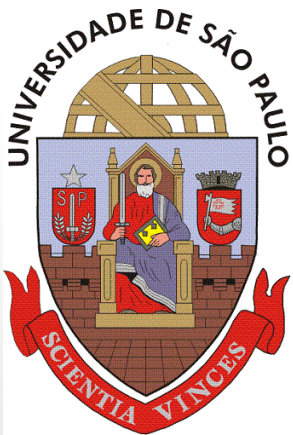


Wave Energy: Introduction

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Overview

- The potential for wave energy
- Wind waves in the ocean environment
- Wave mechanics
- Wave energy and its propagation
- WECs: some history
- WECs: basic features and main types
- Recent trends and perspectives
- References (for further and deeper studies)

The potential for wave energy

- The potential for wave energy harnessing has long been known..



- Recent estimation of total world wide wave power [1]:

Total power ~ 2.7 TW

The potential for wave energy

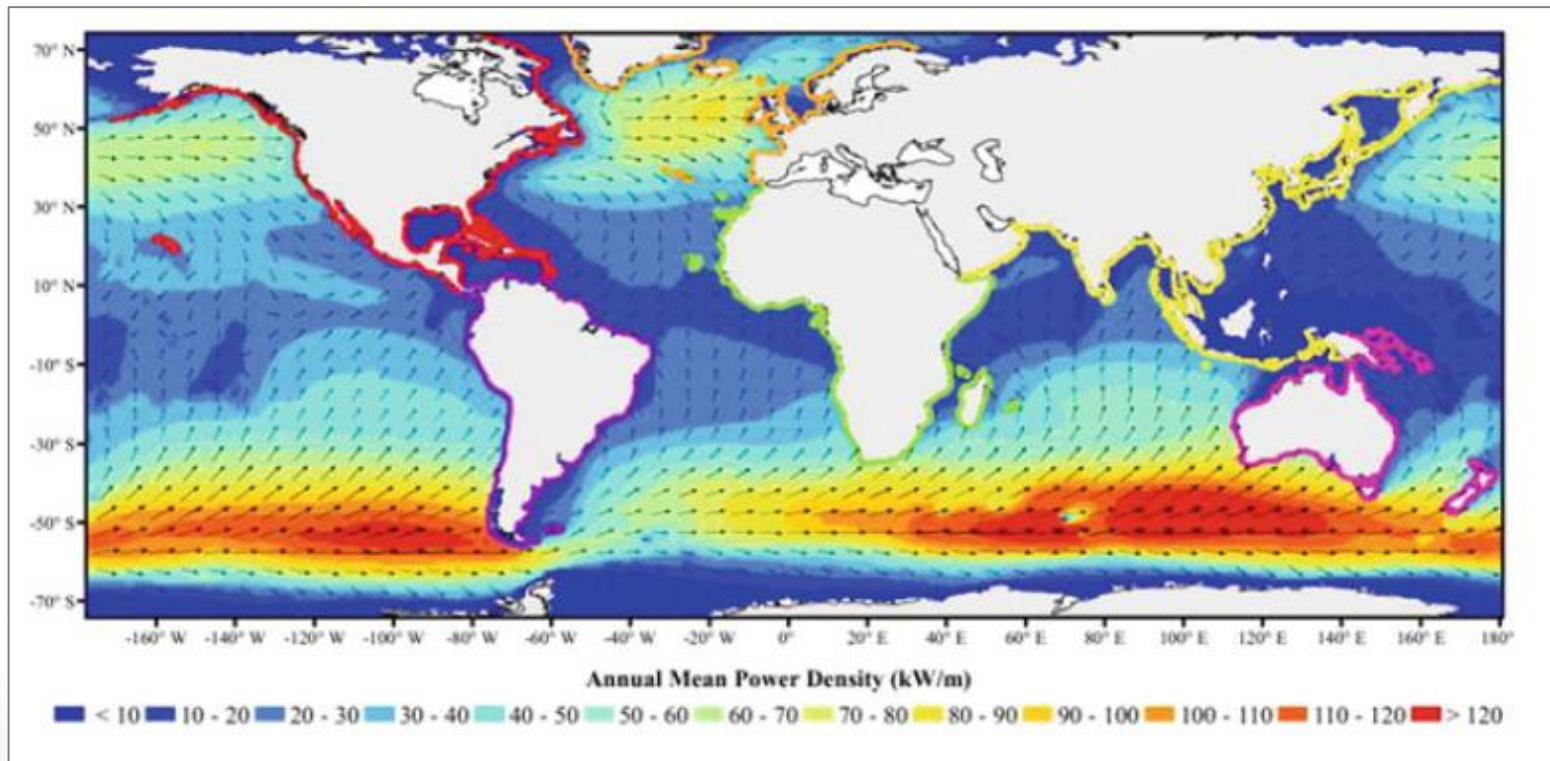
- This is only a fraction of the estimated total wind power, which in turn is only a fraction of the estimated total solar power, but the wave energy *density* is much more *larger* (Power/surface area – W/m²)

$$\text{energy density} = \frac{\text{Power}}{\text{area}} \left(\frac{\text{W}}{\text{m}^2} \right)$$

- According to Falnes (2007) [2]:
 - Solar: ~ **0.1 – 0.3** kW/m² (earth surface)
 - Wind: typically **0.5** kW/m² (perpendicular to wind)
 - Waves: typically **2 – 3** kW/m² (perpendicular to waves)

The potential for wave energy

- Estimates of mean wave energy distribution around the globe can also be found (see, for instance, [3]):



Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy*, Springer Open, Ocean Eng. and Oceanography Series V.7, 2017 [4]

The potential for wave energy

- However, the problems for economically harvesting such energy are **MANY**, such as:
 - There is a large **seasonal variability** of the mean energy in any location (typically around 50% !).
 - In any spot and any season, there is also a **large variability of wave energy in time** (related to local wind conditions and swells coming from distant locations).
 - In a real sea condition, there is always some level of **energy spread regarding wave direction** (more intense in deep water areas).
 - The need for mechanical devices operating in a **hostile environment** (current and wind loads, corrosion, etc.), requiring intensive maintenance.
 - Wave energy is better offshore, but this requires floating systems, with large economical impacts from **moorings and power cables to the shore...**

The potential for wave energy

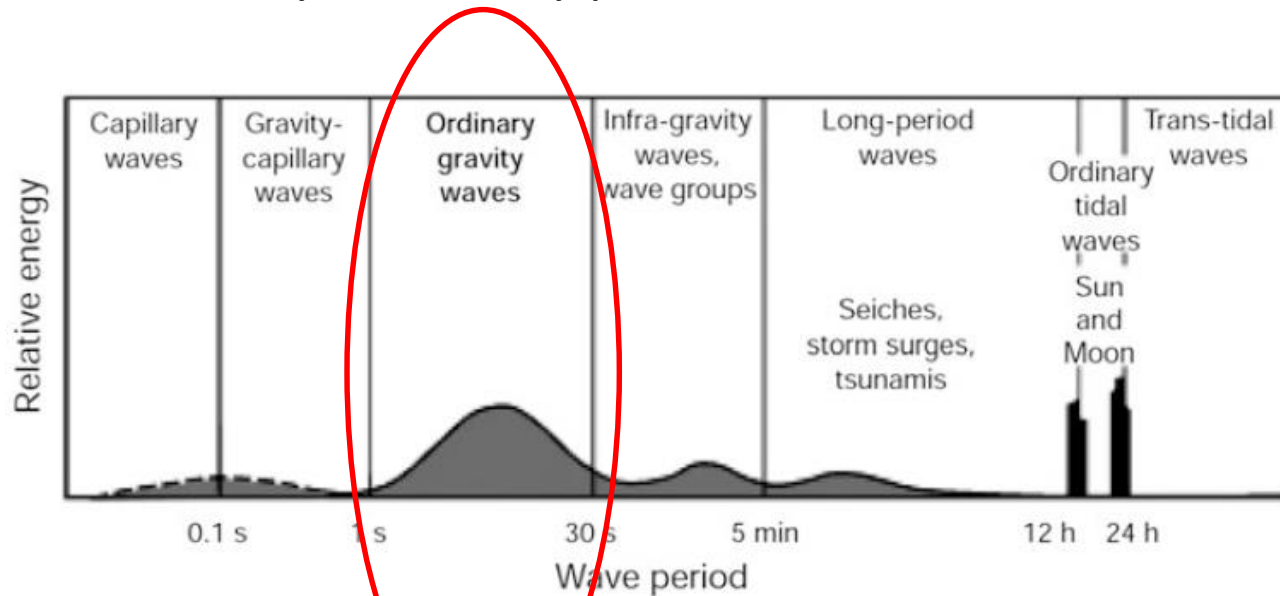
- All these problems, ultimately...

prevent the economical feasibility of wave energy conversion devices (WECs) designed for large-scale and long-term commercial electrical power production, but...

there is always space for small-scale energy production for specific sites/applications and the economics change depending on many variables (environmental constraints, oil/gas prices, development of smart-grids, etc...)

Wind waves in the ocean environment

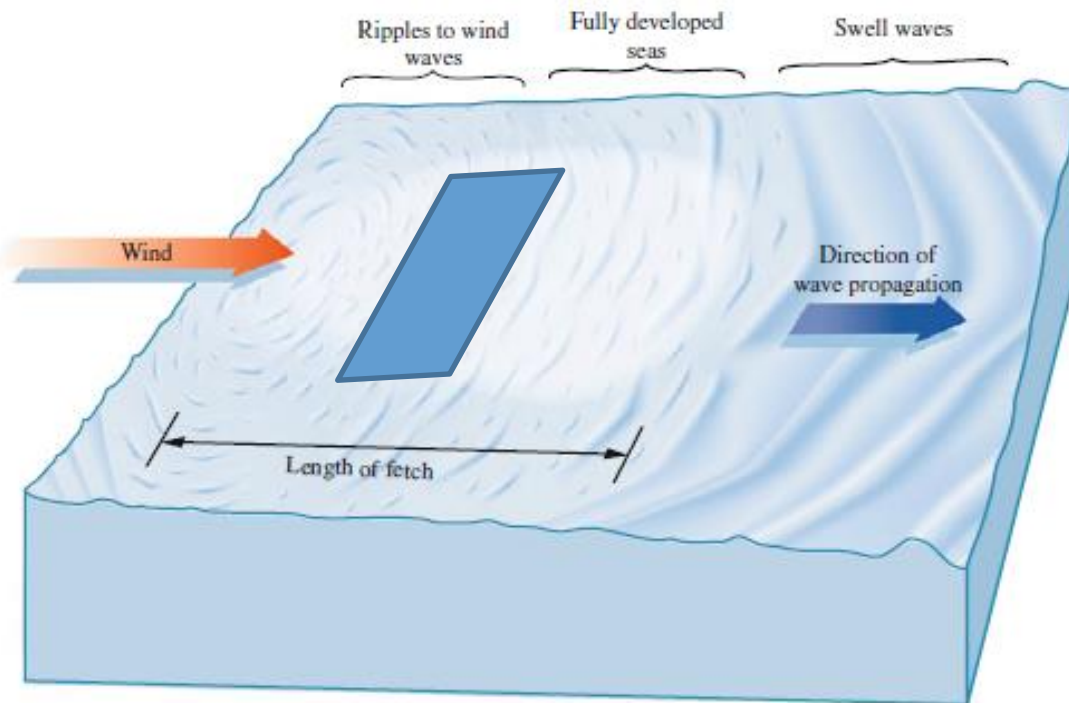
- There are many oscillatory phenomena in the ocean:



Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy*, Springer Open, *Ocean Eng. and Oceanography Series V.7*, 2017 [4]

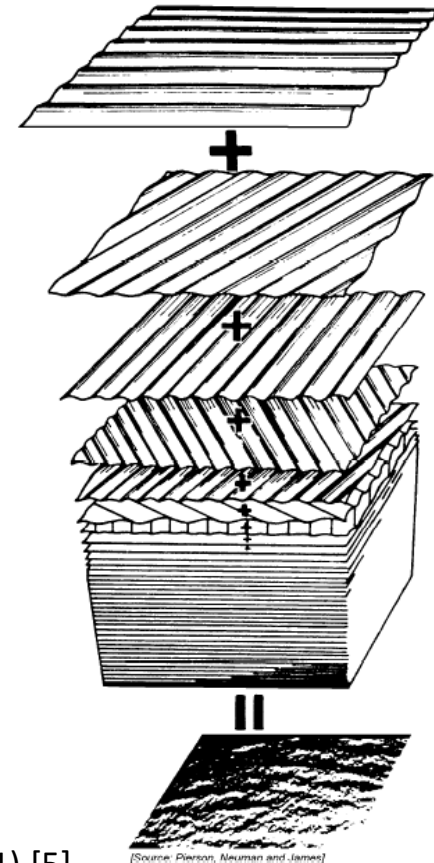
Wind waves in the ocean environment

- Waves generated by wind action:
 - Quite efficient mechanism of energy transmission
 - Different characteristics as move away from generation zone



Wind waves in the ocean environment

- In or close to the generation zone: “Sea waves”
 - **Waves of various frequencies, amplitudes and directions coexist**

