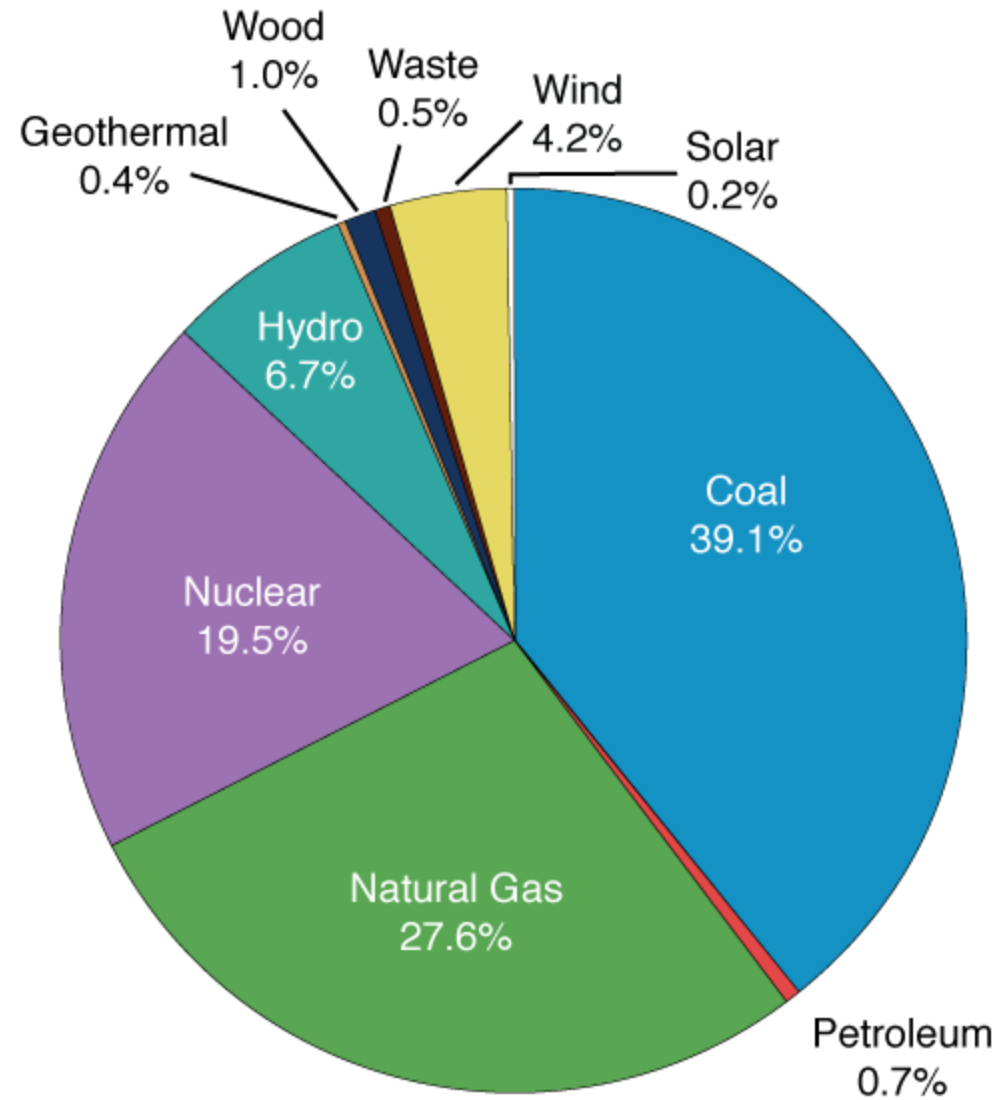


# Hydroelectric and Wind Power Plants

Prof. Paulo Seleglim Jr.  
Universidade de São Paulo

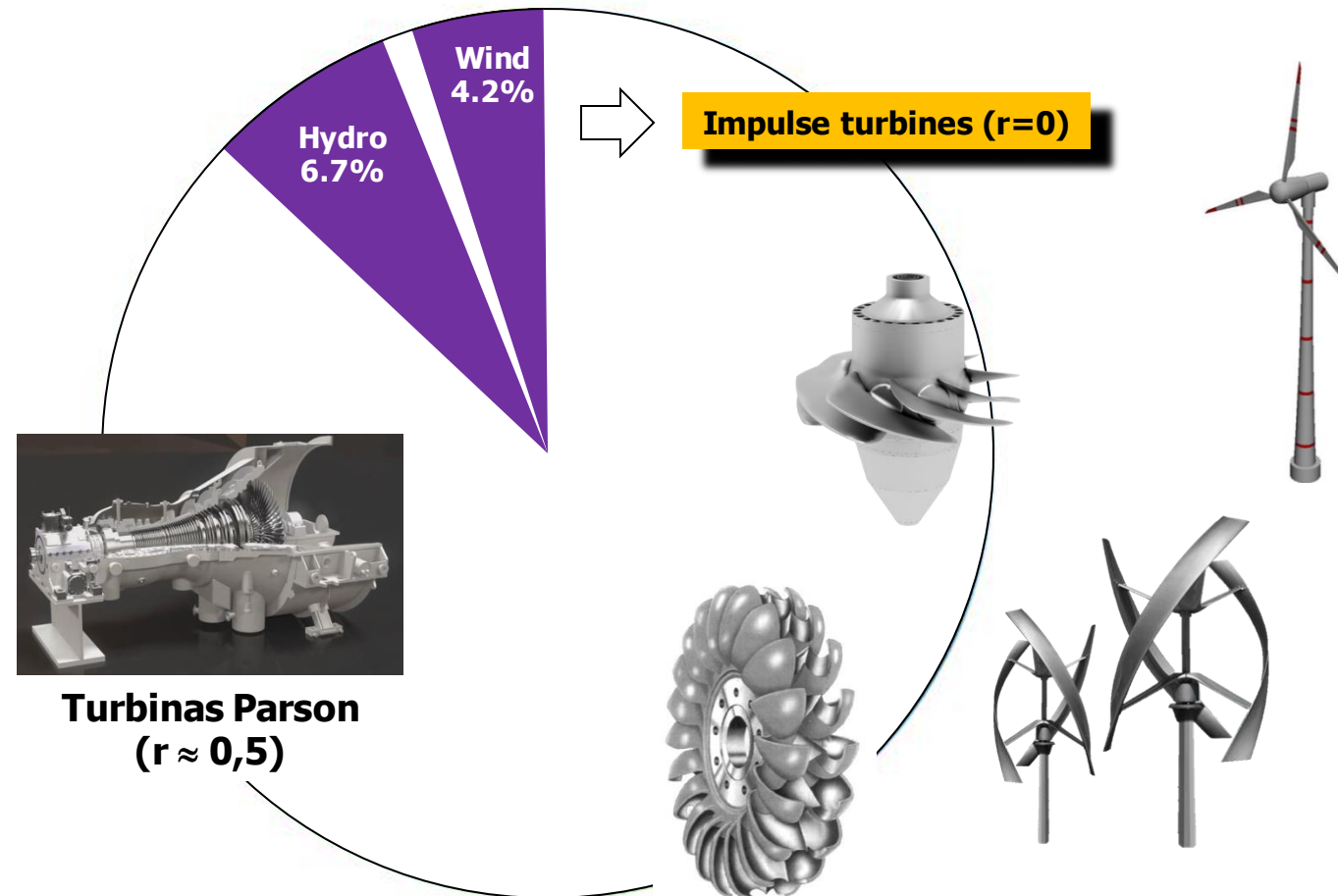


# ELECTRICITY GENERATION, 2013



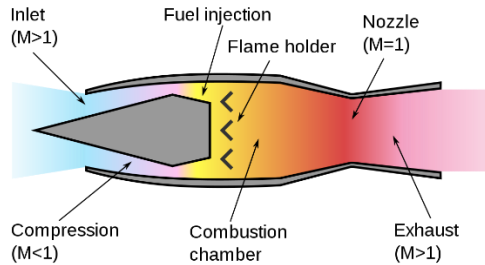
# ELECTRICITY GENERATION, 2013

**Approximately 11% of all electricity generated in the world comes from impulse turbines**

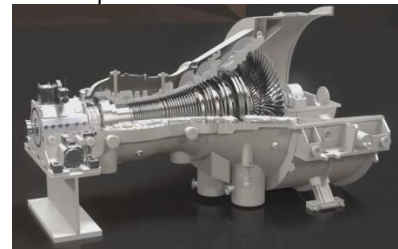
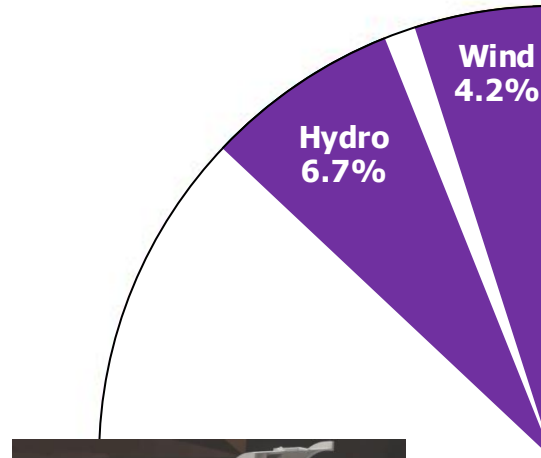


# ELECTRICITY GENERATION, 2013

Approximately 11% of all electricity generated in the world comes from impulse turbines



**ramjet, scramjet, etc.**  
**( $r = 1$ )**



**Parson turbines**  
**( $r \approx 0,5$ )**

**Impulse turbines ( $r=0$ )**

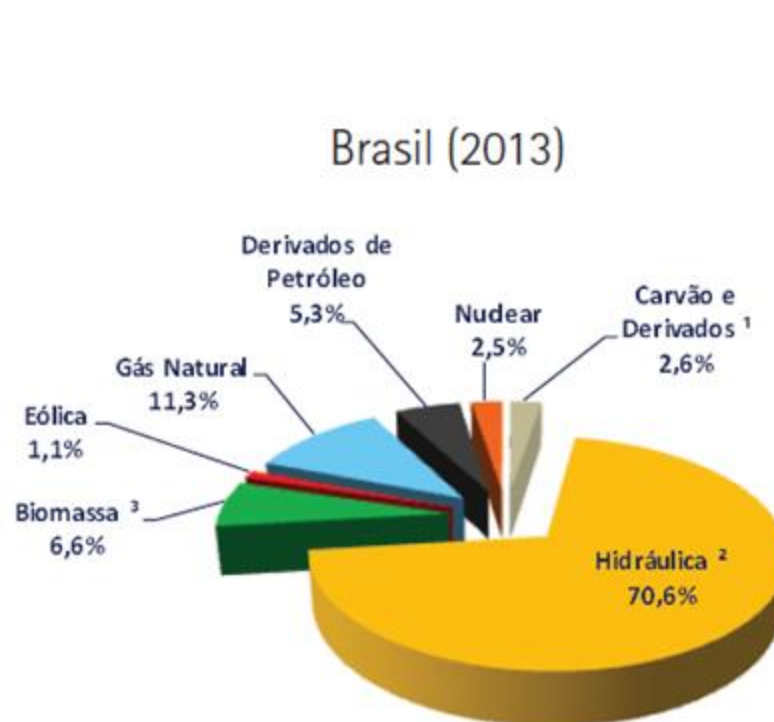
**Wind turbines**

- ✓ low generation cost ( $\sim 8$  ¢/kWh)
- ✓ virtually unlimited potential
- ✓ complementarity with hydroelectric power plants
- ✓ **intermittent**

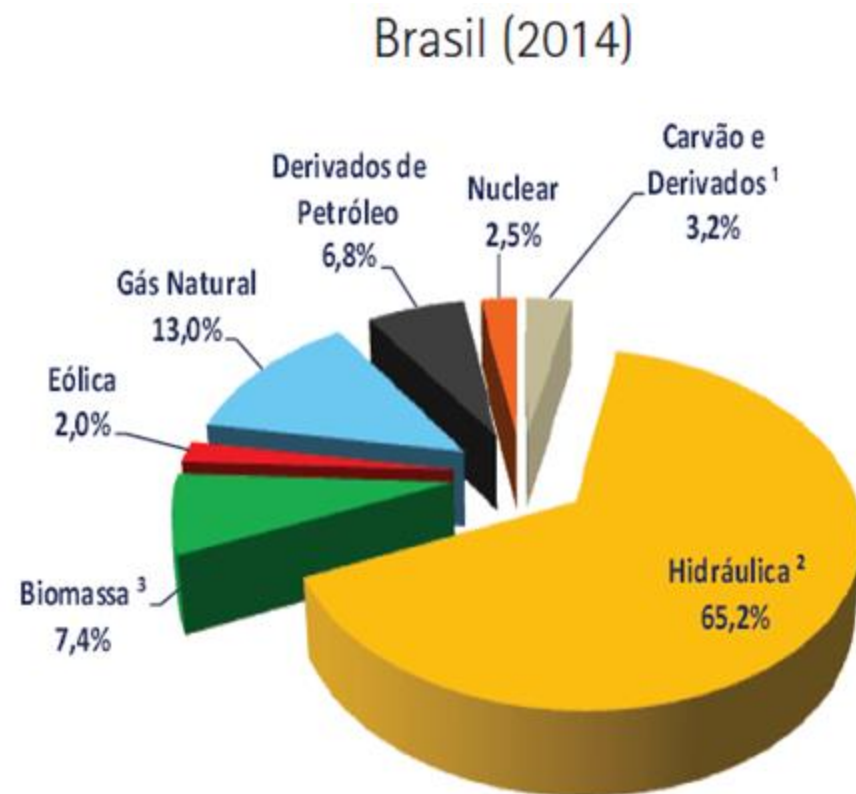
**Hydroelectric turbines**

- ✓ lowest generation cost ( $\sim 4$  ¢/kWh)
- ✓ 50 – 100 years useful life
- ✓ functionality of the reservoir
- ✓ **small growth potential**

# OPERATION OF THERMO POWERPLANTS BETWEEN 2013 – 2014



geração hidráulica<sup>2</sup> em 2013: 431,3 TWh  
geração total<sup>2</sup> em 2013: 611,2 TWh



geração hidráulica<sup>2</sup> em 2014: 407,2 TWh  
geração total<sup>2</sup> em 2014: 624,3 TWh

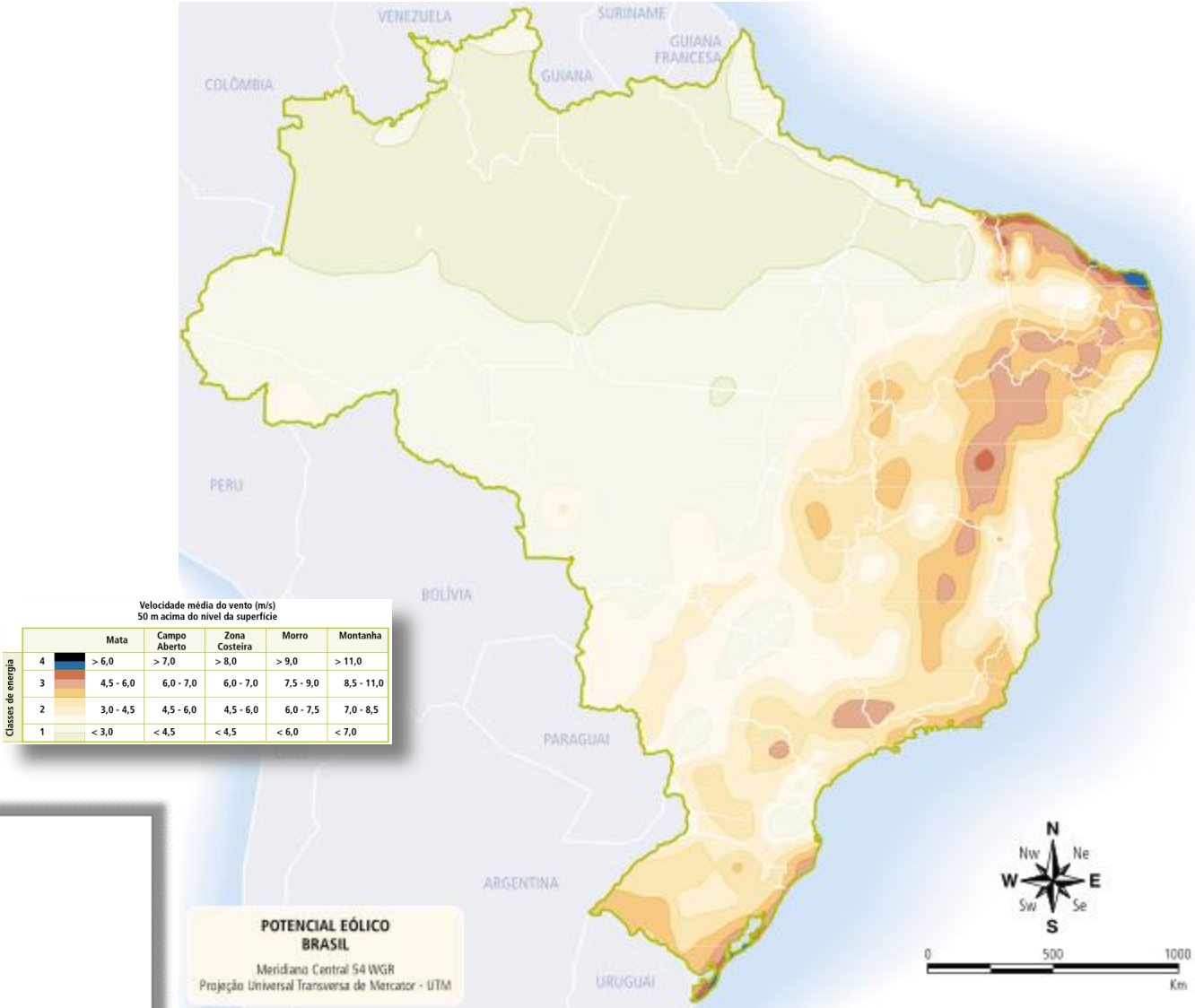
<sup>1</sup> Inclui gás de coqueria

<sup>2</sup> Inclui importação

<sup>3</sup> Inclui lenha, bagaço de cana, lixívia e outras recuperações.



# WIND ELECTRICITY GENERATION IN BRAZIL



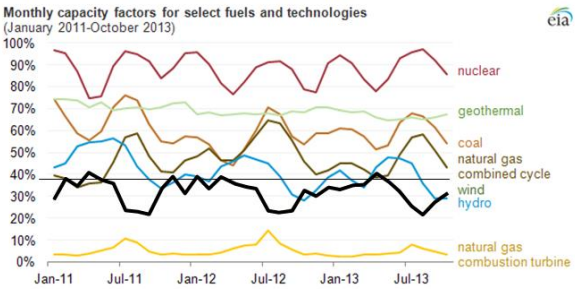
Total generation in 2014: 71,26 GW

Technical potential: 143 GW<sup>(1)</sup>

Operating (2014): 9,91 GW<sup>(2)</sup>

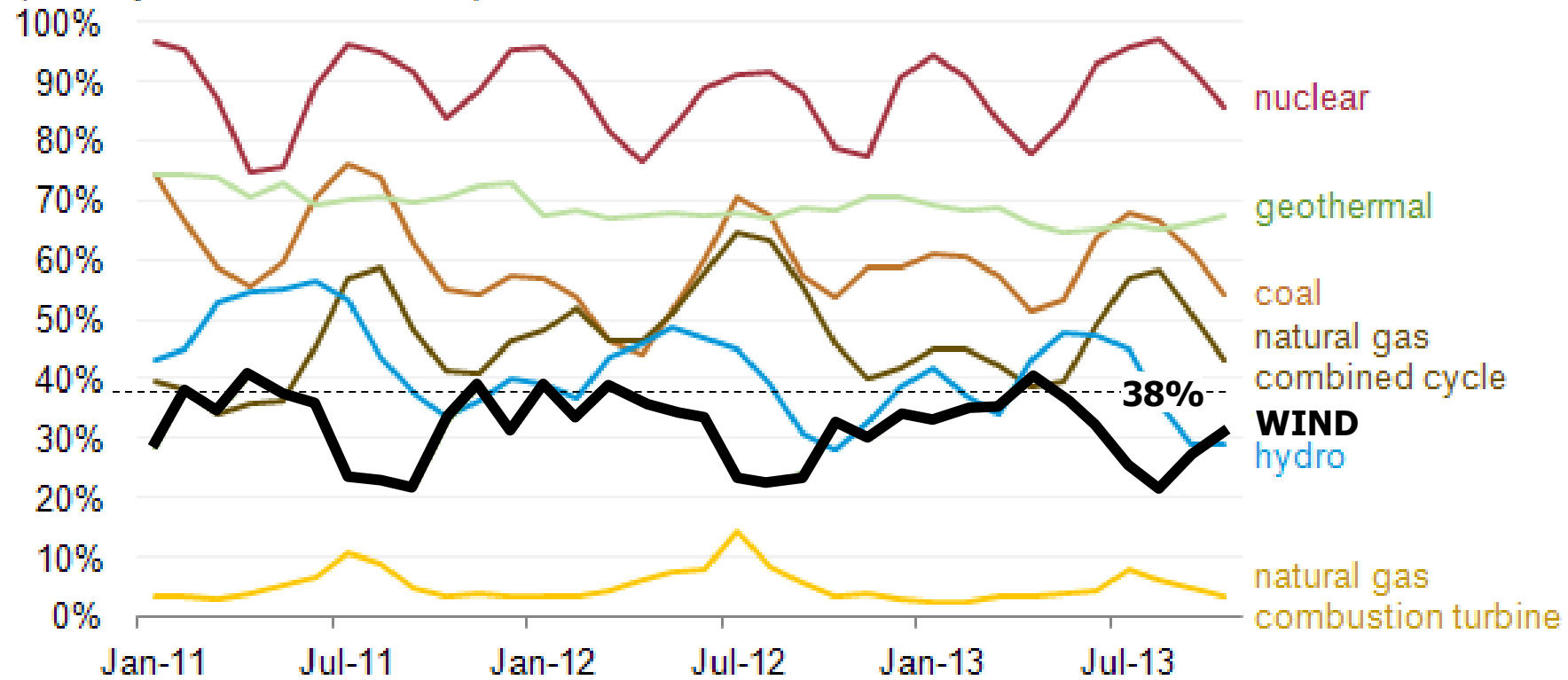
Hired (2035): 9,93 GW<sup>(2)</sup>

Average FAC (2014): 38%<sup>(2)</sup>

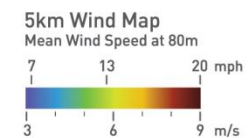
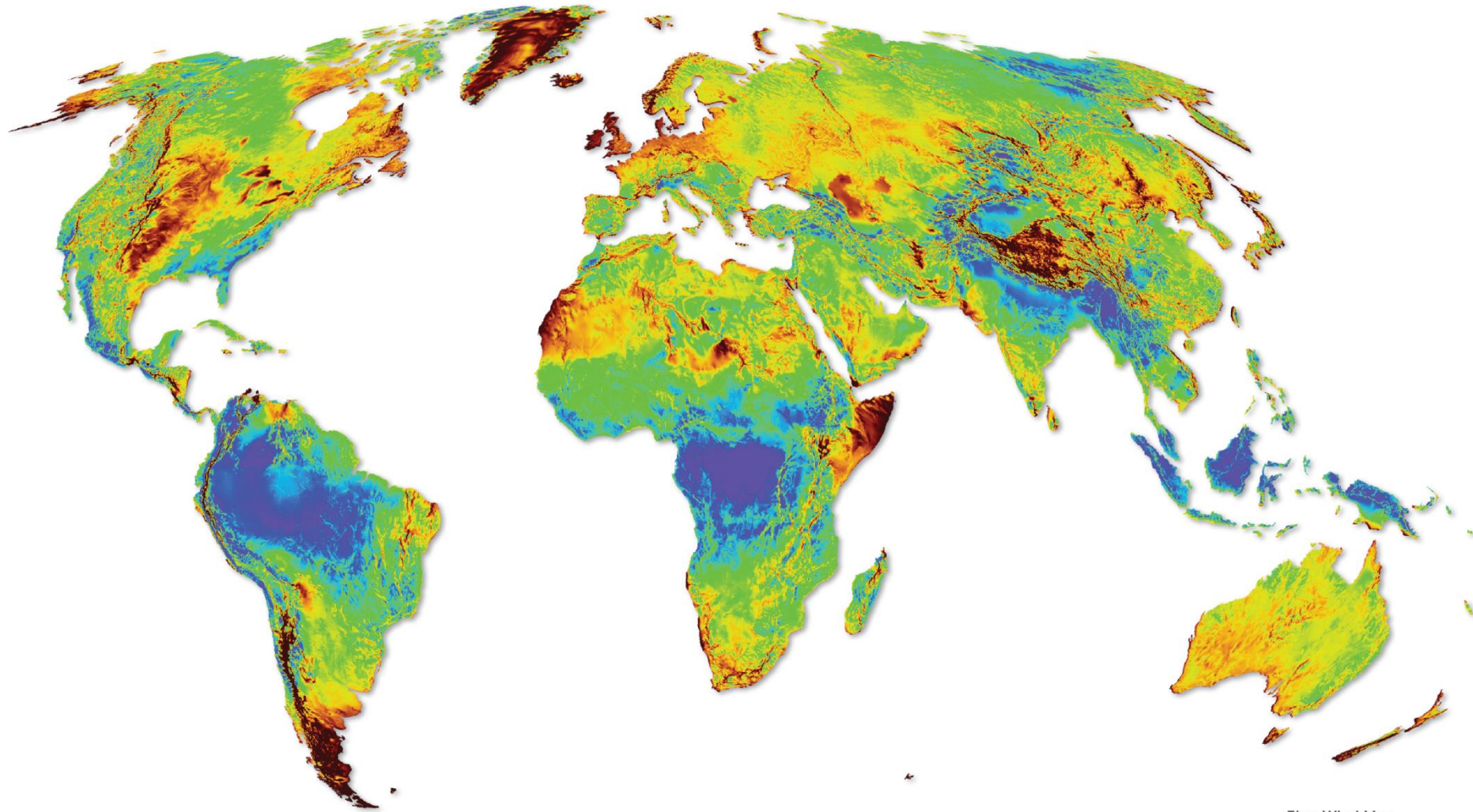


(1) Atlas do Potencial Eólico Brasileiro  
(2) Assoc. Brasileira de Energia Eólica

Monthly capacity factors for select fuels and technologies  
(January 2011-October 2013)

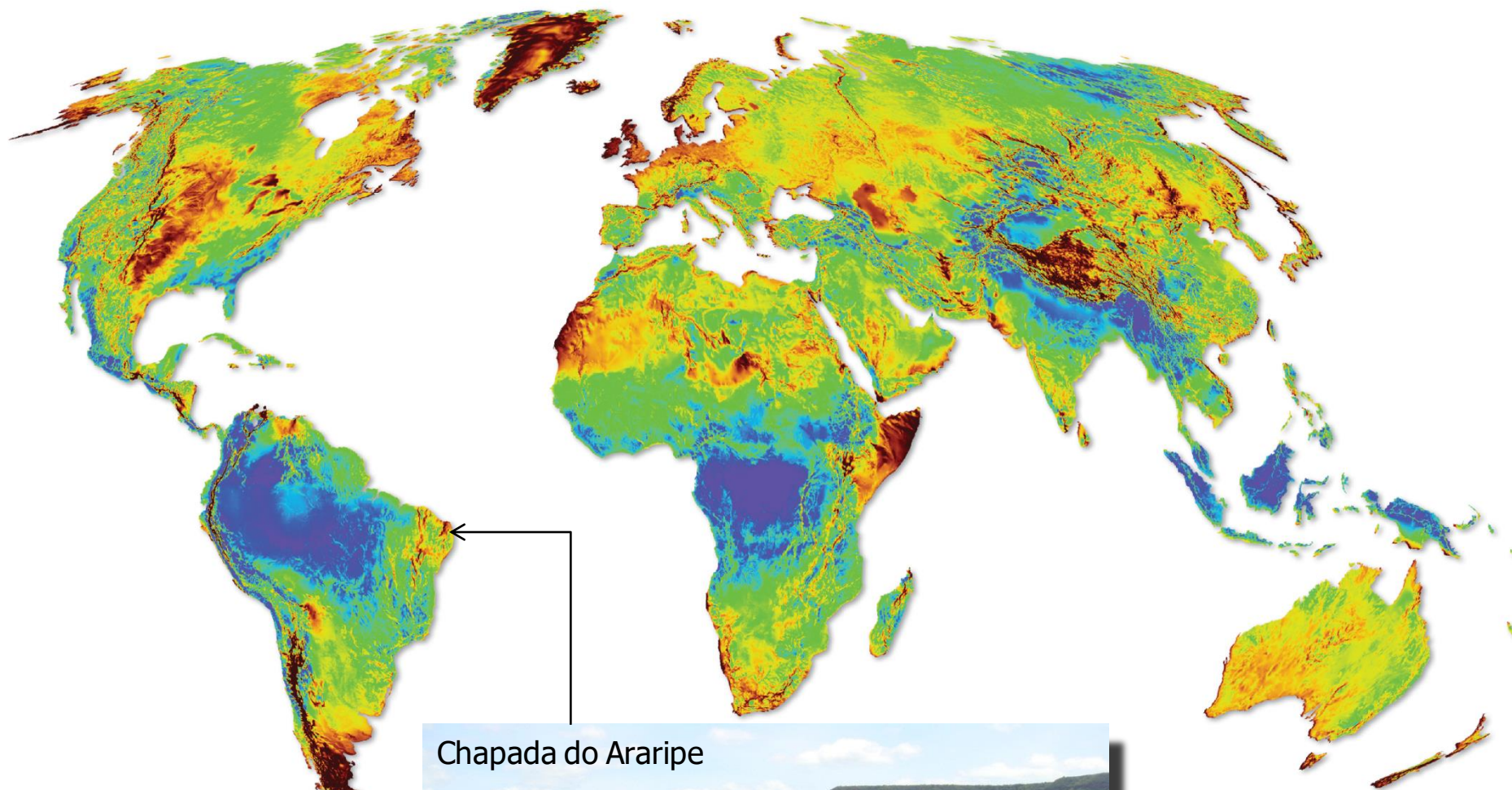


# Global Mean Wind Speed at 80m

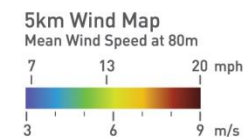
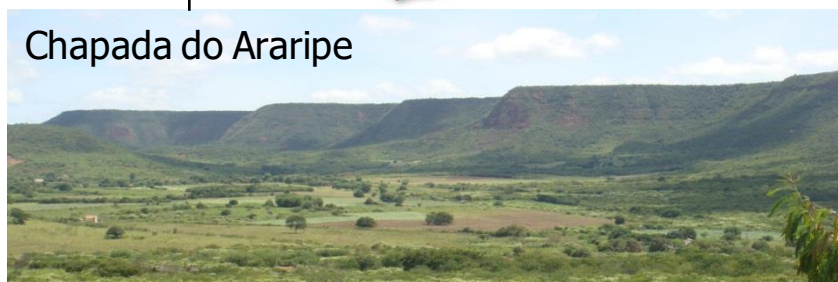




