

# How to Estimate the Heat Penetration Factors $f_h$ and $f_c$ , and the Heating Lag Factors $j_h$ and $j_c$ , required for Calculating the Sterilization or Pasteurization Times of Packaged Foods

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## Summary:

The heat penetration factor  $f_h$  is crucial in calculating the pasteurization or the sterilization time of packaged foods. This document explains how to calculate, or estimate, the heat penetration factor  $f_h$ . Each explanation is illustrated with one or more worked examples.

For a quick reference, the next page gives an [overview](#) of the main factors which affect the heat penetration factor  $f_h$ . It presents the equations to calculate the  $f_h$ , and lists formula to convert a known  $f_h$  to the new  $f_h$  after a change in processing or packaging conditions.

[Chapter 1](#) shows the basic equations to calculate the heat penetration factor  $f_h$  of a packaged liquid or solid food. How this  $f_h$  factor can be used in heat processing, is illustrated by a sterilization process calculation.

From tables with  $f_h$  values in [Chapter 2](#), a first rough estimation can be made of the heat penetration factor  $f_h$  of a food product, packaged in a cylindrical metal can.

To use these tables, you need to know the product texture (solid or liquid), the packaging size (length  $L$ ; diameter  $D$ ), the retort conditions (rotation or still retort) and the heating medium (saturated steam, showering water, or full water).

[Chapter 3](#) outlines the effects of the food packaging on the heat penetration factor  $f_h$ : the material of the packaging (metal or glass); the shape of the packaging (cylindrical; brick; oval; cube; ball), and the packaging dimensions (length, height, width, etc), expressed as specific surface  $S$  (for a liquid food), or adapted squared specific surface  $S^*2$  (for a solid food), are included in the equations of the  $f_h$ .

Tables of the partial heat transfer coefficients for several types of retorts, food textures and packaging materials, and equations to calculate the overall heat transfer coefficient  $U$ , are presented in [Chapter 4](#). A list with the estimated overall heat transfer coefficients  $U$  for all types of packaged liquid foods may be of help in rapid calculations of the heat penetration factor  $f_h$ . For packaged solid foods, not the overall heat transfer coefficient  $U$ , but the thermal diffusivity  $a$  (see [Annex 3](#)), or the thermal conductivity  $k$  of the solid is required.

Excel spreadsheet "*Heat penetration factor  $f_h$  calculations.xls*" simplifies the tedious calculations of the specific surface of a food container, required to find its heat penetration factor  $f_h$ . [Chapter 5](#) explains, by means of 2 worked examples, how to use this spreadsheet to estimate the  $f_h$  of both a packaged liquid food and a packaged solid food.

Several foods consist of solid food pieces, submerged in a liquid, or in a watery brine. Examples are beans in brine, sausages in brine, meat balls in gravy, etc. [Chapter 6](#) lists equations how to calculate the heat penetration factor of such foods: first calculate the heat penetration factor of the container filled with brine only, and then add the calculated heat penetration factor of the largest solid piece of the food.

If the heat penetration factor  $f_h$  of a packaged food is known, and the company wishes to change the packaging size, [Chapter 7](#) presents size correction factors to calculate the new heat penetration factor. The size correction factor is proportional to the container's specific surface  $S$  for liquid foods, and proportional to the container's adapted squared specific surface  $S^*2$  for solid foods.

[Chapter 8](#) presents packaging material correction factors for  $f_h$ , in case a company intends to change the packaging material from metal to glass, or from glass to metal. The material correction factors depend also on the texture of the packaged food: solid or liquid.

[Chapter 9](#) explains step-by-step how to obtain, from experimental heat penetration data, the penetration factors  $f_h$  and  $f_c$ , and the lag factors  $j_h$  and  $j_c$ . The experimental time-temperature data are used to construct a semi-log heating and a semi-log cooling graph, from which  $f_h$ ,  $j_h$ ,  $f_c$ , and  $j_c$  can be deduced. This data analysis is illustrated by an extensive example calculation, and concluded by a worked example.

### Overview of factors which affect the heat penetration factor $f_h$

$$P_t = f_h \cdot 10^{\log(j_h \cdot \frac{T_R - T_{ih}}{T_R - T})} - 0.4 \cdot L$$

#### 1) $f_h$ calculations, based on the composition and consistency of a food product:

**LIQUID (convective) foods:**  $f_h = \frac{2.3 \cdot c_p \cdot \rho}{U \cdot S}$  [s];  $[\frac{1}{U} = \frac{1}{h_A} + \frac{1}{k_W/d_W} + \frac{1}{h_B}]$

with 4 min. <  $f_{h,LIQUID}$  < 15 min.

Also: **Low viscous liquid** food: 0.4 <  $j_h$  < 1: rotation;  $j_h \approx 1$ : still retort.

**(Very) viscous liquid** food: 1.2 <  $j_h$  < 1.8: rotation;  $j_h \approx 2$ : still retort

**SOLID (conductive) foods:**  $f_h = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^{*2}} = \frac{2.3}{a \cdot S^{*2}}$  [s];  $[a = k/(c_p \cdot \rho)]$

with 25 min. <  $f_{h,SOLID}$  < 200 min. For **solid food:**  $j_h \approx 2$ .

$a$  = thermal diffusivity of the (solid) **food** [ $m^2/s$ ];  $0.11 \cdot 10^{-6} m^2/s \leq a \leq 0.19 \cdot 10^{-6} m^2/s$ .

#### Packaged **LIQUID** food, containing submerged **SOLID PIECES**:

$$f_{h, \text{liquid food with submerged solid}} = f_{h, \text{package with liquid only}} + f_{h, \text{one submerged solid piece}}$$

**2) Change in retort temperature  $T_R$  and/or change in initial food temperature  $T_{ih}$  does not affect  $f_h$ , nor does it affect the lag factors  $j_h$  and  $j_c$ .**

**3) Change in packaging SIZE does not affect the lag factors  $j_h$  and  $j_c$ .**

Change in packaging size, however, does affect  $f_h$ :

$$f_{h, \text{NEW packaging size}} = \text{Size conversion factor} \cdot f_{h, \text{OLD packaging size}}$$

in which, for **packaged LIQUID foods:** size conversion factor =  $S_{\text{OLD Size}}/S_{\text{NEW Size}}$ ;

and, for **packaged SOLID foods:** size conversion factor =  $S_{\text{OLD Size}}^{*2}/S_{\text{NEW Size}}^{*2}$

|                     |   |   |
|---------------------|---|---|
| <b>Cylinder</b>     | $S_{\text{Cylinder}} = 2/H + 4/D$         | $S_{\text{Cylinder}}^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$                |
| <b>Brick</b>        | $S_{\text{Brick}} = 2/H + 2/L + 2/W$      | $S_{\text{Brick}}^{*2} = 14.2 \cdot (1/H^{*2} + 1/L^{*2} + 1/W^{*2})$ |
| <b>Cube</b>         | $S_{\text{Cube}} = 6/D$                   | $S_{\text{Cube}}^{*2} = 42.6/D^{*2}$                                  |
| <b>Oval</b>         | $S_{\text{Oval}} = 2/H + 2/(BD) + 2/(SD)$ | -   |
| <b>Sphere; ball</b> | $S_{\text{Ball}} = 6/D$                   | $S_{\text{Ball}}^{*2} = 39.5/D^{*2}$                                  |

For **SOLID** foods, packaged in **GLASS**, \* means: add 2x glass wall thickness to  $D^*$ ,  $H^*$ ,  $L^*$ ,  $W^*$ .

#### 4) Effect of change in packaging MATERIAL:

##### Changing from **METAL** packaging to **GLASS** packaging:

**LIQUID:** New  $f_{h, \text{Liquid, Glass}} = \frac{S_{\text{Metal}}}{S_{\text{Glass}}} \cdot f_{h, \text{Liquid, Metal}} + \frac{2.3 \cdot c_p \cdot \rho}{S_{\text{Glass}}} \cdot \frac{d_{W, \text{Glass}}}{k_{W, \text{Glass}}}$

**SOLID:** New  $f_{h, \text{Solid, Glass}} = \frac{S_{\text{Metal}}^{*2}}{S_{\text{Glass}}^{*2}} \cdot f_{h, \text{Solid, Metal}}$

##### Changing from **GLASS** packaging to **METAL** packaging:

**LIQUID:** New  $f_{h, \text{Liquid, Metal}} = \frac{S_{\text{Glass}}}{S_{\text{Metal}}} \cdot f_{h, \text{Liquid, Glass}} - \frac{2.3 \cdot c_p \cdot \rho}{S_{\text{Metal}}} \cdot \frac{d_{W, \text{Glass}}}{k_{W, \text{Glass}}}$

**SOLID:** New  $f_{h, \text{Solid, Metal}} = \frac{S_{\text{Glass}}^{*2}}{S_{\text{Metal}}^{*2}} \cdot f_{h, \text{Solid, Glass}}$

**1. How do both the heat penetration factor  $f_h$ , and the heating lag factor  $j_h$ , affect the sterilization or pasteurization time? The sterilization time equation.**

The pasteurization or sterilization time  $P_t$  of a packaged food product can be calculated by:

|   |   |
|---|---|
| $P_t = f_h \cdot 10^{\log(j_h \cdot \frac{T_R - T_{ih}}{T_R - T})} - 0.4 \cdot L \quad (1)$ | the sterilization or pasteurization equation. |
|---|---|

Equations to calculate the heat penetration factor  $f_h$  are:

|   |  |
|---|--|
| for <b>LIQUID</b> (= convective) foods:<br>$f_h = \frac{2.3 \cdot c_p \cdot \rho}{U \cdot S} \quad [s]$ For equation of $S$ : see <a href="#">table 4</a> .<br>$\frac{1}{U} = \frac{1}{h_A} + \frac{1}{k_w/d_w} + \frac{1}{h_B} \quad [(s \text{ m}^2 \text{ }^\circ\text{C})/J]$ For values of $h_A$ , $k_w/d_w$ , and $h_B$ : see tables <a href="#">5</a> , <a href="#">6</a> and <a href="#">7</a> .<br><b>4 min. &lt; <math>f_{h,LIQUID}</math> &lt; 15 min.</b><br>0.8 < $j_h$ < 1.2      liquid food; rotation<br>1.0 < $j_h$ < 1.4      liquid food; still retort<br>1.2 < $j_h$ < 1.8      viscous food; rotation<br>1.6 < $j_h$ < 2.0      viscous food; still retort | for <b>SOLID</b> (= conductive) foods:<br>$f_h = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^{*2}} = \frac{2.3}{a \cdot S^{*2}} \quad [s]$ For equation of $S^{*2}$ : see <a href="#">table 4</a> .<br>$a = k/(c_p \cdot \rho) \quad [m^2/s];$ usually $a \approx 1.1 \cdot 10^{-7} \text{ m}^2/s$ to $1.9 \cdot 10^{-7} \text{ m}^2/s$ .<br>For some values of $a$ : see <a href="#">Annex 3</a> .<br><b>25 min. &lt; <math>f_{h,SOLID}</math> &lt; 200 min.</b><br>1.6 < $j_h$ < 2.0      viscous food; still retort<br>$j_h = 2.0$ solid food |
| <b>Table 1:</b> Equations and estimated values of heat penetration factor $f_h$ and heating lag factor $j_h$ for liquid and solid foods.  |  |

Sources: [Leniger](#) (1975: 314); [Lewis](#) (1990: 308); [Mohr](#) (1988); [Rahman](#) (1995: 358 + 389); [Holdsworth](#) (1997:127; 130-138); [Holdsworth](#) (2007). In case of very flat cans ( $H < 3 \text{ cm}$ ), no rotation: see [Mohr](#) (1988).

in which

- $P_t$       Pasteurization or sterilization time of a packaged **food product** [s]; [min.];  
 = time from the moment the steam in the retort is switched on, to the moment the steam is switched off, and the cooling water is switched on.  
 Sometimes  $P_t$  is called the "operator's process time".
- $f_h$       Heat penetration factor of the **food product** [s]; [min.].  
 A high value of  $f_h$  means that the food in the can heats up very slowly.  
 See tables [1](#), [2](#), and [3](#) to estimate values of  $f_h$ .
- $j_h$       Heating lag factor of the **food product** [-].  
 Cylindrical packaging material:  $0.4 \leq j_h \leq 2.0$ .    Liquid foods:  $j_h \approx 0.8$  (rotation) to  $j_h \approx 1.4$  (still); viscous foods:  $j_h \approx 1.2$  (rotation) to  $j_h \approx 2.0$  (still);    solid foods:  $j_h \approx 2.0$ .  
 Ball shaped packaging material: maximum theoretical value of  $j_h = 2.0$ .  
 Brick shaped packaging material: maximum theoretical value of  $j_h = 2.1$ .  
 Cube shaped packaging material: maximum theoretical value of  $j_h = 1.6$ .
- $T_R$       Retort temperature = **equipment** pasteurization or sterilization temperature [°C].
- $T_{ih}$       Initial temperature of the **food product** at the start of the heating process = food temperature at the moment the steam is switched on [°C].
- $T$         Core temperature of the **food product** at the start of the cooling stage [°C].
- $L$         Come-up time C.U.T. of **retort** = time required to bring retort to  $T_R$  [s]; [min.].

- $c_p$  Specific heat of **food product** = Joules required to increase the temperature of 1 kg of food product by 1 °C. [J/(kg °C)]  
 For water and food products with a very low % of dry matter:  $c_p = 4200$  J/(kg °C).  
 For meats with high % of fat:  $c_p \approx 3000$  J/(kg °C).  
 For pure "dry matter" such as proteins, sugars, starches, salts:  $c_p \approx 2000$  J/(kg °C).  
 A table with specific heats of many different food products, and a computer program to calculate the specific heat  $c_p$  of a composed food: see FYSCONST 2.0.xls, downloadable from Academia.edu or ResearchGate.net.
- $\rho$  Density of **food product** = mass of 1 m<sup>3</sup> of the food product [kg/m<sup>3</sup>].  
 For water and food products with a very low % of dry matter:  $\rho \approx 1000$  kg/m<sup>3</sup>.  
 Concentrated apple juice: 30% dry matter:  $\rho = 1124$  kg/m<sup>3</sup>; 64% dry m.:  $\rho = 1312$  kg/m<sup>3</sup>.  
 For a computer program to calculate the density  $\rho$  of a composed food: see FYSCONST 2.0.xls, downloadable from Academia.edu or at ResearchGate.net.
- $U$  Overall heat transfer coefficient **from heating medium in retort to slowest heating point ("coldest core") of food product** [J/(s m<sup>2</sup> °C)]; [W/(m<sup>2</sup> K)].  
 For estimated values of the overall heat transfer coefficient  $U$  of liquid packaged foods: see [table 8](#).
- $h_A$  Surface heat transfer coefficient **from retort heating medium to outer wall of packaging**; also named film heat transfer coefficient [J/(s m<sup>2</sup> °C)]; [W/(m<sup>2</sup> K)].  
 For numerical values of  $h_A$ : see [table 5](#).
- $k_w$  Thermal conductivity of the **wall of the packaging material** [J/(s m °C)]; [W/(m K)].  
 For values of thermal conductivity of several packaging materials: see [table 6](#).
- $h_B$  Heat transfer coefficient **from inner wall of packaging to center (= "slowest heating point") of food product** [J/(s m<sup>2</sup> °C)]; [W/(m<sup>2</sup> K)].  
 For numerical values of  $h_B$ : see [table 7](#).
- $k$  Thermal conductivity of the **food product** [J/(s m °C)]; [W/(m K)].  
 For a computer program to calculate the thermal conductivity of a composed food: see FYSCONST 2.0.xls, downloadable from Academia.edu or at ResearchGate.net.
- $d_w$  Wall thickness of **packaging material** [m].  
 For values of wall thickness  $d_w$  of several packaging materials: see [table 6](#).
- $S$  Specific surface of packaging (can; jar; etc.) of **liquid food product** [m<sup>2</sup>/m<sup>3</sup>]  
 $S = (\text{Surface area of packaging } A)/(\text{Volume of packaging } V)$ ;  $S = \text{Area}/\text{Volume} = A/V$ .  
 See equations in [table 4](#) to calculate  $S$  of a packaged liquid food product.
- $S^{*2}$  Adapted squared specific surface area of packaging of **solid food product** [m<sup>4</sup>/m<sup>6</sup>].  
 When using glass packaging: increase internal diameter and internal height each by 2xglass wall thickness  $d_w$ . Example:  
 Diameter glass jar =  $D_{JAR,INTERNAL} + 2xd_w$ ; Height glass jar =  $H_{JAR,INTERNAL} + 2xd_w$ .  
 See equations in [table 4](#) to calculate  $S^{*2}$  of a packaged solid food product.
- $a$  Thermal diffusivity of the (solid) **food product**;  $a = k/(c_p \cdot \rho)$  [m<sup>2</sup>/s].  
 For **solid** food products:  $a \approx 1.1 \cdot 10^{-7}$  m<sup>2</sup>/s to  $1.9 \cdot 10^{-7}$  m<sup>2</sup>/s. See also [Annex 3](#).  
 For a computer program to calculate the thermal diffusivity  $a$  of a food from its components: see FYSCONST 2.0.xls, downloadable from Academia.edu or at ResearchGate.net.

As can be seen from the equations of the  $f_h$  in [table 1](#) above, .....

- 1)**  $f_h$  depends on the **type of product**:  $c_p$ ,  $\rho$ ,  $k$ , and  $a$ , all are food product properties; also important for heat penetration is the viscosity  $\eta$  of the food;
- 2)**  $f_h$  depends on the **dimensions** and the **shape of the packaging**:  $S$  and  $S^{*2}$  can be calculated from the shape (cylinder; brick; cube; ball; oval), and the diameter  $D$ , the width  $W$ , and the height  $H$  of the packaging;
- 3)**  $f_h$  depends on the **packaging material**: metal, glass, or plastic (i.e.  $f_h$  depends on the conductivity of the packaging wall  $k_w$ ), and on the **wall thickness**  $d_w$  (incorporated the calculation of the overall heat transfer coefficient  $U$ ).  $S^{*2}$ , in the heat penetration factor equation of solid foods, also is related to the packaging material;

**4)**  $f_h$  depends on the **heating medium in the retort**: saturated steam, showering water, full water immersion; steam+air;

The retort heating medium affects the overall heat transfer coefficient  $U$ , via the surface heat transfer coefficient from retort medium to outer side of the packaging wall;

**5)**  $f_h$  depends on the **headspace in the packaging**, on the **rotation frequency** (which affects the internal and external heat transfer surface coefficient), and the **rotation axis** of the packaging.

**A simple test to decide whether or not a packaged food behaves as a liquid:**

1. Put the food in a transparent (glass) jar.
2. Make sure that there is a head space of at least 1 cm between food and lid.
3. Close the lid, and slowly turn the jar upside down once.
4. If you notice that the head space gas bubble is easily moving through the food, the packaged food probably will behave as a liquid (milk, condensed milk, etc.) during retorting, or as a liquid with solid pieces (peas or carrots in a can with brine, etc.).
5. If the head space gas bubble does not, or only with great difficulty, passes through the food, the packaged food probably will behave as a solid (apple sauce, tomato paste, meat, etc.) during retorting.

**Example calculation 1:** Effect of  $f_h$  on pasteurization or sterilization time  $P_t$

Food in cylindrical cans of 1000 ml has an initial temperature of  $T_{ih} = 4$  °C, and is to be sterilized in a saturated steam retort. Come up time of the retort  $L = 3$  min. Retort temperature  $T_R = 123$  °C. Heat penetration factor  $f_h = 7.0$  min. Heating lag factor  $j_h = 1.0$ .

**1a)** From [table 1](#), deduce whether the food in the can is a solid or a liquid.

**1b)** Using [equation \(1\)](#), calculate the sterilization time  $P_t$  required for the slowest heating point (= coldest core) in the food to reach  $T = 122$  °C.

**1c)** If the heat penetration factor of a cylindrical can would have been  $f_h = 3.5$  min. (instead of  $f_h = 7$  min.), what will be the sterilization time  $P_t$  required for the food to reach the temperature  $T = 122$  °C in its slowest heating point (= coldest core)?

**1d)** Comparing answers 1b and 1c, it can be concluded: Reduction of the  $f_h$  will \_\_\_\_\_ (reduce/increase) the sterilization time  $P_t$ .

**1e)** Verify the required sterilization time  $P_t$  of answer 1c) by using computer program STUMBO.exe. (Computer program Stumbo.exe is obtainable on CD-ROM from [j.w.mrouweler@freeler.nl](mailto:j.w.mrouweler@freeler.nl)).

**Worked Answer 1:**

**Answer 1a)** Deduce whether the food in the can is a solid or a liquid:

\* Deduction 1: Consider the value of the  $f_h$ :

Given: the heat penetration factor  $f_h$  of the canned food  $f_h = 7.0$  min.

According to [table 1](#), canned liquid foods have a  $f_h$  of 4 min.  $< f_{h,LIQUID} < 15$  min.;

while canned solid foods have a  $f_h$  of 25 min.  $< f_{h,SOLID} < 200$  min.

Thus a can with a  $f_h = 7.0$  min. most likely will be filled with a liquid food.

\* Deduction 2: Consider the value of  $j_h$ :

Given: The  $j_h$  of the canned food is  $j_h = 1.0$

According to table 1, a liquid food has a  $j_h$  of  $0.8 \leq j_h \leq 1.4$ , and a canned viscous food in a rotating retort has a  $j_h$  of  $1.2 \leq j_h \leq 1.8$  ([table 1](#)).

Canned solid foods, however, have a  $j_h = 2.0$  according to [table 1](#).

Thus the can with a  $j_h = 1.0$  most likely will be filled with a liquid food.

Conclusion: According to the values of  $f_h = 7.0$  min. and  $j_h = 1.0$ , the food in the can most likely is a **liquid food**.

**Answer 1b)** Calculate the sterilization time  $P_t$  required for the food to reach  $T = 122\text{ }^\circ\text{C}$ :

The equation for the sterilization time  $P_t$  of a **liquid** (convection type) food is:

$$P_t = f_h \cdot {}^{10}\log\left(j_h \cdot \frac{T_R - T_{ih}}{T_R - T}\right) - 0.4 \cdot L \quad (\text{see equation (1) at start of Chapter 1})$$

in which (all given):  $f_h = 7.0\text{ min.};$

$$j_h = 1.0;$$

$$T_{ih} = 4\text{ }^\circ\text{C};$$

$$T_R = 123\text{ }^\circ\text{C};$$

$$T = 122\text{ }^\circ\text{C};$$

$$L = 3\text{ min.}$$

Substitution in the above equation for  $P_t$  results in:

$$\begin{aligned} P_t &= 7.0 \cdot {}^{10}\log\left(1.0 \cdot \frac{123 - 4}{123 - 122}\right) - 0.4 \cdot 3 = 7.0 \cdot {}^{10}\log\left(1.0 \cdot \frac{119}{1}\right) - 1.2 \\ &= 7.0 \cdot {}^{10}\log 119 - 1.2 = 7.0 \cdot 2.076 - 1.2 = 13.3\text{ min.} \end{aligned}$$

At time  **$P_t = 13.3\text{ min.}$**  after the steam was turned on, the food in the can reaches a product core temperature of  $T = 122\text{ }^\circ\text{C}$ .

N.B.: Because in the equation the value of  $f_h$  is substituted in minutes, as a result also the resulting  $P_t$  will be in minutes!

**Answer 1c)** Assume that  $f_h = 3.5\text{ min.}$ , calculate the sterilization time  $P_t$  required for the food to reach  $T = 122\text{ }^\circ\text{C}$ :

The equation for the sterilization time  $P_t$  of a **liquid** (convection type) food is:

$$P_t = f_h \cdot {}^{10}\log\left(j_h \cdot \frac{T_R - T_{ih}}{T_R - T}\right) - 0.4 \cdot L \quad (\text{see equation (1) at start of Chapter 1})$$

in which (all given):  $f_h = 3.5\text{ min.};$

$$j_h = 1.0;$$

$$T_{ih} = 4\text{ }^\circ\text{C};$$

$$T_R = 123\text{ }^\circ\text{C};$$

$$T = 122\text{ }^\circ\text{C};$$

$$L = 3\text{ min.}$$

Substitution in the above equation results in:

$$\begin{aligned} P_t &= 3.5 \cdot {}^{10}\log\left(1.0 \cdot \frac{123 - 4}{123 - 122}\right) - 0.4 \cdot 3 = 3.5 \cdot {}^{10}\log\left(1.0 \cdot \frac{119}{1}\right) - 1.2 \\ &= 3.5 \cdot {}^{10}\log 119 - 1.2 = 3.5 \cdot 2.076 - 1.2 = 6.1\text{ min.} \end{aligned}$$

At  **$P_t = 6.1\text{ min.}$**  after the steam was turned on, the food in the can reaches a product core temperature of  $T = 122\text{ }^\circ\text{C}$ .

**Answer 1d)** Comparing answers 1b ( $f_h = 7\text{ min.}; P_t = 13.3\text{ min.}$ ) and 1c ( $f_h = 3.5\text{ min.}; P_t = 6.1\text{ min.}$ ), it can be concluded: Reduction of  $f_h$  will **reduce** the sterilization time  $P_t$ .

**Answer 1e)** Verification of answer 1c (sterilization time  $P_t$ ) by program STUMBO.exe:

**(Answer 1e) Continued)**

The screenshot shows the Stumbo 2.2 software interface with the following data and settings:

| process temperature (°C) | operator's process time (min) | final center temp. (°C) | F value center (min) | integrated F value (min) | probability of survival (fraction) | nutrient retention (%) |
|--------------------------|-------------------------------|-------------------------|----------------------|--------------------------|------------------------------------|------------------------|
| 123                      | 6,1                           | 122                     |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |

| Calculation options  | Heat penetration & processing parameters  | F value - organism of concern - nutrient  |
|--|---|---|
| <input checked="" type="radio"/> convection-heating <input type="radio"/> conduction-heating<br><br><b>Given</b><br><input type="radio"/> operator's process time: 90 min<br><input checked="" type="radio"/> final center temperature: 122 °C<br><input type="radio"/> F value center: 3 min<br><input type="radio"/> integrated F value: 3 min<br><input type="radio"/> probability of survival: 1E-12<br><br><b>Requested</b><br><input checked="" type="checkbox"/> process time <input checked="" type="checkbox"/> final center temp.<br><input type="checkbox"/> F value center <input type="checkbox"/> integrated F value<br><input type="checkbox"/> probability of survival <input type="checkbox"/> nutrient retention | <input checked="" type="checkbox"/> evaluate a series of process temperatures<br><br>lowest or only process temp.: 123 °C<br>highest process temperature: 120 °C<br>temperature steps: 1 °C<br><br>heat penetration factor, $f_h$ : 3.5 min<br>heating lag factor, $j_h$ : 1<br>cooling lag factor, $j_c$ : 1.4<br><br>initial food temperature: 4 °C<br>come-up time retort: 3 min | reference temperature of F value: 121.1 °C<br>z value of F and/or org. of concern: 10 °C<br><br>D value of organism of concern: 0.2 min<br>reference temperature of D: 121.1 °C<br><br>container volume: 1000 g<br>contamination with org. of concern: 1 /g<br><br>z value of nutrient: 25 °C<br>D value of nutrient: 124 min<br>reference temperature of D: 121.1 °C |

Language: NL / EN    liquid food that heats and cool purely by convection    Calculate    Save...    Help    Information    Exit

**Result:**

[See grey section in the Stumbo screen print:] If the packaged food is *convection-heating* (= a liquid), and if given is that the food product should get a *final center temperature* of  $T = 122$  °C, while the *lowest or only process temp.* (= retort temperature)  $T_R = 123$  °C, then [white section in screen print] an *operator's process time*  $P_t = 6.1$  min. is needed. This  $P_t = 6.1$  min. is **exactly equal** to the manually calculated sterilization time  $P_t = 6.1$  min. of answer 1c).

## 2. Estimating the heat penetration factor $f_h$ of a packaged food from tables

**Table 2:** Some typical  $f_h$ -values for products in cylindrical cans, processed in **STATIC** retorts using steam heating. (Adapted from Holdsworth (1997: 127); Holdsworth & Simpson (2007: 157))

| Can size<br>D x H<br>[mm] | Volume<br>[cm <sup>3</sup> = ml] | CONDUCTION<br>heating (SOLID)<br>$f_h$ [min.] | CONVECTION<br>heating (LIQUID)<br>$f_h$ [min.] | Can size<br>D x H<br>[mm] | Volume<br>[cm <sup>3</sup> = ml] | CONDUCTION<br>heating (SOLID)<br>$f_h$ [min.] | CONVECTION<br>heating (LIQUID)<br>$f_h$ [min.] |
|---------------------------|----------------------------------|---|--|---------------------------|----------------------------------|---|--|
| 68.3 x 54.0               | 198                              | 25  | 4.0  | 77.8 x 115.9              | 551                              | 52  | 5.5  |
| 68.3 x 77.8               | 285                              | 34  | 4.5  | 87.3 x 114.3              | 684                              | 62  | 6.0  |
| 68.3 x 101.6              | 372                              | 39  | 5.0  | 103.2 x 119.1             | 996                              | 83  | 7.0  |
| 76.2 x 62                 | 283                              | 34  | 4.5  | 157.2 x 177.8             | 3451                             | 198   | 11.0   |
| 76.2 x 115.3              | 526                              | 47  | 4.5  |                           |                                  |   |  |

**Table 3:** Some  $f_h$ -values for **CONDUCTIVE (= SOLID)** products in cylindrical cans, in commercial sterilization processes. (adapted from Holdsworth (1997: 188))

| Product                   | Container<br>size DxH<br>[mm] | Volume<br>[cm <sup>3</sup> = ml] | Thermal<br>diffusivity $a$<br>[m <sup>2</sup> /s] | $f_h$<br>[min.] | Product             | Container<br>size DxH<br>[mm] | Volume<br>[cm <sup>3</sup> = ml] | Thermal<br>diffusivity $a$<br>[m <sup>2</sup> /s] | $f_h$<br>[min.] |
|---------------------------|-------------------------------|----------------------------------|---|-----------------|---------------------|-------------------------------|----------------------------------|---|-----------------|
| Spag. hoops/tom. sauce    | U8 73x 61                     | 230                              | $2.50 \cdot 10^{-7}$                              | 22.2            | Chicken supr. sauce | UT 73x115                     | 445                              | $1.54 \cdot 10^{-7}$                              | 49.0            |
| Corned beef               | 76x 51                        | 185                              | $1.73 \cdot 10^{-7}$                              | 28.5            | Mackerel+tom. sauce | UT 73x115                     | 445                              | $1.49 \cdot 10^{-7}$                              | 50.8            |
| Mushroom soup, cream      | A1 65x101                     | 315                              | $1.58 \cdot 10^{-7}$                              | 37.0            | Beans+tomato sauce  | UT 73x115                     | 445                              | $1.46 \cdot 10^{-7}$                              | 51.5            |
| Carrot purée              | A1 65x101                     | 315                              | $1.58 \cdot 10^{-7}$                              | 37.0            | Stewed steak        | UT 73x115                     | 445                              | $1.45 \cdot 10^{-7}$                              | 52.0            |
| Celeriac purée            | A1 65x101                     | 315                              | $1.50 \cdot 10^{-7}$                              | 39.0            | Chili con carne     | UT 73x115                     | 445                              | $1.44 \cdot 10^{-7}$                              | 52.2            |
| White wine sauce          | UT 73x115                     | 445                              | $1.64 \cdot 10^{-7}$                              | 46.0            | Minced beef         | UT 73x115                     | 445                              | $1.37 \cdot 10^{-7}$                              | 55.0            |
| Pet food                  | UT 73x115                     | 445                              | $1.57 \cdot 10^{-7}$                              | 48.0            | Beans+tomato sauce  | A2 83x114                     | 580                              | $1.46 \cdot 10^{-7}$                              | 64.6            |
| Spaghetti in tomato sauce | A2½ 99x119                    | 850                              | $2.50 \cdot 10^{-7}$                              | 49.0            |                     |                               |                                  |   |                 |

### Example calculation 2: estimation of $f_h$ and $j_h$ from tables 1, 2 and 3:

445 grams of a solid food in a cylindrical can with size Diameter x Height = 73 mm x 115 mm (sometimes called an UT can) is heated in a static retort. Make an estimation of the  $f_h$  value and of the  $j_h$  value of the canned food, using tables 1, 2, and 3.

**Worked answer 2:** According to [table 3](#) above, cans with 445 ml of solid foods and size DxH = 73x115mm have heat penetration values from  $f_h = 46.0$  min. ("white wine sauce") to  $f_h = 55.0$  min. ("minced beef"). Thus depending on the composition (viscosity) of the food in the can, the  $f_h$  will be 46 min.  $\leq f_h \leq 55$  min., with values near  $f_h = 46$  min. if the food is viscous, to values near  $f_h = 55$  min. if the food is a real solid.

According to [table 2](#), cans of 526 ml of solid food have a  $f_h = 47$  min., and cans of 551 ml of solid food have an  $f_h = 52$  min. Although the can volumes (526 ml and 551 ml) are about 20% larger than the 445 ml, the  $f_h$  values are within the range 46 min.  $\leq f_h \leq 55$  min.

According to [table 1](#), a solid food has a heating lag factor of  $j_h = 2.0$ .

### Conclusions:

- the food will have a  **$f_h$  values within the range of 46 min.  $\leq f_h \leq 55$  min.**
- the food has a **heating lag factor of  $j_h = 2.0$ .**

### Example calculation 3: estimation of $f_h$ and $j_h$ from tables:

Cylindrical cans, containing about 1000 ml of food. are heated in a static retort.

**3a)** Estimate, by using tables [1-2-3](#), the  $f_h$  and the  $j_h$  in case the food is real solid product.

**3b)** Estimate, by using tables [1-2-3](#), the  $f_h$  and the  $j_h$  for a liquid food such as apple juice.

### Worked answer 3:

**Answer 3a)** Estimate the values of  $f_h$  and  $j_h$  if the food product is a solid:

Cylindrical cans containing 1000 ml of **solid**: According to [table 2](#) a can with 996 ml of solid has a  $f_h = 83$  min. According to [table 3](#), a can with 850 ml "spaghetti in tomato sauce" has a  $f_h = 49.0$  min; a can with 590 ml of "beans in tomato sauce" ([table 3](#)) has a  $f_h = 64$  min. Most likely the "spaghetti in tomato sauce", according to its relatively low  $f_h$ , does not behave as a pure solid. So probably the best estimation will be  **$f_h = 83$  min.**

The heating lag factor for a solid food ([table 1](#)) will be  **$j_h = 2.0$ .**

**Answer 3b)** Estimate the values of  $f_h$  and  $j_h$  if the food product is a liquid:

Cylindrical cans containing 1000 ml of **liquid**: According to [table 2](#) a can with 996 ml of liquid has a  $f_h = 7.0$  min.

The heating lag factor  $j_h$  for a liquid food in a still retort ([table 1](#)) will be  $1.0 < j_h < 1.4$ .



**3. Effect of the packaging size, the packaging material, and the food texture (solid or liquid) on the heat penetration factor  $f_h$  of a packaged food product**

Table 1 lists the following equations to calculate the heat penetration factor  $f_h$ :

|   |  |
|---|--|
| <p>for <b>LIQUID</b> (= convective) foods:</p> $f_h = \frac{2.3 \cdot c_p \cdot \rho}{U \cdot S} \quad [s]$ <p>For equations of S: see <a href="#">table 4</a>.</p> $\frac{1}{U} = \frac{1}{h_A} + \frac{1}{k_W/d_W} + \frac{1}{h_B} \quad [(s \text{ m}^2 \text{ }^\circ\text{C})/J]$ <p>For values of <math>h_A</math>, <math>k_W/d_W</math>, and <math>h_B</math>: tables <a href="#">5-6-7</a></p> <p><b>4 min. &lt; <math>f_{h,LIQUID}</math> &lt; 15 min.</b></p> | <p>for <b>SOLID</b> (= conductive) foods:</p> $f_h = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^{*2}} = \frac{2.3}{a \cdot S^{*2}} \quad [s]$ <p>For equations of <math>S^{*2}</math>: see <a href="#">table 4</a>.</p> <p><math>a = k/(c_p \cdot \rho) \quad [m^2/s]</math><br/>usually <math>a \approx 1.1 \cdot 10^{-7} \text{ m}^2/s</math> to <math>1.9 \cdot 10^{-7} \text{ m}^2/s</math>.<br/>(see <a href="#">Annex 3</a> for <math>a</math> values of some foods).</p> <p><b>25 min. &lt; <math>f_{h,SOLID}</math> &lt; 200 min.</b></p> |
|---|--|

For explanation of symbols: see [Chapter 1](#).

As can be concluded from the equations above, the effect of the packaging size, of the packaging material, and of the food texture, on  $f_h$ , are included in the specific surface S or  $S^{*2}$ .

|   |  |  |
|---|--|--|
| <p><b>Table 4:</b> Equations to calculate the specific surface S of packaged liquid foods, and the adapted squared specific surface <math>S^{*2}</math> of a packaged solid foods.</p> <p>D = internal diameter; H = internal height; L = internal length; W = internal width; BD = biggest internal diameter; SD = smallest internal diameter; <math>d_w</math> = thickness of glass wall = 2.5 mm = <math>2.5 \cdot 10^{-3}</math> m.</p> <p><b>In equations below: D, H, L, W, BD, BL, <math>d_w</math>, are all in [m].</b></p> |  |  |
| Shape of packaging  | <b>LIQUID</b> (convection type) product: S [ $m^2/m^3$ ] | <b>SOLID</b> (conduction type) product: $S^{*2}$ [ $m^4/m^6$ ].<br>If <b>GLASS</b> packaging: $H^{*2} = (H + 2 \cdot d_w)^2$ ;<br>$D^{*2} = (D + 2 \cdot d_w)^2$ ;<br>$L^{*2} = (L + 2 \cdot d_w)^2$ ;<br>$W^{*2} = (W + 2 \cdot d_w)^2$ . |
| <b>Cylinder</b>   | $S = 2/H + 4/D$  | $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$ <sup>1)</sup>   |
| <b>Brick</b>  | $S = 2/H + 2/L + 2/W$                                    | $S^{*2} = 14.2 \cdot (1/H^{*2} + 1/L^{*2} + 1/W^{*2})$   |
| <b>Cube</b>   | $S = 6/D$  | $S^{*2} = 42.6/D^{*2}$   |
| <b>Oval</b>   | $S = 2/H + 2/(BD) + 2/(SD)$                              | -  |
| <b>Sphere; ball</b>   | $S = 6/D$  | $S^{*2} = 39.5/D^{*2}$   |

<sup>1)</sup> Derived from Holdsworth & Simpson (2007), p. 159 equation 5.8.