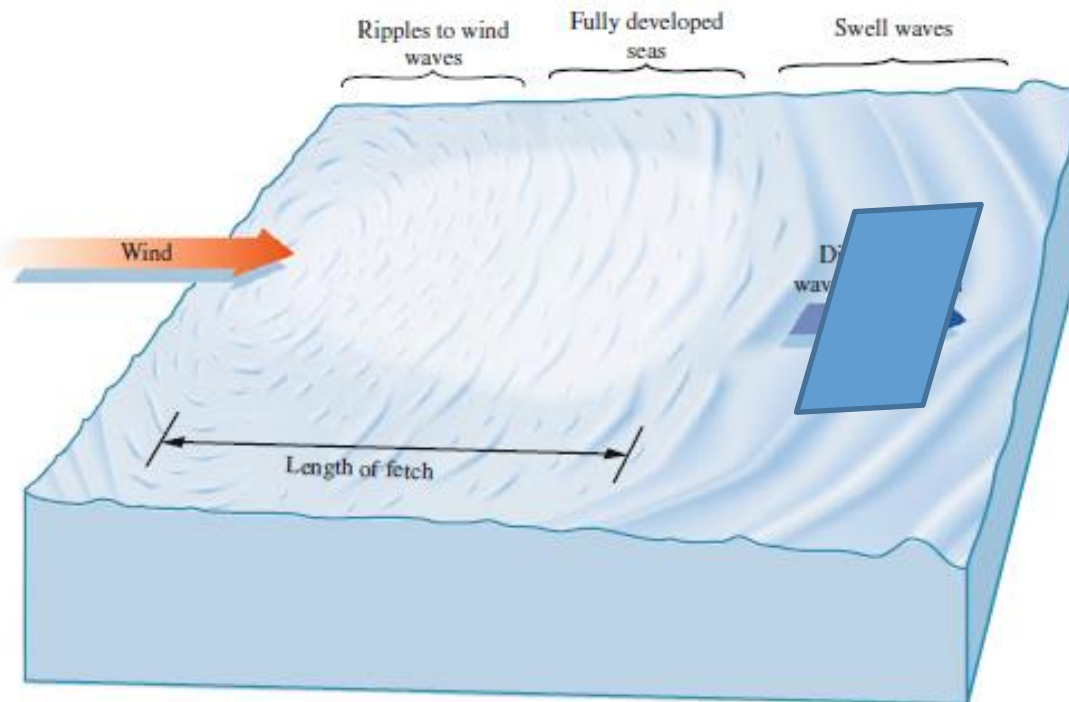


Source: Journée & Massie (2001) [5]

[Source: Pierson, Neuman and James]

Wind waves in the ocean environment

- Waves generated by wind action:
 - Quite efficient mechanism of energy transmission
 - **Different characteristics as move away from generation zone**



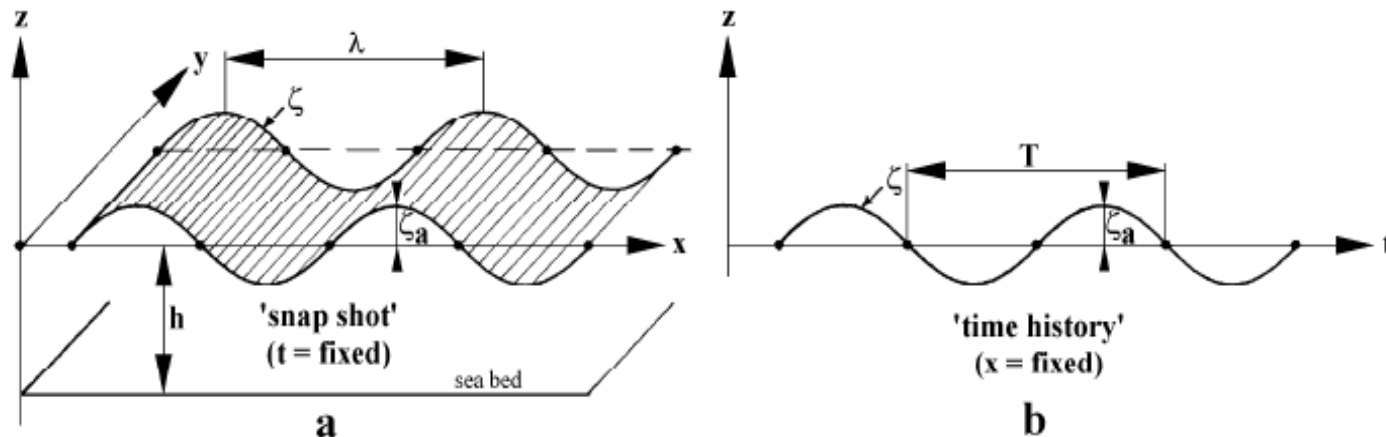
Wind waves in the ocean environment

- Far from the generation zone: “Swell waves”
 - **Only waves of approx. equal frequencies coexist in each location**



Wave Mechanics

- Let us examine the mechanics of wind waves:
- **Suppose a “regular” progressive wave:**



Source: Journée & Massie (2001) [5]

- 3 parameters define the wave:

- Amplitude (m): A

- Wavelength (m): λ

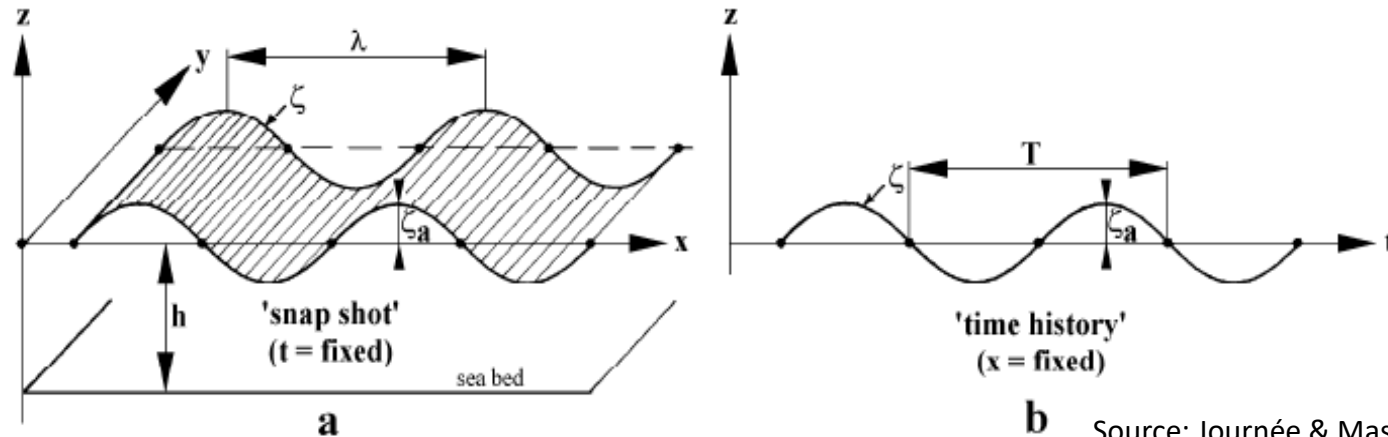
- Period (s): T



Wave number (rad/m): $k = 2\pi/\lambda$

Wave frequency (rad/s): $\omega = 2\pi/T$

Wave Mechanics



Source: Journée & Massie (2001) [5]

- It progresses in the $x+$ direction with velocity (**celerity**):

$$c = \frac{\lambda}{T} = \frac{\omega}{k}$$

- and, if the ratio A/λ is small (typically below **3%**), the wave is considered **linear**, and the wave equation is given simply by:

$$\zeta(x, t) = A \cos(kx - \omega t)$$

Wave Mechanics

- Gravity waves are **dispersive waves**: there is a nonlinear relation between wavelength (λ) and wave period (T)
- For *linear waves in a constant depth h*, the dispersion relation is given by:

$$k = \frac{\omega^2}{g \tanh(kh)}$$

- Thus:

$$\lambda = \frac{gT^2 \tanh(kh)}{2\pi}$$



Longer waves have higher periods

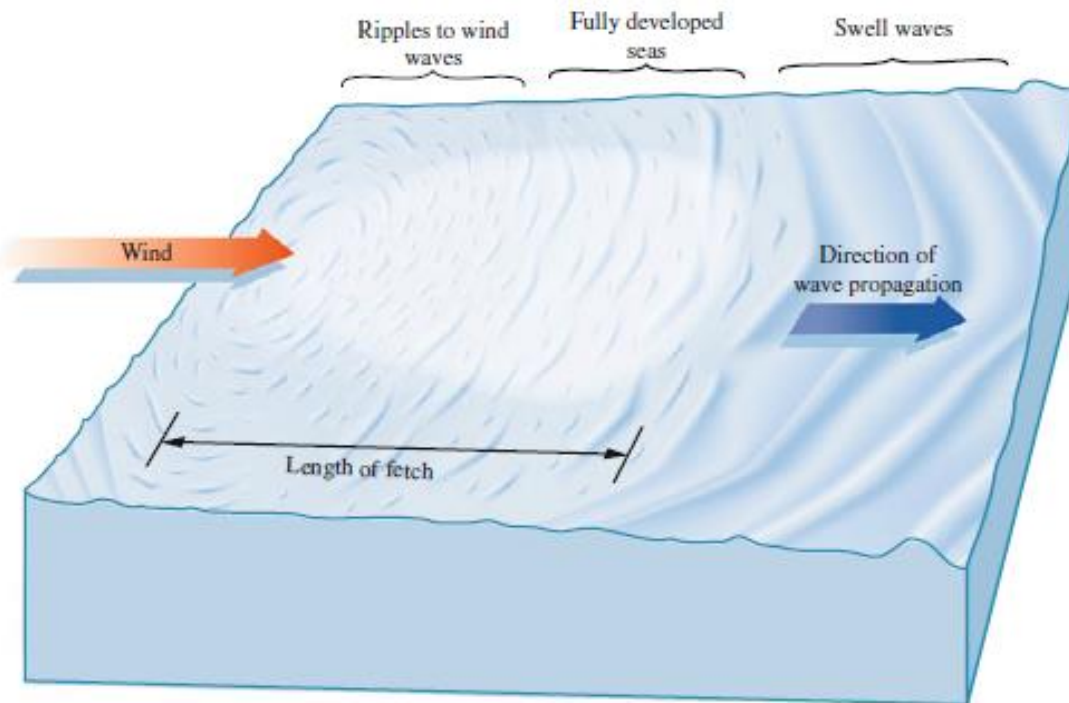
$$c = \frac{gT \tanh(kh)}{2\pi}$$



Longer waves have **higher speeds**

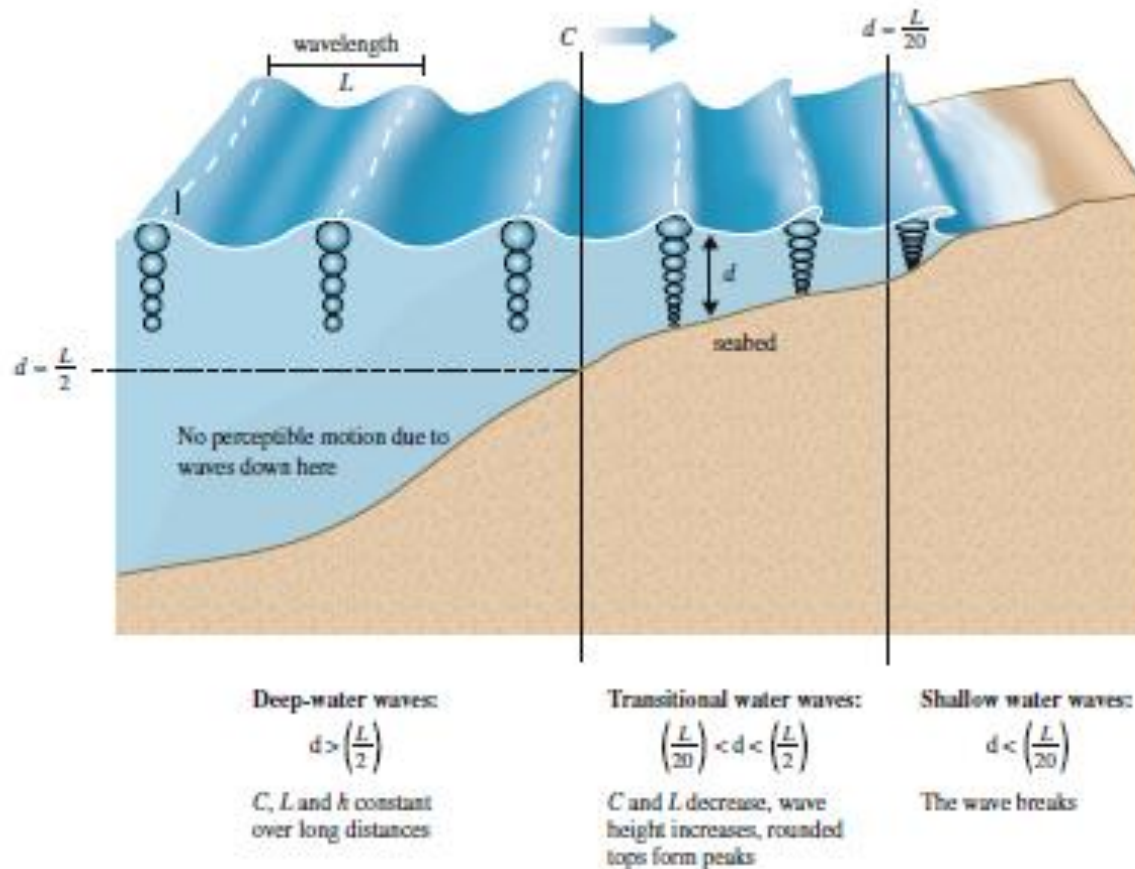
Wave Mechanics

- This explains the *regularization process* the waves undergo as they move away from the generation zone: *each wave component has a different speed (dispersion)*