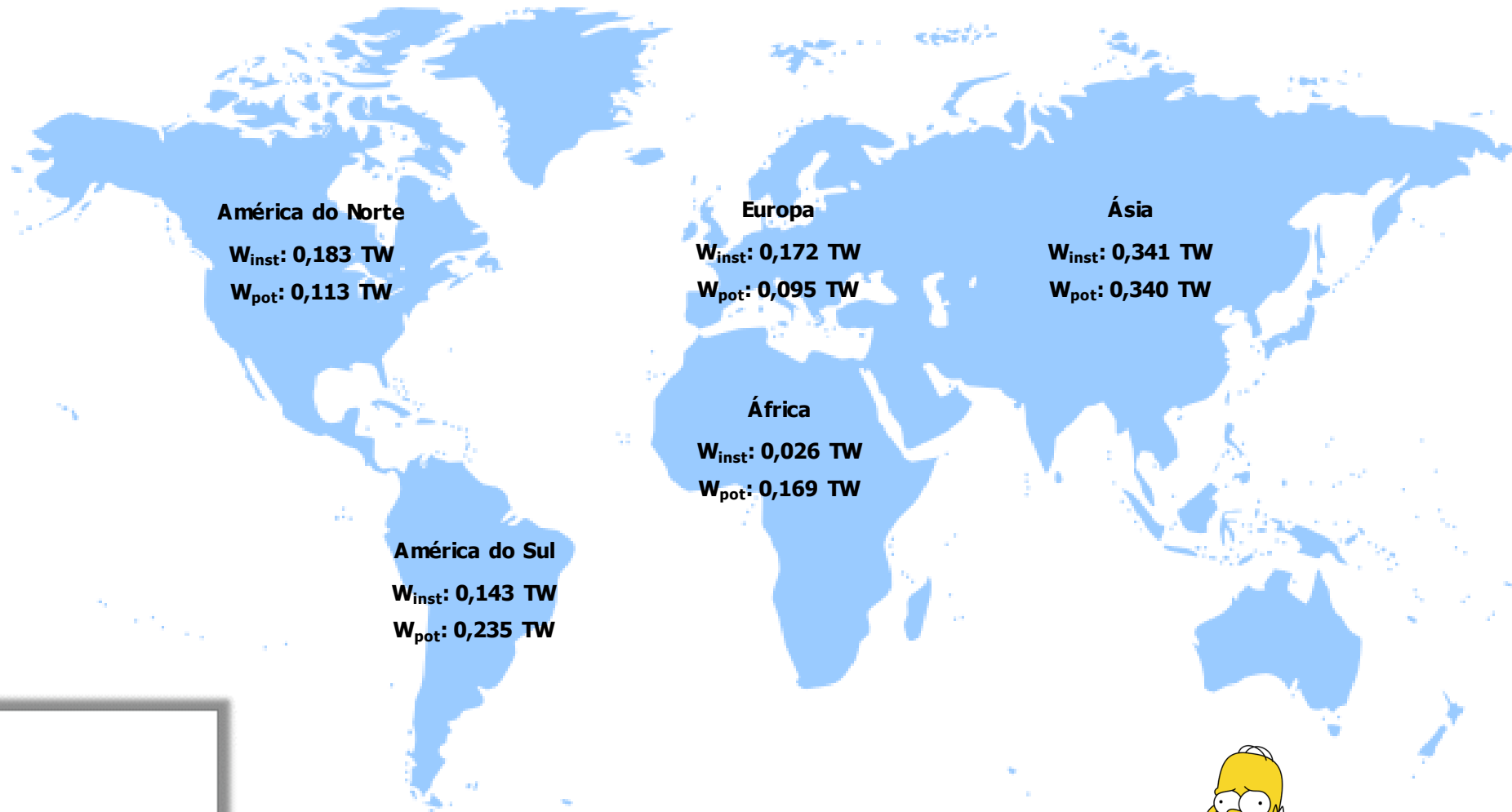
# Global Mean Wind Speed at 80m



Chapada do Araripe



# WORLD HYDROELECTRIC POTENTIAL



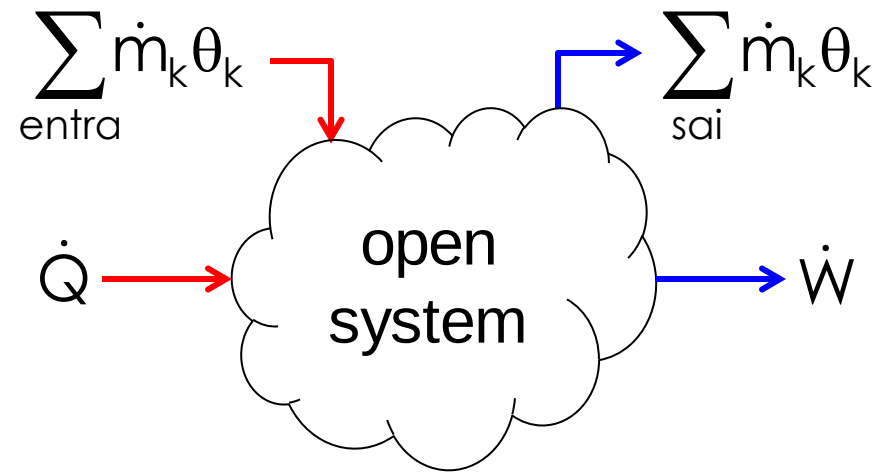
$$\dot{W}_{\text{cons},2012} = 2,21 \text{ TW}$$



# FLUX MACHINES:

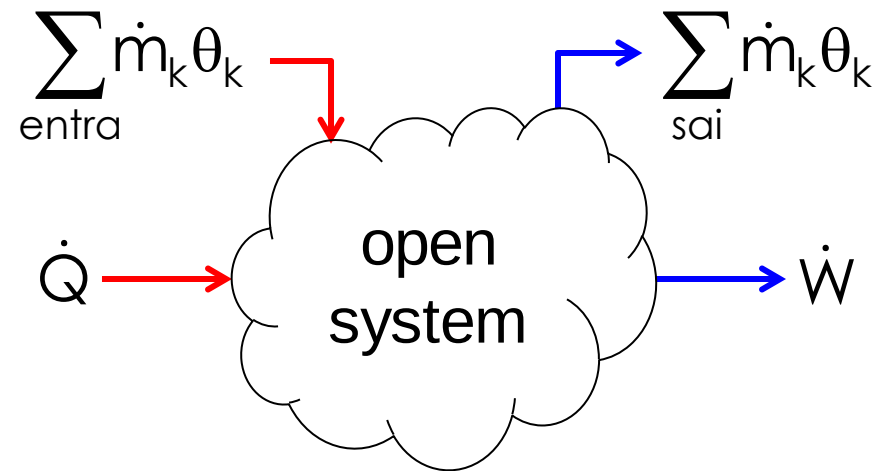
## theoretical analysis

# Energy inventory in the control volume... 1<sup>a</sup> Law of Thermodynamics



$$\frac{dE_{VC}}{dt} = \dot{Q} - \dot{W} - \left[ \sum_{sai} \dot{m}_k \cdot \left( \underbrace{h_k}_{\text{thermal energy}} + \underbrace{gz_k + v_k^2 / 2}_{\text{mechanical energy}} \right) - \sum_{entra} \dot{m}_k \cdot \left( \underbrace{h_k}_{\text{thermal energy}} + \underbrace{gz_k + v_k^2 / 2}_{\text{mechanical energy}} \right) \right]$$

# Energy inventory in the control volume... 1<sup>a</sup> Law of Thermodynamics



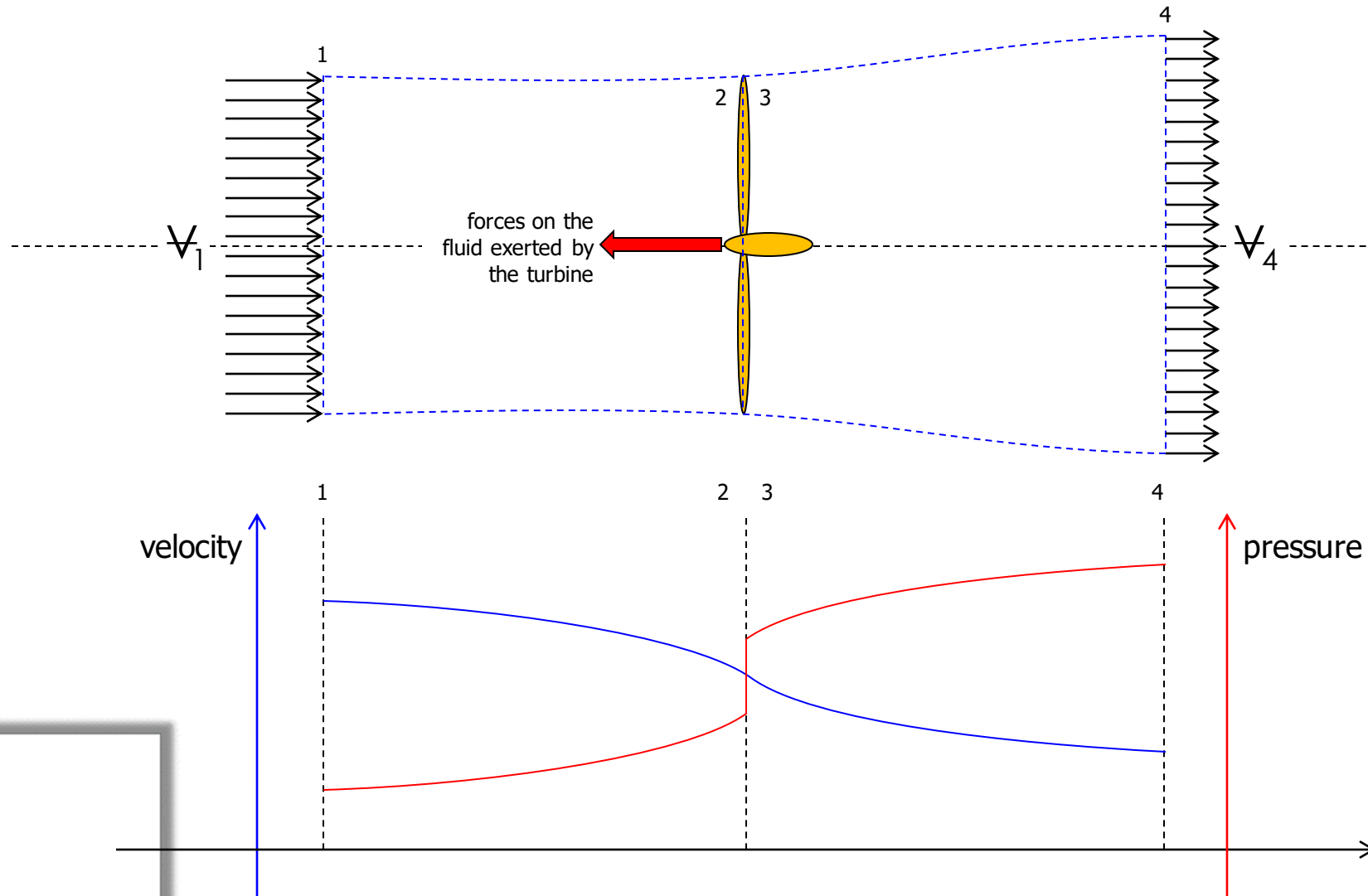
$$\cancel{\frac{dE_{vc}}{dt}} = \cancel{\dot{Q}} - \dot{W} - \left[ \sum_{sai} \dot{m}_k \cdot \cancel{\left( h_k + gz_k + v_k^2 / 2 \right)} - \sum_{entra} \dot{m}_k \cdot \cancel{\left( h_k + gz_k + v_k^2 / 2 \right)} \right]$$

$\cancel{T=cte}$ 
 $\cancel{T=cte}$

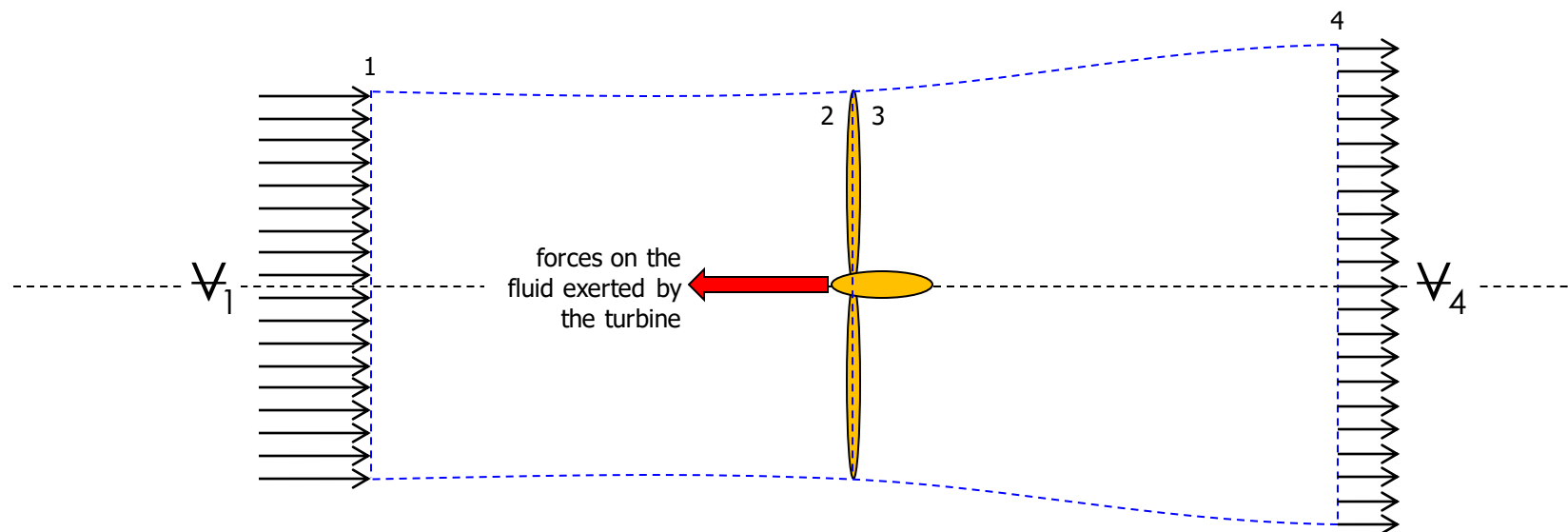
$$\dot{W} = \left[ \sum_{entra} \dot{m}_k \cdot \left( P_k / \rho_k + gz_k + v_k^2 / 2 \right) - \sum_{sai} \dot{m}_k \cdot \left( P_k / \rho_k + gz_k + v_k^2 / 2 \right) \right]$$



# Application to an impulse turbine



# Application to an impulse turbine



$$F = (P_3 - P_2) \cdot A = \dot{m} \cdot (V_1 - V_4)$$

↓  
Saint-Venant

↓  
momentum  
variation

## Application to an impulse turbine

$$F = (P_3 - P_2) \cdot A = \dot{m} \cdot (V_1 - V_4)$$

$$F = (P_3 - P_2) \cdot A = \rho A V_{\text{med}} \cdot (V_1 - V_4)$$

---

$$0 = \dot{m} \cdot \left[ \left( \frac{P_1}{\rho_1} + \frac{V_1^2}{2} \right) - \left( \frac{P_2}{\rho_2} + \frac{V_2^2}{2} \right) \right]$$

$$0 = \dot{m} \cdot \left[ \left( \frac{P_3}{\rho_3} + \frac{V_3^2}{2} \right) - \left( \frac{P_4}{\rho_4} + \frac{V_4^2}{2} \right) \right]$$

$$P_1 = P_4$$
$$V_2 = V_3$$

1<sup>a</sup> lei

$$T = \text{cte}$$
$$z = \text{cte}$$

$$\left( \frac{P_3}{\rho_3} - \frac{P_2}{\rho_2} \right) = \left( \frac{V_1^2}{2} - \frac{V_4^2}{2} \right)$$

---

$$\rho_2 \cong \rho_3 \rightarrow V_{\text{med}} = \frac{V_1 + V_4}{2}$$

## Application to an impulse turbine

$$\dot{W} = F \cdot V_{\text{med}} = \rho A V_{\text{med}}^2 \cdot (V_1 - V_4)$$

$$\dot{W} = F \cdot V_{\text{med}} = \rho A \cdot \left( \frac{V_1 + V_4}{2} \right)^2 \cdot (V_1 - V_4)$$

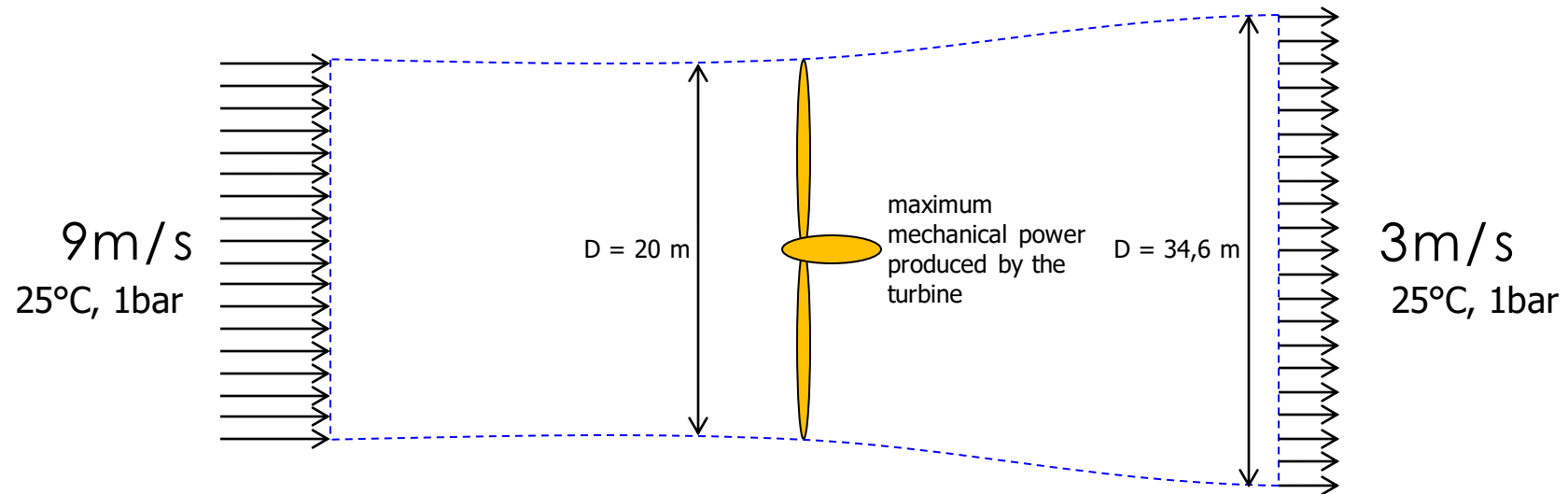
$$\Downarrow \quad \xi = V_4 / V_1$$

$$\dot{W} = \rho A V_1^3 \cdot \left( \frac{1 + \xi}{2} \right)^2 \cdot (1 - \xi)$$

$$\Downarrow \quad \partial \dot{W} / \partial \xi = 0 \Rightarrow \xi = 1/3 \text{ ou } \xi = -1$$

$$\dot{W}_{\text{max}} = \frac{16}{27} \cdot \rho A \frac{V_1^3}{2}$$

# Application to an impulse turbine



$$\dot{W}_{\max} = \frac{16}{27} \cdot \rho A \frac{V_1^3}{2}$$

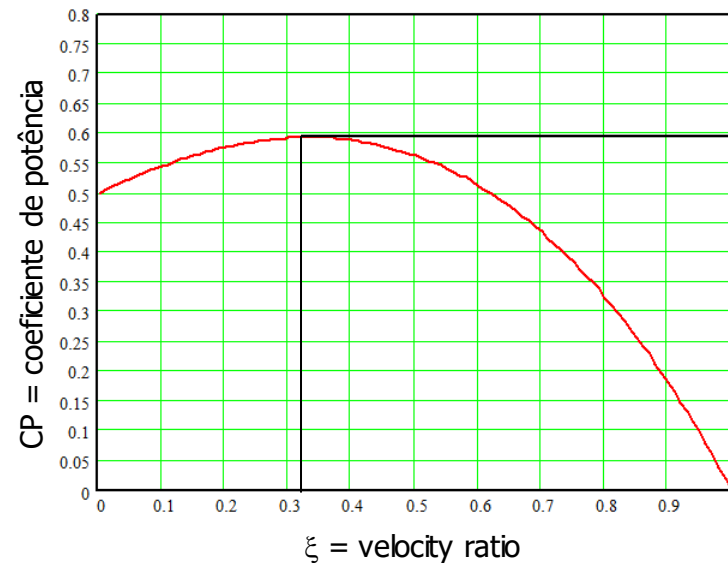
$$\dot{W}_{\max} = \frac{16}{27} \cdot 1,17 \frac{\text{kg}}{\text{m}_3} \frac{\pi \cdot (20\text{m})^2}{4} \frac{(9\text{m/s})^3}{2}$$

$$\dot{W}_{\max} = 158,789\text{kW}$$

# Working Definitions and Equations

$$\dot{W} = \rho A V_1^3 \cdot \left( \frac{1+\xi}{2} \right)^2 \cdot (1-\xi) \rightarrow \dot{W} = \underbrace{\frac{1}{2} \rho A V_1^3}_{\text{wind power}} \cdot \underbrace{\frac{1}{2} (1+\xi)^2 \cdot (1-\xi)}_{\text{power coefficient}}$$

$$CP(\xi) = \frac{\dot{W}}{\rho A V_1^3 / 2} = \frac{\text{turbine power}}{\text{wind power}} = \frac{1}{2} (1+\xi)^2 \cdot (1-\xi)$$

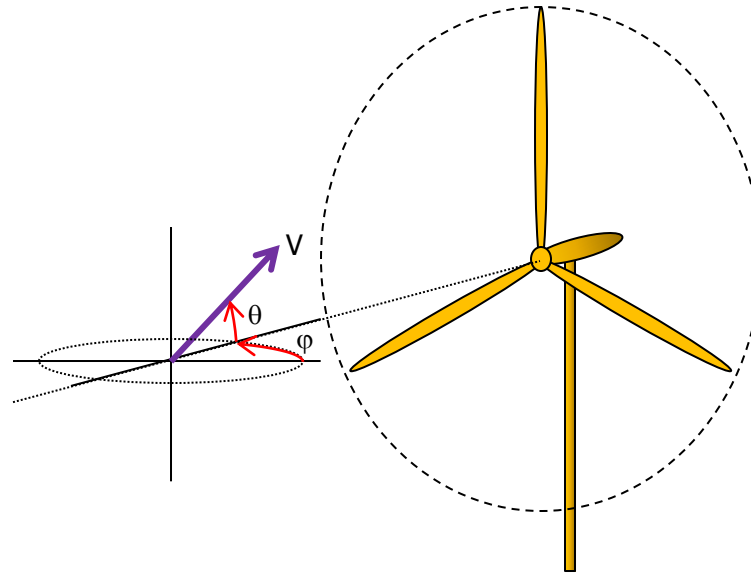


$$\rightarrow CP_{\max} = \frac{16}{27} \cong 59,3 \%$$





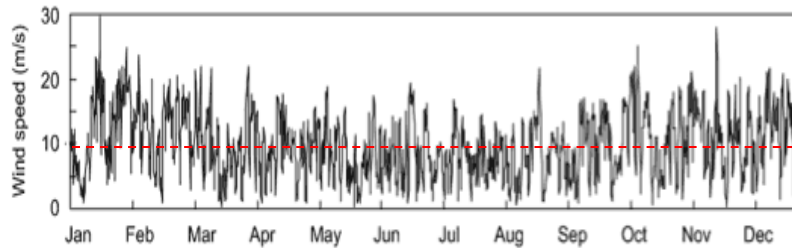
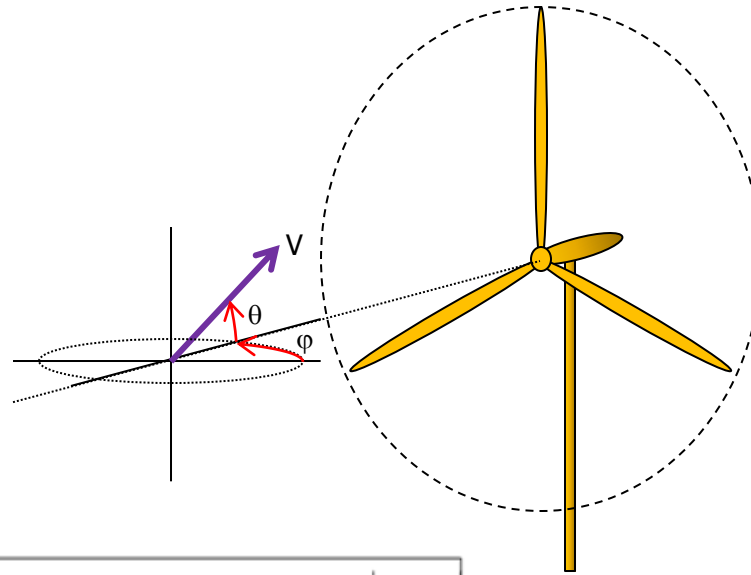
# Working Definitions and Equations



$$\eta = \frac{\text{actual power}}{\text{maximum power}} = \frac{\dot{W}}{\underbrace{CP(\xi)}_{\text{design: } \xi=1/3} \cdot \underbrace{\rho A V_{\text{med}}^3 / 2}_{\text{wind power capacity}}}$$

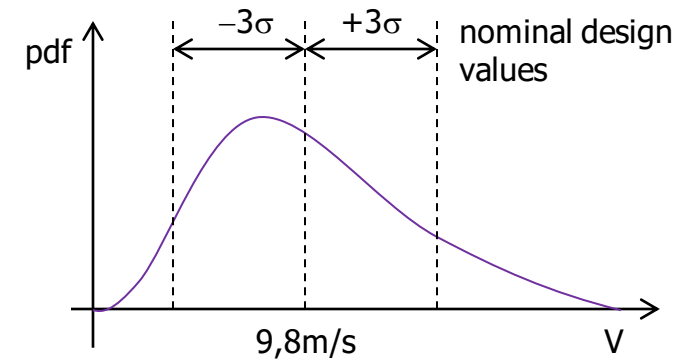


# Working Definitions and Equations



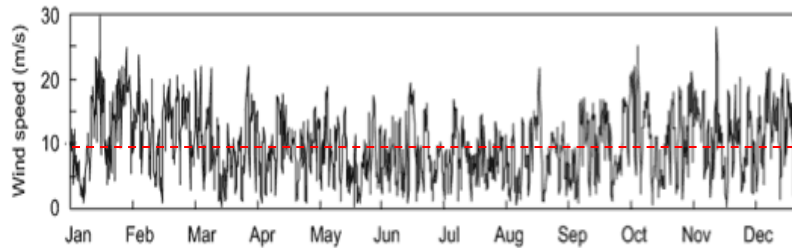
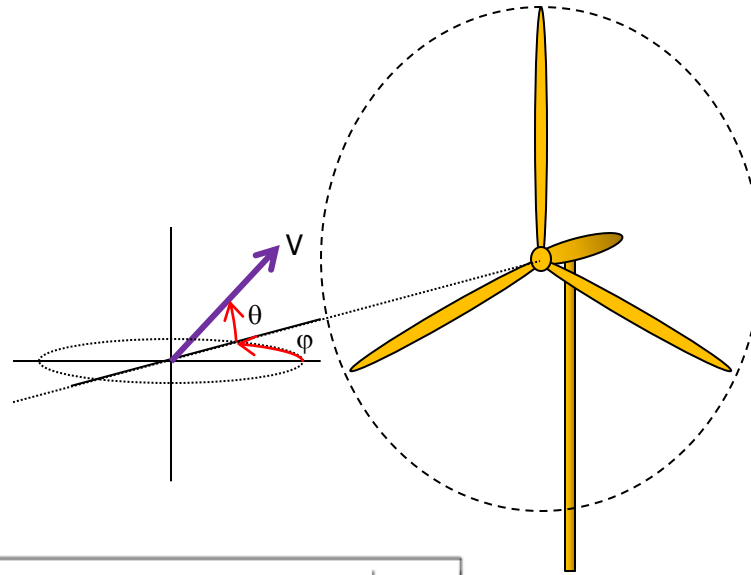
Burgar Hill, Orkney; 60 m measurement height; 4 hour averages

$$\eta = \frac{\dot{W}}{C_P(\xi) \cdot \rho A V_{med}^3 / 2}$$



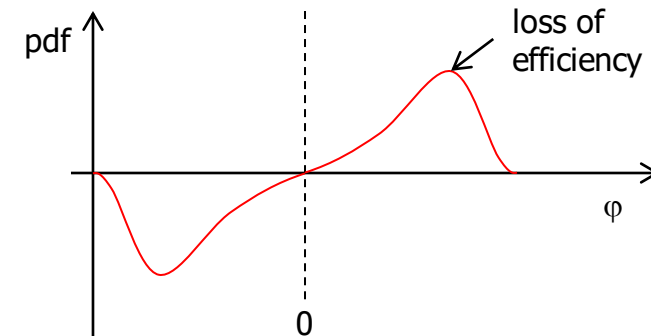


# Working Definitions and Equations

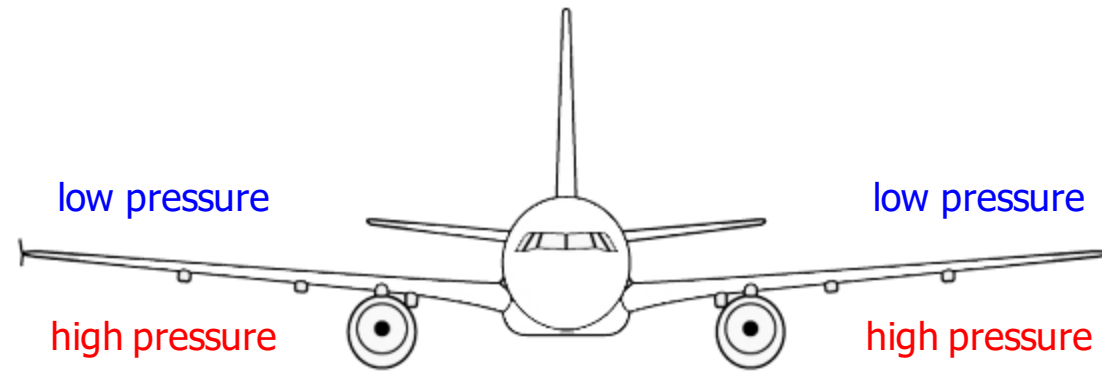


Burgar Hill, Orkney; 60 m measurement height; 4 hour averages

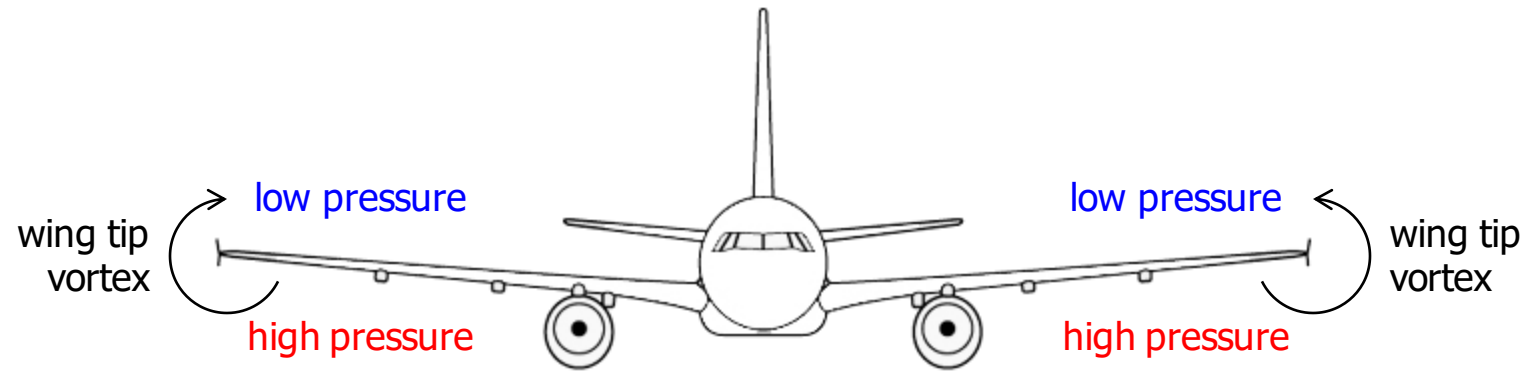
$$\eta = \frac{\dot{W}}{C_P(\xi) \cdot \rho A V_{med}^3 / 2}$$



