Accepted Manuscript

Epidemiology and the microbiome

Betsy Foxman, Sandra Melnick Seitz, Richard Rothenberg

PII: \$1047-2797(16)30089-8

DOI: 10.1016/j.annepidem.2016.04.007

Reference: AEP 7947

To appear in: Annals of Epidemiology

Received Date: 7 April 2016

Accepted Date: 9 April 2016

Please cite this article as: Foxman B, Seitz SM, Rothenberg R, Epidemiology and the microbiome, *Annals of Epidemiology* (2016), doi: 10.1016/j.annepidem.2016.04.007.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



Epidemiology and the microbiome

Betsy Foxman¹, Sandra Melnick Seitz², Richard Rothenberg³

¹University of Michigan, Ann Arbor, Michigan, United States

²Scientific Review Officer, Center for Scientific Review, National Institutes of Health (Retired)

³Georgia State University, Atlanta, Georgia

Address correspondence to:

Dr. Foxman, ¹Center for Molecular and Clinical Epidemiology of Infectious Diseases,
University of Michigan School of Public Health, 1415 Washington Heights, Ann Arbor,
MI 48109, USA. 734 764 5487; bfoxman@umich.edu

Word count: 1130

Acknowledgements: This work was supported by Ro1 NIDCR Ro1-DE 014899.

Until recently, the great successes of hygiene and sanitation in preventing disease obscured the evidence that some microbes found inside the healthy body were supposed to be there. Clinicians, pubic health workers and scientists were blinded to the importance of their existence. But now, because of the exquisite sensitivity of the polymerase chain reaction combined with fast and accurate genetic sequencing, there is indisputable evidence that microbes are found in healthy body sites previously considered sterile: the lung [1], the uterus [2] and even the blood [3]. Further, there is strong evidence that we need microbes to grow and maintain a healthy digestion system and prime the immune system [4].

If microbial communities can be 'good' then a dysfunctional microbial community can be 'bad.' Dysfunctional communities are hypothesized to be the cause of several conditions characterized by inflammation, such as periodontitis [5], inflammatory bowel disease [6] and bacterial vaginosis [7] where no single infectious agent has been identified as a causal factor. 'Bad' microbial communities are also associated with obesity [8], cancer [9], and a variety of other conditions. Whether 'good' or 'bad,' inhabitants of microbial communities communicate with each other and their environment (the human host), fight battles, and share food webs. Community structures can enhance or inhibit invasion by newcomers and resist attack by the human host and therapies. Despite having a word for this since 1877 (symbiosis), until recently we have overlookedthis critical factor in disease pathogenesis and transmission.

That microbiota contribute to human health as well as disease is a great insight, but we have a long way to go before we can evaluate the relative contribution of microbiota to disease pathways [10]. There are technical hurdles to conducting population-based studies of the microbiome and comparing results across studies:

significant variation can be attributed to differences in sample collection, storage, and processing, as noted by Fu et al. [11], Brook et al. [12], Robinson et al. [13], and Van de Wijgert et al [14] in this issue. Furthermore, microbiome data are highly dimensional and compositional in nature. This poses significant challenges in analysis, as discussed in the articles by Fodor et al [15], Gloor et al [16] and Van der Wijgertet al [14]. The field has yet to settle on the optimal strategy for finding the signal in this complex compositional data, integrating epidemiologic variables, or developing an analog to Koch's postulates for the microbiome. In particular, determining the extent that microbiota are a necessary versus a sufficient cause of a particular outcome needs further exploration.

Although we await results of large, population-based assessments of the microbiome, it is already clear that there are interactions among environmental exposures, human behavior and the microbiota which may confound or modify disease-microbiome associations as reported by Singh and Manning [17], Foxman et al. [18], and Mai [10]. However, it is difficult to evaluate the importance of reports of variation (despite statistical significance) in the microbiome with health and disease, because we do not yet know how much variation is required to affect human health. This poses challenges for estimating sample size and power [19].

Nonetheless, microbiome studies are already generating important new insights that will affect every subspecialty of epidemiology. Because of their role in colonization resistance it is plausible that the microbiota array could be a target for infection control in hospital settings [20]. Less obvious is evidence that lung microbiota may mediate or moderate human response to air pollution [21] that alteration of the gut microbiota may

be co-factors in the development of psychiatric disorders [22] or that microbiota may hold the key to the etiology of chronic inflammatory conditions like inflammatory bowel disease and periodontitis. This is exciting stuff, and has captured the imagination of the public as well as much of the scientific community.