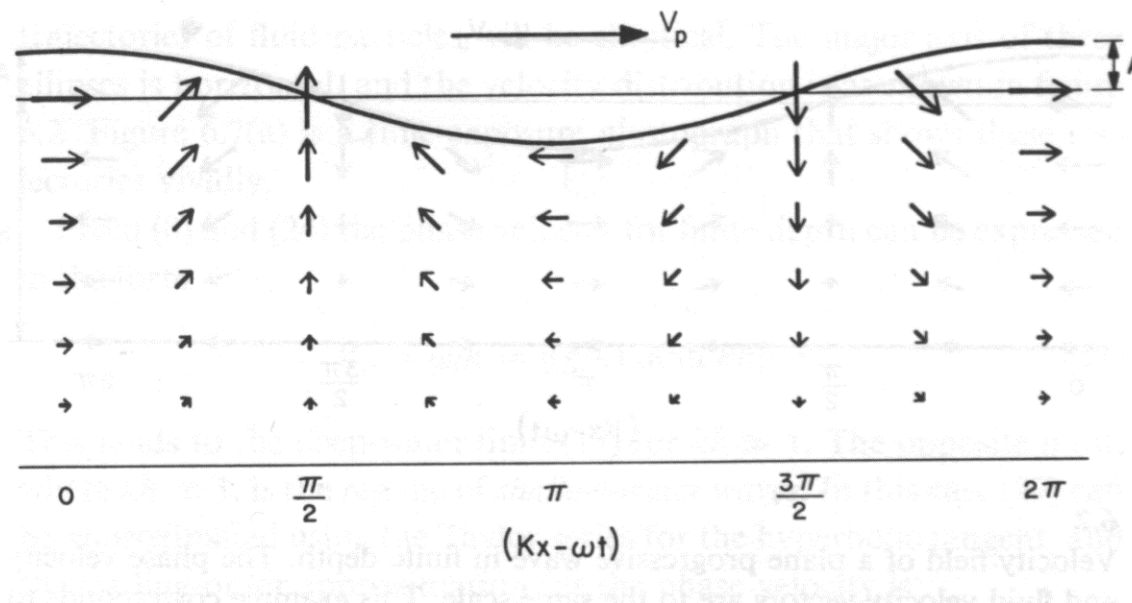
Wave Mechanics

- Surface waves are associated with a periodic water flow:



Wave Mechanics

- For **deep waters** ($h > \lambda/2$), orbits are essentially circular and their radius **decay exponentially** with depth;
- The flow velocity field exhibits the following behavior:



Wave Mechanics

- ...and velocities in any point of the flow can be calculated as:

$$\vec{v}(x, z, t) = u(x, z, t)\vec{i} + w(x, z, t)\vec{k}$$

- with:

$$u(x, z, t) = \omega A e^{kz} \cos(kx - \omega t)$$

$$w(x, z, t) = \omega A e^{kz} \sin(kx - \omega t)$$

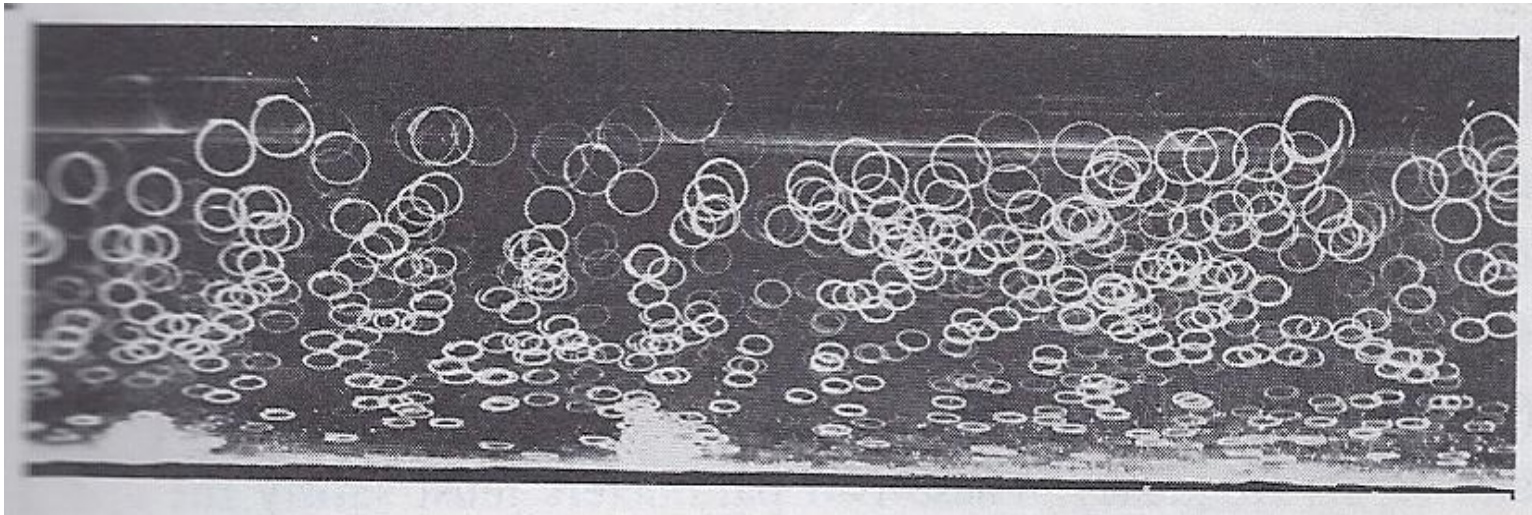
- Thus:

$$|\vec{v}(x, z, t)| = \omega A e^{kz}$$

**Flow energy is
concentrated
close to the
surface**

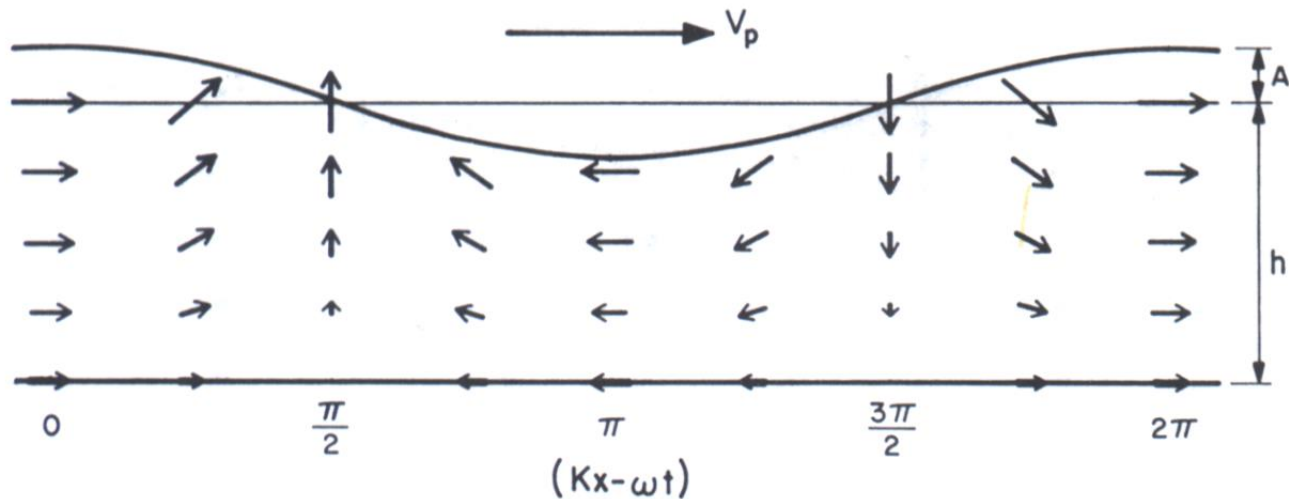
Wave Mechanics

- For **finite water depths** ($h < \lambda/2$), orbits are essentially elliptic and decay hyperbolically with depth:



Wave Mechanics

- The flow velocity field exhibits the following behavior:



Wave Mechanics

- ...and velocities in any point of the flow can be calculated as:

$$\vec{v}(x, z, t) = u(x, z, t)\vec{i} + w(x, z, t)\vec{k}$$

- with:

$$u(x, z, t) = \omega A \frac{\cosh k(z + h)}{\sinh(kh)} \cos(kx - \omega t)$$

$$w(x, z, t) = \omega A \frac{\sinh k(z + h)}{\sinh(kh)} \sin(kx - \omega t)$$

Wave energy and its propagation

- **Wave energy** is composed of:
 - **Potential energy**, associated to wave elevation
 - **Kinetic energy**, associated with fluid flow
- For a regular progressive wave:

$$Energy = \frac{1}{2} \rho g A^2$$

Mean wave energy
per surface area of
the sea
(J/m²)

Wave energy and its propagation

- However, in general, **this energy is not transmitted with the wave celerity (c), but rather with a smaller velocity named **group velocity (c_g)****
- For understanding this, let's consider two waves of same amplitude $A/2$ and almost the same frequencies $\{(\omega - \delta\omega)$ and $(\omega + \delta\omega)\}$, which propagate in the same direction. It is easy to show that, in this case, the wave equation is given by

$$\zeta(x, t) = A \cos(\delta kx - \delta\omega t) \cos(kx - \omega t)$$

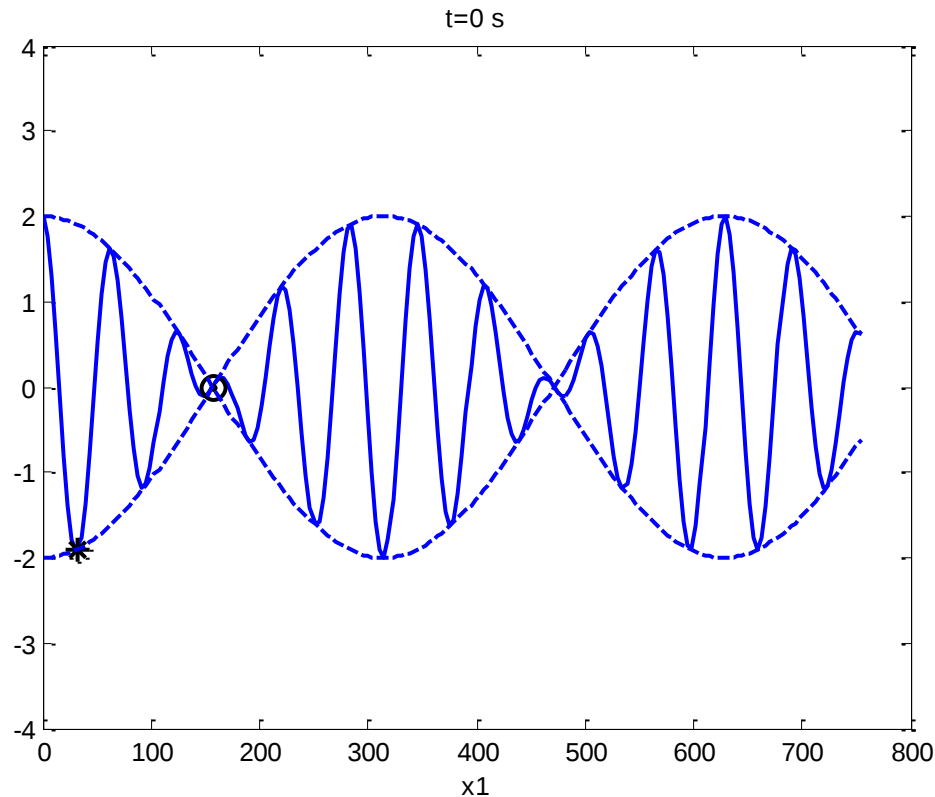
Wave **modulation**:

Large wavelength: $2\pi/\delta k$

Low period: $2\pi/\delta\omega$

Wave energy and its propagation

- The wave moves with celerity c , but it is confined in a “wave group” ...