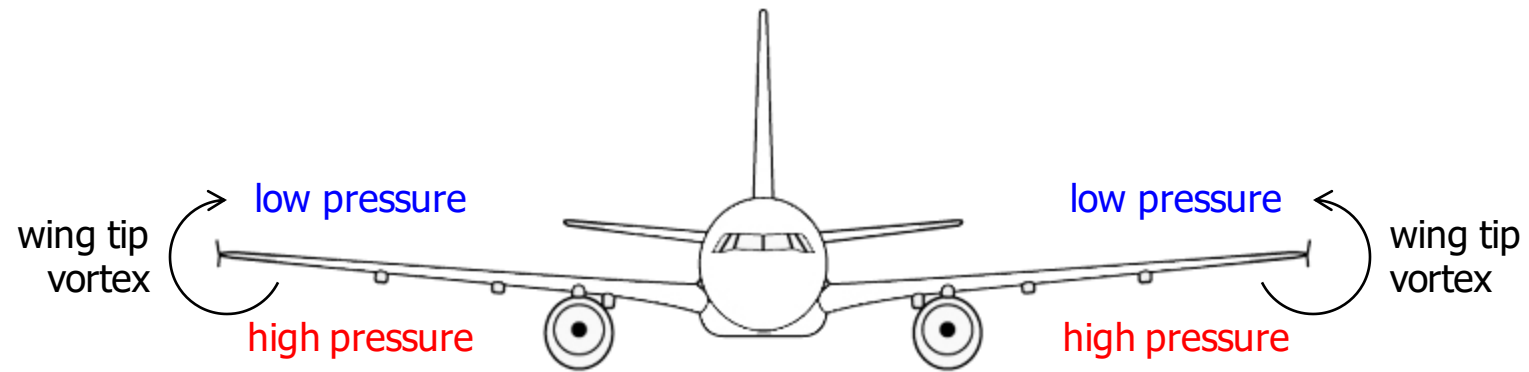
# Factors Causing Efficiency Losses



# Factors Causing Efficiency Losses



# Factors Causing Efficiency Losses



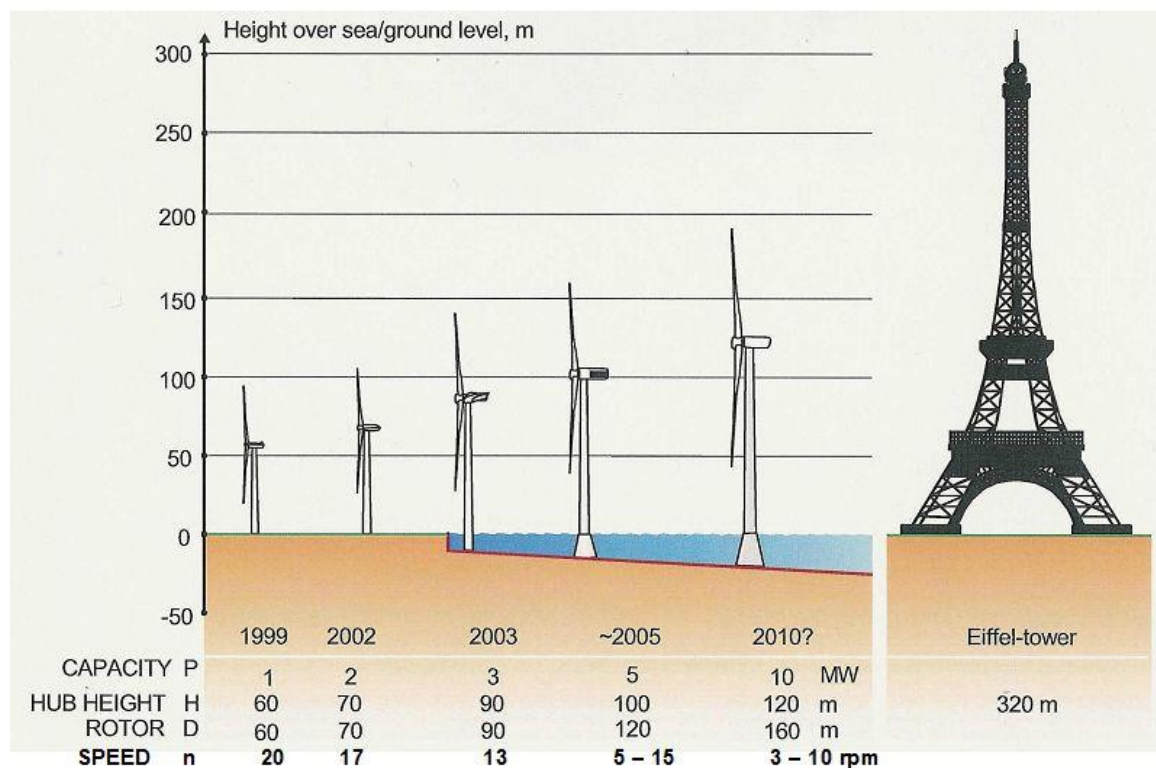






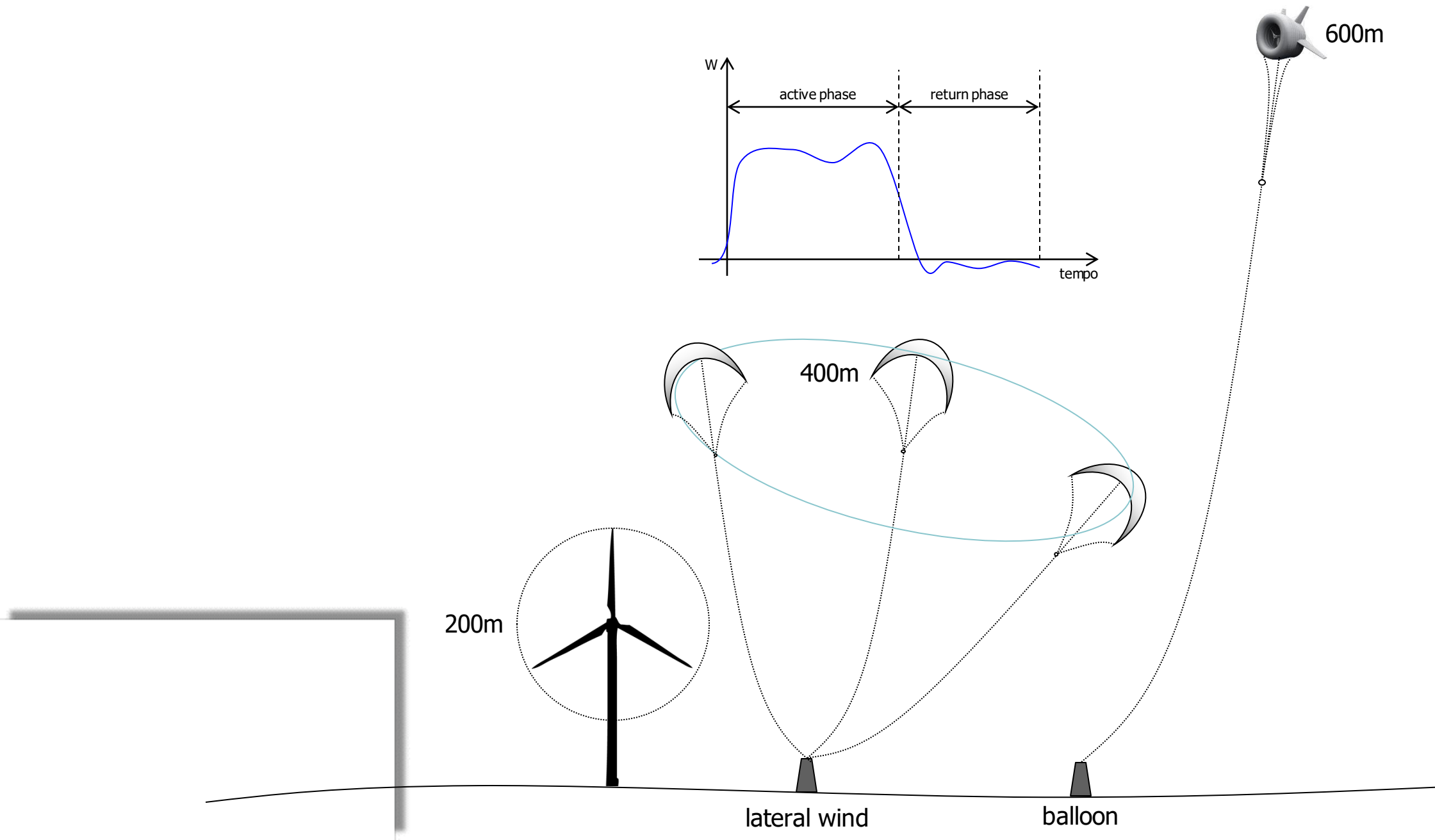
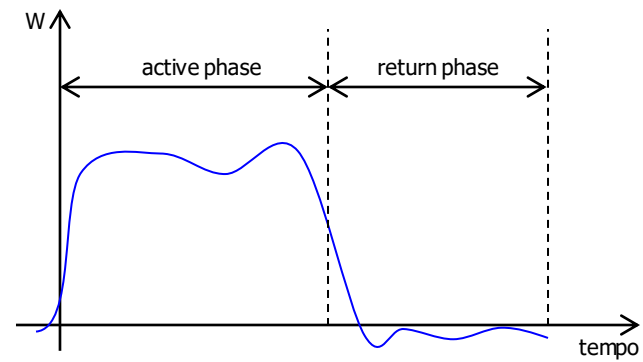


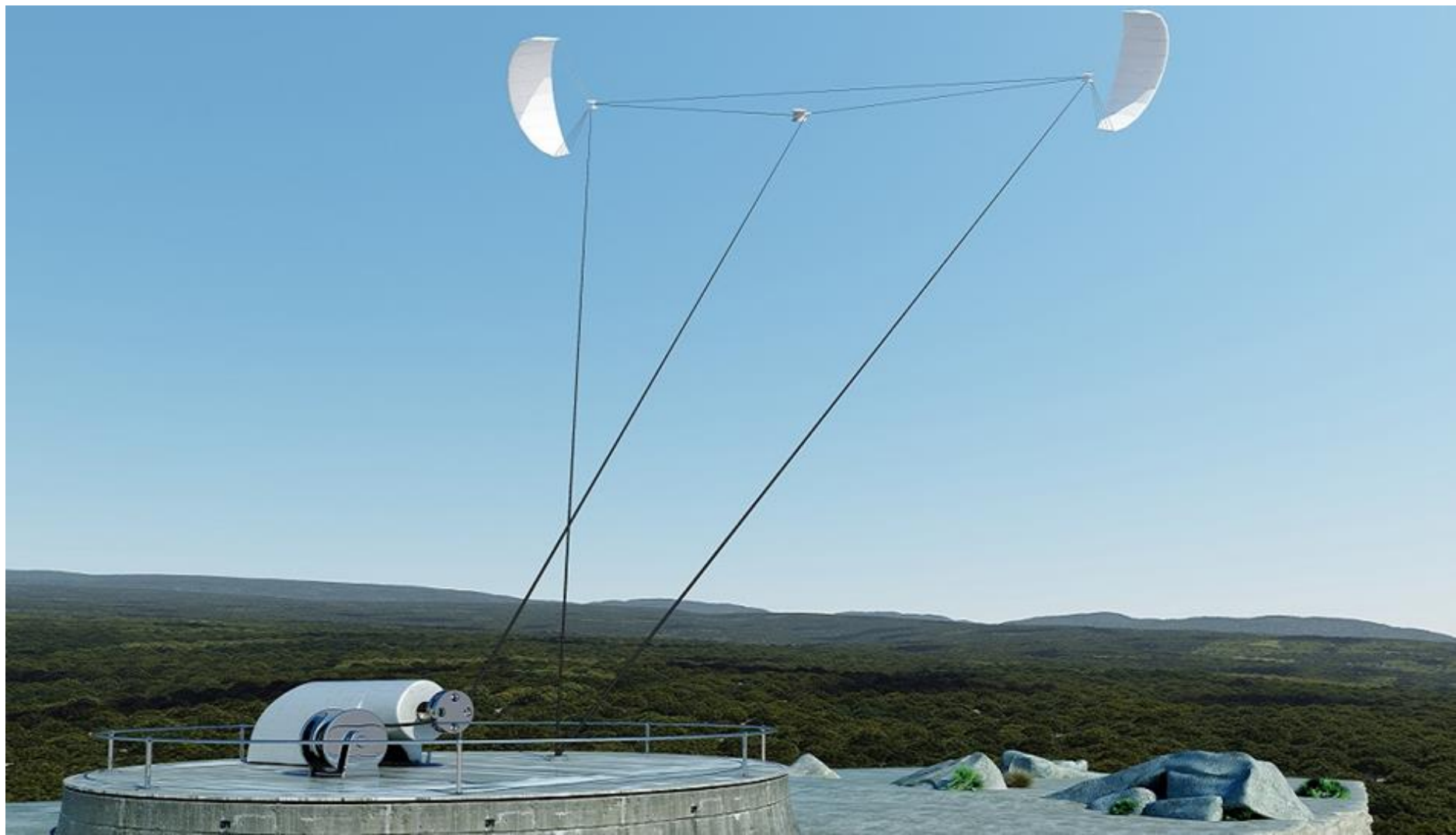
# Evolution of Wind Power Technology

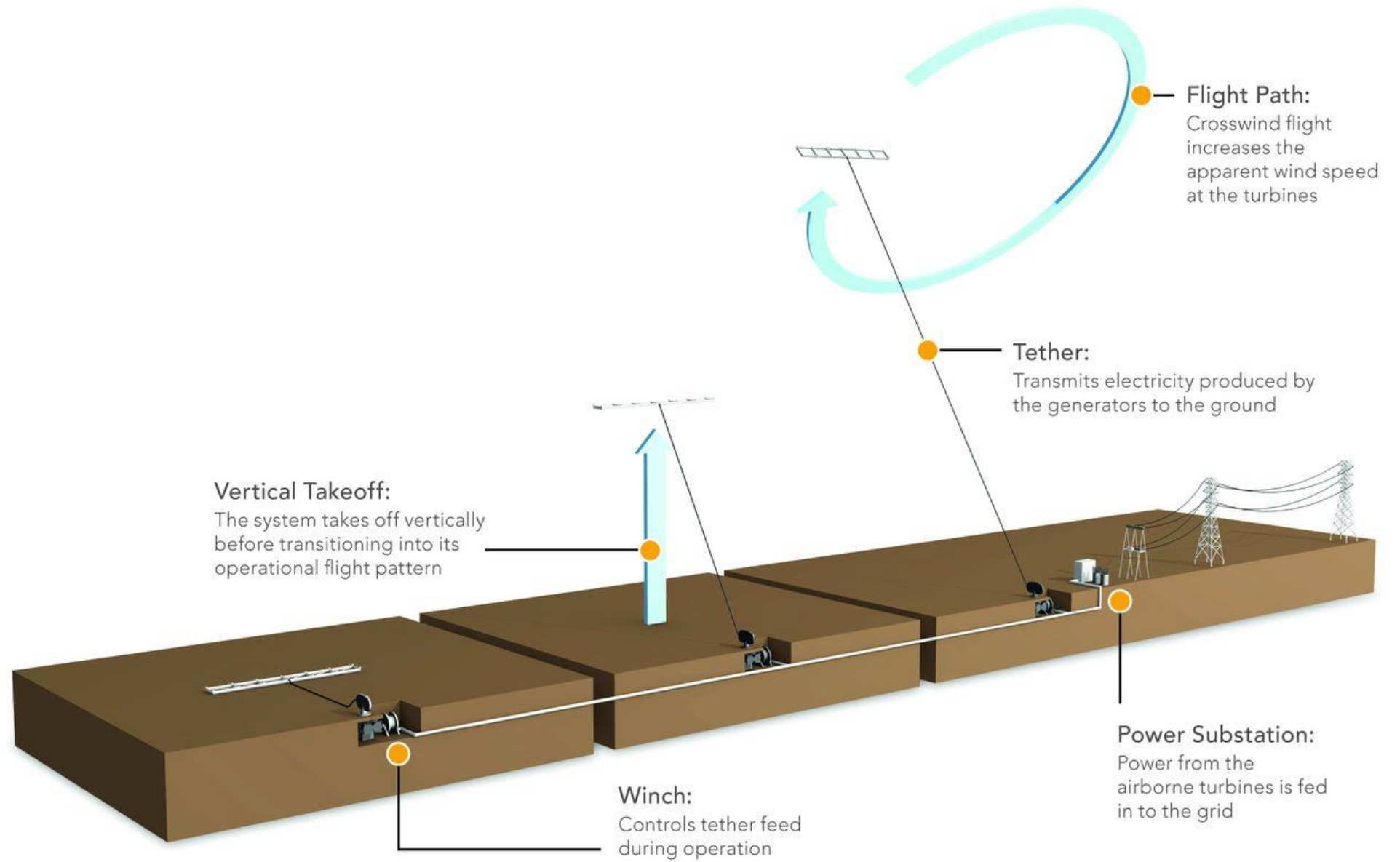


|                      |    |                        |
|----------------------|----|------------------------|
| Current LCOE         |    | 4,50 €/kWh             |
| Blade                | 2% | 25% reduction in CAPEX |
| Controls/Sensors     | 3% |                        |
| Drivetrain           | 2% |                        |
| Generator            | 2% |                        |
| Electrical           | 1% |                        |
| Tower                | 2% |                        |
| Pitch System         | 1% |                        |
| Foundation           | 1% |                        |
| Nacelle              | 1% |                        |
| Pitch Control        | 2% |                        |
| Condition Monitoring | 2% |                        |
| SCADA                | 2% |                        |
| Yaw System           | 1% |                        |
| Castings/Forgings    | 1% |                        |
| Systems              | 1% |                        |
| Yaw Control          | 1% |                        |
| 2025 LCOE            |    | 3,75 €/kWh             |







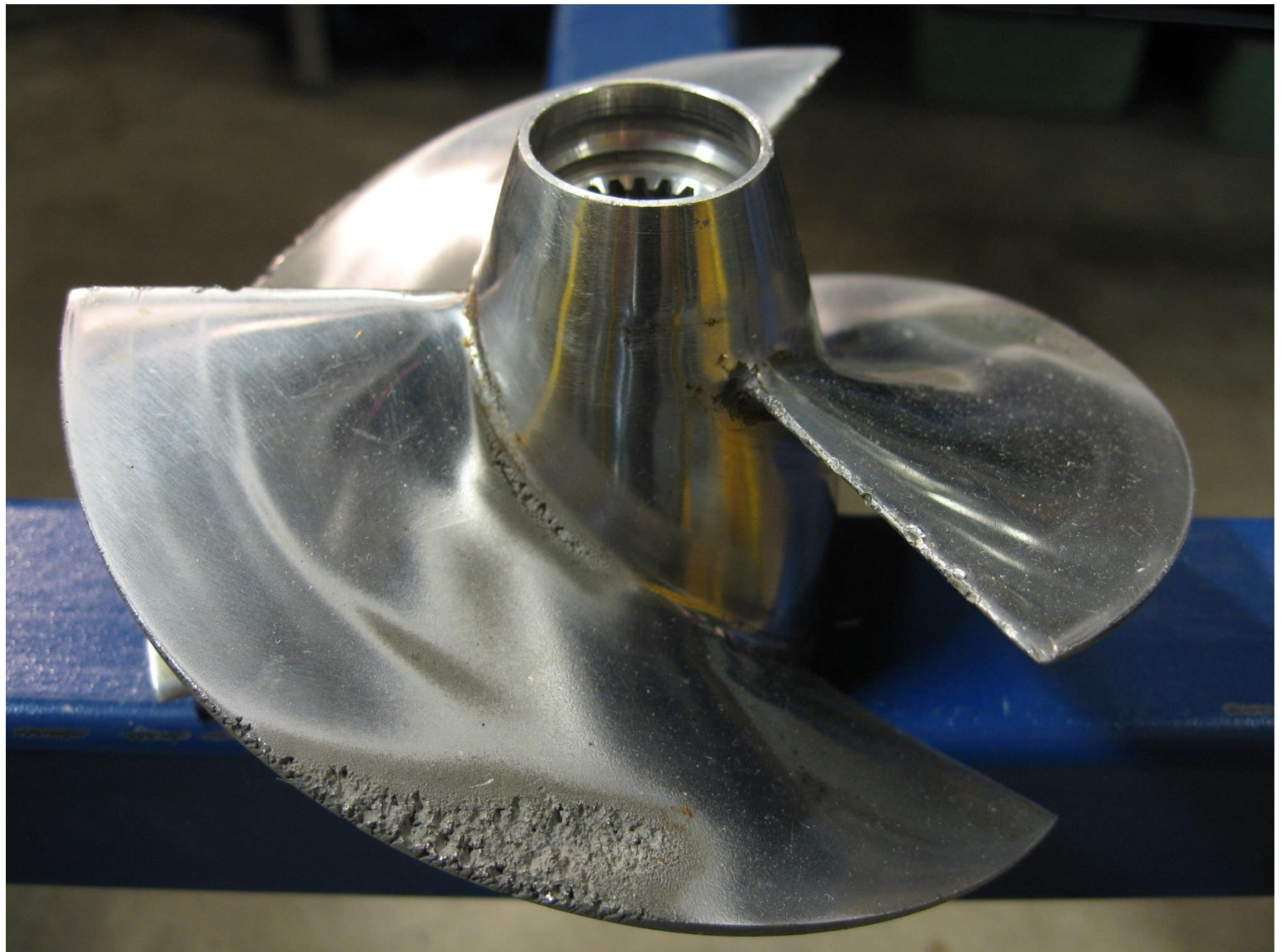














# HYDROELECTRIC POWER PLANTS: design and operation



| Rank ▾ | Name ⇅                            | Country ⇅                                                                                                                                                                               | River ⇅       | Installed capacity (MW) ⇅ | Annual electricity production (TW-hour) <sup>[5]</sup> ⇅ | Years of completion ⇅                                 | Area flooded (km²) ⇅ |
|--------|-----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|---------------------------|----------------------------------------------------------|-------------------------------------------------------|----------------------|
| 1      | Three Gorges Dam                  |  China                                                                                                | Yangtze       | 22,500                    | 98.8 <sup>[6]</sup>                                      | 2008                                                  |                      |
| 2      | Itaipu Dam                        | <br> Paraguay     | Paraná        | 14,000                    | 98.6 <sup>[1]</sup>                                      | 1984/1991, 2003 <sup>[7]</sup>                        | 1,350                |
| 3      | Xiluodu                           |  China                                                                                               | Jinsha        | 13,860 <sup>[8]</sup>     |                                                          | 2014 <sup>[9]</sup>                                   |                      |
| 4      | Guri                              |  Venezuela                                                                                           | Caroní        | 10,235                    | 53.41                                                    | 1978, 1986                                            | 4,250                |
| 5      | Tucuruí                           |  Brazil                                                                                              | Tocantins     | 8,370                     | 41.43                                                    | 1984                                                  | 3,014                |
| 6      | Grand Coulee                      |  United States                                                                                       | Columbia      | 6,809                     | 20 <sup>[10]</sup>                                       | 1942/1950, 1973, 1975/1980, 1984/1985 <sup>[11]</sup> | 324                  |
| 7      | Xiangjiaba                        |  China                                                                                               | Jinsha        | 6,448                     |                                                          | 2014 <sup>[12]</sup>                                  |                      |
| 8      | Longtan Dam                       |  China                                                                                               | Hongshui      | 6,426                     | 18.7 <sup>[13]</sup>                                     | 2007/2009                                             |                      |
| 9      | Sayano–Shushenskaya               |  Russia                                                                                              | Yenisei       | 6,400                     | 26.8                                                     | 1985/1989, 2010/2014 <sup>[14]</sup>                  | 621                  |
| 10     | Krasnoyarsk                       |  Russia                                                                                              | Yenisei       | 6,000                     | 15                                                       | 1972                                                  | 2,000                |
| 11     | Nuozhadu                          |  China                                                                                               | Mekong        | 5,850                     |                                                          | 2014 <sup>[15]</sup>                                  |                      |
| 12     | Robert-Bourassa                   |  Canada                                                                                              | La Grande     | 5,616 <sup>[16][17]</sup> | 26.5                                                     | 1979/1981                                             | 2,835                |
| 13     | Churchill Falls                   |  Canada                                                                                              | Churchill     | 5,428 <sup>[18]</sup>     | 35                                                       | 1971/1974                                             | 6,988                |
| 14     | Jinping-II                        |  China                                                                                               | Yalong        | 4,800                     |                                                          | 2014                                                  |                      |
| 15     | Bratsk                            |  Russia                                                                                              | Angara        | 4,500                     | 22.6                                                     | 1967                                                  | 5,470                |
| 16     | Laxiwa Dam                        |  China                                                                                               | Yellow        | 4,200 <sup>[19]</sup>     | 10.2                                                     | 2010                                                  |                      |
| 17     | Xiaowan Dam                       |  China                                                                                               | Mekong        | 4,200 <sup>[20]</sup>     | 19                                                       | 2010                                                  | 190                  |
| 18     | Ust Ilmskaya                      |  Russia                                                                                              | Angara        | 3,840                     | 21.7                                                     | 1980                                                  |                      |
| 19     | Jinping-I                         |  China                                                                                               | Yalong        | 3,600                     |                                                          | 2014                                                  |                      |
| 20     | Tarbela Dam                       |  Pakistan                                                                                            | Indus         | 3,478                     | 13                                                       | 1976                                                  | 250                  |
| 21     | Ilha Solteira Dam                 |  Brazil                                                                                              | Paraná        | 3,444                     | 17.9                                                     | 1973                                                  |                      |
| 22     | Ertan Dam                         |  China                                                                                               | Yalong        | 3,300                     | 17                                                       | 1999                                                  |                      |
| 23     | Pubugou Dam                       |  China                                                                                               | Dadu          | 3,300                     | 14.6                                                     | 2009/2010                                             |                      |
| 24     | Macagua                           |  Venezuela                                                                                          | Caroní        | 3,167.5                   | 15.2                                                     | 1961/1996                                             | 47.4                 |
| 25     | Xingó Hydroelectrical Power Plant |  Brazil                                                                                            | São Francisco | 3,162                     | 18.7 <sup>[21]</sup>                                     | 1994/1997                                             |                      |
| 26     | Yacyretá                          | <br> Paraguay | Paraná        | 3,100                     | 20.09                                                    | 1994/1998, 2011                                       | 1,600                |
| 27     | Nurek Dam                         |  Tajikistan                                                                                        | Vakhsh        | 3,015                     | 11.2                                                     | 1972/1979, 1988                                       | 98                   |
| 28     | Bath County PSP                   |  United States                                                                                     | -             | 3,003                     | 3.32                                                     | 1985, 2004                                            |                      |
| 29     | Goupitan Dam                      |  China                                                                                             | Wu            | 3,000 <sup>[22]</sup>     | 9.67                                                     | 2009/2011                                             | 94                   |
| 30     | Guanyinyan Dam                    |  China                                                                                             | Jinsha        | 3,000                     | 13.62                                                    | 2014/2016                                             |                      |
| 31     | Boguchany Dam                     |  Russia                                                                                            | Angara        | 2,997                     | 17.6                                                     | 2012/2014                                             | 2,326                |
| 32     | W. A. C. Bennett Dam              |  Canada                                                                                            | Peace         | 2,876                     | 13.1                                                     | 1968, 2012                                            |                      |
| 33     | La Grande-4                       |  Canada                                                                                            | La Grande     | 2,779 <sup>[17]</sup>     |                                                          | 1986                                                  | 765                  |
| 34     | Gezhouba Dam                      |  China                                                                                             | Yangtze       | 2,715                     | 17.01                                                    | 1988                                                  |                      |
| 35     | Manic-5 and Manic-5-PA            |  Canada                                                                                            | Manicouagan   | 2,656 <sup>[23]</sup>     |                                                          | 1970/1971, 1989/1990                                  | 1,950                |

| Rank ▾ | Name ⇅                            | Country ⇅                                                                                                                                                                                            | River ⇅       | Installed capacity (MW) ⇅ | Annual electricity production (TW-hour) <sup>[5]</sup> ⇅ | Years of completion ⇅                                 | Area flooded (km²) ⇅ |
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| 7      | Xiangjiaba                        |  China                                                                                                            | Jinsha        | 6,448                     |                                                          | 2014 <sup>[12]</sup>                                  |                      |
| 8      | Longtan Dam                       |  China                                                                                                            | Hongshui      | 6,426                     | 18.7 <sup>[13]</sup>                                     | 2007/2009                                             |                      |
| 9      | Sayano–Shushenskaya               |  Russia                                                                                                           | Yenisei       | 6,400                     | 26.8                                                     | 1985/1989, 2010/2014 <sup>[14]</sup>                  | 621                  |
| 10     | Krasnoyarsk                       |  Russia                                                                                                           | Yenisei       | 6,000                     | 15                                                       | 1972                                                  | 2,000                |
| 11     | Nuozhadu                          |  China                                                                                                            | Mekong        | 5,850                     |                                                          | 2014 <sup>[15]</sup>                                  |                      |
| 12     | Robert-Bourassa                   |  Canada                                                                                                           | La Grande     | 5,616 <sup>[16][17]</sup> | 26.5                                                     | 1979/1981                                             | 2,835                |
| 13     | Churchill Falls                   |  Canada                                                                                                           | Churchill     | 5,428 <sup>[18]</sup>     | 35                                                       | 1971/1974                                             | 6,988                |
| 14     | Jinping-II                        |  China                                                                                                            | Yalong        | 4,800                     |                                                          | 2014                                                  |                      |
| 15     | Bratsk                            |  Russia                                                                                                           | Angara        | 4,500                     | 22.6                                                     | 1967                                                  | 5,470                |
| 16     | Laxiwa Dam                        |  China                                                                                                            | Yellow        | 4,200 <sup>[19]</sup>     | 10.2                                                     | 2010                                                  |                      |
| 17     | Xiaowan Dam                       |  China                                                                                                            | Mekong        | 4,200 <sup>[20]</sup>     | 19                                                       | 2010                                                  | 190                  |
| 18     | Ust Ilimskaya                     |  Russia                                                                                                           | Angara        | 3,840                     | 21.7                                                     | 1980                                                  |                      |
| 19     | Jinping-I                         |  China                                                                                                            | Yalong        | 3,600                     |                                                          | 2014                                                  |                      |
| 20     | Tarbela Dam                       |  Pakistan                                                                                                         | Indus         | 3,478                     | 13                                                       | 1976                                                  | 250                  |
| 21     | Ilha Solteira Dam                 |  Brazil                                                                                                           | Paraná        | 3,444                     | 17.9                                                     | 1973                                                  |                      |
| 22     | Ertan Dam                         |  China                                                                                                            | Yalong        | 3,300                     | 17                                                       | 1999                                                  |                      |
| 23     | Pubugou Dam                       |  China                                                                                                            | Dadu          | 3,300                     | 14.6                                                     | 2009/2010                                             |                      |
| 24     | Macagua                           |  Venezuela                                                                                                       | Caroní        | 3,167.5                   | 15.2                                                     | 1961/1996                                             | 47.4                 |
| 25     | Xingó Hydroelectrical Power Plant |  Brazil                                                                                                         | São Francisco | 3,162                     | 18.7 <sup>[21]</sup>                                     | 1994/1997                                             |                      |
| 26     | Yacyretá                          | <br> Argentina<br>Paraguay | Paraná        | 3,100                     | 20.09                                                    | 1994/1998, 2011                                       | 1,600                |
| 27     | Nurek Dam                         |  Tajikistan                                                                                                     | Vakhsh        | 3,015                     | 11.2                                                     | 1972/1979, 1988                                       | 98                   |
| 28     | Bath County PSP                   |  United States                                                                                                  | -             | 3,003                     | 3.32                                                     | 1985, 2004                                            |                      |
| 29     | Goupitan Dam                      |  China                                                                                                          | Wu            | 3,000 <sup>[22]</sup>     | 9.67                                                     | 2009/2011                                             | 94                   |
| 30     | Guanyinyan Dam                    |  China                                                                                                          | Jinsha        | 3,000                     | 13.62                                                    | 2014/2016                                             |                      |
| 31     | Boguchany Dam                     |  Russia                                                                                                         | Angara        | 2,997                     | 17.6                                                     | 2012/2014                                             | 2,326                |
| 32     | W. A. C. Bennett Dam              |  Canada                                                                                                         | Peace         | 2,876                     | 13.1                                                     | 1968, 2012                                            |                      |
| 33     | La Grande-4                       |  Canada                                                                                                         | La Grande     | 2,779 <sup>[17]</sup>     |                                                          | 1986                                                  | 765                  |
| 34     | Gezhouba Dam                      |  China                                                                                                          | Yangtze       | 2,715                     | 17.01                                                    | 1988                                                  |                      |
| 35     | Manic-5 and Manic-5-PA            |  Canada                                                                                                         | Manicouagan   | 2,656 <sup>[23]</sup>     |                                                          | 1970/1971, 1989/1990                                  | 1,950                |



