9.3 – Dynamical Integrity: Concepts and Tools



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Coworkers: S. Lenci, L. Ruzziconi

DAY	TIME	LECTURE
Monday 05/11	14.00 -14.45	Historical Framework - A Global Dynamics Perspective in the Nonlinear Analysis of Systems/Structures
	15.00 -15.45	Achieving Load Carrying Capacity: Theoretical and Practical Stability
	16.00 -16.45	Dynamical Integrity: Concepts and Tools_1
Wednesday 07/11	14.00 -14.45	Dynamical Integrity: Concepts and Tools_2
	15.00 -15.45	Global Dynamics of Engineering Systems
	16.00 -16.45	Dynamical integrity: Interpreting/Predicting Experimental Response
Monday 12/11	14.00 -14.45	Techniques for Control of Chaos
	15.00 -15.45	A Unified Framework for Controlling Global Dynamics
	16.00 -16.45	Response of Uncontrolled/Controlled Systems in Macro- and Micro-mechanics
Wednesday 14/11	14.00 -14.45	A Noncontact AFM: (a) Nonlinear Dynamics and Feedback Control (b) Global Effects of a Locally-tailored Control
	15.00 -15.45	Exploiting Global Dynamics to Control AFM Robustness
	16.00 -16.45	Dynamical Integrity as a Novel Paradigm for Safe/Aware Design

- INTRODUCTION and LINES OF ANALYSIS
- MAIN INVOLVED ISSUES
- SAFE BASIN
- DYNAMICAL INTEGRITY MEASURES AND REFINED SAFE BASINS
- SELECTING MEASURES

INTRODUCTION

- **Existence** of an attractor does *not* guarantee its practical *safety* (Thompson and coworkers)
- In experiments and practice, *disturbances* exist, giving *uncertainty* to the operating initial conditions
- If the system is not sufficiently *robust*, dynamical outcome can be totally different from what theoretically predicted
- Robustness of system response to be analyzed
- Dynamic integrity (DI) analysis is able to provide valuable information about the expected dynamics under realistic conditions, which is essential to safely operate a system with the desired behavior, depending on expected disturbances (Thompson, 1989; Soliman and Thompson, 1989)
- Main underlying concepts and computational tools presented and discussed

LINES OF ANALYSIS

- **"Safe basin":** introducing a proper definition, i.e. stating accurately which are the conditions that we can consider as *safe*
 - Involves theoretical and practical issues, e.g. transient vs steady dynamics, whether fractality of the basin can be accepted or must be prevented, etc.
- Measures: introducing an appropriate DI measure, able to assess quantitatively how much our safe basin (i.e. our safe condition) is robust Measuring accurately and properly the DI is a critical point. A measure may be appropriate in some particular case-studies but not in others. This strongly depends on the problem to analyze.
- Profiles (Charts): informing about the basins evolution due to variation of system parameters
 - A system must be able to sustain changes in both *initial conditions* and *control* parameters without changing its desired outcome.

CONTENTS

- INTRODUCTION and LINES OF ANALYSIS
- MAIN INVOLVED ISSUES
 - POTENTIAL WELL
 - OUT-OF-WELL PHENOMENON
 - FRACTALITY VS COMPACTNESS
 - TRANSIENT VS STEADY DYNAMICS
 - OTHER ISSUES
- SAFE BASIN
- DYNAMICAL INTEGRITY MEASURES AND REFINED SAFE BASINS
- SELECTING MEASURES

MAIN ISSUES

Analyzing some *main aspects* of interest for *engineering design*, important

- for defining properly the safe basin
- for selecting the most appropriate DI measure

Depending on the *energy* imparted to the system through initial conditions or parameter values, *two main issues* are of considerable practical importance for a mechanical system:

- 1) the possibility to have the system *actually working* within the range of operating conditions for which it is designed;
- 2) the *loss of technical performance* or structural integrity ensuing from the system getting off the foreseen operational regime.