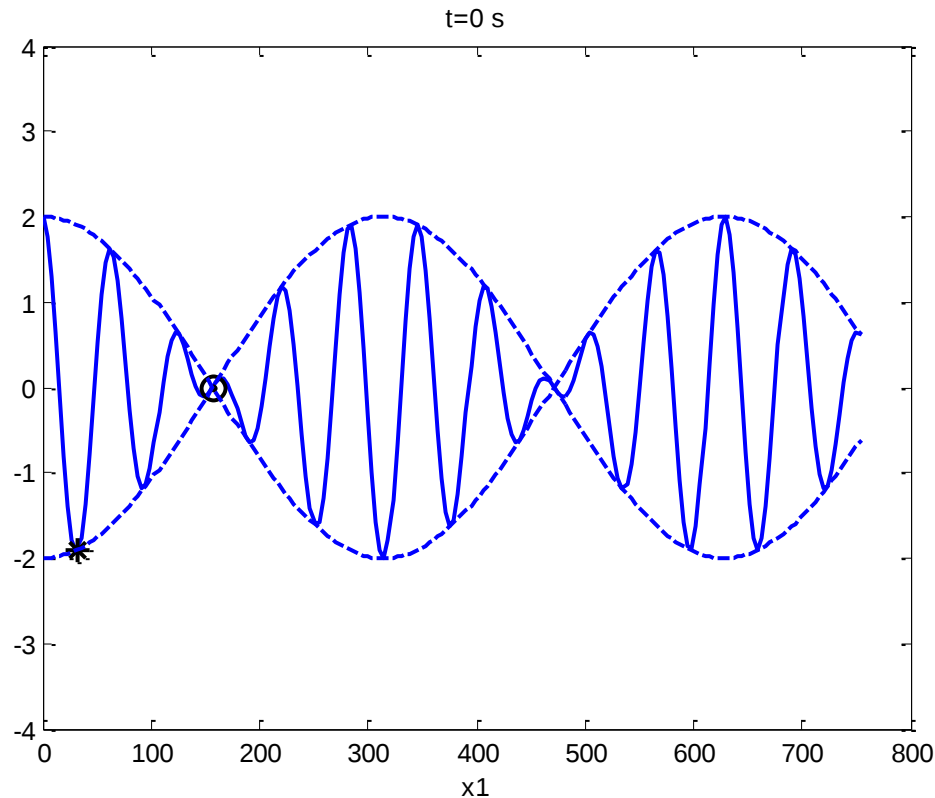


- ...which moves with *group velocity*:

$$c_g = \frac{d\omega}{dk}$$

Wave energy and its propagation

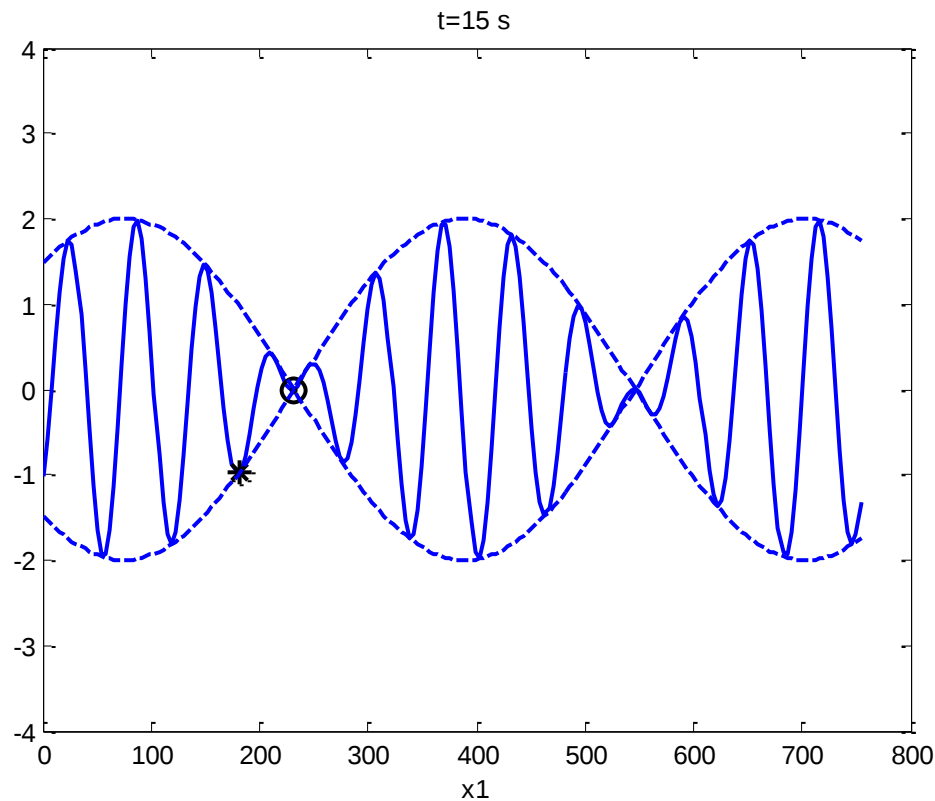
- As an example: the next figures show the evolution in time of a wave point (with c) and of a group point (with c_g)



wave celerity (*) and group velocity (o)

Wave energy and its propagation

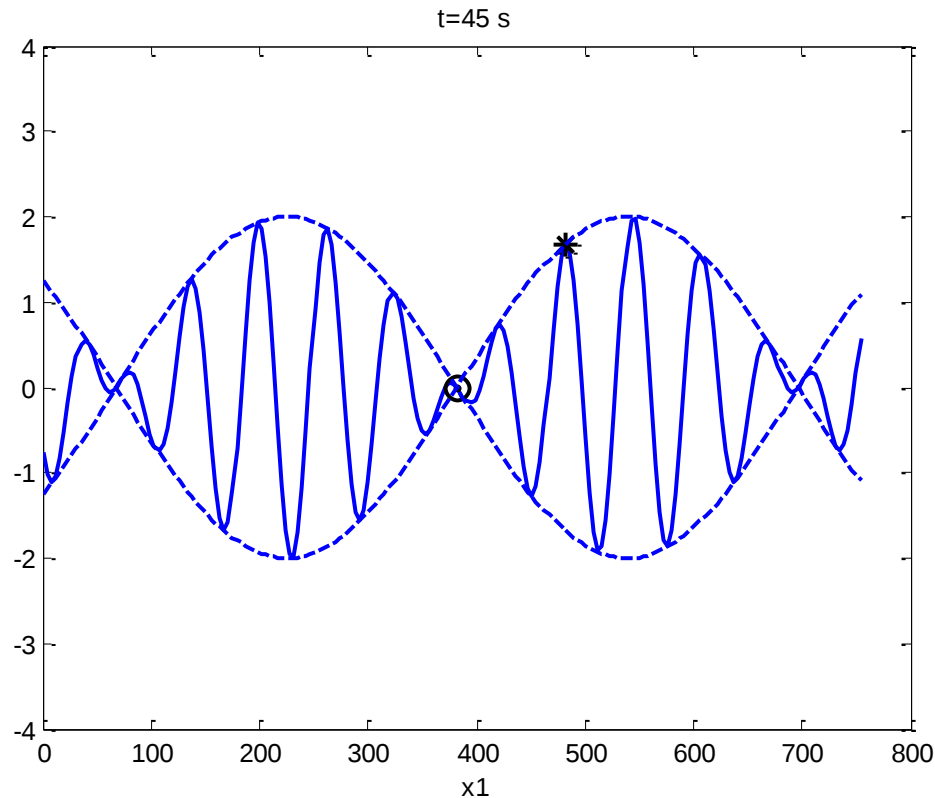
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Wave energy and its propagation

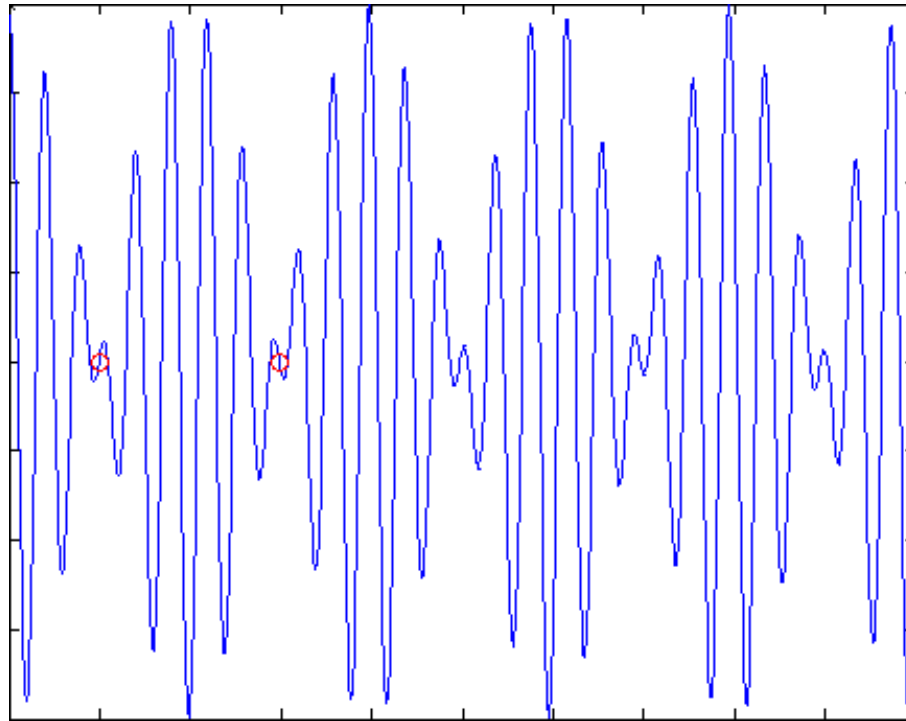
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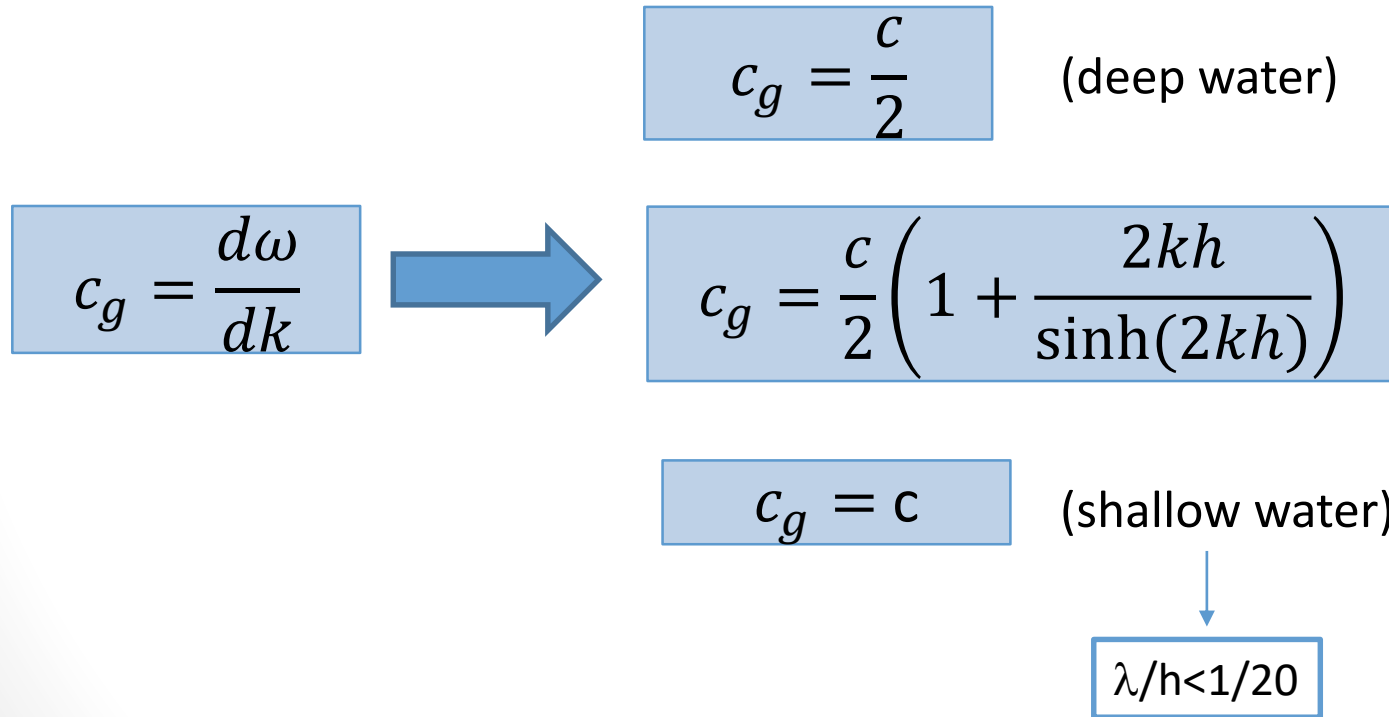
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wave celerity (*) and group velocity (o)

