11.3 –

Response of Uncontrolled/Controlled Systems in Macro- and Micro-mechanics

Giuseppe Rega



Department of Structural and Geotechnical Engineering Sapienza University of Rome, Italy

Giuseppe.Rega@uniroma1.it

Coworkers: S. Lenci, P. Goncalves, M. Younis, L. Ruzziconi, D. Orlando, F. Silva

DA	Y	TIME	LECTURE
^	05/11	14.00 -14.45	Historical Framework - A Global Dynamics Perspective in the Nonlinear Analysi of Systems/Structures
Monday		15.00 -15.45	Achieving Load Carrying Capacity: Theoretical and Practical Stability
		16.00 -16.45	Dynamical Integrity: Concepts and Tools_1
day		14.00 -14.45	Dynamical Integrity: Concepts and Tools_2
Wednesday	11//0	15.00 -15.45	Global Dynamics of Engineering Systems
>		16.00 -16.45	Dynamical integrity: Interpreting/Predicting Experimental Response
λ		14.00 -14.45	Techniques for Control of Chaos
Monday	12/11	15.00 -15.45	A Unified Framework for Controlling Global Dynamics
		16.00 -16.45	Response of Uncontrolled/Controlled Systems in Macro- and Micro-mechanic
day		14.00 -14.45	A Noncontact AFM: (a) Nonlinear Dynamics and Feedback Control (b) Global Effects of a Locally-tailored Control
Wednesda	14/11	15.00 -15.45	Exploiting Global Dynamics to Control AFM Robustness
5		16.00 -16.45	Dynamical Integrity as a Novel Paradigm for Safe/Aware Design

Overall aims

Investigating the dynamical integrity of different nonlinear mechanical oscillators

- showing practical examples of erosion profiles
- discussing specific mechanical issues
- discussing dynamical issues

different systems different dynamical phenomena

safe basin of steady dynamics always used

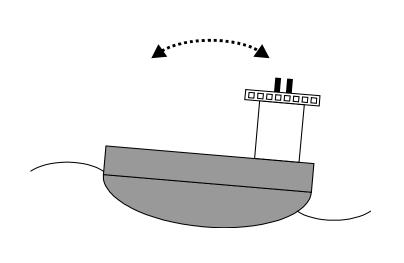
Mechanical and dynamical issues

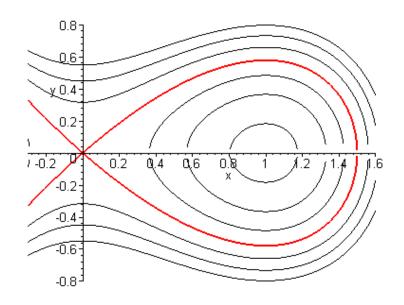
- hardening (Duffing) vs softening (Helmholtz, rigid block,
 MEMS) systems
- symmetric (Duffing, rigid block) vs asymmetric (Helmholtz, MEMS) systems
- smooth (Helmholtz, Duffing, MEMS) vs non-smooth (rigid block) systems
- various "failure" phenomena: capsizing (Helmholtz),
 overturning (rigid block), pull-in (MEMS)
- erosion of system without (rigid block) and with (Helmholtz,
 Duffing, MEMS) internal frequency
- GIM vs IF (rigid block, MEMS)
- harmonic and other excitations

Contents

- 1. Integrity of in-well dynamics (Helmholtz, Duffing, Rigid block, MEMS, Augusti's model, Guyed Tower) → dynamical integrity and control
- 2. Robustness/Integrity of competing (in-in/in-out) attractors (Duffing, Parametrically excited pendulum, Parametrically excited cylindrical shell)

Helmholtz oscillator



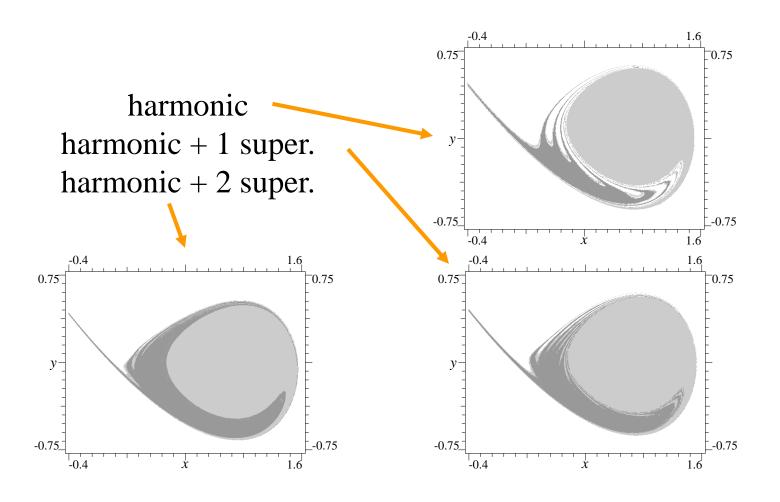


$$\ddot{x} + 0.1\dot{x} - x + x^2 = \gamma(\omega t) = \gamma_1 \sum_{j=1}^{\infty} \frac{\gamma_j}{\gamma_1} \sin(j\omega t + \Psi_j)$$

 $\varepsilon \gamma_1$ = overall excitation amplitude

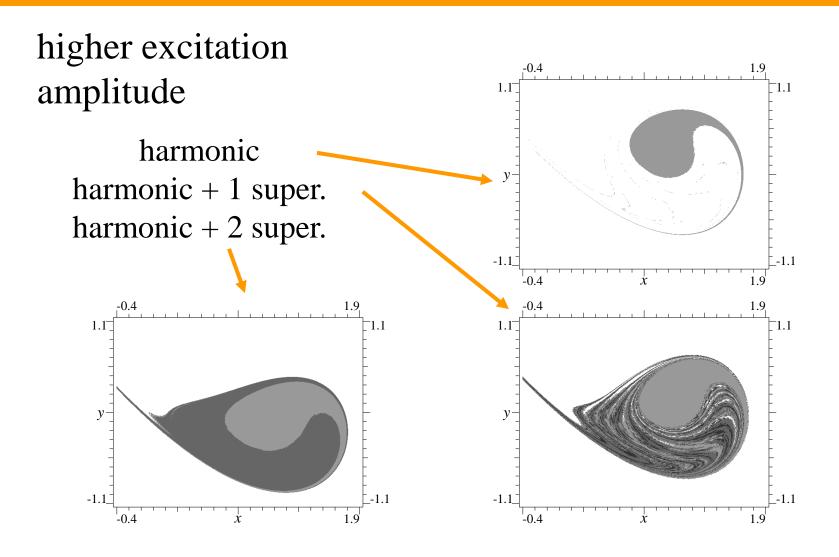
 γ_j/γ_1 ; Ψ_j = parameters governing the shape of the excitation

Helmholtz



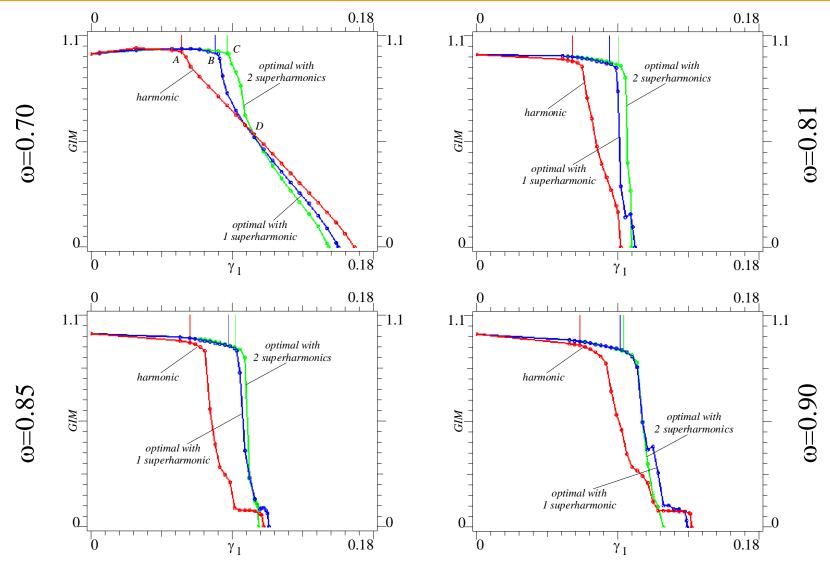
regularization by adding (clever) superharmonics

Helmholtz



strong reduction for fixed excitation amplitude

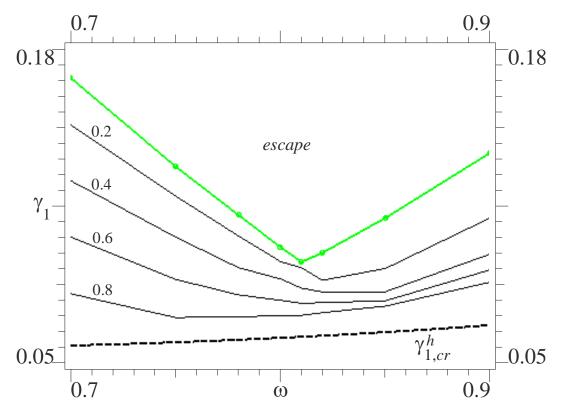
Helmholtz: erosion profiles



- safe basin: classical basin of attraction; integrity through GIM
- ω =0.81 is the vertex of the escape V-region in parameter plane

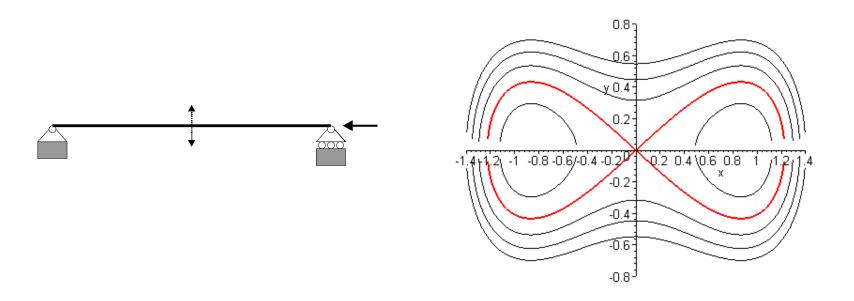
Helmholtz: excitation phase-amplitude chart

contour plot of the GIM with harmonic excitation



- "Dover cliff" profiles
- starting points of erosion = homoclinic bifurcations (OK!)
- sharpness close to the vertex, dullness elsewhere