**N.B. 1:** The superscript \* at H, D, W, and S in case of solid foods is only to remind you that in case of **glass** packaging material the internal Height, the internal Diameter, the internal Width, and the internal Height each has to be increased by 2x the glass wall thickness  $d_w$ . Thus  $H^*$ ,  $D^*$ ,  $L^*$ , and  $W^*$  are *adapted* sizes.

**N.B. 2:** In case of solid foods in metal cans or in plastic pouches, the wall thickness  $d_w$  is so small, and the thermal conductivity is so high (see table 6), that the heat transfer through the walls is very rapid compared to the heat transfer from retort to outer wall of the can  $h_A$ , and the heat transfer from inner wall to core of the food  $h_B$ . Thus in case of metal or plastic, the wall thickness does NOT notably influence the overall heat transfer coefficient U.

**N.B. 3:** In case of solid foods the superscript <sup>2</sup> at  $H^{*2}$ ,  $D^{*2}$ ,  $L^{*2}$ , and  $W^{*2}$  means that the (adapted) sizes each have to be squared.

In liquid foods, the effect of the packaging material on  $f_h$  is already incorporated in the factor ( $k_W/d_W$ ) of the overall heat transfer coefficient U: U depends on the packaging wall thickness  $d_w$ , and on the packaging thermal conductivity  $k_W$  (metal, glass; plastic). See also [table 6](#).

**Example calculation 4:** calculation of  $S$  and  $S^{*2}$ ; effect of packaging material:

**4a)** Calculate the specific surface  $S$  of a cylindrical metal can with diameter  $D = 9.9$  cm and a height  $H$  of 11.9 cm, containing a liquid (= convection heating) food.

**4b)** Calculate the adapted squared specific surface  $S^{*2}$  of a cylindrical metal can with diameter  $D = 9.9$  cm and a height  $H$  of 11.9 cm, containing a solid (= conduction heating) food.

**4c)** Calculate the adapted squared specific surface  $S^{*2}$  of a cylindrical glass jar with internal diameter  $D_{\text{int}} = 9.9$  cm and an internal height  $H_{\text{int}}$  of 11.9 cm, glass wall thickness  $d_w = 2.5$  mm, containing a solid (= conduction heating) food.

**4d)** In Annex 3, find the thermal diffusivity  $a$  of Celeriac purée.

**4e)** Calculate the heat penetration factor  $f_h$  for a solid food with thermal diffusivity  $a = 0. - 1.5 \cdot 10^{-7} \text{ m}^2/\text{s}$  in a cylindrical metal can with diameter  $D = 9.9$  cm and a height  $H$  of 11.9 cm; use the  $S^{*2}$  findings from answer 4b).

**Worked answer 4:**

**Answer 4a)** Calculate the specific surface  $S$  of a cylindrical metal can with liquid food:

According to [table 4](#), for a liquid food in a cylindrical metal can, the equation for the specific surface  $S = 2/H + 4/D$ .

Given:  $H = 11.9 \text{ cm} = 0.119 \text{ m}$ ;  $D = 9.9 \text{ cm} = 0.099 \text{ m}$

Substitution in equation  $S = 2/H + 4/D$  results in  $S = (2/0.119) + (4/0.099) = 57.2 \text{ m}^2/\text{m}^3$ .

So the specific surface  $S$  of the metal can with liquid food is  **$S = 57.2 \text{ m}^2/\text{m}^3$** .

**Answer 4b)** Calculate the adapted squared specific surface  $S^{*2}$  of a cylindrical metal can with solid food:

According to [table 4](#), for a solid food in a cylindrical metal can, the equation for the adapted squared specific surface is:  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$ .

In case of metal cans, the wall material is made of a very conductive, very tiny layer of metal. So NO addition of  $d_w$  is required.

Given:  $H = 11.9 \text{ cm} = 0.119 \text{ m}$ ; so  $H^{*2} = 0.119^2 = 0.01416 \text{ m}^2$ .

$D = 9.9 \text{ cm} = 0.099 \text{ m}$ ; so  $D^{*2} = 0.099^2 = 0.009801 \text{ m}^2$ .

Substitution in equation  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$  results in

$S^{*2} = [9.93/(0.119^2)] + [23.2/(0.099^2)] = [9.93/0.01416] + [23.2/0.009801] = 3068$ .

So the adapted specific surface of the metal can with solid food is  **$S^{*2} = 3068 \text{ m}^4/\text{m}^6$** .

**Answer 4c)** Calculate the adapted squared specific surface  $S^{*2}$  of a cylindrical glass jar with solid food:

According to [table 4](#), for a solid food in a cylindrical glass jar, the equation for the adapted squared specific surface  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$ .

Glass: so  $H^{*2} = (H_{\text{int}} + 2 \cdot d_w)^2$ ; and  $D^{*2} = (D_{\text{int}} + 2 \cdot d_w)^2$ .

Given:  $H = 11.9 \text{ cm} = 0.119 \text{ m}$ ; and  $d_w = 2.5 \text{ mm} = 0.0025 \text{ m}$ ;

so  $H^{*2} = (0.119 + 2 \cdot 0.0025)^2 = 0.124^2 = 0.015376 \text{ m}^2$ .

$D = 9.9 \text{ cm} = 0.099 \text{ m}$ ; and  $d_w = 2.5 \text{ mm} = 0.0025 \text{ m}$ ;

so  $D^{*2} = (0.099 + 2 \cdot 0.0025)^2 = 0.104^2 = 0.010816 \text{ m}^2$ .

Substitution in equation  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$  results in

$S^{*2} = [9.93/(0.015376)] + [23.2/(0.010816)] = 645.8 + 2144.9 = 2791$

So the adapted specific surface of the glass jar with solid food is  **$S^{*2} = 2791 \text{ m}^4/\text{m}^6$** .

**Answer 4d)** According to Annex 3, celeriac purée has an  **$a = 1.5 \cdot 10^{-7} \text{ m}^2/\text{s}$** .

**Answer 4e)** According to [table 1](#), the heat penetration factor of a solid food in a cylindrical can is:  $f_h = 2.3 \cdot c_p \cdot \rho / (k \cdot S^{*2}) = 2.3 / (a \cdot S^{*2})$ .

We know:  $S^{*2} = 3068 \text{ m}^4/\text{m}^6$ ; see answer 4b);

$a =$  thermal diffusivity  $= 1.5 \cdot 10^{-7} \text{ m}^2/\text{s}$  (given).

Substitution of the values of  $S^{*2}$  and  $a$  in equation  $f_h = 2.3 / (a \cdot S^{*2})$  results in:

$f_h = 2.3 / (a \cdot S^{*2}) = 2.3 / (1.5 \cdot 10^{-7} \cdot 3068) = \mathbf{4998 \text{ seconds} = 83.3 \text{ min.} = f_h}$ .

The specific surface  $S$ , the adapted squared specific surface  $S^{*2}$ , and the heat penetration factor  $f_h$  can also be calculated by Excel spreadsheet "**Heat penetration factor  $f_h$  calculations.xls**". For example calculations with that spreadsheet: see [Chapter 5](#).

**4. Effect of the partial heat transfer coefficients, and of the overall heat transfer coefficient  $U$ , on the heat penetration factor  $f_h$  of a packaged food product**

**4.1 Values of the partial heat transfer coefficients  $h_A$ ,  $k_w/d_w$ , and  $h_B$**

Heat (= Joules), travelling from the retort medium to the slowest heating point (“coldest core”) of a packaged food, will encounter 3 times a heat resistance (see [Figure 1](#)):

**1)** The Joules first have to travel **from the retort medium to the outer wall of the packaging**. That number of Joules depends on the **film heat transfer coefficient  $h_A$** , or **surface heat transfer coefficient  $h_A$** . [Table 5](#) lists average values of the film heat transfer coefficient, which depends on the composition of the retort medium, and on the degree of turbulence of the retort medium (caused by rotation of the package, or by using a pump or a fan in the heat transfer medium).

As can be seen from [table 5](#), saturated condensing steam causes the highest film heat transfer coefficient:  $h_A = 12\,000 \text{ J/(s m}^2 \text{ }^\circ\text{C)}$ . However, a small fraction of air in the steam already drastically reduces the heat transfer: reason is that the non-condensing air envelopes the food package with an isolating air layer, which hinders penetration of the condensing steam.

Spraying water over packaged food, usually in a pressurized air atmosphere, also produces a rather good film heat transfer coefficient:  $h_A \approx 5\,000 \text{ J/(s m}^2 \text{ }^\circ\text{C)}$ . Stagnant water such as in an immersion bath, without turbulence, has a rather low film heat transfer coefficient.

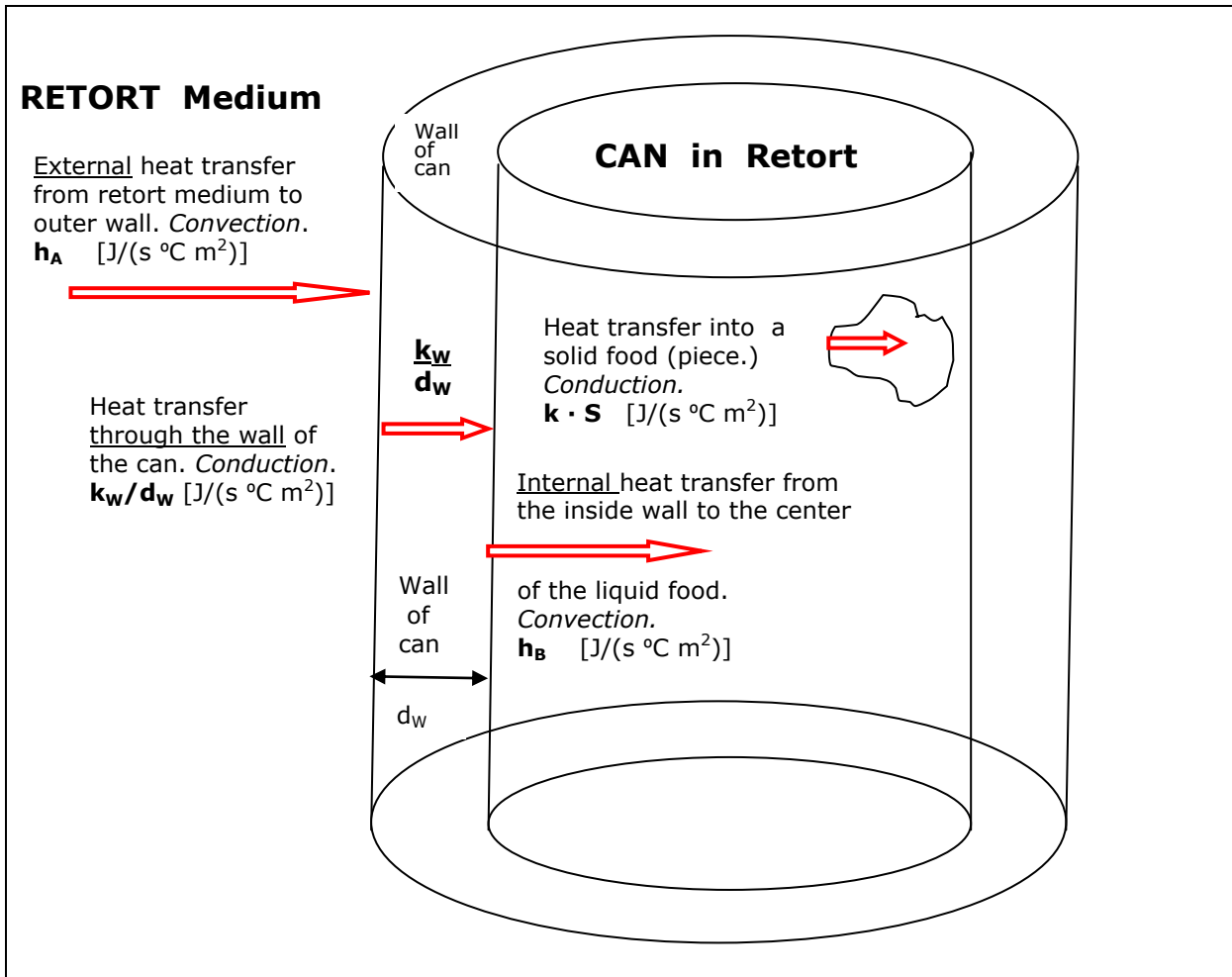
**Table 5:** Approximate values for the film heat transfer coefficient  $h_A$  from retort medium to the outer wall of the packaging [J/(s m<sup>2</sup> °C)]; [W/(m<sup>2</sup> K). <sup>1)</sup> Earle (1983: Chapter 5).

| Heat transfer medium                             | Film heat transfer coefficient $h_A$ from retort medium to outer wall of packaging |
|--|--|
| <b>Condensing steam</b>                          |  |
| Saturated condensing steam:                      | 12 000 [J/(s m <sup>2</sup> °C)] <sup>1)</sup>                                     |
| Condensing steam with 3% of air:                 | 3 500 [J/(s m <sup>2</sup> °C)] <sup>1)</sup>                                      |
| Condensing steam with 6% of air:                 | 1 200 [J/(s m <sup>2</sup> °C)] <sup>1)</sup>                                      |
| <b>Water</b>                                     |  |
| Water (spray; showering retort):                 | 5 000 [J/(s m <sup>2</sup> °C)]  |
| Water (boiling):                                 | 4 000 [J/(s m <sup>2</sup> °C)]  |
| Water (forced convection; rotating retort; fan): | 3 000 [J/(s m <sup>2</sup> °C)]  |
| Water (free convection; still retort):           | 1 500 [J/(s m <sup>2</sup> °C)]  |
| Water (stagnant; immersion bath);                | 800 [J/(s m <sup>2</sup> °C)]  |
| <b>Air</b>                                       |  |
| Air (frying oven; forced convection; fan):       | 20 - 120 [J/(s m <sup>2</sup> °C)]   |
| Air (flowing; 3m/s):                             | 30 [J/(s m <sup>2</sup> °C)]   |
| Air (stagnant):                                  | 6 [J/(s m <sup>2</sup> °C)] <sup>1)</sup>  |

**2)** Joules from the retort medium, arriving at the outer wall of the packaging material, next have to pass **through the wall of the packaging material**. The heat transfer through that wall will be much faster (see [table 6](#)) if the wall of the packaging material has a high thermal conduction  $k_w$  (e.g. aluminum and plated metal; column 2 of [table 6](#)), and if the wall thickness  $d_w$  is very small (plated metal; aluminum; plastic; column 3 of [table 6](#)). Thus the heat transfer depends on the **quotient  $k_w/d_w$**  (column 4 of [table 6](#)). Thus joules will pass much more rapidly through the wall of a metal can than through the wall of a glass jar.

**Table 6:** Heat transfer by conduction through the wall of packaging material

| Material                            | Thermal conductivity $k_w$ [J/(s m °C)]; | Wall thickness $d_w$ [mm] | Coefficient $k_w/d_w$ [J/(s m <sup>2</sup> °C)]; |
|-------------------------------------|--|---------------------------|--|
| Plated metal (cans)                 | 60                                       | 0.23                      | 260 000  |
| Glass (jars; bottles)               | 0.7                                      | 2.5                       | 280  |
| Alumina (cans)                      | 280                                      | 0.2                       | 1 400 000  |
| Plastic/compound (bottles; pouches) | 1.4                                      | 0.05                      | 28 000   |



**Figure 1:** Heat transfer from the retort medium to the slowest heating point of a packaged food.

During heating, Joules (= heat) will travel .....

- from the retort medium (steam; showering water, etc.) to the outside wall of the container;
- from the outside wall, through the wall (metal; glass) of the container, to the inside wall;
- from the inside wall of the container to the coldest center of the liquid or solid food.

In case of a liquid food containing solid pieces, Joules also will travel .....

- from the liquid food to the outside of the submerged food pieces;
- from the outside into the solid food pieces, to the coldest centre in the food piece.

For approximate values the partial heat transfer coefficients  $h_A$ : see [table 5](#);  
 $k_w/d_w$ : see [table 6](#);  
 $h_B$ : see [table 7](#).

For estimated values of the overall heat transfer coefficient  $U$  from the retort medium to the slowest heating point of a liquid food product: see [table 8](#).

**3)** Joules, arriving at the inner side of the packaging wall, next have to travel **from the inner wall to the centre of the food**. This **inner heat transfer coefficient  $h_B$**  primarily depends on the consistency of the food (liquid, viscous, or solid); see [table 7](#) and [fig. 1](#).

In case of a **liquid food**, the heat transfer from the inside packaging wall to the centre of the food will be more rapid if there is more turbulence: e.g. rotation, and/or low food viscosity.

In case of a **solid food**, the heat transfer from the inside packaging wall to the centre of the food will be more rapid if the food has a higher thermal conductivity  $k$ , and the distance to the food centre is smaller (i.e. the adapted squared specific surface  $S^{*2}$  is smaller).

**Table 7: Approximate** values of the heat transfer coefficient  $h_B$  **from the inner wall of the packaging to the coldest center of the food product** [J/(s m<sup>2</sup> °C)]; [W/(m<sup>2</sup> K)].

| Food properties                 | Retort properties                     | Inner heat transfer coefficient $h_B$<br>[J/(s m <sup>2</sup> °C)];<br>[W/(m <sup>2</sup> K)]. | Food examples  |
|---------------------------------|---------------------------------------|--|--|
| Very low viscosity liquid foods | NO rotation (free convection)         | 1 500 J/(s m <sup>2</sup> °C)  | Canned liquids such as milk, single strength juices and lemonades, beer, |
|                                 | Rotation (forced convection)          | 3 000 J/(s m <sup>2</sup> °C)  |  |
| Viscous foods                   | Rotation (forced convection)          | 500 - 1000 J/(s m <sup>2</sup> °C)   | Porridge, sauces; cream soups; fruit syrups and concentrates,            |
|                                 | No rotation (conduction + convection) | 100 - 500 J/(s m <sup>2</sup> °C)  |  |
| Very viscous foods              | Rotation (forced convection)          | 40 - 50 J/(s m <sup>2</sup> °C)  | Glucose syrup 84 °Brix   |
|                                 | No rotation (conduction)              | Behaves as solid food;<br>$k \cdot S =$<br>10 - 30 J/(s m <sup>2</sup> °C)                     |  |
| Solid foods                     | Conduction                            | $k \cdot S =$<br>10 - 30 J/(s m <sup>2</sup> °C)   | Cheese, canned meats<br>canned spinach.                                  |

#### 4.2 The overall heat transfer coefficient $U$ , and its effect on $f_h$

In case of a **liquid food**, the total heat transfer from the retort medium, through the wall of the packaging material, to the coldest centre of the food, depends on the **overall heat transfer coefficient  $U$** :

$$\frac{1}{U} = \frac{1}{h_A} + \frac{1}{k_W/d_W} + \frac{1}{h_B} \quad \text{[(s m}^2 \text{ °C)/J]} \quad \text{(for values of } h_A, k_W/d_W, \text{ and } h_B: \text{ see tables 5, 6, and 7; for estimated values of } U_{LIQUID}: \text{ see table 8).}$$

For a **liquid food**, the **overall heat transfer coefficient  $U$**  can also be roughly **estimated** from [table 8](#).

If the specific surface  $S$  of the packaging is known, if also the overall heat transfer coefficient  $U$  is known, and both the food properties specific heat  $c_p$  and food density  $\rho$  are known, then the heat penetration factor  $f_h$  of a packaged **liquid food** can be calculated by (see [table 1](#)):

$$f_{h,LIQUID} = \frac{2.3 \cdot c_p \cdot \rho}{U \cdot S} \quad [s]$$

In case of a **solid food**, the total heat transfer from the retort medium, through the wall of the packaging material, to the centre of the food strongly depends on the thermal conductivity  $k$  of the solid food, and on the dimensions of the packaging material, incorporated in  $S^{*2}$ .

If  $k$ ,  $c_p$ ,  $\rho$ , and the adapted squared specific surface of the packaging  $S^{*2}$  are known, the heat penetration factor  $f_h$  of a packaged **solid food** can be calculated by (see [table 1](#)):

$$f_{h,SOLID} = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^{*2}} = \frac{2.3}{a \cdot S^{*2}} \quad [s]$$

Equations to calculate  $S$  and of  $S^{*2}$  can be found in [table 4](#).  
 $S$  and  $S^{*2}$  can also be calculated by the Excel spreadsheet "Heat penetration factor  $f_h$  calculations.xls". For worked examples see [Chapter 5](#).

Values of the thermal diffusivity  $a$  of several foods can be found in [Annex 3](#).

**Table 8: ESTIMATED** overall heat transfer coefficient U for **liquid foods** in several retort types.

| Viscosity of packaged liquid food  | <b>Packaging material.</b> Rotation or still retort. <i>Retort heating medium.</i>  | <b>Estimated</b> Overall Heat Transfer Coefficient U |
|--|---|--|
| <b>Very low viscous liquid food</b> (watery).<br><i>Canned liquids such as milk, single strength juices, vegetable juices, lemonades, beer, etc.</i> | <b>In metal can.</b> Rotating retort. <i>Retort medium = saturated condensing steam.</i>  | U ≈ <b>2375</b> J/(s m <sup>2</sup> °C)              |
|  | <b>In metal can.</b> Rotating retort. <i>Retort medium = showering water.</i>   | U ≈ <b>1850</b> J/(s m <sup>2</sup> °C)              |
|  | <b>In metal can.</b> Still retort; no rotation. <i>Retort medium = saturated condensing steam.</i>                                      | U ≈ <b>1325</b> J/(s m <sup>2</sup> °C)              |
|  | <b>In metal can.</b> Still retort; no rotation. <i>Retort medium = showering water.</i>   | U ≈ <b>1150</b> J/(s m <sup>2</sup> °C)              |
|  | <b>In metal can.</b> Still retort; no rotation. <i>Retort medium = full water.</i>  | U ≈ <b>750</b> J/(s m <sup>2</sup> °C)               |
|  | <b>In glass jar or bottle.</b> Rotating retort. <i>Retort medium = saturated condensing steam or showering water</i>                    | U ≈ <b>240</b> J/(s m <sup>2</sup> °C)               |
|  | <b>In glass jar or bottle.</b> Still retort; no rotation. <i>Retort medium = saturated condensing steam or showering water.</i>         | U ≈ <b>225</b> J/(s m <sup>2</sup> °C)               |
|  | <b>In glass jar or bottle.</b> Still retort; no rotation. <i>Retort medium = full water.</i>  | U ≈ <b>200</b> J/(s m <sup>2</sup> °C)               |
| <b>Viscous liquid food</b><br><i>Porridge, sauces; cream soups; fruit syrups and fruit concentrates, etc</i>   | <b>In metal can.</b> Rotating retort. <i>Retort medium = saturated condensing steam.</i>  | U ≈ <b>480</b> J/(s m <sup>2</sup> °C)               |
|  | <b>In metal can.</b> Rotating retort. <i>Retort medium = showering water.</i>   | U ≈ <b>450</b> J/(s m <sup>2</sup> °C)               |
|  | <b>In metal can.</b> Still retort; no rotation. <i>Retort medium = saturated condensing steam or showering water.</i>                   | U ≈ <b>100</b> J/(s m <sup>2</sup> °C)               |
|  | <b>In glass jar or bottle.</b> Rotating retort. <i>Retort medium = saturated condensing steam or showering water</i>                    | U ≈ <b>175</b> J/(s m <sup>2</sup> °C)               |
|  | <b>In glass jar or bottle.</b> Still retort; no rotation. <i>Retort medium = saturated condensing steam or showering water.</i>         | U ≈ <b>70</b> J/(s m <sup>2</sup> °C)                |
| <b>Very viscous food</b><br><i>Glucose syrup 84 °Brix</i>  | <b>In can, glass jar or bottle.</b> Rotating retort. <i>Retort medium = saturated steam or showering water.</i>                         | U ≈ <b>35</b> J/(s m <sup>2</sup> °C)                |
|  | <b>In can, glass jar or bottle.</b> Still retort; no rotation. <i>Retort medium = saturated steam or showering water or full water.</i> | Use equations for a <b>SOLID</b> food                |

**Example calculation 5:** calculation of  $f_{h, LIQUID}$  from equations:

Full cream milk with 3.5 mass% of fat, filled in a cylindrical glass jar of internal diameter 8.73 cm and internal height 11.43 cm, has a specific heat of  $c_p = 3890 \text{ J/(kg } ^\circ\text{C)}$ , a thermal conductivity of  $k = 0.58 \text{ J/(s m } ^\circ\text{C)}$ , a density of  $\rho = 1028 \text{ kg/m}^3$ , and a thermal diffusivity of  $\alpha = 0.145 \cdot 10^{-6} \text{ m}^2/\text{s}$ . Thickness of the jar glass walls  $d_w = 2.5 \text{ mm}$ .

Make an estimated calculation of the heat penetration factor of such a glass jar with full cream milk in a rotating showered water retort.

**Worked answer 5:**

According to [table 1](#), for a liquid in a glass jar, the equations are:

|   |   |
|---|---|
| $f_h = \frac{2.3 \cdot c_p \cdot \rho}{U \cdot S} \quad [\text{s}]$ | $\frac{1}{U} = \frac{1}{h_A} + \frac{1}{k_w/d_w} + \frac{1}{h_B} \quad [(\text{s m}^2 \text{ } ^\circ\text{C})/\text{J}]$ |
|---|---|

And according to [table 4](#), for a cylinder with liquid, S is  $S = 2/H + 4/D$

Calculation of the **overall heat transfer coefficient U** requires  $h_A$ ,  $k_w/d_w$ , and  $h_B$ .

- $h_A$  = film heat transfer coefficient from retort medium to outside of jar.  
According to [table 5](#),  $h_A$  of a showered water retort is:  $h_A = 5\,000 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$ .
- $k_w/d_w$  = heat transfer through glass wall.  
According to [table 6](#), for glass packaging  $k_w/d_w = 280 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$ .
- $h_B$  = heat transfer coefficient from inside of glass wall to centre of milk jar.  
According to [table 7](#), for a low viscosity liquid in a rotating retort (= forced convection), the heat transfer coefficient  $h_B = 3\,000 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$ .

Substitution of  $h_A$ ,  $k_w/d_w$ , and  $h_B$  in the equation of the overall heat transfer coefficient U results in

$$\frac{1}{U} = \frac{1}{5000} + \frac{1}{280} + \frac{1}{3000} = 0.0002 + 0.00357 + 0.00333 = 0.00410$$

Thus  **$U = 1/0.00410 = 244 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$** .

(General rule: U is always smaller than the smallest value of  $h_A$ ,  $k_w/d_w$ , and  $h_B$ )

Calculation of the **specific surface S** of a liquid in a cylindrical glass jar requires H and D. (in case of a **liquid** in a glass packaging, NO addition of the glass wall diameter  $d_w$  is required when calculating S;  $d_w$  is already included in the value of U).

- H = internal height;  $H = 11.43 \text{ cm} = 0.1143 \text{ m}$ .
- D = internal diameter;  $D = 8.73 \text{ cm} = 0.0873 \text{ m}$ .

Substitution of H and D in the equation of the specific surface S results in:

$$S = 2/H + 4/D = 2/0.1143 + 4/0.0873 = 17.50 + 45.87 = \mathbf{63.32 \text{ m}^2/\text{m}^3} = \mathbf{S}$$

To calculate the **heat penetration factor  $f_h$**  of a liquid in a packaging, the equation is

$$f_h = \frac{2.3 \cdot c_p \cdot \rho}{U \cdot S} \quad [\text{s}] \quad (\text{table 1})$$

in which:

- $c_p = 3890 \text{ J/(kg } ^\circ\text{C)}$  (given);
- $\rho = 1028 \text{ kg/m}^3$  (given);
- $U = 244 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$  (calculated above)
- $S = 63.32 \text{ m}^2/\text{m}^3$  (calculated above)

Substitution in the equation for  $f_h$  results in:

$$f_h = \frac{2.3 \cdot c_p \cdot \rho}{U \cdot S} = \frac{2.3 \cdot 3890 \cdot 1028}{244 \cdot 63.32} = \frac{9197516}{15460} = \mathbf{595 \text{ seconds} = 9.9 \text{ min.}}$$

**Estimated** heat penetration factor  $f_{h, LIQUID}$  of a glass jar of full cream milk in a rotating showered water retort:  **$f_h = 9.9 \text{ min.}$**

**Example calculation 6:** calculation of  $f_{h, \text{SOLID}}$  from equations:

Lean meat, filled in a brick shaped plated metal can of internal length  $L = 9.0$  cm, internal width  $W = 8.5$  cm, and internal height  $H = 4.4$  cm, has a specific heat of  $c_p = 3630$  J/(kg °C), a thermal conductivity of  $k = 0.51$  J/(s m °C), and a density of  $\rho = 1067$  kg/m<sup>3</sup>.

**6a)** Estimate the heating lag factor  $j_h$  of the can with lean meat food product, using table 1.

**6b)** Make an estimated calculation of the heat penetration factor  $f_h$  of such a can with lean meat in a saturated steam retort.

**Worked answer 6:**

**Answer 6a)** Lean meat is a solid food.

So, according to [table 1](#), a solid food product has a **heating lag factor  $j_h = 2.0$** .

**Answer 6b)** Lean meat is a solid food.

So, according to [table 1](#) and [table 4](#), for a solid food in a brick-shaped metal can, the following equations apply:

$$f_h = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^{*2}} \quad [\text{s}] \quad (\text{table 1})$$

and  $S^{*2} = 14.2 \cdot (1/H^{*2} + 1/L^{*2} + 1/W^{*2}) \quad [\text{m}^4/\text{m}^6] \quad (\text{table 4})$

Factors in these equation for  $f_h$ :

$c_p = 3630$  J/(kg °C) (given);

$\rho = 1067$  kg/m<sup>3</sup> (given);

$k = 0.51$  J/(s m °C) (given);

$S^{*2}$ : has to be calculated.

Calculation of  $S^{*2}$ : As the packaging material is metal, and NOT glass, addition of  $2 \cdot d_w$  to the internal dimensions  $H$ ,  $L$  and  $W$  of the packaging material is not required.

So:  $H = 4.4$  cm = 0.044 m (given); thus  $H^{*2} = 0.044^2 = 0.001936$  m<sup>2</sup>;

$L = 9.0$  cm = 0.090 m (given); thus  $L^{*2} = 0.090^2 = 0.0081$  m<sup>2</sup>;

$W = 8.5$  cm = 0.085 m (given); thus  $W^{*2} = 0.085^2 = 0.007225$  m<sup>2</sup>.

Substitution in  $S^{*2} = 14.2 \cdot (1/H^{*2} + 1/L^{*2} + 1/W^{*2})$  results in

$$S^{*2} = 14.2 \cdot (1/0.001936 + 1/0.0081 + 1/0.007225) = 14.2 \cdot (778.4) = 11053 \text{ m}^4/\text{m}^6.$$

Substitution of the values of  $c_p$ ,  $\rho$ ,  $k$ , and  $S^{*2}$  in the equation

$$f_h = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^{*2}}$$

results in

$$f_h = \frac{2.3 \cdot 3630 \cdot 1067}{0.51 \cdot 11053} = \frac{8908383}{5637} = 1580 \text{ seconds} = 26.3 \text{ min.}$$

**Estimated** heat penetration factor  $f_{h, \text{SOLID}}$  of lean meat in a brick-shaped metal package in a saturated steam retort:  **$f_h = 26.3$  min.**

The specific surface  $S$ , the adapted squared specific surface  $S^{*2}$ , and the heat penetration factor  $f_h$  can also be calculated by Excel spreadsheet "**Heat penetration factor  $f_h$  calculations.xls**", downloadable from the sites Academia.edu and ResearchGate.net. For some example calculations with that Excel spreadsheet: see [Chapter 5](#)



### 5. Excel program "Heat penetration factor $f_h$ calculations.xls" calculates the heat penetration factor $f_h$ , and the specific surface $S$ and $S^*$ , of packaged foods

In [Example calculation 5](#), the value of  $f_{h \text{ LIQUID}}$  was manually calculated.

This [Chapter 5](#) will show that a more rapid computer calculation is possible.

[Example calculation 5](#) stated: Full cream milk with 3.5 mass% of fat, filled in a cylindrical glass jar of diameter 8.73 cm and height 11.43 cm, has a specific heat of  $c_p = 3890 \text{ J/(kg } ^\circ\text{C)}$ , a thermal conductivity of  $k = 0.58 \text{ J/(s m } ^\circ\text{C)}$ , a density of  $\rho = 1028 \text{ kg/m}^3$ . Thickness of the jar glass walls  $d_w = 2.5 \text{ mm}$ . Calculate the heat penetration factor of such a jar with full cream milk in a rotating showered water retort.

Now we will use Excel program "Heat penetration factor  $f_h$  calculations.xls" to calculate the heat penetration factor  $f_h$  of the cylindrical glass jar of full cream milk.

- Start Excel program "Heat penetration factor  $f_h$  calculations.xls"

(downloadable from Academia.edu or ResearchGate.net).

For a screen print of the Excel program: see at the next 2 pages.

- At "INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD", in the yellow cells,

type: Specific heat food product  $C_p = 3890 \text{ J/(kg } ^\circ\text{C)}$ ;

Density food product  $\rho = 1028 \text{ kg/m}^3$ ;

Thermal conductivity food product  $k \text{ product} = 0.58 \text{ J/(s m } ^\circ\text{C)}$ .

- The food product full cream milk is a **liquid**; thus in the spreadsheet you have to include a value in the cell of the "Overall heat transfer coefficient  $U$ ".

\* In [answer 5](#), the value of  $U$  was calculated:  **$U = 244 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$** .

\* From [table 8](#) (= table 3 in the Excel spreadsheet), a rough estimation can be made of the value of  $U$  of a glass jar with full cream milk (very low viscous liquid) in a rotating showered water retort:

For a very low viscous food in a glass jar or glass bottle, in a rotating retort with heating medium saturated steam or showering water, an rough estimation of the overall heat transfer coefficient is:  **$U \approx 240 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$** .

[This rough estimation is in **very good agreement** with the calculated  $U = 244 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$  in [answer 5](#)].

In the spreadsheet, let us use the calculated value of  $U$ :  **$U = 244 \text{ J/(s m}^2 \text{ } ^\circ\text{C)}$** .

- At "INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT",

The package shape of the food product is **cylinder**, thus in the spreadsheet fill up section **a)**: **CYLINDER SHAPED PACKAGED PRODUCT**.

Use the yellow cells on the left side ("LIQUID"), to insert the given package dimensions:

Product diameter  $D_{\text{CYL}} = 8.73 \text{ cm} = 0.0873 \text{ m}$ .

Product height  $H_{\text{CYL}} = 11.43 \text{ cm} = 0.1143 \text{ m}$ .

Thickness glass wall  $d_w = \text{XXXXXXXX}$ .

In a liquid food, the thickness of the glass wall has already been included in the value of  $U$ , the overall heat transfer coefficient. So at "thickness glass wall  $d_w$  =" NO value should be inserted.

**Results:** See computer print below, at section **a) CYLINDER SHAPED PACKAGED PRODUCT "CYL"**, at the **left** hand column Liquid (for the milk is a liquid):

The computer calculates the specific surface  $S_{\text{CYL}} = 63.32 \text{ m}^2/\text{m}^3$ .

(This  $S_{\text{CYL}} = 63.32 \text{ m}^2/\text{m}^3$  is exactly equal to manually calculated  $S = 63.32 \text{ m}^2/\text{m}^3$  in [answer 5](#)).

Next the computer calculates the heat penetration factor  **$f_{h_{\text{CYL}}} = 595 \text{ s}$**  (= 9.9 min.).

(This  $f_{h_{\text{CYL}}} = 595 \text{ s}$  is exactly equal to manually calculated  $f_h = 595 \text{ s}$  in [answer 5](#)).

## Heat Penetration Factor fh Calculations for Liquid and Solid Foods, based on Package Size, Physical Product Properties, and Retort Medium Version 1.6

|                                | LIQUID food product                              | SOLID food product                                    |
|--------------------------------|--|---|
| <b>Heat penetration factor</b> | $fh = \frac{2.3 \cdot Cp \cdot \rho}{U \cdot S}$ | $fh = \frac{2.3 \cdot Cp \cdot \rho}{k \cdot S^{*2}}$ |

### INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD PRODUCT:

pale yellow

 = input cell

pale blue

 = calculation results

Specific heat food product **Cp** = 3890 J/(kg °C)

Density food product **ρ** = 1028 kg/m<sup>3</sup>

Thermal conductivity food product **k** = 0.58 J/(s m °C)

**In case of LIQUID foods:** Overall heat transfer coefficient **U** = 244 J/(s m<sup>2</sup> °C);

### INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT:

#### a) CYLINDER SHAPED PACKAGED PRODUCT "CYL":

**Liquid:**  $S_{CYL} = 2/H_{CYL} + 4/D_{CYL}$

Product diameter  $D_{CYL}$  = 0.0873 m

Product height  $H_{CYL}$  = 0.1143 m

Thickness glass wall  $dw$  = XXXXXXXX

Specific Surface  $S_{CYL}$  = 63.3168277 m<sup>2</sup>/m<sup>3</sup>

Max. volume of package  $V$  = 0.68382406 liters

**Solid:**  $S^{*2}_{CYL} = 9,93/H^{*2}_{CYL} + 23,2/D^{*2}_{CYL}$

diam.  $D_{CYL}$  = 0.102 m

height  $H_{CYL}$  = 0.112 m

glass  $dw$  = 0 in **meters!!!** <sup>1)</sup>

$S^{*2}_{CYL}$  = 3021.52509 m<sup>4</sup>/m<sup>6</sup>

Max. volume  $V$  = 0.91471968 Liters

<sup>1)</sup> Usually, glass wall thickness  $dw$  = 0.0025 m (= 2.5 mm)

### RESULTS:

**LIQUID food  
heat penetration factor**

$fh_{CYL}$  = 595.33522 seconds.