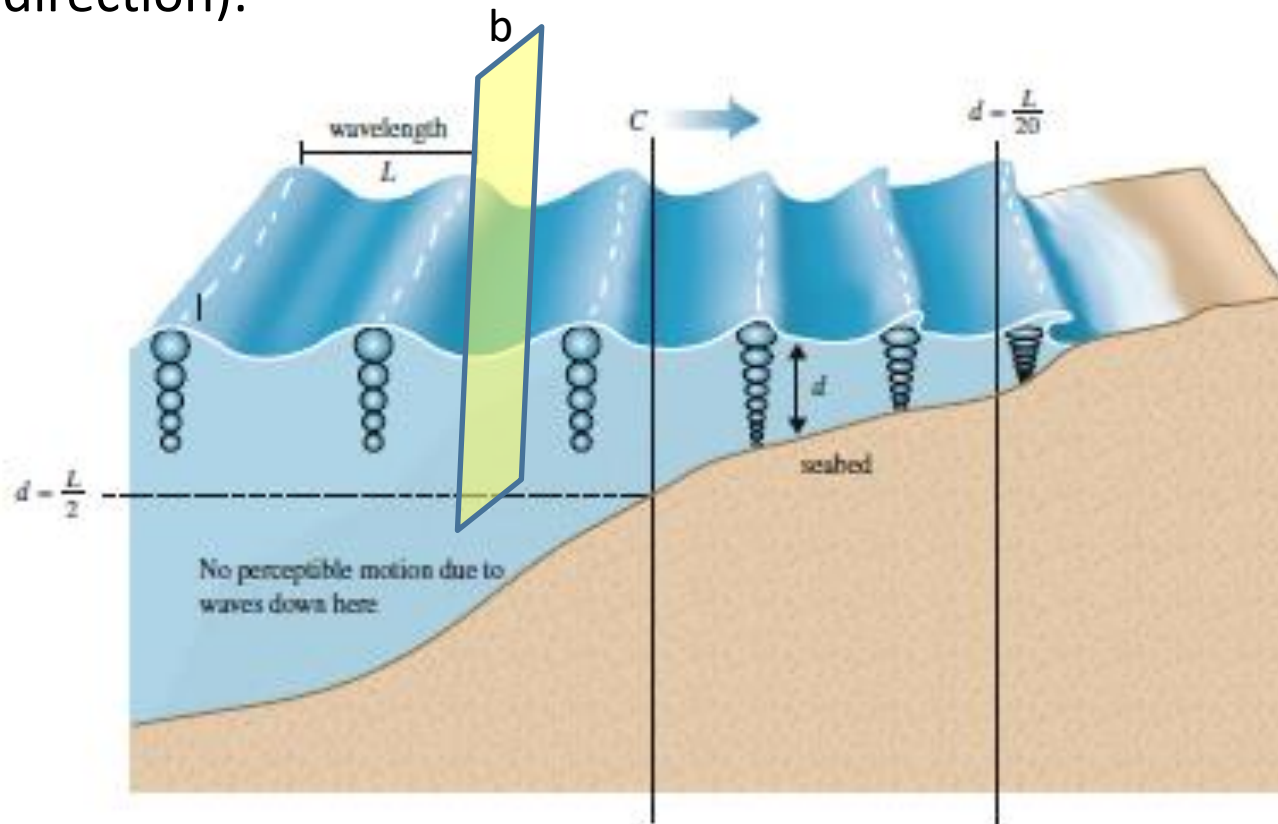
Wave energy and its propagation

- Thus, the **mean wave energy propagates with the group velocity** which, *in its turn, depends on the water depth*:



Wave energy and its propagation

- Therefore, if we want to know the **wave mean energy flux (power) through a section of width b** (perpendicular to the wave direction):



Wave energy and its propagation

- This **mean power** will be:

$$power = \frac{1}{2} \rho g A^2 c_g b \quad (\text{W})$$

This is the maximum available power that can be extracted from a width of length b parallel to the wave crests in the sea

Wave energy and its propagation

- **Example:**
- Let's consider a wave in deep water, with amplitude $A=1\text{m}$ and period $T=10\text{s}$. Then:

$$\rho = 1025 \text{ kg/m}^3$$

$$g = 9,81 \text{ m/s}^2$$

$$c_g = 1/2 c = 1/2 (gT/2\pi) = 7,81 \text{ m/s}$$

$$\text{power/m} = \frac{1}{2} \rho g A^2 c_g = 39,25 \text{ (kW/m)}$$

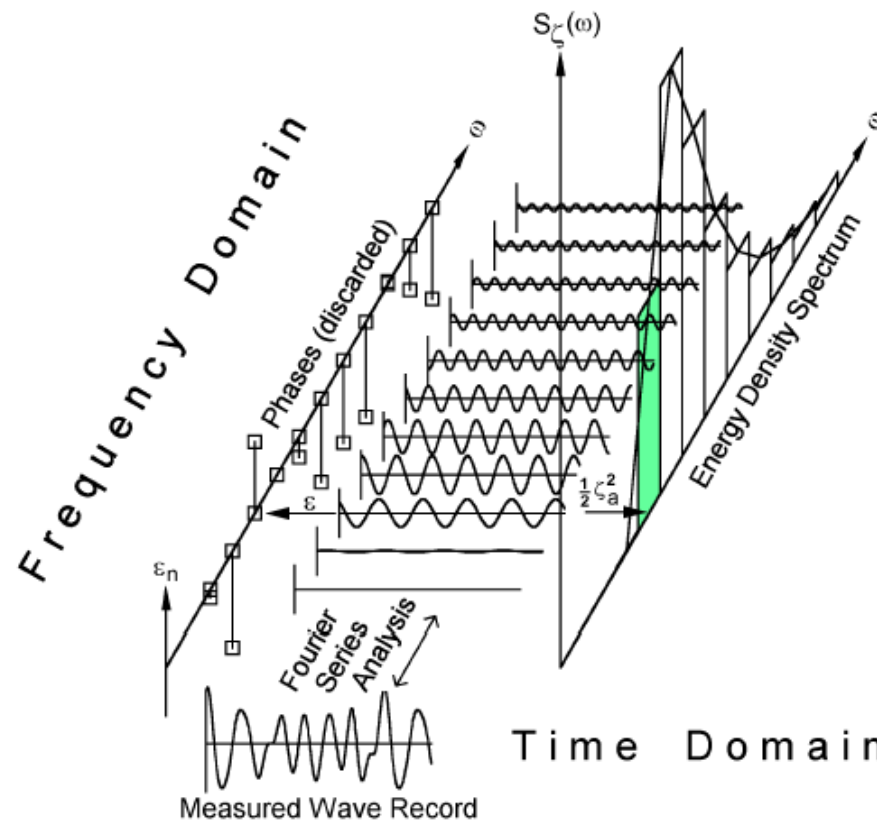
Wave energy and its propagation

- Have in mind that WECs are designed considering:
 - **An optimum wave frequency (or wavelength), and**
 - **An optimum wave direction**



Wave energy and its propagation

- **However**, in a **real sea**, there is always a *spread of wave energy in the different wave frequencies* (represented by a **wave energy spectrum**):



Wave energy and its propagation

- **Main statistical quantities:**

- Spectral moments:
$$m_k = \int_0^{\infty} \omega^k S_{\zeta}(\omega).d\omega$$

- Standar deviation:
$$\sigma = RMS = \sqrt{m_0} = \left[\int_0^{\infty} S_{\zeta}(\omega).d\omega \right]^{1/2}$$

- Significant wave amplitude/height
$$A_{1/3} = 2\sqrt{m_0}$$
$$H_{1/3} = 4\sqrt{m_0}$$

- (Mean) Central period:
$$T_1 = 2\pi \frac{m_0}{m_1}$$

- Zero up-crossing period:
$$T_2 = 2\pi \sqrt{\frac{m_0}{m_2}}$$

Wave energy and its propagation

- **For example, the JONSWAP energy spectrum:**

- *Joint North Sea Wave Project (1968-1969)*
- *North Sea – 100 nm from Sylt Island*
- *Fetch limited seas*
- ITTC (1984) recommended:

$$S_{\zeta}(\omega) = \frac{320.H_{1/3}^2}{T_p^4} \omega^{-5} \exp\left\{\frac{-1950}{T_p^4} \omega^{-4}\right\} \gamma^A$$

Peakedness factor

$$\gamma = 3.3$$

Nowadays γ is a
3rd parameter:
universality

$$A = \exp\left\{-\left(\frac{\frac{\omega}{\omega_p} - 1}{\sigma\sqrt{2}}\right)^2\right\} \quad \begin{array}{ll} \sigma = 0.07; & \omega < \omega_p \\ \sigma = 0.09; & \omega > \omega_p \end{array}$$

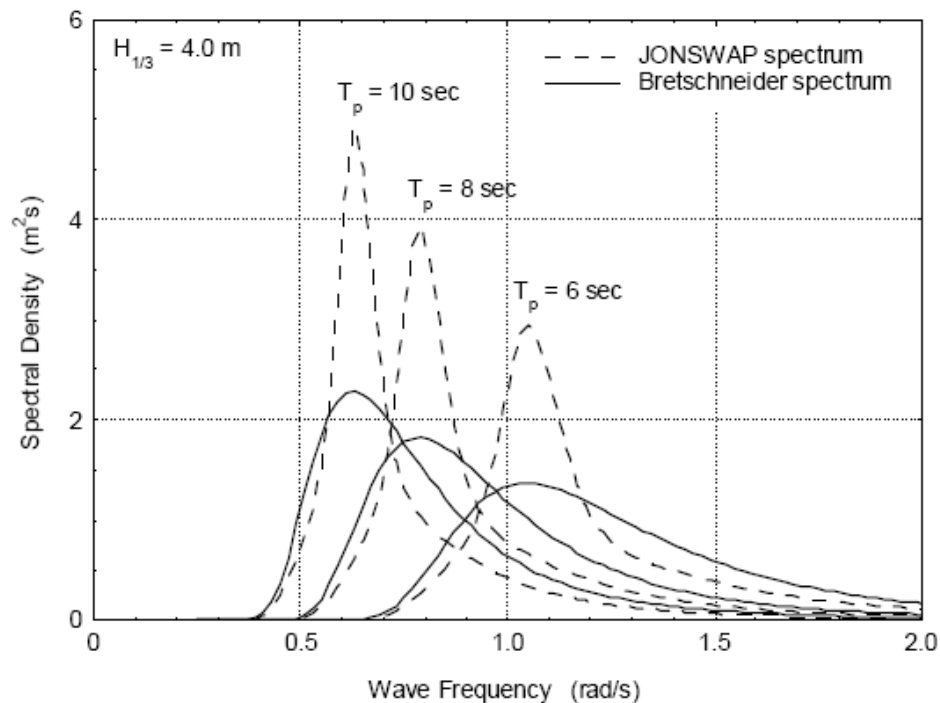
$$T_p = 1.199T_1 = 1.287T_2$$

Wave energy and its propagation

- For example, the JONSWAP energy spectrum:
 - *Comparison with Bretschneider spectrum (for fully developed seas)*

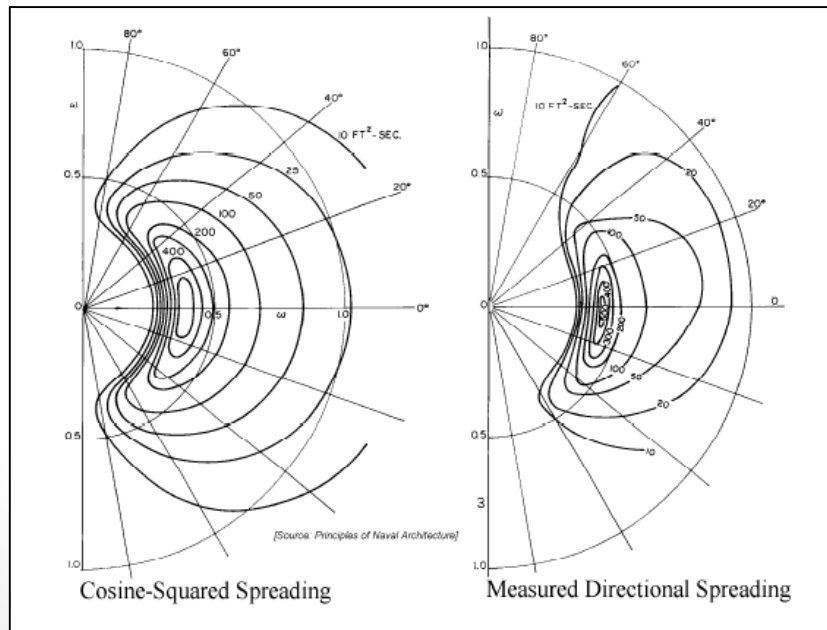
IF: $\gamma^A = 1.522$

JONSWAP=Bretsch.