Duffing oscillator

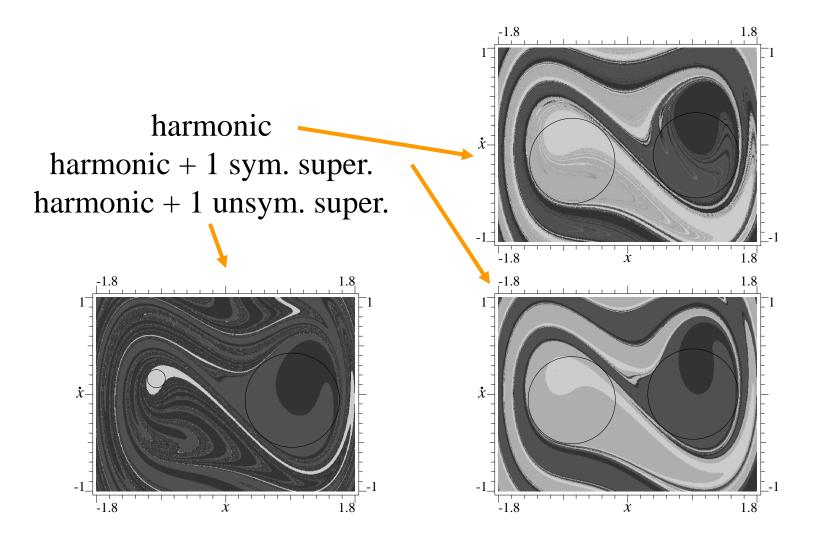


$$\ddot{x} + \varepsilon \delta \dot{x} - \frac{x}{2} + \frac{x^3}{2} = \varepsilon \gamma(\omega t) = \varepsilon \gamma_1 \sum_{j=1}^{\infty} \frac{\gamma_j}{\gamma_1} \sin(j\omega t + \Psi_j)$$

 $\varepsilon \gamma_1$ = overall excitation amplitude

 γ_j/γ_1 ; Ψ_j = parameters governing the shape of the excitation

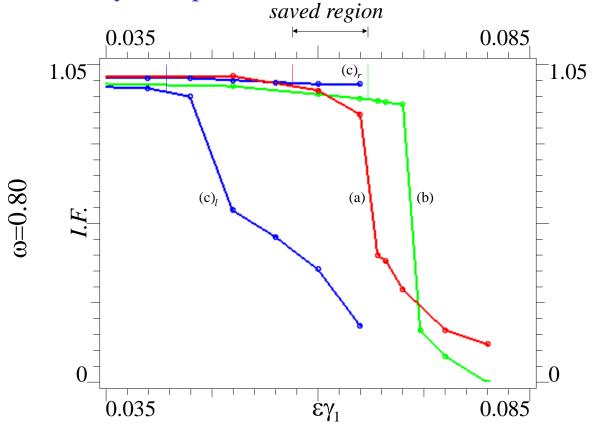
Duffing



localized vs scattered reduction of fractality

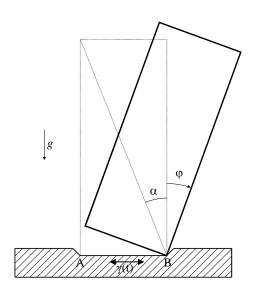
Duffing: erosion profiles

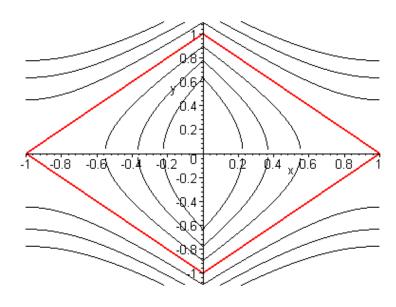
- (a) harmonic, (b) harmonic + 1 sym. super.,
- (c) harmonic + 1 unsym. super. (in the two different wells)



- safe basin: classical basin of attraction; integrity through IF
- ω =0.80 is very close to the vertex of the escape V-region

Rigid block





Heteroclinic bifurcation

rocking around the left corner:

$$\ddot{\varphi} + \delta \dot{\varphi} - \varphi - \alpha + \gamma(t) = 0, \quad \varphi < 0,$$

rocking around the right corner:

$$\ddot{\varphi} + \delta \dot{\varphi} - \varphi + \alpha + \gamma(t) = 0, \quad \varphi > 0,$$

impact (Newton law):

$$\dot{\varphi}(t^{+})=r\dot{\varphi}(t^{-}), \varphi=0,$$

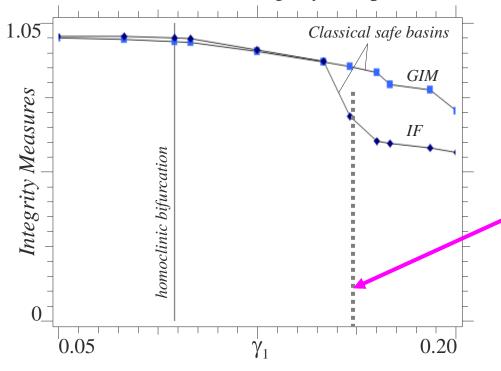
T=2p/w-periodic generic excitation:

$$\gamma(t) = \sum_{j} \gamma_{j} \cos(j\omega t + \psi_{j})$$

overturned positions $\phi = \pm \pi/2$

Rigid block: erosion profiles, different measures

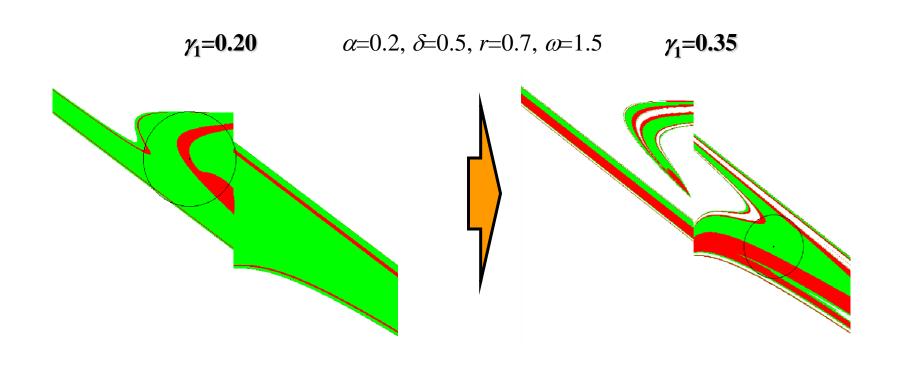
 α =0.2, δ =0.02, r=0.95, ω =3.5 (slightly damped) – harmonic excitation



- no resonance frequency around which focusing numerical analyses
- likely effect of a secondaryglobal bifurcation

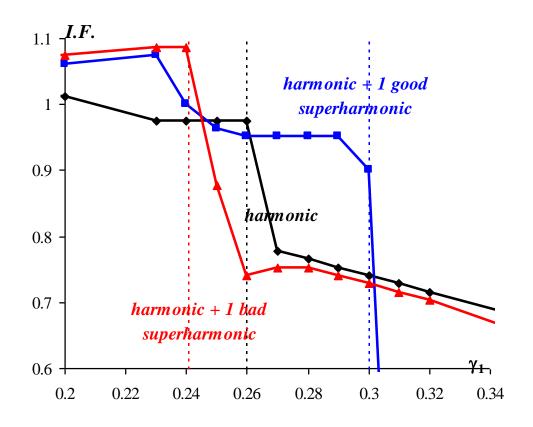
- GIM misses sharp fall of erosion profile
- high values after fall: absence of resonance?
- homoclinic bifurcation slowly triggers erosion
- effects of non-smoothness

Rigid block: example of basins erosion



Rigid block: erosion with different excitations

 α =0.2, δ =0.5, r=0.7, ω =1.5 (strongly damped)



Micro-electro-mechanical systems (MEMS)

- nonlinear dynamics of a thermoelastic microbeam
- axial load, modeling residual stresses

• concentrated electrodynamic transverse force applied at mid-span (the actuation)

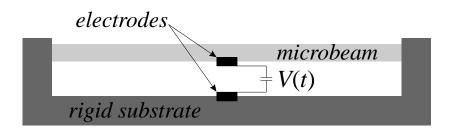
Stationary Electrode

 $v(t) \pm$

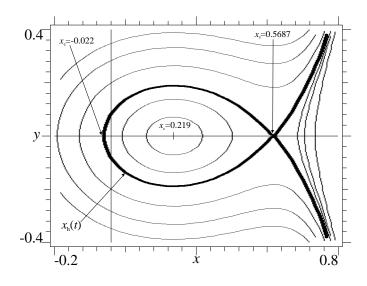
both ends are fixed

- geometric nonlinearity due to membrane stiffness
- ultra-high vacuum environment

MEMS: single-d.o.f. model



- small electrodynamic force
- small visco- and thermo-elastic damping
- → temperature condensation

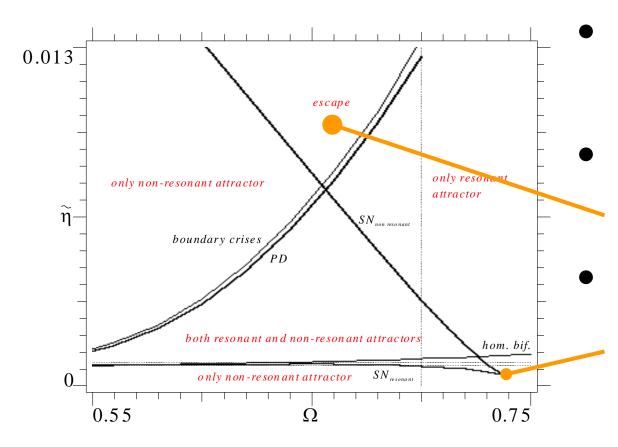


$$\ddot{x} + \alpha x + \beta x^{3} - \frac{\gamma}{(1-x)^{2}} = \varepsilon \left\{ -\tilde{\mu}\dot{x} + \frac{\tilde{\eta}\sum_{j=1}^{N} (\eta_{j}/\eta_{1})\sin(j\Omega t + \Psi_{j})}{(1-x)^{2}} \right\}$$
substrate at x=1 overall excitation amplitude

- $\gamma > 0$ magnitude of the electrostatic force, \approx the square of the constant (DC) input voltage
- Ω frequency of the periodic electrodynamic force
- $\eta_j > 0$ and Ψ_j : relative amplitudes and phases of the j-th harmonic of the electrodynamic force, i.e., of the oscillating (AC) voltage

MEMS: reference response chart (harmonic excitation)

many bifurcation diagrams built



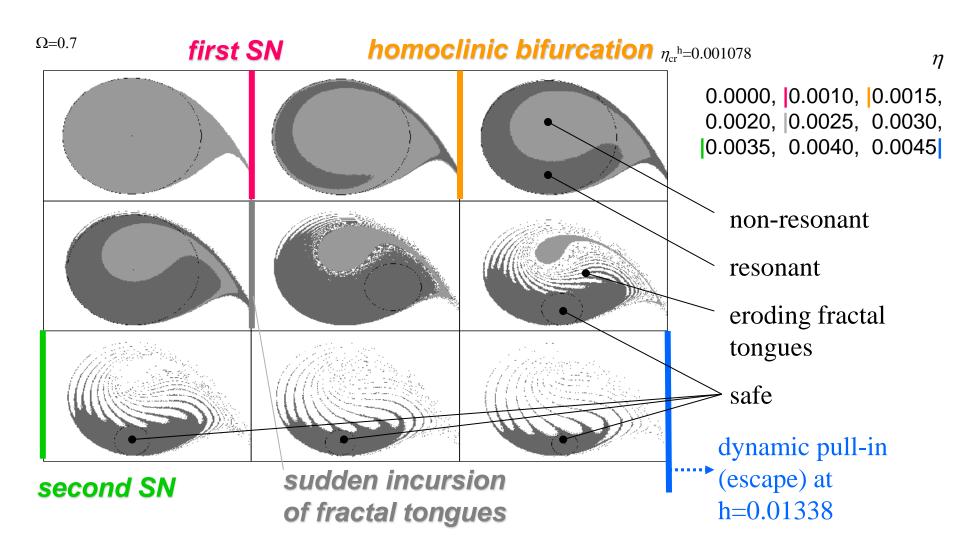
same qualitative features of the Helmholtz oscillator