**SOLID food heat penetration factor**

$fh_{CYL}$  = 5248.2722 seconds.

#### b) BRICK SHAPED PACKAGED PRODUCT "BR":

**Liquid:**  $S_{BR} = 2/H_{BR} + 2/L_{BR} + 2/W_{BR}$

Product height  $H_{BR}$  = 0.05 m

Product length  $L_{BR}$  = 0.12 m

Product width  $W_{BR}$  = 0.1 m

Specific Surface  $S_{BR}$  = 76.6666667 m<sup>2</sup>/m<sup>3</sup>

Max. volume of package  $V$  = 0.6 liters

**Solid:**  $S^{*2}_{BR} = 14,2 \cdot (1/H^{*2}_{BR} + 1/L^{*2}_{BR} + 1/W^{*2}_{BR})$

height  $H_{BR}$  = 0.044 m

length  $L_{BR}$  = 0.09 m

width  $W_{BR}$  = 0.085 m

$S^{*2}_{BR}$  = 11053.1951 m<sup>4</sup>/m<sup>6</sup>

Max. volume  $V$  = 0.3366 liters

| <b>RESULTS:</b>   |  |
|---|--|
| <p><b><u>LIQUID</u> food heat penetration factor</b></p> <p style="text-align: right;"><b><math>fh_{BR} =</math></b> <input style="width: 100px;" type="text" value="491.67049"/> seconds.</p>  | <p><b><u>SOLID</u> food heat penetration factor</b></p> <p style="text-align: right;"><b><math>fh_{BR} =</math></b> <input style="width: 100px;" type="text" value="1434.6789"/> seconds.</p>  |
| <b>c) CUBE SHAPED PACKAGED PRODUCT "CUBE":</b>  |  |
| <p><b>Liquid:</b> <math>S_{CUBE} = 6/D_{CUBE}</math></p> <p>Diameter <math>D_{CUBE} =</math> <input style="width: 100px;" type="text" value="0.11"/> m</p> <p>Specific Surface <math>S_{CUBE} =</math> <input style="width: 100px;" type="text" value="54.5454545"/> <math>m^2/m^3</math></p> <p>Max. volume of package <math>V =</math> <input style="width: 100px;" type="text" value="1.331"/> liters</p>  | <p><b>Solid:</b> <math>S^{*2}_{CUBE} = 42,6/D^{*2}_{CUBE}</math></p> <p>diam. <math>D_{CUBE} =</math> <input style="width: 100px;" type="text" value="0.08"/> m</p> <p><math>S^{*2}_{CUBE} =</math> <input style="width: 100px;" type="text" value="6656.25"/> <math>m^4/m^6</math></p> <p>Max. volume <math>V =</math> <input style="width: 100px;" type="text" value="0.512"/> liters</p>      |
| <b>RESULTS:</b>   |  |
| <p><b><u>LIQUID</u> food heat penetration factor</b></p> <p style="text-align: right;"><b><math>fh_{CUBE} =</math></b> <input style="width: 100px;" type="text" value="691.07019"/> seconds.</p>  | <p><b><u>SOLID</u> food heat penetration factor</b></p> <p style="text-align: right;"><b><math>fh_{CUBE} =</math></b> <input style="width: 100px;" type="text" value="2382.3904"/> seconds.</p>  |
| <b>d) OVAL SHAPED PACKAGED PRODUCT "ov":</b>  |  |
| <p><b>Liquid:</b> <math>S_{OV} = 2/H_{OV} + 2/(BD) + 2/(SD)</math></p> <p>Product height <math>H_{OV} =</math> <input style="width: 100px;" type="text" value="0.03"/> m</p> <p>Biggest diameter <math>BD =</math> <input style="width: 100px;" type="text" value="0.1"/> m</p> <p>Smallest diameter <math>SD =</math> <input style="width: 100px;" type="text" value="0.07"/> m</p> <p>Specific Surface <math>S_{OV} =</math> <input style="width: 100px;" type="text" value="115.238095"/> <math>m^2/m^3</math></p> |  |
| <b>RESULTS:</b>   |  |
| <p><b><u>LIQUID</u> food heat penetration factor</b></p> <p style="text-align: right;"><b><math>fh_{OV} =</math></b> <input style="width: 100px;" type="text" value="327,1031"/> seconds.</p>   |  |
| <b>e) SPHERE = BALL SHAPED PRODUCT "BALL":</b>  |  |
| <p><b>Liquid:</b> <math>S_{BALL} = 6/D_{BALL}</math></p> <p>Product diameter <math>D_{BALL} =</math> <input style="width: 100px;" type="text" value="0.04"/> m</p> <p>Specific Surface <math>S_{BALL} =</math> <input style="width: 100px;" type="text" value="150"/> <math>m^2/m^3</math></p> <p>Max. volume of package <math>V =</math> <input style="width: 100px;" type="text" value="0.03349333"/> liters</p>  | <p><b>Solid:</b> <math>S^{*2}_{BALL} = 39,5/D^{*2}_{BALL}</math></p> <p>diam. <math>D_{BALL} =</math> <input style="width: 100px;" type="text" value="0.06"/> m</p> <p><math>S^{*2}_{BALL} =</math> <input style="width: 100px;" type="text" value="10972.2222"/> <math>m^4/m^6</math></p> <p>Max. volume <math>V =</math> <input style="width: 100px;" type="text" value="0.11304"/> liters</p> |
| <b>RESULTS:</b>   |  |
| <p><b><u>LIQUID</u> food heat penetration factor</b></p> <p style="text-align: right;"><b><math>fh_{BALL} =</math></b> <input style="width: 100px;" type="text" value="251.29825"/> seconds.</p>  | <p><b><u>SOLID</u> food heat penetration factor</b></p> <p style="text-align: right;"><b><math>fh_{BALL} =</math></b> <input style="width: 100px;" type="text" value="1445.2666"/> seconds.</p>  |

**Example calculation 7:** Computer calculation of  $f_{h\text{ SOLID}}$ ; sterilization time  $P_t$ :

Lean meat, filled in a brick shaped plated metal can of length  $L = 9.0$  cm, width  $W = 8.5$  cm, and height  $H = 4.4$  cm, has a specific heat of  $c_p = 3630$  J/(kg °C), a thermal conductivity of  $k = 0.51$  J/(s m °C), and a density of  $\rho = 1067$  kg/m<sup>3</sup>.

The heating lag factor  $j_h$  of the can with solid lean meat food product  $j_h = 2.0$ .

**7a)** Use Excel program "Heat penetration factor  $f_h$  calculations.xls" to calculate the heat penetration factor  $f_h$  if the brick shaped metal can is sterilized in a saturated steam retort.

**7b)** The initial temperature of the food in the can, at the start of the heating process, is  $T_{ih} = 22$  °C.

The retort temperature = sterilization temperature will be  $T_R = 122$  °C.

The come-up time C.U.T. of the saturated steam retort is  $L = 6$  min.

The product temperature at the start of the cooling phase should be  $T = 118$  °C.

Calculate the required sterilization time  $P_t$ ; use the  $P_t$  [equation \(1\)](#) above [table 1](#).

**7c)** Verify the manually calculated sterilization time  $P_t$  of answer 7b) by using computer program STUMBO.exe (STUMBO.exe is available on CD-ROM from [j.w.mrouweler@freeler.nl](mailto:j.w.mrouweler@freeler.nl)).

**Worked answer 7:**

**Answer 7a):** Use Excel program "Heat penetration factor  $f_h$  calculations.xls" to calculate the heat penetration factor  $f_h$  of solid lean meat in a brick shaped metal can, sterilized in saturated steam.

- Start Excel program "Heat penetration factor  $f_h$  calculations.xls".

- At "INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD", in the yellow cells, type  
Specific heat food product  $C_p = 3630$  J/(kg °C);  
Density food product  $\rho = 1067$  kg/m<sup>3</sup>;  
Thermal conductivity food product  $k$  product =  $0.51$  J/(s m °C)

As the food product is a **solid**, there is no need to include a value in the cell of the "Overall heat transfer coefficient  $U$ ".

- At "INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT",

The package shape of the food product is **brick**, thus **fill up section b)**:

As lean meat is a solid, use the yellow cells on the [right](#) side ("SOLID"), to include the package dimensions:

Product height  $H_{BR} = 4.4$  cm =  $0.044$  m.

Product length  $L_{BR} = 9.0$  cm =  $0.09$  m.

Product width  $W_{BR} = 8.5$  cm =  $0.085$  m.

**Results:** (See computer print below at section **b) BRICK SHAPED PACKAGED PRODUCT "BR"**, at the right hand column Solid):

The computer then calculates the specific surface  $S^*_{BR} = 11053$  m<sup>4</sup>/m<sup>6</sup>  
(this is exactly equal to manually calculated  $S^*_{BR} = 11053$  m<sup>4</sup>/m<sup>6</sup> in [answer 6b](#)).

Next the computer calculates heat penetration factor brick  **$f_{hBR} = 1580$  s (= 26.3 min.)**

(this is exactly equal to manually calculated  $f_h = 1580$  s =  $26.3$  min. in [answer 6b](#)).

See section **b) BRICK SHAPED PACKAGED PRODUCT "BR"** in the screenprint below:

**Heat Penetration Factor  $f_h$  Calculations  
for Liquid and Solid Foods,  
based on Package Size, Physical Product Properties, and Retort Medium  
Version 1.6**

|                                | <b>LIQUID</b> food product                       | <b>SOLID</b> food product                             |
|--------------------------------|--|---|
| <b>Heat penetration factor</b> | $fh = \frac{2.3 \cdot Cp \cdot \rho}{U \cdot S}$ | $fh = \frac{2.3 \cdot Cp \cdot \rho}{k \cdot S^{*2}}$ |

**INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD PRODUCT:**

|             |                       |
|-------------|-----------------------|
| pale yellow | = input cell          |
| pale blue   | = calculation results |

|  |      |                   |
|--|------|-------------------|
| Specific heat food product <b>Cp</b> =       | 3630 | J/(kg °C)         |
| Density food product <b>ρ</b> =              | 1067 | kg/m <sup>3</sup> |
| Thermal conductivity food product <b>k</b> = | 0.51 | J/(s m °C)        |

**In case of LIQUID foods:** Overall heat transfer coefficient **U** =  J/(s m<sup>2</sup> °C); see table 3

**INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT:**

**a) CYLINDER SHAPED PACKAGED PRODUCT "CYL":**

|   |   |
|---|---|
| <b>Liquid:</b> $S_{CYL} = 2/H_{CYL} + 4/D_{CYL}$  | <b>Solid:</b> $S^{*2}_{CYL} = 9,93/H^{*2}_{CYL} + 23,2/D^{*2}_{CYL}$            |
| Product diameter $D_{CYL}$ = <input type="text"/> 0083 m                                    | diam. $D_{CYL}$ = <input type="text"/> 0.102 m                                  |
| Product height $H_{CYL}$ = <input type="text"/> 0.114 m                                     | height $H_{CYL}$ = <input type="text"/> 0.112 m                                 |
| Thickness glass wall $dw$ = <input type="text"/> XXXXXXXX                                   | glass $dw$ = <input type="text"/> 0 in meters!!! <sup>1)</sup>                  |
| Specific Surface $S_{CYL}$ = <input type="text"/> 65.7366307 m <sup>2</sup> /m <sup>3</sup> | $S^{*2}_{CYL}$ = <input type="text"/> 3021.52509 m <sup>4</sup> /m <sup>6</sup> |
| Max. volume of package $V$ = <input type="text"/> 0.61649661 liters                         | Max. volume $V$ = <input type="text"/> 0.91471968 Liters                        |

<sup>1)</sup> Usually, glass wall thickness  $dw$  = 0.0025 m (= 2.5 mm)

**RESULTS:**

|   |  |
|---|--|
| <b>LIQUID food heat penetration factor</b>          | <b>SOLID food heat penetration factor</b>            |
| $fh_{CYL}$ = <input type="text"/> #DEEL/0! seconds. | $fh_{CYL}$ = <input type="text"/> 5780.9937 seconds. |

**b) BRICK SHAPED PACKAGED PRODUCT "BR":**

|  |  |
|--|--|
| <b>Liquid:</b> $S_{BR} = 2/H_{BR} + 2/L_{BR} + 2/W_{BR}$                                   | <b>Solid:</b> $S^{*2}_{BR} = 14,2 \cdot (1/H^{*2}_{BR} + 1/L^{*2}_{BR} + 1/W^{*2}_{BR})$ |
| Product height $H_{BR}$ = <input type="text"/> 0.05 m                                      | height $H_{BR}$ = <input type="text"/> 0.044 m   |
| Product length $L_{BR}$ = <input type="text"/> 0.12 m                                      | length $L_{BR}$ = <input type="text"/> 0.09 m  |
| Product width $W_{BR}$ = <input type="text"/> 0.1 m  | width $W_{BR}$ = <input type="text"/> 0.085 m  |
| Specific Surface $S_{BR}$ = <input type="text"/> 76.6666667 m <sup>2</sup> /m <sup>3</sup> | $S^{*2}_{BR}$ = <input type="text"/> 11053.1951 m <sup>4</sup> /m <sup>6</sup>           |
| Max. volume of package $V$ = <input type="text"/> 0.6 liters                               | Max. volume $V$ = <input type="text"/> 0.3366 liters                                     |

**RESULTS:**

|  |   |
|--|---|
| <b>LIQUID food heat penetration factor</b>         | <b>SOLID food heat penetration factor</b>           |
| $fh_{BR}$ = <input type="text"/> #DEEL/0! seconds. | $fh_{BR}$ = <input type="text"/> 1580.3048 seconds. |

**c) CUBE SHAPED PACKAGED PRODUCT "CUBE":****Liquid:**  $S_{CUBE} = 6/D_{CUBE}$ Diameter  $D_{CUBE} = 0.11$  mSpecific Surface  $S_{CUBE} = 54.5454545$  m<sup>2</sup>/m<sup>3</sup>Max. volume of package  $V = 1.331$  liters**Solid:**  $S^{*2}_{CUBE} = 42,6/D^{*2}_{CUBE}$ diam.  $D_{CUBE} = 0.08$  m $S^{*2}_{CUBE} = 6656.25$  m<sup>4</sup>/m<sup>6</sup>Max. volume  $V = 0.512$  liters**RESULTS:****LIQUID food heat penetration factor** $f_{hCUBE} = \#DEEL/0!$  seconds.**SOLID food heat penetration factor** $f_{hCUBE} = 2624.213$  seconds.**d) OVAL SHAPED PACKAGED PRODUCT "ov":****Liquid:**  $S_{OV} = 2/H_{OV} + 2/(BD) + 2/(SD)$ Product height  $H_{OV} = 0.03$  mBiggest diameter  $BD = 0.1$  mSmallest diameter  $SD = 0.07$  mSpecific Surface  $S_{OV} = 115.238095$  m<sup>2</sup>/m<sup>3</sup>**RESULTS:****LIQUID food heat penetration factor** $f_{hov} = \#DEEL/0!$  seconds.**e) SPHERE = BALL SHAPED PRODUCT "BALL":****Liquid:**  $S_{BALL} = 6/D_{BALL}$ Product diameter  $D_{BALL} = 0.04$  mSpecific Surface  $S_{BALL} = 150$  m<sup>2</sup>/m<sup>3</sup>Max. volume of package  $V = 0.03349333$  liters**Solid:**  $S^{*2}_{BALL} = 39,5/D^{*2}_{BALL}$ diam.  $D_{BALL} = 0.06$  m $S^{*2}_{BALL} = 10972.2222$  m<sup>4</sup>/m<sup>6</sup>Max. volume  $V = 0.11304$  liters**RESULTS:****LIQUID food heat penetration factor** $f_{hBALL} = \#DEEL/0!$  seconds.**SOLID food heat penetration factor** $f_{hBALL} = 1591.9672$  seconds.**Answer 7b) Calculate the required sterilization time  $P_t$ ;**According to [equation \(1\)](#) above [table 1](#), the equation for the pasteurization or sterilization time  $P_t$  is:

$$P_t = f_h \cdot 10 \log(j_h \cdot \frac{T_R - T_{ih}}{T_R - T}) - 0.4 \cdot L$$

in which

 $f_h = 26.3$  min. (see [worked answer 7a](#)); $j_h = 2.0$  (given) $T_R =$  sterilization temperature = 122 °C (given) $T_{ih} =$  initial temperature of product in retort at start of process = 22 °C (given); $T =$  core temperature of food product at moment of steam off/cooling water on = 118 °C (given); $L =$  Come-up time of retort = 6 min. (given).

Substitution of  $f_h$ ,  $j_h$ ,  $T_R$ ,  $T_{ih}$ ,  $T$  and  $L$  in equation (1) of sterilization time  $P_t$  results in:

$$P_t = 26.3 \cdot {}^{10}\log\left(2.0 \cdot \frac{122 - 22}{122 - 118}\right) - 0.4 \cdot 6 = 26.3 \cdot {}^{10}\log(2 \cdot 100/4) - 2.4$$

So the sterilization time  **$P_t = 42.3$  min.**

**Answer 7c)** Verification of the manually calculated sterilization time  $P_t =$  "operator's process time", by using the computer program STUMBO.exe:

- After start of STUMBO.exe, at bottom left of STUMBO 2.2: select language (•)EN.
  - At **Calculation options**: select (•) "conduction heating", as lean meat is a solid.
  - At **Given**: select (•) "final center temperature"; insert the temperature 118
  - At **Requested**: put a tick at "process time"; remove the other ticks.
  - At **Heat penetration & processing parameters**:
    - remove the tick at "evaluate a series of process temperatures";
    - at "lowest or only process temperature": insert 122 (= given retort temperature);
    - at "heat penetration factor,  $f_h$ ": insert 26.3 (see [worked answer 7a](#));
    - at "heating lag factor,  $j_h$ ": insert 2 (given);
    - at "initial food temperature": insert 22 (given);
    - at "come-up time retort": insert 6 (given).
  - Next press button "Calculate" (at the bottom in the middle ).
- This results in:

| process temperature (°C) | operator's process time (min) | final center temp. (°C) | F value center (min) | integrated F value (min) | probability of survival (fraction) | nutrient retention (%) |
|--------------------------|-------------------------------|-------------------------|----------------------|--------------------------|------------------------------------|------------------------|
| 122                      | 42,3                          | 118                     |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |
|                          |                               |                         |                      |                          |                                    |                        |

| Calculation options   | Heat penetration & processing parameters   | F value - organism of concern - nutrient  |
|---|--|---|
| <input type="radio"/> convection-heating <input checked="" type="radio"/> conduction-heating<br><br><b>Given</b><br><input type="radio"/> operator's process time: _____ 90 min<br><input checked="" type="radio"/> final center temperature: _____ 118 °C<br><input type="radio"/> F value center: _____ 6.25 min<br><input type="radio"/> integrated F value: _____ 3 min<br><input type="radio"/> probability of survival: _____ 1E-12<br><br><b>Requested</b><br><input checked="" type="checkbox"/> process time <input checked="" type="checkbox"/> final center temp.<br><input type="checkbox"/> F value center <input type="checkbox"/> integrated F value<br><input type="checkbox"/> probability of survival <input type="checkbox"/> nutrient retention | <input type="checkbox"/> evaluate a series of process temperatures<br><br>lowest or only process temp.: _____ 122 °C<br>highest process temperature: _____ 120 °C<br>temperature steps: _____ 1 °C<br><br>heat penetration factor, $f_h$ : _____ 26.3 min<br>heating lag factor, $j_h$ : _____ 2<br>cooling lag factor, $j_c$ : _____ 2<br><br>initial food temperature: _____ 22 °C<br>come-up time retort: _____ 6 min | reference temperature of F value: _____ 121.1 °C<br>z value of F and/or org. of concern: _____ 10 °C<br><br>D value of organism of concern: _____ 0.2 min<br>reference temperature of D: _____ 121.1 °C<br><br>container volume: _____ 1000 g<br>contamination with org. of concern: _____ 1 /g<br><br>z value of nutrient: _____ 25 °C<br>D value of nutrient: _____ 124 min<br>reference temperature of D: _____ 121.1 °C |
| <input type="radio"/> NL <input checked="" type="radio"/> EN   start a calculation  | <input type="button" value="Calculate"/>   | <input type="button" value="Save..."/> <input type="button" value="Help"/> <input type="button" value="Information"/> <input type="button" value="Exit"/>   |

As can be seen from the **STUMBO.exe** print screen above:

A conduction heating food, which should get a final center temperature of  $T = 118$  °C, which is processed at a retort temperature of  $T_R = 122$  °C, which has a heat penetration factor  $f_h = 26.3$  min., a heating lag factor  $j_h = 2$ , an initial food temperature  $T_{ih} = 22$  °C, and with retort come-up time  $L = 6$  min., has to be sterilized during **operator's process time of  $P_t = 42.3$  min.**

This is exactly equal to the manual calculated  $P_t = 42.3$  min. of [answer 7b](#)).

## 6. Heat penetration factor $f_h$ for a packaged liquid food, containing solid pieces

Several packaged food products contain solid pieces, submerged in the liquid. Examples of such packaged foods are: meat balls in soup or in gravy, sausages in water or in brine, gherkins or sliced cucumbers in vinegar, cherries in a sugar solution, sliced pineapple in syrup, etc. The heat penetration factor  $f_h$  of such foods can be estimated by assuming:

$$f_{h, \text{ can of liquid food with submerged solid}} = f_{h, \text{ can full of liquid only}} + f_{h, \text{ one submerged solid piece}}$$

For example: in case of canned meat balls in gravy, the equation for  $f_h$  would be:

$$f_{h, \text{ can of solid meat balls in liquid gravy}} = f_{h, \text{ can filled with gravy only}} + f_{h, \text{ one solid meat ball}}$$

or

$$f_{h, \text{ can of solid meat balls in liquid gravy}} = \frac{2.3 \cdot C_{p, \text{gravy}} \cdot \rho_{\text{gravy}}}{S_{\text{can of gravy}} \cdot U_{\text{can of gravy}}} + \frac{2.3 \cdot C_{p, \text{ball}} \cdot \rho_{\text{ball}}}{S^{*2}_{\text{one ball}} \cdot k_{\text{ball}}}$$

For an explanation of the symbols used: see [Chapter 1](#).

### Example calculation 8: calculate the $f_h$ for liquid foods with solid pieces:

**8a)** Estimate the heat penetration factor  $f_h$  of a cylindrical glass jar, with internal diameter  $\times$  height = 7.0 cm  $\times$  10 cm, thickness of glass wall 2.5 mm, filled with small cylindrical sausages ( $D \times H = 1.6 \text{ cm} \times 9.0 \text{ cm}$ ). The sausages are submerged in a watery brine. The jars will be sterilized in a full water still retort.

(Physical) properties of the low viscous watery brine in the jar, are:

- density  $\rho_{\text{brine}} = 1014 \text{ kg/m}^3$ ;
- specific heat  $C_{p, \text{brine}} = 4120 \text{ J/(kg } ^\circ\text{C)}$ ;
- overall heat transfer coefficient regarding a glass jar with low viscous brine, in a full water still retort:  $U_{\text{jar with brine}} = 200 \text{ J/(s } ^\circ\text{C m}^2)$  (see [table 8](#)).

Physical properties of each of the sausages, are:

- density  $\rho_{\text{sausage}} = 1040 \text{ kg/m}^3$ ;
- specific heat  $C_{p, \text{sausage}} = 3300 \text{ J/(kg } ^\circ\text{C)}$ ;
- thermal conductivity  $k_{\text{sausage}} = 0.48 \text{ J/(s m } ^\circ\text{C)}$ .

**8b)** Verify each of the 2 manually estimated  $f_h$  values of answer 8a), i.e. the  $f_h$  of the glass jar with brine, and the  $f_h$  of a solid cylindrical sausage, by using Excel spreadsheet "Heat penetration factor  $f_h$  calculations.xls".

### Worked answer 8:

**Answer 8a):** The heat penetration factor  $f_h$  of a packaged liquid food with solid pieces is:

$$f_{h, \text{ jar of liquid food with submerged solid}} = f_{h, \text{ jar with liquid only}} + f_{h, \text{ largest submerged solid pieces}}$$

For a jar with solid cylindrical sausages, submerged in a liquid brine, this equation is:

$$f_{h, \text{ jar with sausages in brine}} = \frac{2.3 \cdot C_{p, \text{brine}} \cdot \rho_{\text{brine}}}{S_{\text{jar with brine}} \cdot U_{\text{jar with brine}}} + \frac{2.3 \cdot C_{p, \text{sausage}} \cdot \rho_{\text{sausage}}}{S^{*2}_{\text{sausage}} \cdot k_{\text{sausage}}}$$

in which

$$C_{p, \text{brine}} = 4120 \text{ J/(kg } ^\circ\text{C)} \quad (\text{given});$$

$$\rho_{\text{brine}} = 1014 \text{ kg/m}^3 \quad (\text{given})$$

$$S_{\text{jar with brine}} = \text{??????????????}$$

$$U_{\text{jar with brine}} = 200 \text{ J/(s } ^\circ\text{C m}^2) \quad (\text{given});$$

$$C_{p, \text{sausage}} = 3300 \text{ J/(kg } ^\circ\text{C)} \quad (\text{given});$$

$$\rho_{\text{sausage}} = 1040 \text{ kg/m}^3 \quad (\text{given});$$

$$S^{*2}_{\text{sausage}} = \text{??????????????}$$

$$k_{\text{sausage}} = 0.48 \text{ J/(s m } ^\circ\text{C)} \quad (\text{given}).$$



Calculation of  $S_{jar}$  with brine only:

Glass jar:  $D_{internal} = 7.0 \text{ cm} = 0.07 \text{ m}$ ;  $H_{internal} = 10 \text{ cm} = 0.10 \text{ m}$ .  
 Specific surface of a cylindrical glass jar, filled with liquid brine (see [table 4](#)):  
 $S_{jar \text{ with brine only}} = 2/H + 4/D = 2/0.10 + 4/0.07 = 77 \text{ m}^2/\text{m}^3$ .

Calculation of  $S^{*2}_{sausage}$ :

Sausage:  $D = 1.6 \text{ cm} = 0.016 \text{ m}$ ;  $H = 9 \text{ cm} = 0.09 \text{ m}$ .  
 Squared specific surface  $S^{*2}$  of one cylindrical solid sausage (see [table 4](#)):  
 $S^{*2}_{sausage} = 9.93/H^{*2} + 23.2/D^{*2} = 9.93/(0.09^2) + 23.2/(0.016^2) = 1225.9 + 90625 = 91\,851 \text{ m}^4/\text{m}^6 = S^{*2}_{sausage}$ .

Substitution of all factors in the equation above results in:

$$f_{h, \text{ jar with sausages in brine}} = \frac{2.3 \cdot 4120 \cdot 1014}{77 \cdot 200} + \frac{2.3 \cdot 3300 \cdot 1040}{91\,851 \cdot 0.48} = 624 \text{ seconds} + 179 \text{ seconds} = 803 \text{ seconds.}$$

**$f_{h, \text{ jar with sausages in brine}} = 803 \text{ seconds} = 13.4 \text{ min.}$**

**Answer 8b:** Verification of the manually estimated  $f_h$  value of the **cylindrical glass jar with brine:**

(only part of the Excel spreadsheet "Heat penetration factor  $f_h$  calculations.xls" is reproduced)

| Calculation of $f_{h, \text{ liquid}}$ of the <b>cylindrical glass jar with brine:</b> |            |   |                                      |
|--|------------|---|--------------------------------------|
| INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD PRODUCT:                                  |            |   |                                      |
| Specific heat food product <b>Cp</b> =   | 4120       | J/(kg °C)   |                                      |
| Density food product <b>p</b> =  | 1014       | kg/m <sup>3</sup>   |                                      |
| Thermal conductivity food product <b>k</b> =   |            | J/(s m °C)  |                                      |
| In case of <b>LIQUID</b> foods:  |            | Overall heat transfer coefficient <b>U</b> =                  | 200                                  |
|  |            |   | J/(s m <sup>2</sup> °C); see table 3 |
| INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT:                        |            |   |                                      |
| a) CYLINDER SHAPED PACKAGED PRODUCT "CYL":   |            |   |                                      |
| Liquid: $S_{CYL} = 2/H_{CYL} + 4/D_{CYL}$  |            | Solid: $S^{*2}_{CYL} = 9,93/H^{*2}_{CYL} + 23,2/D^{*2}_{CYL}$ |                                      |
| Product diameter $D_{CYL}$ =   | 0.07       | m   | diam. $D_{CYL}$ =                    |
| Product height $H_{CYL}$ =   | 0.1        | m   | height $H_{CYL}$ =                   |
| Thickness glass wall $dw$ =  | XXXXXX     |   | glass $dw$ =                         |
|  |            |   | in <b>meters!!</b>                   |
| Specific Surface $S_{CYL}$ =   | 77.1428571 | m <sup>2</sup> /m <sup>3</sup>                                | $S^{*2}_{CYL}$ =                     |
| Max. volume of package $V$ =   | 0.38465    | liters  | Max. volume $V$ =                    |
|  |            |   | liters                               |
| RESULTS:   |            |   |                                      |
| <u>LIQUID</u> food heat penetration factor   |            | <u>SOLID</u> food heat penetration factor                     |                                      |
| $f_{h_{CYL}} =$  | 622.78378  | seconds.  | $f_{h_{CYL}} =$                      |
|  |            |   | seconds.                             |

The Excel file "Heat penetration factor  $f_h$  calculations.xls" above calculates for the cylindrical glass jar a specific surface  $S_{Glass Jar} = 77.1 \text{ m}^2/\text{m}^3$ , and a separate  $f_h$  value for the cylindrical glass jar with brine of  **$f_{h_{CYL}} = 623 \text{ seconds}$** . Which is almost exactly equal to manually calculated  $S_{jar \text{ with brine only}} = 77 \text{ m}^2/\text{m}^3$ , and the manually estimated  $f_{h, \text{ jar with brine}} = 624 \text{ seconds}$  in [answer 8a](#)).

**Answer 8b (continued):**

Verification of the manually estimated  $f_h$  value of a **solid cylindrical sausage**:  
 (only part of the Excel spreadsheet "Heat penetration factor  $f_h$  calculations.xls" is reproduced)

| <b>Calculation of the <math>f_{h,solid}</math> of one cylindrical sausage:</b>  |  |
|---|--|
| <b>INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD PRODUCT:</b>  |  |
| Specific heat food product <b>Cp</b> =  | 3300 J/(kg °C)   |
| Density food product <b>p</b> =   | 1040 kg/m <sup>3</sup>   |
| Thermal conductivity food product <b>k</b> =  | 0.48 J/(s m °C)  |
| <b>In case of LIQUID foods:</b> Overall heat transfer coefficient <b>U</b> = <span style="border: 1px solid black; padding: 2px 10px;"> </span> J/(s m <sup>2</sup> °C); <b>see table 3</b>   |  |
| <b>INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT:</b>  |  |
| <b>a) CYLINDER SHAPED PACKAGED PRODUCT "CYL":</b>   |  |
| <b>Liquid:</b> $S_{CYL} = 2/H_{CYL} + 4/D_{CYL}$<br>Product diameter $D_{CYL} =$ <span style="border: 1px solid black; padding: 2px 10px;"> </span> m<br>Product height $H_{CYL} =$ <span style="border: 1px solid black; padding: 2px 10px;"> </span> m<br>Thickness glass wall $dw =$ <span style="border: 1px solid black; padding: 2px 10px;">XXXXXX</span><br><br>Specific Surface $S_{CYL} =$ <span style="border: 1px dashed black; padding: 2px 10px;"> </span> m <sup>2</sup> /m <sup>3</sup><br>Max. volume of package $V =$ <span style="border: 1px dashed black; padding: 2px 10px;"> </span> liters | <b>Solid:</b> $S^{*2}_{CYL} = 9,93/H^{*2}_{CYL} + 23,2/D^{*2}_{CYL}$<br>diam. $D_{CYL} =$ <span style="border: 1px solid black; padding: 2px 10px;">0.016</span> m<br>height $H_{CYL} =$ <span style="border: 1px solid black; padding: 2px 10px;">0.09</span> m<br>glass $dw =$ <span style="border: 1px solid black; padding: 2px 10px;">0</span> in <b>meters!!</b><br><br>$S^{*2}_{CYL} =$ <span style="border: 1px dashed black; padding: 2px 10px;">91850.9259</span> m <sup>4</sup> /m <sup>6</sup><br>Max. volume $V =$ <span style="border: 1px dashed black; padding: 2px 10px;">0.0180864</span> liters |
| <b>RESULTS</b>  |  |
| <b>Liquid Food heat penetration factor</b><br>$f_{hCYL} =$ <span style="border: 1px dashed black; padding: 2px 10px;"> </span> seconds.   | <b>Solid food heat penetration factor</b><br>$f_{hCYL} =$ <span style="border: 1px solid black; padding: 2px 10px; color: red;">179.04011</span> seconds.  |

For **one** cylindrical solid sausage, the Excel file "Heat penetration factor  $f_h$  calculations.xls" calculates  $S^{*2}_{CYL} = 91\ 851\ m^4/m^6$ , and the (separate)  $f_h$  value for one cylindrical solid sausages of  $f_{hCYL} = 179$  seconds. Which is exactly equal to manually calculated  $S^{*2}_{sausage} = 91\ 851\ m^4/m^6$  in [answer 8a](#)), and the manually estimated  $f_{h,sausage} = 179$  seconds in [answer 8a](#)).

**7. Effect of a CHANGE in processing conditions, or in packaging size, on the heat penetration factor  $f_h$  of a packaged food**

**7.1 Neither a change in retort temperature  $T_R$ , nor a change in initial food temperature  $T_{ih}$ , does affect the heat penetration factor  $f_h$  or the heating lag factor  $j_h$**

During processing, sometimes the retort temperature  $T_R$  has to be adapted. In other cases, sometimes the initial product temperature  $T_{ih}$  has changed; for example when there is an obstruction at the supplying belt to the retort, and the packaged food cools down or increases in temperature before entering the retort. These situations require recalculation of the sterilization or pasteurization time  $P_t$ .

Neither the heat penetration factor  $f_h$ , nor the heating lag factor  $j_h$ , depend on the initial food temperature  $T_{ih}$ , nor on the retort temperature  $T_R$ . So after such a changes in process conditions,  $f_h$  and  $j_h$  need not to be recalculated.

Only a very large change in come up time C.U.T. = L of the retort does influence the values of  $f_h$ ,  $j_h$  and  $j_c$ .

**7.2 A change in packaging size strongly affects  $f_h$ ; use size conversion factor**

A change in the size of the packaging of a liquid food ..... (Stumbo (1973: 193-198))

\* strongly influences the heat penetration factor  $f_h$ ;

\* does NOT, or only very slightly, change the heating lag factors  $j_h$  and  $j_c$ .

**Table 9:** Conversion of the heat penetration factor  $f_h$  when package size changes.

**Liquid foods:**  $f_h$  is proportional to  $1/S$ ;  $S$  = normal specific surface of packaging (table 4).

$S_{OLD}/S_{NEW}$  = **size conversion factor** for **liquid** foods.

$$f_{h, \text{ liquid NEW packaging size}} = \frac{S_{\text{ OLD packaging size}}}{S_{\text{ NEW packaging size}}} \cdot f_{h, \text{ liquid OLD packaging size}}$$

**Solid foods; highly viscous foods:**  $f_h$  is proportional to  $1/S^{*2}$ ;  $S^{*2}$  = adapted squared specific surface (see table 4).

$S^{*2}_{OLD}/S^{*2}_{NEW}$  = **size conversion factor** for **solid** foods.

$$f_{h, \text{ solid NEW packaging size}} = \frac{S^{*2}_{\text{ OLD packaging size}}}{S^{*2}_{\text{ NEW packaging size}}} \cdot f_{h, \text{ solid OLD packaging size}}$$

See also **Table 4:** Equations to calculate the specific surface  $S$  of packaged liquid foods, and the adapted squared specific surface  $S^{*2}$  of packaged solid foods.

$D$  = internal diameter;  $H$  = internal height;  $L$  = internal length;  $W$  = internal width;  $BD$  = biggest internal diameter;  $SD$  = smallest internal diameter;  $d_w$  = thickness of glass wall = 2.5 mm =  $2.5 \cdot 10^{-3}$  m.

**In the equations below:  $D, H, L, W, BD, SD, d_w$ , are all in [m].**

| Shape of            | <b>LIQUID</b> (convection type) product: $S$ [ $m^2/m^3$ ] | <b>SOLID</b> (conduction type) product: $S^{*2}$ [ $m^4/m^6$ ].<br>If <b>GLASS</b> packaging: $H^{*2} = (H + 2 \cdot d_w)^2$ ;<br>$D^{*2} = (D + 2 \cdot d_w)^2$ ;<br>$L^{*2} = (L + 2 \cdot d_w)^2$ ;<br>$W^{*2} = (W + 2 \cdot d_w)^2$ . |
|---------------------|--|--|
| <b>Cylinder</b>     | $S = 2/H + 4/D$  | $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$   |
| <b>Brick</b>        | $S = 2/H + 2/L + 2/W$                                      | $S^{*2} = 14.2 \cdot (1/H^{*2} + 1/L^{*2} + 1/W^{*2})$   |
| <b>Cube</b>         | $S = 6/D$  | $S^{*2} = 42.6/D^{*2}$   |
| <b>Oval</b>         | $S = 2/H + 2/(BD) + 2/(SD)$                                | -  |
| <b>Sphere; ball</b> | $S = 6/D$  | $S^{*2} = 39.5/D^{*2}$   |

**Example calculation 9:** Effect on  $f_h$  of a change in packaging size of a **liquid** food:

A company produces green beans in brine, packaged in a cylindrical can 1 with internal  $D \times H = 73 \text{ mm} \times 103 \text{ mm}$ ; these cans 1 have a heat penetration factor of  $f_h = 5.4 \text{ min.}$  The company considers to use a new cylindrical can 2, which has an internal diameter 163 mm, and an internal height of 156 mm.

**9a)** Calculate the new heat penetration factor  $f_{h,NEW}$  if the green beans in brine are processed in a can 2 of internal diameter 163 mm, and an internal height of 156 mm.