Wave energy and its propagation

- **Spread of wave energy in direction:**
 - *In a real sea, there will always be some spreading of energy (power) in different wave directions;*
 - *Directional wave spectrum: $S(\omega, \mu) = S(\omega)G(\mu)$*
 - *Example: cosine-squared model:*

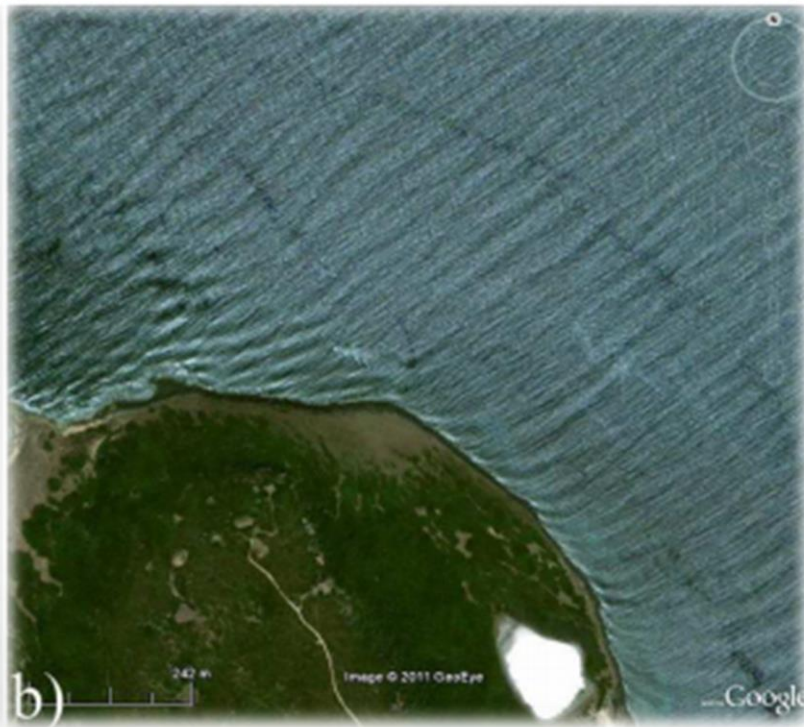


$$S_{\zeta}(\omega, \mu) = \left\{ \frac{2}{\pi} \cos^2(\mu - \bar{\mu}) \right\} S_{\zeta}(\omega)$$

$$-\frac{\pi}{2} \leq (\mu - \bar{\mu}) \leq \frac{\pi}{2}$$

Wave energy and its propagation

- In open sea, wave direction is usually **highly variable** (local wind direction and swell coming from distant regions)...
- **Near the shore** (shallow waters), waves undergo *refraction* and tend to align with the shore line...

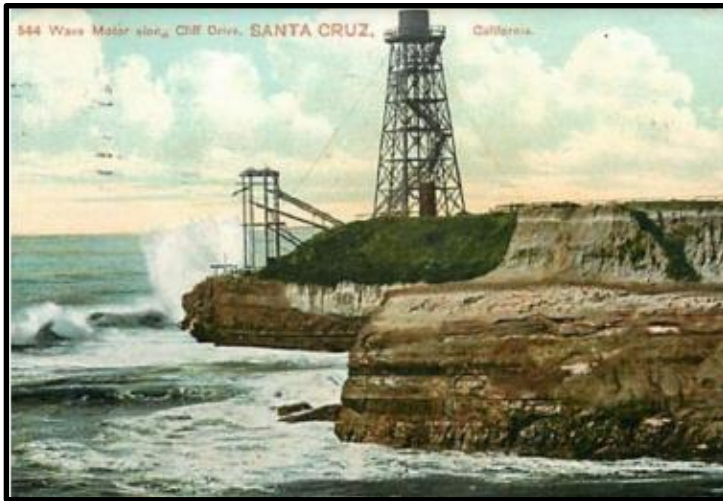


... in this case, at least, the **wave direction is more stable** and known in advance.

Also, the **directional energy spreading is reduced** as the waters get shallow..

WECs: some history

- The idea goes way back in time:
 - **In 1799 – Girard pere et fils**, in France, proposed the use of a raft to convert wave energy into mechanical energy (first patent) !!
 - Other experiments took place during the 1800s:



The Santa Cruz wave-motor experiment

Source: Pecher, A. & Kofoed, J.P. (editors),
Handbook of Ocean Wave Energy [4]

- **Modern advances** came through mainly **after the oil crisis in the 1970s**;
- **By the early 1980s** there were already **more than 1000 patents [7]!**

WECs: some history

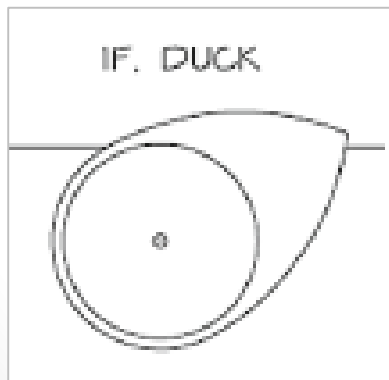
- During the 1970s and 1980s, some of the main developments happened in Europe (Scotland, Norway) and Japan.
- Special note must be given to the work of Prof. Stephen Salter, and the development of the **Salter' Duck**...

A rotating floating device that would extract the *wave energy from the wave flow*

- ...and the history of this development is quite illustrative of the principles, challenges and drawbacks of many modern WEC devices.

WECs: some history

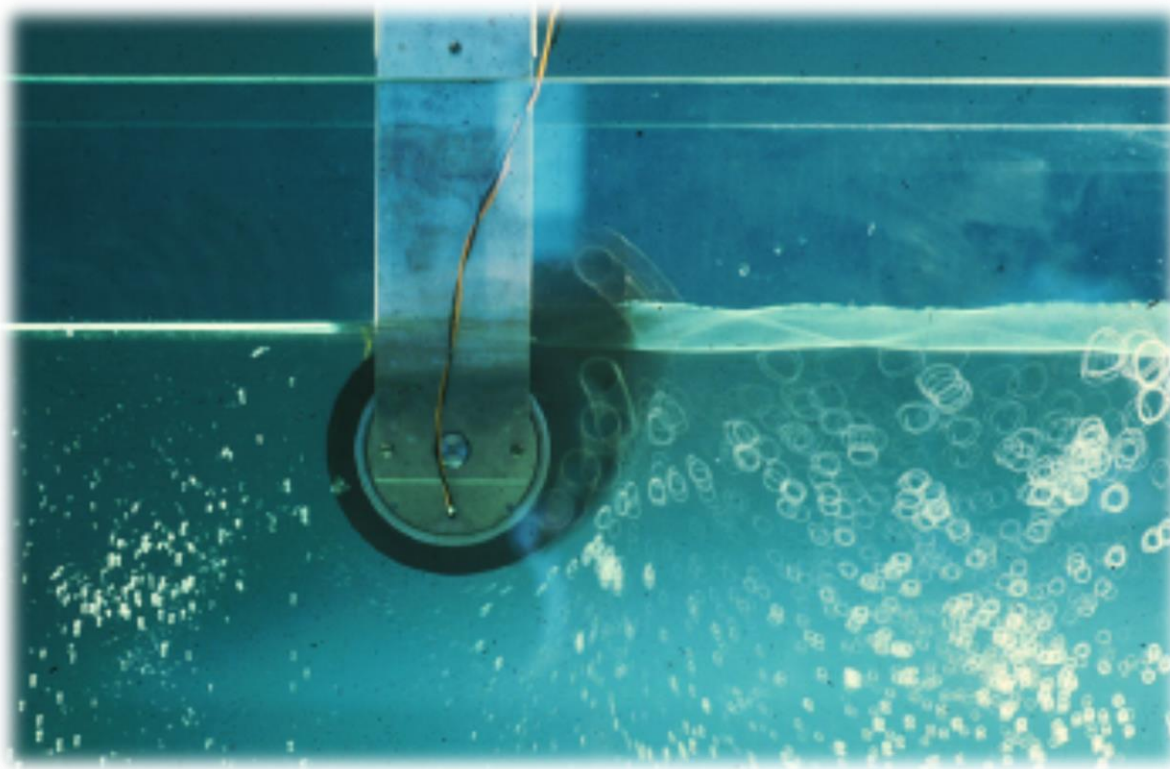
- There are many reasons why **rotating devices** would be **preferable** over translating ones (see, e.g., [8]):
 - Less energy dissipation
 - Don't require stops
 - Easier to seal
 - Less maintenance
- With the help of small-scales in a wave flume (2D), they devised an optimum hydrodynamic shape (the duck):



90% efficiency in
absorbing wave
power!!

WECs: some history

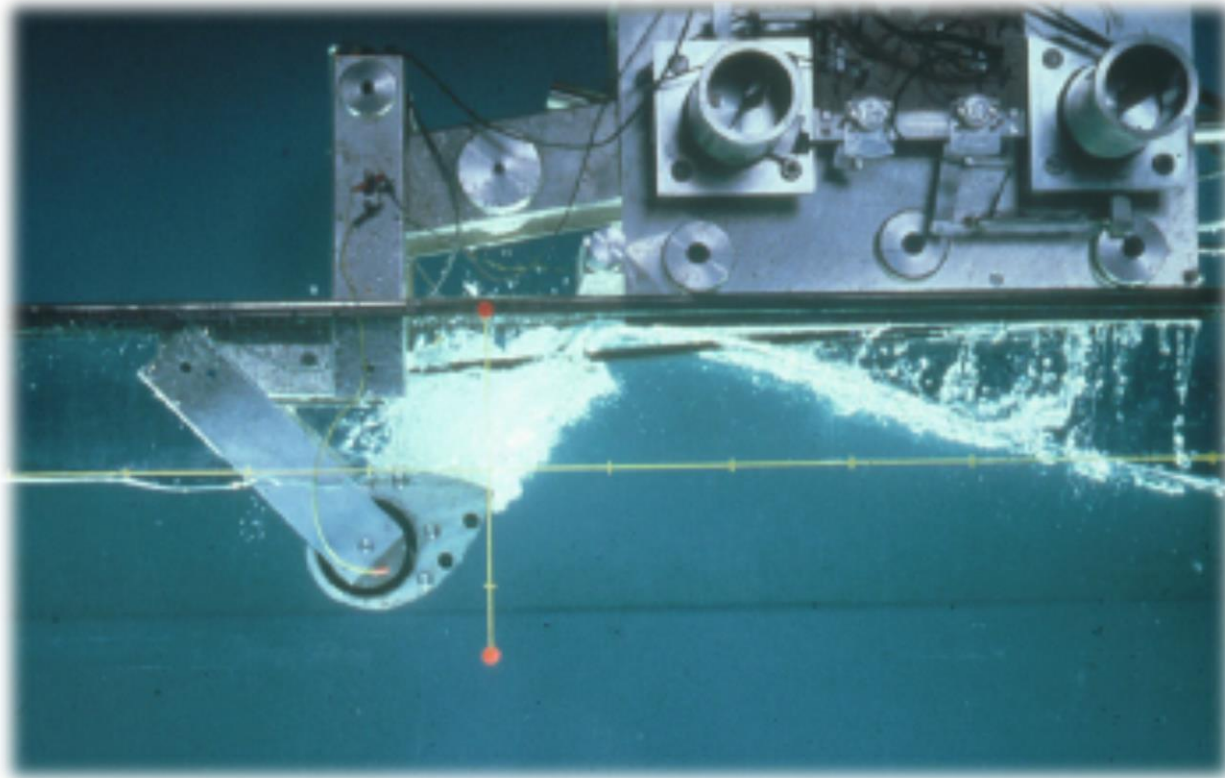
- The “trick” of the shape was to follow the exponential decay of wave action with depth...



Source: Salter, S. (2016) [8]

WECs: some history

- The “trick” of the shape was to follow the exponential decay of wave action with depth...



Source: Salter, S. (2016) [8]

WECs: some history

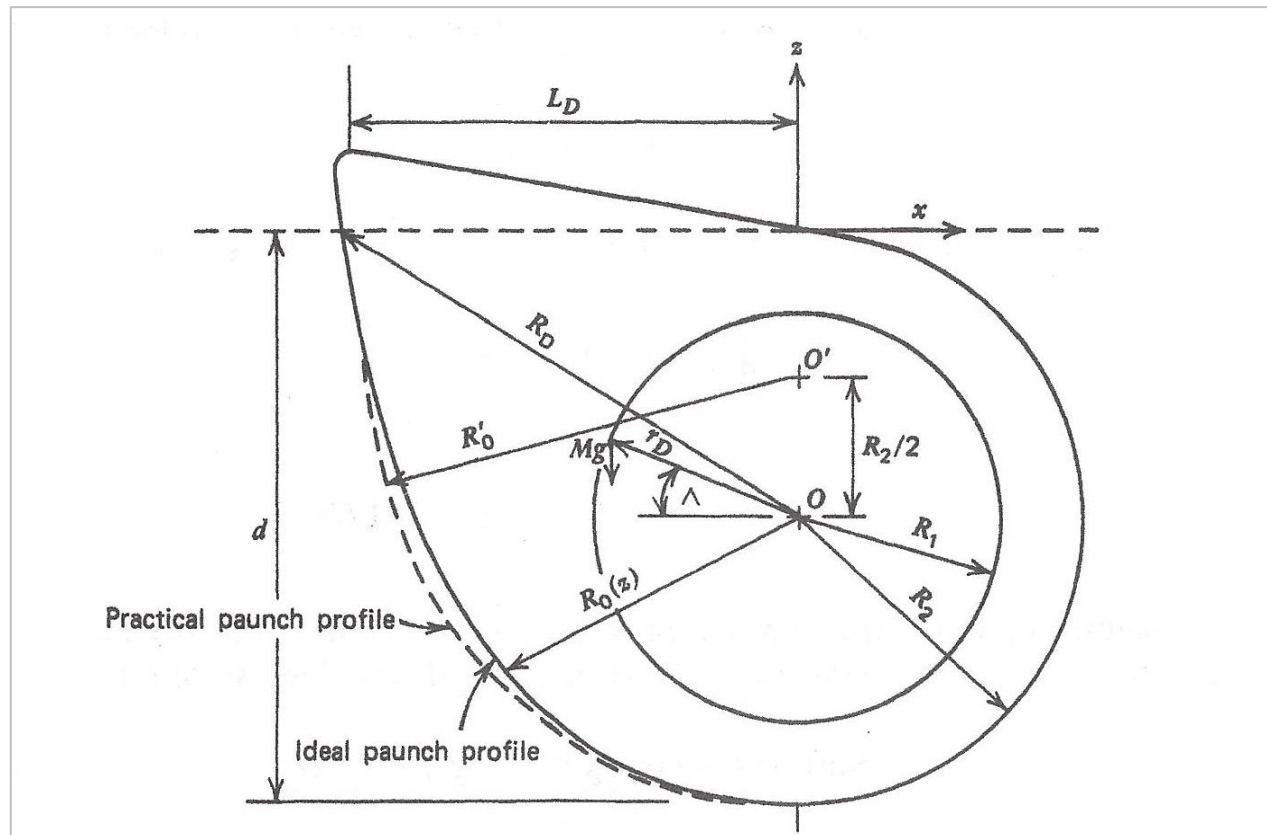
- And to maximize motions, another common feature of the WECs is to *tune natural period of motion (in the duck's case, pitch) to the incoming wave period...*

$$T_{n,pitch} = 2\pi \sqrt{\frac{\textit{inertia}}{\textit{stiffness}}} = T_{\textit{wave}}$$

WECs explore RESONANCE!