POTENTIAL WELL (1)

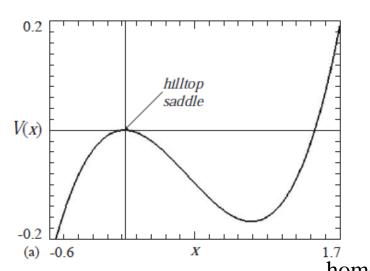
In *dynamical terms*, we restrict ourselves to systems characterized by

- a single-well
- a (possibly) multi-well potential,

for which two critical situations may occur:

- 1) the motion *does* develop on a *small scale* in phase space, being actually restricted within a *sole* potential well (the foreseen one in multi-well cases);
- 2) the motion *may also* develop on a *large scale* in phase space, i.e. also *beyond* the invariant manifolds of the hilltop saddle which delimit the potential well and organize the whole system dynamics.

POTENTIAL WELL (2)



Softening Helmholtz oscillator

(quadratic nonlinearities) (Lenci and Rega, 2003)

$$\ddot{x} + 0.1\dot{x} - x + x^2 = \gamma(\omega t) = \gamma_1 \sum_{j=1}^{\infty} \frac{\gamma_j}{\gamma_1} \sin(j\omega t + \Psi_j)$$

homoclinic orbit hilltop saddle is a saddl

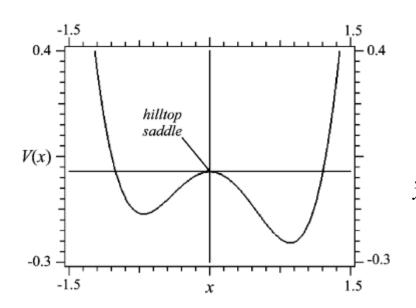
Single potential well, asymmetric

Unperturbed undamped dynamics:

- one *center*
- **a** unique (*hilltop*) saddle
- one *homoclinic* orbit (asymmetric) surrounding the potential well

with: $\sigma = 1.2$

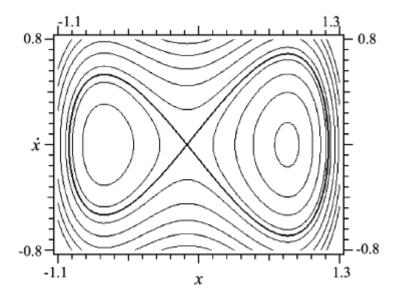
POTENTIAL WELL (3)



Hardening Helmholtz-Düffing oscillator

(quadratic and cubic nonlinearities) (Lenci and Rega, 2004)

$$\ddot{x} + \varepsilon \delta \dot{x} - \sigma x - \frac{3}{2}(\sigma - 1)x^2 + 2x^3 = \varepsilon \gamma_1 \sum_{j=1}^{\infty} \frac{\gamma_j}{\gamma_1} \sin(j\omega t + \Psi_j)$$



Twin potential well, asymmetric

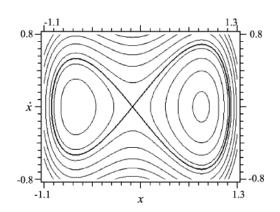
Unperturbed undamped dynamics:

- two centers
- **a** unique (*hilltop*) saddle
- two homoclinic orbits (asymmetric) surrounding the two potential wells

POTENTIAL WELL (4)

The homoclinic loops separate:

- left in-well periodic oscillations
- right in-well periodic oscillations
- large amplitude scattered dynamics



Due to system asymmetry:

- the right well is slightly wider than the left one,
- overall, both wells are considerably robust

Adding excitation and damping,

- each one of the homoclinic loops splits
- the scenario becomes increasingly *complex*, modifying the robustness of each well.

We need to double-check if we can still effectively *rely* on them.