**9b)** The value of the size conversion factor from can 1 to can 2 is: \_\_\_\_\_.

**9c)** What will be the effect of the change in packaging size on the heating lag factor  $j_h$ ?

**Worked answer 9:**

**Answer 9a)** Calculate the new heat penetration factor after change of can size:

To find the proper  $f_h$  conversion equation, we first have to find out: do green beans in brine behave like a liquid or like a solid?

\* **1st test:** when turning a glass jar with green beans in brine and headspace slowly upside down once, the head space bubble will easily pass through the content of the jar (see the "simple test" in [Chapter 1](#)).

So green beans in brine will behave as a liquid food (with solid pieces) during retorting.

\* **2nd test:** according to [table 1](#), for a packaged liquid food the value of  $f_h$  is:

**4 min. <  $f_{h,LIQUID}$  < 15 min.** [for a solid food: 25 min. <  $f_{h,SOLID}$  < 200 min.].

The given value of the heat penetration factor of the green beans in brine is  $f_h = 5.4 \text{ min.}$  This indicates that green beans in brine will behave as a liquid food (with solid pieces).

**Conclusion:** green beans in brine will behave like a liquid food.

According to [Table 9](#):  $f_{h,liquid}$  is proportional to  $1/S$ ; in which  $S$  = specific surface of packaging. So:

$$f_{h, liquid \text{ NEW packaging size}} = \frac{S_{\text{OLD packaging size}}}{S_{\text{NEW packaging size}}} \cdot f_{h, liquid \text{ OLD packaging size}}$$

in which

$$f_{h, liquid \text{ OLD packaging size}} = 5.4 \text{ min. (given)}$$

$$S_{\text{OLD packaging size}} = 2/H_{\text{OLD}} + 4/D_{\text{OLD}} = 2/0.103 + 4/0.073 \text{ (see table 4);}$$

$$\text{so } S_{\text{OLD packaging size}} = 74.2 \text{ m}^2/\text{m}^3.$$

$$S_{\text{NEW packaging size}} = 2/H_{\text{NEW}} + 4/D_{\text{NEW}} = 2/0.156 + 4/0.163;$$

$$\text{so } S_{\text{NEW packaging size}} = 37.4 \text{ m}^2/\text{m}^3.$$

Substitution in the  $f_h$  conversion equation above results in:

$$f_{h, liquid \text{ NEW packaging size}} = \frac{74.2}{37.4} \cdot 5.4 = 1.98 \cdot 5.4 = 10.7 \text{ min.}$$

So  **$f_{h, liquid \text{ NEW packaging size}} = 10.7 \text{ min.}$**

**Answer 9b)** The size conversion factor for a liquid food from size OLD to size NEW is:

$$\text{Size conversion factor liquid food} = \frac{S_{\text{OLD packaging size}}}{S_{\text{NEW packaging size}}} \text{ (see table 9).}$$

In answer 9a) we found:  $S_{\text{OLD packaging size}} = 74.2 \text{ m}^2/\text{m}^3.$

$$S_{\text{NEW packaging size}} = 37.4 \text{ m}^2/\text{m}^3.$$

So the liquid food **size conversion factor from can OLD to can NEW =  $74.2/37.4 = 1.98$ .**

**Answer 9c)** Will be change in packaging size affect the heating lag factor  $j_h$ ?

At the top of [chapter 7.2](#) it says: "A change in packaging size, does NOT, or only very slightly, change the heating lag factors  $j_h$  and  $j_c$ ."

So the change in packaging size of this liquid food does not change  $j_h$  and  $j_c$ .

**Example calculation 10:** Effect on  $f_h$  of a change in packaging size of **solid** foods:

During heat processing of luncheon meat in cylindrical metal can L of internal  $D \times H = 73 \text{ mm} \times 138 \text{ mm}$ , the heat penetration is  $f_h = 60$  minutes.

In the future, the company considers heat processing of luncheon meat in cylindrical metal cans M with internal size  $D \times H = 163 \text{ mm} \times 156 \text{ mm}$ .

**10a)** Calculate the "size conversion factor" for the size conversion from metal can L to metal can M.

**10b)** Calculate the new heat penetration factor of luncheon meat in metal can M.

**10c)** A different solid food showed a heat penetration factor of  $f_h = 220$  min. in metal can M. What would be the heat penetration factor of that solid food in the smaller metal can L?

**Worked answer 10:**

**Answer 10a)** The **size conversion factor** for conversion of solid food packages is (see [table 9](#)):

$$\text{Size conversion factor solid food from can OLD to can NEW} = \frac{S^{*2} \text{ OLD packaging size}}{S^{*2} \text{ NEW packaging size}}$$

For cylindrical metal can L = "OLD" with solid food and internal sizes  $D = 73 \text{ mm}$  and  $H = 138 \text{ mm}$ , the equation for  $S^{*2}$  is:  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$  (see [table 4](#)).

Because the cans are made of metal, no glass wall thickness need to be considered.

So  $S^{*2} = 9.93/0.138^2 + 23.2/0.073^2 = 4875 \text{ m}^4/\text{m}^6$  for can L.

For metal can M = "NEW", with solid food and internal sizes  $D = 163 \text{ mm}$  and  $H = 156 \text{ mm}$ ,  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2} = 9.93/0.156^2 + 23.2/0.163^2 = 1281 \text{ m}^4/\text{m}^6$  (can M).

Substitution in the size conversion equation above, results in:

$$\begin{aligned} \text{Size conversion factor solid food from can L to can M} &= \frac{S^{*2} \text{ OLD packaging size L}}{S^{*2} \text{ NEW packaging size M}} = \\ &= \frac{4875}{1281} = \mathbf{3.81 = \text{Size conversion factor solid food from can L to can M.}} \end{aligned}$$

**Answer 10b)** Calculate the new heat penetration factor of luncheon meat in cylindrical metal can M:

$$f_{h, \text{ solid NEW packaging size}} = \frac{S^{*2} \text{ OLD packaging size}}{S^{*2} \text{ NEW packaging size}} \cdot f_{h, \text{ solid OLD packaging size}} \quad (\text{table 9}).$$

or

$$f_{h, \text{ solid NEW packaging size}} = \text{Size conversion factor solid foods} \cdot f_{h, \text{ solid OLD packaging size}}$$

in which

Size conversion factor solid food from L to M = 3.81 (see answer 10a above);

$f_{h, \text{ solid OLD packaging size}} = 60 \text{ min.}$  (given)

So  **$f_{h, \text{ solid NEW packaging size M}} = 3.81 \cdot 60 = 229 \text{ min.}$**

**Answer 10 c)** Calculate the heat penetration factor of a "different" solid food in the smaller metal can L.

The size of the cylindrical metal can of the "different" solid food goes from size M to size L; so the size change is the inverse from L to M.

Thus the size conversion factor is also inverted =  $1/(\text{size conversion factor from L to M})$ .

So size conversion factor for the "different food" is  $1/3.81 = 0.262$ .

Thus the  $f_{h, \text{ different solid NEW packaging size}} = 0.262 \cdot f_{h, \text{ solid OLD packaging size}}$ ,

or  **$f_{h, \text{ different solid NEW packaging size}} = 0.262 \cdot 220 = 57.6 \text{ min.}$**

**8. Effect on  $f_h$  of change in material (glass; metal) of the packaging:**  
 $f_{h, \text{LIQUID}}$  requires considerable correction;  $f_{h, \text{SOLID}}$  shows a smaller change

**8.1 How does a change in type of packaging material affects the  $f_h$  of LIQUID PRODUCTS?**

| <b>Liquid (convection type) products</b>                      |   |
|---|---|
| Change <b>from metal</b> packaging to <b>glass</b> packaging: |   |
| New $f_{h, \text{Liquid, Glass}}$                             | $= \frac{S_{\text{Metal}}}{S_{\text{Glass}}} \cdot f_{h, \text{Liquid, Metal}} + \frac{2.3 \cdot c_p \cdot \rho}{S_{\text{Glass}}} \cdot \frac{d_{w, \text{Glass}}}{k_{w, \text{Glass}}}$ |
| Change <b>from glass</b> packaging to <b>metal</b> packaging: |   |
| New $f_{h, \text{Liquid, Metal}}$                             | $= \frac{S_{\text{Glass}}}{S_{\text{Metal}}} \cdot f_{h, \text{Liquid, Glass}} - \frac{2.3 \cdot c_p \cdot \rho}{S_{\text{Metal}}} \cdot \frac{d_{w, \text{Glass}}}{k_{w, \text{Glass}}}$ |

Kopelman (1981: 234); Reichert (1985: 32); May (1997: 55-56)

in which:

$f_{h, \text{Liquid, Glass}}$   $f_h$  value of the liquid food product in glass packaging; [s];

$f_{h, \text{Liquid, Metal}}$   $f_h$  value of the liquid food product in metal packaging; [s];

$S_{\text{Metal}}$  Specific surface of the metal packaging, based on its inside dimensions; [ $\text{m}^2/\text{m}^3$ ];  
See [table 4](#) for equations of S. See [Chapter 3](#) for calculation of S.

$S_{\text{Glass}}$  Specific surface of the glass packaging, based on its inside dimensions; [ $\text{m}^2/\text{m}^3$ ];  
See [table 4](#) for equations of S. See [chapter 3](#) for calculation of S.

$c_p$  Specific heat of the liquid food product; [J/(kg·°C)].  
A table with specific heats of many different food products, and a computer program to calculate the specific heat  $c_p$  of a composed food: see FYSCONST 2.0.xls, downloadable from Academia.edu or ResearchGate.net.

$\rho$  Density of the liquid food product; [ $\text{kg}/\text{m}^3$ ].  
For a computer program to calculate the density  $\rho$  of a composed food: see FYSCONST 2.0.xls, downloadable from Academia.edu or at ResearchGate.net.

$d_{w, \text{Glass}}$  Thickness of the glass wall of the packaging material; [m];  
Usually  $d_{w, \text{glass}} = 2.5 \text{ mm} = 2.5 \cdot 10^{-3} \text{ m}$ . Range:  $2.1 \cdot 10^{-3} \text{ m} < d_{w, \text{glass}} < 3.1 \cdot 10^{-3} \text{ m}$ .

$k_{w, \text{Glass}}$  Thermal conductivity of the glass wall of the packaging material [J/(s m °C)]; [W/(m K)].  
Usually  $k_{w, \text{Glass}} \approx 0.7 \text{ J/(s m °C)}$ . Range:  $0.7 \text{ J/(s m °C)} < k_{w, \text{Glass}} < 1.2 \text{ J/(s m °C)}$ .

If only the packaging material of a liquid food changes, but the shape and the internal dimensions of the packaging materials do NOT change,

thus if  $S_{\text{Metal}} = S_{\text{Glass}}$ , then **always**  $f_{h, \text{Liquid, Glass}} > f_{h, \text{Liquid, Metal}}$ .

This can be deduced from the equations in [table 10](#):

from metal to glass packaging: "new"  $f_{h, \text{Liquid, Glass}} = 1 \cdot f_{h, \text{Liquid, Metal}} +$  correction factor;

from glass to metal packaging: "new"  $f_{h, \text{Liquid, Metal}} = 1 \cdot f_{h, \text{Liquid, Glass}} -$  correction factor.

Neither the heating lag factor  $j_h$ , nor the cooling lag factor  $j_c$  of a liquid food will be influenced by a change in packaging material.

**Example calculation 11:** Effect of change in packaging material on  $f_h$  of liquid product:

A metal can with internal  $D \times H = 163 \text{ mm} \times 141 \text{ mm}$  contains 57 sausages of each 30 g, and diameter  $d = 10 \text{ mm}$ , in 1232 grams of a watery brine.

When sterilized in a rotary retort (30 rotations per minute), the heat penetration factor of that metal can with contents is  $f_h = 7.1 \text{ min}$ .

The company considers to use cylindrical glass jars, type 82RTO.FD130, internal  $D \times H = 83.9 \text{ mm} \times 191.5 \text{ mm}$ , with 18 sausages of each 30 g, and diameter  $d = 10 \text{ mm}$ , in 390 grams of watery brine, to be sterilized in a rotary retort (30 rotations per minute).

For glass: wall thickness of glass jars:  $d_w = 3.1 \text{ mm}$ ;  
thermal conductivity glass =  $1.15 \text{ J/(s m } ^\circ\text{C)} = 1.15 \text{ W/(m } ^\circ\text{C)}$ .

For brine:  $c_p = 4184 \text{ J/(kg } ^\circ\text{C)}$ ;  
density  $\rho = 1000 \text{ kg/m}^3$ .

Estimate the heat penetration factor  $f_h$  of the smaller cylindrical glass jar.

(from Reichert (1985: 32)).

**Worked answer 11:**

\* First we should find out whether the product "sausages in brine" will behave like a liquid or like a solid.

Sausages in brine will behave like a liquid (= convection type) food product. This can be deduced from the rather low heat penetration factor of  $f_h = 7.1 \text{ min}$ .

Compare to the usual  $f_{h,\text{LIQUID}}$  of a liquid:  $4 \text{ min.} < f_{h,\text{LIQUID}} < 15 \text{ min.}$  See [table 1](#).

[If the product would behave like a solid, then  $25 \text{ min.} < f_{h,\text{SOLID}} < 200 \text{ min.}$ ]

\* For a liquid product of which the packaging material is changed from metal to glass, the conversion equation is (see [table 10](#)):

$$\text{New } f_{h,\text{Liquid, Glass}} = \frac{S_{\text{Metal}}}{S_{\text{Glass}}} \cdot f_{h,\text{Liquid, Metal}} + \frac{2.3 \cdot c_p \cdot \rho}{S_{\text{Glass}}} \cdot \frac{d_{w,\text{Glass}}}{k_{w,\text{Glass}}}$$

in which:

$S_{\text{Metal}} = S_{\text{CYLINDER}} = 2/H_{\text{Metal Can}} + 4/D_{\text{Metal Can}}$  (see [table 4](#), for a liquid food in cylinder);

$H_{\text{Metal Can}} = 141 \text{ mm} = 0.141 \text{ m}$  (given);

$D_{\text{Metal Can}} = 163 \text{ mm} = 0.163 \text{ m}$  (given);

So  $S_{\text{Metal}} = 2/H_{\text{Metal Can}} + 4/D_{\text{Metal Can}} = 2/0.141 + 4/0.163 = 38.7 \text{ m}^2/\text{m}^3$ .

$S_{\text{Glass}} = S_{\text{CYLINDER}} = 2/H_{\text{Glass Jar}} + 4/D_{\text{Glass Jar}}$  (see [table 4](#), for a liquid food in cylinder);

$H_{\text{Glass Jar}} = 191.5 \text{ mm} = 0.1915 \text{ m}$  (given);

$D_{\text{Glass Jar}} = 83.9 \text{ mm} = 0.0839 \text{ m}$  (given);

$S_{\text{Glass}} = 2/H_{\text{Glass Jar}} + 4/D_{\text{Glass Jar}} = 2/0.1915 + 4/0.0839 = 58.1 \text{ m}^2/\text{m}^3$ .

$f_{h,\text{Liquid, Metal}} = 7.1 \text{ min.} = 426 \text{ s}$  (given).

$c_p =$  specific heat of brine  $c_p = 4184 \text{ J/(kg } ^\circ\text{C)}$  (given);

$\rho =$  density of brine  $\rho = 1000 \text{ kg/m}^3$  (given);

$d_{w,\text{Glass}} =$  glass wall thickness =  $d_{w,\text{Glass}} = 3.1 \text{ mm} = 0.0031 \text{ m}$  (given);

$k_{w,\text{Glass}} = 1.15 \text{ J/(s m } ^\circ\text{C)}$  (given).

Substitution of all factors in the equation for the new  $f_{h,\text{Glass}}$  above results in:

$$\begin{aligned} \text{New } f_{h,\text{Liquid, Glass}} &= \frac{S_{\text{Metal}}}{S_{\text{Glass}}} \cdot f_{h,\text{Liquid, Metal}} + \frac{2.3 \cdot c_p \cdot \rho}{S_{\text{Glass}}} \cdot \frac{d_{w,\text{Glass}}}{k_{w,\text{Glass}}} \\ &= \frac{38.7}{58.1} \cdot 426 + \frac{2.3 \cdot 4184 \cdot 1000}{58.1} \cdot \frac{0.0031}{1.15} \\ &= 284 + 446 = 730 \text{ s} = 12.2 \text{ min.} \end{aligned}$$

**So the new  $f_{h,\text{Liquid, Glass}} = 730 \text{ s} = 12.2 \text{ min.}$**

[Reichert (1985: p 32) finds new  $f_{h,\text{Glass}} = 11.9 \text{ min.}$ ]

Compare this new  $f_{h,\text{Liquid, Glass}} = 12.2 \text{ min.}$  of the smaller jar, to the original  $f_{h,\text{Liquid, Metal}} = 7.1 \text{ min.}$  of the larger can: an  $f_h$  increase of 70%, despite the reduced size of the jar!

Thus change from metal to glass considerably increases  $f_{h,\text{Liquid}}$ .

**8.2 How does a change in packaging material affect the  $f_h$  of SOLID PRODUCTS?**

**Table 11:** Conversion of the heat penetration factor  $f_h$  of a **solid** food product if the packaging **material** changes: from metal to glass, or from glass to metal.

| <b>Solid (conduction type) products</b>                       |  |
|---|--|
| Change <b>from metal</b> packaging to <b>glass</b> packaging. |  |
| New $f_{h,Solid, Glass}$                                      | = $\frac{S^{*2}_{Metal}}{S^{*2}_{Glass}} \cdot f_{h,Solid, Metal}$ |
| Change <b>from glass</b> packaging to <b>metal</b> packaging. |  |
| New $f_{h,Solid, Metal}$                                      | = $\frac{S^{*2}_{Glass}}{S^{*2}_{Metal}} \cdot f_{h,Solid, Glass}$ |

In which

$f_{h,Solid, Glass}$   $f_h$  value of the solid food product in glass packaging; [s];

$f_{h,Solid, Metal}$   $f_h$  value of the solid food product in metal packaging; [s];

$S^{*2}_{Metal}$  Adapted squared specific surface of the metal packaging, based on its inside dimensions only; [ $m^4/m^6$ ];

See [table 4](#) for equations of  $S^{*2}$ . See [Chapter 3](#) for calculation of  $S^{*2}$ .

$S^{*2}_{Glass}$  Adapted squared specific surface of the glass packaging, based on its inside dimensions, **each with addition of 2x glass wall thickness**; [ $m^4/m^6$ ];

See [table 4](#) for equations of  $S^{*2}$ . See [Chapter 3](#) for calculation of  $S^{*2}$ .

When comparing [table 11](#) (equations on a solid food) to [table 10](#) (equations on a liquid food), in the conversion equations of a solid food the extra "addition correction factor" or "subtraction correction factor" is absent.

Reason: in a glass packed solid food, the heat transfer resistance of the glass wall has already been incorporated in the  $S^{*2}$ : the addition of 2x the glass wall thickness  $d_w$  to the product dimensions thus does account for the extra heat transfer resistance of glass. In a metal packed solid food, however, the heat transfer resistance of the highly conductive, very thin metal wall, is neglectable; so an extra correction is not necessary.

**Example calculation 12:** effect of change in packaging material of solid foods:

A cylindrical glass jar with a solid (conduction type) food has internal dimensions of  $D \times H = 103.2 \text{ mm} \times 119.1 \text{ mm}$ . The glass wall thickness  $d_w$  of the jar is  $d_w = 3.1 \text{ mm}$ .

In a static, steam heating, retort, the heat penetration factor of the solid food in the glass jar is  $f_{h,Solid, glass} = 85 \text{ min}$ .

The company decides to process the solid food in metal cans of the same internal sizes as the glass jar, in the same retort.

**a)** Estimate the heat penetration factor of a the solid food in a cylindrical metal can with internal dimensions of  $D \times H = 103.2 \text{ mm} \times 119.1 \text{ mm}$ , at the same retorting conditions.

**b)** Use Excel program "*Heat penetration factor  $f_h$  calculations.xls*", to verify the adapted squared specific surface  $S^{*2}$  of the cylindrical glass jar, and of the cylindrical metal can, calculated in answer 12a.

**Worked answer 12:**

**Answer 12a)** If the packaging material of a solid food is changed from glass to metal, the new  $f_{h,Solid, Metal}$  will be (see table 11):

$$f_{h,Solid, Metal} = \frac{S^{*2}_{Glass}}{S^{*2}_{Metal}} \cdot f_{h,Solid, Glass}$$



in which

$f_{h,Solid, Glass} = 85 \text{ min} = 5100 \text{ s}$  (given)

$f_{h,Solid, Metal} = \text{?????}$

$S^{*2}_{Glass}$ : Cylindrical glass jar:  $S^{*2}_{Glass} = 9.93/H^{*2} + 23.2/D^{*2}$  [ $m^4/m^6$ ]; (see table 4)

with  $H_{Glass} = H + 2xd_{w,Glass} = 119.1 \text{ mm} + 2 \times 3.1 \text{ mm} = 125.3 \text{ mm} = 0.1253 \text{ m}$ ;  
 so  $H^{*2} = 0.1253^2 = 0.01570$  [ $m^2$ ];

and  $D_{Metal} = D + 2xd_{w,Glass} = 103.2 \text{ mm} + 2 \times 3.1 \text{ mm} = 109.4 \text{ mm} = 0.1094 \text{ m}$ ;  
 so  $D^{*2} = 0.1094^2 = 0.01197$  [ $m^2$ ];

Thus  $S^{*2}_{Glass} = 9.93/H^{*2} + 23.2/D^{*2} = 9.93/0.01570 + 23.2/0.01197 = 2571$ ;  
 so  $S^{*2}_{Glass} = 2571 \text{ m}^4/m^6$ .

$S^{*2}_{Metal}$ : Cylindrical metal can:  $S^{*2}_{Metal} = 9.93/H^{*2} + 23.2/D^{*2}$  [ $m^4/m^6$ ]; (see table 4)

with  $H_{Metal} = 119.1 \text{ mm} = 0.1191 \text{ m}$ ; so  $H^{*2} = 0.1191^2 = 0.01418$  [ $m^2$ ];

and  $D_{Metal} = 103.2 \text{ mm} = 0.1032 \text{ m}$ ; so  $D^{*2} = 0.1032^2 = 0.01065$  [ $m^2$ ];

**In case of metal packaging: do NOT add  $d_w$  to H and D.**

Thus  $S^{*2}_{Metal} = 9.93/H^{*2} + 23.2/D^{*2} = 9.93/0.01418 + 23.2/0.01065 = 2879$ ;  
 so  $S^{*2}_{Metal} = 2879 \text{ m}^4/m^6$ .

Substitution of  $f_{h,Solid, Glass}$ ,  $S^{*2}_{Glass}$ , and  $S^{*2}_{Metal}$  in the equation of  $f_{h,Solid, Metal}$  results in:

$$f_{h,Solid, Metal} = \frac{S^{*2}_{Glass}}{S^{*2}_{Metal}} \cdot f_{h,Solid, Glass} = \frac{2571}{2879} \cdot 5100 = 4556 \text{ s} = 75.9 \text{ min.}$$

**So the cylindrical metal can will have a  $f_{h,Solid, Metal} = 75.9 \text{ min.}$**

Compare this to the  $f_{h,Solid, glass} = 85 \text{ min.}$  of the solid product in a glass jar.

**Answer 12b)** Verification of the adapted squared specific surface  $S^{*2} = 2571 \text{ m}^4/m^6$  of the cylindrical glass jar with internal dimensions of  $D \times H = 103.2 \text{ mm} \times 119.1 \text{ mm}$  and glass wall thickness  $d_w = 3.1 \text{ mm}$  by Excel program "Heat penetration factor fh calculations.xls", section a) Cylinder shaped packaged product SOLID (right hand side):

## Heat Penetration Factor fh Calculations for Liquid and Solid Foods

Version 1.6

| LIQUID food product                              | SOLID food product                                    |
|--|---|
| $fh = \frac{2.3 \cdot Cp \cdot \rho}{U \cdot S}$ | $fh = \frac{2.3 \cdot Cp \cdot \rho}{k \cdot S^{*2}}$ |

**INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD PRODUCT:**

Specific heat food product **Cp** =  J/(kg °C)

Density food product **ρ** =  kg/m<sup>3</sup>

Thermal conductivity food product **k** =  J/(s m °C)

**In case of LIQUID foods:** Overall heat transfer coefficient **U** =  J/(s m<sup>2</sup> °C); see table 3

**INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT:**

**a) CYLINDER SHAPED PACKAGED PRODUCT "CYL":**

|  |  |
|--|--|
| <p><b>Liquid:</b> <math>S_{CYL} = 2/H_{CYL} + 4/D_{CYL}</math></p> <p>Product diameter <math>D_{CYL} =</math> <input style="width: 100px;" type="text"/> m</p> <p>Product height <math>H_{CYL} =</math> <input style="width: 100px;" type="text"/> m</p> <p>Thickness glass wall <math>d_w =</math> <input style="width: 100px;" type="text"/> XXXXXX</p> <p style="text-align: right;">Specific Surface <math>S_{CYL} =</math> <input style="width: 100px;" type="text"/> m<sup>2</sup>/m<sup>3</sup></p> | <p><b>Solid:</b> <math>S^{*2}_{CYL} = 9.93/H^{*2}_{CYL} + 23.2/D^{*2}_{CYL}</math></p> <p>diam. <math>D_{CYL} =</math> <input style="width: 100px;" type="text"/> 0.1032 m</p> <p>height <math>H_{CYL} =</math> <input style="width: 100px;" type="text"/> 0.1191 m</p> <p>glass <math>d_w =</math> <input style="width: 100px;" type="text"/> 0.0031 meters</p> <p style="text-align: right;"><math>S^{*2}_{CYL} =</math> <input style="width: 100px;" type="text"/> <b>2570.9248</b> m<sup>4</sup>/m<sup>6</sup></p> |
|--|--|

Excel program "Heat penetration factor fh calculations.xls" calculates a  $S^{*2}_{CYL} = 2571 \text{ m}^4/\text{m}^6$ , which is exactly equal to the manually calculated  $S^{*2}_{Glass} = 2571 \text{ m}^4/\text{m}^6$ , found in [answer 12a](#)).

Verification of the adapted squared specific surface  $S^{*2}_{Metal} = 2879 \text{ m}^4/\text{m}^6$  of the cylindrical **metal** can with internal dimensions of  $D \times H = 103.2 \text{ mm} \times 119.1 \text{ mm}$ , by Excel program "Heat penetration factor fh calculations.xls", results in:

## Heat Penetration Factor fh Calculations for Liquid and Solid Foods

Version 1.6

| LIQUID food product            | SOLID food product                  |
|--------------------------------|-------------------------------------|
| $2.3 \cdot C_p \cdot \rho$     | $2.3 \cdot C_p \cdot \rho$          |
| $fh = \frac{\quad}{U \cdot S}$ | $fh = \frac{\quad}{k \cdot S^{*2}}$ |

**INPUT OF THE PHYSICAL PROPERTIES OF THE FOOD PRODUCT:**

Specific heat food product  $C_p =$   J/(kg °C)

Density food product  $\rho =$   kg/m<sup>3</sup>

Thermal conductivity food product  $k =$   J/(s m °C)

**In case of LIQUID foods:** Overall heat transfer coefficient  $U =$   J/(s m<sup>2</sup> °C); **see table 3**

**INPUT OF THE SHAPE AND DIMENSIONS OF THE PACKAGED FOOD PRODUCT:**

**a) CYLINDER SHAPED PACKAGED PRODUCT "CYL":**

|  |  |
|--|--|
| <p><b>Liquid:</b> <math>S_{CYL} = 2/H_{CYL} + 4/D_{CYL}</math></p> <p>Product diameter <math>D_{CYL} =</math> <input style="width: 100px;" type="text"/> m</p> <p>Product height <math>H_{CYL} =</math> <input style="width: 100px;" type="text"/> m</p> <p>Thickness glass wall <math>dw =</math> <input style="width: 100px;" type="text" value="XXXXXXXX"/> m</p> <p>Specific Surface <math>S_{CYL} =</math> <input style="width: 100px;" type="text"/> m<sup>2</sup>/m<sup>3</sup></p> | <p><b>Solid:</b> <math>S^{*2}_{CYL} = 9,93/H^{*2}_{CYL} + 23,2/D^{*2}_{CYL}</math></p> <p>diam. <math>D_{CYL} =</math> <input style="width: 100px;" type="text" value="0.1032"/> m</p> <p>height <math>H_{CYL} =</math> <input style="width: 100px;" type="text" value="0.1191"/> m</p> <p>glass <math>dw =</math> <input style="width: 100px;" type="text"/> in <b>meters!</b></p> <p><math>S^{*2}_{CYL} =</math> <input style="width: 100px;" type="text" value="2878.39929"/> m<sup>4</sup>/m<sup>6</sup></p> |
|--|--|

Excel program "Heat penetration factor fh calculations.xls" calculates a  $S^{*2}_{CYL} = 2878 \text{ m}^4/\text{m}^6$ , which is (almost) exactly equal to the manually calculated  $S^{*2}_{Glass} = 2879 \text{ m}^4/\text{m}^6$ , found in [answer 12a](#)).

## 9. How to find the heat penetration factor $f_h$ and the heating lag factor $j_h$ from experimental heat penetration measurements of packaged foods

### 9.1 Record the temperatures of retort and product at small time intervals

Probably the best way to estimate the (heat) penetration factors  $f_h$  and  $f_c$ , and the (heating) lag factors  $j_h$  and  $j_c$ , is by measuring the heat penetration in the slowest heating point (= "coldest core") of the packaged product. At the same time, time-temperature measurements of the retort medium should be recorded.

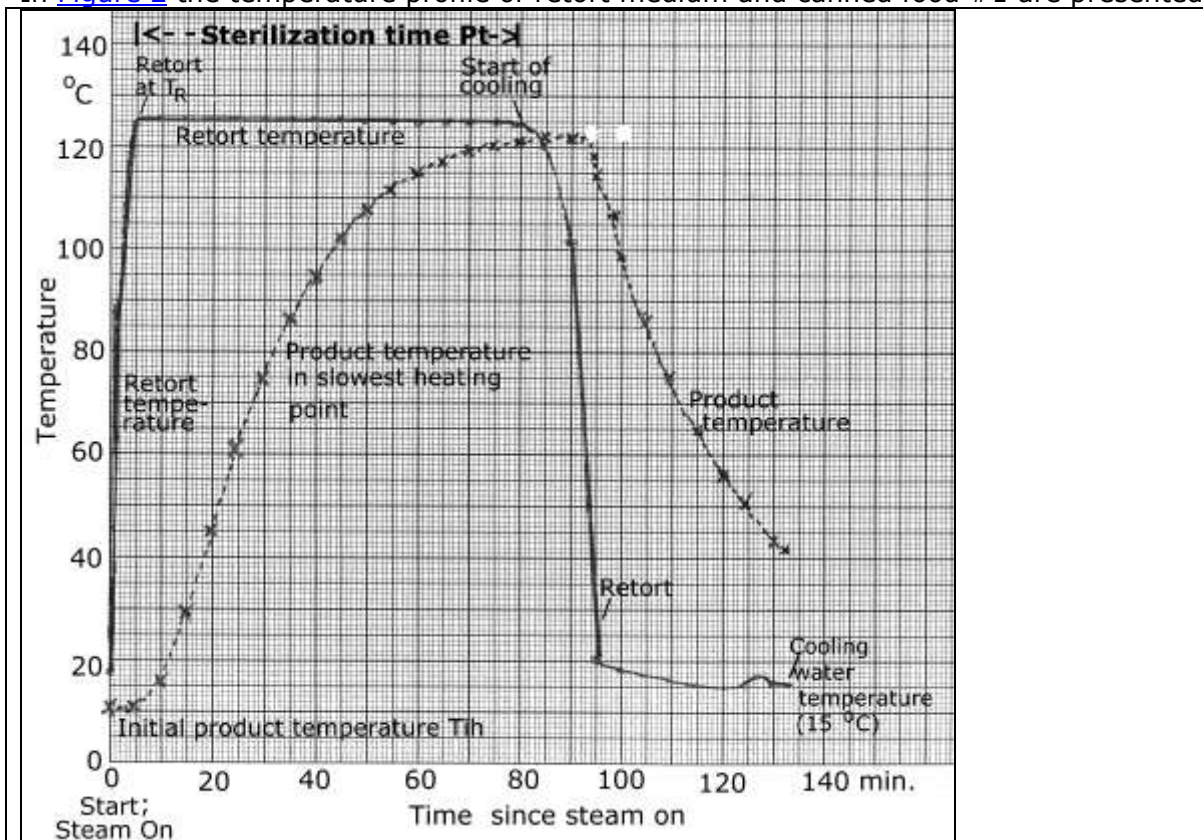
Holdsworth & Simpson (2007), p. 145 - 151 discuss in detail how to perform heat penetration measurements.

Make sure that the following times are exactly known: time "Steam On"; time "Retort at sterilization temperature"; time "Steam Off/Cooling Water ON"; time "Cooling Water Off".

This chapter 9 deals with the analysis of experimental heat penetration measurements. And how these measurements can be used to find the penetration and lag factors.

Annex 1 shows example time-temperature measurements of the heating medium in a still batch retort, and of two canned solid products, 1 and 2, at intervals of 1 minute.

In Figure 2 the temperature profile of retort medium and canned food #1 are presented.



**Figure 2:** Time-temperature profile of the temperature of a still retort (saturated steam for heating; cold water for immersion cooling), and of solid product #1, measured in its slowest heating point. For time-Temperature data, see Annex 1.

#### Key information about the process:

- "Steam On" at time  $t = 0$  min.
- Initial product temperature at moment "Steam On":  $T_{ih} = 9.7$  °C.
- At time  $t = 5$  min. the retort medium has reached the required retort temperature  $T_R = 124$  °C; so the Come Up Time C.U.T. of the retort is  $L = 5$  min.
- "Steam Off; Cooling Water ON" was at time  $t = 79$  min.; so the sterilization time  $P_t = 79$  min. - 5 min. (C.U.T.) = 74 min. =  $P_t$ .
- Product temperature at time "Steam Off; Cooling Water On" is:  $T = 120.9$  °C.
- Cooling water temperature  $T_w = 15$  °C.
- At time  $t = 124$  min. the "Cooling Water was turned Off", so the Process was over.

## 9.2 Analysis of the heating data: the semi-log heat penetration graph; $f_h$ ; $j_h$

By putting the **PRODUCT** temperature - time data in a semi log heat penetration graph, the heat penetration factor  $f_h$  and the heating lag factor  $j_h$  can be found.

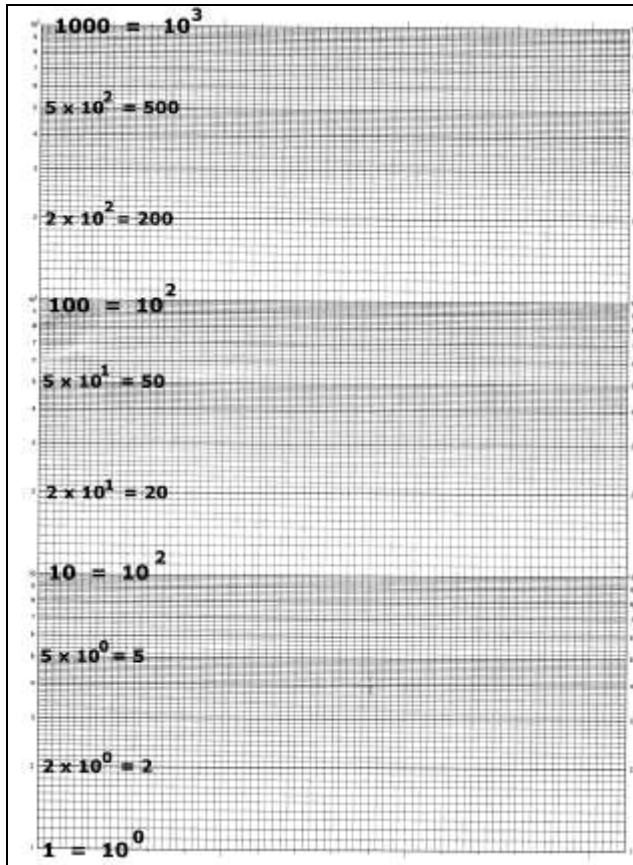
**Step 1:** Compile a table with at least 15-20 time-temperature data of both retort and product: from time "Steam On" to time "Steam Off; Cooling water on". If possible, the time interval between the data should be constant.