#### Power generation

|                    |                        |
|--------------------|------------------------|
| Nameplate capacity | 22,500 MW              |
| Capacity factor    | 45%                    |
| Annual generation  | 87 TWh (310 PJ) (2015) |
| Turbines           | 32 × 700 MW            |
|                    | 2 × 50 MW Francis-type |



### Power station

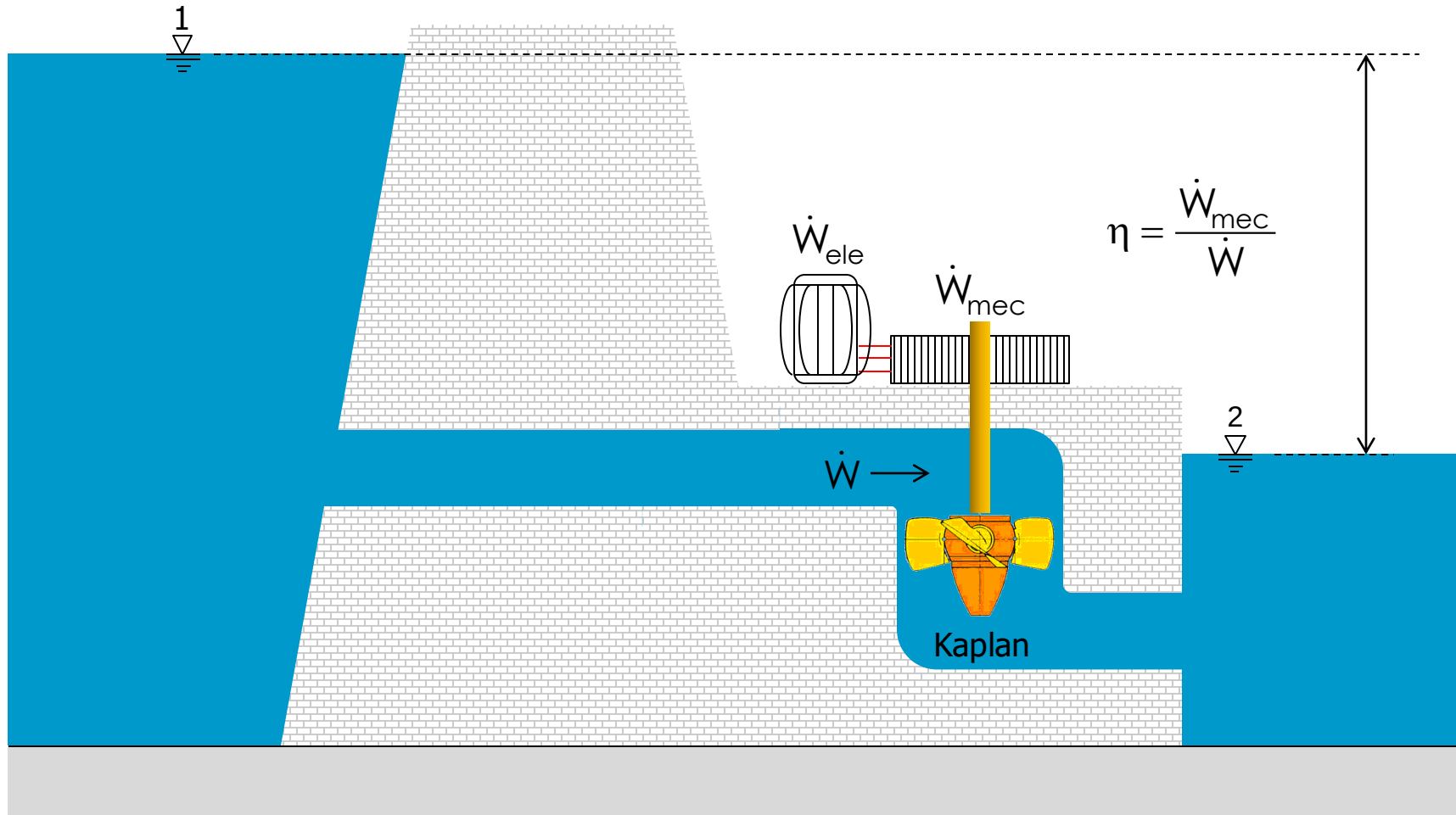
|                    |                                                          |
|--------------------|----------------------------------------------------------|
| Type               | Conventional                                             |
| Hydraulic head     | 118 m (387 ft)                                           |
| Turbines           | 20 × 700 MW<br>(940,000 hp) <a href="#">Francis-type</a> |
| Installed capacity | 14 GW (19,000,000 hp)                                    |
| Annual generation  | 89.5 TWh (322 PJ)                                        |





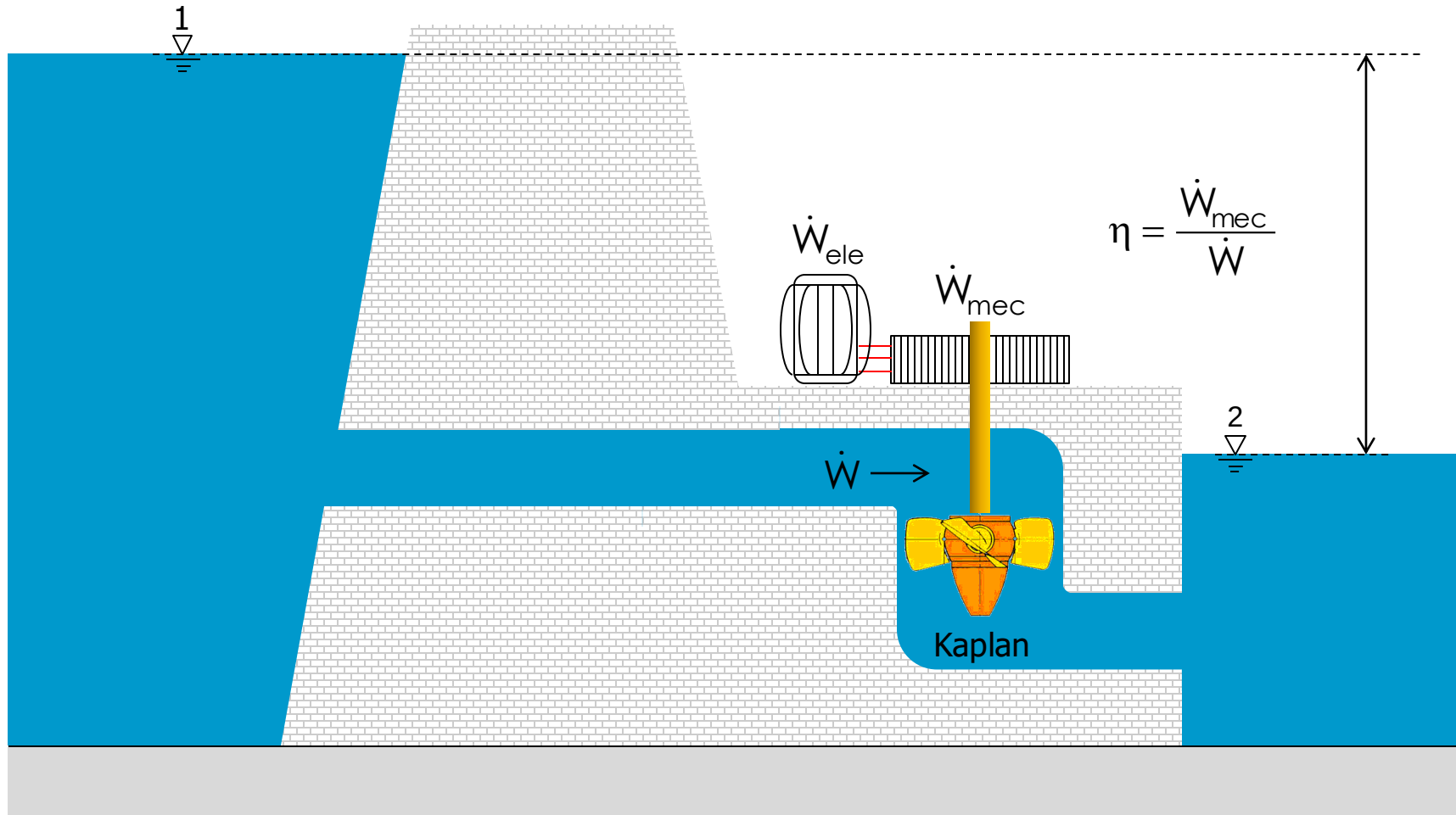
| <b>Usina</b>              | <b>Itaipu</b>                | <b>Três Gargantas</b>         |
|---------------------------|------------------------------|-------------------------------|
| Turbinas                  | 20                           | 32+2 (6 subterrâneas)         |
| Potência nominal          | 700 MW                       | 700 MW                        |
| Potência instalada        | 14.000 MW                    | 22.500 MW (prevista)          |
| Recorde de produção anual | 93,4 bilhões kWh/ano (2000)  | 61,6 bilhões kWh/ano (2007)   |
| Concreto utilizado        | 12,57 milhões m <sup>3</sup> | 27,94 milhões m <sup>3</sup>  |
| Altura                    | 196 metros                   | 181 metros                    |
| Comprimento da barragem   | 7.750 metros                 | 4.149 metros                  |
| Vertedouro                | 62.200 m <sup>3</sup> /s     | 102.600 m <sup>3</sup> /s     |
| Escavações                | 63,85 milhões m <sup>3</sup> | 102,59 milhões m <sup>3</sup> |

| <b>Reservatório</b>            | <b>Itaipu</b>         | <b>Três Gargantas</b> |
|--------------------------------|-----------------------|-----------------------|
| Extensão                       | 170 km                | 600 km                |
| Área                           | 1.350 km <sup>2</sup> | 1.084 km <sup>2</sup> |
| Armazenamento                  | 29 km <sup>3</sup>    | 39,3 km <sup>3</sup>  |
| Nível normal de operação       | 220 m                 | 175 m                 |
| Número de pessoas reassentadas | 40 mil                | 1,13 milhão           |



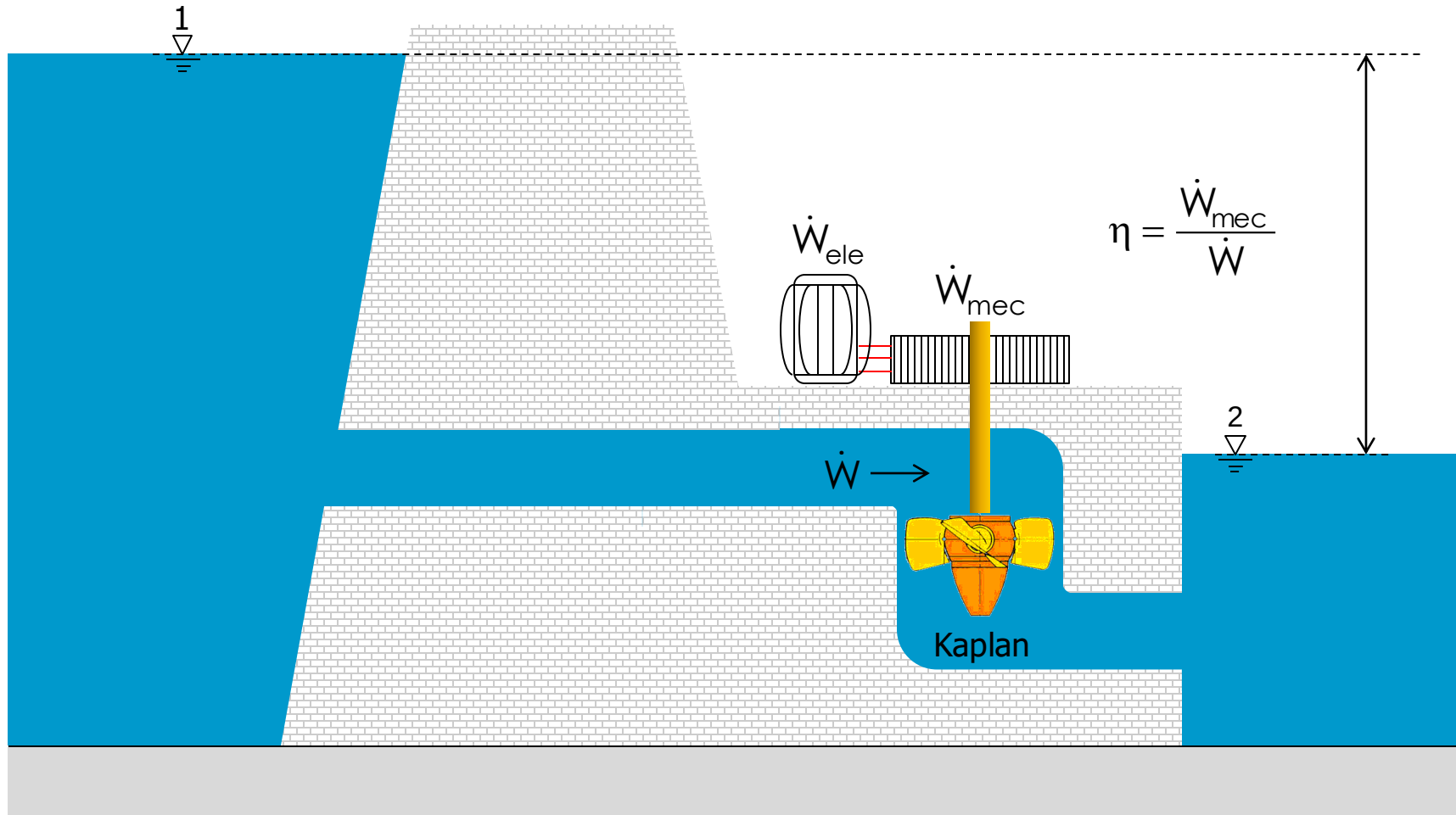
$$\dot{W} = \dot{m} \cdot \left[ \left( P_1 / \rho_1 + gz_1 + v_1^2 / 2 \right) - \left( P_2 / \rho_2 + gz_2 + v_2^2 / 2 \right) \right]$$





$$\dot{W} = \dot{m} \cdot \left[ \left( P_1 / \rho_1 + gz_1 + v_1^2 / 2 \right) - \left( P_2 / \rho_2 + gz_2 + v_2^2 / 2 \right) \right]$$

$$\dot{W} = \dot{m} \cdot \left[ \left( P_1 / \rho_1 - P_2 / \rho_2 \right) + g(z_1 - z_2) + \left( v_1^2 / 2 - v_2^2 / 2 \right) \right]$$



$$\dot{W} = \dot{m}g\Delta z$$

# Hydroelectric Power Generation Potential in the World

$$\dot{W} = \dot{m}g\Delta z$$

Average continental height:

840m

Average annual pluviosity:

$505 \cdot 10^3 \text{ km}^3$

♦ at sea:

$398 \cdot 10^3 \text{ km}^3$

♦ on land:

$107 \cdot 10^3 \text{ km}^3$

$$\dot{W}_{\text{max,teo}} = \frac{107 \cdot 10^3 \cdot (10^3 \text{m})^3}{365 \times 24 \times 3600 \text{s}} \cdot 997 \frac{\text{kg}}{\text{m}^3} \cdot 9,8 \frac{\text{m}}{\text{s}^2} \cdot 840 \text{m}$$

$$\dot{W}_{\text{max,theo}} = 27,8 \text{ TW}$$

Assuming that all in land  
precipitation can be used  
for power generation



# Hydroelectric Power Generation Potential in the World

$$\dot{W}_{\text{max,UNDP}} = 3,84 \text{ TW}$$

World Energy Assessment:  
2004 - United Nations  
Development Programme

$$\dot{W}_{\text{cons,2012}} = 2,21 \text{ TW}$$



The potential growth of hydroelectric generation is substantially limited, however...

RESERVOIR:

Capacity of storing water for energy generation

COMPLEMENTARITY:

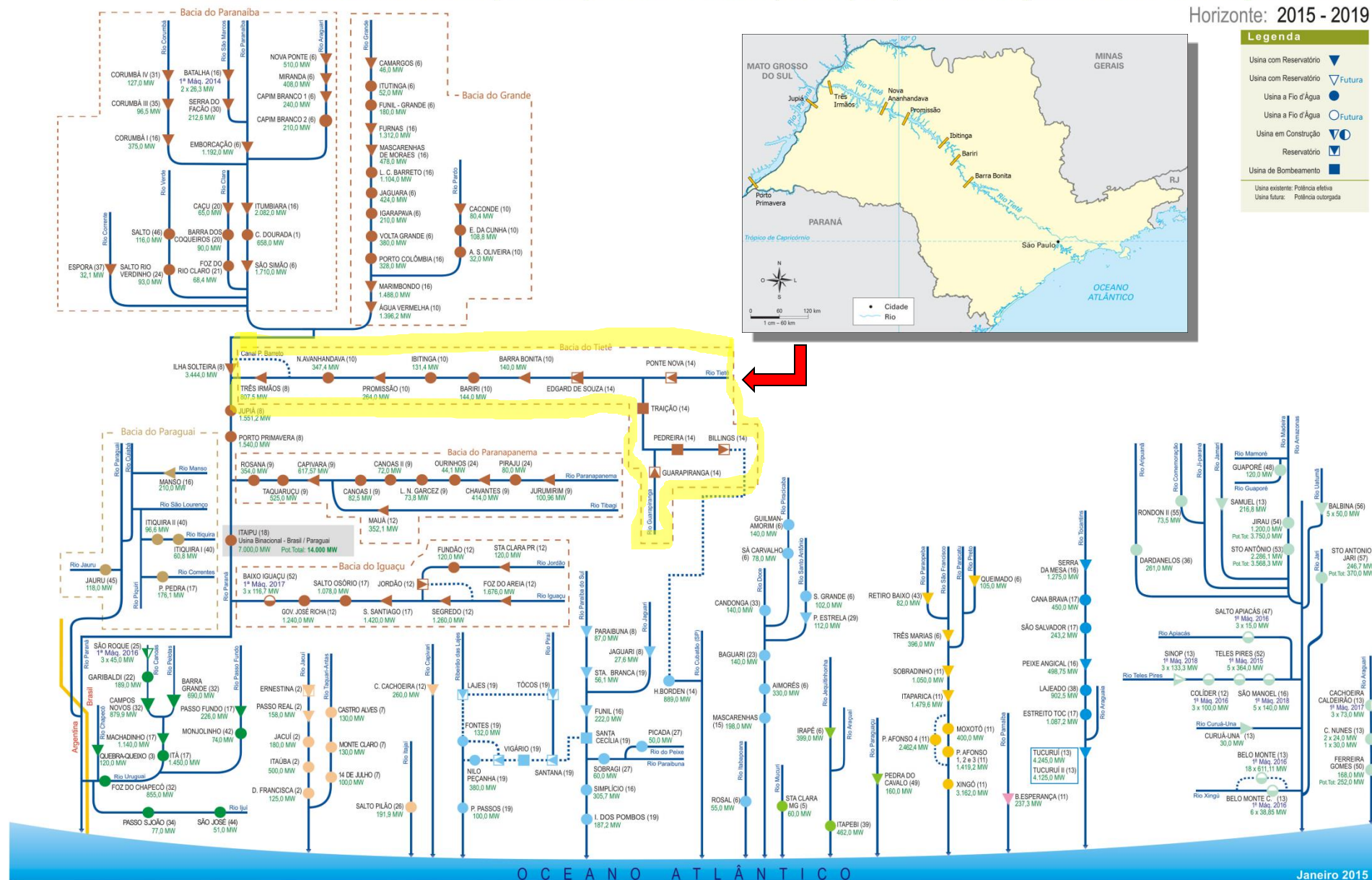
Stabilization of supply in face of fluctuations in generation conditions (intermittencies) and also of the demand



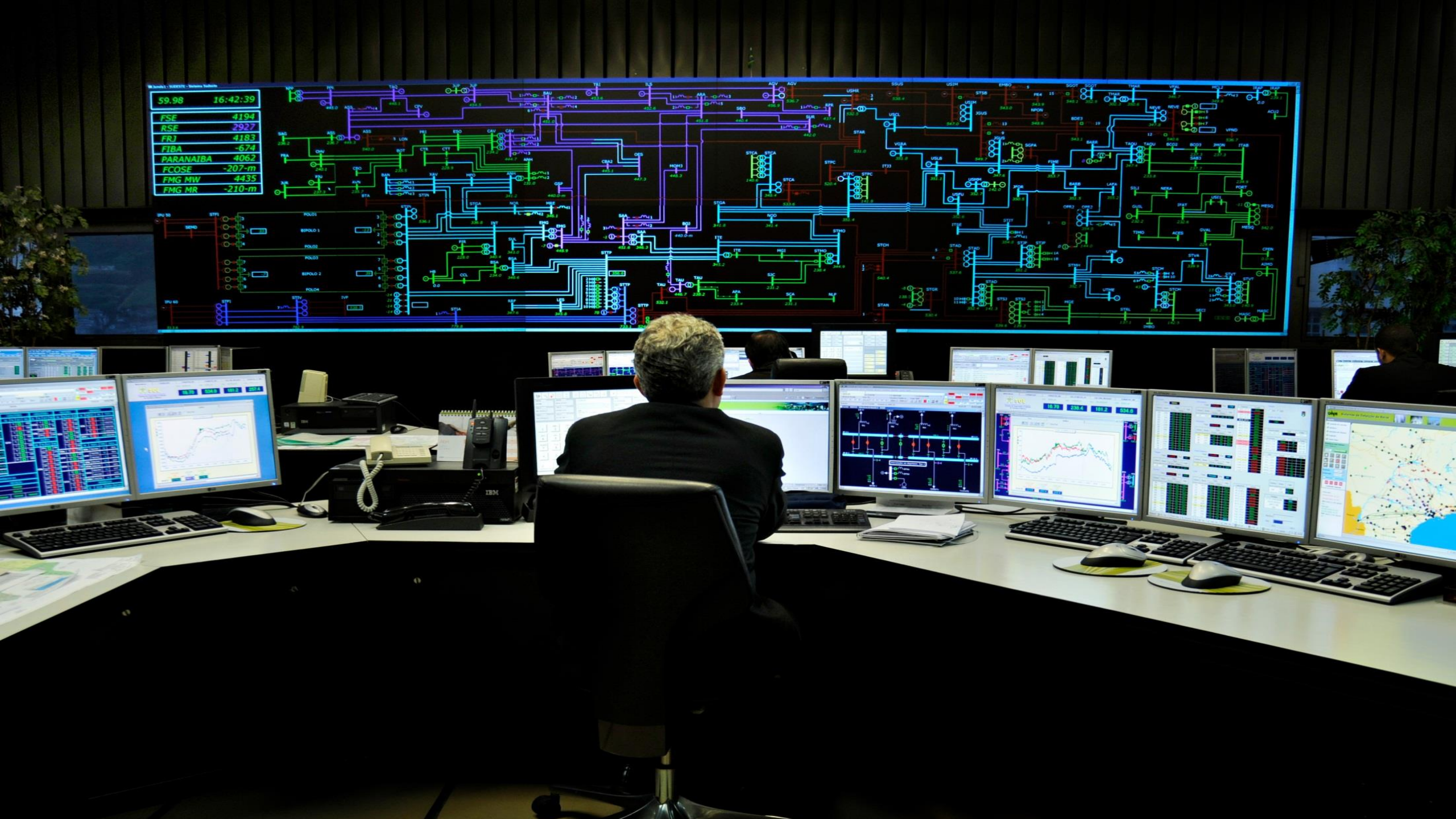
**Legenda**

- Usina com Reservatório 
- Usina com Reservatório  Futura
- Usina a Fio d'Água 
- Usina a Fio d'Água  Futura
- Usina em Construção 
- Reservatório 
- Usina de Bombeamento 

Usina existente: Potência efetiva  
Usina futura: Potência outorgada







|           |          |
|-----------|----------|
| 59.98     | 16:42:39 |
| FSE       | 4194     |
| RSE       | 2927     |
| FRJ       | 4183     |
| FIBA      | -674     |
| PARANAIBA | 4062     |
| FCOSE     | -207-m   |
| FMG MW    | 4435     |
| FMG MR    | -210-m   |



**ONS** Operador Nacional do Sistema Elétrico

**A Estrutura Institucional do SEB**

Leis nº 10.848/2004 e nº 10.847/2004

**MME** Ministério de Minas e energia

CMSE  
Comitê de Monitoramento do Setor Elétrico  
Lei nº 11.475/2004

EPE  
Empresa de Pesquisa Energética  
Dec. nº 5194/2004

Planejamento Eletronegético

**Agentes**

↓ CNPE

CMSE → MME → EPE

↓ ANEEL

ONS CCEE

G T D C CL IMEX

**CNPQ** Conselho Nacional de Política Energética  
Lei nº 3520/2000

ANEEL  
Agência Nacional de Energia Elétrica  
Lei nº 9427/1996

ANP  
Regulação e Fiscalização

**Operação**

ONS  
Operador Nacional do Sistema Elétrico  
Dec. nº 5081/2004

**Comercialização**

CCEE  
Câmara de Comercialização de Energia Elétrica  
Dec. nº 5177/2004

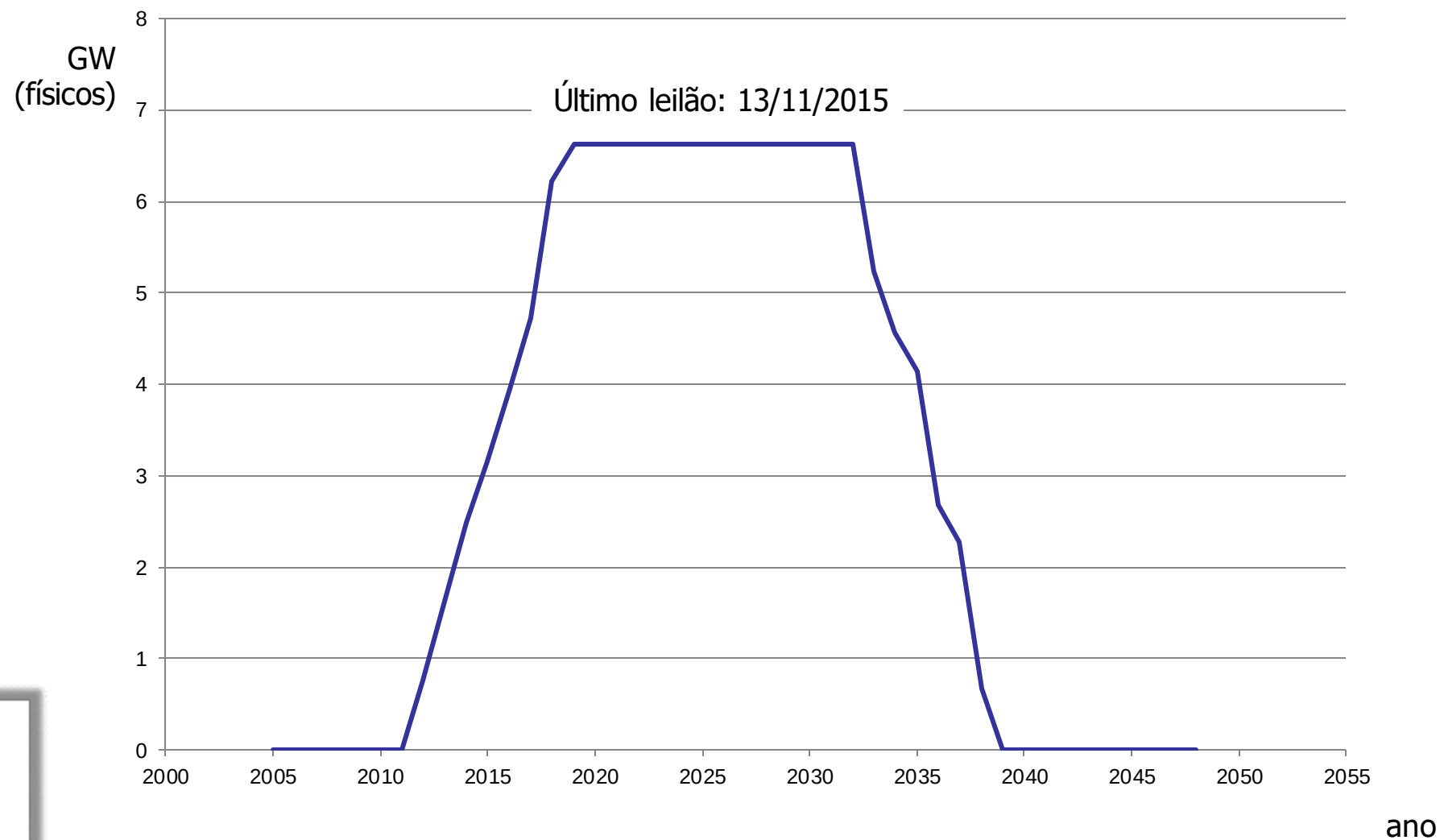


# Energy Bids Consolidated Results

| Documento                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Descrição                                                              | Tipo de Documento     | Data de referência |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|-----------------------|--------------------|
|  Resultado consolidado dos leilões - 02/2016                                                                                                                                                                                                                                                                                                                                         | Planilha de fevereiro de 2016 com o resultado consolidado dos leilões. | Resultado Consolidado | 15/02/2016         |
| <div><b>Nome do documento</b><br/>Resultado consolidado dos leilões - 02/2016</div> <div><b>Descrição</b><br/>Planilha de fevereiro de 2016 com o resultado consolidado dos leilões.</div> <div><b>Hash</b> 9c672d406c8389cb44dd924388d3cce9</div> <div> <b>BAIXAR DOCUMENTO (XLSX) 12 MB</b><br/><a href="#">resultado consolidado leiloes publicacao fevereiro 2016.xlsx</a></div> |                                                                        |                       |                    |
|  Resultado consolidado dos leilões - 01/2016                                                                                                                                                                                                                                                                                                                                         | Planilha de janeiro de 2016 com o resultado consolidado dos leilões.   | Resultado Consolidado | 15/01/2016         |
|  Resultado consolidado dos leilões - 12/2015                                                                                                                                                                                                                                                                                                                                         | Planilha de dezembro de 2015 com o resultado consolidado dos leilões.  | Resultado Consolidado | 15/12/2015         |
|  Resultado consolidado dos leilões - 11/2015                                                                                                                                                                                                                                                                                                                                         | Planilha de novembro de 2015 com o resultado consolidado dos leilões.  | Resultado Consolidado | 16/11/2015         |
|  Resultado consolidado dos leilões - 10/2015                                                                                                                                                                                                                                                                                                                                       | Planilha de outubro de 2015 com o resultado consolidado dos leilões.   | Resultado Consolidado | 19/10/2015         |
|  Resultado consolidado dos leilões - 09/2015 - Errata                                                                                                                                                                                                                                                                                                                              | Planilha de setembro de 2015 com o resultado consolidado dos leilões.  | Resultado Consolidado | 01/10/2015         |
|  Resultado consolidado dos leilões - 09/2015                                                                                                                                                                                                                                                                                                                                       | Planilha de setembro de 2015 com o resultado consolidado dos leilões.  | Resultado Consolidado | 15/09/2015         |