V-shaped region of escape (dynamic pullin), vertex at Ω =0.655

degenerate cusp bifurcation at Ω =0.737 and η =0.000461

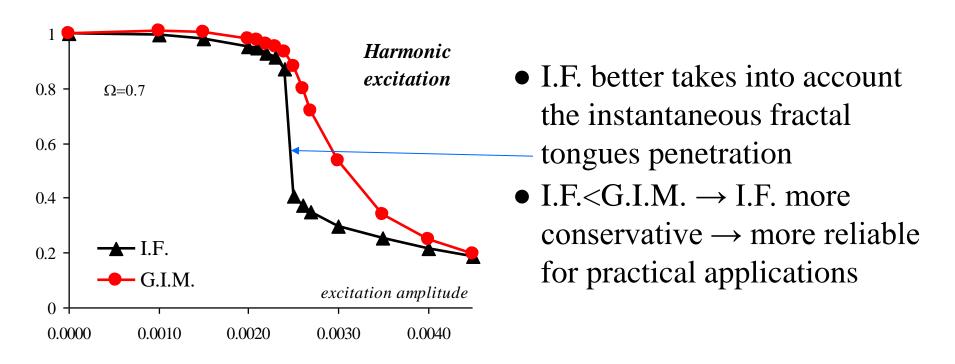
MEMS: basins erosion (harmonic excitation)

classical basins of attraction (stationary regime)



MEMS: erosion profiles (harmonic excitation)

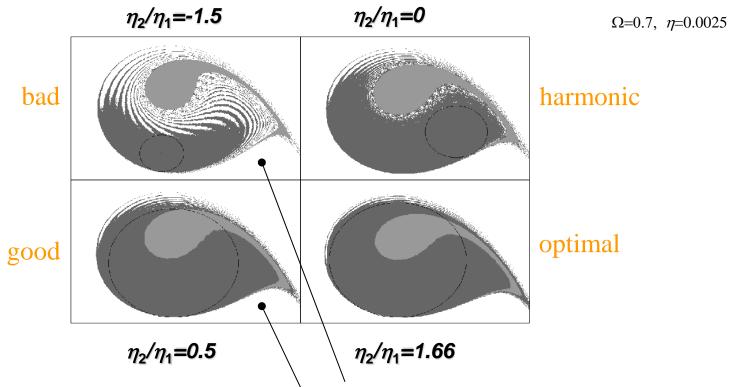
• comparison of erosion profiles with Integrity Factor (I.F.) and Global Integrity Measure (G.I.M.)



confirms rigid block results

MEMS: basin erosion (1) (fixed amplitude)

effects of a single added superharmonic (N=2)



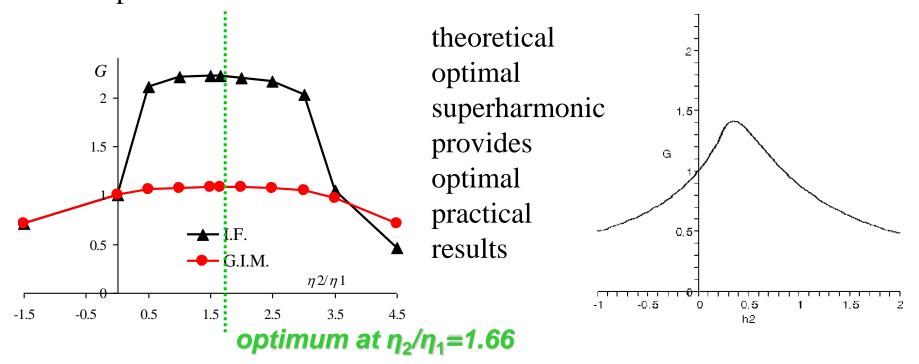
- the superharmonic may have dangerous effects if not properly designed
- good results also for non optimal superharmonic
- marginal increments around optimality

MEMS: basin erosion (2) (fixed amplitude)

 Ω =0.7, η =0.0025

G = numerical (practical gains normalized to 1 (harmonic excitation) vs superharmonic relativeamplitude

G = theoretical gain as a function of superharmonic coefficient h2

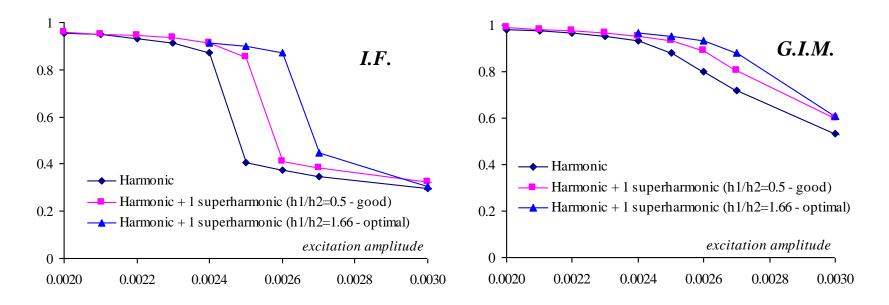


- almost optimal results on a broad band → practically appealing
- sharpness (I.F.) vs dullness (G.I.M.) due to different detection of instantaneous fractal penetration

MEMS: basin erosion (3) (varying amplitude)

• effects of superharmonics on erosion profiles

 $\Omega=0.7$



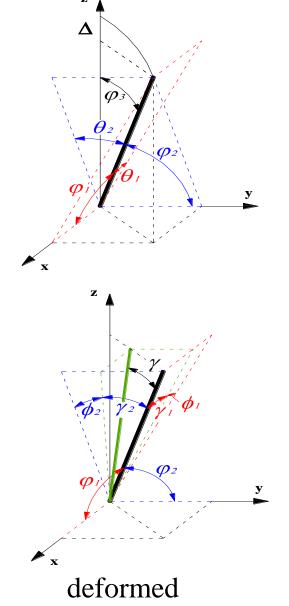
- shifting of erosion profiles
- same horizontal shift for both measures, different vertical shift (due to sharpness)
- profiles shapes maintained by superharmonics

Augusti's 2-d.o.f model (4D)

perfect system

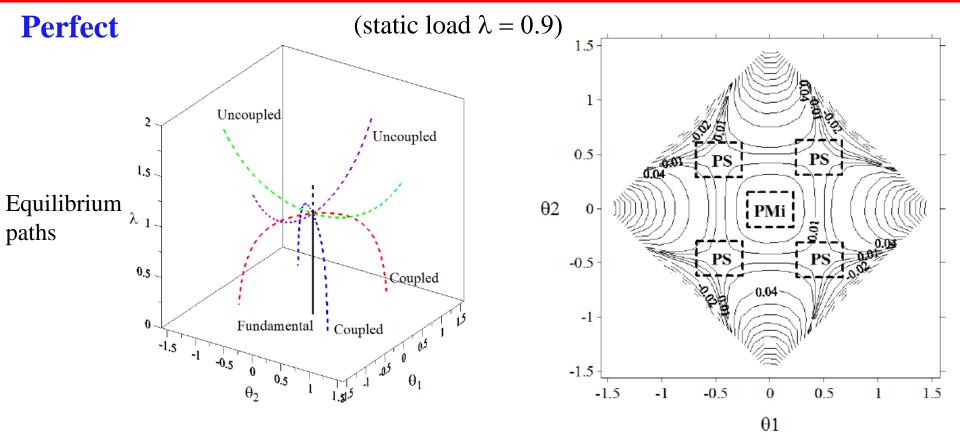
 k_1 $v_b(t)$ $D_{b(t)}$

undeformed



geometrically imperfect system

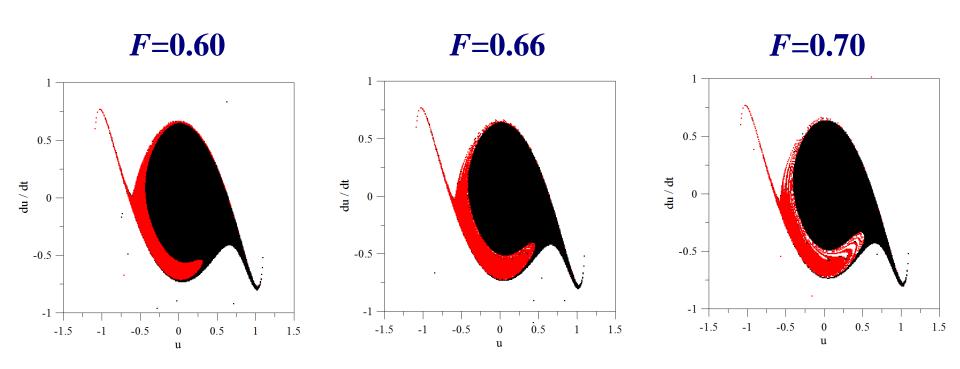
Augusti's 2-d.o.f. model (4D)



- four symmetric saddles
 four unstable postbuckling descending branches
- minimum point ←→ stable prebuckling solution

invariant manifolds of saddles separate i.c. leading to bounded solutions that surround the prebuckling configuration, and identify the **safe region**, from **unbounded escape** solutions

Augusti: Integrity 2D (on s.d.o.f. ROM)

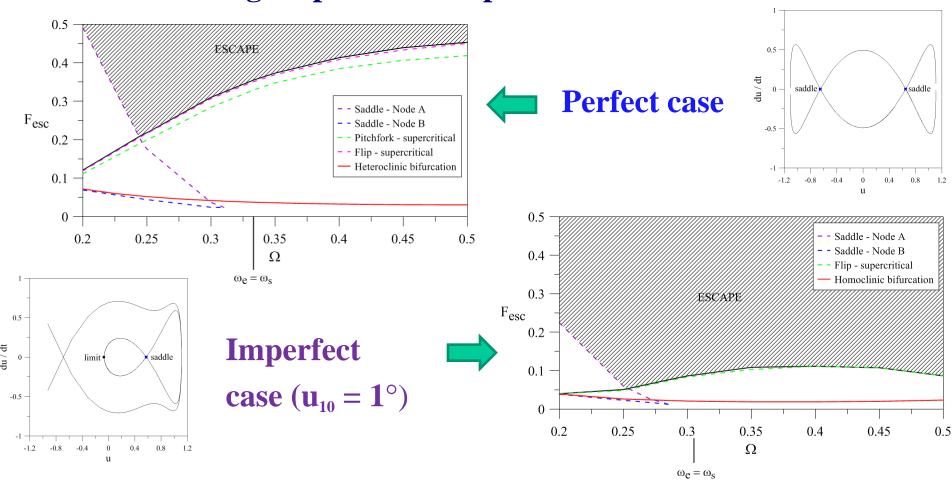


Erosion proceeds for increasing excitation amplitude F

Augusti: Integrity 2D (on s.d.o.f. ROM)