POTENTIAL WELL (5)

Analysing the *robustness* of the *potential well* is one main issue when investigating a nonlinear system:

- if the DI of the well is elevated,
 - there is the possibility to effectively *operate* the system *within* the desired well;
 - the system dynamics is worth of further investigations (e.g. analyzing the individual attractors belonging to the well);
- if the DI of the well is *residual*,
 - it is not worth to further refine the simulations, since the system will *never be able* to operate in these conditions.

OUT-OF-WELL PHENOMENON (1)

What happens when *exceeding* the potential well?

Investigating the *out-of-well phenomenon*

- The *homoclinic* bifurcation of the invariant manifolds of the *hilltop* saddle (*) i.e, of a *globally organizing* saddle is the main bifurcational event governing the transition of system dynamics from a *small* scale to a *large* scale regime
- After the global bifurcation of the manifolds of the *hilltop saddle*, the system overcomes the bounding invariant manifolds and the erosion of the well inevitably starts.
- The system's dynamics escape from the potential well and different kinds of *out-of-well* attractor may occur, onto which the system settles down.

Two basically *different* situations can be distinguished:

- hardening system
- **softening** system

^(*) This critical threshold can be analytically computed via the *Melnikov*'s method

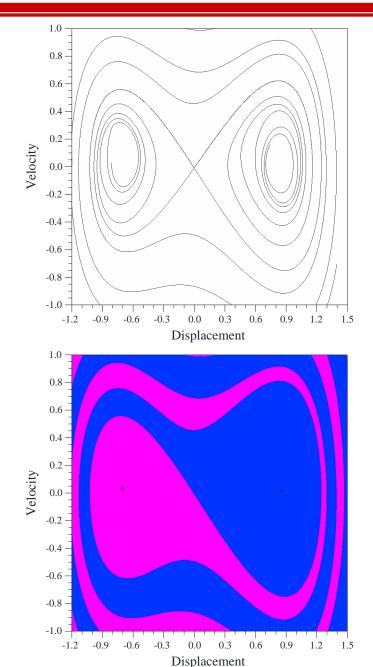
OUT-OF-WELL PHENOMENON (2)

HARDENING SYSTEM

- The erosion of the well is due to the interpenetration of basins from *adjacent wells*, which basically do not change in magnitude but simply become tangled.
- The out-of-well phenomenon that sets on after the erosion is a scattered (cross-well, usually chaotic) attractor.

 The motion develops entirely across two neighbouring, bounded, wells, or it wanders around.
- Though being no more restricted within the reference well, the overall system dynamics still remains *bounded*.

OUT-OF-WELL PHENOMENON (3)



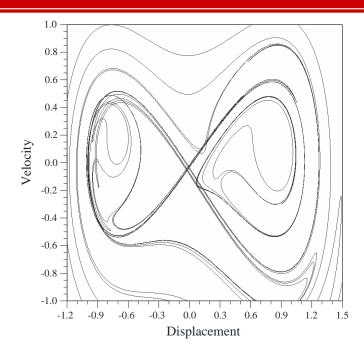
Hardening Helmholtz–Düffing oscillator (Lenci and Rega, 2004)

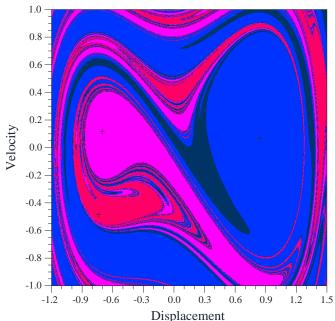
Before the homoclinic bifurcation of the hilltop saddle

- Manifolds do not intersect
- Basins boundaries are smooth
- No penetration of basins from adjacent wells

$$\varepsilon \gamma_1 = 0.02$$
 $\varepsilon \delta = 0.1$ $\omega = 1.17$ $\Psi_i = 0.0$

OUT-OF-WELL PHENOMENON (4)





After the homoclinic bifurcation of the hilltop saddle

- Manifolds do intersect
- Basins become *tangled*
- The right well *enters* with *fractal* tongues the left well, and viceversa
- Alternating dynamic regimes between the reference well and the adjacent one

$$\varepsilon \gamma_1 = 0.077$$
 $\varepsilon \delta = 0.1$ $\omega = 1.17$ $\Psi_j = 0.0$

OUT-OF-WELL PHENOMENON (5)

- We can observe resonance behavior (non-resonant and resonant branches) in both wells, enhancing the complexity of system dynamics
- The basins of non-resonant and resonant attractors in the left well are *not* adjacent to each other, but *separated* by the basins of the right well. This notably contributes to *reduce* the compactness (i.e. the robustness) of the left well.