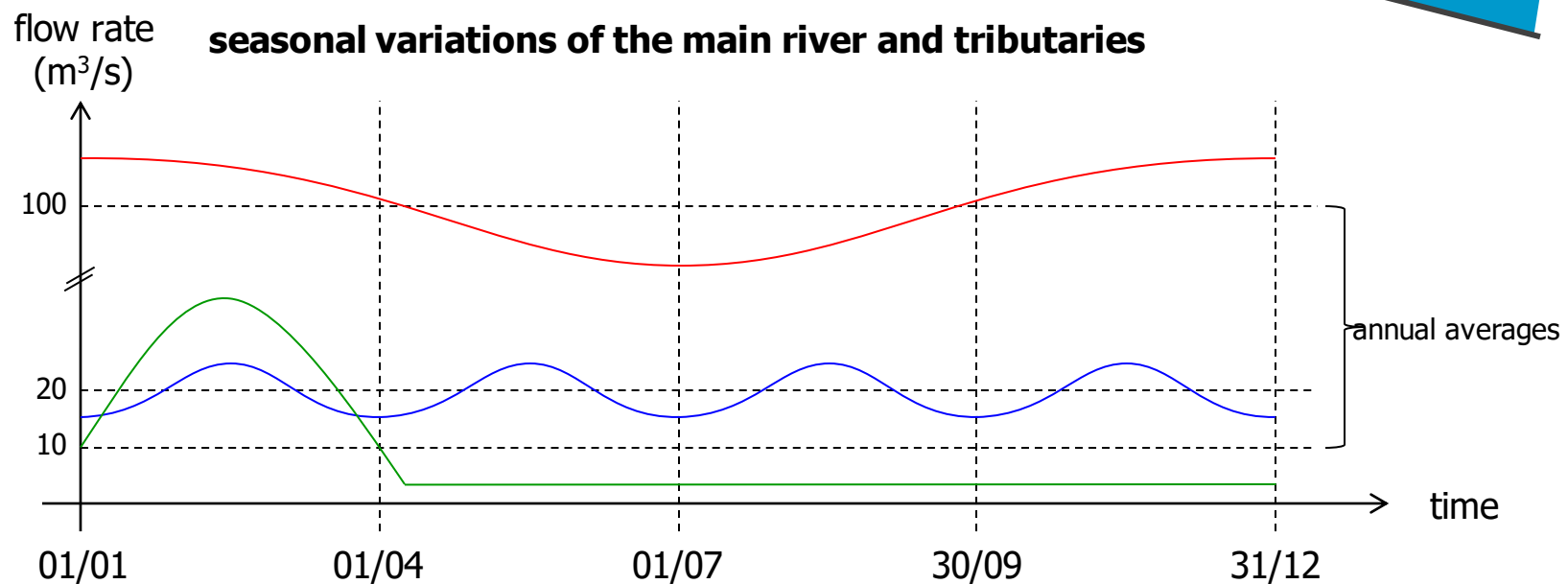
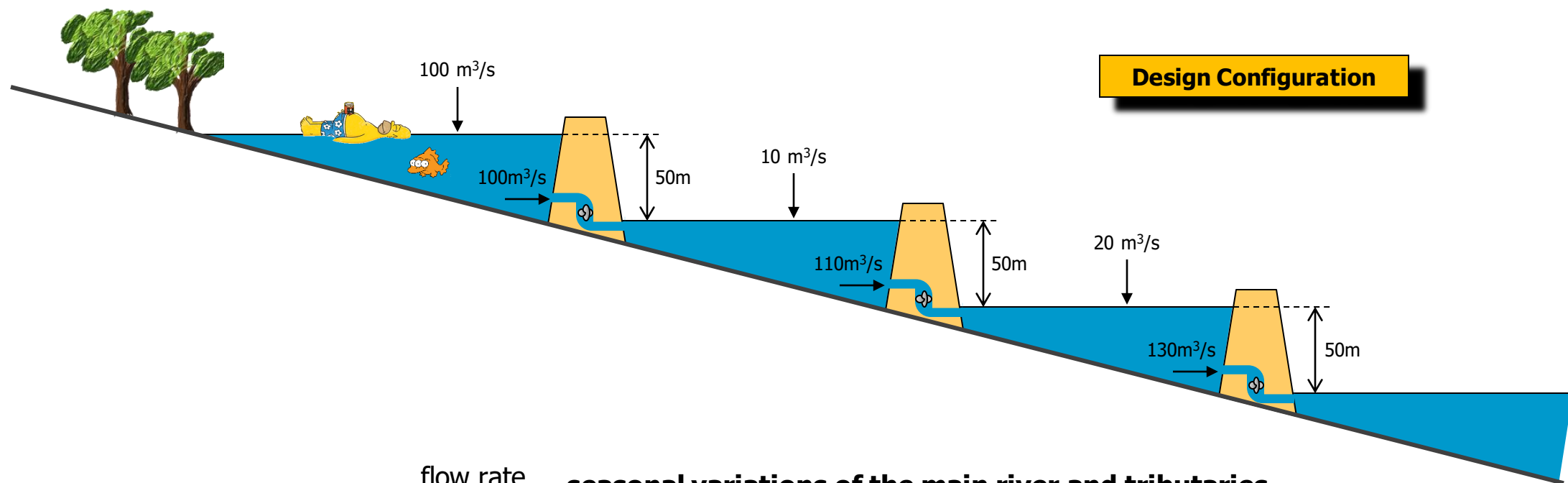


# Energy Bids Consolidated Results



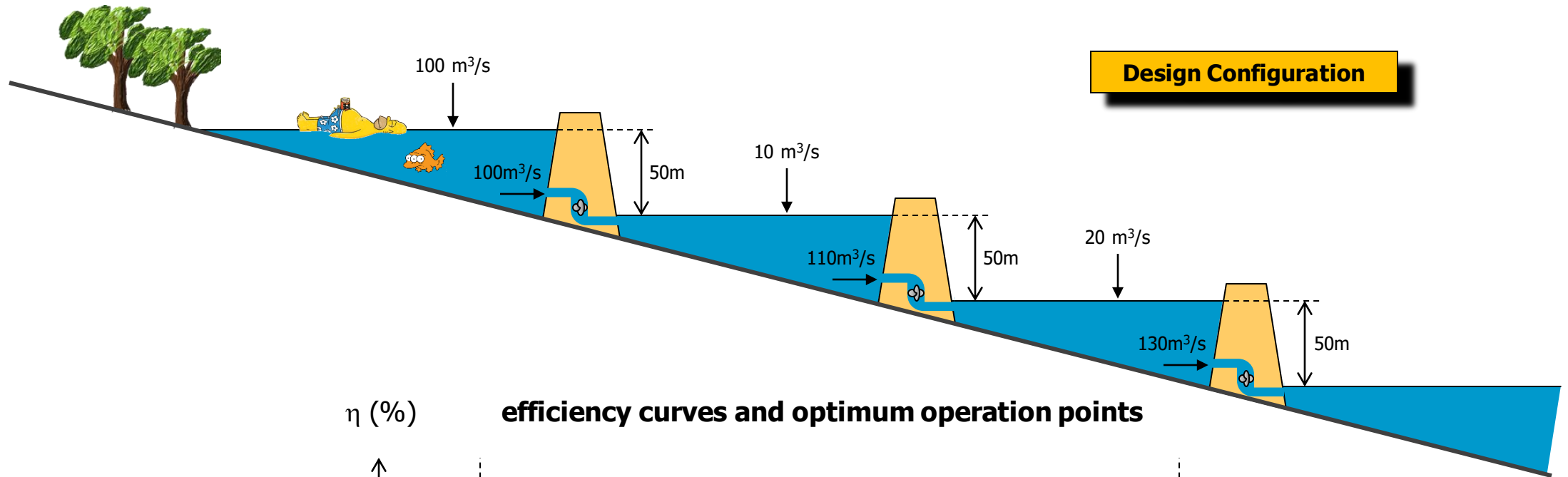
# APPLICATION EXAMPLE: optimized design and operation

# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION



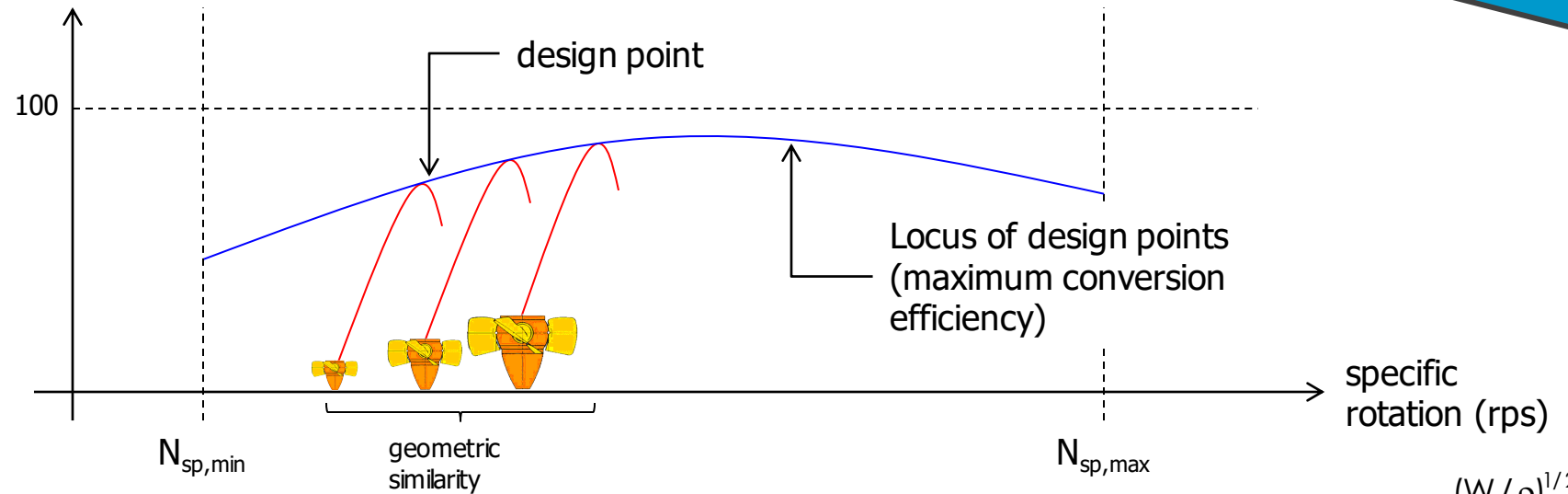


# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION



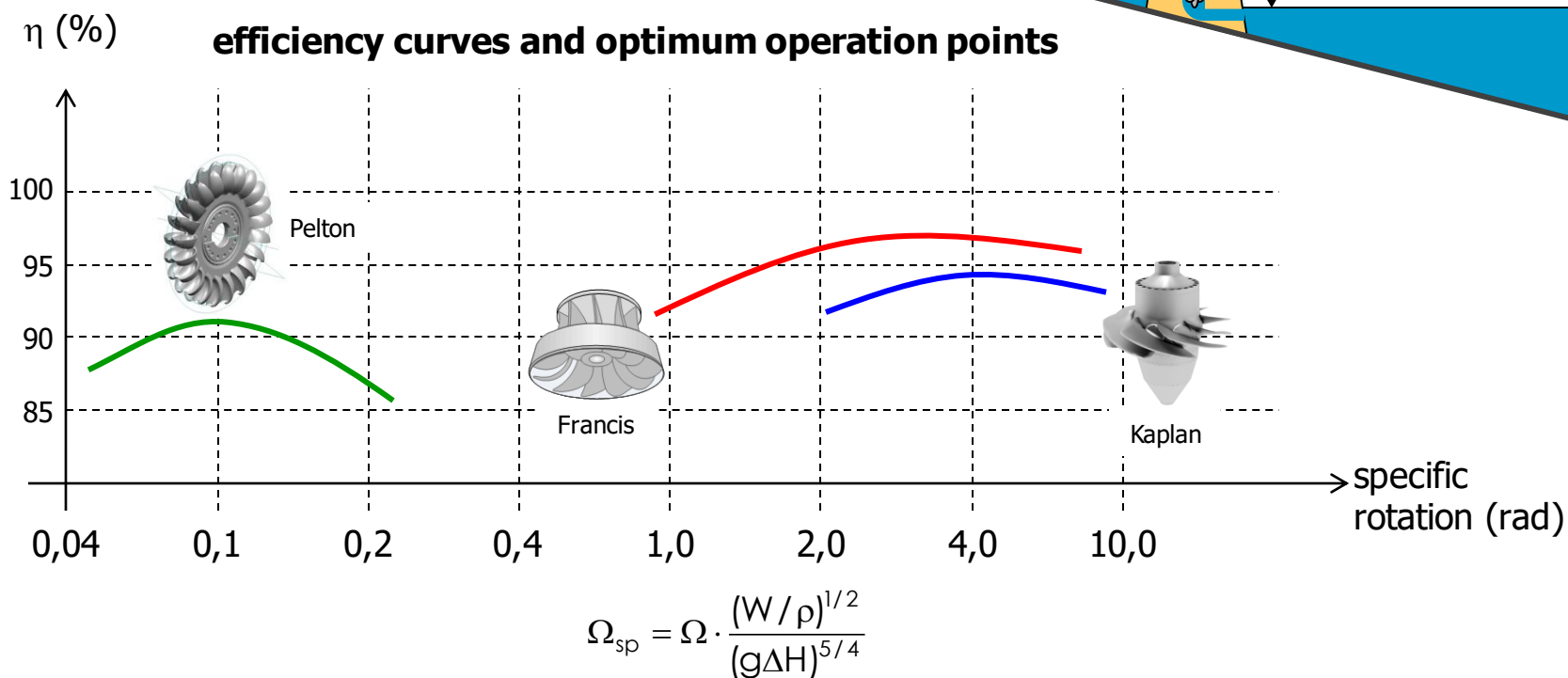
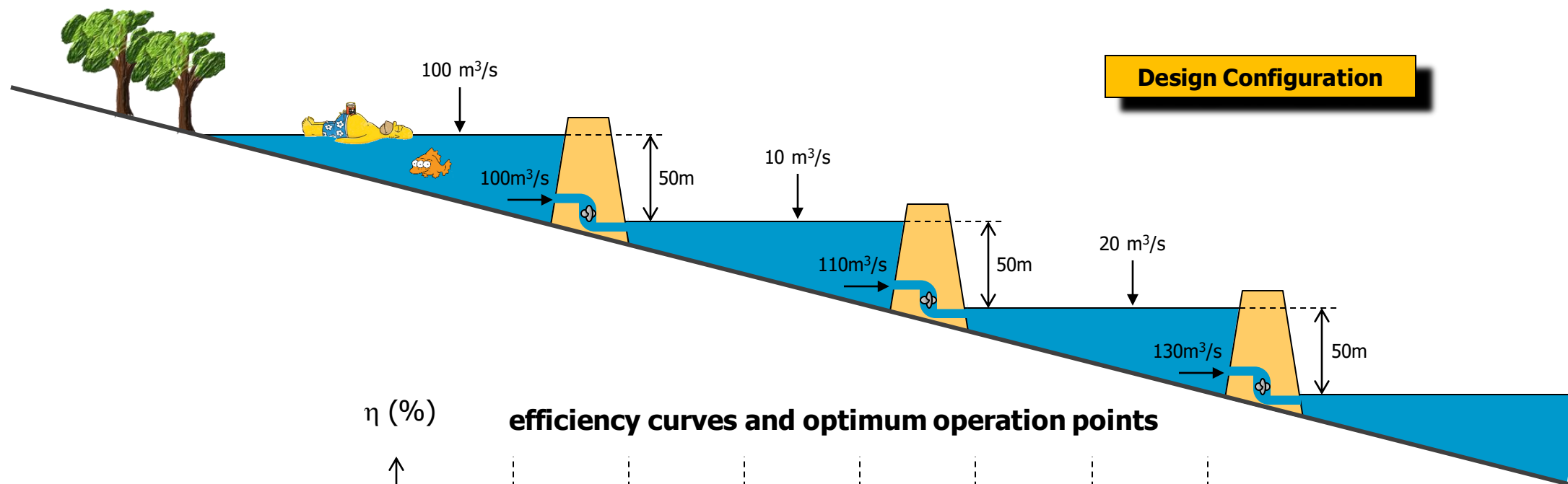
$\eta$  (%)

efficiency curves and optimum operation points

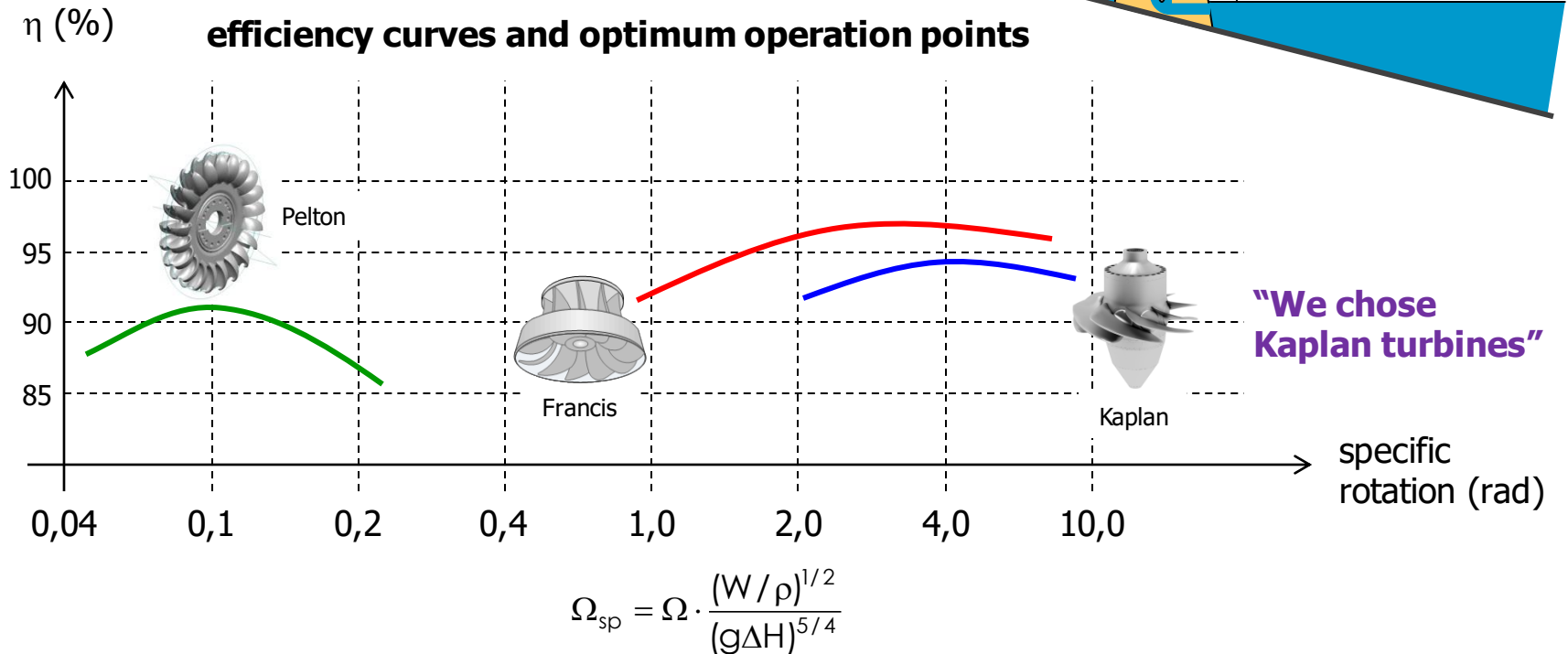
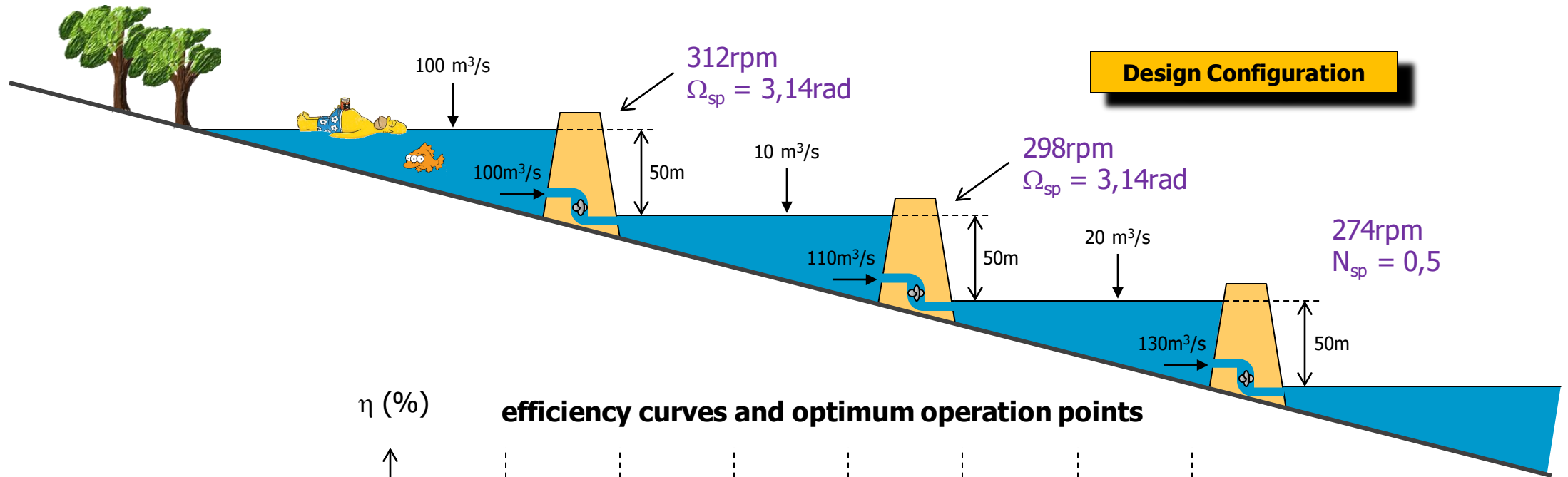


$$N_{sp} = N \cdot \frac{(W/\rho)^{1/2}}{(g\Delta H)^{5/4}}$$

# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION



# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION



# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION

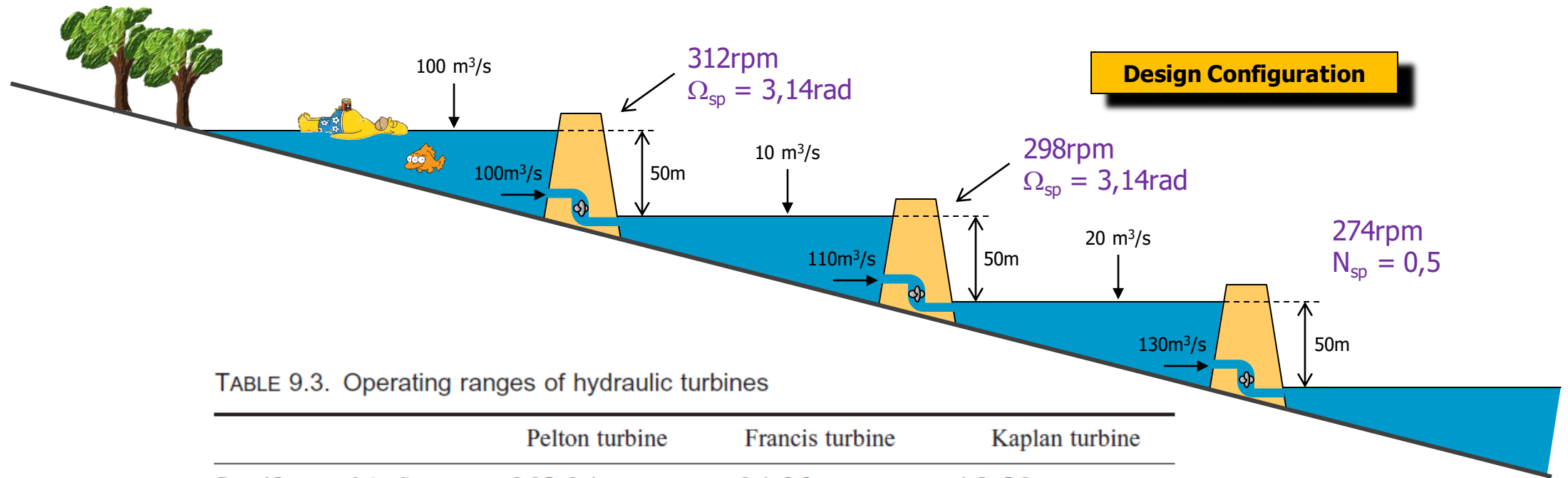
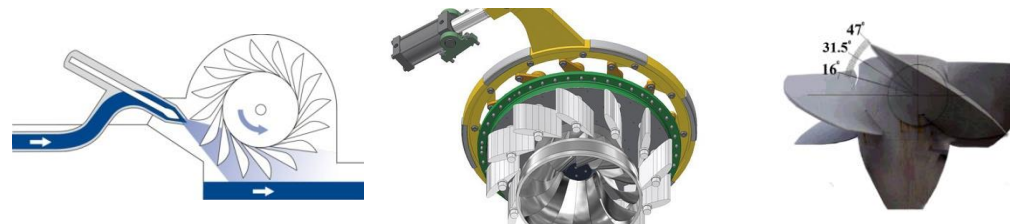


TABLE 9.3. Operating ranges of hydraulic turbines

|                       | Pelton turbine                   | Francis turbine              | Kaplan turbine                |
|-----------------------|----------------------------------|------------------------------|-------------------------------|
| Specific speed (rad)  | 0.05–0.4                         | 0.4–2.2                      | 1.8–5.0                       |
| Head (m)              | 100–1770                         | 20–900                       | 6–70                          |
| Maximum power (MW)    | 500                              | 800                          | 300                           |
| Optimum efficiency, % | 90                               | 95                           | 94                            |
| Regulation method     | Needle valve and deflector plate | Stagger angle of guide vanes | Stagger angle of rotor blades |



# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION

The design is based on nominal parameters (annual or pluriannual averages) that result in an optimized performance (maximum efficiency)

Operating parameters vary with respect to nominal parameters (tributaries flow rates e.g.)

The problem: how to adjust the overall system operation, acting on controllable variables, in order to optimize performance ?

$$\max(\Phi_{\text{sist}}) = \max \sum_k \Phi_k$$

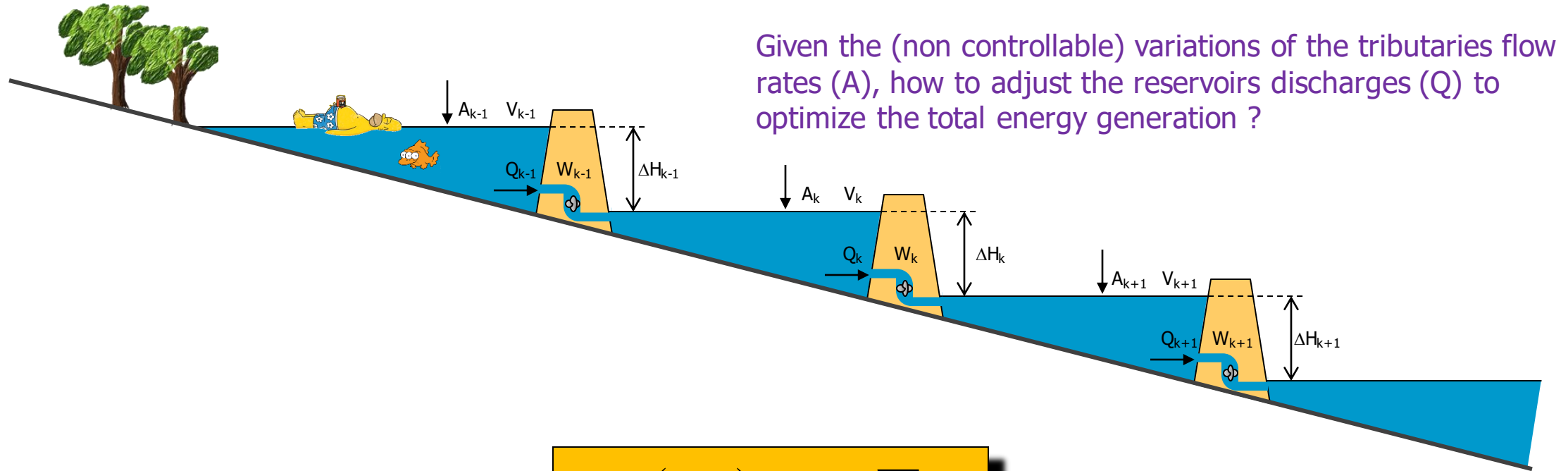
→ performance criterium

$$\max(\Phi_{\text{sist}}) = \max \prod_k \Phi_k$$

→ performance criterium

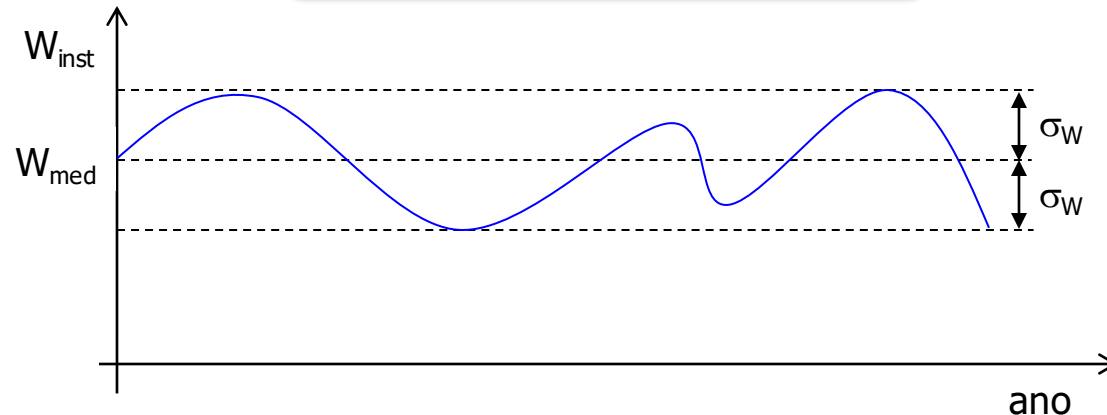


# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION



Given the (non controllable) variations of the tributaries flow rates ( $A$ ), how to adjust the reservoirs discharges ( $Q$ ) to optimize the total energy generation ?