 $(\lambda = 0.9 \text{ and } \xi = 0.01)$

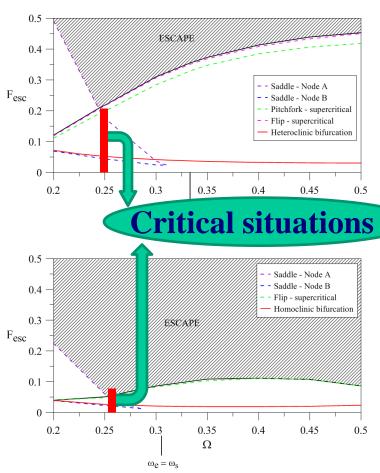
***** Map of the local bifurcations in the fundamental resonance region prior to escape:

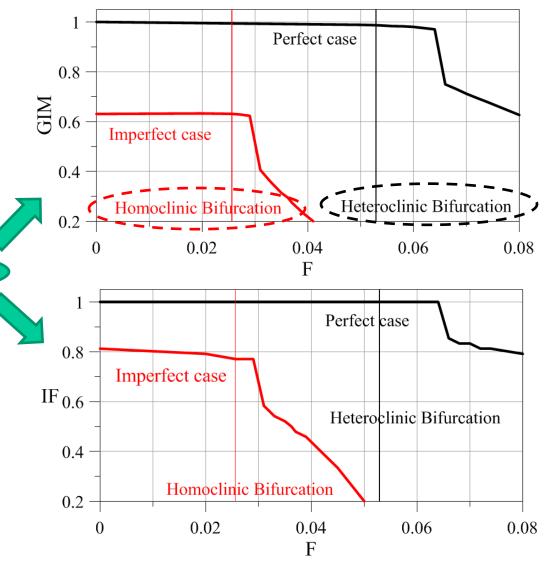


Augusti: Integrity 2D (on s.d.o.f. ROM)

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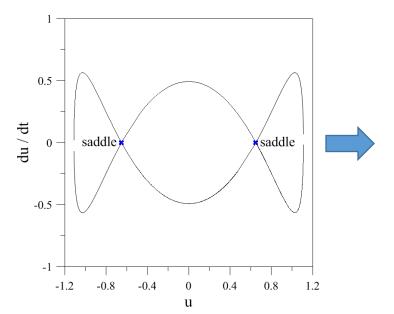
! Integrity profiles:





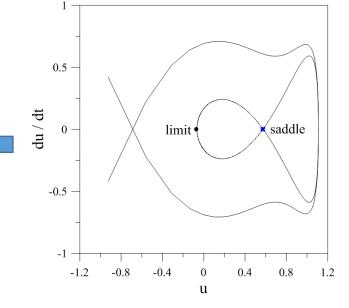
Augusti's model: control strategy

$$(\lambda = 0.9)$$



Perfect case (heteroclinic orbit) → global control (addition of a super-harmonic of order 3 to the harmonic excitation of the system)

Imperfect case (homoclinic orbit) → one-side control (addition of a super-harmonic of order 2 to the harmonic excitation of the system)



Augusti's model: control excitations

New excitation

$$F\left(\cos\left(\frac{u\sqrt{2}}{2}\right)\sin(\tau) + \frac{u\sqrt{2}}{2}\right)\sin(\tau)$$

$$\frac{F_3}{F}\cos\left(\frac{u\sqrt{2}}{2}\right)\sin(3\tau+v_3)$$



Perfect case (heteroclinic orbit) → global control (addition of one super-harmonic of order 3 to the harmonic excitation of the system)

Imperfect case (homoclinic orbit) →
one-side control (addition of one
super-harmonic of order 2 to the
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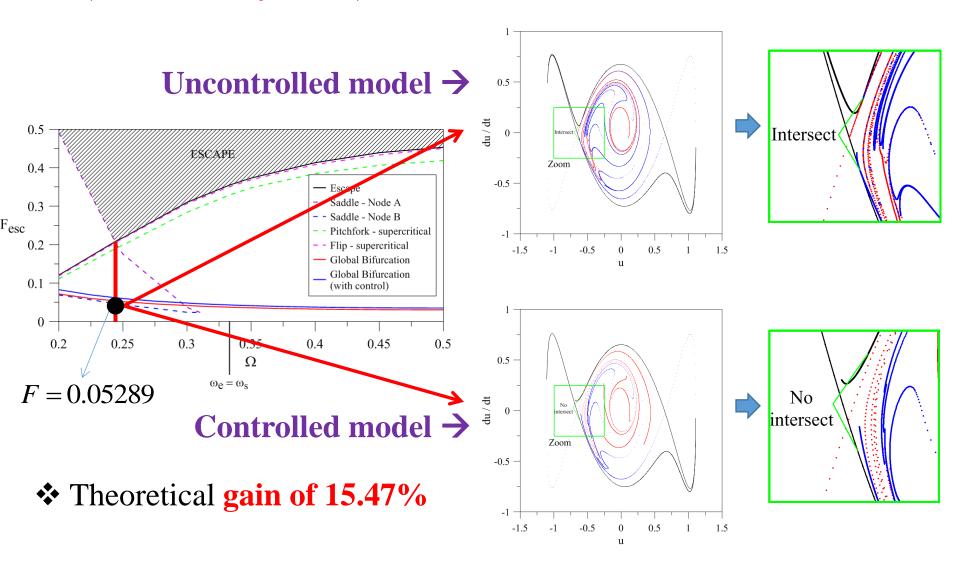
New excitation

$$F\left(\cos\left(\frac{u\sqrt{2}}{2}\right)\sin(\tau) + \frac{u\sqrt{2}}{2}\right)\sin(\tau)$$

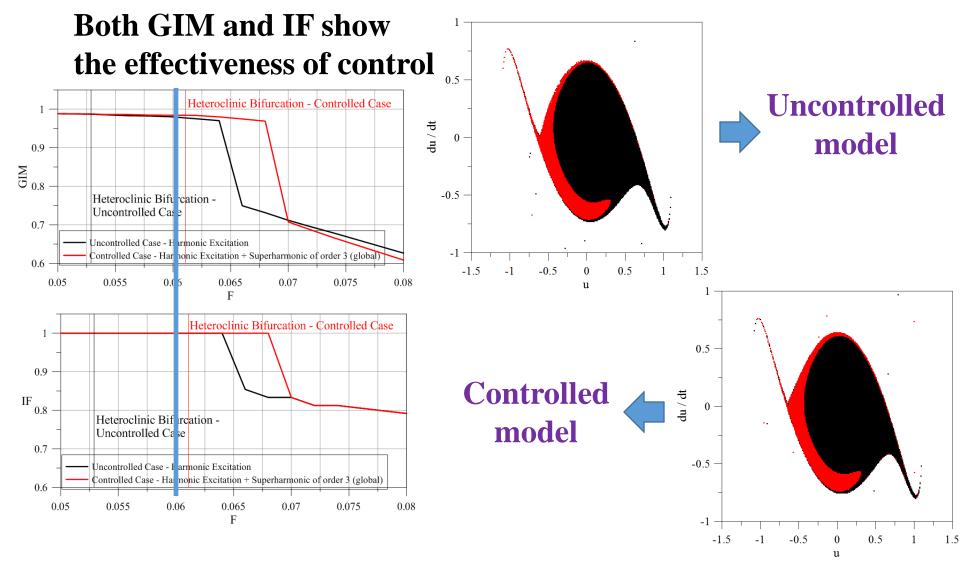
$$\frac{F_2}{F}\cos\left(\frac{u\sqrt{2}}{2}\right)\sin(2\tau+v_2)$$

Augusti's model: local effectiveness of control

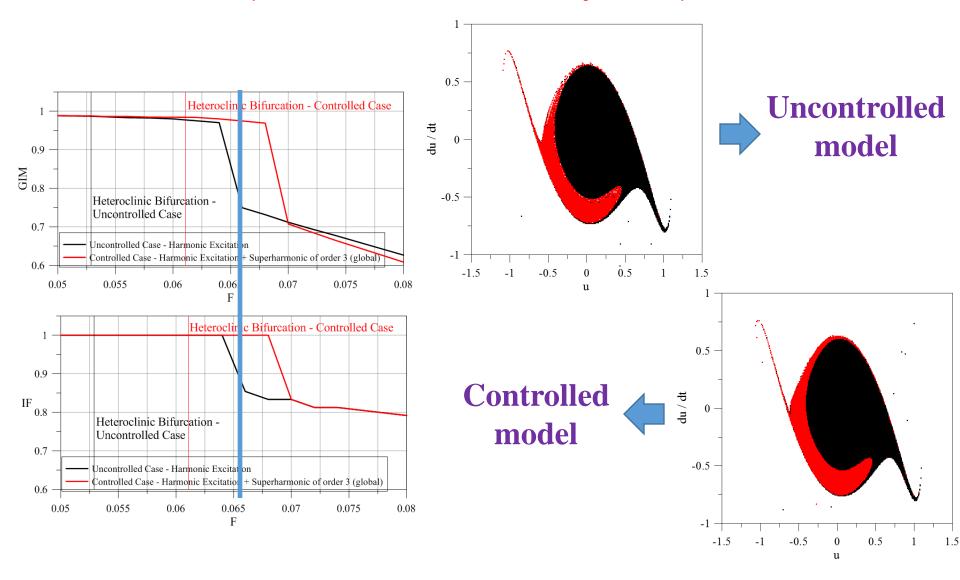
 $(\lambda = 0.9 \text{ and } \xi = 0.01)$



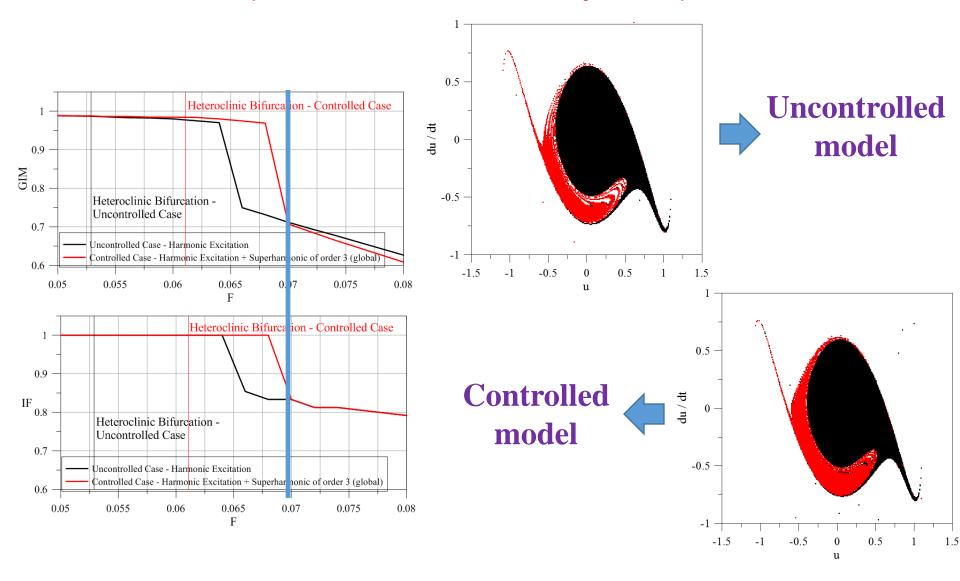
Augusti's model: comparison of integrity profiles