*Table 12 lists some 18 time-temperature measurements, at intervals of 3 min., derived from the heating part of Annex 1.*

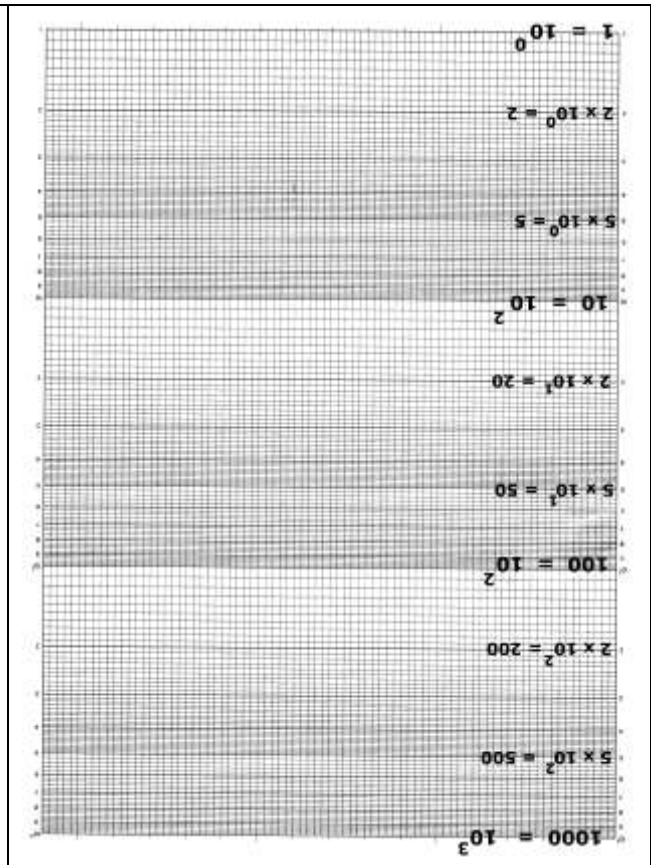
| <b>HEATING</b>               |        |                                |           |   |
|------------------------------|--------|--------------------------------|-----------|---|
| Date/Time                    | Retort | Product 1                      | Product 2 | Comments  |
| 3 min. time steps            | °C     | °C                             | °C        |   |
| 25-10-2013 00:00:00          | 17.2   | $T_{ih} = 9.7$ <sup>1)</sup>   | 10.1      | t = 0; "Steam On"; start process  |
| 25-10-2013 00:03:00          | 105.5  | 10.4                           | 11.1      | At time t = 5 min. (not shown) the retort reached the intended retort temperature $T_R = 124$ °C. |
| 25-10-2013 00:06:00          | 126.6  | 11.1                           | 12.4      |   |
| 25-10-2013 00:09:00          | 125.9  | 14.2                           | 17.8      |   |
| 25-10-2013 00:12:00          | 125.6  | 20.6                           | 29.3      |   |
| 25-10-2013 00:15:00          | 125.6  | 29.3                           | 40.4      |   |
| 25-10-2013 00:18:00          | 125.4  | 38.8                           | 50.8      |   |
| 25-10-2013 00:21:00          | 125.3  | 48.6                           | 60.4      |   |
| 25-10-2013 00:24:00          | 125.1  | 57.7                           | 68.9      |   |
| 25-10-2013 00:27:00          | 125.1  | 66.3                           | 75.9      |   |
| 25-10-2013 00:30:00          | 125    | 74.4                           | 82.3      |   |
| 25-10-2013 00:33:00          | 124.8  | 81.6                           | 88.3      |   |
| 25-10-2013 00:36:00          | 124.8  | 87.7                           | 93.5      |   |
| 25-10-2013 00:39:00          | 124.8  | 93.1                           | 98.1      |   |
| 25-10-2013 00:42:00          | 124.7  | 97.7                           | 102.1     |   |
| 25-10-2013 00:45:00          | 124.6  | 101.8                          | 105.6     |   |
| 25-10-2013 00:48:00          | 124.6  | 105.3                          | 108.5     |   |
| 25-10-2013 00:51:00          | 124.6  | 108.2                          | 111       |   |
| 25-10-2013 00:54:00          | 124.6  | 110.7                          | 113.2     |   |
| 25-10-2013 00:57:00          | 124.5  | 112.8                          | 114.9     |   |
| 25-10-2013 01:00:00          | 124.3  | 114.6                          | 116.4     |   |
| 25-10-2013 01:03:00          | 124.5  | 116.1                          | 117.7     |   |
| 25-10-2013 01:06:00          | 124.5  | 117.4                          | 118.8     |   |
| 25-10-2013 01:09:00          | 124.5  | 118.4                          | 119.6     |   |
| 25-10-2013 01:12:00          | 124.5  | 119.3                          | 120.4     |   |
| 25-10-2013 01:15:00          | 124.3  | 120.1                          | 121       |   |
| 25-10-2013 01:18:00          | 124.1  | 120.7                          | 121.5     |   |
| 25-10-2013<br><b>1:19:00</b> | 124.3  | $T_{ic} = 120.9$ <sup>2)</sup> | 121.7     | <b>Steam Off; Cooling Water On</b>  |

<sup>1)</sup>  $T_{ih}$  = initial heating temperature of food; <sup>2)</sup>  $T_{ic}$  = initial cooling temperature of food at "Steam Off; Cooling On".

**Step 2:** Use semi log graph paper for a graphical presentation. For empty semi log paper: see Annex 2. Semi log paper has one logarithmic side, and one linear side (See figure 3A). The log part should have 3 decimal steps, so should go from 1 to 1000 (=  $10^3$ ). Next put the semi-log graph paper upside down (invert the paper). See figure 3B. Thus at the vertical log scale, 1 is at the top, and 1000 (=  $10^3$ ) is at the bottom.



**Figure 3A:** Semi log paper. Vertical scale has 3 log sections;  $1 = 10^0$  at bottom;  $1000 = 10^3$  at top. Horizontal axis: linear SCALE.



**Figure 3B:** Inverted semi log paper:  $1 = 10^0$  at top;  $1000 = 10^3$  at bottom. Vertical scale has 3 log sections. Horizontal axis: linear SCALE.

**Step 3:** The log temperature scale (vertical, left):

At the **inverted** semi log paper, the temperatures will be put at the left hand vertical log scale.

At the top left, at number **1** on the log scale, write at scale number 1: "**Retort temperature  $T_R$  MINUS 1**".

As the retort temperature in this example is  $T_R = 124$  °C, at the top left, so at scale number 1, write  $124$  MINUS  $1 = 124 - 1 =$  **123 °C (=  $T_R - 1$ )**.

Also: at the left log scale, at number **5**, write the result of (Retort temperature  $T_R - 5$ ) =  $124 - 5 = 119$  °C.

See [figure 4](#).

Repeat this process to insert as many as possible converted numbers on the vertical scale.

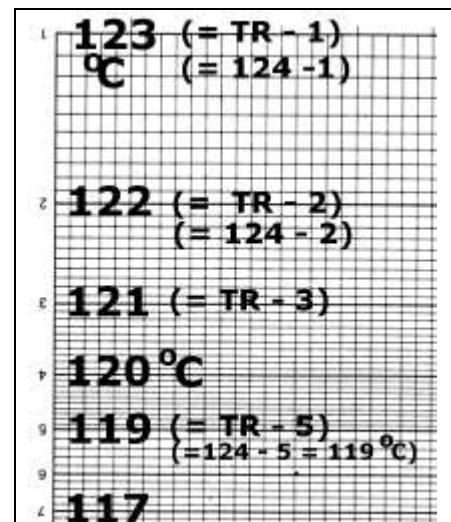
So at number 10 write  $T_R - 10 = 124 - 10 = 114$  °C;

at number 15 write  $T_R - 15 = 124 - 15 = 109$  °C;

at number 20 write  $124 - 20 = 104$  °C, etc. etc.

[Figure 5](#) shows the vertical product temperature scale of the inverted semi log graph.

When drawing the heat penetration graph later, it will be helpful if you have converted as many vertical numbers as possible.



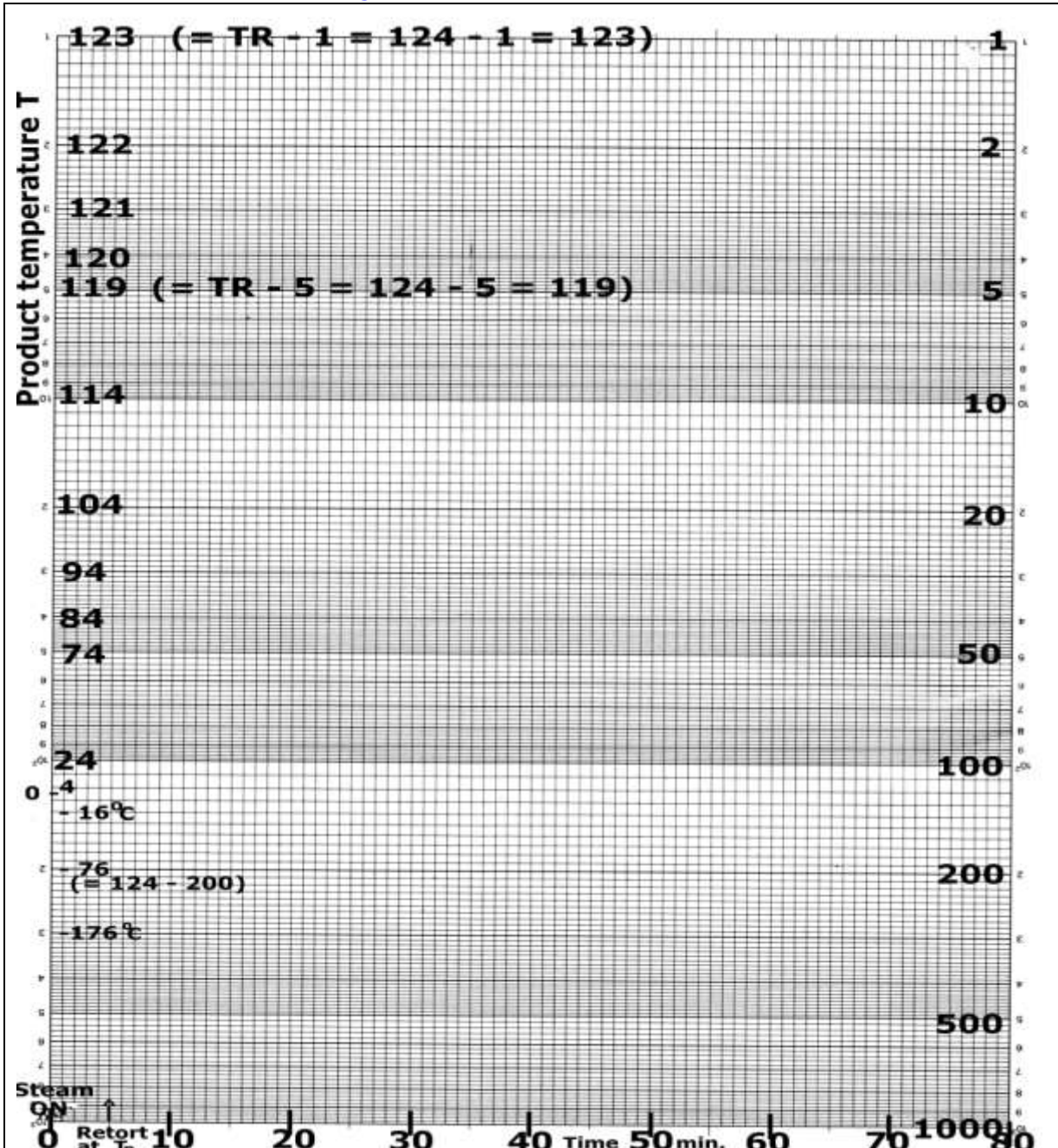
**Figure 4:** How to find the correct product temperature numbers on the vertical scale of the inverted semi log paper. A small section of the graph is reproduced here. See also [table 12](#).

**Step 4:** The linear time scale (horizontal, bottom):

A semi-log heating graph will record the product temperatures during the heating part of the process. So from moment  $t = 0$  min. ("Steam On") to moment "Steam Off; Cooling water ON". Thus at the linear horizontal bottom scale of the inverted log paper, write down the minutes of the heating section.

Start with  $t = 0$  min. at the left bottom corner of the graph paper: "Steam On".

According to [table 12](#), the moment "Steam Off; Cooling Water On" is at time 1 h 19 min., so at 79 min. Thus the horizontal linear scale should at least cover the time period from  $t = 0$  min. to  $t = 79$  min. See [figure 5](#).



**Figure 5:** Vertical scale: product temperatures in a semi-log heating graph. At left hand scale, as many as possible product converted temperatures [°C]. See also at [table 12](#). Actual retort temperature  $T_R = 124$  °C. At scale number 1:  $T_R - 1 = 123$  °C  
 Horizontal scale: time of heating, from "Steam On", at  $t = 0$  min., to "Cooling On" at  $t = 79$  min. Linear scale.

**Step 5:** Next include the actual **product** temperatures of product 1 (see [table 12](#)) in the inverted semi-log heating graph.

Start with the **initial heating** temperature  $T_{ih}$  of food 1 is:  $T_{ih} = 9.7\text{ }^\circ\text{C}$  (= product temperature at time  $t = 0$ , so at time "Steam On"). Next include the other points. In figure 6, all product time-temperature points are indicated with an X.

You will notice that from a certain time onward the plotted points will be on an (almost) straight line.

**Do not use product temperatures that are within 5 °C from the retort temperature. As  $T_R = 124\text{ }^\circ\text{C}$ , stop including readings from  $T = 119\text{ }^\circ\text{C}$  onward). Reason: A product temperature close to the retort temperature will be affected by fluctuations in the retort temperature, and thus will not be on the straight line any more.**

Draw a straight line through the higher time-temperature points; extend that straight line to time  $t = 0$  min. For the extension part of the straight line, use a broken line. See [figure 6](#).

**Step 6:** From now on, only use the straight product temperature line. **Ignore the bended part of the product graph at the left side.**

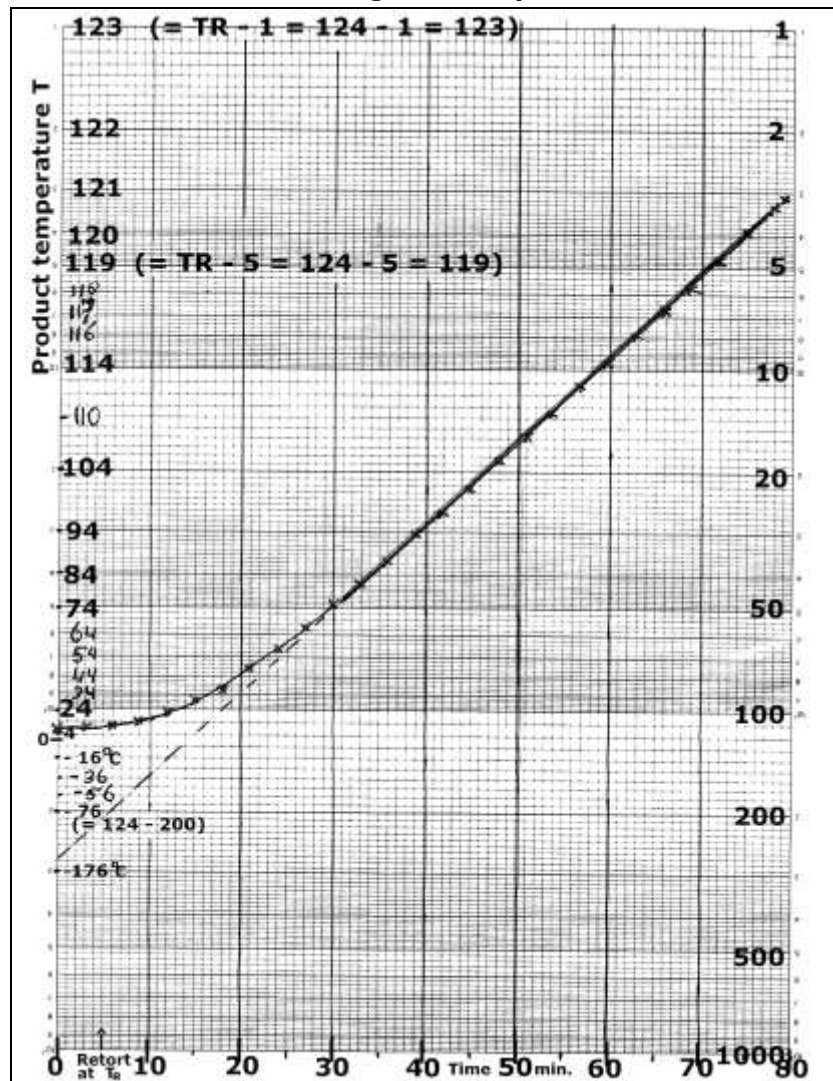
Using the **right** hand vertical log scale, mark a **one log** (= a 10-fold) temperature cycle on the **straight** line. So mark two points on that straight line which are a ten-fold temperature change apart, if measured on the right hand scale.

For example: from 10 to 100 at the **right** hand scale is one log cycle; thus mark points 10 and 100 on the straight line (see fig. 7).

Also: from from 5 to 50 on the right hand log scale is one log cycle: so you could mark points 5 and 50. Etc.

In [figure 7](#), on the **straight** line, marks are placed at temperature points 10 and 100. The distance between these two points is one log cycle.

*N.B.: make sure that the 100 mark is at the straight line, and NOT at the bended part of the semi log heating graph!*



**Figure 6:** Semi log heating graph of product 1 ([table 12](#)).

\* Do NOT use temperatures above  $T_R - 5\text{ }^\circ\text{C} = 124 - 5 = 119\text{ }^\circ\text{C}$ !  
 \* The straight line through the higher time-temperature findings is extended to time  $t = 0$  min. (use broken line for the extension).

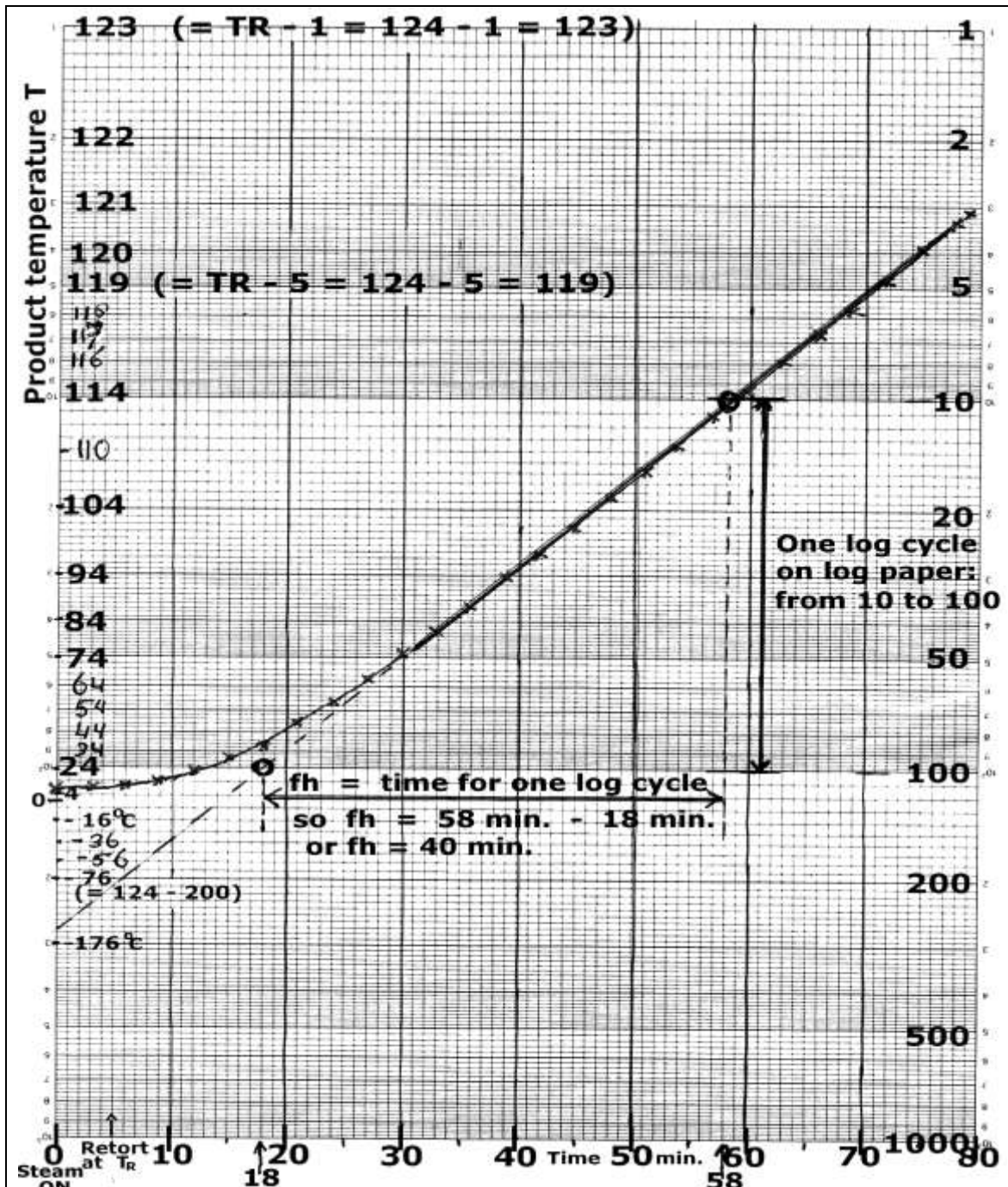
Next, at the horizontal time axis, read the **time** required for the product to make such a one log temperature cycle:

At  $T = 10$  (10 on the right hand scale), the time  $t = 58$  min.

At  $T = 100$  (100 at the right hand scale), the time  $t = 18$  min.

So a one log temperature cycle requires a time of  $58 - 18 = 40$  min.

The time a packaged food requires for a one log temperature cycle, is called the **heat penetration factor  $f_h$** . So in [fig. 7](#),  $f_h = 40$  min.



**Figure 7:** How to find the heat penetration factor  $f_h$  from the experimental semi log heat penetration graph:

On the straight section of the heating graph, mark a one log temperature cycle; use the right hand log scale. Example: a 1 log temperature cycle goes from temperature 10 at log scale (at time  $t = 58$  min.) to temperature 100 at log scale (at time  $t = 18$  min.). Heat penetration factor  $f_h =$  time needed for a one log temperature cycle, so  $f_h = 58 - 18 = 40$  min.



**Step 7:** How to find the **heating lag factor  $j_h$** ? (see [fig. 8](#)).

- At time "Retort at  $T_R$ ", so at Come Up Time  $L = 5$  min., go 40% of  $L$  to the left (so go  $0.4 \times L = 2$  min. to the left). That new time on the horizontal scale, so time  $L - 0.4 \cdot L = 5 - 0.4 \cdot 5 = 3$  min. is called the *pseudo initial heating time* [or the *corrected zero time* or the *pseudo zero heating time*] of the process.

- From the **p**seudo **i**nitial **h**eating time, draw a **v**ertical line to the (extended) straight line of the food temperature (see [fig. 8](#)). The intersection of these lines, at temperature  $T_{pih} \approx -114$  °C, is called the **p**seudo **i**nitial **h**eating temperature  $T_{pih}$ .

- In the definition equation of the heating lag factor  $j_h$ ,

$$j_h = \frac{T_R - T_{pih}}{T_R - T_{ih}}$$

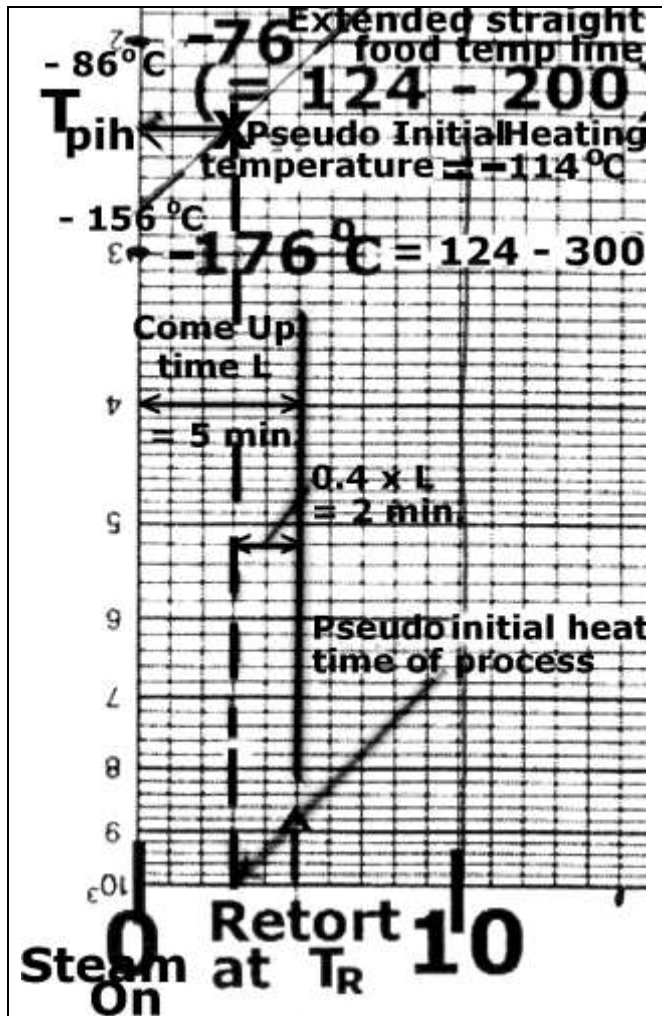
now all 3 factors are known:

$T_R$  = sterilizing retort temperature = 124 °C;

$T_{pih}$  = pseudo initial heating temperature = -114 °C;

$T_{ih}$  = initial heating temperature = 9.7 °C (see [table 12](#)).

So substitution in the equation for  $j_h$  results in:  $j_h = 2$  (see below).



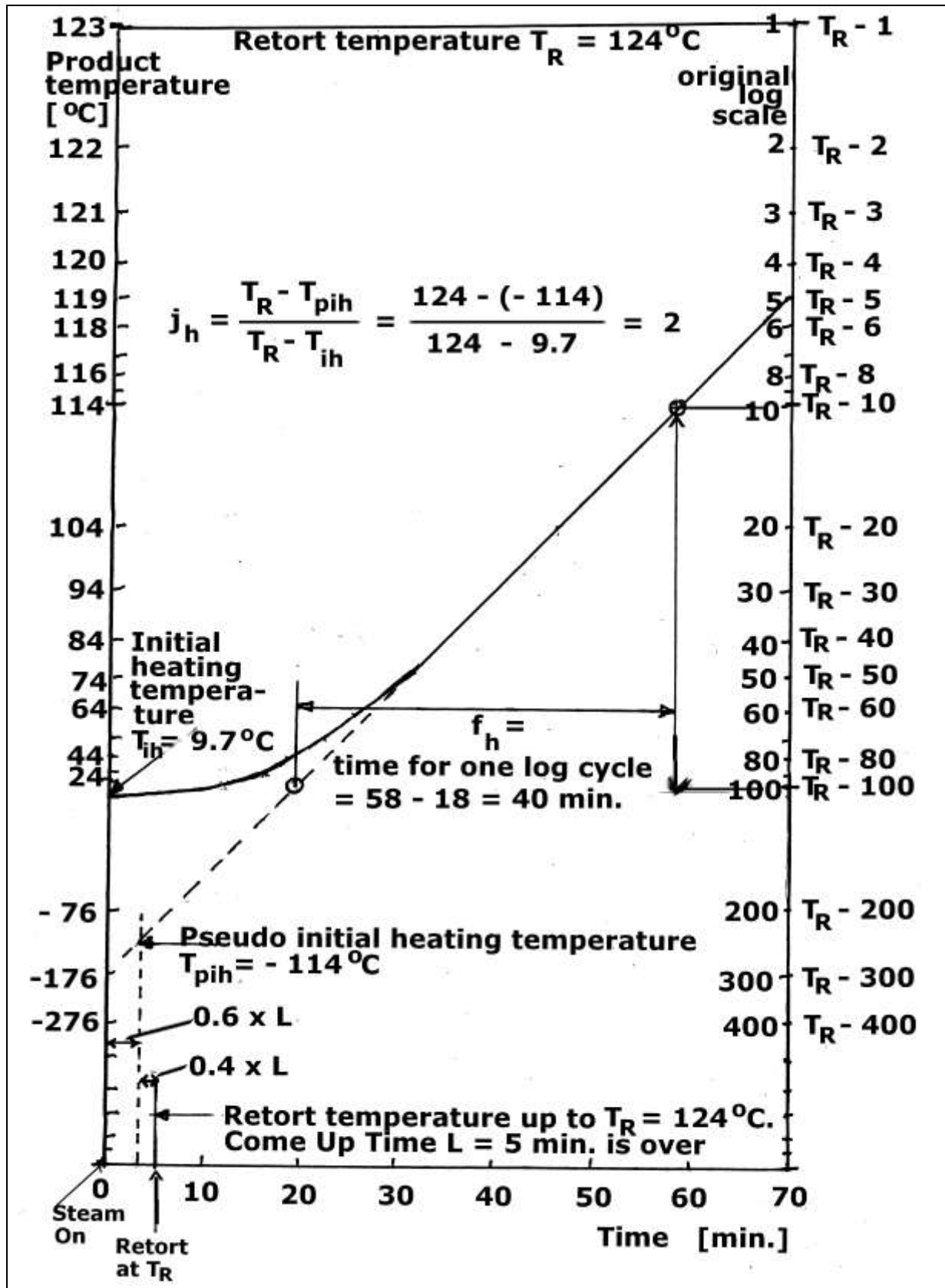
**Figure 8:** Finding the pseudo initial heating temperature  $T_{pih}$ :

- At time "Retort at  $T_R$ ", so at moment come up time  $L = 5$  min., go 40% of  $L$  to the left. So go  $0.4 \times L = 0.4 \times 5 = 2$  min. to the left. This time, thus  $L - 0.4 \times L = 5 - 2 = 3$  min. is called the "pseudo initial heating time".

- A **v**ertical line through the pseudo initial heating time intersects the extended straight food temperature line at  $T = -114$  °C. This  $T = -114$  °C is called the **p**seudo **i**nitial **h**eating temperature  $T_{pih}$ .

|                    |         |  |                                    |  |
|--------------------|---------|--|------------------------------------|--|
| <b>Definition:</b> | $j_h =$ | $\frac{T_R - T_{pih}}{T_R - T_{ih}} =$ | $\frac{124 - (-114)}{124 - 9.7} =$ | $\frac{238}{114.3} = 2$ ; so $j_h = 2$ |
|                    |         |  |                                    |  |

The graphical approach to find  $f_h$  and  $j_h$  from the semi log heating graph, as presented in steps 1 to 7 above, has been summarized in [figure 9](#).



**Figure 9: SUMMARY:** how to use the semi-logarithmic **heat penetration graph** to find the numerical values of the heat penetration factor  $f_h$  and the heating lag factor  $j_h$  from the experimental heat penetration measurements of [table 12](#) and [Annex 1](#).

See also Holdsworth and Simpson (2007: p 156); C.R. Stumbo (1973: p. 137-141).

### 9.3 Analysis of the cooling data: the semi-log cooling graph; $f_c$ ; $j_c$

To find the cooling penetration factor  $f_c$ , and the cooling lag factor  $j_c$  from experimental heating and cooling data, the procedure is more or less similar to that of chapter 9.2:

**Step 1:** Compile a table with cooling data of retort and product from [Annex 1](#). Collect data from time "Steam Off; Cooling Water On" to time "Cooling Water Off". See table 13.

**Table 13:** COOLING PART OF STERILIZATION PROCESS (source: [Annex 1](#)).

Time-temperature measurements of the retort medium (for heating: saturated condensing steam; for cooling immersion in cold water), and of 2 solid products, 1 and 2, measured in their "slowest heating point" or coldest core. Cooling water temperature  $T_w = 15$  °C.

| COOLING             |              |           |                                |              |                                   |
|---------------------|--------------|-----------|--------------------------------|--------------|-----------------------------------|
| Date/Time           | Cooling time | Retort °C | Product 1 °C                   | Product 2 °C | Comments                          |
| 25-10-2013 01:19:00 | 0            | 124.3     | $T_{ic} = 120.9$ <sup>2)</sup> | 121.7        | Steam Off; Cooling Water On       |
| 25-10-2013 01:22:00 | 3            | 120.1     | 121.4                          | 121.9        |                                   |
| 25-10-2013 01:25:00 | 6            | 119.9     | 121.8                          | 121.9        |                                   |
| 25-10-2013 01:28:00 | 9            | 114.3     | 122                            | 121.3        |                                   |
| 25-10-2013 01:31:00 | 12           | 50.4      | 121.7                          | 120.8        |                                   |
| 25-10-2013 01:34:00 | 15           | 23.4      | 117.8                          | 117.6        |                                   |
| 25-10-2013 01:37:00 | 18           | 19.4      | 109.1                          | 109.4        |                                   |
| 25-10-2013 01:40:00 | 21           | 18        | 99.9                           | 99.8         |                                   |
| 25-10-2013 01:43:00 | 24           | 17.2      | 90.6                           | 90.5         |                                   |
| 25-10-2013 01:46:00 | 27           | 16.7      | 83.2                           | 82.3         |                                   |
| 25-10-2013 01:49:00 | 30           | 16.2      | 76.4                           | 74.9         |                                   |
| 25-10-2013 01:52:00 | 33           | 15.9      | 70.2                           | 68.4         |                                   |
| 25-10-2013 01:55:00 | 36           | 15.6      | 64.5                           | 62.5         |                                   |
| 25-10-2013 01:58:00 | 39           | 15.4      | 59.4                           | 57.4         |                                   |
| 25-10-2013 02:01:00 | 42           | 15.3      | 54.7                           | 52.7         |                                   |
| 25-10-2013 02:04:00 | 45           | 15.2      | 50.5                           | 48.7         | Cooling Water Off; end of Process |

<sup>2)</sup>  $T_{ic}$  = initial cooling temperature of food at time "Steam Off; Cooling Water ON".

**Step 2:** Use semi log graph paper for a graphical presentation. The log part of the paper should have 3 decimal steps, so should go from 1 to 1000 (=  $10^3$ ).

For the cooling graph, keep the paper upright: thus at the vertical log scale, 1 is at the bottom, and 1000 (=  $10^3$ ) is at the top. See [fig. 10](#).

**Step 3:** How to set up the log temperature scale (vertical, left)?

At the semi log paper, the product temperatures are put at the left hand vertical log scale.

At the bottom left, thus at the original number **1** on the log scale, write:

**Cooling water temperature  $T_w$  PLUS 1.**

As the cooling water temperature in this example is  $T_w = 15$  °C, thus at the bottom left, so at number 1, write  **$T_w$  plus 1 =  $15 + 1 = 16$  °C.**

Other example: at the left log scale, at original number 5, write the result of (Retort temperature  $T_w + 5$ ) =  $15 + 5 = 20$  °C. See figure 10.

Repeat this process to include as many as possible converted numbers on the vertical log scale. So at the original log scale number 10 write  $T_w + 10 = 15 + 10 = 25$  °C;

at the original log scale number 15 write  $T_w + 15 = 15 + 15 = 30$  °C;

at the number log scale number 20 write  $15 + 20 = 35$  °C; etc.

Figure 10 shows the vertical product temperature scale of the semi log cooling graph. When drawing the cooling graph, it will be helpful if you have converted as many original vertical log scale numbers as possible.

**Step 4:** How to set up the linear time scale (horizontal, bottom)?

A semi-log cooling graph will record the product temperatures during the cooling part of the process. So from moment "Steam Off; Cooling Water On" to moment "Cooling Water Off". Thus at the linear horizontal bottom scale of the cooling graph, write the minutes of the cooling section (see table 13).

Also register:

$t = 0$  min.: Steam Off; Cooling Water ON;  
 $t = 45$  min.: Cooling Water Off.

These times have been included in figure 10.

**Step 5:** Now include the **product** temperatures of product 1 (see table 13) in the semi-log cooling graph.

The **initial cooling** temperature  $T_{ic}$  of food 1 at moment "Steam Off; Cooling Water On" is:  $T_{ic} = 120.9$  °C.

So point  $\{t = 0 \text{ min.}; T = 120.9 \text{ °C}\}$  will be the first point of the cooling graph.

Next draw the other time-temperature readings from the cooling section (table 13). See fig. 11.

**Do not use product temperature readings that are within 5 °C from the cooling water temperature. As  $T_w = 15$  °C, stop including readings from  $T = 20$  °C onward).**

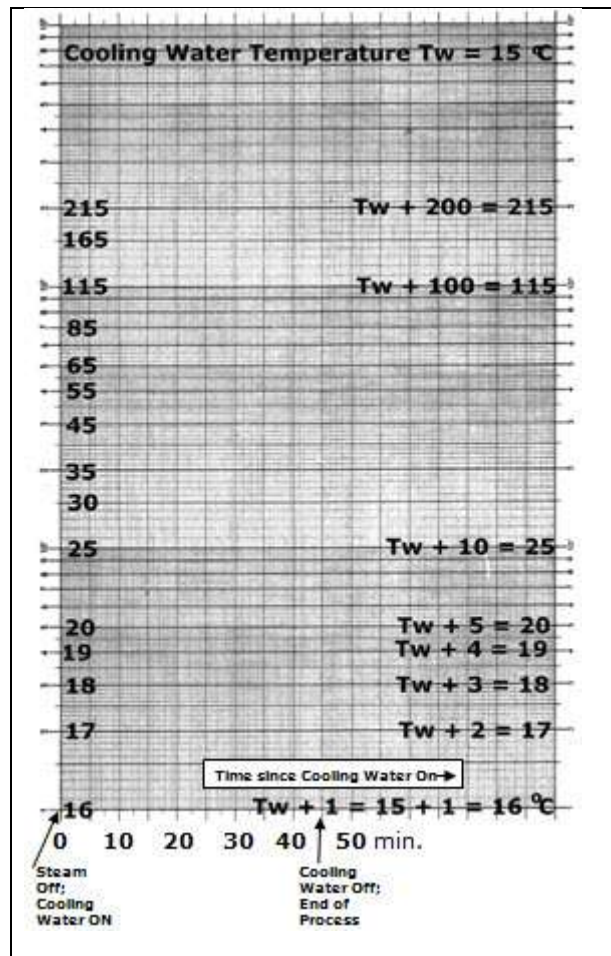
**Reason: A product temperature close to the cooling water temperature will be affected by fluctuations in that  $T_w$ , and thus will not be on the straight line any more.**

You will notice that from a certain time onward the plotted points will be on an (almost) straight line.

Draw a straight line through the higher time-temperature points. Extend by a broken line that straight line at both ends: to time  $t = 0$  min. = time "Cooling Water On" until it intersects with the left vertical axis, and to its intersection at the right vertical axis.

The intersection of the extended straight product temperature line and time  $t = 0$  = time "Cooling Water On" is called the **pseudo initial cooling temperature  $T_{pic}$**  of the product. "Pseudo" means: "corrected", or "virtual". According to fig. 10,  $T_{pic} \approx 210$  °C. At time  $t = 0$  = time "Cooling Water On", the **real initial cooling** product temperature  $T_{ic} = 120.9$  °C (see table 13).

Both "initial" temperatures  $T_{ic} = 120.9$  °C and  $T_{pic} = 210$  °C have been indicated in fig. 11.



**Figure 10:** Temperatures and times at upright semi log cooling graph, if cooling water temperature  $T_w = 15$  °C.

Vertical scale: 3 log sections; 1 at bottom; 1000 at top. Horizontal axis: linear.

In cooling graph:

1 at log scale = cooling water temperature **plus** 1.  
 So number 1 at log scale actually is  $15 + 1 = 16$  °C.

Also:

4 at log scale = cooling water temperature **plus** 4.  
 So number 4 at log scale actually is  $15 + 4 = 19$  °C.