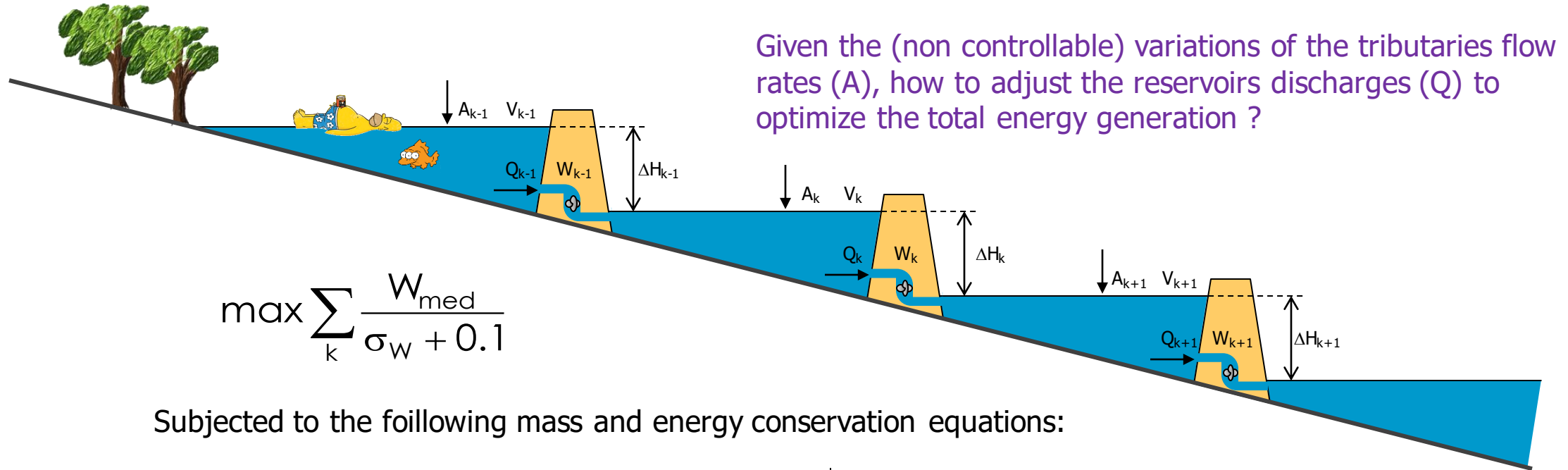
$$\max (\Phi_{\text{sist}}) = \max \sum_k \Phi_k$$



$$\Phi_{\text{sist}} \stackrel{\text{def}}{=} \sum_k \frac{W_{\text{med}}}{\sigma_W + 0.1}$$

$W_{\text{med}} \uparrow \quad \sigma_W \downarrow$

# HYDROELECTRIC POWER PLANTS OPTIMIZED OPERATION



$$\max \sum_k \frac{W_{med}}{\sigma_W + 0.1}$$

Subjected to the following mass and energy conservation equations:

$$\frac{dV_k}{dt} = Q_{k-1} + A_k - Q_k$$

$$\dot{W}_k = \rho g \eta_k Q_k \Delta H_k$$

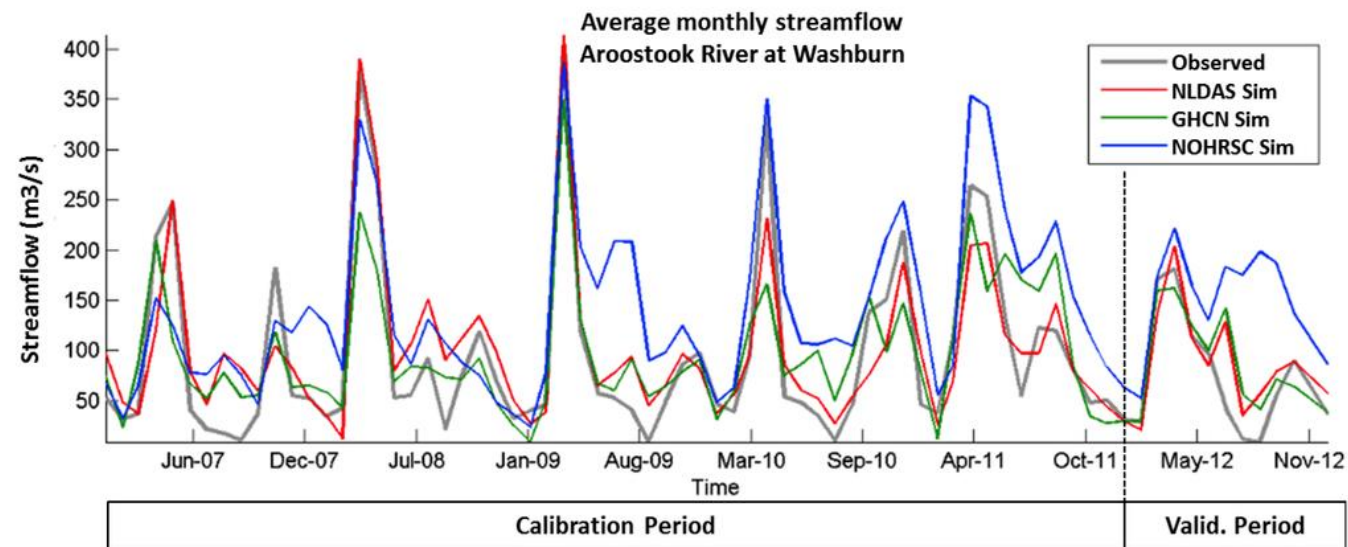
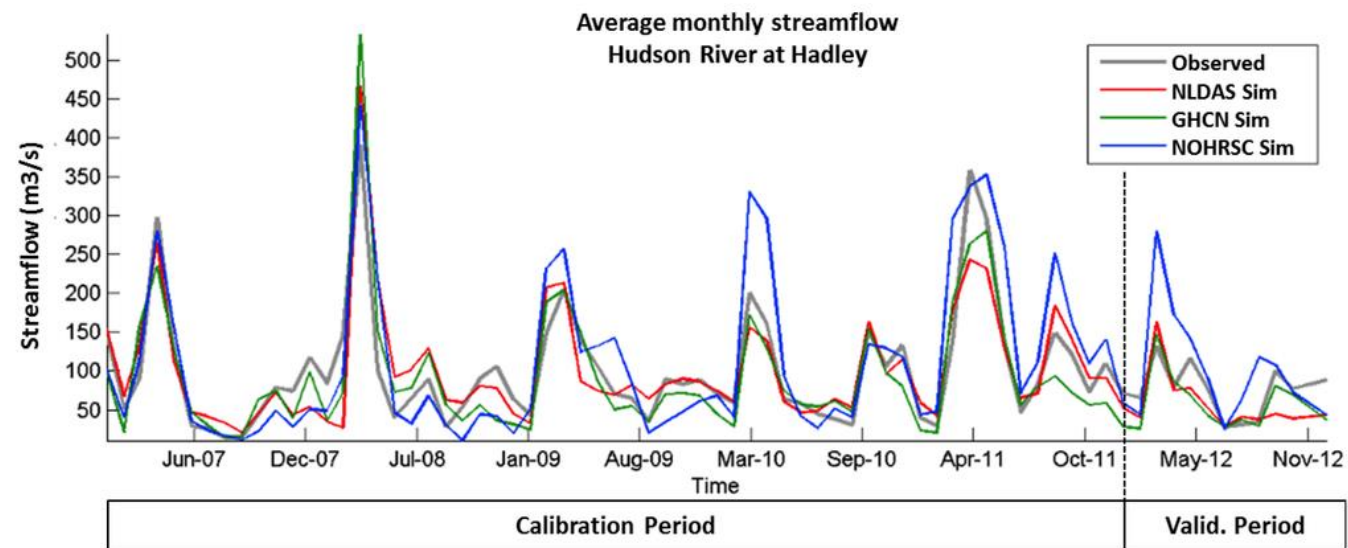
$$E_k = \int_T \dot{W}_k \cdot dt$$

To which the following performance characterization equation apply

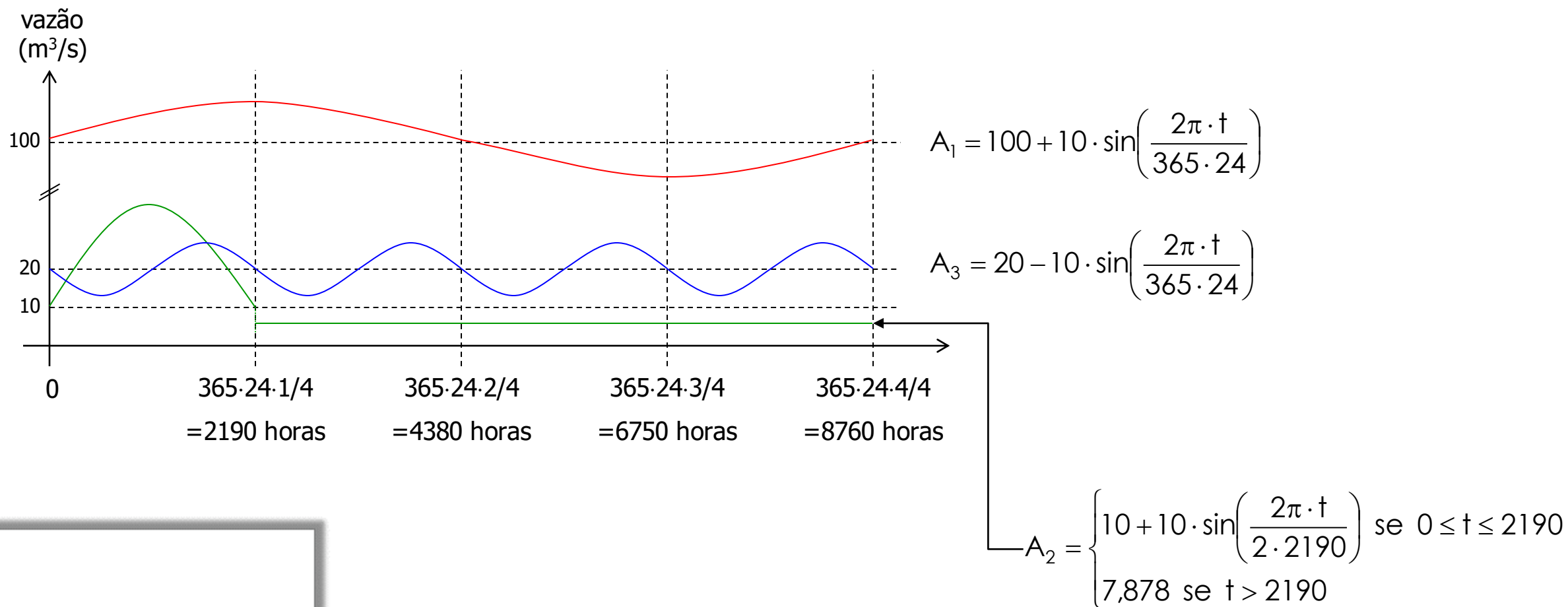
$$\eta_k = \eta_k(N_{sp,k}) \quad \text{Efficiency curves}$$

$$\Delta H_k = f_k(V_k) \quad \text{Water height at in function of the accumulated volume}$$

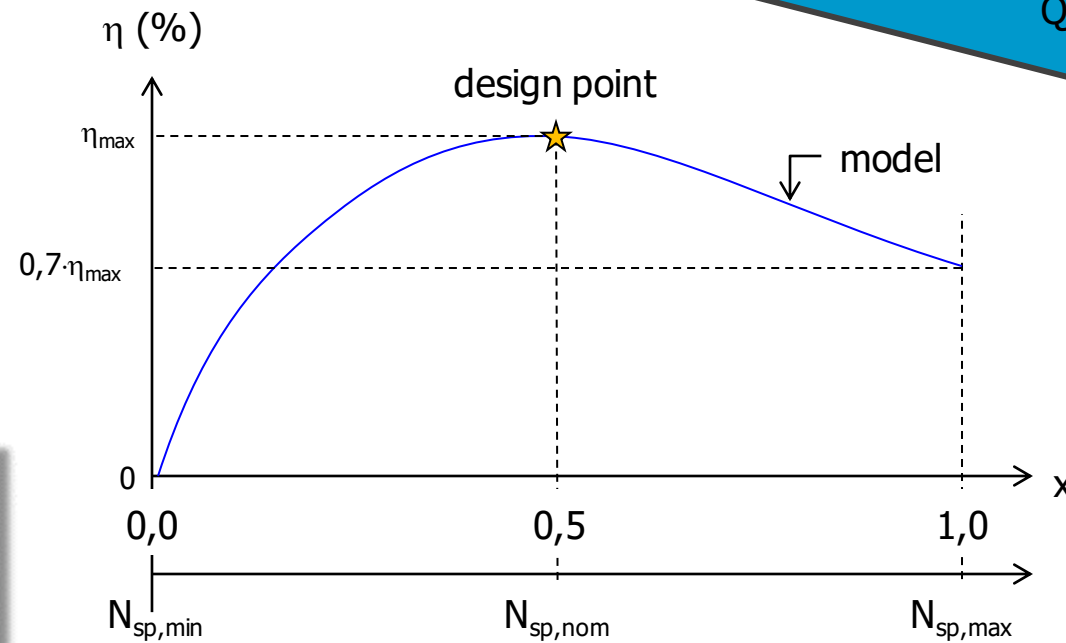
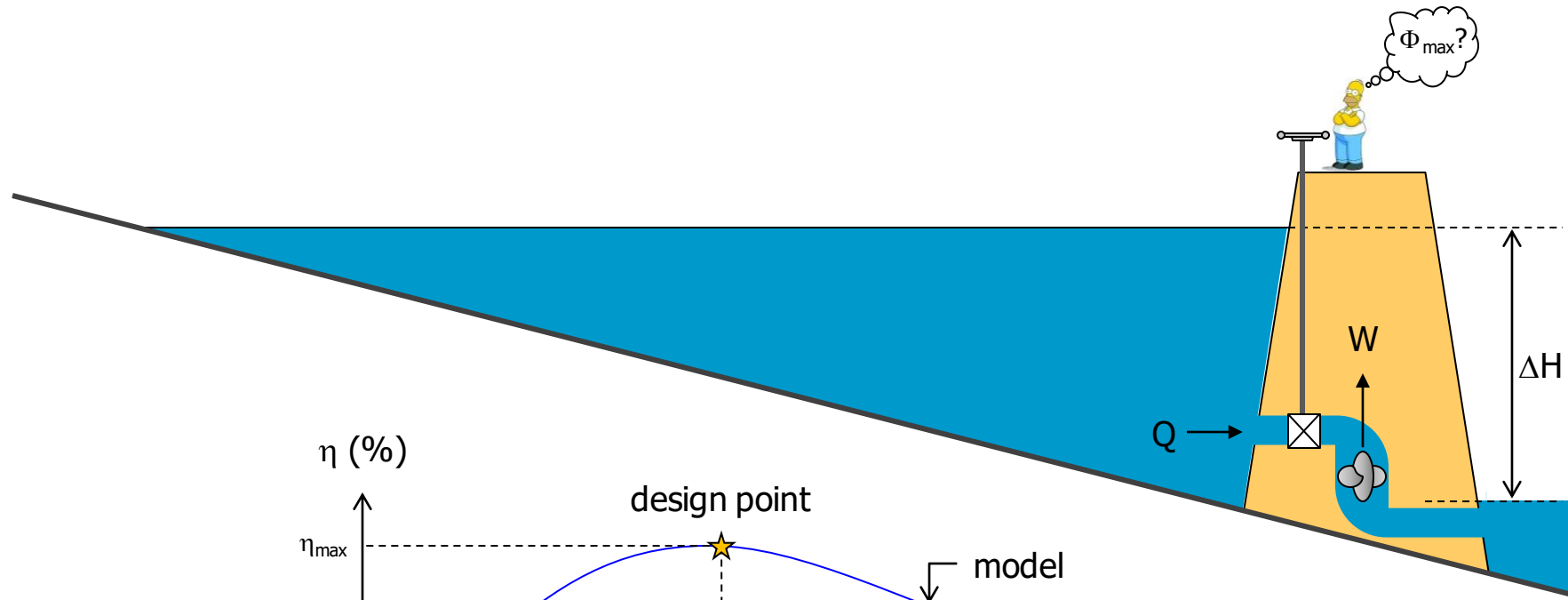
# TRIBUTARIES FLOW RATES: STOCHASTIC MODELS



# TRIBUTARIES FLOW RATES: STOCHASTIC MODELS



# TURBINE PERFORMANCE EQUATIONS



$$\eta \hat{=} \eta_{\max} \cdot x \cdot [a \cdot x^2 + b \cdot x + c]$$

$$a = 2,8 \quad b = -6,8 \quad c = 4,7$$

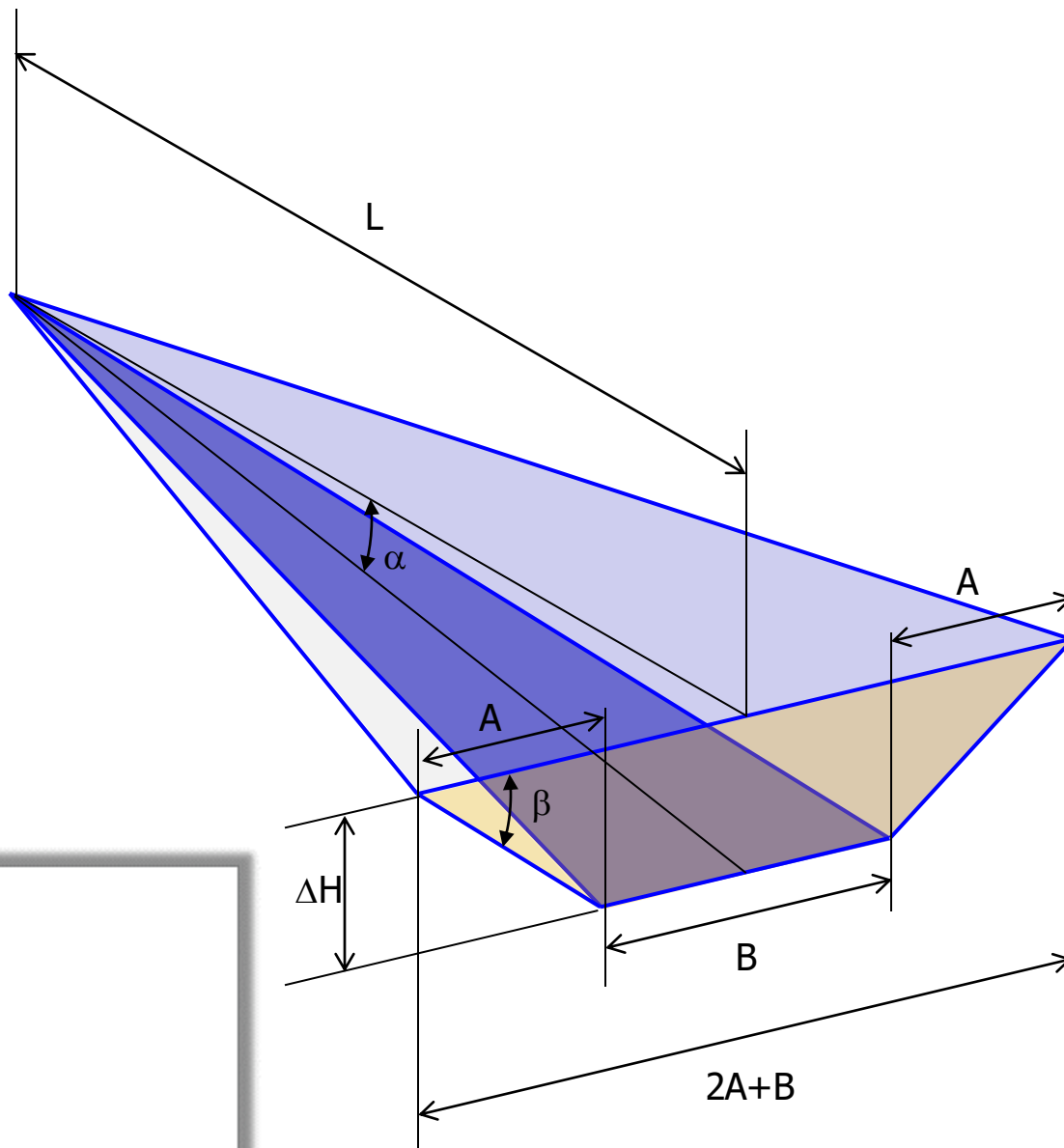
$$N_{sp,nom} = \frac{N_{sp,min} + N_{sp,max}}{2}$$

$$N_{sp} = N \cdot \frac{Q^{1/2}}{(g\Delta H)^{3/4}}$$



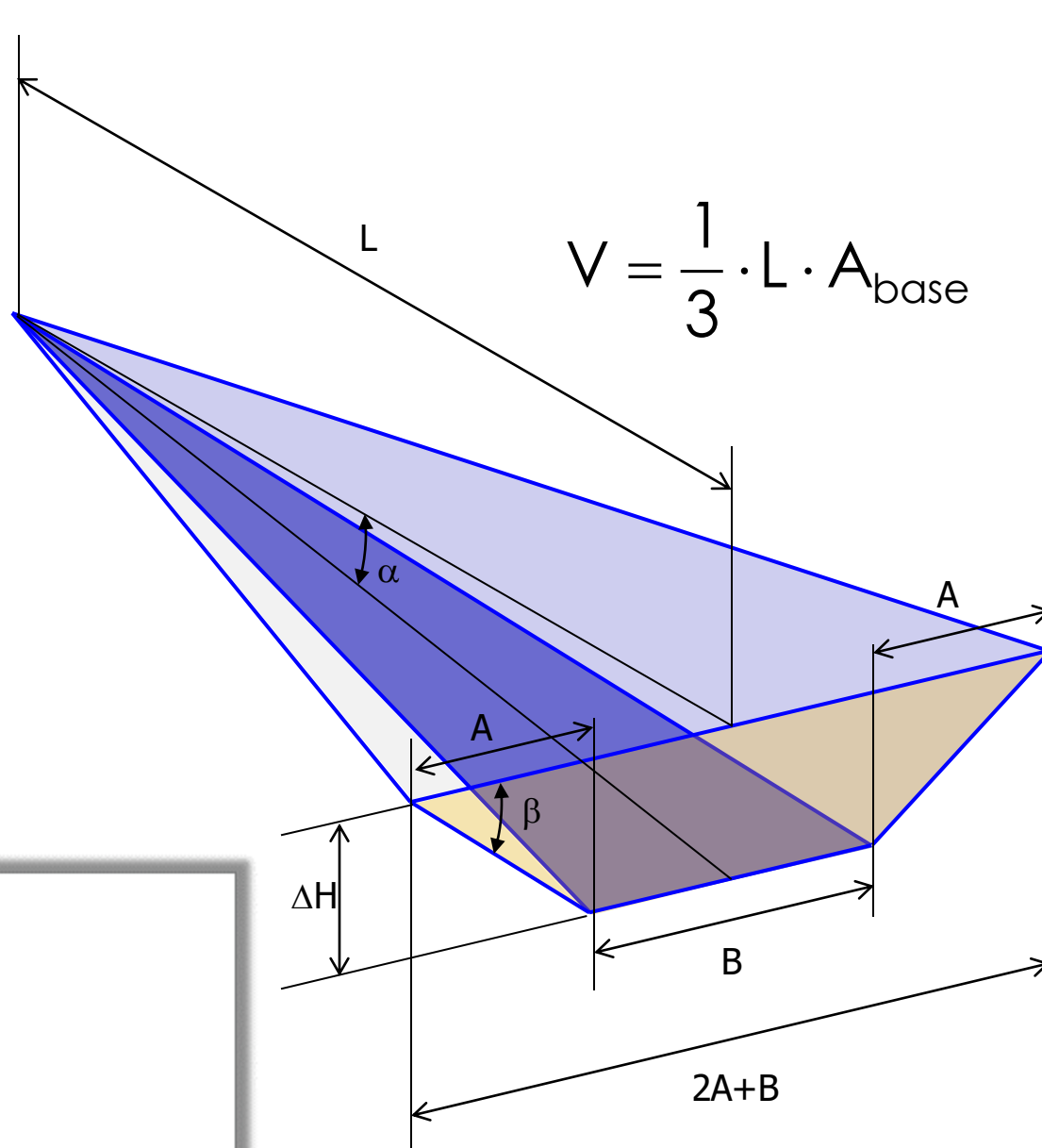


# RESERVOIR CHARACTERIZATION EQUATIONS



$$V = \frac{1}{3} \cdot L \cdot A_{\text{base}}$$

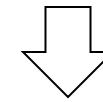
# RESERVOIR CHARACTERIZATION EQUATIONS



$$Tg(\alpha) = \frac{\Delta H}{L} \quad Tg(\beta) = \frac{\Delta H}{a}$$

$$A_{\text{base}} = b \cdot \Delta H + 2 \cdot \frac{a \cdot \Delta H}{2}$$

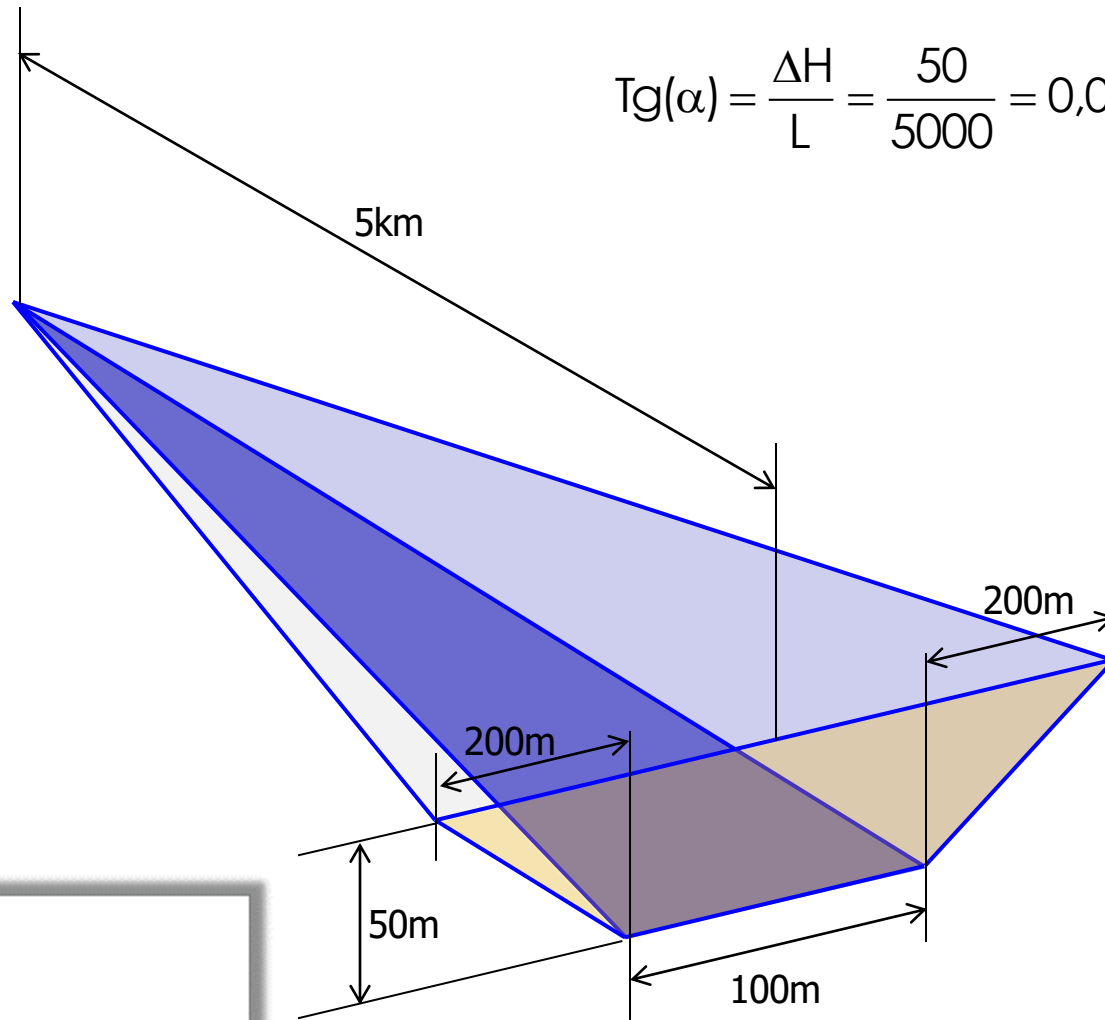
$$V = \frac{L}{3} \cdot \left( b \cdot \Delta H + 2 \cdot \frac{a \cdot \Delta H}{2} \right)$$



$$V = \frac{\Delta H^2}{3 \cdot Tg(\alpha)} \cdot \left( b + \frac{\Delta H}{Tg(\beta)} \right)$$

$$Tg(\alpha) = \frac{\Delta H}{L} \quad Tg(\beta) = \frac{\Delta H}{a}$$

# RESERVOIR CHARACTERIZATION EQUATIONS



$$\text{Tg}(\alpha) = \frac{\Delta H}{L} = \frac{50}{5000} = 0,01 \quad \text{Tg}(\beta) = \frac{\Delta H}{a} = \frac{50}{200} = 0,25$$

$$V = \frac{\Delta H^2}{3 \cdot \text{Tg}(\alpha)} \cdot \left( b + \frac{\Delta H}{\text{Tg}(\beta)} \right)$$

$$V = \frac{50^2}{3 \cdot 0,01} \cdot \left( 100 + \frac{50}{0,25} \right) \cdot 10^{-9}$$

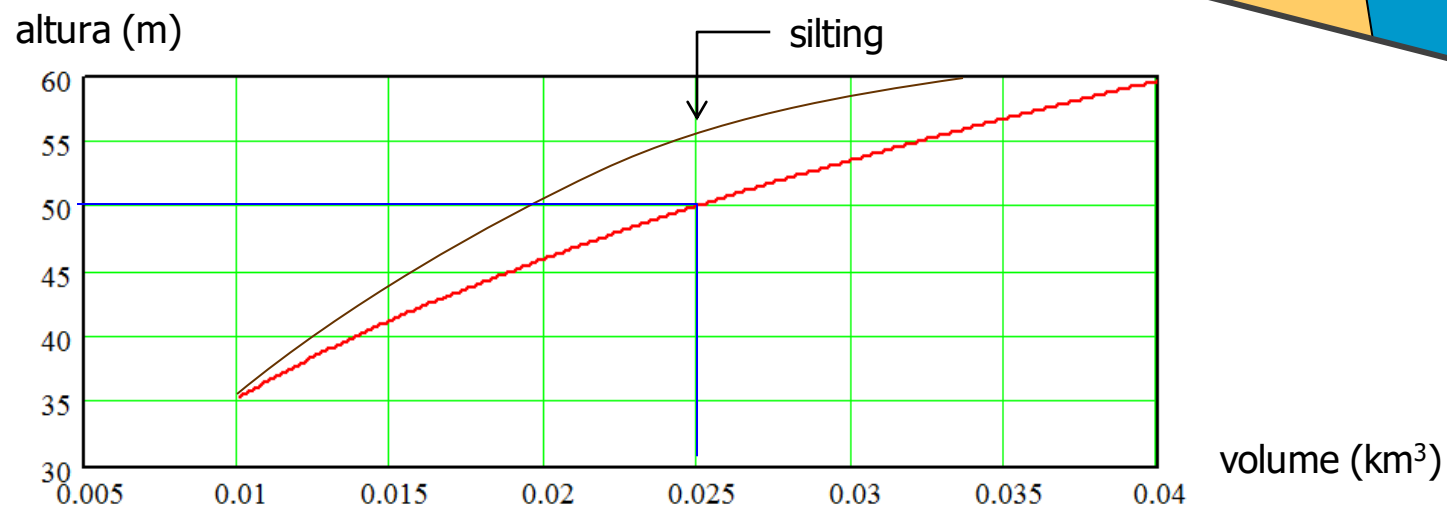
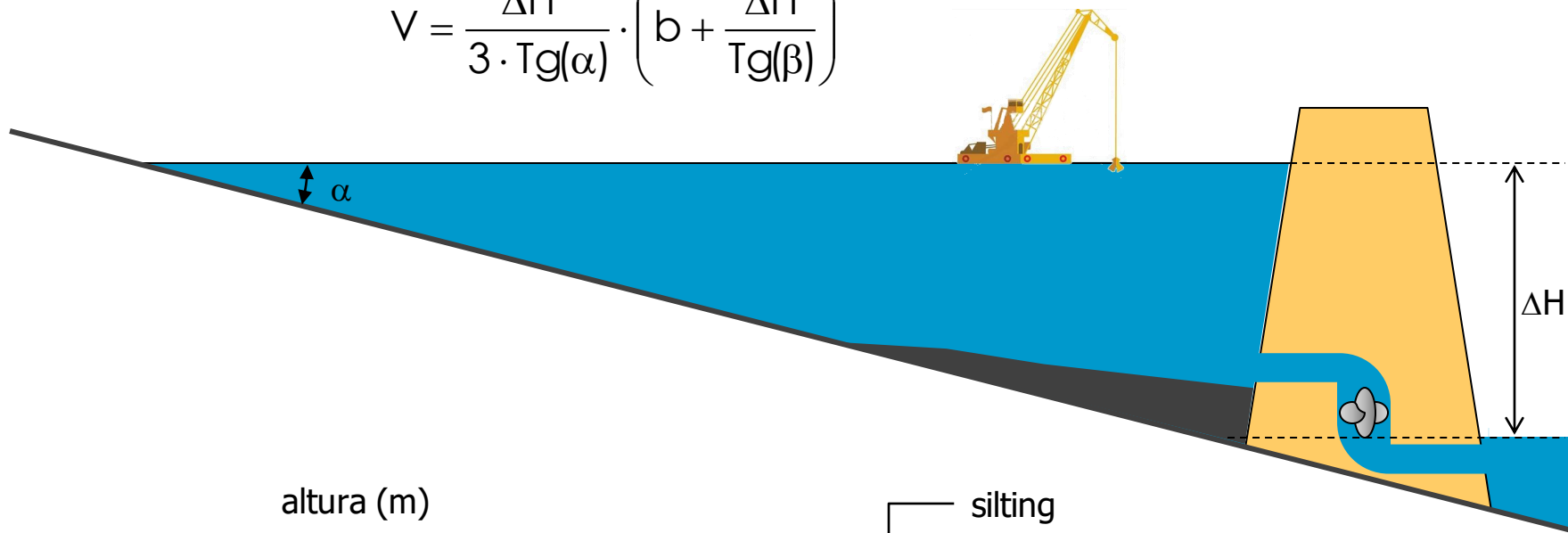
$$V_{\text{nom}} = 0,025 \text{ km}^3$$

$$V_{\text{B.Monte}} = 2,5 \text{ km}^3$$

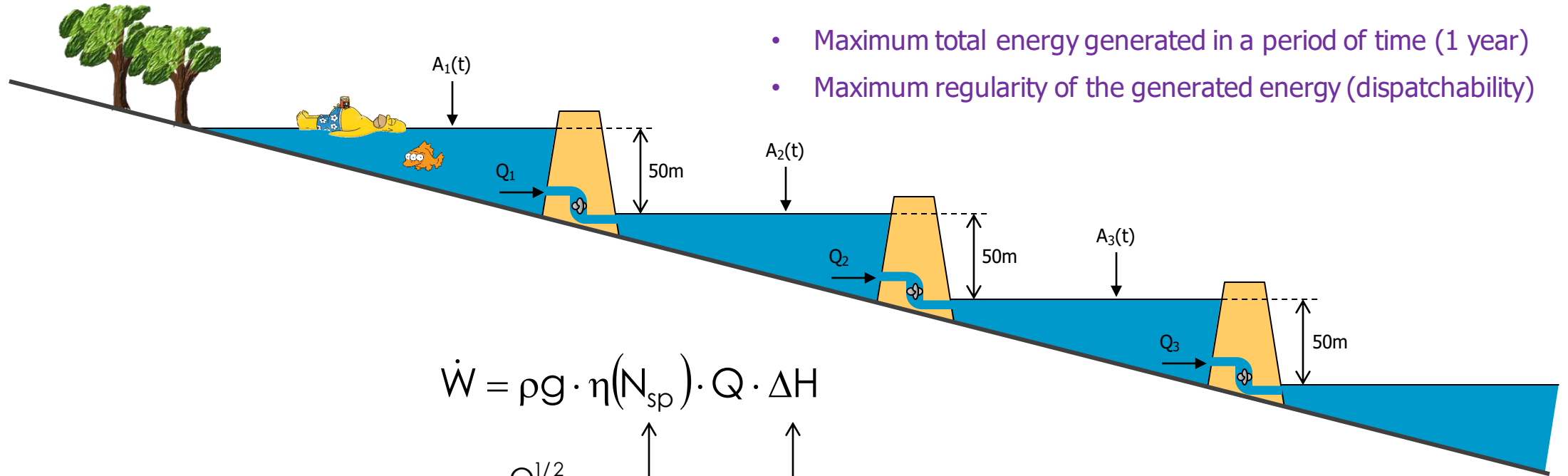
$$V_{\text{Itaipú}} = 19 \text{ km}^3$$

# RESERVOIR CHARACTERIZATION EQUATIONS

$$V = \frac{\Delta H^2}{3 \cdot \text{Tg}(\alpha)} \cdot \left( b + \frac{\Delta H}{\text{Tg}(\beta)} \right)$$