Augusti's model: comparison of integrity profiles

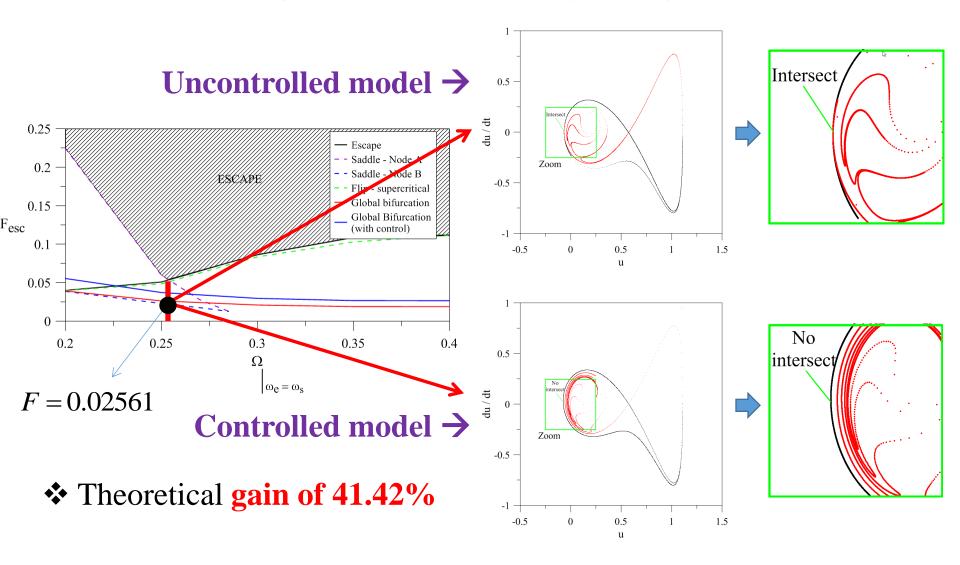


Augusti's model: comparison of integrity profiles



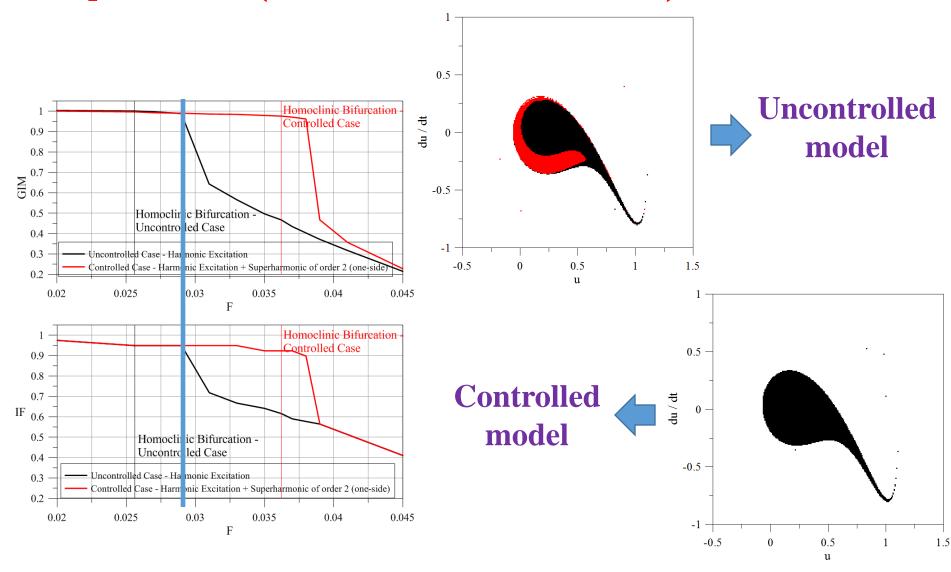
Augusti's model: local effectiveness of control

Imperfect case ($\lambda = 0.9$, u₁₀ = 1° and $\xi = 0.01$)



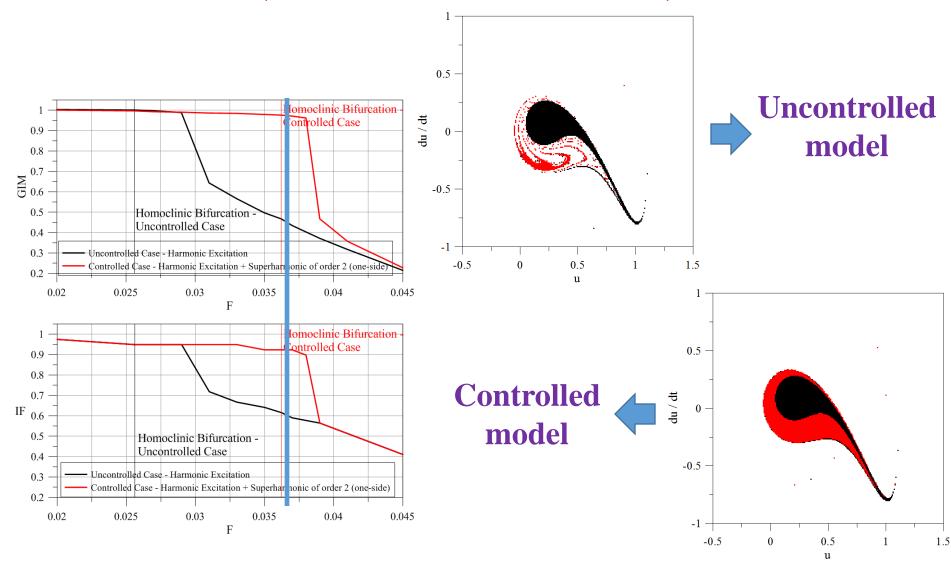
Augusti's model: comparison of integrity profiles

Imperfect case ($\Omega = 0.254$, $\lambda = 0.9$, $u_{10} = 1^{\circ}$ and $\xi = 0.01$)



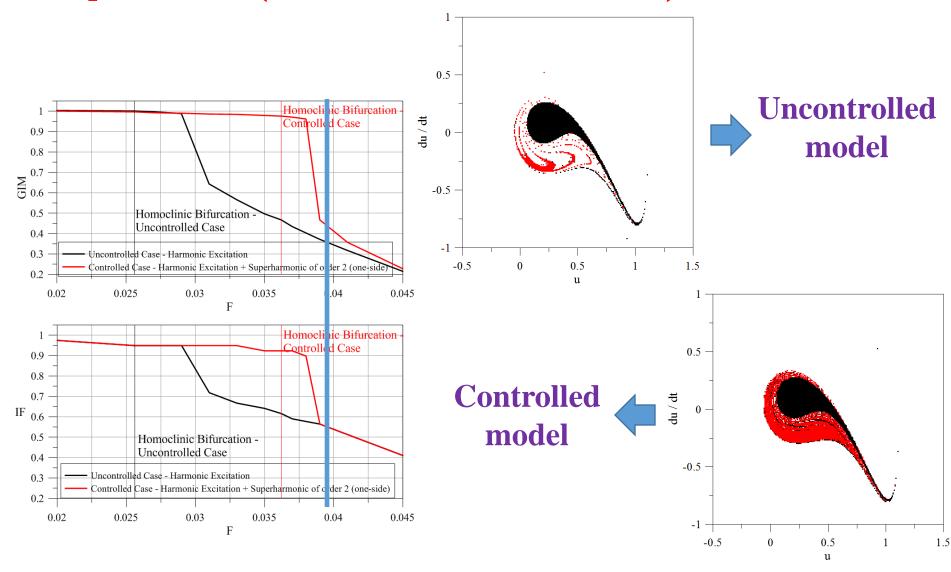
Augusti's model: comparison of integrity profiles

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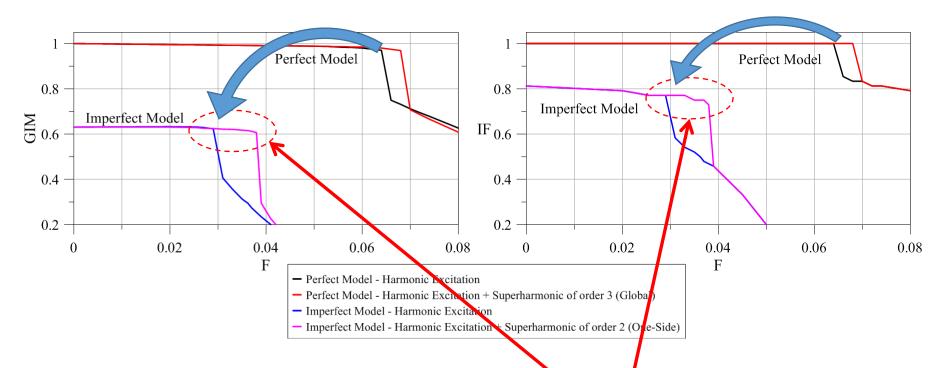
Augusti's model: comparison of integrity profiles

Imperfect case ($\Omega = 0.254$, $\lambda = 0.9$, $u_{10} = 1^{\circ}$ and $\xi = 0.01$)



Augusti's model

Comparison of the perfect and imperfect systems:

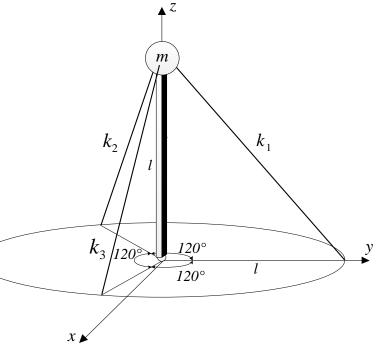


- ❖ Imperfection strongly reduces the system load carrying capacity
- Control is more effective where most needed (imperfect model)

Guyed tower 2-dof model (4D)

The simplified model of a guyed tower is stabilized by three linear springs, k_1 , k_2 and k_3 , initially inclined at 45° and located symmetrically by 120°