WECs: some history

- The geometry of the duck was designed in order to maximize the absorption of the wave flow:



Source: McCormick, ME. Ocean Wave Energy Conversion [7]

WECs: some history

- Floating devices (cylindrical spines) were devised for holding an array of ducks and augment the wave power extraction in sea



Source: Falnes, J. (2007) [9]

- The duck's relative motions would drive a hydraulic power take-off mechanism.

WECs: some history

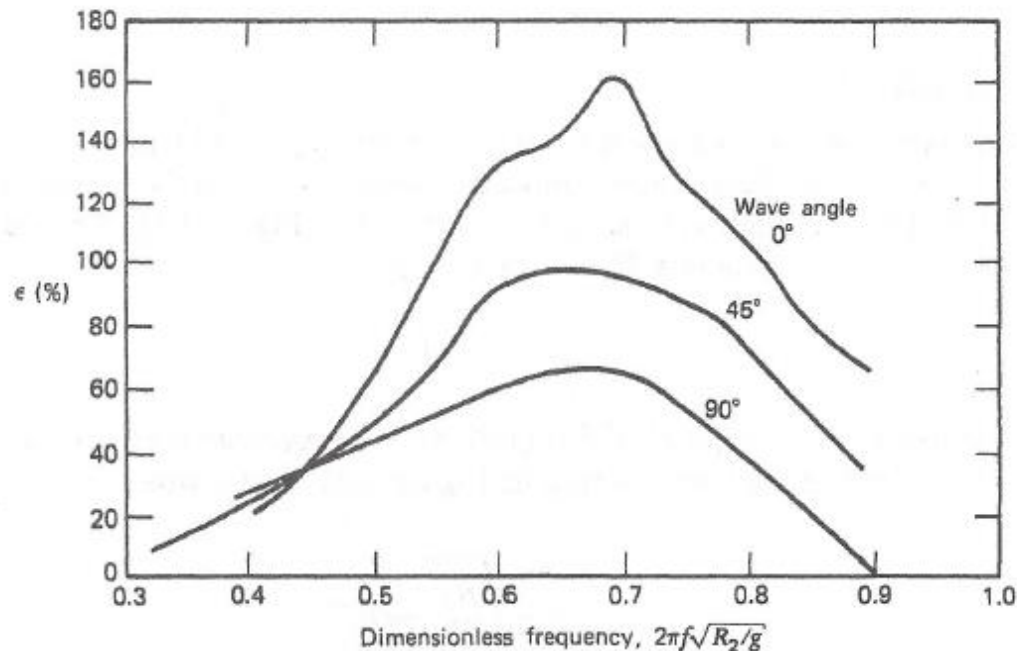
- However:

“The change from testing in regular waves to more realistic irregular ones with a Gaussian distribution of wave amplitudes is an unpleasant experience for wave inventors.” [8]

- Many drawbacks made the **efficiency of the real device drop** (see [7]), mainly:
 - Wave frequency spread
 - Variability in wave direction
 - The dynamic effects induced by **mooring** devices...
- which ultimately threatened *economical* viability of the devices.

WECs: some history

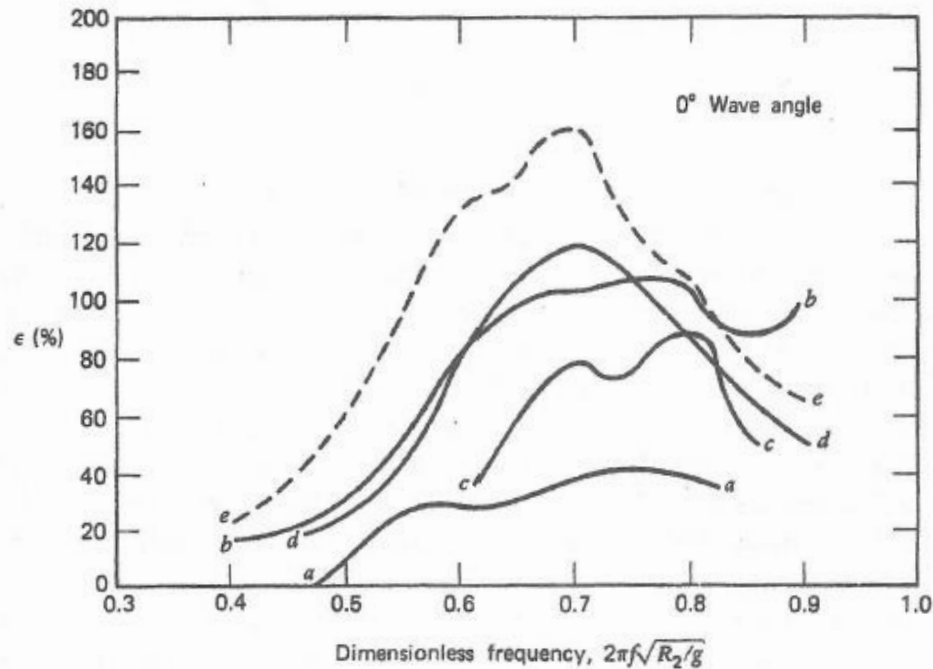
- Effect of wave frequency and direction on the energy absorption efficiency:



Note: Efficiencies larger than 100% measured in the tests result from interaction between incident and radiated waves

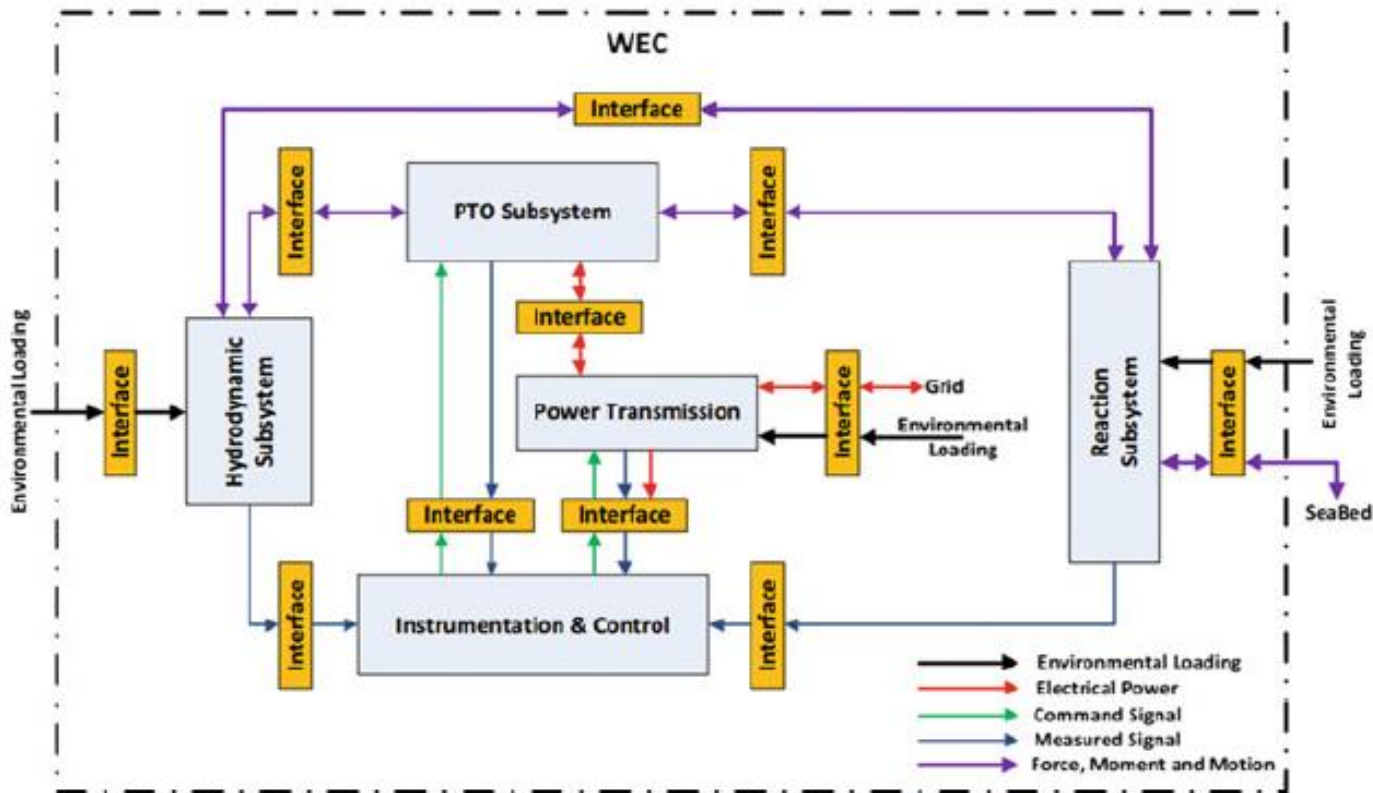
WECs: some history

- Effect of mooring dynamics on the energy absorption efficiency:



a – slack moorings
b – chain taut moorings
c – single taut moorings
d – four chain taut
e – rigid frame

WECs: basic features and main types



WEC system design breakdown

WECs: basic features and main types

- Some rule-of-thumb figures for comparing the main categories of WECs can be given.
- Regarding the wave power absorption efficiency, an **indicative capture ratio** is provided below (according to [4]):

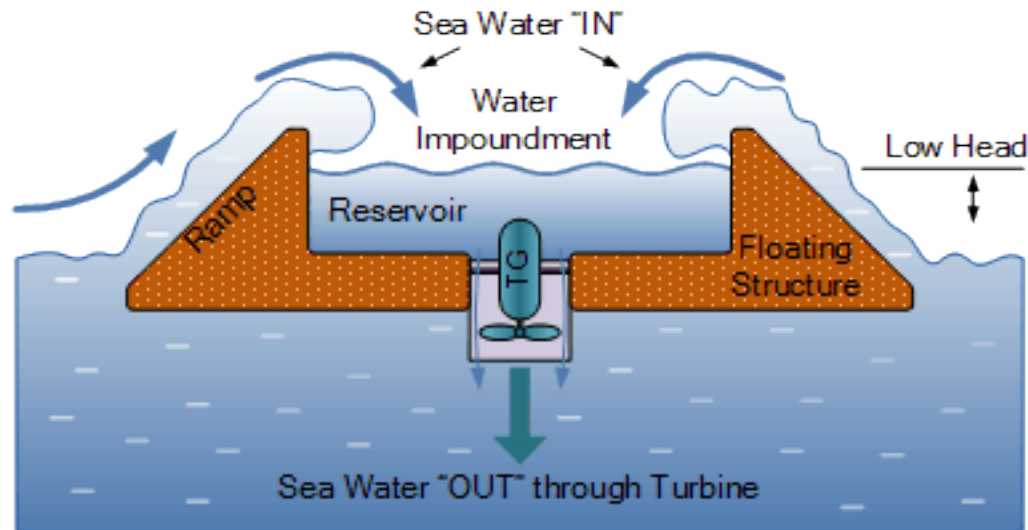
WEC type	Capture width ratio (%)
Floating overtopping device	17
Oscillating water column	29
Point absorber	16
Pitching flap (bottom fixed)	37

WECs: basic features and main types

- There are numerous concepts of WECs (*remember there are thousands of patents by now!!*).
- The pitching flaps resemble the nodding duck, so next we will review the main features and examples of:
 - **Overtopping devices**
 - **Point absorbers**
 - **Oscillating Water Columns (OWCs)**
- For a more extensive review, students may refer to the suggested references, mainly [4], [7] and [9]

WECs: basic features and main types

- **OVERTOPPING DEVICES**
- **Principle:** wave runs-up a structure, embarks and then flows out running a hydro-power turbine.
- For this sort of WEC, much more complicated hydrodynamics must be used to predict the run-up and amount of water taken in.