# FORMULATION OF THE OPTIMIZATION PROBLEM



- Maximum total energy generated in a period of time (1 year)
- Maximum regularity of the generated energy (dispatchability)

$$\dot{W} = \rho g \cdot \eta(N_{sp}) \cdot Q \cdot \Delta H$$

$$N_{sp} = N \cdot \frac{Q^{1/2}}{(g\Delta H)^{3/4}}$$

$Q$  e  $\Delta H$  must be so that  $N_{sp} \approx 0,5$   
to have  $\eta_{max}$



$$Q^{1/2} \cdot \Delta H^{-3/4} \approx cte$$

$$\Delta H = \Delta H(Q, A)$$

When  $Q \uparrow \Rightarrow \Delta H \downarrow$   
and when  $A \uparrow \Rightarrow \Delta H \uparrow$



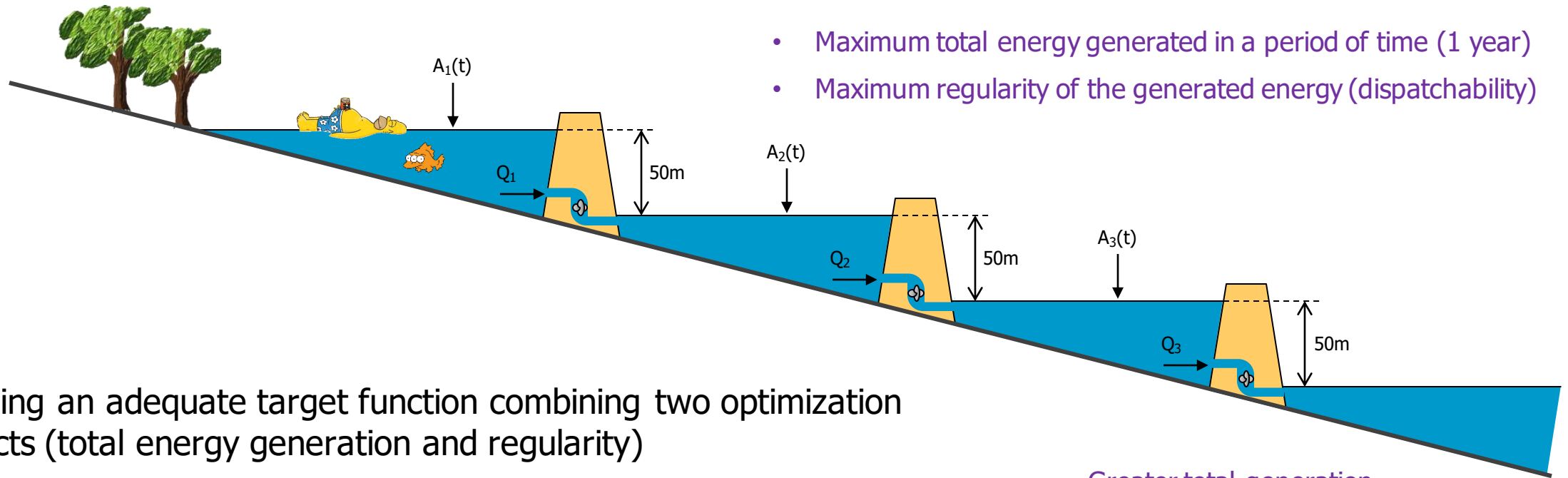
$$Q \cdot \Delta H^{-1} \approx cte$$

**conflicting effects: trade-off solution**





# FORMULATION OF THE OPTIMIZATION PROBLEM



- Maximum total energy generated in a period of time (1 year)
- Maximum regularity of the generated energy (dispatchability)

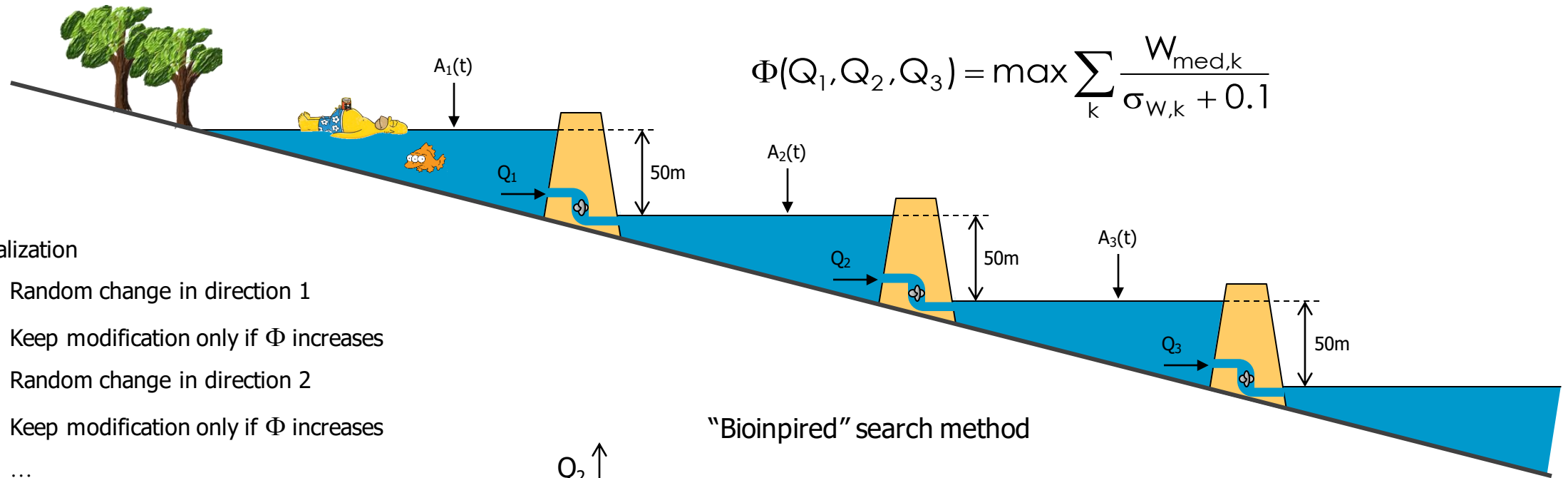
Defining an adequate target function combining two optimization aspects (total energy generation and regularity)

$$Q_1(t), Q_2(t), Q_3(t) \rightarrow \max \sum_k \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$

Greater total generation in the period

Smaller dispersion around the average value

# FORMULATION OF THE OPTIMIZATION PROBLEM



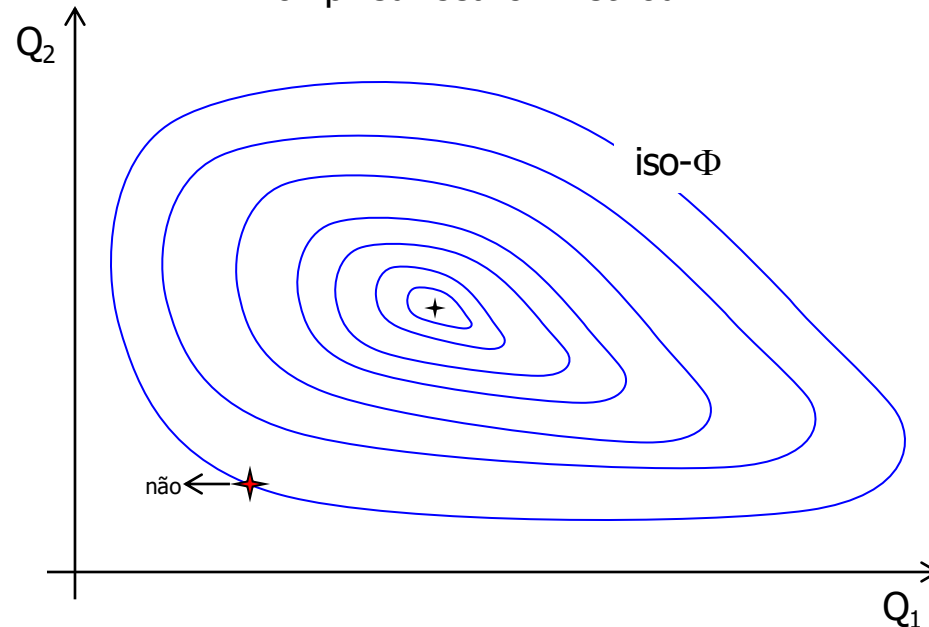
$$\Phi(Q_1, Q_2, Q_3) = \max \sum_k \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$

Initialization

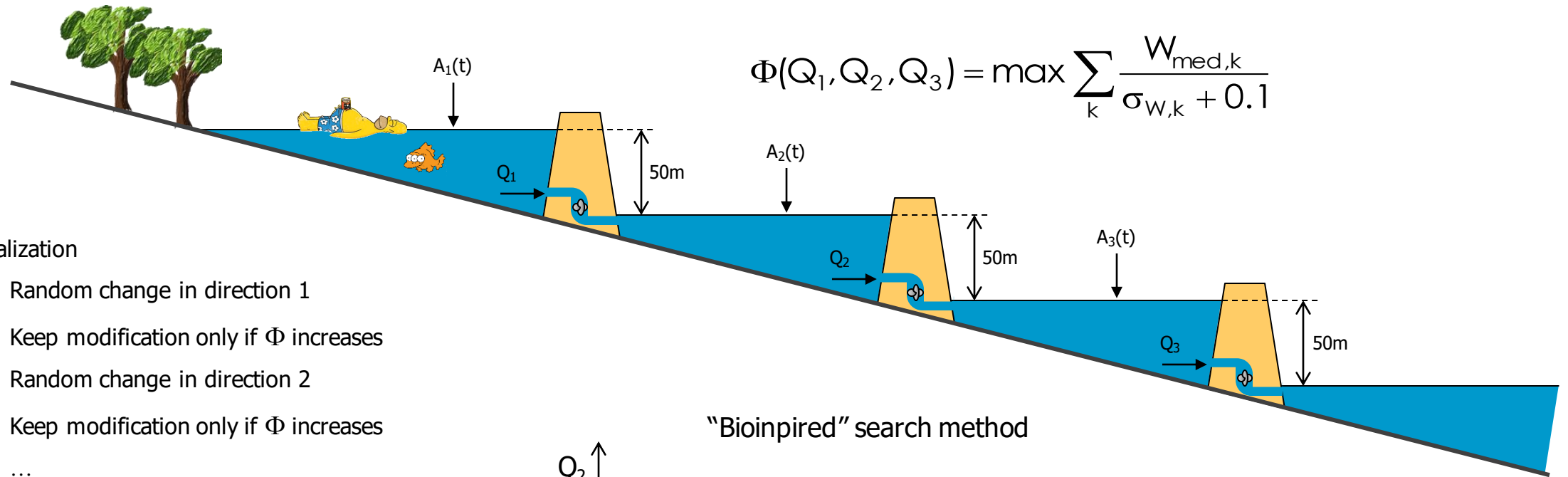
- > Random change in direction 1
- Keep modification only if  $\Phi$  increases
- Random change in direction 2
- Keep modification only if  $\Phi$  increases
- ...
- Random change in direction N
- Keep modification only if  $\Phi$  increases

Iterate

"Bioinpired" search method



# FORMULATION OF THE OPTIMIZATION PROBLEM



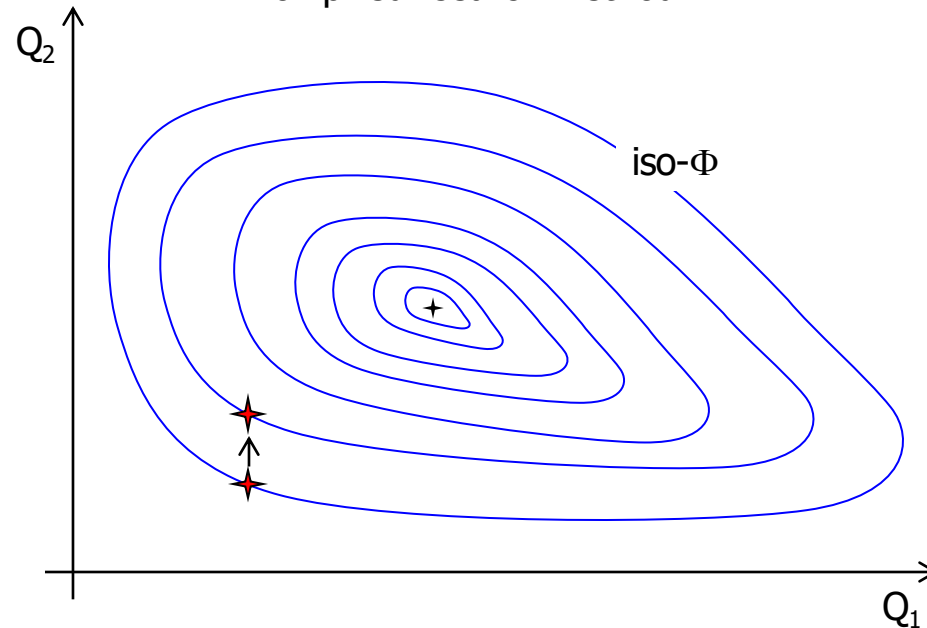
$$\Phi(Q_1, Q_2, Q_3) = \max \sum_k \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$

Initialization

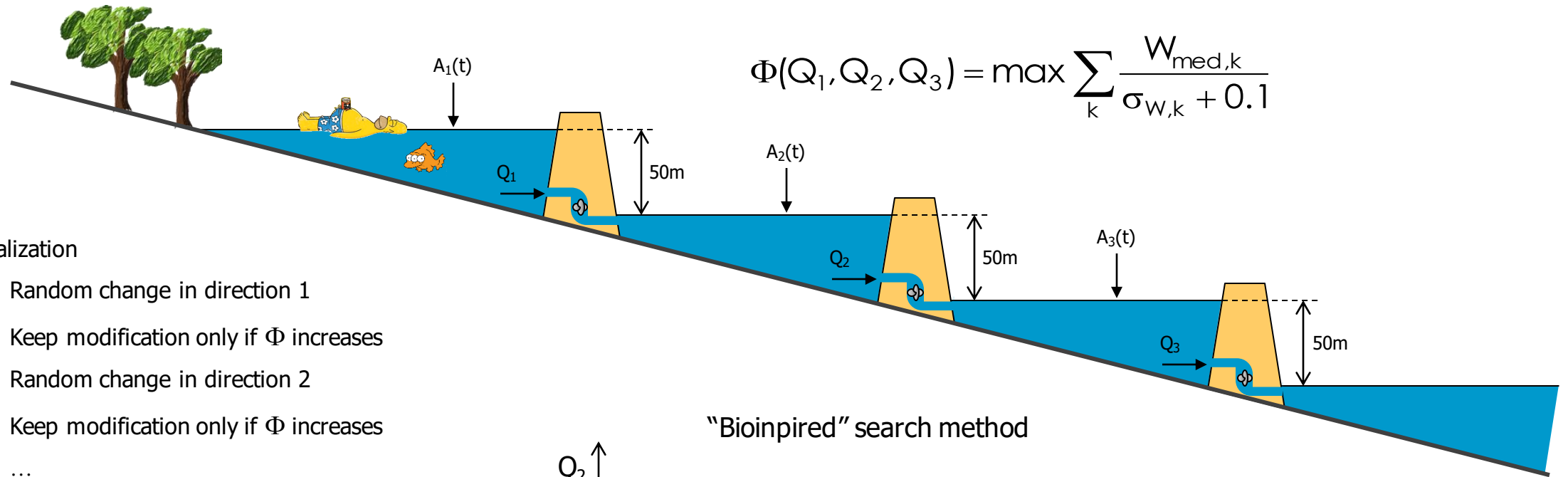
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Iterate

"Bioinpired" search method



# FORMULATION OF THE OPTIMIZATION PROBLEM

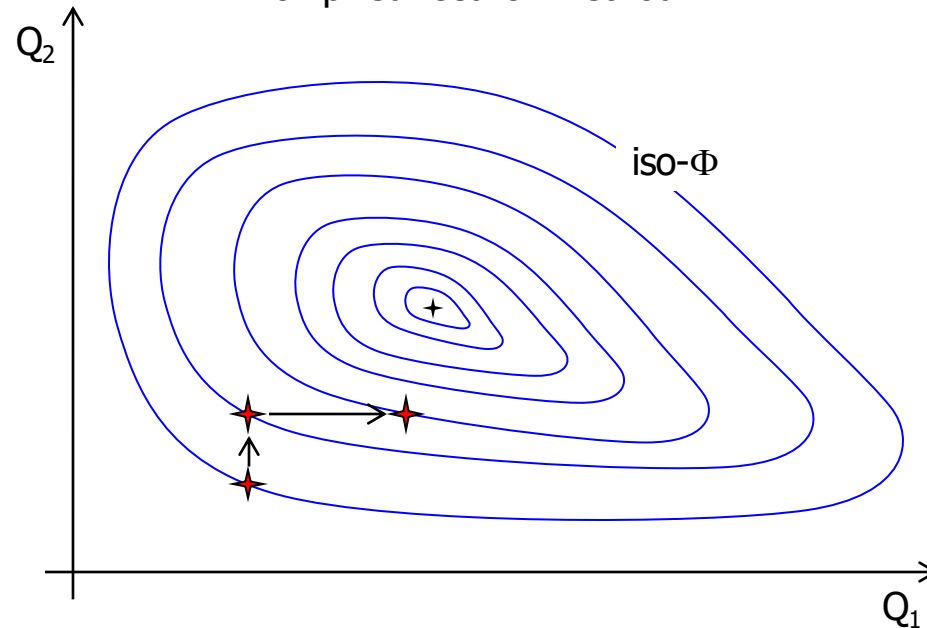


Initialization

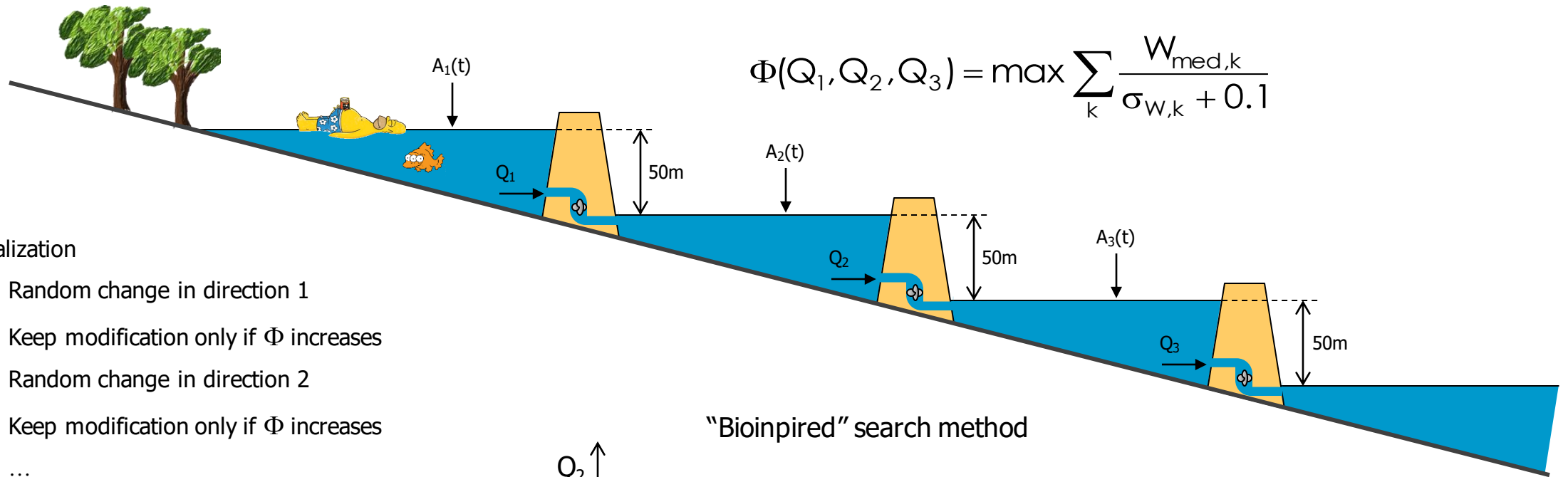
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Iterate

"Bioinpired" search method



# FORMULATION OF THE OPTIMIZATION PROBLEM



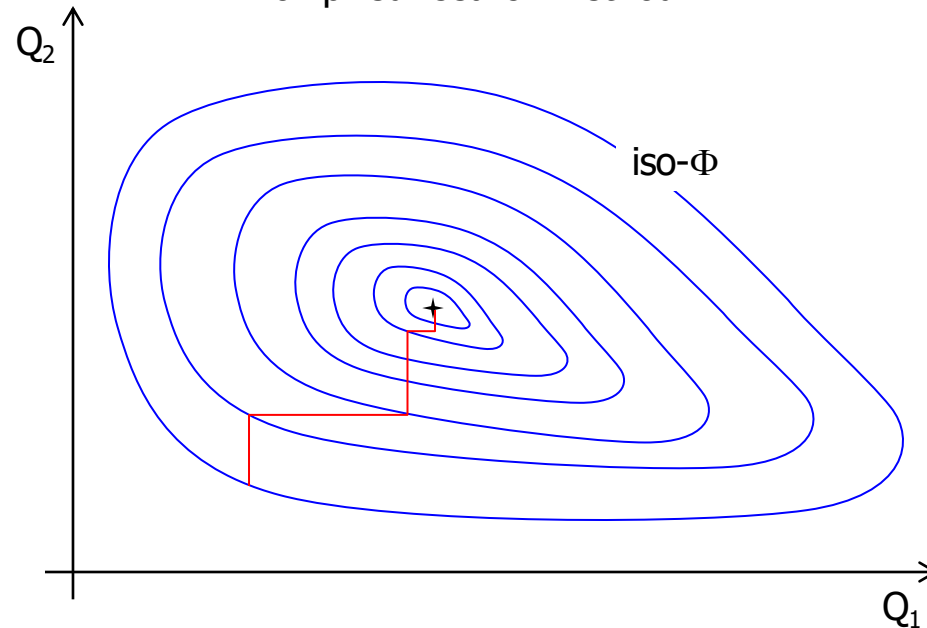
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Initialization

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- ...
- Random change in direction N
- Keep modification only if  $\Phi$  increases

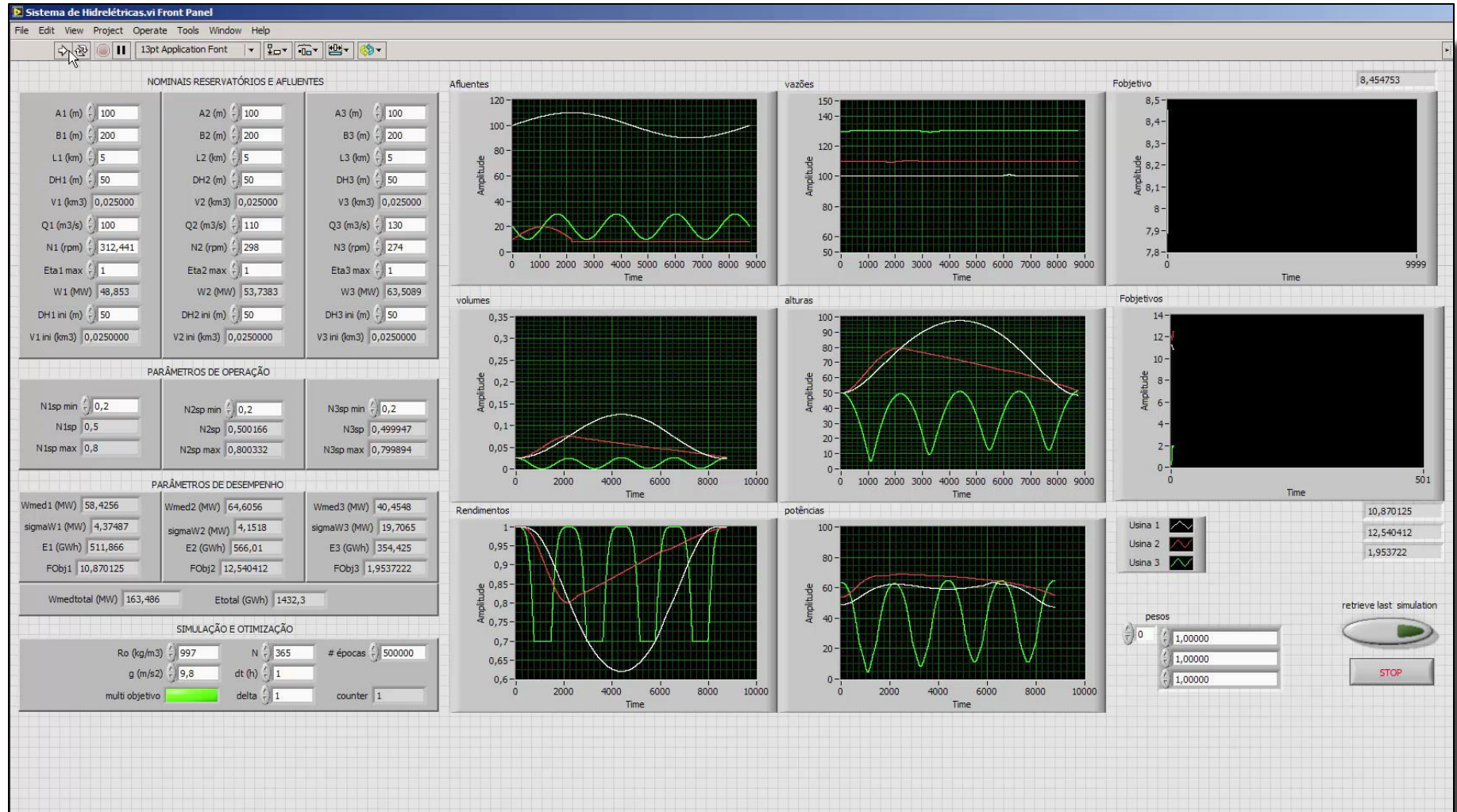
Iterate

"Bioinspired" search method

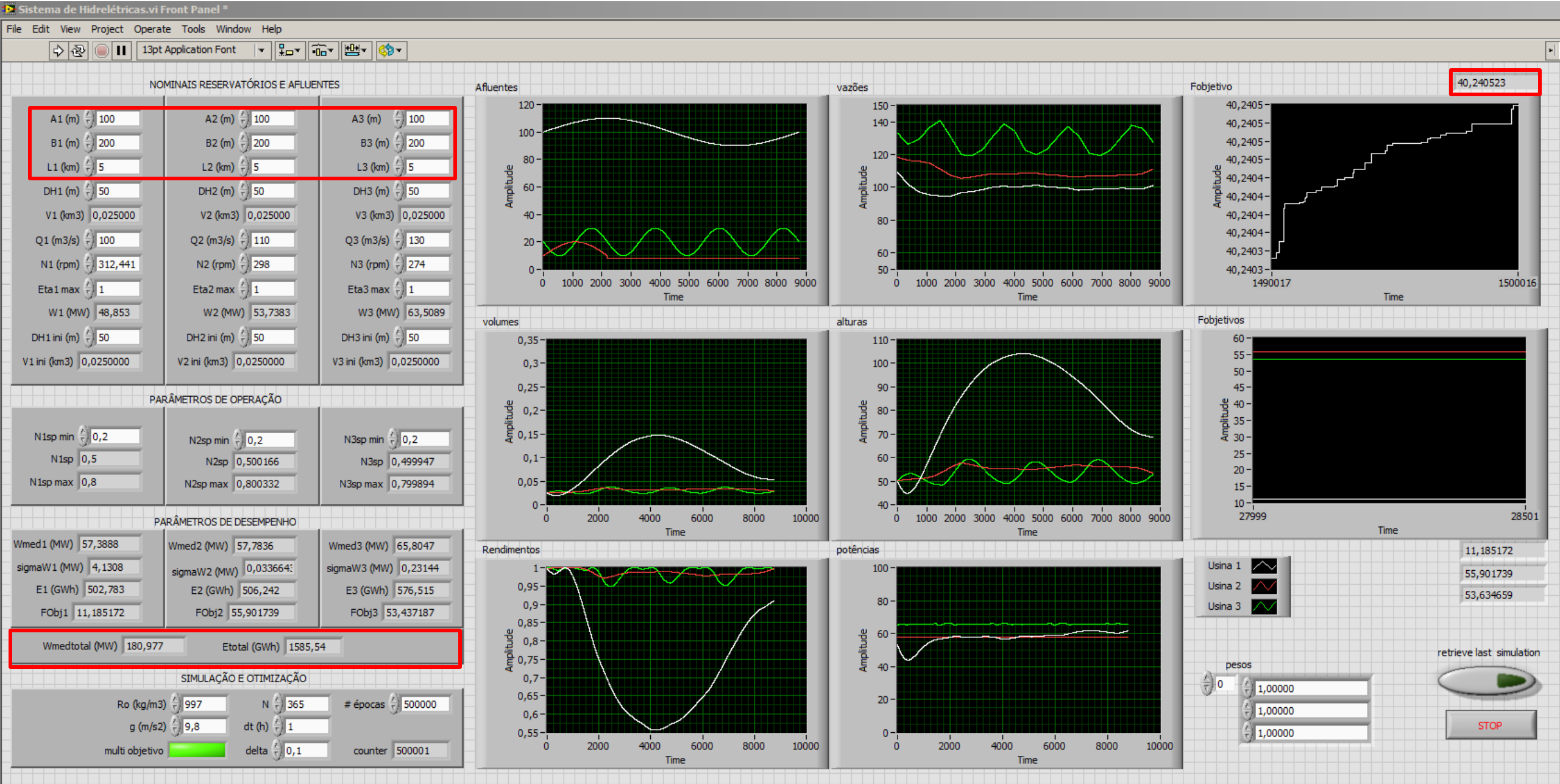




# NUMERICAL SIMULATIONS

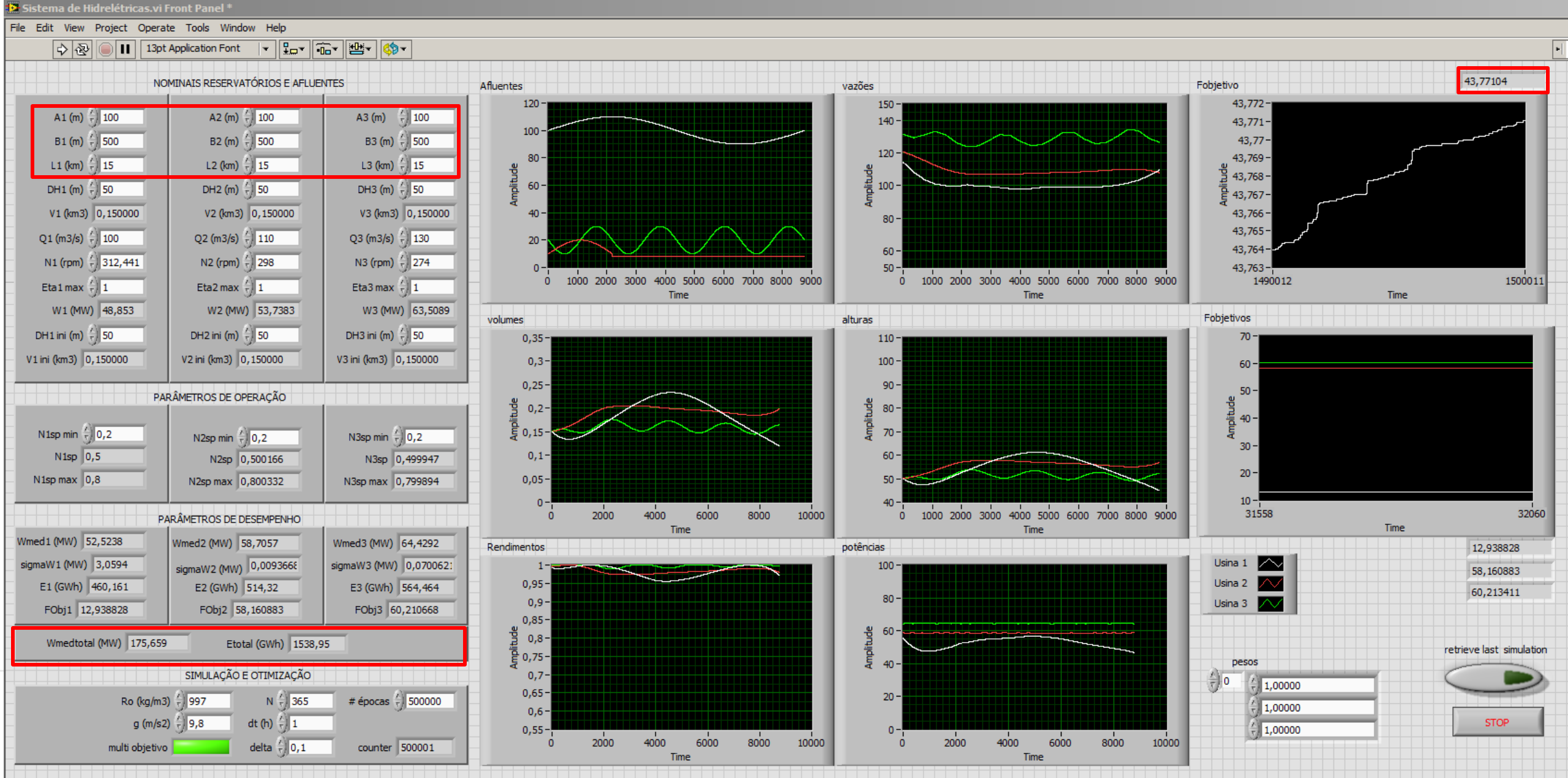


$$\Phi(Q_1, Q_2, Q_3) = \max \sum_k \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$