**Step 6:** How to find the cooling lag factor  $j_c$  (see [fig. 11](#))?

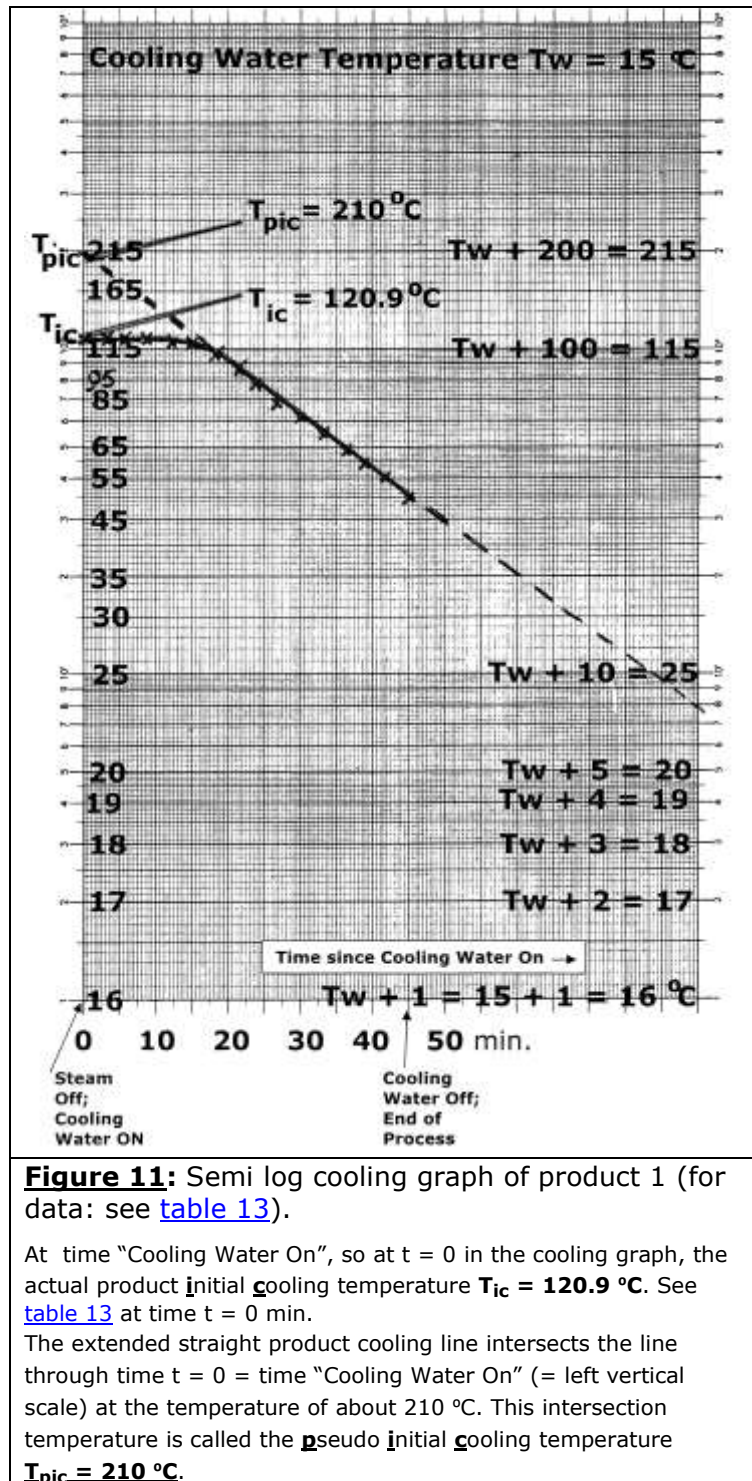
The definition of  $j_c$  is:

$$j_c = \frac{T_w - T_{pic}}{T_w - T_{ic}} \quad (\text{see below})$$

From step 5 above, and from [figure 11](#), we know already all factors in the  $j_c$  equation:

- \*  $T_w$  = cooling water temperature:  $T_w = 15 \text{ }^\circ\text{C}$ ;
- \*  $T_{ic}$  = **i**nitial **c**ooling temperature of product:  $T_{ic} = 120.0 \text{ }^\circ\text{C}$ ;
- \*  $T_{pic}$  = **p**seudo **i**nitial **c**ooling temperature of product:  $T_{pic} = 210 \text{ }^\circ\text{C}$ .

Substitution of these 3 factors in the definition equation of  $j_c$  (see below), results in a cooling lag factor of  **$j_c = 1.84$** .



**Figure 11:** Semi log cooling graph of product 1 (for data: see [table 13](#)).

At time "Cooling Water On", so at  $t = 0$  in the cooling graph, the actual product **i**nitial **c**ooling temperature  $T_{ic} = 120.9 \text{ }^\circ\text{C}$ . See [table 13](#) at time  $t = 0$  min.

The extended straight product cooling line intersects the line through time  $t = 0 =$  time "Cooling Water On" (= left vertical scale) at the temperature of about  $210 \text{ }^\circ\text{C}$ . This intersection temperature is called the **p**seudo **i**nitial **c**ooling temperature  $T_{pic} = 210 \text{ }^\circ\text{C}$ .

|                    |         |  |                                 |                         |                          |
|--------------------|---------|--|---------------------------------|-------------------------|--------------------------|
| <b>Definition:</b> | $j_c =$ | $\frac{T_w - T_{pic}}{T_w - T_{ic}} =$ | $\frac{15 - 210}{15 - 120.9} =$ | $\frac{-195}{-105.9} =$ | $1.84$ ; so $j_c = 1.84$ |
|                    |         |  |                                 |                         |                          |

**Step 7:** From now on, only use the straight product temperature line. **Ignore the left side bended part of the product cooling graph.**

Using the **right** hand vertical log scale, mark a **one log** (= a 10-fold) temperature cycle on the straight line. So mark two points on that straight line which are a ten-fold temperature change apart, if measured on the right hand scale.

For example: from 100 to 10 at the **right** hand scale is one log cycle; thus mark points 100 and 10 on the straight line (see [fig. 12](#)).

In [figure 12](#), on the straight product line, marks are placed at temperature points 100 and 10. The distance between these two points is one log cycle.

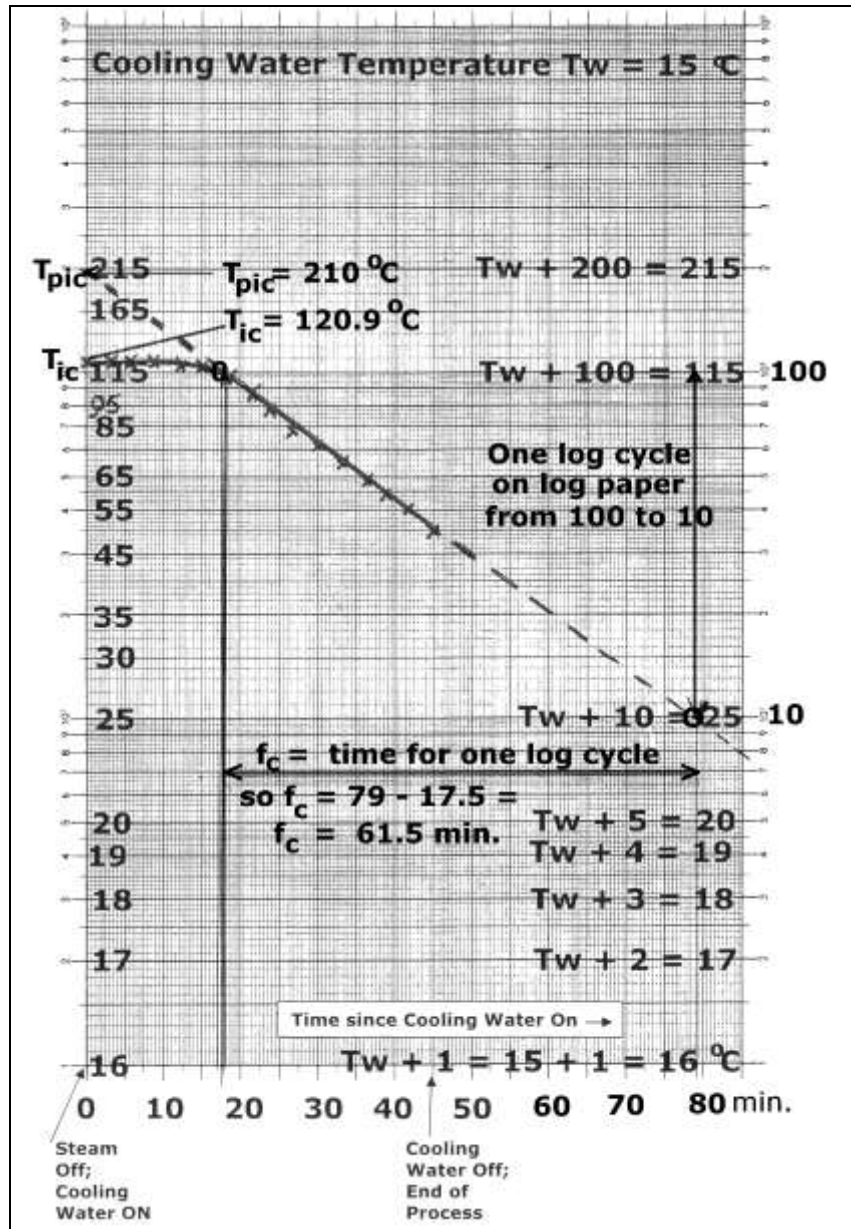
*N.B.: make sure that the 100 mark is at the straight line, and NOT at the bended part of the semi log product cooling graph!*

Next, at the bottom horizontal time scale, read the time required for the product to make such a one log temperature cycle:

At  $T = 100$  on the right hand scale, the time  $t = 79$  min.

At  $T = 10$  at the right hand scale, the time  $t = 17.5 =$  min.

So a one log temperature cycle requires  $f_c = 79 - 17.5 = 61.5$  min. Or  **$f_c = 61.5$  min.**

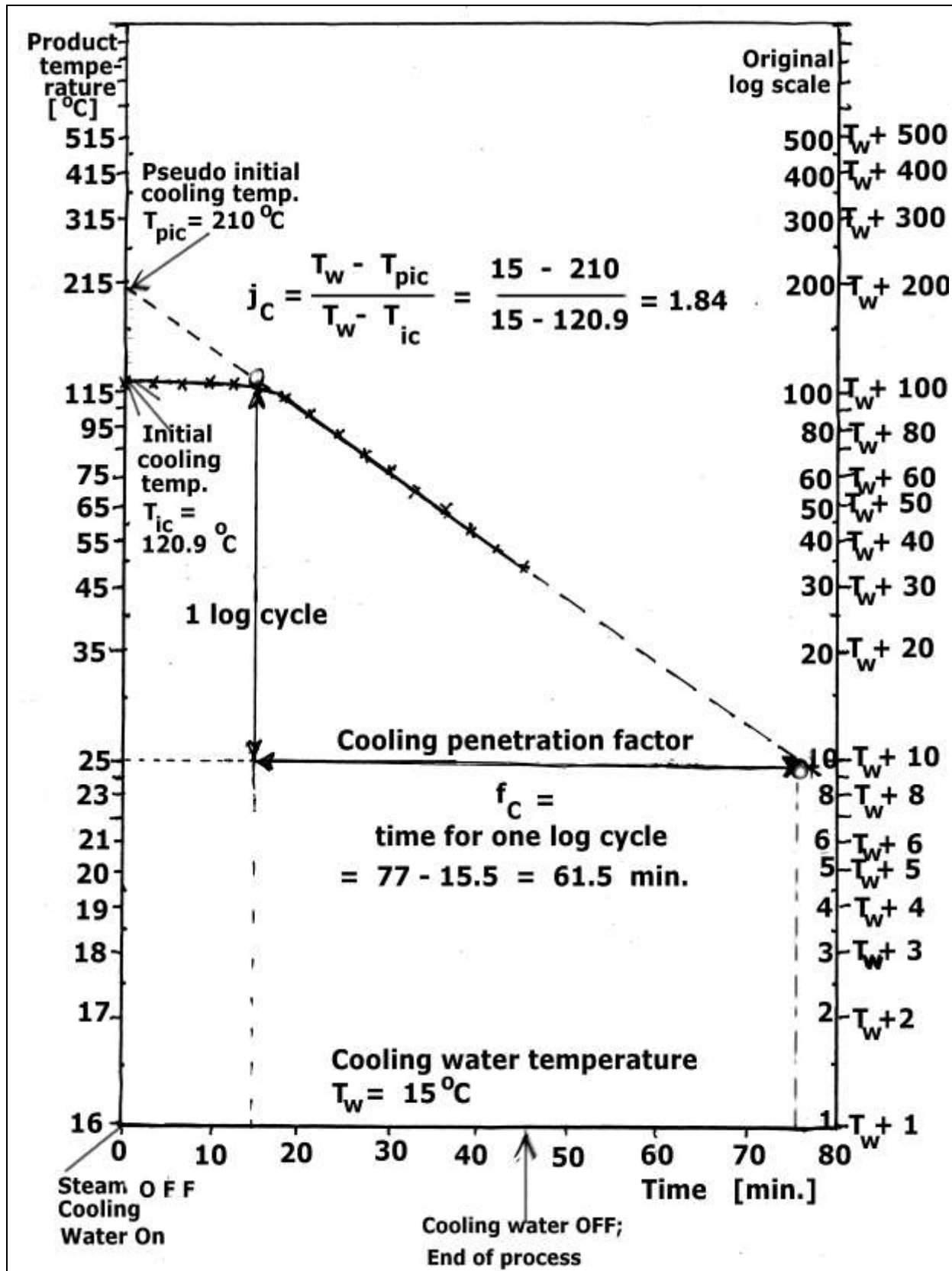


**Figure 12:** How to find the cooling penetration factor  $f_c$  from the experimental semi log cooling graph:

On the straight section of the product cooling graph, mark a one log temperature cycle; use the **right** hand log scale. So a one log temperature cycle goes from temperature 100 (at time  $t = 79$  min.) to temperature 10 (at time  $t = 17.5$  min.).

Cooling penetration factor  $f_c =$  time needed for a one log temperature cycle, so  $f_c = 79 - 17.5 = 61.5$  min.

The graphical approach to find  $f_c$  and  $j_c$  from the semi log cooling graph, as presented in steps 1 to 7 above, has been summarized in [figure 13](#).



**Figure 13:** SUMMARY: how to use the semi-logarithmic **cooling graph**, to find the numerical values of the cooling penetration factor  $f_c$  and the cooling lag factor  $j_c$  from the experimental cooling penetration measurements of [table 13](#) and [Annex 1](#).

See also Holdsworth and Simpson (2007: p 157); C.R. Stumbo (1973: p. 137-141).

**Example Calculation 13:**

A solid food, packaged in cylindrical metal A2 cans of internal Diameter x Height = 83 mm x 114 mm, is sterilized in a saturated steam retort.

Sterilization temperature  $T_R = 119\text{ }^\circ\text{C}$ ; cooling water temperature  $T_W = 20\text{ }^\circ\text{C}$ .

At intervals of 10 min., both the retort temperature, and the food temperature in its slowest heating point, have been registered; see [table 14](#) below:

**Table 14:** Heating time and temperature of both the retort and of a canned solid food product in its slowest heating point, registered at intervals of 10 min..

| time    | Retort temp      | Product temp     | Comments  |
|---------|------------------|------------------|---|
| h:mm:ss | $^\circ\text{C}$ | $^\circ\text{C}$ |   |
| 0:00:00 | 30               | 55               | Steam On  |
| 0:10:00 | 119              | 56               | Retort at sterilization temp. $T_R = 119\text{ }^\circ\text{C}$ |
| 0:20:00 | 119              | 65               |   |
| 0:30:00 | 119              | 77               |   |
| 0:40:00 | 119              | 88               |   |
| 0:50:00 | 119              | 98               |   |
| 1:00:00 | 119              | 105              |   |
| 1:10:00 | 119              | 109.4            |   |
| 1:20:00 | 119              | 112.5            |   |
| 1:30:00 | 119              | 114.7            |   |
| 1:40:00 | 119              | 116              |   |
| 1:50:00 | 119              | 117              | Steam Off; Cooling Water On                                     |
| 2:00:00 | 20               | 114              |   |
| 2:10:00 | 20               | 94               |   |
| 2:20:00 | 20               | 74               |   |
| 2:30:00 | 20               | 56               |   |
| 2:40:00 | 20               | 44               |   |
| 2:50:00 | 20               | 36               | Cooling Water Off; End of process                               |

**13a)** Conclude from the [table 14](#) above:

**13a-1)** the initial food temperature at heating  $T_{ih} = \text{_____ } ^\circ\text{C}$ .

**13a-2)** the come up time of the retort  $L = \text{_____ min.}$

**13a-3)** the sterilization time  $P_t = \text{_____ min.}$

**13a-4)** the initial food temperature at start of cooling  $T_{ic} = \text{_____ } ^\circ\text{C}$ .

**13b)** From [table 1](#), [table 2](#), and [table 3](#),

**13b-1)** make a first estimation of the heat penetration factor  $f_h = \text{_____ min.}$

**13b-2)** Make a first estimation of the food heating lag factor  $j_h = \text{_____}$ .

**13c)** Construct a semi-logarithmic heat penetration graph (graph paper at next pages) and calculate .....

**13c-1)** the experimental heat penetration factor  $f_h = \text{_____ min.}$

**13c-2)** the experimental heating lag factor  $j_h = \text{_____}$ .

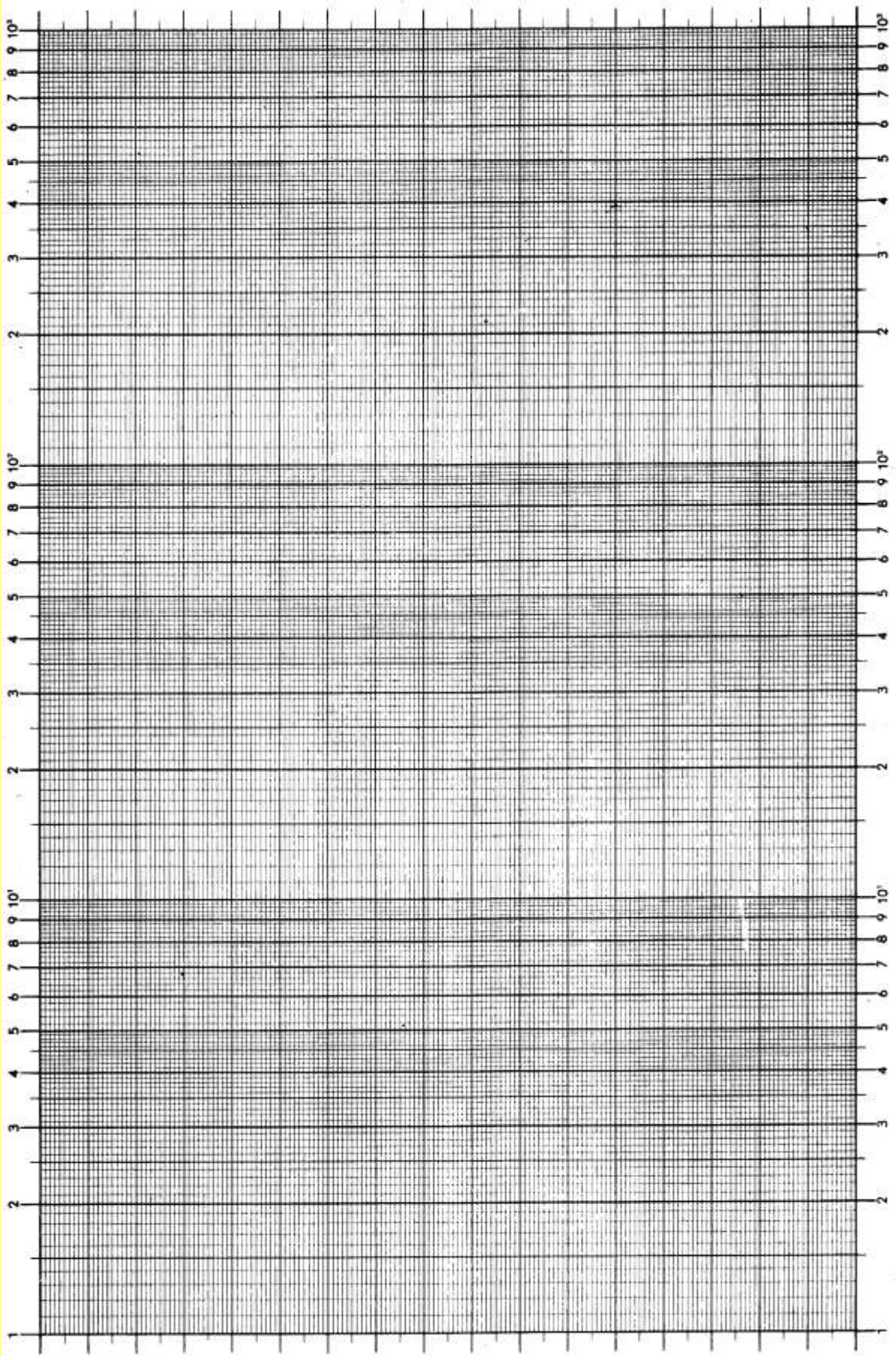
**13c-3)** Compare and contrast the experimental  $f_h$  and  $j_h$  values of answers 13c-1) and 13c-2) with the estimated  $f_h$  and  $j_h$  values of answers 13b-1) and 13b-2).

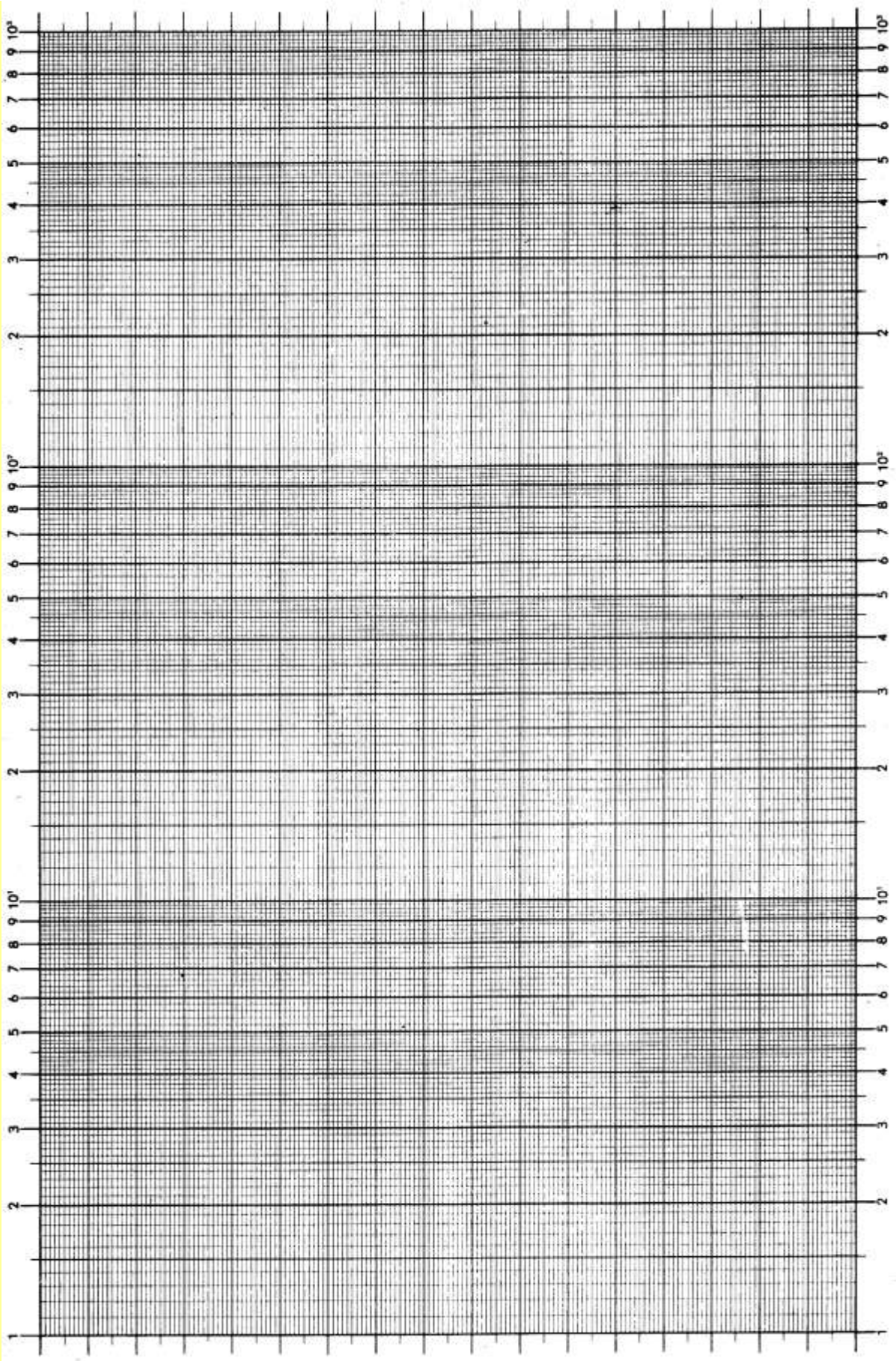
**13d)** Construct a semi-logarithmic cooling graph (graph paper at next pages) and calculate ...

**13d-1)** the experimental cooling penetration factor  $f_c = \text{_____ min.}$

**13d-2)** the experimental cooling lag factor  $j_c = \text{_____}$ .







**Worked answers 13:**

**Answer 13a)** Conclude from the [table 14](#):

**Answer 13a-1)** the initial food temperature at heating  **$T_{ih} = 55\text{ °C}$** .

*The initial food temperature at heating  $T_{ih}$  is the temperature in the slowest heating point of the food at the moment "Steam On". See [table 14](#) at time  $t = 0$ .*

**Answer 13a-2)** the come up time of the retort  **$L = 10\text{ min}$** .

*The Come Up Time  $L$  of a retort is the time, needed for the retort to reach the sterilization temperature  $T_R$ . So the time between "Steam ON" and "Retort at  $T_R$ "*

**Answer 13a-3)** the sterilization time  **$P_t = 1\text{ h } 50\text{ min} - 10\text{ min} = 1\text{ h } 40\text{ min} = 100\text{ min}$** .

*The sterilization time is the time during which the retort is at sterilization temperature. So the time between "Retort at  $T_R$ " and the moment "Steam Off; Cooling Water ON".*

**Answer 13a-4)** the initial food temperature at start of cooling  **$T_{ic} = 117\text{ °C}$** .

*The initial food temperature at cooling  $T_{ic}$  is the temperature in the slowest heating point of the food at the moment "Steam Off; Cooling Water On".*

**Answer 13b)** From [table 1](#), [table 2](#), and [table 3](#),

**Answer 13b-1)** make a first estimation of the heat penetration factor:  **$f_h = 64 - 65\text{ min}$** .

*In table 3, dealing with SOLID foods, a can A2 ( $D \times H = 83 \times 114\text{ mm}$ ) with beans in tomato sauce has a  $f_h = 64.6\text{ min}$ .*

**Answer 13b-2)** Make a first estimation of the food heating lag factor:  **$j_h = 2$** .

*According to [Table 1](#), a solid food has a  $j_h$  of about  $j_h = 2$ .*

**Answer 13c)** For the (inverted!) semi-logarithmic heat penetration graph: see [fig. 14](#). From that graph it can be concluded that

**Answer 13c-1)** the experimental heat penetration factor:  **$f_h = 59\text{ min}$** .

*This  $f_h$  is somewhat lower than the estimated  $f_h = 64-65\text{ min}$  of answer 13b-1.*

**Answer 13c-2)** the experimental heating lag factor:  **$j_h = 1.83$** .

*This  $j_h$  is somewhat lower than the estimated  $j_h = 2$  of answer 13b-2.*

**Answer 13c-3):** see Italic remarks at answers 13c-1) and 13c-2)

**Answer 13d)** For the semi-logarithmic cooling graph: see [fig. 15](#).

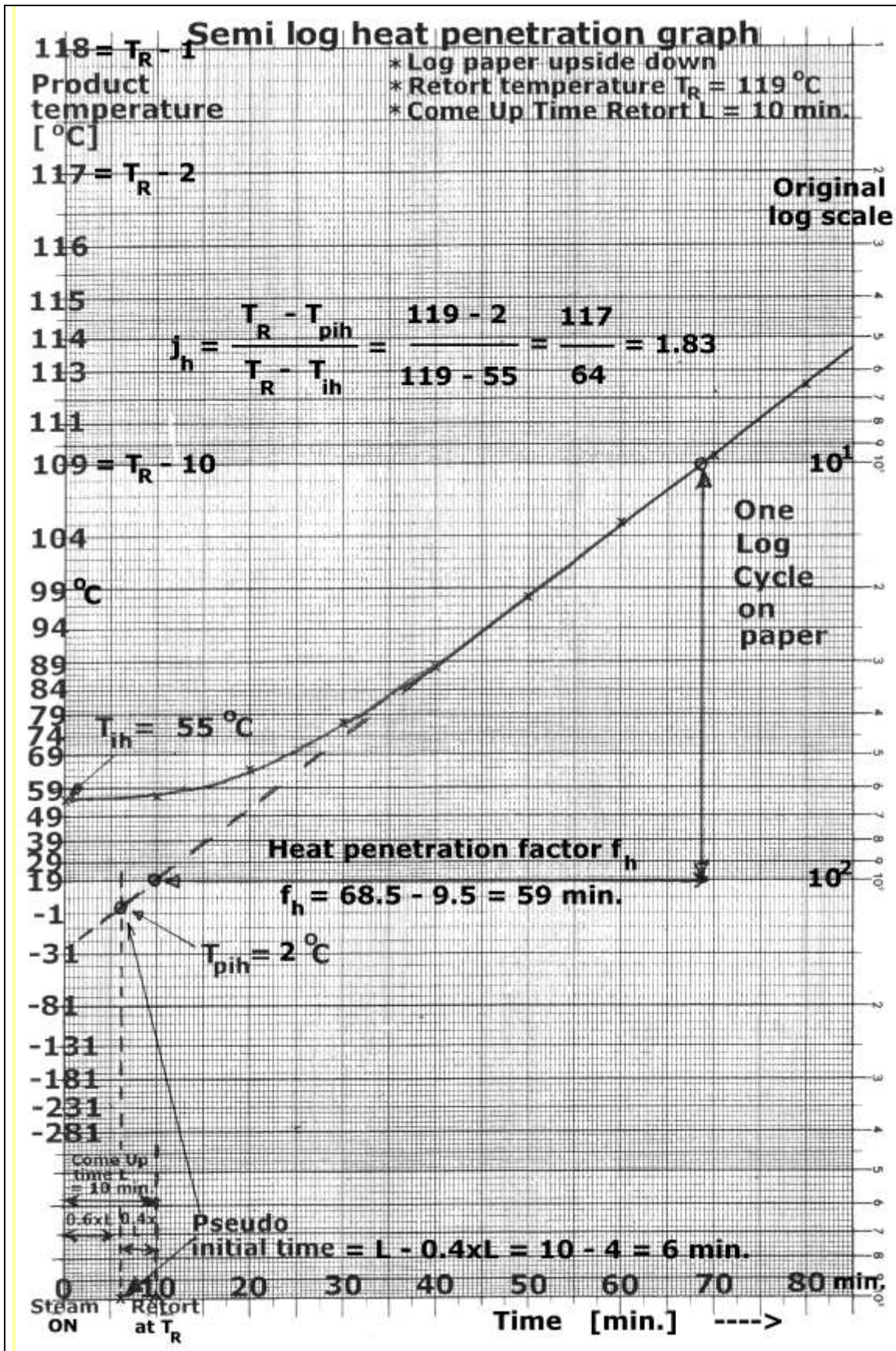
From that graph it can be concluded that

**13d-1)** the experimental cooling penetration factor:  **$f_c = 56.5\text{ min}$** .

**13d-2)** the experimental cooling lag factor:  **$j_c = 1.96$** .

In [figure 16](#), the experimental findings from [table 14](#) (as Excel file) have been used to produce two semi log graphs, and to find the values of  $f_h$ ,  $j_h$ ,  $f_c$  and  $j_c$  by using computer program Ball.exe.

**Conclusion:** The values of  $f_h$  and  $j_h$ , derived from the manually produced semi log heating graph in [figure 14](#), and the values of  $f_c$  and  $j_c$ , derived from the manually produced semi log cooling graph in [figure 15](#), are **almost identical** to the findings by computer program Ball.exe, presented in [figure 16](#).



**Fig. 14:** Answer 13c) Semi-log heat penetration graph.  $f_h \approx 59 \text{ min.}$ ;  $j_h \approx 1.83$ .

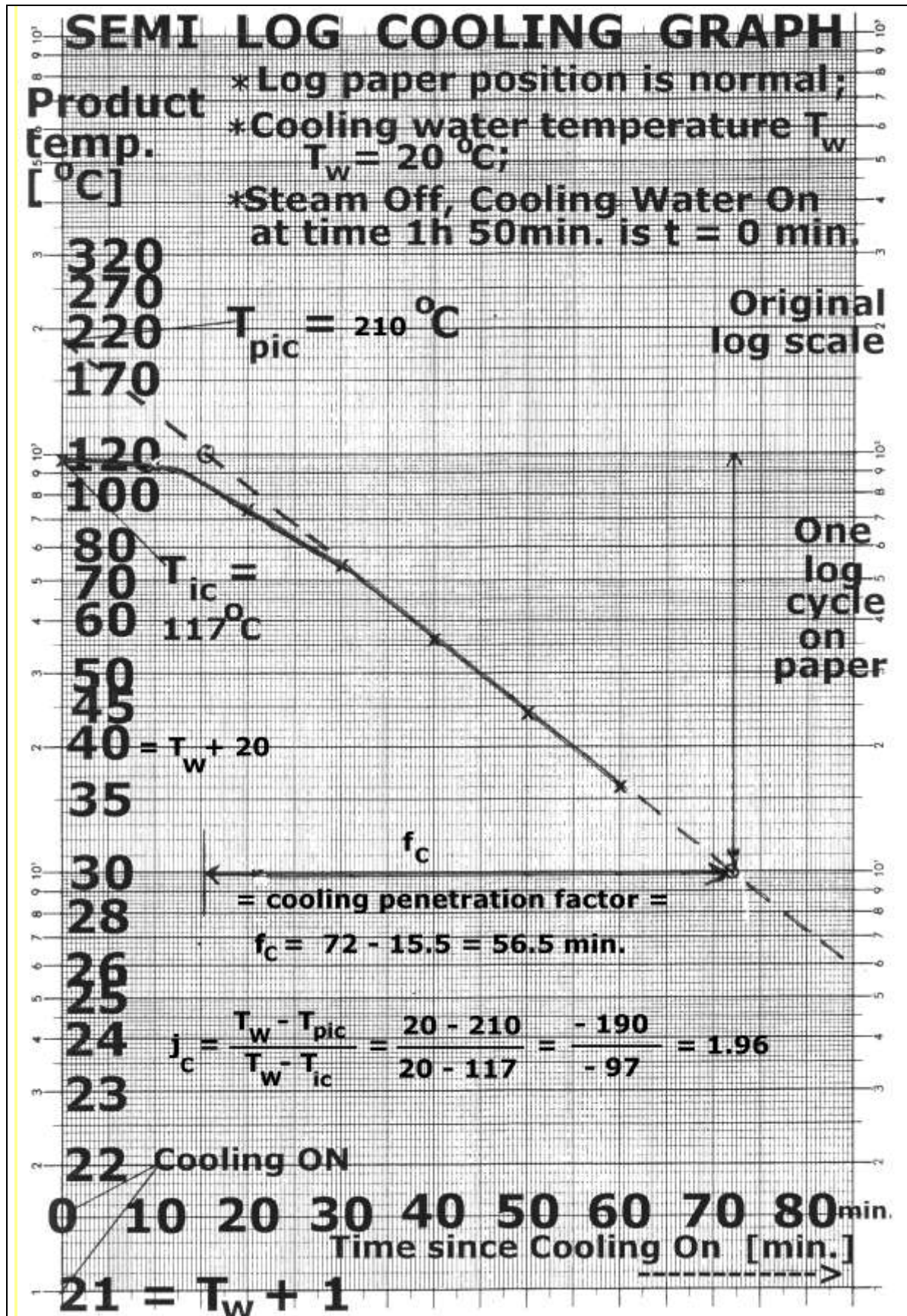
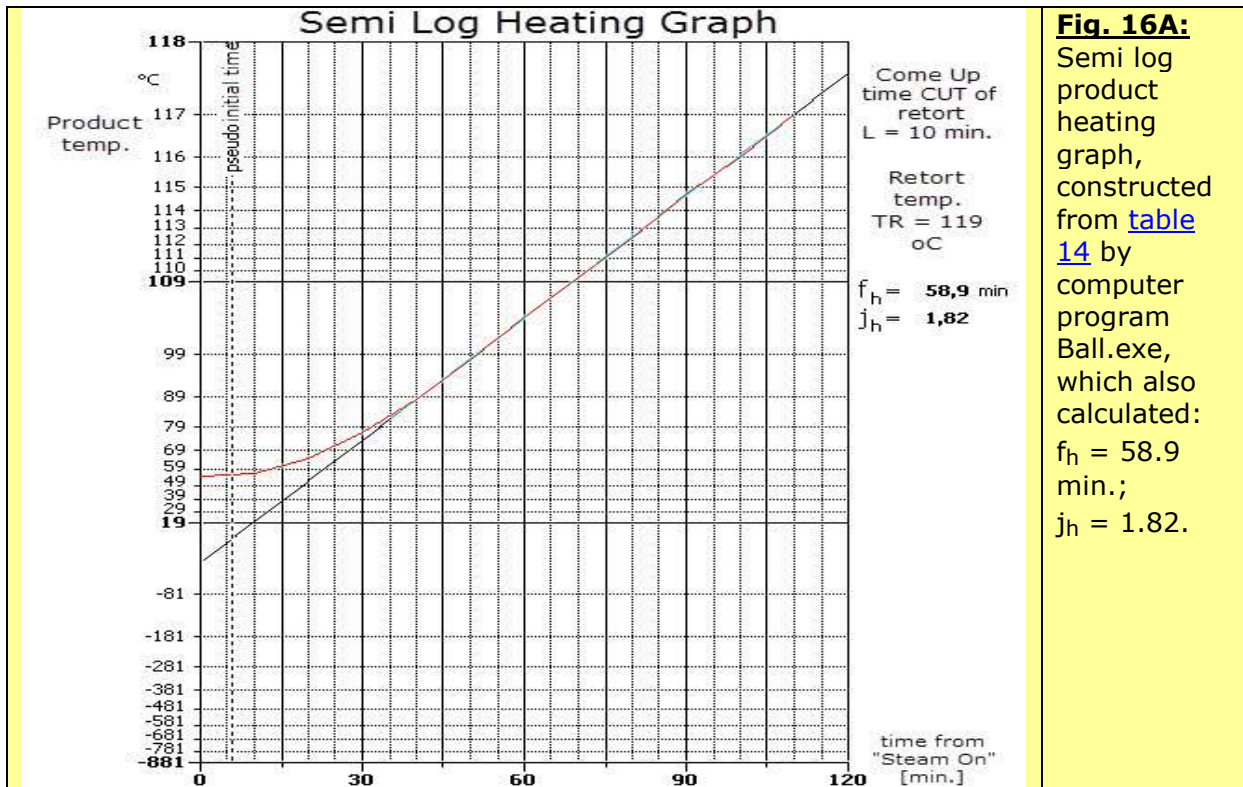
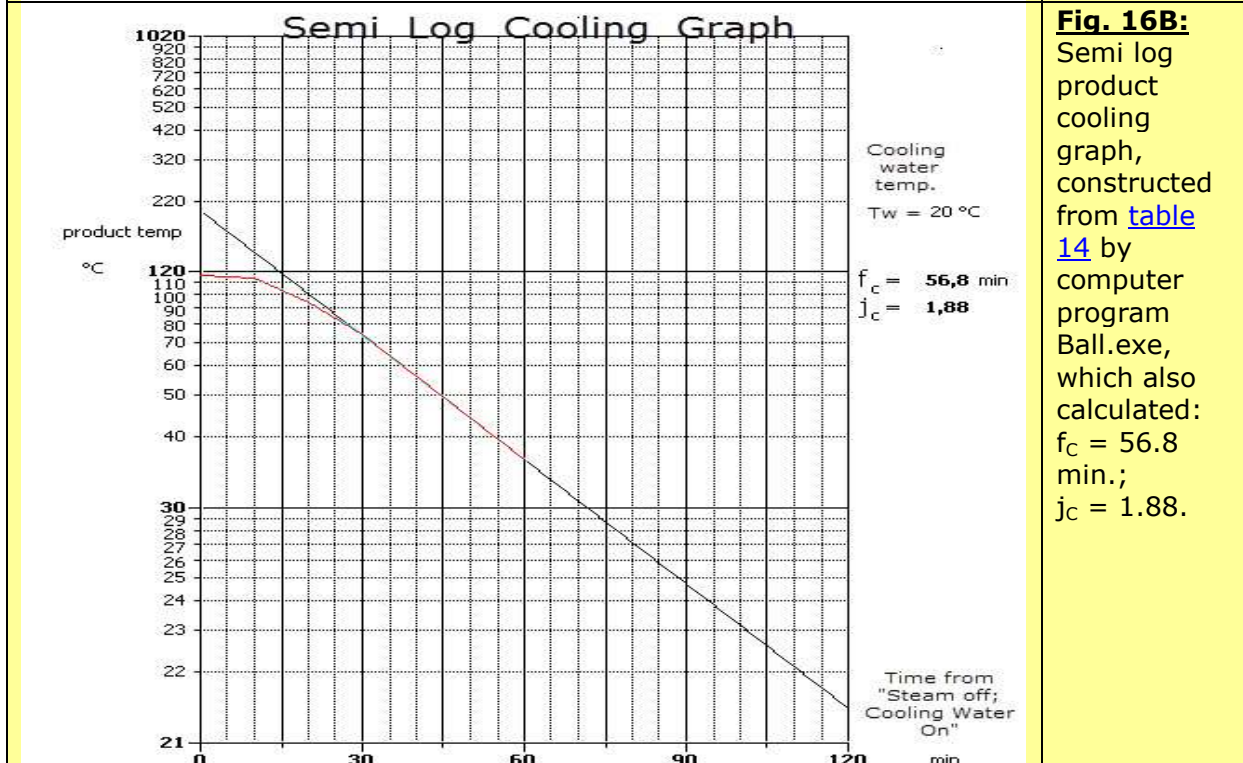


Fig. 15: Answer 13d) Semi-log cooling graph.  $f_c \approx 56.5$  min.;  $j_h \approx 1.96$ .



