system at a moment of time but also its change over a specified interval. Both the statics and historical dynamics of a political system depend upon a detailed understanding of demands, particularly of the impact of the setting on them.

### III. INPUTS: SUPPORT

Inputs of demands alone are not enough to keep a political system operating. They are only the raw material out of which finished products called decisions are manufactured. Energy in the form of actions or orientations promoting and resisting a political system, the demands arising in it, and the decisions issuing from it must also be put into the system to keep it running. This input I shall call support.<sup>3</sup> Without support, demands could not be satisfied or conflicts in goals composed. If demands are to be acted upon, the members of a system undertaking to pilot the demands through to their transformation into binding decisions and those who seek to influence the relevant processes in any way must be able to count on support from others in the system. Just how much support, from how many and which members of a political system, are separate and important questions that I shall touch on shortly.

What do we mean by support? We can say that A supports B either when A acts on behalf of or when he orients himself favorably toward B's goals, interests, and actions. Supportive behavior may thus be of two kinds. It may consist of actions promoting the goals, interests, and actions of another person. We may vote for a political candidate, or defend a decision by the highest court of the land. In these cases, support manifests itself through overt action.

On the other hand, supportive behavior may involve not external observable acts, but those internal forms of behavior we call orientations or states of mind. As I use the phrase, a supportive state of mind is a deep-seated set of attitudes or predispositions, or a readiness to act on behalf of some other person. It exists when we say that a man is loyal

<sup>3</sup> The concept support has been used by Talcott Parsons in an unpublished paper entitled "Reflections on the Two-Party System." I am pleased to note that in this article Professor Parsons also seems to be moving in the direction of input-output analysis of political problems, although the extent to which he uses other aspects of system theory is not clear to me.

to his party, attached to democracy, or infused with patriotism. What such phrases as these have in common is the fact that they refer to a state of feelings on the part of a person. No overt action is involved at this level of description, although the implication is that the individual will pursue a course of action consistent with his attitudes. Where the anticipated action does not flow from our perception of the state of mind, we assume that we have not penetrated deeply enough into the true feelings of the person but have merely skimmed off his surface attitudes.

~~Supportive states of mind are vital inputs for the operation and maintenance of a political system.~~ For example, it is often said that the struggle in the international sphere concerns mastery over men's minds. To a certain extent this is true. If the members of a political system are deeply attached to a system or its ideals, the likelihood of their participating in either domestic or foreign politics in such a way as to undermine the system is reduced by a large factor. Presumably, even in the face of considerable provocation, ingrained supportive feelings of loyalty may be expected to prevail.

We shall need to identify the typical mechanisms through which supportive attitudes are inculcated and continuously reinforced within a political system. But our prior task is to specify and examine the political objects in relation to which support is extended.

#### (1) THE DOMAIN OF SUPPORT

Support is fed into the political system in relation to three objects: the community, the regime, and the ~~government~~. There must be ~~convergence of attitude and opinion~~ as well as some willingness to act with regard to each of these objects. Let us examine each in turn.

(a) The political community. No political system can continue to operate unless its members are willing to support the existence of a group that seeks to settle differences or promote decisions through peaceful action in common. The point is so obvious—being dealt with usually under the heading of the growth of national unity—that it may well be overlooked; and yet it is a premise upon which the continuation of any political system depends. To refer to this phenomenon we can speak of the ~~political community~~. At this level of support we are not concerned with whether a government exists or whether there is loyalty to a constitutional order. For the moment we only ask whether the members of the group that we are examining are sufficiently oriented toward each other to want to contribute their collective energies toward pacific settlement of their varying demands.

The American Civil War is a concrete illustration of the cessation of input of support for the political community. The war itself was definitive evidence that the members of the American political system could no longer contribute to the existence of a state of affairs in which peaceful solution of conflicting demands was the rule. Matters had come to the point where it was no longer a question of whether the South would support one or another alternative government, or whether it could envision its demands being satisfied through the normal constitutional procedures. The issue turned on whether there was sufficient mutual identification among the members of the system for them to be able to work together as a political community. Thus in any political system, to the extent that there is an in-group or we-group feeling and to the extent that the members of the system identify one another as part of this unit and exclude others according to some commonly accepted criteria, such as territoriality, kinship, or citizenship, we shall say that they are putting in support for the political community.

(b) The regime. Support for a second major part of a political system helps to supply the energy to keep the system running. This aspect of the system I shall call the regime. It consists of all those arrangements that regulate the way in which the demands put into the system are settled and the way in which decisions are put into effect. They are the so-called rules of the game, in the light of which actions by members of the system are legitimated and accepted by the bulk of the members as authoritative. Unless there is a minimum convergence of attitudes in support of these fundamental rules—the constitutional principles, as we call them in Western society—there would be insufficient harmony in the actions of the members of a system to meet the problems generated by their support of a political community. The fact of trying to settle demands in common means that there must be known principles governing the way in which resolutions of differences of claims are to take place.