However, despite the out-of-well phenomenon, all possible attractors are *bounded*, i.e. despite the tangling between the wells, only *safe* bounded behaviors can be expected.

<u>Hardening system</u> \rightarrow the out-of-well attractor is usually *unpleasant* from the application viewpoint, but does *not* usually *destroy* the structure

Depending on the *application*, this phenomenon:

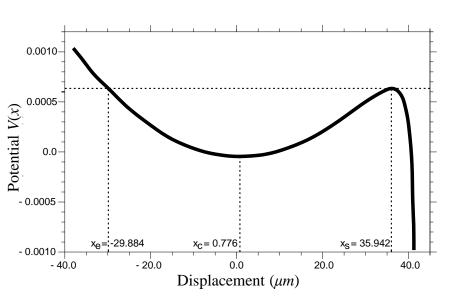
- may need to be avoided or controlled
- may be desirable;

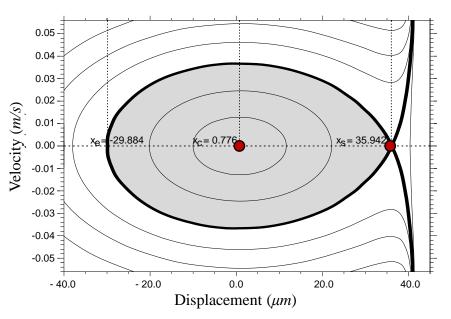
OUT-OF-WELL PHENOMENON (6)

SOFTENING SYSTEM

- The erosion is owed to the penetration of the "infinity" attractor 'surrounding' the basin, which is reduced in magnitude during erosion.
- The system dynamics escape to "infinity".
- After escape, the motion is theoretically *unbounded*, and practically corresponds to the system settling down onto an attractor far away and completely different from the designed one or, in other terms, to the *definitive system failure*.

OUT-OF-WELL PHENOMENON (7)





MEMS capacitive accelerometer

(Ruzziconi, Younis, and Lenci, 2010)

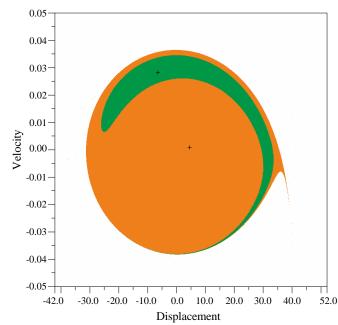
$$\ddot{x} + 10.1\dot{x} + 1.4629 \cdot 10^{6} x = 1.2 \cdot 10^{-12} \cdot \frac{\left[40.1 + V_{AC} \cos(\Omega t)\right]^{2}}{\left(42 \cdot 10^{-6} - x\right)^{2}}$$

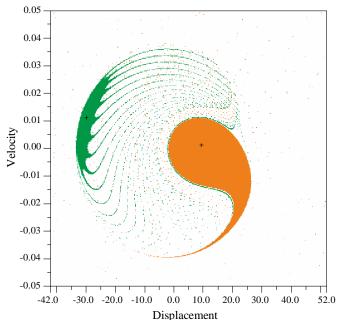
Single asymmetric potential well, with **escape** direction

Unperturbed undamped dynamics:

- center
- hilltop saddle
- homoclinic orbit separating in-well oscillations and out-of-well escape

OUT-OF-WELL PHENOMENON (8)