**Fig. 16A:** Semi log product heating graph, constructed from [table 14](#) by computer program Ball.exe, which also calculated:  $f_h = 58.9$  min.;  $j_h = 1.82$ .



**Fig. 16B:** Semi log product cooling graph, constructed from [table 14](#) by computer program Ball.exe, which also calculated:  $f_c = 56.8$  min.;  $j_c = 1.88$ .

**Fig. 16:** Verification of answers 13c) and 13d), by using computer program BALL.exe.

\* Ball.exe calculated (semi log heating graph [fig. 16A](#) above):  $f_h = 58.9$  min.;  $j_h = 1.82$ ;  
 in [fig. 14](#) it was found that:  $f_h \approx 59$  min.;  $j_h \approx 1.83$ .  
 These values match almost perfectly.

\* Ball.exe calculated (semi log cooling graph [fig. 16B](#) above):  $f_c = 56.8$  min.;  $j_c = 1.88$ ;  
 in [fig. 15](#) it was found that:  $f_c \approx 56.6$  min.;  $j_c \approx 1.96$ .  
 These values match rather well.

(courtesy [Frits Eckenhausen](#), HAS University of Applied Sciences; 's-Hertogenbosch, NL)

## ANNEX 1: Time-temperature measurements of Example Calculation 13

Time-temperature measurements of .....

- the retort medium (saturated condensing steam for heating; immersion in cold water for cooling), and
- of 2 solid products, 1 and 2, measured in their slowest heating point.

### **Analysis of process data:**

Start process = "Steam On", at time  $t = 00:00:00$  [h:m:s] =  $t = 0$  min.

Initial **product** temperature at start ( $t = 0$  min.) of process:  $T_{ih,1} = 9.7$  °C

$T_{ih,2} = 10.1$  °C

**Retort** temperature = sterilization temperature:  $T_R \approx 124$  °C

Time for retort medium to reach  $T_R$ , so come up time CUT:  $L = 5$  min.

"Steam Off and Cooling Water On": at time 1 h 19 min. = 79 min.

Thus sterilization time  $P_t = 79$  min - 5 min. come up time = 74 min.

**Product** temperature at end of sterilization time (at  $t = 1$  h 19 min.):  $T_1 = 120.9$  °C.

$T_2 = 121.7$  °C.

Temperature of the cooling water  $T_W = 15$  °C.

"Cooling Water Off", so end of the total process: at time 2h 04 min. = 124 min.

| Date/Time           | Retort<br>°C | Product 1<br>°C | Product 2<br>°C | Comments                           |
|---------------------|--------------|-----------------|-----------------|------------------------------------|
| 24-10-2013 23:58:00 | 19.2         | 9.5             | 10.2            | Temperature sensors started        |
| 24-10-2013 23:59:00 | 17.5         | 9.6             | 10.1            |                                    |
| 25-10-2013 00:00:00 | 17.2         | 9.7             | 10.1            | $t = 0$ ; Steam On; start process; |
| 25-10-2013 00:01:00 | 87.3         | 9.8             | 10              |                                    |
| 25-10-2013 00:02:00 | 100.3        | 10.2            | 10.5            |                                    |
| 25-10-2013 00:03:00 | 105.5        | 10.4            | 11.1            |                                    |
| 25-10-2013 00:04:00 | 118.6        | 10.5            | 11.3            |                                    |
| 25-10-2013 00:05:00 | 124.4        | 10.7            | 11.6            | Retort at retort temperature $T_R$ |
| 25-10-2013 00:06:00 | 126.6        | 11.1            | 12.4            |                                    |
| 25-10-2013 00:07:00 | 127.2        | 11.7            | 13.5            |                                    |
| 25-10-2013 00:08:00 | 126.7        | 12.7            | 15.3            |                                    |
| 25-10-2013 00:09:00 | 125.9        | 14.2            | 17.8            |                                    |
| 25-10-2013 00:10:00 | 125.1        | 16              | 21.3            |                                    |
| 25-10-2013 00:11:00 | 125.3        | 18.2            | 25.4            |                                    |
| 25-10-2013 00:12:00 | 125.6        | 20.6            | 29.3            |                                    |
| 25-10-2013 00:13:00 | 125.4        | 23.3            | 33.1            |                                    |
| 25-10-2013 00:14:00 | 125.5        | 26.2            | 36.8            |                                    |
| 25-10-2013 00:15:00 | 125.6        | 29.3            | 40.4            |                                    |
| 25-10-2013 00:16:00 | 125.3        | 32.4            | 43.9            |                                    |
| 25-10-2013 00:17:00 | 125.3        | 35.6            | 47.4            |                                    |
| 25-10-2013 00:18:00 | 125.4        | 38.8            | 50.8            |                                    |
| 25-10-2013 00:19:00 | 125.4        | 42.2            | 54              |                                    |
| 25-10-2013 00:20:00 | 125.3        | 45.4            | 57.2            |                                    |
| 25-10-2013 00:21:00 | 125.3        | 48.6            | 60.4            |                                    |
| 25-10-2013 00:22:00 | 125.2        | 51.7            | 63.3            |                                    |
| 25-10-2013 00:23:00 | 125.2        | 54.7            | 66.2            |                                    |
| 25-10-2013 00:24:00 | 125.1        | 57.7            | 68.9            |                                    |
| 25-10-2013 00:25:00 | 125.2        | 60.6            | 71.3            |                                    |
| 25-10-2013 00:26:00 | 125.1        | 63.5            | 73.6            |                                    |

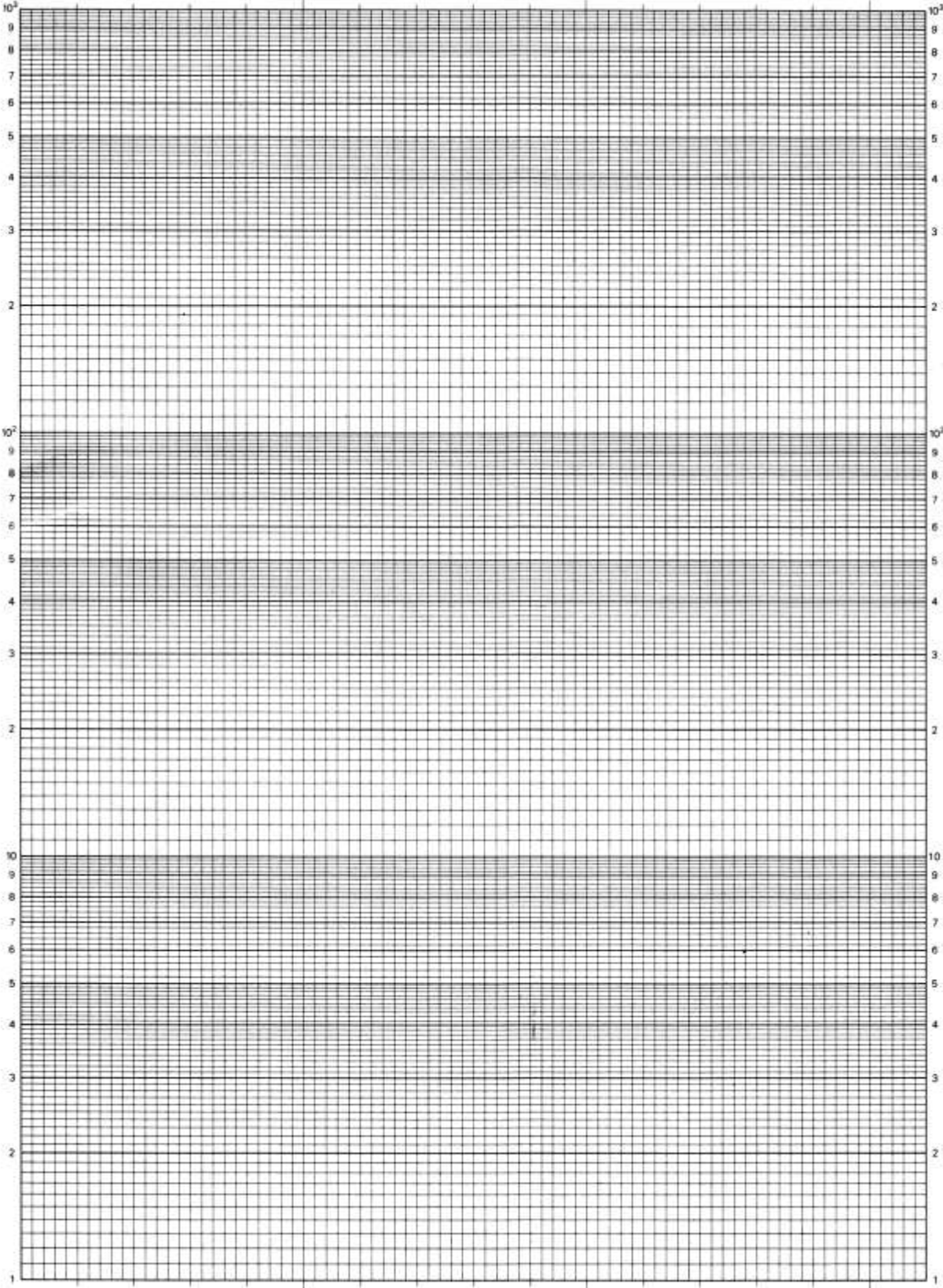
| Date/Time           | Retort<br>°C | Product 1<br>°C | Product 2<br>°C | Comments |
|---------------------|--------------|-----------------|-----------------|----------|
| 25-10-2013 00:27:00 | 125.1        | 66.3            | 75.9            |          |
| 25-10-2013 00:28:00 | 125          | 69.1            | 78.1            |          |
| 25-10-2013 00:29:00 | 125          | 71.8            | 80.2            |          |
| 25-10-2013 00:30:00 | 125          | 74.4            | 82.3            |          |
| 25-10-2013 00:31:00 | 125          | 76.9            | 84.4            |          |
| 25-10-2013 00:32:00 | 124.9        | 79.3            | 86.4            |          |
| 25-10-2013 00:33:00 | 124.8        | 81.6            | 88.3            |          |
| 25-10-2013 00:34:00 | 124.7        | 83.7            | 90.1            |          |
| 25-10-2013 00:35:00 | 124.9        | 85.7            | 91.9            |          |
| 25-10-2013 00:36:00 | 124.8        | 87.7            | 93.5            |          |
| 25-10-2013 00:37:00 | 124.8        | 89.6            | 95.1            |          |
| 25-10-2013 00:38:00 | 124.8        | 91.3            | 96.7            |          |
| 25-10-2013 00:39:00 | 124.8        | 93.1            | 98.1            |          |
| 25-10-2013 00:40:00 | 124.8        | 94.7            | 99.5            |          |
| 25-10-2013 00:41:00 | 124.8        | 96.3            | 100.8           |          |
| 25-10-2013 00:42:00 | 124.7        | 97.7            | 102.1           |          |
| 25-10-2013 00:43:00 | 124.8        | 99.2            | 103.3           |          |
| 25-10-2013 00:44:00 | 124.6        | 100.5           | 104.5           |          |
| 25-10-2013 00:45:00 | 124.6        | 101.8           | 105.6           |          |
| 25-10-2013 00:46:00 | 124.5        | 103.1           | 106.6           |          |
| 25-10-2013 00:47:00 | 124.6        | 104.2           | 107.6           |          |
| 25-10-2013 00:48:00 | 124.6        | 105.3           | 108.5           |          |
| 25-10-2013 00:49:00 | 124.6        | 106.3           | 109.4           |          |
| 25-10-2013 00:50:00 | 124.5        | 107.3           | 110.2           |          |
| 25-10-2013 00:51:00 | 124.6        | 108.2           | 111             |          |
| 25-10-2013 00:52:00 | 124.5        | 109.1           | 111.8           |          |
| 25-10-2013 00:53:00 | 124.4        | 109.9           | 112.5           |          |
| 25-10-2013 00:54:00 | 124.6        | 110.7           | 113.2           |          |
| 25-10-2013 00:55:00 | 124.6        | 111.5           | 113.8           |          |
| 25-10-2013 00:56:00 | 124.5        | 112.2           | 114.4           |          |
| 25-10-2013 00:57:00 | 124.5        | 112.8           | 114.9           |          |
| 25-10-2013 00:58:00 | 124.6        | 113.4           | 115.5           |          |
| 25-10-2013 00:59:00 | 124.5        | 114.1           | 115.9           |          |
| 25-10-2013 01:00:00 | 124.3        | 114.6           | 116.4           |          |
| 25-10-2013 01:01:00 | 124.5        | 115.1           | 116.9           |          |
| 25-10-2013 01:02:00 | 124.4        | 115.6           | 117.3           |          |
| 25-10-2013 01:03:00 | 124.5        | 116.1           | 117.7           |          |
| 25-10-2013 01:04:00 | 124.4        | 116.6           | 118.1           |          |
| 25-10-2013 01:05:00 | 124.3        | 117             | 118.5           |          |
| 25-10-2013 01:06:00 | 124.5        | 117.4           | 118.8           |          |
| 25-10-2013 01:07:00 | 124.4        | 117.7           | 119.1           |          |
| 25-10-2013 01:08:00 | 124.6        | 118.1           | 119.4           |          |
| 25-10-2013 01:09:00 | 124.5        | 118.4           | 119.6           |          |
| 25-10-2013 01:10:00 | 124.3        | 118.8           | 119.9           |          |
| 25-10-2013 01:11:00 | 124.4        | 119.1           | 120.1           |          |
| 25-10-2013 01:12:00 | 124.5        | 119.3           | 120.4           |          |
| 25-10-2013 01:13:00 | 124.4        | 119.6           | 120.6           |          |
| 25-10-2013 01:14:00 | 124.3        | 119.9           | 120.8           |          |
| 25-10-2013 01:15:00 | 124.3        | 120.1           | 121             |          |
| 25-10-2013 01:16:00 | 124.2        | 120.3           | 121.2           |          |



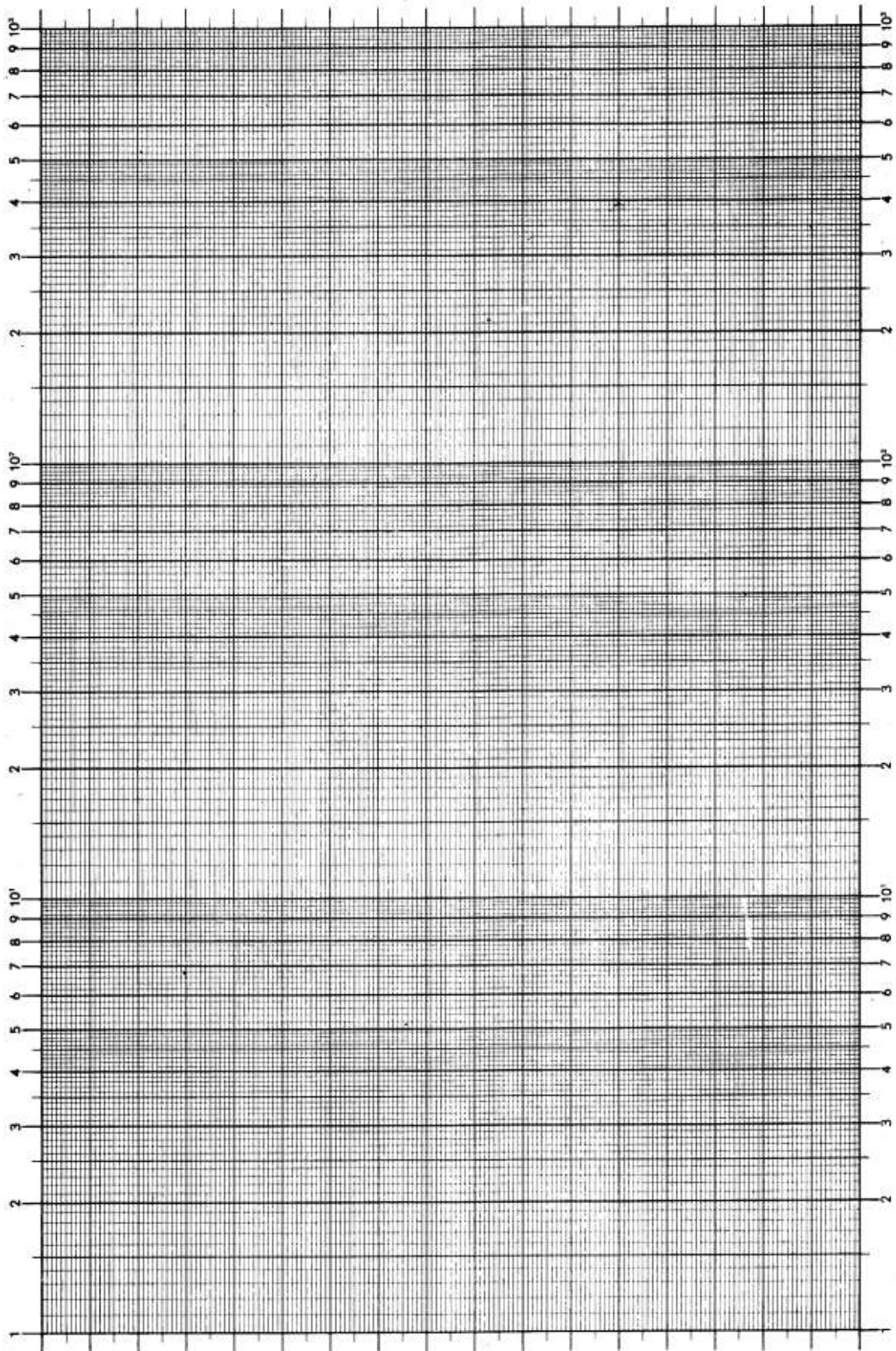
| Date/Time           | Retort<br>°C | Product 1<br>°C | Product 2<br>°C | Comments                          |
|---------------------|--------------|-----------------|-----------------|-----------------------------------|
| 25-10-2013 01:17:00 | 124.4        | 120.5           | 121.3           |                                   |
| 25-10-2013 01:18:00 | 124.1        | 120.7           | 121.5           |                                   |
| 25-10-2013 01:19:00 | 124.3        | 120.9           | 121.7           | Steam Off; Cooling Water On       |
| 25-10-2013 01:20:00 | 122.4        | 121.1           | 121.8           |                                   |
| 25-10-2013 01:21:00 | 120.4        | 121.3           | 121.9           |                                   |
| 25-10-2013 01:22:00 | 120.1        | 121.4           | 121.9           |                                   |
| 25-10-2013 01:23:00 | 119.6        | 121.6           | 121.9           |                                   |
| 25-10-2013 01:24:00 | 119.5        | 121.7           | 121.8           |                                   |
| 25-10-2013 01:25:00 | 119.9        | 121.8           | 121.9           |                                   |
| 25-10-2013 01:26:00 | 119.7        | 121.9           | 122             |                                   |
| 25-10-2013 01:27:00 | 114.1        | 121.7           | 121.2           |                                   |
| 25-10-2013 01:28:00 | 114.3        | 122             | 121.3           |                                   |
| 25-10-2013 01:29:00 | 109          | 121.8           | 121.3           |                                   |
| 25-10-2013 01:30:00 | 102.1        | 121.8           | 121.1           |                                   |
| 25-10-2013 01:31:00 | 50.4         | 121.7           | 120.8           |                                   |
| 25-10-2013 01:32:00 | 33.2         | 121.1           | 120.4           |                                   |
| 25-10-2013 01:33:00 | 26.8         | 119.8           | 119.4           |                                   |
| 25-10-2013 01:34:00 | 23.4         | 117.8           | 117.6           |                                   |
| 25-10-2013 01:35:00 | 21.7         | 114.6           | 115.2           |                                   |
| 25-10-2013 01:36:00 | 20.3         | 111.7           | 112.5           |                                   |
| 25-10-2013 01:37:00 | 19.4         | 109.1           | 109.4           |                                   |
| 25-10-2013 01:38:00 | 18.8         | 106.4           | 106.3           |                                   |
| 25-10-2013 01:39:00 | 18.3         | 103.5           | 103             |                                   |
| 25-10-2013 01:40:00 | 18           | 99.9            | 99.8            |                                   |
| 25-10-2013 01:41:00 | 17.5         | 96.2            | 96.6            |                                   |
| 25-10-2013 01:42:00 | 17.5         | 93.2            | 93.5            |                                   |
| 25-10-2013 01:43:00 | 17.2         | 90.6            | 90.5            |                                   |
| 25-10-2013 01:44:00 | 16.9         | 88.2            | 87.7            |                                   |
| 25-10-2013 01:45:00 | 16.9         | 85.7            | 84.9            |                                   |
| 25-10-2013 01:46:00 | 16.7         | 83.2            | 82.3            |                                   |
| 25-10-2013 01:47:00 | 16.3         | 80.9            | 79.7            |                                   |
| 25-10-2013 01:48:00 | 16.2         | 78.6            | 77.3            |                                   |
| 25-10-2013 01:49:00 | 16.2         | 76.4            | 74.9            |                                   |
| 25-10-2013 01:50:00 | 16           | 74.3            | 72.7            |                                   |
| 25-10-2013 01:51:00 | 16.1         | 72.3            | 70.5            |                                   |
| 25-10-2013 01:52:00 | 15.9         | 70.2            | 68.4            |                                   |
| 25-10-2013 01:53:00 | 15.8         | 68.3            | 66.4            |                                   |
| 25-10-2013 01:54:00 | 15.6         | 66.3            | 64.3            |                                   |
| 25-10-2013 01:55:00 | 15.6         | 64.5            | 62.5            |                                   |
| 25-10-2013 01:56:00 | 15.5         | 62.8            | 60.7            |                                   |
| 25-10-2013 01:57:00 | 15.4         | 61              | 59.1            |                                   |
| 25-10-2013 01:58:00 | 15.4         | 59.4            | 57.4            |                                   |
| 25-10-2013 01:59:00 | 15.4         | 57.8            | 55.8            |                                   |
| 25-10-2013 02:00:00 | 15.3         | 56.2            | 54.2            |                                   |
| 25-10-2013 02:01:00 | 15.3         | 54.7            | 52.7            |                                   |
| 25-10-2013 02:02:00 | 15.2         | 53.2            | 51.3            |                                   |
| 25-10-2013 02:03:00 | 15.3         | 51.9            | 50              |                                   |
| 25-10-2013 02:04:00 | 15.2         | 50.5            | 48.7            | Cooling Water Off; End of Process |
| 25-10-2013 02:05:00 | 16.6         | 49.2            | 47.5            |                                   |
| 25-10-2013 02:06:00 | 16.9         | 47.9            | 46.3            |                                   |

| Date/Time           | Retort | Product 1 | Product 2 | Comments |
|---------------------|--------|-----------|-----------|----------|
|                     | °C     | °C        | °C        |          |
| 25-10-2013 02:07:00 | 17.4   | 46.7      | 45.1      |          |
| 25-10-2013 02:08:00 | 18.1   | 45.5      | 44        |          |
| 25-10-2013 02:09:00 | 18.4   | 44.4      | 42.9      |          |
| 25-10-2013 02:10:00 | 15.8   | 43.4      | 41.9      |          |
| 25-10-2013 02:11:00 | 15.9   | 42.3      | 29.6      |          |
| 25-10-2013 02:12:00 | 16.4   | 41.3      | 17.6      |          |

# ANNEX 2: Semi log paper with 3 log sections



**Annex 2 (continued): Semi log paper with 3 log sections**



## ANNEX 3: Thermal Diffusivity *a* of some Food Products

| <b>Annex 3:</b> Thermal diffusivities <i>a</i> of some food products $a = k/(c_p \cdot \rho)$ |   |                  |                      |
|---|---|------------------|----------------------|
| Food product  | Thermal diffusivity <i>a</i><br>[m <sup>2</sup> /s] | Remarks          | Reference            |
| <b>Fruit, fruit juices, fruit products</b>  |   |                  |                      |
| Apple   | $1.44 \cdot 10^{-7}$                                |                  | Noordervliet (1982)  |
| Apple   | $1.50 \cdot 10^{-7}$                                | T = 27 to -18 °C | Rahman (1995: 373)   |
| Apple, whole, McIntosh  | $0.77 \cdot 10^{-7}$                                | T = 32 - 0 °C    | Rahman (1995: 373)   |
| Apple, Crab   | $1.30 \cdot 10^{-7}$                                | T = 16 - 0 °C    | Rahman (1995: 373)   |
| Apple, whole, Cox   | $1.34 \cdot 10^{-7}$                                | T = 20 - 4 °C    | Rahman (1995: 373)   |
| Apple, whole, Jonathan  | $1.20 \cdot 10^{-7}$                                | T = 27 - 4 °C    | Rahman (1995: 373)   |
| Apple, whole, RD (85% water)  | $1.37 \cdot 10^{-7}$                                | T = 0 to -30 °C  | Rahman (1995: 373)   |
| Apple, whole, RD  | $1.37 \cdot 10^{-7}$                                | T = 29 - 1 °C    | Rahman (1995: 373)   |
| Apple, whole, GD  | $1.46 \cdot 10^{-7}$                                | T = 20 - 4 °C    | Rahman (1995: 373)   |
| Apple, whole, Red Delicious   | $1.37 \cdot 10^{-7}$                                | T = 0 - 30 °C    | Singh (1992: 254)    |
| Apple, flesh, RD  | $1.11 \cdot 10^{-7}$                                |                  | Rahman (1995: 373)   |
| Apple juice   | $1.32 \cdot 10^{-7}$                                | 13% dry matter   | Noordervliet (1982)  |
| Apple juice   | $1.31 \cdot 10^{-7}$                                | 30% dry matter   | Noordervliet (1982)  |
| Apple juice   | $1.30 \cdot 10^{-7}$                                | 64% dry matter   | Noordervliet (1982)  |
| Apple, pulp, GD   | $1.50 \cdot 10^{-7}$                                | T = 4 - 26 °C    | Rahman (1995: 373)   |
| Apple pulp (Golden Delicious)   | $1.50 \cdot 10^{-7} - 1.62 \cdot 10^{-7}$           | T = 29 °C        | Holdsworth (2007:18) |
| Apple pulp (88.6% water)  | $1.345 \cdot 10^{-7}$                               | T = 10 °C        | Rahman (1995: 383)   |
| Apple pulp (88.6% water)  | $1.350 \cdot 10^{-7}$                               | T = 20 °C        | Rahman (1995: 383)   |
| Apple pulp (88.6% water)  | $1.430 \cdot 10^{-7}$                               | T = 25 °C        | Rahman (1995: 383)   |
| Apple pulp (88.6% water)  | $1.505 \cdot 10^{-7}$                               | T = 40 °C        | Rahman (1995: 383)   |
| Apple pulp (88.6% water)  | $1.422 \cdot 10^{-7}$                               | T = 50 °C        | Rahman (1995: 383)   |
| Apple sauce   | $1.61 \cdot 10^{-7}$                                | T = 105 °C       | Holdsworth (2007:18) |
| Apple sauce (37% water)   | $1.05 \cdot 10^{-7}$                                | T = 5 °C         | Singh (1992: 254)    |
| Apple sauce (37% water)   | $1.12 \cdot 10^{-7}$                                | T = 65 °C        | Singh (1992: 254)    |
| Apple sauce (80% water)   | $1.22 \cdot 10^{-7}$                                | T = 5 °C         | Singh (1992: 254)    |
| Apple sauce (80% water)   | $1.40 \cdot 10^{-7}$                                | T = 65 °C        | Singh (1992: 254)    |
| Applesauce (75.7% water)  | $1.49 \cdot 10^{-7}$                                | T = 21 - 50 °C   | Rahman (1995: 373)   |
| Apple sauce   | $1.67 \cdot 10^{-7}$                                | T = 26-129 °C    | Singh (1992: 254)    |
| Avocado (flesh)   | $1.27 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 373)   |
| Avocado (flesh)   | $1.32 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 373)   |
| Avocado (flesh), Lula   | $1.05 \cdot 10^{-7}$                                | T = 24 - 3 °C    | Rahman (1995: 373)   |
| Avocado (flesh)   | $1.24 \cdot 10^{-7}$                                | T = 24 °C        | Singh (1992: 254)    |
| Avocado (seed), Lula  | $1.10 \cdot 10^{-7}$                                | T = 24 - 3 °C    | Rahman (1995: 373)   |
| Avocado (seed)  | $1.29 \cdot 10^{-7}$                                | T = 24 °C        | Singh (1992: 254)    |
| Avocado (whole)   | $1.54 \cdot 10^{-7}$                                | T = 41 - 3 °C    | Rahman (1995: 373)   |
| Avocado (whole)   | $1.54 \cdot 10^{-7}$                                | T = 24 °C        | Singh (1992: 254)    |
| Banana  | $1.44 \cdot 10^{-7}$                                |                  | Noordervliet (1982)  |
| Banana (flesh)  | $1.46 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 373)   |
| Banana (flesh)  | $1.37 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 373)   |
| Banana (flesh; 76% water)   | $1.18 \cdot 10^{-7}$                                | T = 5 °C         | Singh (1992: 254)    |
| Banana (flesh; 76% water)   | $1.42 \cdot 10^{-7}$                                | T = 65 °C        | Singh (1992: 254)    |

| <b>Annex 3: Thermal diffusivities <math>a</math> of some food products</b> $a = k/(c_p \cdot \rho)$ |  |                       |                       |
|---|--|-----------------------|-----------------------|
| Food product  | Thermal diffusivity $a$<br>[m <sup>2</sup> /s] | Remarks               | Reference             |
| Berry   | $1.40 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Blackberry  | $1.27 \cdot 10^{-7}$                           | T = 27 to -18 °C      | Rahman (1995: 373)    |
| Cherries, tart, flesh   | $1.32 \cdot 10^{-7}$                           | T = 30 - 0 °C         | Singh (1992: 254)     |
| Grape juice   | $0.85 \cdot 10^{-7}$                           | T = -37.8 to -19.4 °C | Rahman (1995: 375)    |
| Grape juice   | $0.99 \cdot 10^{-7}$                           | T = -40 to -27 °C     | Rahman (1995: 375)    |
| Grapefruit, Marsh, flesh (88% water)  | $1.27 \cdot 10^{-7}$                           |                       | Singh (1992: 254)     |
| Grapefruit, Marsh, albedo (72.2% water)   | $1.09 \cdot 10^{-7}$                           |                       | Singh (1992: 254)     |
| Grapefruit, Marsh, flesh  | $1.23 \cdot 10^{-7}$                           | T = 31 °C             | Rahman (1995: 373)    |
| Grapefruit, Marsh, whole  | $0.92 \cdot 10^{-7}$                           | T = 40 - 10 °C        | Rahman (1995: 373)    |
| Grapefruit, Marsh, whole  | $0.94 \cdot 10^{-7}$                           | T = 46 - 0 °C         | Rahman (1995: 373)    |
| Grapefruit, Marsh, whole  | $0.92 \cdot 10^{-7}$                           | T = 27 - 2 °C         | Rahman (1995: 373)    |
| Grapefruit, Marsh, rind   | $1.20 \cdot 10^{-7}$                           | T = 27 °C             | Rahman (1995: 373)    |
| Grapefruit  | $1.45 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Grapefruit  | $1.20 \cdot 10^{-7}$                           | T = 16 - 0 °C         | Rahman (1995: 373)    |
| Grapefruit, whole   | $0.84 \cdot 10^{-7}$                           | T = 36 - 0 °C         | Rahman (1995: 373)    |
| Lemon, Eureka, whole  | $1.07 \cdot 10^{-7}$                           | T = 40 - 0 °C         | Rahman (1995: 373)    |
| Lemon, whole  | $1.07 \cdot 10^{-7}$                           | T = 40 °C             | Singh (1992: 254)     |
| Melon   | $1.44 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Orange  | $1.43 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Orange  | $1.30 \cdot 10^{-7}$                           | T = 16 - 0 °C         | Rahman (1995: 373)    |
| Orange, Valencia, whole   | $0.94 \cdot 10^{-7}$                           | T = 40 - 0 °C         | Rahman (1995: 373)    |
| Orange, Navel, whole  | $1.07 \cdot 10^{-7}$                           | T = 40 - 0 °C         | Rahman (1995: 373)    |
| Peach   | $1.11 \cdot 10^{-7}$                           |                       | Rahman (1995: 373)    |
| Peach, several, whole   | $1.39 \cdot 10^{-7}$                           | T = 27 - 4 °C         | Rahman (1995: 374)    |
| Peach, whole  | $1.39 \cdot 10^{-7}$                           | T = 27.4 °C           | Singh (1992: 254)     |
| Pear  | $1.43 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Plum  | $1.42 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Strawberry  | $1.47 \cdot 10^{-7}$                           | T = 27 to -18 °C      | Rahman (1995: 374)    |
| Strawberry  | $1.43 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Strawberry, flesh (92% water)   | $1.27 \cdot 10^{-7}$                           | T = 5 °C              | Singh (1992: 254)     |
| <b>Vegetables and vegetable products</b>  |  |                       |                       |
| Beans, baked  | $1.68 \cdot 10^{-7}$                           | T = 4 - 122 °C        | Singh (1992: 254)     |
| Beans, green beans  | $1.43 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Beans, Lima,  | $1.24 \cdot 10^{-7}$                           | T = 27 to -18 °C      | Rahman (1995: 373)    |
| Beans, Lima bean, pureed  | $1.80 \cdot 10^{-7}$                           | T = 26-122 °C         | Singh (1992: 254)     |
| Beans in tomato sauce   | $1.46 \cdot 10^{-7}$                           |                       | Holdsworth (2007:245) |
| Beetroot  | $1.42 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Cabbage   | $1.43 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |
| Carrot  | $1.40 \cdot 10^{-7}$                           | T = 20 °C             | Rahman (1995: 373)    |
| Carrot  | $1.55 \cdot 10^{-7}$                           | T = 20 °C             | Rahman (1995: 373)    |
| Carrot  | $1.42 \cdot 10^{-7}$                           |                       | Noordervliet (1982)   |