(c) The government. If a political system is going to be able to handle the conflicting demands put into it, not only must the members of the system be prepared to support the settlement of these conflicts in common and possess some consensus with regard to the rules governing the mode of settlement; they must also be ready to support a government as it undertakes the concrete tasks involved in negotiating such settlements. When we come to the outputs of a system, we shall see the rewards that are available to a government for mobilizing support. At this point, I just wish to draw attention to this need on the part of a government for support if it is going to be able to make decisions with

regard to demands. Of course, a government may elicit support in many ways: through persuasion, consent, or manipulation. It may also impose unsupported settlements of demands through threats of force. But it is a familiar axiom of political science that a government based upon force alone is not long for this world; it must buttress its position by inducing a favorable state of mind in its subjects through fair or foul means.

The fact that support directed to a political system can be broken down conceptually into three elements—support for the community, regime, and government—does not mean, of course, that in the concrete case support for each of these three objects is independent. In fact we might and normally do find all three kinds of support very closely intertwined, so that the presence of one is a function of the presence of one or both of the other types.

For example, withdrawal of support from the government of Louis XVI in effect also meant that members of the French monarchical system were challenging at least the regime; as it turned out in the ensuing revolution and civil war, there was even doubt whether the members of the system would continue to support a unified political community. In this case, what was initially opposition to the ruling sovereign—that is, to the government—quickly turned out to signify a lack of sufficient support for the regime and ultimately, to some extent, for the political community. But this is not always so and fortunately, from the point of view of social order, it is not typically the case. We are accustomed to calling for a change of government without thereby suggesting dissatisfaction with the regime or community. And at times, although this is less frequently true, the community shows sufficient intention to continue as a cooperating group to be able to accept a challenge to the regime. From 1832 to the 1880's England underwent a serious modification in its regime, introducing the basic elements of a system of popular democracy, without serious diminution of input of support at the community level. It is always a matter for empirical enquiry to discover the degree to which support at any one level is dependent upon support at the others.

This very brief discussion of support points up one major fact. If a system is to absorb a variety of demands and negotiate some sort of settlement among them, it is not enough for the members of the system to support only their own demands and the particular government that will undertake to promote these demands. For the demands to be processed into outputs it is equally essential that the members of the system

stand ready to support the existence of a political community and some stable rules of common action that we call the regime.

## (2) QUANTITY AND SCOPE OF SUPPORT

How much support needs to be put into a system and how many of its members need to contribute such support if the system is to be able to do the job of converting demands to decisions? No ready answer can be offered. The actual situation in each case would determine the amount and scope required. We can, however, visualize a number of situations that will be helpful in directing our attention to possible generalizations.

Under certain circumstances very few members need to support a system at any level. The members might be dull and apathetic, indifferent to the general operations of the system, its progress or decisions. In a loosely connected system such as India has had, this might well be the state of mind of by far the largest segment of the membership. Either in fact they have not been affected by national decisions or they have not perceived that they were so affected. They may have little sense of identification with the present regime and government and yet, with regard to the input of demands, the system may be able to act on the basis of the support offered by the known 3 per cent of the Western-oriented politicians and intellectuals who are politically active. In other words, we can have a small minority putting in quantitatively sufficient supportive energy to keep the system going. However, we can venture the hypothesis that where members of a system are putting in numerous demands, there is a strong probability that they will actively offer support or hostility at one of the three levels of the system, depending upon the degree to which these demands are being met through appropriate decisions.

Alternatively, we may find that all the members of a system are putting in support, but the amount may be so low as to place one or all aspects of the system in jeopardy. Modern France is perhaps a classic illustration. The input of support at the level of the political community is probably adequate for the maintenance of France as a national political unit. But for a variety of historical and contemporary reasons, there is considerable doubt as to whether the members of the French political system are putting in anything but a low order of support to the regime or any particular government. This low amount of support, even though spread over a relatively large segment of the population, leaves the French political system on somewhat less secure foundations than is the case with India. There support is less widespread but more

active—that is, quantitatively greater—on the part of a minority. As this illustration indicates, the amount of support is not necessarily proportional to its scope.

It may seem from the above discussion as though the members of a political system either put in support or withhold it—that is, demonstrate hostility or apathy. In fact, members may and normally do simultaneously engage in supportive and hostile behavior. What we must be interested in is the net balance of support.

#### IV. MECHANISMS OF SUPPORT

To this point I have suggested that no political system can yield the important outputs we call authoritative decisions unless, in addition to demands, support finds its way into the system. I have discussed the possible object to which support may be directed, and some problems with regard to the domain, quantity, and scope of support. We are now ready to turn to the main question raised by our attention to support as a crucial input: how do systems typically manage to maintain a steady flow of support? Without it a system will not absorb sufficient energy from its members to be able to convert demands to decisions.