It also offers an opportunity and challenge for epidemiologists. The opportunity is in characterizing the role of the microbiome in health and disease byconducting studies that address the many criticisms of the design, conduct and analysis ofmicrobiome studies. The challenge is in evaluating if and when results of these exciting new studies should be implemented [23]. Epidemiologists are very aware that we often need to make decisions to protect the public's health based on limited information [24] and that those decisions requires a thorough evaluation of the risks and benefits.

Currently, we have limited insight into the magnitude of risks or benefits associated with disruptions of the microbiome. For example, a disruption of the microbiome occurs following a short course of antibiotics [25]. Multiple courses of antibiotics can lead to infection by *Clostridium difficile*, which – most of the time – is treated effectively with an antibiotic. It is only the most severe cases that are resistant to antibiotic therapy that are candidates for fecal transplant. Microbiome research was helpful in establishing the mechanism underlying the risk of *Clostridium difficile* infection associated with antibiotic use, but has made no contribution to our understanding of how to prevent transmission and acquisition: good infection control and antibiotic stewardship [26]. How much does a single course of antibiotics increasea hospitalized individual's risk of *Clostridium difficile* infection by disrupting their

microbiota? And how much does that risk increase following each course of antibiotics? We have no idea.

Similarly, there is substantial evidence that the microbiota of babies delivered by cesarean section is different from those delivered vaginally [27]. Babies born via cesarean section have increased risk of celiac disease, asthma, and type 1 diabetes [28, 29]. Estimated increases in risks range from 20%, to 40%, for diseases that occur from 2.28/1000 (type 1 diabetes) to 8/100 (asthma) children [30, 31]. One hypothesized mechanism is that because an infant born by cesarean has a different microbiota from one born vaginally, the resulting changes in the microbiota –immune system interactions lead to disease. Is this true? We don't know. The supporting evidence is much more tenuous than that regarding antibiotics and *C. difficile*. And even if we could point to specific changes in the microbiota as the underlying mechanism, how much of theincreased risk of these adverse health outcomes (which are multi-factorial) might be attributed to lack of exposure to mother's vaginal microbiota during birth?

A recent study suggests that feeding infants born via cesarean their mother's vaginal microbiome might somewhat restore the microbiome to that of a vaginally borne infant [32]. This also risks exposing the infant to known potential infection, e.g., Group B streptococcus. Are the potential benefits of this intervention worth the risks? A great thing about being an epidemiologist is we know how to design the right studies to answer these questions. We hope that this special issue has inspired you to jump into the fray, but with eyes wide open.

References

- [1] Dickson RP, Erb-Downward JR, Martinez FJ, Huffnagle GB. The Microbiome and the Respiratory Tract. Annu Rev Physiol. 2016;78:481-504.
- [2] Verstraelen H, Vilchez-Vargas R, Desimpel F, Jauregui R, Vankeirsbilck N, Weyers S, et al. Characterisation of the human uterine microbiome in non-pregnant women through deep sequencing of the V1-2 region of the 16S rRNA gene. PeerJ. 2016;4:e1602.
- [3] Potgieter M, Bester J, Kell DB, Pretorius E. The dormant blood microbiome in chronic, inflammatory diseases. FEMS Microbiol Rev. 2015;39(4):567-91.
- [4] Dickson RP, Huffnagle GB. The Lung Microbiome: New Principles for Respiratory Bacteriology in Health and Disease. PLoSPathog. 2015;11(7):e1004923.
- [5] Wang GP. Defining functional signatures of dysbiosis in periodontitis progression. Genome Med. 2015;7(1):40.
- [6] Dalal SR, Chang EB. The microbial basis of inflammatory bowel diseases. J Clin Invest. 2014;124(10):4190-6.
- [7] Kenyon CR, Osbak K. Recent progress in understanding the epidemiology of bacterial vaginosis. CurrOpinObstet Gynecol. 2014;26(6):448-54.
- [8] Kim A. Dysbiosis: A Review Highlighting Obesity and Inflammatory Bowel Disease. J ClinGastroenterol. 2015;49Suppl1:S20-4.
- [9] Nakatsu G, Li X, Zhou H, Sheng J, Wong SH, Wu WK, et al. Gut mucosal microbiome across stages of colorectal carcinogenesis. Nat Commun. 2015;6:8727.
- [10] Mai V and Prosperi M. Moving microbiota research towards establishing causal associations that represent viable targets for effective public health interventions. Annals of Epidemiology.
- [11] Fu BC, Randolph TW, Lim U, Monroe KR, Cheng I, Wilkens LR, Le Marchand L, Hullar MA, Lampe JW. Characterization of the gut microbiome in epidemiologic studies: The Multiethnic Cohort Experience. Annals of Epidemiology.
- [12] Brooks JP. Challenges for case-control studies with microbiome data. Annals of Epidemiology.
- [13] Robinson C, Brotman RM, Ravel J. An untold universe: intricacies of assessing the human microbiome in epidemiological studies. Annals of Epidemiology.
- [14] van de Wijgert J and Jespers V. Incorporating microbiota data into epidemiological models: examples from vaginal microbiota research. Annals of Epidemiology.
- [15] Tsilimigras MC and Fodor AA. Compositional data analysis of the microbiome:

- fundamentals, tools, and challenges. Annals of Epidemiology.
- [16] Gloor GB, Wu JR, Pawlowsky-Glahn V. It's all relative: analyzing microbiome data as compositions. Annals of Epidemiology.
- [17] Singh P and Manning S. Impact of age and sex on the composition and abundance of the intestinal microbiota in individuals with and without enteric infections. Annals of Epidemiology.
- [18] Foxman B, Luo T, Srinivasan U, Ramadugu K, Wen A, Goldberg D, Shedden K, Court R, McNeil DW, Weyant R, Marazita ML. The effects of family, dentition and dental caries on the salivary microbiome. Annals of Epidemiology.
- [19] Hanson B and Weinstock GM. The Importance of the microbiome in epidemiologic research. Annals of Epidemology.
- [20] Pettigrew M, Harris AD, Johnson K. The human microbiota: novel targets for hospital acquired infections and antibiotic resistance. Annals of Epidemiology.
- [21] Adar S, Huffnagle GB, Curtis JL. The respiratory microbiome: an underappreciated player in the human response to inhaled pollutants? Annals of Epidemiology.
- [22] Kelly JR, Clarke G, Cyran JF, Dinan TG. Brain-gut-microbiota axis: challenges for translation in psychiatry. Annals of Epidemiology
- [23] Hanage WP. Microbiology: Microbiome science needs a healthy dose of scepticism. Nature. 2014 Aug 21;512(7514):247-8.doi: 10.1038/512247a.
- [24] Hill AB. The Environment and Disease: Association or Causation? Proc R Soc Med. 1965 May;58(5): 295–300.
- [25] Lange K, Buerger M, Stallmach A, Bruns T. Effects of Antibiotics on Gut Microbiota. Dig Dis. 2016;34(3):260-8.doi: 10.1159/000443360.
- [26] Khanna S, Pardi DS. Clinical implications of antibiotic impact on gastrointestinal microbiota and Clostridium difficile infection. Expert Rev GastroenterolHepatol. 2016 Mar 16:1-8.
- [27] Dominguez-Bello MG, Costello EK, Contreras M, Magris M, Hidalgo G, Fierer N, et al. Delivery mode shapes the acquisition and structure of the initial microbiota across multiple body habitats in newborns. ProcNatlAcadSci U S A. 2010 Jun 29;107(26):11971-5.doi: 10.1073/pnas.1002601107.
- [28] Cho CE, Norman M.Cesarean section and development of the immune system in the offspring. Am J Obstet Gynecol. 2013 Apr;208(4):249-54.doi: 10.1016/j.ajog.2012.08.009.
- [29] Kristensen K, Henriksen L. Cesarean section and disease associated with immune function. J Allergy ClinImmunol. 2016 Feb;137(2):587-90.doi:

10.1016/j.jaci.2015.07.040.

[30] Maahs DM, West NA, Lawrence JM, Mayer-Davis EJ. Epidemiology of type 1 diabetes. EndocrinolMetabClin North Am. 2010 Sep;39(3):481-97.doi: 10.1016/j.ecl.2010.05.011.

[31] Centers for Disease Control and Prevention (CDC). Most Recent Asthma Data, External link http://www.cdc.gov/asthma/most_recent_data.htm2015 [accessed 4/4/2016].

[32] Cassidy-Bushrow AE, Wegienka G, Havstad S, Levin AM, Lynch SV, Ownby DR, et al. Race-specific Association of Caesarean-Section Delivery with Body Size at Age 2 Years. Ethn Dis. 2016 Jan 21;26(1):61-8.doi: 10.18865/ed.26.1.61.