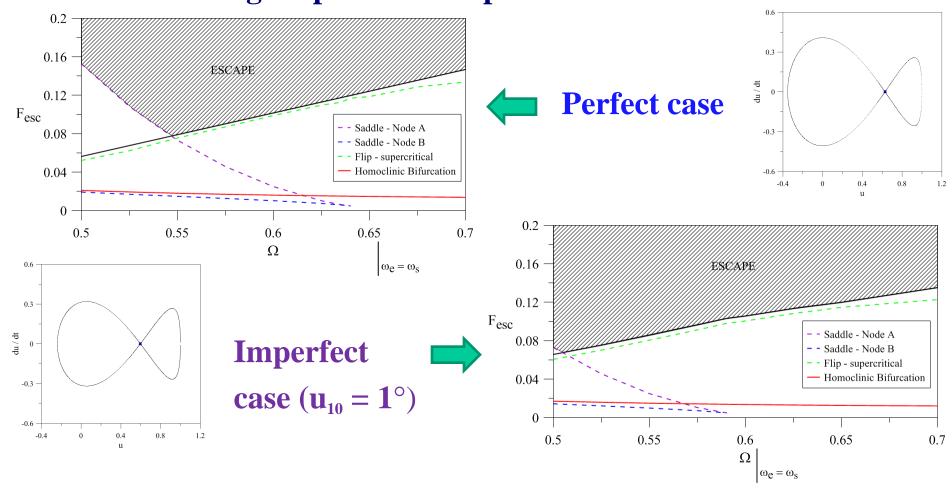
* The model is under a harmonic base excitation $D_b(t)$ acting at an angle φ with respect to the x axis



Guyed tower: Integrity 2D (on reduced order model)

$$(\lambda = 0.7 \text{ and } \xi = 0.01)$$

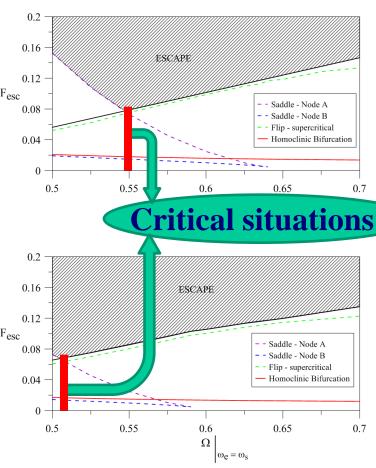
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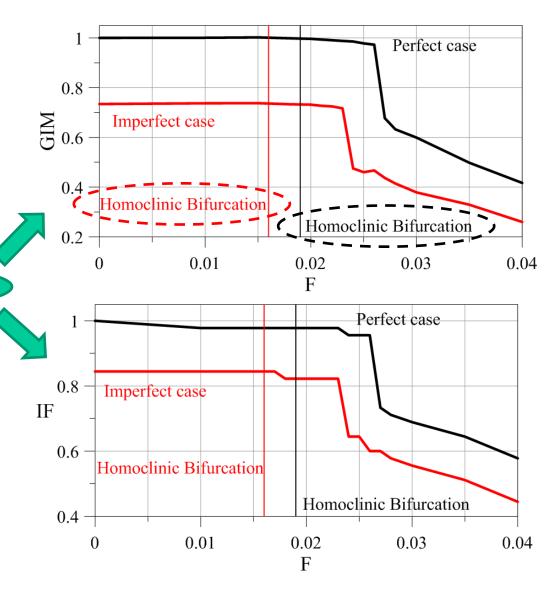


Guyed tower: Integrity 2D (on reduced order model)

 $(\lambda = 0.7 \text{ and } \xi = 0.01)$

! Integrity profiles:

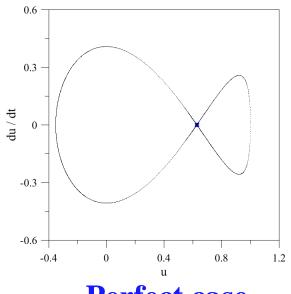




Guyed tower: one-side control

$$(\lambda = 0.7)$$

Control strategy:



Perfect case

Imperfect case $(u_{10} = 1^{\circ})$



Homoclinic orbits → one-side control (addition of one super-harmonic of order 2)

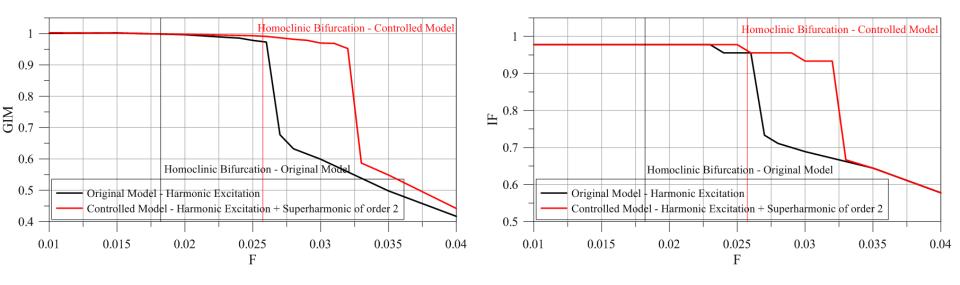


New excitation

$$F\left(\sin(\tau) + \frac{F_2}{F}\sin(2\tau + \nu_2)\right)$$

Guyed tower: comparison of integrity profiles

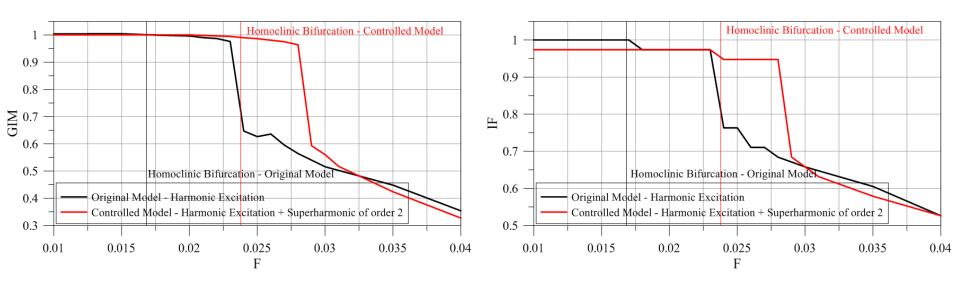
Perfect case ($\Omega = 0.547$, $\lambda = 0.7$ and $\xi = 0.01$)



❖ Both GIM and IF show the increment of integrity of the controlled system

Guyed tower: comparison of integrity profiles

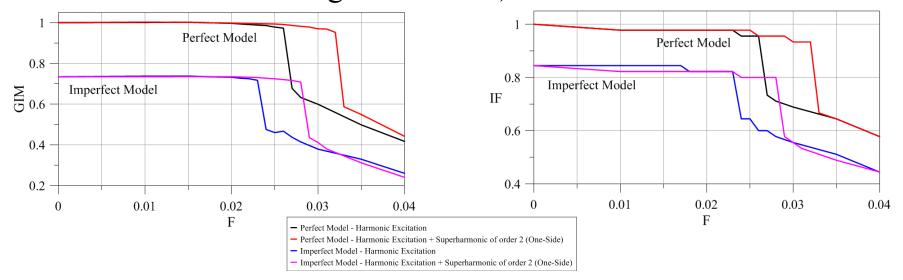
Imperfect case ($\Omega = 0.505$, $\lambda = 0.7$, u10 = 1° and $\xi = 0.01$)



❖ Again, both GIM and IF show the increment of integrity of the controlled system

Guyed tower: perfect vs imperfect cases

❖ No major differences between perfect and imperfect cases (as instead occurs in the Augusti's model)

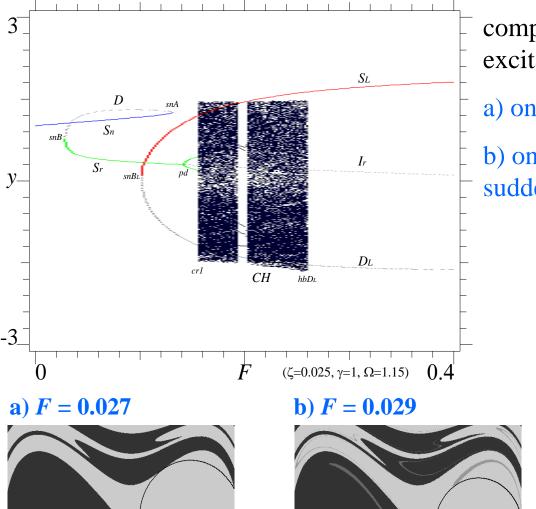


❖ Consequence of the fact that a homoclinic bifurcation is always involved (whereas in the Augusti's model there is a heteroclinic bifurcation in the perfect case and a homoclinic bifurcation in the imperfect case)

Contents

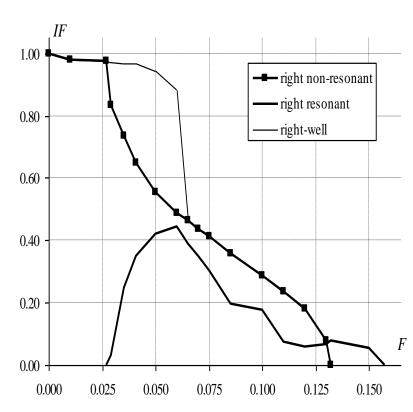
- 1. Integrity of in-well dynamics (Helmholtz, Duffing, Rigid block, MEMS, Augusti's model, Guyed Tower)
- 2. Robustness/Integrity of competing (in-in/in-out) attractors (Duffing, Parametrically excited pendulum, Parametrically excited cylindrical shell) → dynamical integrity only, no control

Duffing: competing non-resonant/resonant attractors (1)

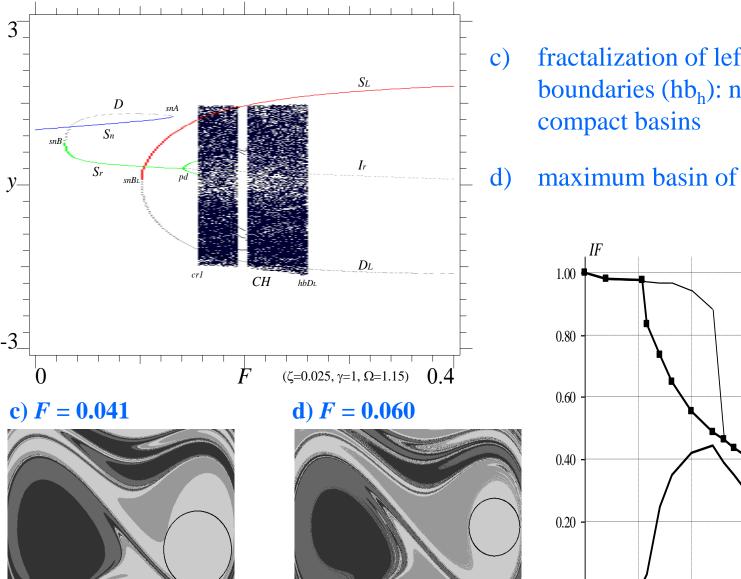


competing basins for increasing excitation amplitude:

- a) only non-resonant attractor
- b) onset of resonant attractor (at snB): sudden fall down of S_n vs new born S_r