**SMALL VOLUME RESERVOIR**

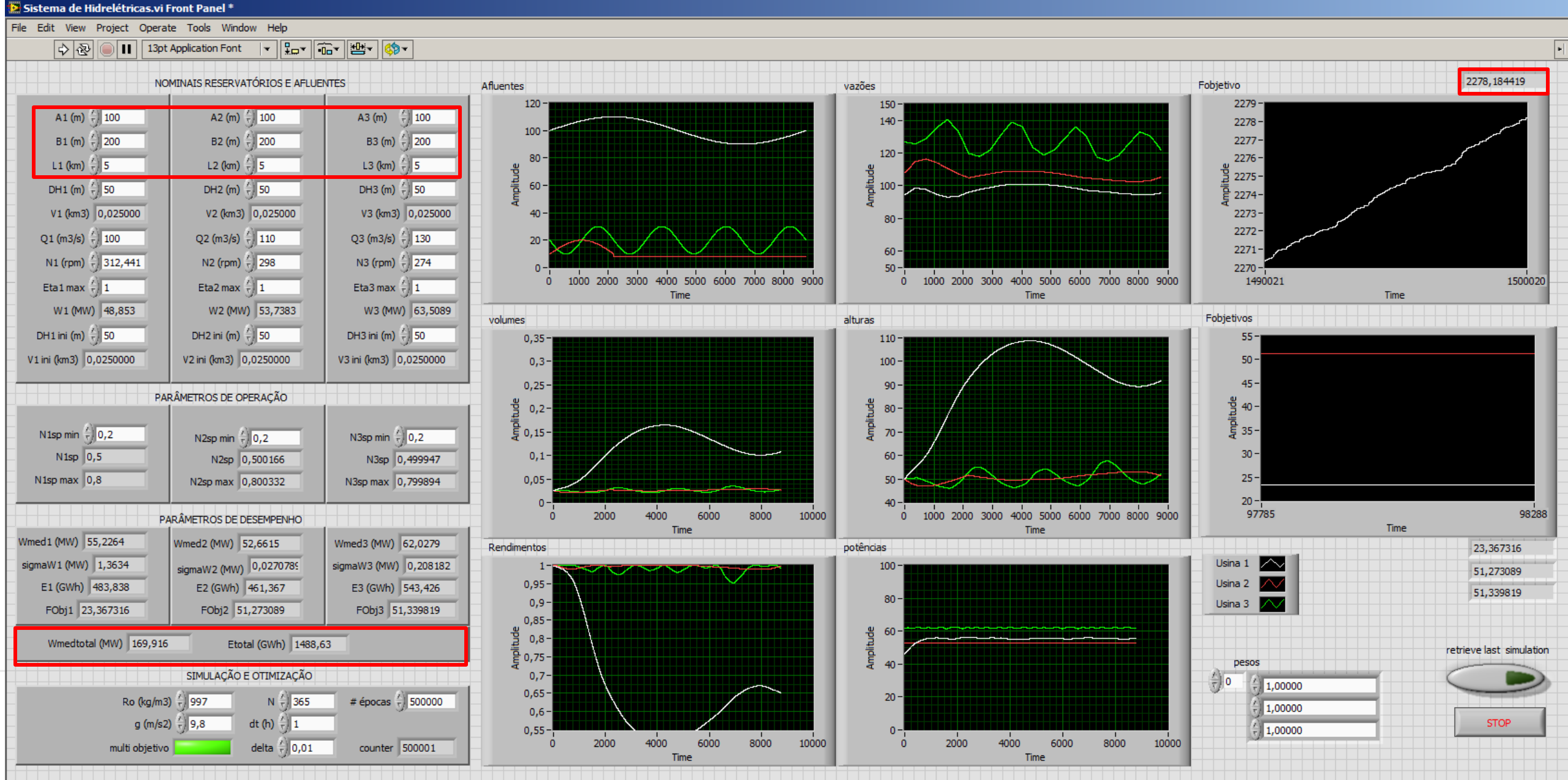
$$\Phi(Q_1, Q_2, Q_3) = \max \sum_k \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$



**LARGE VOLUME RESERVOIR**

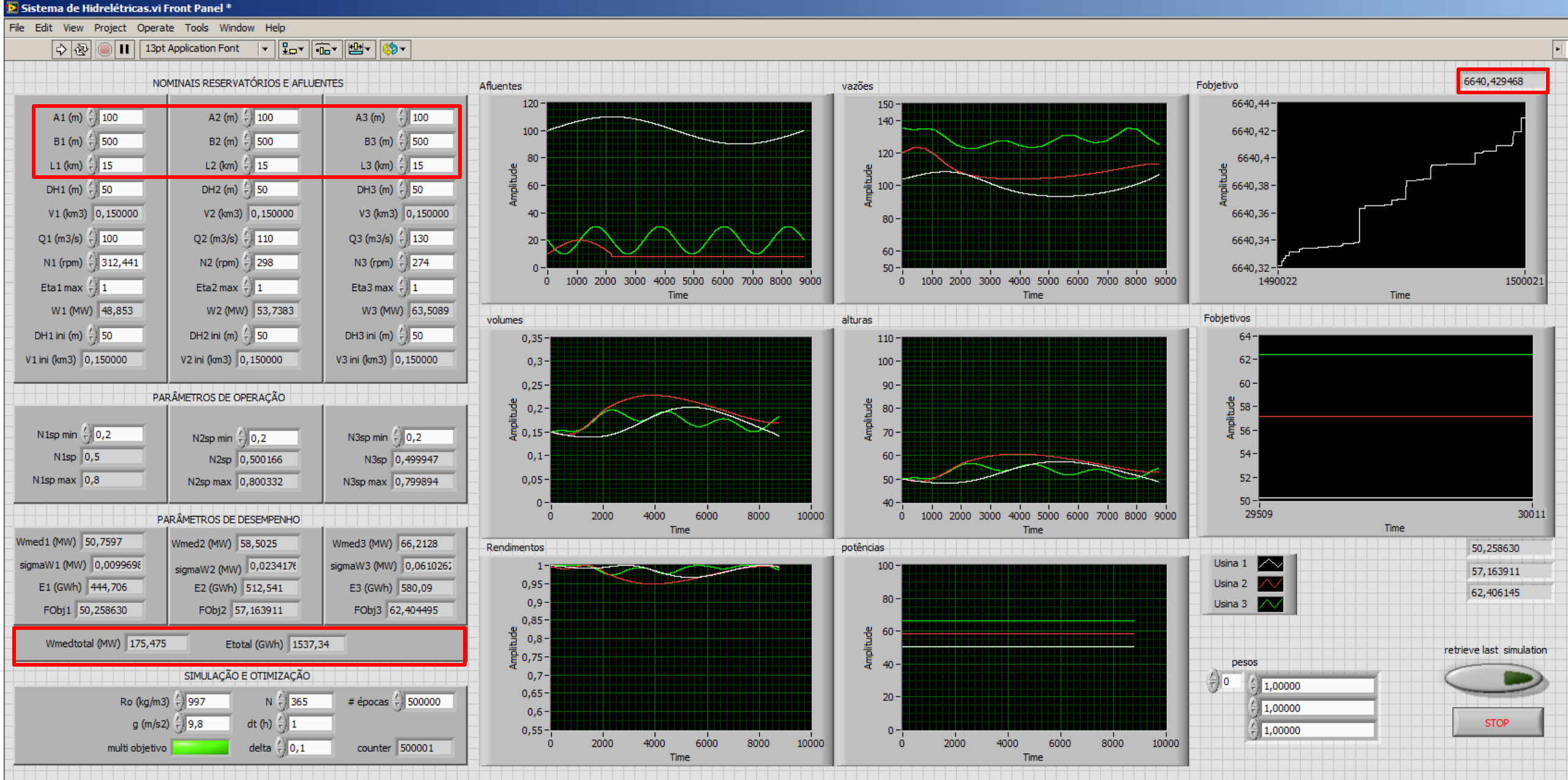
$$\Phi(Q_1, Q_2, Q_3) = \max \sum_k \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$





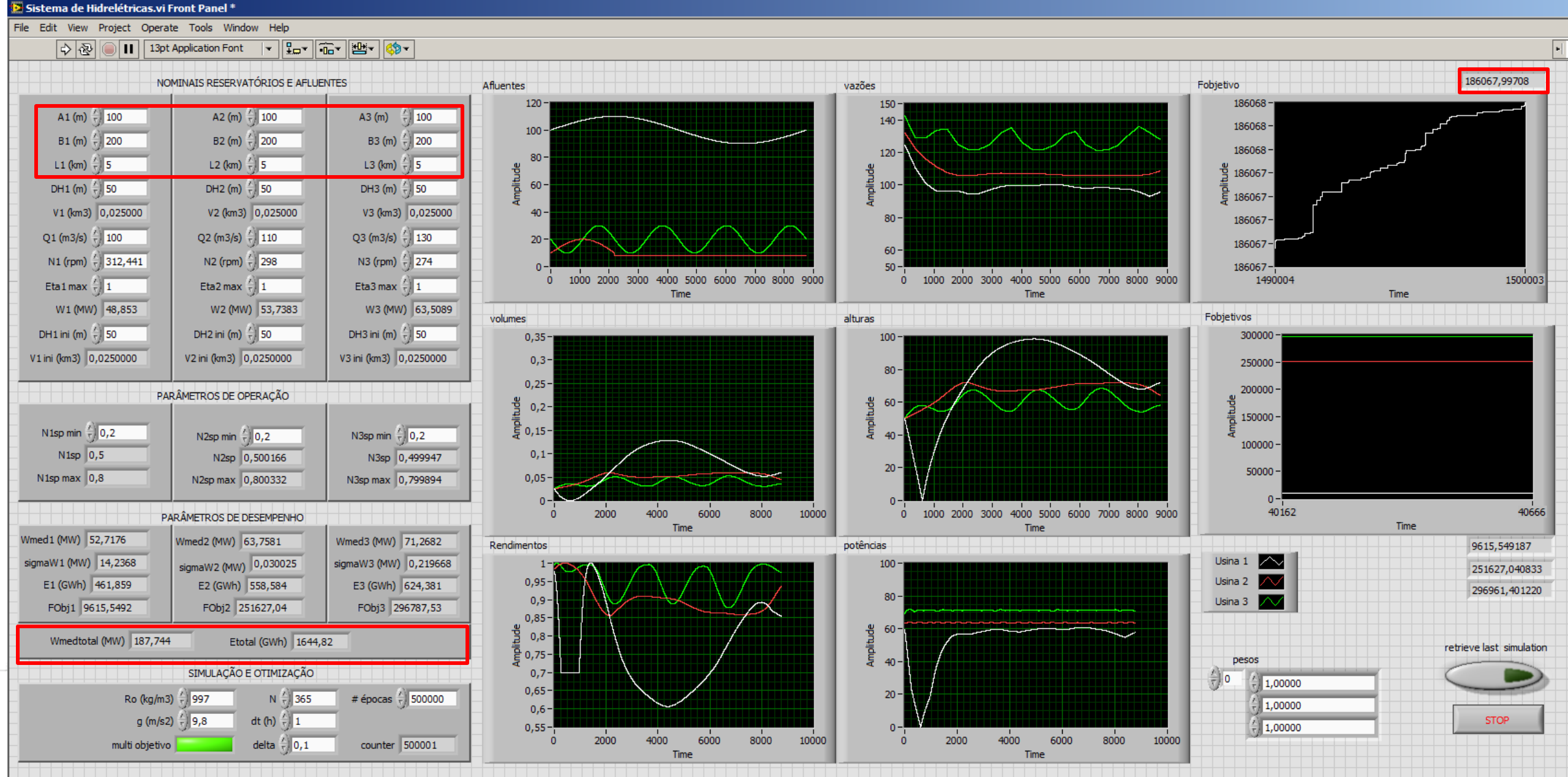
**SMALL VOLUME RESERVOIR**

$$\Phi(Q_1, Q_2, Q_3) = \max \prod_K \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$



**LARGE VOLUME RESERVOIR**

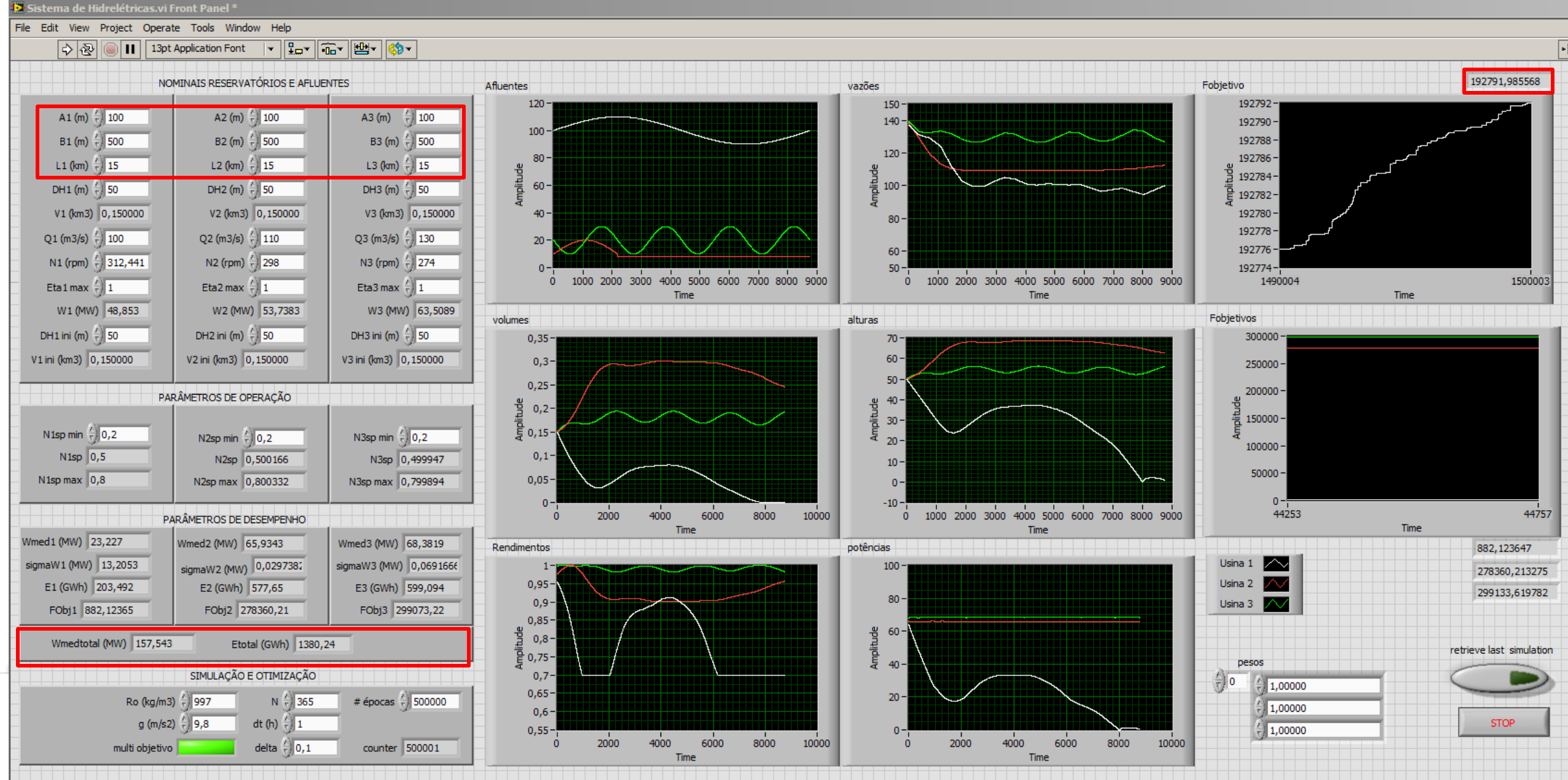
$$\Phi(Q_1, Q_2, Q_3) = \max \prod_K \frac{W_{med,k}}{\sigma_{W,k} + 0.1}$$



**SMALL VOLUME RESERVOIR**

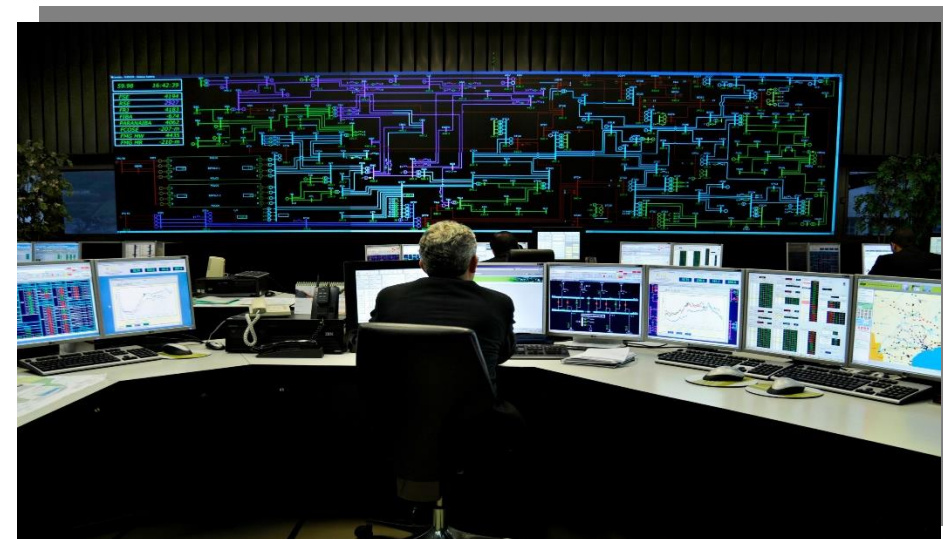
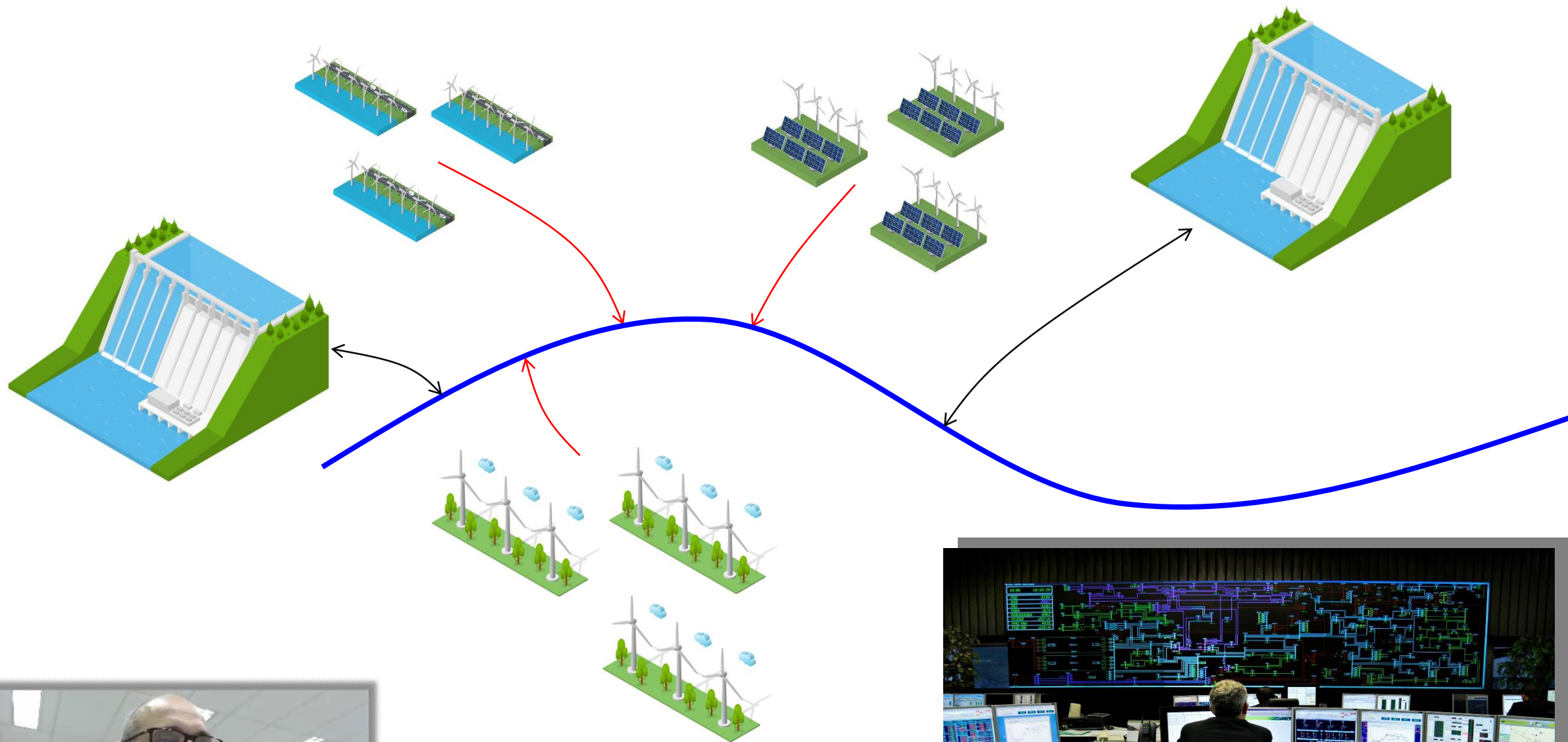
$$\Phi(Q_1, Q_2, Q_3) = \max \sum_k \frac{(W_{med,k})^3}{\sigma_{W,k} + 0.1}$$



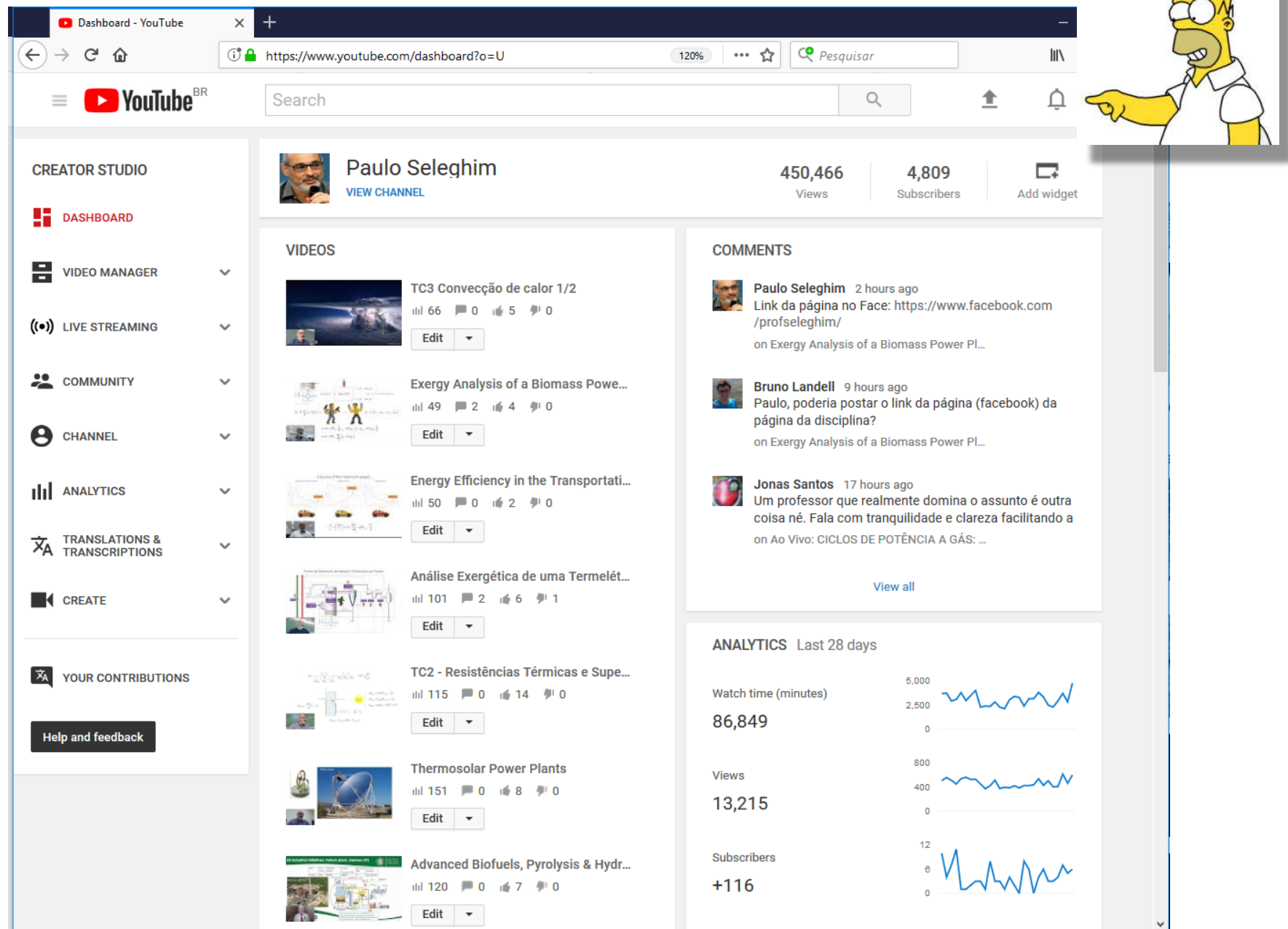


**LARGE VOLUME RESERVOIR**

$$\Phi(Q_1, Q_2, Q_3) = \max \sum_k \frac{(W_{med,k})^3}{\sigma_{W,k} + 0.1}$$



# Getting notifications when PSELEGHIM goes live...



The image shows a screenshot of the YouTube Creator Studio dashboard for the channel "Paulo Seleglim". The browser address bar shows the URL "https://www.youtube.com/dashboard?o=U". The dashboard is divided into several sections:

- CREATOR STUDIO** (Left sidebar):
  - DASHBOARD (selected)
  - VIDEO MANAGER
  - LIVE STREAMING
  - COMMUNITY
  - CHANNEL
  - ANALYTICS
  - TRANSLATIONS & TRANSCRIPTIONS
  - CREATE
  - YOUR CONTRIBUTIONS
  - Help and feedback
- Channel Header**:
  - Profile picture of Paulo Seleglim
  - Channel name: Paulo Seleglim
  - Views: 450,466
  - Subscribers: 4,809
  - Add widget button
- VIDEOS** (Main content area):
  - TC3 Convecção de calor 1/2 (66 views, 0 comments, 5 likes)
  - Exergy Analysis of a Biomass Powe... (49 views, 2 comments, 4 likes)
  - Energy Efficiency in the Transportati... (50 views, 0 comments, 2 likes)
  - Análise Exergética de uma Termelét... (101 views, 2 comments, 6 likes)
  - TC2 - Resistências Térmicas e Supe... (115 views, 0 comments, 14 likes)
  - Thermosolar Power Plants (151 views, 0 comments, 8 likes)
  - Advanced Biofuels, Pyrolysis & Hydr... (120 views, 0 comments, 7 likes)
- COMMENTS** (Right sidebar):
  - Paulo Seleglim (2 hours ago): Link da página no Face: https://www.facebook.com/profseleglim/ on Exergy Analysis of a Biomass Power PL...
  - Bruno Landell (9 hours ago): Paulo, poderia postar o link da página (facebook) da página da disciplina? on Exergy Analysis of a Biomass Power PL...
  - Jonas Santos (17 hours ago): Um professor que realmente domina o assunto é outra coisa né. Fala com tranquilidade e clareza facilitando a on Ao Vivo: CICLOS DE POTÊNCIA A GÁS: ...
- ANALYTICS** (Bottom right):
  - Last 28 days
  - Watch time (minutes): 86,849
  - Views: 13,215
  - Subscribers: +116

A cartoon character of Homer Simpson is pointing at the notification bell icon in the top right corner of the dashboard.