Source: blackfishengineering.com

WECs: basic features and main types

- **OVERTOPPING DEVICES**
- **Example:** The *Wave Dragon* – 1:4.5 scaled grid-connected prototype tested in Denmark

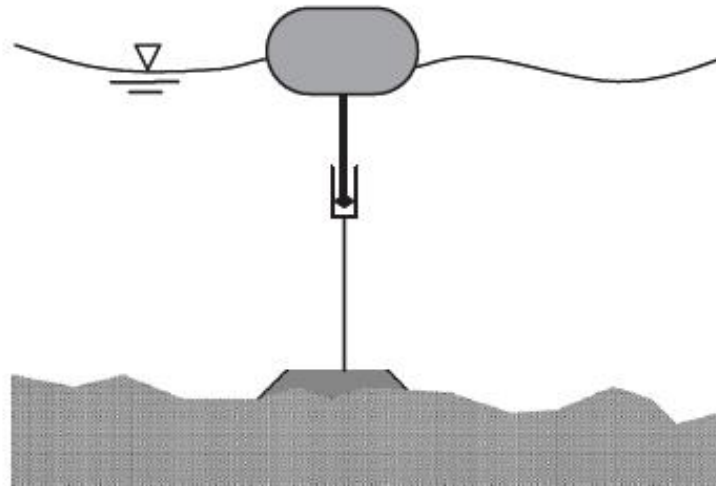


Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy* [4]

WECs: basic features and main types

- **POINT ABSORBERS**

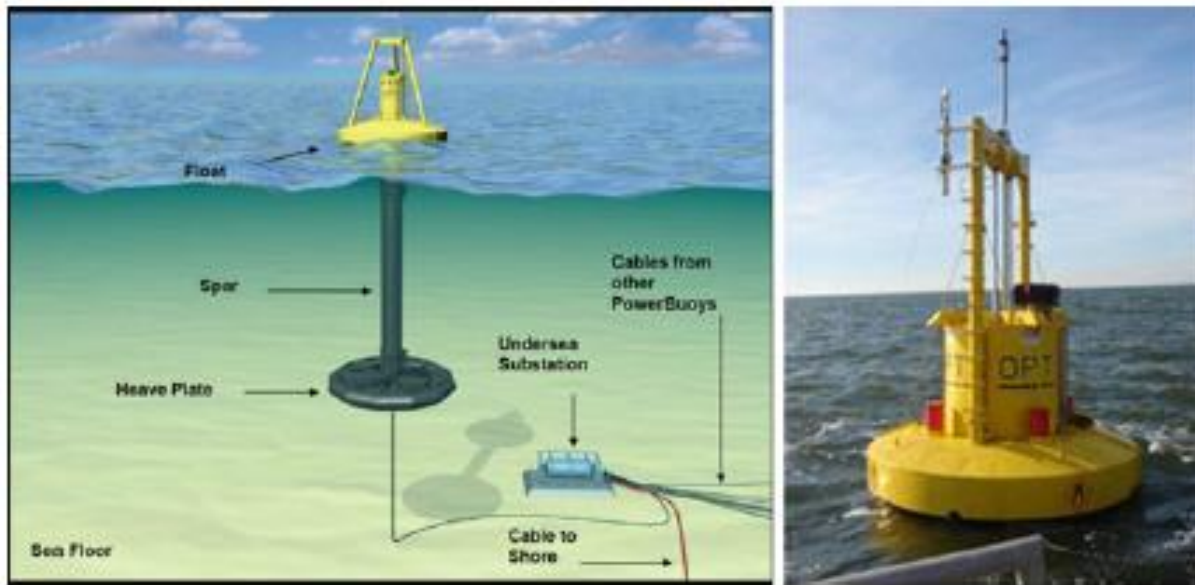
- **Principle:** A floating device explores the potential wave energy to excite motions (preferably resonant) and run a PTO system (e.g., a hydraulic pump)



Source: Falnes, J. (2007) [9]

WECs: basic features and main types

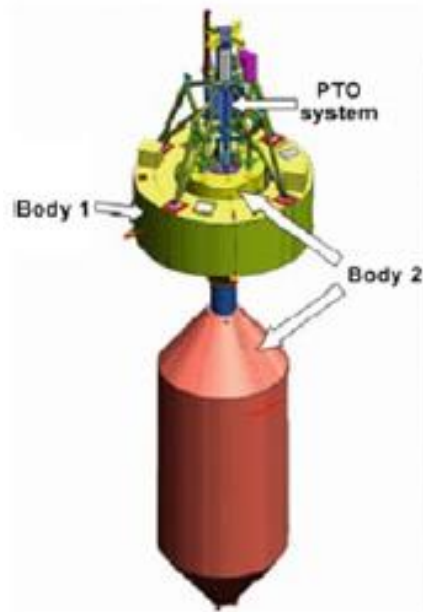
- **POINT ABSORBERS**
- **Example:** OPT's Powerbuoy PT40 (40kW)



Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy* [4]

WECs: basic features and main types

- **POINT ABSORBERS**
- **Example:** The *Wavebob*



Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy* [4]

WECs: basic features and main types

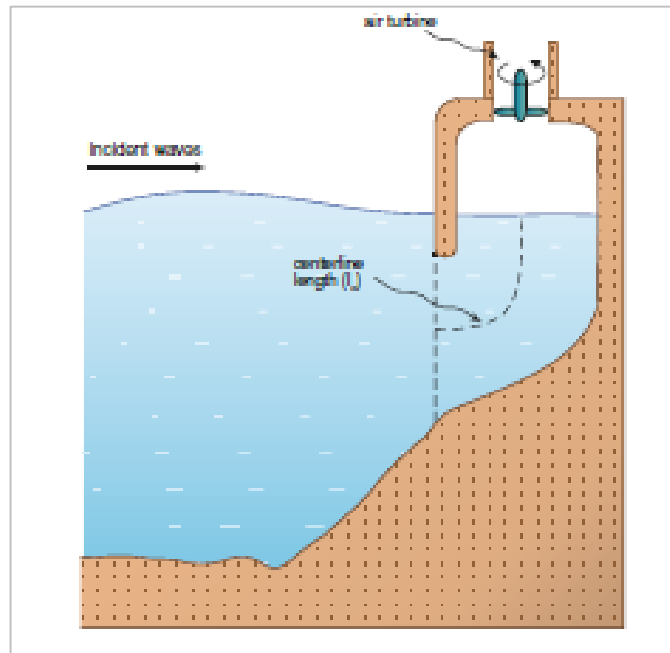
- **POINT ABSORBERS**
- **Example:** Wave energy converter prototype installed at Pecém port, northern Brazil



WECs: basic features and main types

- **OSCILLATING WATER COLUMN (OWC)**
- **Principle:** wave resonance in a cavity/moonpool moves an air column; a double-action turbine (e.g. Wells type) converts kinetic energy of the high velocity air flow into electrical energy.

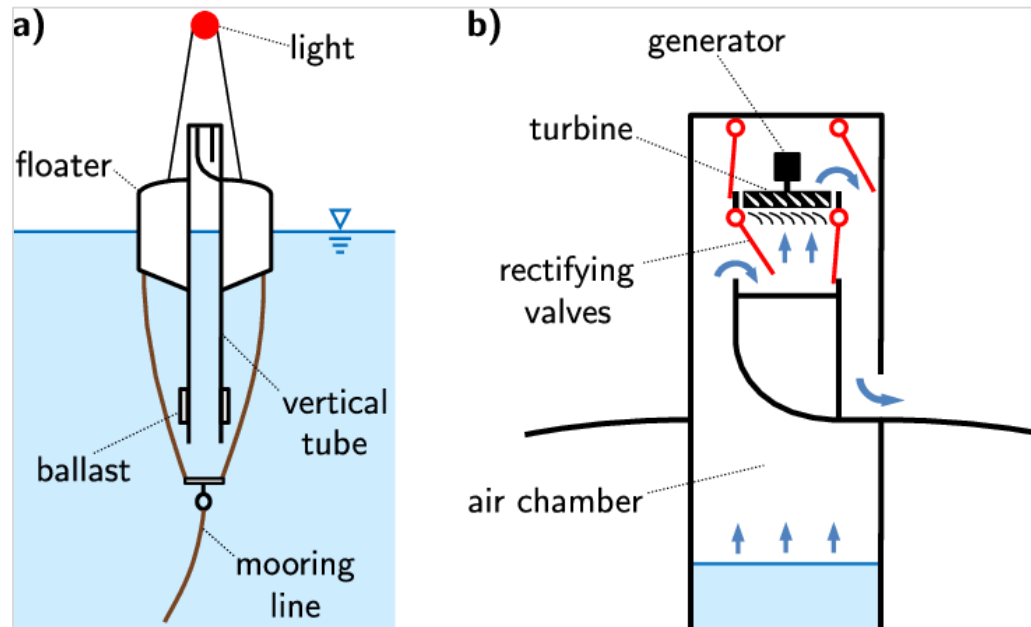
Resonance of the water column motion is also explored for increasing power



Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy* [4]

WECs: basic features and main types

- **OSCILLATING WATER COLUMN (OWC)**
- **History:** Thanks to the work of Yoshido Masuda in the 1960's, a small-scale power OWC was used in many commercial buoys (first example of commercial application)



Source: *Henriques J.C.C. et al. [10]*

WECs: basic features and main types

- **OSCILLATING WATER COLUMN (OWC)**
- **History:** He was also involved in JAMSTEC's *Kaimei* experiments (1st round 1978-1979, 2nd round 1985-1986), in which many OWC chambers were mounted on an 80m long ship-shaped barge, moored northwest of the town of Yura (40m depth).



Source: Falcão, A.F.O and Henriques J.C.C. (2016). [11]

WECs: basic features and main types

- **OSCILLATING WATER COLUMN (OWC)**
- **Recent Example:** OceanLynx, Australia



Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy* [4]

WECs: basic features and main types

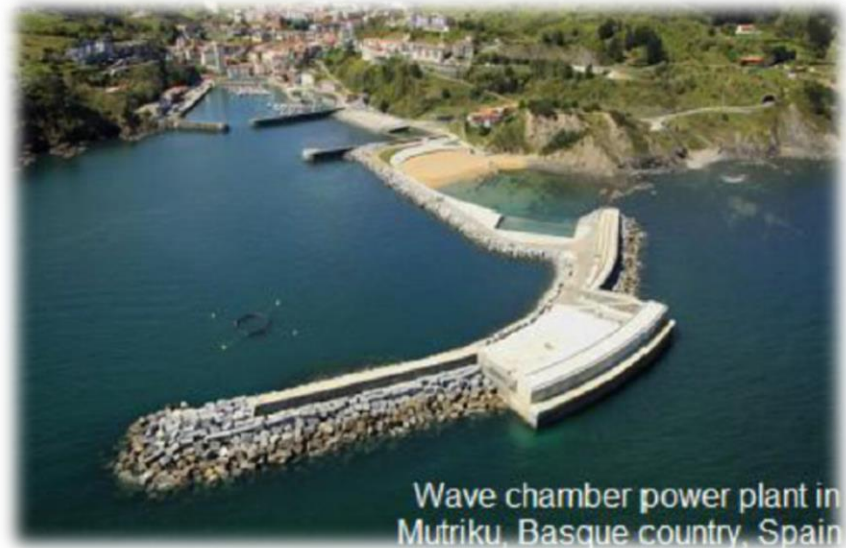
- **OSCILLATING WATER COLUMN (OWC)**
- **Recent Example:** Oceantec's MARMOK-A-5, SPAIN (5m diameter, 42 meter draft floating OWC being tested at BIMEP testing site in Biscay bay)



Source: *tecnalia.com*

Recent trends and perspectives

- According to a recent analysis by Stephen Salter [8]: “*The challenge eventually must be to reduce costs of wave energy by a factor of about two.*”
- Fixed coastal structures have a better cost prospective, but are more prone to face problems with environmental aspects. A promising policy seems to be **joining this devices to existing breakwaters**, as for example:



Source: Pecher, A. & Kofoed, J.P.
(editors), *Handbook of Ocean Wave
Energy* [4]

Recent trends and perspectives

- New PTO technologies are in continuous development, with the main goal of reducing wave energy costs. More advanced examples include the application of piezoelectric materials, among other techniques.
- Floating devices still struggle with costs, which in this case also include **offshore maintenance, moorings and power cable to the shore**. Apparently, there is a trend in favor of floating OWCs.

Recent trends and perspectives

- A promising strategy for floating devices seems to be their application in **hybrid offshore power plants** (floating wind turbines + WECs), which attenuates the relative costs for the WEC.

Floating Power Plant:
multiple Point
Absorbers in Vindeby's
offshore wind farm
(Denmark)



Source: Pecher, A. & Kofoed, J.P. (editors), *Handbook of Ocean Wave Energy* [4]

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