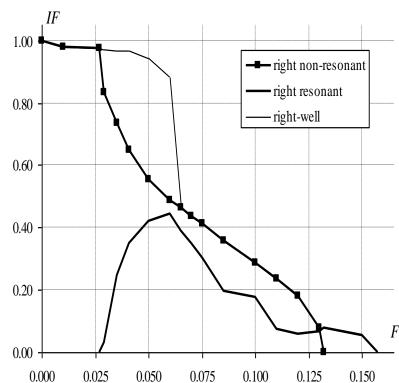


Duffing: competing non-resonant/resonant attractors (2)

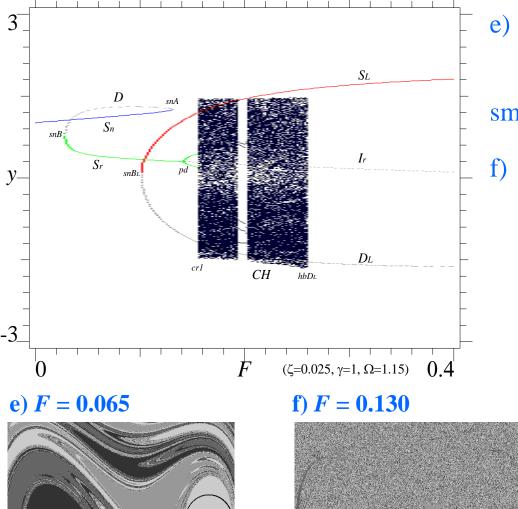


fractalization of left/right well basin boundaries (hb_h): no effect on

maximum basin of S_r



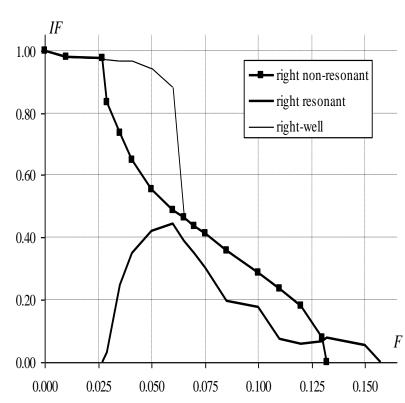
Duffing: competing non-resonant/resonant attractors (3)



e) penetration of fractal tongues inside S_r basin

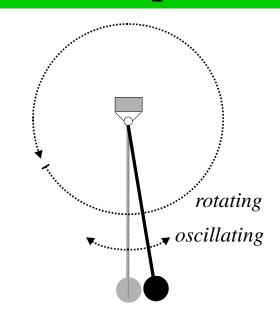
smoothly decreasing profiles till

f) near disappearance of S_n (at snA) and residual integrity of S_r



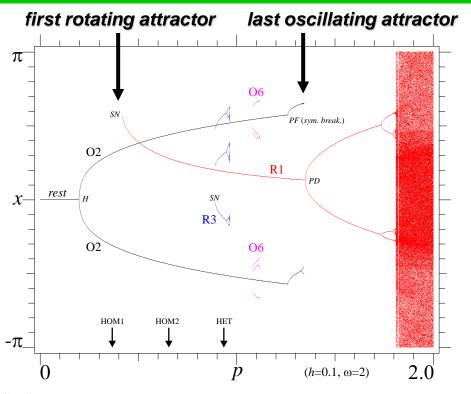
The parametrically excited mathematical pendulum

$$\ddot{x} + 0.1\dot{x} + [1 + p\cos(2t)]\sin(x) = 0$$



- "an antique but evergreen physical model" [Butikov]
- benchmark for main features of robustness and dynamical integrity of competing attractors
- permits a cross-study of in-well attractors (oscillations) and out-of-well attractors (rotations)
- has been recently shown to be of interest for practical applications [Xu et al., 2007]

Pendulum: bifurcation diagram and main events



ati	tra	<u>ıct</u>	<u>01</u>	S

O2 main oscillating solution of period 2
R1 main rotating solutions of period 1
R3 secondary rotating solutions of period 3
O6 secondary oscillating solution of period 6

<u>main saddles</u>

HS hilltop saddles

DR1 direct saddles born at the SN bifurcation where R1 appear

inverse saddles after the PD bifurcation of R1

inverse saddle replacing the rest position at the H bifurcation

<u>bifurcations</u>

IR1

Ir

SN, PD saddle-node, period-doubling

PF, H pitchfork (or symmetry breaking), Hopf

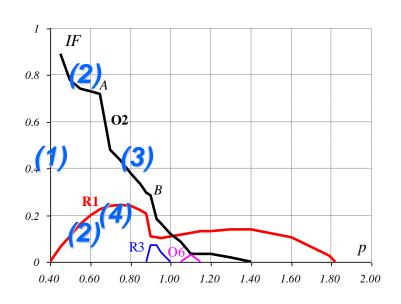
CR crisis

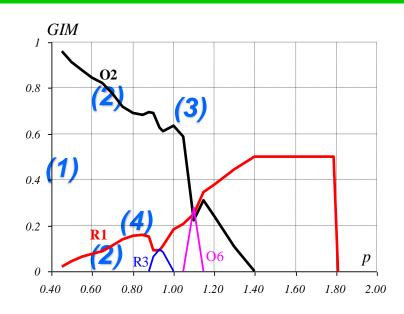
HOM/HET homoclinic/heteroclinic

p	event	comment	
0.196	Н	the rest position loses stability. O2 appears	
0.367	HOM1	homoclinic bifurcation of HS	
0.418	SN	R1 appear through a SN bifurcation	
0.655	HOM2	homoclinic bifurcation of DR1	
0.888	SN	R3 appear through a SN bifurcation	
0.935	HET	heteroclinic bifurcation of DR1 and Ir	
0.948	PD	R3 undergo a PD bifurcation followed by a PD cascade	
0.961	CR	the PD cascade of R3 ends by a CR. R3 disappear	
1.082	SN	O6 appears through a SN bifurcation	
1.111	PF	O6 undergoes a PF bifurcation, and two oscillating solutions of period 6, still named O6, appear	
1.116	PD	O6 undergo a PD bifurcation followed by a PD cascade	
1.118	CR	the PD cascade of O6 ends by a CR. O6 disappear	
1.260	PF	O2 undergoes a PF bifurcation, and two oscillating solutions of period 2, still named O2, appear	
1.332	PD	O2 undergo a PD bifurcation followed by a PD cascade	
1.342	CR	the PD cascade of O2 ends by a CR. O2 disappear	
1.349	PD	R1 undergo a PD bifurcation followed by a PD cascade	
1.809	CR	the PD cascade of R1 ends by a CR. R1 disappear, and tumbling chaos becomes the unique attractor	

- four main competing attractors (O2, R1, O6, R3)
- $\omega=2$ (parametric resonance)

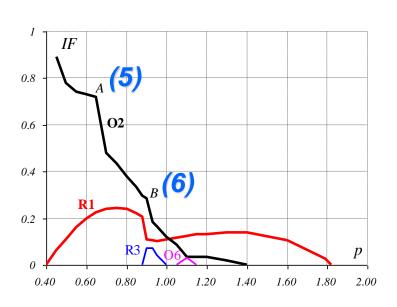
Pendulum: integrity profiles at parametric res., $\omega=2$

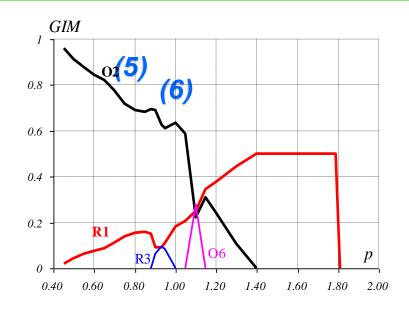




- (1) starts when R1 are born by a SN
- (2) R1 basins grow up against the O2 basin. This is described by IF and GIM, to a different extent
- (3) both integrity curves of O2 have the classical "Dover cliff" behaviour
- (4) IF and GIM integrity curves of R1 have a different qualitative behaviour

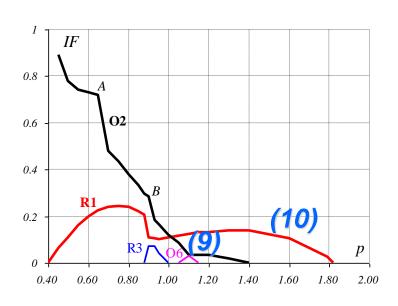
Pendulum: sudden falls

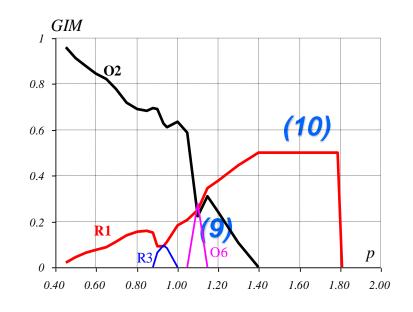




- (5) sharp fall due to the homoclinic bifurcation of DR1: evidenced by IF but not by GIM
- (6) sharp fall due to the het. bif. of DR1 and Ir: drastic reduction of the compact core of O2 basin clearly revealed by IF. With GIM this event is hardly recognizable (somehow hidden by the almost simultaneous appearance of R3)

Pendulum: final part of the erosion

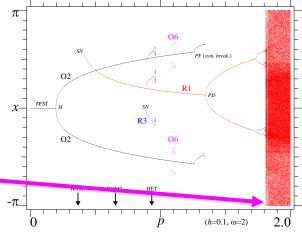




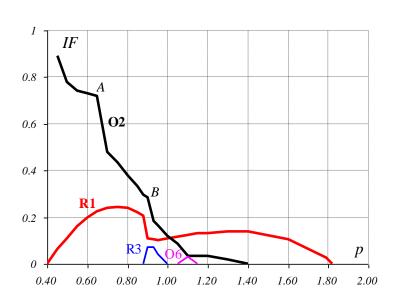
(9) O6 suddenly disappears, and O2 recovers a residual integrity by increasing the GIM and by keeping the IF

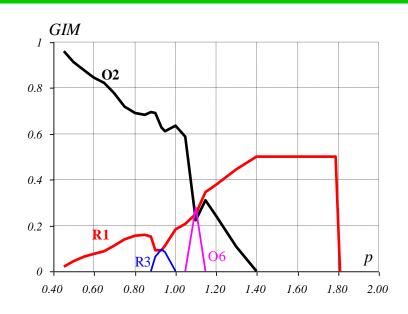
constant

(10) no further special events up to the end of the integrity profiles (by the BC of the respective attractors)—