In theory, there might be an infinite variety of means through which members could be induced to support a system; in practice, certain well-established classes of mechanisms are used. Research in this area needs to be directed to exploring the precise way in which a particular system utilizes these mechanisms and to refining our understanding of the way in which they contribute to the making of authoritative policy.

A society generates support for a political system in two ways: through outputs that meet the demands of the members of society; and through the processes of politicization. Let us look at outputs first.

##### (I) OUTPUTS AS A MECHANISM OF SUPPORT

An output of a political system, it will be recalled, is a political decision or policy. One of the major ways of strengthening the ties of the members to their system is through providing decisions that tend to satisfy the day-to-day demands of these members. Fundamentally this is the truth that lies in the aphorism that one can fool some of the people some of the time but not all of them all of the time. Without some minimal satisfaction of demands, the ardor of all but the most fanatical patriot is sure to cool. The outputs, consisting of political decisions, constitute a body of specific inducements for the members of a system to support that system.

Inducements of this kind may be positive or negative. Where nega-



~~tive, they threaten~~ the members of the system with various kinds of sanctions ranging from a small monetary fine to physical detention, ostracism, or loss of life, as in our own system with regard to the case of legally defined treason. In every system support stems in part from fear of sanctions or compulsion; in autocratic systems the proportion of coerced support is at a maximum. For want of space I shall confine myself to those cases where positive incentives loom largest.

~~Since the specific outputs of a system are policy decisions, it is upon the government that the final responsibility falls for matching or balancing outputs of decisions against input of demand.~~ But it is clear that to obtain the support of the members of a system through positive incentives, a government need not meet all the demands of even its most influential and ardent supporters. Most governments, or groups such as political parties that seek to control governments, succeed in building up a reserve of support. This reserve will carry the government along even though it offends its followers, so long as over the extended short run these followers perceive the particular government as one that is in general favorable to their interests. One form that this reserve support takes in Western society is that of party loyalty, since the party is the typical instrument in a mass industrialized society for mobilizing and maintaining support for a government. However, continuous lack of specific rewards through policy decisions ultimately leads to the danger that even the deepest party loyalty may be shaken.

For example, labor has continued to support the Democratic Party even though much of the legislation promoted by members of that party has not served to meet labor's demands. In some measure, large sections of labor may continue to vote and campaign vigorously on behalf of the Democratic Party because they have no realistic alternative other than to support this party; but in addition the Democrats have built up in recent years, especially during the Roosevelt era, a considerable body of good will. It would take repeated neglect of labor's demands on the part of the Democratic Party to undermine the strong urban working-class support directed toward it and the government that the party dominates from time to time.

~~Thus a system need not meet *all the demands* of its members so long as it has stored up a reserve of support over the years. Nor need it satisfy even *some of the demands* of all its members.~~ Just whose demands a system must seek to meet, how much of their demands, at what time, and under what conditions are questions for special research. We can say in advance that at least the demands of the most influential members require satisfaction. But this tells us little unless we know



how to discover the influentials in a political system and how new sets of members rise to positions of influence.<sup>4</sup>

The critical significance of the decisions of governments for the support of the other two aspects of a system—namely, the political community and the regime—is clear from what I have said above. Not all withdrawal of support from a government has consequences for the success or failure of a regime or community. But persistent inability of a government to produce satisfactory outputs for the members of a system may well lead to demands for changing of the regime or for dissolution of the political community. It is for this reason that the input-output balance is a vital mechanism in the life of a political system.

## (2) POLITICIZATION AS A MECHANISM OF SUPPORT

It would be wrong to consider that the level of support available to a system is a function exclusively of the outputs in the form of either sanctions or rewards. If we did so conclude, we could scarcely account for the maintenance of numerous political systems in which satisfaction of demands has been manifestly low, in which public coercion is limited, and yet which have endured for epochs. Alternately, it might be difficult to explain how political systems could endure and yet manage to flout or thwart urgent demands, failing thereby to render sufficient *quid pro quo* for the input of support. The fact is that whatever reserve of support has been accumulated through past decisions is increased and reinforced by a complicated method for steadily manufacturing support through what I shall call the process of politicization. It is an awkward term, but nevertheless an appropriately descriptive one.

As each person grows up in a society, through a network of rewards and punishments the other members of society communicate to and instill in him the various institutionalized goals and norms of that society. This is well known in social research as the process of socialization. Through its operation a person learns to play his various social roles. Part of these goals and norms relate to what the society considers desirable in political life. The ways in which these political patterns are learned by the members of society constitute what I call the process of politicization. Through it a person learns to play his political roles, which include the absorption of the proper political attitudes.