| <b>Annex 3:</b> Thermal diffusivities <i>a</i> of some food products $a = k/(c_p \cdot \rho)$ |   |                  |                       |
|---|---|------------------|-----------------------|
| Food product  | Thermal diffusivity <i>a</i><br>[m <sup>2</sup> /s] | Remarks          | Reference             |
| Carrot  | $1.70 \cdot 10^{-7}$                                |                  | Noordervliet (1982)   |
| Carrots   | $1.82 \cdot 10^{-7} - 1.88 \cdot 10^{-7}$           | T = 138 °C       | Holdsworth (2007:18)  |
| Carrot purée  | $1.58 \cdot 10^{-7}$                                |                  | Holdsworth (2007:245) |
| Celeriac purée  | $1.50 \cdot 10^{-7}$                                |                  | Holdsworth (2007:245) |
| Celery tube   | $1.45 \cdot 10^{-7}$                                |                  | Noordervliet (1982)   |
| Cucumber  | $1.42 \cdot 10^{-7}$                                |                  | Noordervliet (1982)   |
| Cucumber, flesh   | $1.39 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 373)    |
| Cucumber, flesh   | $1.41 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 373)    |
| Lima beans: see Beans, Lima   |   |                  |                       |
| Mushrooms in brine  | $1.18 \cdot 10^{-7}$                                |                  | Holdsworth (2007:18)  |
| Mushroom soup, cream  | $1.58 \cdot 10^{-7}$                                |                  | Holdsworth (2007:245) |
| Onion   | $1.44 \cdot 10^{-7}$                                |                  | Noordervliet (1982)   |
| Onion, whole  | $1.41 \cdot 10^{-7}$                                | T = 20 - 4 °C    | Rahman (1995: 373)    |
| Pea, English  | $1.24 \cdot 10^{-7}$                                | T = 27 to -18 °C | Rahman (1995: 374)    |
| Peas  | $1.40 \cdot 10^{-7}$                                |                  | Noordervliet (1982)   |
| Pea, pureed   | $1.82 \cdot 10^{-7}$                                | T = 26-128 °C    | Singh (1992: 254)     |
| Pea purée   | $1.59 \cdot 10^{-7}$                                |                  | Holdsworth (2007:18)  |
| Pea purée   | $1.54 \cdot 10^{-7}$                                |                  | Holdsworth (2007:18)  |
| Potato (78% water)  | $1.39 \cdot 10^{-7} - 1.46 \cdot 10^{-7}$           | T = 60 - 100 °C  | Holdsworth (2007:18)  |
| Potato  | $1.42 \cdot 10^{-7} - 1.96 \cdot 10^{-7}$           | T = 42.9 °C      | Holdsworth (2007:18)  |
| Potato  | $1.43 \cdot 10^{-7}$                                |                  | Noordervliet (1982)   |
| Potato, whole   | $1.77 \cdot 10^{-7}$                                | T = 26 to -6 °C  | Rahman (1995: 374)    |
| Potato, Pungo, whole  | $1.31 \cdot 10^{-7}$                                |                  | Rahman (1995: 374)    |
| Potato, several, flesh  | $1.70 \cdot 10^{-7}$                                | T = 25 °C        | Rahman (1995: 374)    |
| Potato, Irish, flesh  | $1.23 \cdot 10^{-7}$                                | T = 27 to -18 °C | Rahman (1995: 374)    |
| Potato, Excel, flesh  | $1.17 \cdot 10^{-7}$                                | T = 24 - 91 °C   | Rahman (1995: 374)    |
| Potato, flesh   | $1.53 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 374)    |
| Potato, flesh   | $1.48 \cdot 10^{-7}$                                | T = 20 °C        | Rahman (1995: 374)    |
| Potato, flesh   | $1.70 \cdot 10^{-7}$                                | T = 25 °C        | Singh (1992: 254)     |
| Potato, mashed, cooked (78% water)  | $1.23 \cdot 10^{-7}$                                | T = 5 °C         | Singh (1992: 254)     |
| Potato, mashed, cooked (78% water)  | $1.45 \cdot 10^{-7}$                                | T = 65 °C        | Singh (1992: 254)     |
| Potato purée  | $(1.30 \pm 0.04) \cdot 10^{-7}$                     |                  | Holdsworth (2007:18)  |
| Rutabaga  | $1.34 \cdot 10^{-7}$                                | T = 48 °C        | Singh (1992: 254)     |
| Rutabaga, whole   | $1.34 \cdot 10^{-7}$                                | T = 48 - 0 °C    | Rahman (1995: 374)    |
| Seaweed, cooked (75.9% water)   | $1.90 \cdot 10^{-7}$                                | T = 56 - 110 °C  | Rahman (1995: 369)    |
| Squash, whole   | $1.10 \cdot 10^{-7}$                                | T = 16 - 0 °C    | Rahman (1995: 374)    |
| Squash, whole   | $1.71 \cdot 10^{-7}$                                | T = 47 °C        | Singh (1992: 254)     |
| Squash, green, whole  | $1.71 \cdot 10^{-7}$                                | T = 47 - 0 °C    | Rahman (1995: 374)    |
| Squash, several, flesh  | $1.56 \cdot 10^{-7}$                                | T = 25 °C        | Rahman (1995: 374)    |
| Sugar beet, flesh   | $1.26 \cdot 10^{-7}$                                | T = 14 - 60 °C   | Rahman (1995: 374)    |
| Sweet potato, whole, Goldrush   | $1.06 \cdot 10^{-7}$                                | T = 35 °C        | Rahman (1995: 374)    |
| Sweet potato, whole, Goldrush   | $1.39 \cdot 10^{-7}$                                | T = 55 °C        | Rahman (1995: 374)    |
| Sweet potato, whole, Goldrush   | $1.91 \cdot 10^{-7}$                                | T = 70 °C        | Rahman (1995: 374)    |

| <b>Annex 3: Thermal diffusivities <i>a</i> of some food products</b> $a = k/(c_p \cdot \rho)$ |   |                   |                       |
|---|---|-------------------|-----------------------|
| Food product  | Thermal diffusivity <i>a</i><br>[m <sup>2</sup> /s] | Remarks           | Reference             |
| Sweet potato, whole   | $1.20 \cdot 10^{-7}$                                | T = 42 - 0 °C     | Rahman (1995: 374)    |
| Sweet potato, whole   | $1.06 \cdot 10^{-7}$                                | T = 35 °C         | Singh (1992: 254)     |
| Sweet potato, whole   | $1.39 \cdot 10^{-7}$                                | T = 55 °C         | Singh (1992: 254)     |
| Sweet potato, whole   | $1.91 \cdot 10^{-7}$                                | T = 70 °C         | Singh (1992: 254)     |
| Sweet potato, flesh,<br>Centennial  | $1.32 \cdot 10^{-7}$                                | T = 116 °C        | Rahman (1995: 374)    |
| Tomato, whole   | $1.51 \cdot 10^{-7}$                                | T = 7 - 23 °C     | Rahman (1995: 374)    |
| Tomato  | $1.44 \cdot 10^{-7}$                                |                   | Noordervliet (1982)   |
| Tomato, Ace var.  | $1.22 \cdot 10^{-7}$ - $1.88 \cdot 10^{-7}$         | T = 42.9 °C       | Holdsworth (2007:18)  |
| Tomato, Cherry, pulp  | $1.48 \cdot 10^{-7}$                                | T = 4 -26 °C      | Rahman (1995: 374)    |
| Tomato, Cherry tomato pulp  | $1.46 \cdot 10^{-7}$ - $1.50 \cdot 10^{-7}$         | T = 26 °C         | Holdsworth (2007:18)  |
| Tomato ketchup  | $(1.20 \pm 0.02) \cdot 10^{-7}$                     |                   | Holdsworth (2007:18)  |
| Tomato, pulp  | $1.48 \cdot 10^{-7}$                                | T = 4.26 °C       | Singh (1992: 254)     |
| Tomato purée  | $1.28 \cdot 10^{-7}$                                | 6% dry matter     | Noordervliet (1982)   |
| Tomato purée  | $1,42 \cdot 10^{-7}$                                | 20% dry matter    | Noordervliet (1982)   |
| <b>Meat and meat products</b>   |   |                   |                       |
| Beef; lean  | $1.35 \cdot 10^{-7}$                                |                   | Noordervliet (1982)   |
| Beef; fat   | $1.43 \cdot 10^{-7}$                                |                   | Noordervliet (1982)   |
| Beef, chuck (66% water)   | $1.23 \cdot 10^{-7}$                                | T = 40-65 °C      | Singh (1992: 254)     |
| Beef, round (71% water)   | $1.33 \cdot 10^{-7}$                                | T = 40-65 °C      | Singh (1992: 254)     |
| Beef, tongue (68% water)  | $1.32 \cdot 10^{-7}$                                | T = 40-65 °C      | Singh (1992: 254)     |
| Beef purée  | $1.75 \cdot 10^{-7}$                                |                   | Holdsworth (2007:18)  |
| Beef, ground  | $10.04 \cdot 10^{-7}$                               | T = -35 to -24 °C | Rahman (1995: 370)    |
| Beef, ground  | $6.08 \cdot 10^{-7}$                                | T = -17 to -27 °C | Rahman (1995: 370)    |
| Beef, ground  | $4.43 \cdot 10^{-7}$                                | T = -22 to -7 °C  | Rahman (1995: 370)    |
| Corned beef   | $1.73 \cdot 10^{-7}$                                |                   | Holdsworth (2007:245) |
| Corned beef (65% water)   | $1.32 \cdot 10^{-7}$                                | T = 5 °C          | Singh (1992: 254)     |
| Corned beef (65% water)   | $1.18 \cdot 10^{-7}$                                | T = 65 °C         | Singh (1992: 254)     |
| Frankfurters (73.4% water)  | $2.36 \cdot 10^{-7}$                                | T = 58-109 °C     | Rahman (1995: 369)    |
| Ham, smoked (64% water)   | $1.18 \cdot 10^{-7}$                                | T = 5 °C          | Singh (1992: 254)     |
| Ham, smoked (64% water)   | $1.38 \cdot 10^{-7}$                                | T = 40 - 65 °C    | Singh (1992: 254)     |
| Ham, processed  | $0.94 \cdot 10^{-7}$                                |                   | Holdsworth (2007:18)  |
| Ham salami  | $1.52 \cdot 10^{-7}$                                |                   | Holdsworth (2007:18)  |
| Hamburgers, lean  | $1.33 \cdot 10^{-7}$                                |                   | Noordervliet (1982)   |
| Hamburgers, fat   | $1.45 \cdot 10^{-7}$                                |                   | Noordervliet (1982)   |
| Lard (0% water)   | $0.61 \cdot 10^{-7}$                                | T = 0 - 25 °C     | Rahman (1995: 370)    |
| Meat (74% water)  | $1.46 \cdot 10^{-7}$                                | T = 0 - 50 °C     | Rahman (1995: 375)    |
| Meat croquette (74% water)  | $1.98 \cdot 10^{-7}$                                | T = 59-115 °C     | Rahman (1995: 369)    |
| Meat croquette  | $(1.98 \pm 0.22) \cdot 10^{-7}$                     | T = 59-115 °C     | Holdsworth (2007:18)  |
| Meat, ground  | $1.26 \cdot 10^{-7}$ - $1.82 \cdot 10^{-7}$         | T = 20 °C         | Holdsworth (2007:18)  |
| Meat hash   | $1.52 \cdot 10^{-7}$                                |                   | Holdsworth (2007:18)  |
| Meat paste (69.2% water)  | $1.177 \cdot 10^{-7}$                               | T = 10 °C         | Rahman (1995: 383)    |
| Meat paste (69.2% water)  | $1.272 \cdot 10^{-7}$                               | T = 20 °C         | Rahman (1995: 383)    |
| Meat paste (69.2% water)  | $1.382 \cdot 10^{-7}$                               | T = 25 °C         | Rahman (1995: 383)    |
| Meat paste (69.2% water)  | $1.325 \cdot 10^{-7}$                               | T = 40 °C         | Rahman (1995: 383)    |



| <b>Annex 3: Thermal diffusivities <math>a</math> of some food products</b> $a = k/(c_p \cdot \rho)$ |  |                 |                       |
|---|--|-----------------|-----------------------|
| Food product  | Thermal diffusivity $a$<br>[m <sup>2</sup> /s] | Remarks         | Reference             |
| Meat paste (69.2% water)  | $1.412 \cdot 10^{-7}$                          | T = 50 °C       | Rahman (1995: 383)    |
| Meat paste (69.2% water)  | $2.053 \cdot 10^{-7}$                          | T = 75 °C       | Rahman (1995: 383)    |
| Meat products, heated, lean   | $1.32 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Meat products, heated, fat  | $1,45 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Meat sauce  | $(1.46 \pm 0.05) \cdot 10^{-7}$                | T = 69-112 °C   | Holdsworth (2007:18)  |
| Meat sauce (77.3% water)  | $1.46 \cdot 10^{-7}$                           | T = 60 - 112 °C | Rahman (1995: 369)    |
| Meat snacks; lean   | $1.35 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Meat snacks, fat  | $1.41 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Minced beef   | $1.37 \cdot 10^{-7}$                           |                 | Holdsworth (2007:245) |
| Organs meat; lean   | $1.38 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Organs meat; fat  | $1.35 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Pork; lean  | $1.24 \cdot 10^{-7} - 1.29 \cdot 10^{-7}$      |                 | Noordervliet (1982)   |
| Pork; fat   | $1.44 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Pork purée  | $1.94 \cdot 10^{-7}$                           |                 | Holdsworth (2007:18)  |
| Steak, stewed   | $1.45 \cdot 10^{-7}$                           |                 | Holdsworth (2007:245) |
| <b>Poultry and poultry products; eggs</b>   |  |                 |                       |
| Chicken, complete   | $1.32 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Chicken, breast meat  | $1.28 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Chicken, leg meat   | $1.31 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Chicken, skin   | $1.30 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Chicken supreme sauce   | $1.54 \cdot 10^{-7}$                           |                 | Holdsworth (2007:245) |
| Duck  | $1.31 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Egg white (87.5% water)   | $1.46 \cdot 10^{-7}$                           | T = 0 - 50 °C   | Rahman (1995: 375)    |
| Egg, white  | $1.36 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Egg, whole, no shell  | $1.34 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Egg, yolk   | $1.27 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Turkey, breast meat   | $1.30 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Turkey, leg meat  | $1.30 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Turkey, skin  | $1.27 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| <b>Fish and fish products</b>   |  |                 |                       |
| Abalone, cooked (68.5% water)   | $1.85 \cdot 10^{-7}$                           | T = 56-108 °C   | Rahman (1995: 369)    |
| Clam, cooked (75.1% water)  | $1.96 \cdot 10^{-7}$                           | T = 51-108 °C   | Rahman (1995: 369)    |
| Cod   | $1.50 \cdot 10^{-7}$                           | T = 5 - 25 °C   | Rahman (1995: 382)    |
| Cod   | $1.31 \cdot 10^{-7}$                           | T = 5 °C        | Rahman (1995: 382)    |
| Cod   | $1.34 \cdot 10^{-7}$                           | T = 25 °C       | Rahman (1995: 382)    |
| Codfish (81% water)   | $1.22 \cdot 10^{-7}$                           | T = 5 °C        | Singh (1992: 254)     |
| Codfish (81% water)   | $1.42 \cdot 10^{-7}$                           | T = 65 °C       | Singh (1992: 254)     |
| Cod mince, fillet (81% water)   | $1.52 \cdot 10^{-7}$                           | T = 22 - 50 °C  | Rahman (1995: 370)    |
| Cod fillet  | $1.20 \cdot 10^{-7}$                           | T = 5 °C        | Rahman (1995: 382)    |
| Cod fillet  | $1.40 \cdot 10^{-7}$                           | T = 25 °C       | Rahman (1995: 382)    |
| Fish, lean  | $1.27 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Fish fillet, lean   | $1.29 \cdot 10^{-7}$                           |                 | Noordervliet (1982)   |
| Fish paste (71.8% water)  | $1.220 \cdot 10^{-7}$                          | T = 10 °C       | Rahman (1995: 383)    |
| Fish paste (71.8% water)  | $1.180 \cdot 10^{-7}$                          | T = 20 °C       | Rahman (1995: 383)    |
| Fish paste (71.8% water)  | $1.337 \cdot 10^{-7}$                          | T = 25 °C       | Rahman (1995: 383)    |

| <b>Annex 3: Thermal diffusivities <math>a</math> of some food products</b> $a = k/(c_p \cdot \rho)$ |  |              |                       |
|---|--|--------------|-----------------------|
| Food product  | Thermal diffusivity $a$<br>[m <sup>2</sup> /s] | Remarks      | Reference             |
| Fish paste (71.8% water)  | $1.353 \cdot 10^{-7}$                          | T = 40 °C    | Rahman (1995: 383)    |
| Fish paste (71.8% water)  | $1.360 \cdot 10^{-7}$                          | T = 50 °C    | Rahman (1995: 383)    |
| Fish paste (71.8% water)  | $1.930 \cdot 10^{-7}$                          | T = 75 °C    | Rahman (1995: 383)    |
| Halibut (76% water)   | $1.47 \cdot 10^{-7}$                           | T = 40-65 °C | Singh (1992: 254)     |
| Herring, fresh; summer  | $1.20 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Herring fillets   | $1.20 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Herring, brined   | $1.18 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Mackerel, fresh   | $1.23 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Mackerel (77.4% water)  | $1.408 \cdot 10^{-7}$                          | T = 0 °C     | Rahman (1995: 376)    |
| Mackerel (77.4% water)  | $1.519 \cdot 10^{-7}$                          | T = 10 °C    | Rahman (1995: 376)    |
| Mackerel (77.4% water)  | $1.793 \cdot 10^{-7}$                          | T = 20 °C    | Rahman (1995: 376)    |
| Mackerel (77.4% water)  | $2.070 \cdot 10^{-7}$                          | T = 30 °C    | Rahman (1995: 376)    |
| Mackerel  | $1.20 \cdot 10^{-7}$                           | T = 5 °C     | Rahman (1995: 382)    |
| Mackerel  | $1.30 \cdot 10^{-7}$                           | T = 25 °C    | Rahman (1995: 382)    |
| Mackerel in tomato sauce  | $1.49 \cdot 10^{-7}$                           |              | Holdsworth (2007:245) |
| Shrimps, peeled   | $1.00 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Tuna fish in oil  | $1.64 \cdot 10^{-7}$                           | T = 115 °C   | Holdsworth (2007:18)  |
| <b>Milk and milk products; egg and egg products</b>   |  |              |                       |
| Butter, unsalted  | $1.08 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Butter  | $0.92 \cdot 10^{-7}$                           | T = 20 °C    | Walstra (2006: 756)   |
| Buttermilk  | $1.47 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Cheese, Edam, young, 40 <sup>+</sup><br>(≥ 40% fat in dry matter)                                   | $1.30 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Cheese, Gouda, young; 48 <sup>+</sup><br>(≥ 48% fat in dry matter)                                  | $1.22 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Cheese, hard; semi-hard   | $1.07 \cdot 10^{-7} - 1.32 \cdot 10^{-7}$      | T = 20 °C    | Walstra (2006: 756)   |
| Cheese, Leiden, young, 20 <sup>+</sup><br>(≥ 20% fat in dry matter)                                 | $1.24 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Cheese, Processed, 48 <sup>+</sup><br>(≥ 48% fat in dry matter)                                     | $1.24 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Cream (20% fat)   | $1.05 \cdot 10^{-7}$                           | T = 20 °C    | Walstra (2006: 756)   |
| Cream (40% fat)   | $1.04 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Dutch custard (vla)   | $1.37 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Milk (3,2% fat)   | $1.44 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Milk (3.5% fat)   | $1.45 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Milk  | $1.30 \cdot 10^{-7}$                           |              | Walstra (2006: 756)   |
| Milk, Coffee milk =<br>Condensed milk, not<br>sweetened, 9% fat; 31% milk<br>dry matter             | $2.06 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Milk, Condensed milk,<br>sweetened, 9% fat; 31% milk<br>dry matter                                  | $1.85 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Milk, evaporated  | $1.30 \cdot 10^{-7}$                           | T = 20 °C    | Walstra (2006: 756)   |
| Milk fat; 100% fat  | $4.35 \cdot 10^{-7}$                           | T = 20 °C    | Walstra (2006: 756)   |
| Skim milk (< 0.1 % fat)   | $1.39 \cdot 10^{-7}$                           |              | Noordervliet (1982)   |
| Skim milk (< 0.1 % fat)   | $1.37 \cdot 10^{-7}$                           | T = 20 °C    | Walstra (2006: 756)   |
| Yoghurt (86.2% water; 1.1%<br>fat)  | $1.32 \cdot 10^{-7}$                           | T = 10 °C    | Rahman (1995: 383)    |

| <b>Annex 3: Thermal diffusivities <math>a</math> of some food products</b> $a = k/(c_p \cdot \rho)$ |  |                 |                       |
|---|--|-----------------|-----------------------|
| Food product  | Thermal diffusivity $a$<br>[m <sup>2</sup> /s] | Remarks         | Reference             |
| Yoghurt (86.2% water; 1.1% fat)   | $1.40 \cdot 10^{-7}$                           | T = 20 °C       | Rahman (1995: 383)    |
| Yoghurt (86.2% water; 1.1% fat)   | $1.37 \cdot 10^{-7}$                           | T = 25 °C       | Rahman (1995: 383)    |
| Yoghurt (86.2% water; 1.1% fat)   | $1.46 \cdot 10^{-7}$                           | T = 30 °C       | Rahman (1995: 383)    |
| Yoghurt (86.2% water; 1.1% fat)   | $1.33 \cdot 10^{-7}$                           | T = 40 °C       | Rahman (1995: 383)    |
| Yoghurt (86.2% water; 1.1% fat)   | $1.43 \cdot 10^{-7}$                           | T = 50 °C       | Rahman (1995: 383)    |
| <b>Composed meals</b>   |  |                 |                       |
| Beans, cooked, with corn and diced squash (68.3% water)   | $1.83 \cdot 10^{-7}$                           | T = 56 - 113 °C | Rahman (1995: 369)    |
| Chicken & rice  | $(1.93 \pm 0.21) \cdot 10^{-7}$                | T = 65 - 113 °C | Holdsworth (2007:18)  |
| Chicken with rice (75.1% water)   | $1.93 \cdot 10^{-7}$                           | T = 65 - 113 °C | Rahman (1995: 369)    |
| Chicken with diced potatoes + carrots (73.7% water)   | $1.70 \cdot 10^{-7}$                           | T = 72 - 109 °C | Rahman (1995: 369)    |
| Chicken+potatoes+carrots  | $(1.70 \pm 0.03) \cdot 10^{-7}$                | T = 72 - 109 °C | Holdsworth (2007:18)  |
| Chicken with peas and diced potatoes and carrots (67.6% water)                                      | $1.65 \cdot 10^{-7}$                           | T = 63 - 109 °C | Rahman (1995: 369)    |
| Chickenpeas, cooked, +pork sausage  | $(1.90 \pm 0.03) \cdot 10^{-7}$                | T = 71 - 114 °C | Holdsworth (2007:18)  |
| Chickpeas, cooked, with pork sausages (81.4% water)   | $2.24 \cdot 10^{-7}$                           | T = 71-114 °C   | Rahman (1995: 369)    |
| Chili con carne   | $1.44 \cdot 10^{-7}$                           |                 | Holdsworth (2007:245) |
| Clams, cooked, with rice (80.1% water)  | $1.98 \cdot 10^{-7}$                           | T = 60 - 114 °C | Rahman (1995: 369)    |
| French beans and chicken purée  | $1.62 \cdot 10^{-7}$                           |                 | Holdsworth (2007:18)  |
| Lentils, cooked, with rice (77.8% water)  | $1.64 \cdot 10^{-7}$                           | T = 64 - 114 °C | Rahman (1995: 369)    |
| Meat, chopped, with tomato and diced potatoes (66.4% water)   | $1.57 \cdot 10^{-7}$                           | T = 65-106 °C   | Rahman (1995: 369)    |
| Meat+tomatoes+potatoes  | $(1.57 \pm 0.20) \cdot 10^{-7}$                | T = 65 - 106 °C | Holdsworth (2007:18)  |
| Meat+potatoes+carrots   | $(1.77 \pm 0.15) \cdot 10^{-7}$                | T = 58 - 113 °C | Holdsworth (2007:18)  |
| Meat, chopped, with diced potatoes and carrots (82.1% water)  | $1.77 \cdot 10^{-7}$                           | T = 56 - 113 °C | Rahman (1995: 369)    |
| Mixed vegetables and beef purée   | $1.63 \cdot 10^{-7}$                           |                 | Holdsworth (2007:18)  |
| Lasagne (73.6% water)   | $1.32 \cdot 10^{-7} - 1.70 \cdot 10^{-7}$      | T = 60 - 100 °C | Holdsworth (2007:18)  |
| Pea and potato purée  | $1.48 \cdot 10^{-7}$                           |                 | Holdsworth (2007:18)  |
| Spagetti hoops in tomato sauce  | $2.50 \cdot 10^{-7}$                           |                 | Holdsworth (2007:245) |
| Spagetti in tomato sauce  | $2.50 \cdot 10^{-7}$                           |                 | Holdsworth (2007:245) |
| <b>Other food products</b>  |  |                 |                       |
| Acetic acid   | $5.06 \cdot 10^{-7}$                           |                 | Several               |
| Blood, cooked bovine blood (74% water)  | $1.62 \cdot 10^{-7}$                           | T = 58-104 °C   | Rahman (1995: 369)    |
| Dough (bread),( 43.5 % water)   | $1.450 \cdot 10^{-7}$                          | T = 23 °C       | Rahman (1995: 378)    |

| <b>Annex 3:</b> Thermal diffusivities $a$ of some food products $a = k/(c_p \cdot \rho)$ |  |                |                       |
|--|--|----------------|-----------------------|
| Food product   | Thermal diffusivity $a$<br>[m <sup>2</sup> /s] | Remarks        | Reference             |
| Dough (bread),( 46.1 % water)  | $1.630 \cdot 10^{-7}$                          | T = 19 °C      | Rahman (1995: 378)    |
| Dough (wheat),( 42 % water)  | $1.770 \cdot 10^{-7}$                          | T = 28 °C      | Rahman (1995: 378)    |
| Dough (wheat),( 44.4 % water)  | $2.375 \cdot 10^{-7}$                          |                | Rahman (1995: 378)    |
| Dough (wheat),( 44.8 % water)  | $1.916 \cdot 10^{-7}$                          |                | Rahman (1995: 379)    |
| Dough (wheat),( 45.1 % water)  | $1.916 \cdot 10^{-7}$                          |                | Rahman (1995: 378)    |
| Dough (rye),( 45.9 % water)  | $2.434 \cdot 10^{-7}$                          |                | Rahman (1995: 378)    |
| Dough (rye),( 53.6 % water)  | $1.875 \cdot 10^{-7}$                          |                | Rahman (1995: 379)    |
| Dough (rye),( 53.9 % water)  | $1.875 \cdot 10^{-7}$                          |                | Rahman (1995: 379)    |
| Dough, biscuit (4,1% water)  | $(0.800 - 1.200) \cdot 10^{-7}$                |                | Rahman (1995: 380)    |
| Dough, biscuit (8.5% water)  | $(0.800 - 1.200) \cdot 10^{-7}$                |                | Rahman (1995: 380)    |
| Dough, Tortilla  | $(1.050 - 3.080) \cdot 10^{-7}$                | T = 55 - 75 °C | Rahman (1995: 380)    |
| Ethanol  | $0.99 \cdot 10^{-7}$                           |                | Several               |
| Ice  | $11.82 \cdot 10^{-7}$                          | T = 0 °C       | Singh (1992: 254)     |
| Mayonaise (18% water)  | $1.07 \cdot 10^{-7}$                           | T = 0 - 50 °C  | Rahman (1995: 375)    |
| NaCl solution (78% water)  | $1.28 \cdot 10^{-7}$                           |                | Several               |
| Petfood  | $1.57 \cdot 10^{-7}$                           |                | Holdsworth (2007:245) |
| Saccharose solution (80% water)  | $1.32 \cdot 10^{-7}$                           |                | Several               |
| Sucrose solution (60% water)   | $1.39 \cdot 10^{-7}$                           | T = 50 - 90 °C | Rahman (1995: 375)    |
| Sucrose solution (60% water)   | $1.35 \cdot 10^{-7}$                           | T = 30 - 65 °C | Rahman (1995: 375)    |
| Sucrose solution (60% water)   | $1.14 \cdot 10^{-7}$                           | T = 0 - 35 °C  | Rahman (1995: 375)    |
| Swede, whole   | $1.38 \cdot 10^{-7}$                           | T = 20 - 4 °C  | Rahman (1995: 374)    |
| Water  | $1.48 \cdot 10^{-7}$                           | T = 30 °C      | Singh (1992: 254)     |
| Water  | $1.60 \cdot 10^{-7}$                           | T = 65 °C      | Singh (1992: 254)     |
| Water  | $1.338 \cdot 10^{-7} - 1.713 \cdot 10^{-7}$    | T = 0 - 100 °C | Holdsworth (2007:18)  |
| White wine sauce   | $1.64 \cdot 10^{-7}$                           |                | Holdsworth (2007:245) |

**Example calculation Annex 3: Use of the thermal diffusivity  $a$  to calculate the heat penetration factor  $f_h$  of a solid food.**

An cylindrical UT metal can (DxH = 73mm x 115 mm) is filled with chili con carne, a composed meal. Chili con carne in cylindrical cans behaves as a solid during heat processing in a still retort.

**a)** [Chapter 3](#) presents equations on the effect of food packaging material, dimensions, and shape on the heat penetration factor  $f_h$ .

**a-1)** Give the equation for calculating the heat penetration factor  $f_h$  of a solid food:

**a-2)** The equation of the "specific surface" of a solid food in a cylindrical metal can is:

**b)** In Annex 3 above, find a value of the thermal diffusivity  $a$  of the composed meal chili con carne.

**c)** Calculate the heat penetration factor  $f_h$  of the cylindrical UT metal can (DxH = 73mm x 115 mm; internal dimensions) with chili con carne.

**d)** Compare your  $f_h$  calculation of answer c) with the chili con carne  $f_h$  value in [table 3](#).

**Worked answers:**

**Answer a-1)** The equation for calculating the heat penetration factor  $f_h$  of a solid food:

$$f_h = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^*^2} = \frac{2.3}{a \cdot S^*^2} \quad [s] \quad (\text{see } \a href="#">\text{Chapter 3}).$$

**Answer a-2)** The equation of the "specific surface" of a solid food in a cylindrical metal can is:  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$  (see [table 4](#)).

**Answer b)** The thermal diffusivity  $a$  of chili con carne can be found in Annex 3, section [composed meals](#):  $a = 1.44 \cdot 10^{-7} \text{ m}^2/\text{s}$

**Answer c)** Calculation of the heat penetration factor  $f_h$  of the cylindrical UT metal can (DxH = 73mm x 115 mm) with chili con carne:

$$f_h = \frac{2.3 \cdot c_p \cdot \rho}{k \cdot S^{*2}} = \frac{2.3}{a \cdot S^{*2}} \quad [\text{s}] ; \text{ with } S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$$

Of the  $f_h$  equation, only use the part with the thermal diffusivity  $a$ :

$$f_h = \frac{2.3}{a \cdot S^{*2}} \quad [\text{s}] ;$$

in which

$$a = 1.44 \cdot 10^{-7} \text{ m}^2/\text{s} \quad (\text{answer b})$$

$$S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$$

$$\text{in which: } H = 115 \text{ mm} = 0.115 \text{ m} \quad (\text{given})$$

$$D = 73 \text{ mm} = 0.073 \text{ m} \quad (\text{given})$$

In a **metal** can, the thickness  $d_w$  of the metal wall can be neglected

Substitution of D and H in  $S^{*2} = 9.93/H^{*2} + 23.2/D^{*2}$  results in:

$$S^{*2} = 9.93/H^{*2} + 23.2/D^{*2} = 9.93/(0.115)^2 + 23.2/(0.073)^2 = 751 + 4354 = 5104.$$

$$\text{So } S^{*2} = 5104 \text{ m}^4/\text{m}^6.$$

Substitution of  $S^{*2}$  and  $a$  in the equation for  $f_h$  results in:

$$f_h = \frac{2.3}{a \cdot S^{*2}} = \frac{2.3}{1.44 \cdot 10^{-7} \cdot 5104} = \frac{2.3}{7350 \cdot 10^{-7}} = 3129 \text{ s} = 52.2 \text{ min.}$$

So  **$f_h = 52.2 \text{ min.}$**

**Answer d)** Compare the  $f_h$  calculation of answer c) with the  $f_h$  value listed in [table 3](#).

According to [Table 3](#), for chili con carne in a metal UT can (DxH = 73mm x 115 mm) in a still retort:  $f_h = 52.2 \text{ min.}$

This is **exactly the  $f_h$  value = 52.2 min., calculated in answer c.**

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Ball.exe (2012): See Eckenhausen, F. (2012): BALL 1.2.xls.

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Ch. 1: Introduction: Canning operations. Packaging materials; can sizes. History.

Ch. 2: Heat transfer by conduction, convection or radiation; thermal diffusivities; mean or volume average temperatures; solutions; effect of agitation.

Ch. 3: Kinetics of thermal resistance: pH;  $a_w$ . Denaturation rate; decimal reduction;  $z$ ; food quality.

Ch. 4: Sterilization, pasteurization and cooking criteria.  $F$ ;  $F_0$ ; lethal rates; integrated F-value  $F_S$ . Cooking values  $C$ ;  $C_S$ ; minimally processed foods; process achievement standards.

Ch. 5: Heat penetration in packaged foods: process characteristics; product characteristics; experimental determination of heat penetration: thermocouples and model systems. Graphical analysis of heat penetration measurements (semi-log heating + cooling plot); factors affecting heat penetration and heat penetration factor  $f_h$ ; broken heating curve. Thermal process simulation of non-symmetric foods in vacuum pouches.

Ch. 6: Process evaluation techniques: F-value graphically and numerically; analytical methods; Patashnik. Ball; Gillespy; broken curve; worked examples. Hayakawa. Other methods. Mass average sterilizing values. Factors affecting F. Microbiological methods. Guide to Sterilization values. Computerized process calculations.

Ch. 7: Quality optimization: C values; tables

Ch. 8: Engineering aspects of thermal processing: Retorting systems batch - continuous; still - rotary; Heat transfer coefficients (table); relative energy consumption of retorts; steam; steam-air; water. Pressure in containers; agitation.

Ch. 9: Retort control. Process control.

Ch. 10: Safety aspects: GMP; HACCP; validation.

Tables on  $D$ ,  $z$ , etc. of *B. stearothermophilus*, *B. subtilis*, *C. beijerinckii*, *C. botulinum*, *C. thermo-saccharolyticum*, *Desulfotomaculum nigrificans*, *E. coli*, putrefactive anaerobe. Tables on vitamin degradation, proteins, enzymes, sensory factors, texture and softening. Heat penetration protocols. FDA Food Process filings.

Kopelman, I.J.; Pflug, I.J.; Naveh, D. (1981): On the conversion factors in thermal processes. Journal of Food Technology (1981), **16**, p. 229-238

Conduction: The temperature response parameter  $f$  for conduction heating depends on the Biot number  $N_{Bi}$ :  $(f \cdot \alpha) / R^2 = N_{Bi}$ . The conversion factors have been tabulated (see Ball 1957). It seems safe that one can convert conduction heating data from metal cans to glass jars by assuming the glass wall to have the thermal properties of the packed food, i.e. to assume the jar with its external dimensions to be solid food. Convection: products with viscosities not greatly different from water heated in a non-agitated mode (e.g. juices; thin soups, and small particles in liquid such as peas in brine, etc.) and products with a higher viscosity for agitated processes (e.g., thick soups; cream style corn, etc.). Formulas for conversion from metal->metal (or pouches), from metal to glass and vice versa. Many example calculations are presented to verify conversion factors.

Leniger, H.A.; Beverloo, W.A. (1975): Food process engineering. Reidel; Dordrecht

Chapter 2.3: Heat transfer:

Steady state heat conduction; heat conductivity  $\lambda$ . Non-steady state heat conduction: thermal diffusivity  $\alpha$ .

The individual heat transfer coefficient  $\alpha$  and the overall heat transfer coefficient  $k$ . Table of some frequently occurring  $k$ -values (p. 78). Heat transfer with free convection. Heat transfer with condensation and boiling.

Chapter 4.2.2: Heat preservation:

Thermobacteriology:  $D$ ,  $z$ . Non-steady state heat transfer in cans with liquids and solids:  $f_h$  and  $j$ ;

penetration graphs. Process calculations:  $F$ ; Stumbo model and integrated sterilization value for solid foods; flowing sterilisation (UHT). Process selection: F-value and acidity; nutrient retention. Equipment.

Lewis, M.J. (1990): Physical properties of foods and food processing systems. Ellis Horwood; New York/Chichester.

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Definition, measurement techniques, data, prediction models, application of:

H 3: Physical properties of foods ( $\rho$ , porosity, shrinkage, surface area).

H 4: Specific heat  $C_p$ , enthalpy  $h$  and latent heat of food; data.

H 5: Thermal conductivity of food  $k$ .

H 6: Thermal diffusivity of food  $a$ .

H 7: Surface heat transfer coefficient in food processing  $h_A$ .

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Excel worksheet; can be downloaded from Academia.edu and from ResearchGate.net.

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Computer program **STUMBO.exe** (version 2.2 of November 2012), including Stumbo tables converted from °F to °C, worked examples, validation, and help files, can be obtained at a CD-ROM, to be sent to your postal address by air mail. Please submit your name and postal address to [j.w.mrouweler@freeler.nl](mailto:j.w.mrouweler@freeler.nl).

Rouweler, J.W.M. (2014): Heat Penetration Factor  $f_h$  Calculations for Liquid and Solid Foods, based on Packaging Size, Physical Product Properties, and Retort Heating Medium.xls (version 1.6).

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Analysis of heat penetration data (in °F!!) by means of semi log graphs to find  $f_h$ ,  $j_h$ ,  $f_c$  and  $j_c$ : p 137-141.

Conversion of heat penetration data when container size changes: p 193-198.

The symbols used by C.R. Stumbo are more or less similar to those used in this publication.

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