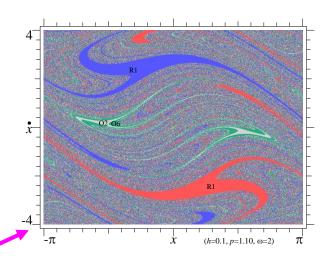


Pendulum: oscillating solutions

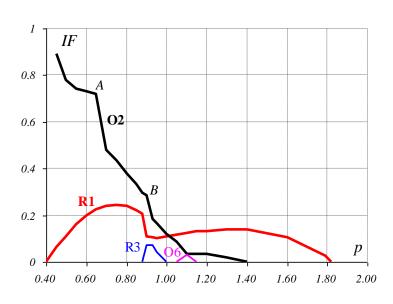


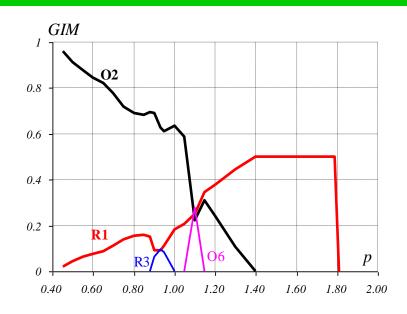


- IF and GIM erosion profiles of O2
 are qualitatively similar. Differences
 in the final part: GIM→0 rapidly, IF
 →0 slowly
- GIM>>IF in the final part, thus GIM overestimates integrity of O2, which is residual

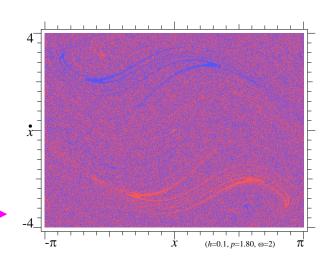


Pendulum: rotating solutions (1)

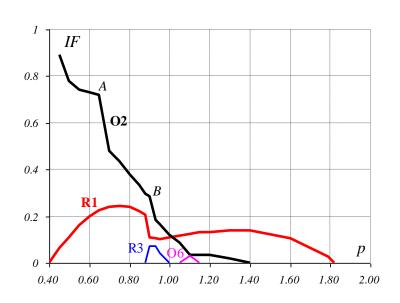


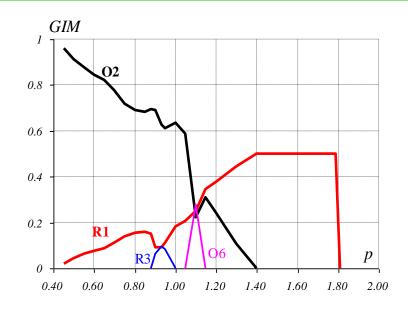


• R1 change 'status' for growing p. Initially they erode other (passive) attractors. Then, they are eroded by the secondary attractors, and finally they disappear by a reciprocal (self-) erosion



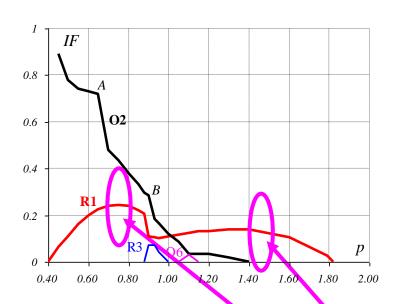
Pendulum: rotating solutions (2)

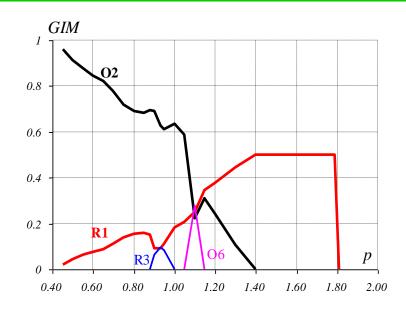




- differences between the IF and the GIM of R1 are much more marked than those of O2
- GIM is (almost monotonically) increasing up to 0.5
- IF initially increases, reaches a maximum, starts a dull decrement, undergoes a sudden falls due to R3, slightly increases and then slowly decreases again up to the end

Pendulum: attractor robustness and basin integrity





- qualitative difference of IF and GIM: GIM is basically also a measure of attractor robustness, whereas IF is a measure of basin integrity, of major interest for safe design
- sharp (O2) vs flat (R1) IF profiles
- optimal operating conditions for R1

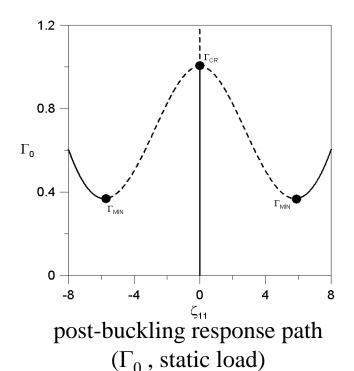
Parametrically excited cylindrical shell (2-dof model)

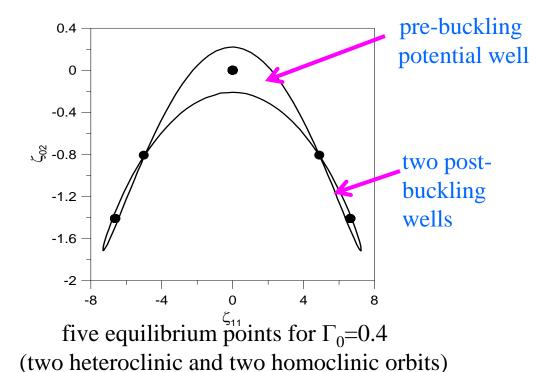
$$\ddot{\zeta}_{11} + 0.150761 \dot{\zeta}_{11} + 1.043914 \zeta_{11} + 9.274215 \zeta_{11} \zeta_{02} - 1.040775 \Gamma_1 \cos(\Omega \tau) \zeta_{11}$$

$$-1.043914 \Gamma_0 \zeta_{11} + 0.274896 \zeta_{11}^3 + 0.188199 \zeta_{11} \zeta_{02}^2 = 0$$

$$\begin{split} \ddot{\zeta}_{02} + 0.02086 \dot{\zeta}_{02} - 4.16310 \Gamma_0 \zeta_{02} - 4.16310 \Gamma_1 \cos (\Omega \tau) \zeta_{02} + 69.756712 \zeta_{02} \\ + 2.318554 \zeta_{02}^2 + 0.094099 \zeta_{11}^2 \zeta_{02} = 0 \end{split}$$

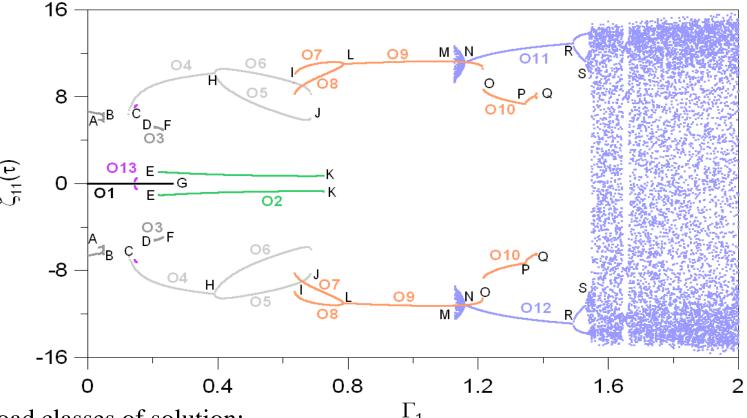
 ζ_{11} , ζ_{02} basic, axisymm. mode with twice number of half waves in axial direction





Shell, sub-critical scenario: bifurcation diagram

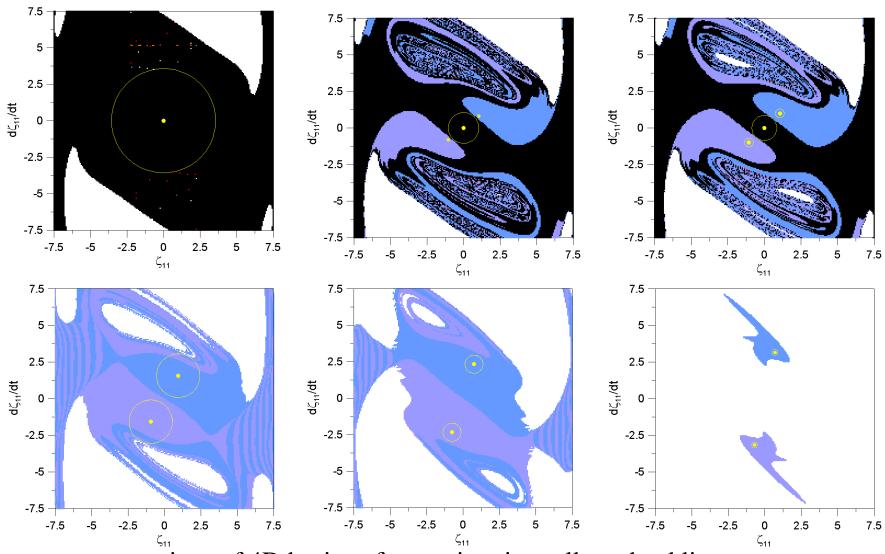
increasing axial load amplitude Γ_1 in the main parametric instability region



five different broad classes of solution:

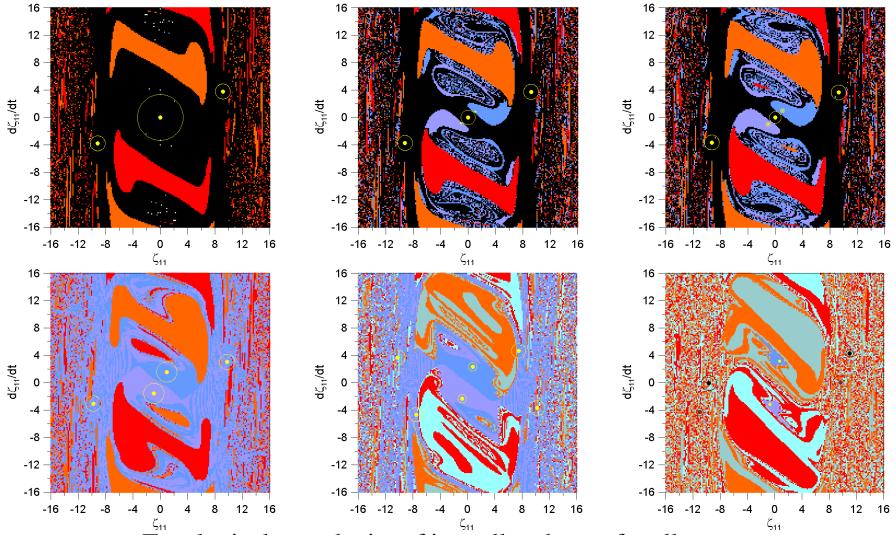
- (1) trivial pre-buckling,
- (2) non-trivial 2T within the pre-buckling well,
- (3) small amplitude vibrations within each of the post-buckling wells,
- (4) medium amplitude cross-well,
- (5) very large-amplitude cross-well period three, robust in the range

Shell, sub-critical: attractor-basin portrait (1)



cross-sections of 4D basins of attraction: in-well pre-buckling attractors Black: trivial. Light and dark blue: period two. White: escape

Shell, sub-critical: attractor-basin portrait (2)



Topological complexity of in-well and out-of-well attractors.

Remark: Being basins of attraction in a 4D hyper-volume, it is not easy to detect touching of the hypersphere with the nearest competing basin

Shell, sub-critical scenario: dynamic integrity