Let us examine a little more closely something of what happens during the process of politicization. As members of a society mature, they must absorb the various orientations toward political matters that one

<sup>4</sup> See C. W. Mills, *The Power Elite*, New York, 1956.

is expected to have in that society. If the expectations of the members of society with regard to the way each should behave in specific political situations diverged beyond a certain range, it would be impossible to get common action with regard to the making of binding decisions. It is essential for the viability of an orderly political system that the members of the system have some common basic expectations with regard to the standards that are to be used in making political evaluations, to the way people will feel about various political matters, and to the way members of the system will perceive and interpret political phenomena.

The mechanism through which this learning takes place is of considerable significance in understanding how a political system generates and accumulates a strong reserve of support. Although we cannot pursue the details, we can mention a few of the relevant dimensions. In the first place, of course, the learning or politicization process does not stop at any particular period for the individual; it starts with the child and, in the light of our knowledge of learning, may have its deepest impact through the teen age. The study of the political experiences of and the influences operating on the child and the adolescent emerges as an important and neglected area of research.<sup>5</sup>

In the second place, the actual process of politicization at its most general level brings into operation a complex network of rewards and punishments. For adopting the correct political attitudes and performing the right political acts, for conforming to the generally accepted interpretations of political goals, and for undertaking the institutionalized obligations of a member of the given system, we are variously rewarded or punished. For conforming we are made to feel worthy, wanted, and respected and often obtain material advantages such as wealth, influence, improved opportunities. For deviating beyond the permissible range, we are made to feel unworthy, rejected, dishonored, and often suffer material losses.

This does not mean that the pattern of rewards and punishments is by any means always effective; if it were, we would never have changed from the Stone Age. A measure of non-conformity may at certain stages in the life history of a political system itself become a respected norm.

<sup>5</sup> I am happy to say that, since I wrote this statement, the neglect has begun to be remedied. My colleagues at the University of Chicago, Robert Hess of the Committee of Human Development and Peter Rossi of the Department of Sociology, and I have undertaken a questionnaire-interview study of the development of the political attitudes, opinions, and images held by children and adolescents. This research is an attempt to develop some useful generalizations about major aspects of the processes of politicization in the American political system and to formulate a design that, for comparative purposes, could be applied in other political systems as well.

Even where this is not the case, the most seductive rewards and the severest punishments will never succeed in preventing some of the members of a system from pursuing what they consider to be their inextinguishable interests and from seeking, with varying degrees of success, to change the goals and norms of the system. This is one of the important sources of political change closely associated with changes in the inputs of demands that are due to a changing environment. But we cannot pursue this crucial matter of the nature of political change, as it would lead us off in a new direction.

In the third place, the means used for communicating the goals and norms to others tend to be repetitive in all societies. The various political myths, doctrines, and philosophies transmit to each generation a particular interpretation of the goals and norms. The decisive links in this chain of transmission are parents, siblings, peers, teachers, organizations, and social leaders, as well as physical symbols such as flags or totems, ceremonies, and rituals freighted with political meaning.

These processes through which attachments to a political system become built into the maturing member of a society I have lumped together under the rubric of politicization. They illustrate the way in which members of a system learn what is expected of them in political life and how they ought to do what is expected of them. In this way they acquire knowledge about their political roles and a desire to perform them. In stable systems the support that accrues through these means adds to the reservoir of support being accumulated on a day-to-day basis through the outputs of decisions.<sup>6</sup> The support obtained through politicization tends to be relatively—although, as we have seen, not wholly—independent of the vagaries of day-to-day outputs.

When the basic political attachments become deeply rooted or institutionalized, we say that the system has become accepted as legitimate. Politicization therefore effectively sums up the way in which legitimacy is created and transmitted in a political system. And it is an empirical observation that in those instances where political systems have survived the longest, support has been nourished by an ingrained belief in the legitimacy of the relevant governments and regimes.

What I am suggesting here is that support resting on a sense of the legitimacy of a government and regime provides a necessary reserve if the system is to weather those frequent storms when the more obvious outputs of the system seem to impose greater hardships than rewards. Answers to questions concerning the formation, maintenance, transmis-

<sup>6</sup> In primitive systems, politicization, not outputs of decisions, is normally the chief mechanism.

sion, and change of standards of legitimacy will contribute generously to an understanding of the way in which support is sufficiently institutionalized so that a system may regularly and without excessive expenditure of effort transform inputs of demand into outputs of decisions.

That there is a need for general theory in the study of political life is apparent. The only question is how best to proceed. There is no one royal road that can be said to be either the correct one or the best. It is only a matter of what appears at the given level of available knowledge to be the most useful. At this stage it appears that system theory, with its sensitivity to the input-output exchange between a system and its setting offers a fruitful approach. It is an economical way of organizing presently disconnected political data and promises interesting dividends.