Before the homoclinic bifurcation of the hilltop saddle

- The *safe* bounded area is wide and compact
- The unsafe out-of-well *escape* (white) surrounds it, without entering the well

$$V_{AC} = 3.8 \text{ V}, \Omega = 185 \text{ Hz}$$

$$V_{AC} = 12.5 \text{ V}, \Omega = 182 \text{ Hz}$$

After the homoclinic bifurcation of the hilltop saddle

- **Escape** enters the potential well and the *erosion* starts
- Fractal tongues of escape *separate* the basins of the non-resonant and resonant attractors, preventing safe *in-well jump*

OUT-OF-WELL PHENOMENON (9)

<u>Softening system</u> \rightarrow the erosion due to the out-of-well phenomenon is much more *dangerous* from a practical point of view, because it directly leads to *failure* of the system

Summarizing:

- Both <u>hardening</u> and <u>softening</u> escape situations are characterized, from a topological viewpoint, by a *progressive erosion process* of
 - a single in-well basin of attraction
 - the whole collection of in-well basins.
- The occurrence of a system *natural frequency* may meaningfully affect the system sensitivity, in terms of loss of DI

Monitoring *out-of-well* dynamics and evolution of *erosion* is another fundamental issue when investigating a nonlinear system.

FRACTALITY vs. COMPACTNESS (1)

The degree of *fractality* of phase space is very important for practical applications, because it is strictly linked to the *sensitivity* to initial conditions.

- Especially in *hardening* systems, basins magnitude can remain unchanged during erosion, but become more and more *tangled*, so the *distance* between attractor and basin boundary decreases dangerously, which
 - forewarns the incipient boundary crisis triggering the out-of-well phenomenon
 - entails practical unsafety of the attractor, in spite of its stability.
- Even more dangerous is the fractality in softening systems, where the basin is tangled with the escape area, which progressively erodes the safe region leading to unbounded behaviors.

fractality — sensitivity to initial conditions — unpredictable final behvior



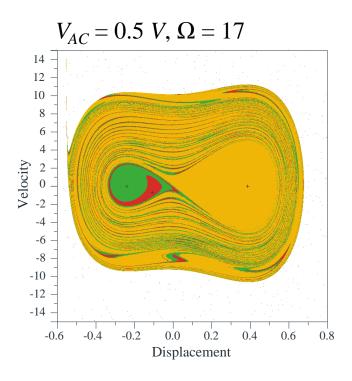
unpredictability unwanted in practice:

fractal area dangerous from a practical point of view, mostly in softening systems

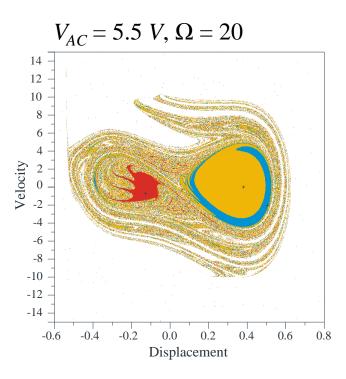
FRACTALITY vs. COMPACTNESS (2)

MEMS imperfect microbeam with axial load

(double asymmetric potential well with escape direction) (Ruzziconi, Lenci, Younis, 2013)



wide compact area (basins of bounded motions) essential to tolerate disturbances since *all* these initial conditions lead to a safe bounded attractor



■ fractal area sensitive to disturbances: a small *uncertainty* in the initial conditions may lead *in practice* to dynamic pull-in, despite theoretical simulations predict a safe bounded attractor

FRACTALITY vs. COMPACTNESS (3)

Since unpredictability is typically unwanted, for *fractal* basins it may be more expressive to refer to the *compact 'core'* of the basin, instead of its wholeness.

Fractality is a very critical issue when investigating a nonlinear system.

A fundamental issue to be taken into account:

- in the *dynamical integrity measures*
- in the definition of refined safe basins

TRANSIENT vs. STEADY DYNAMICS

Importance of the *transient*

The specific mechanical system suggests if it makes more sense to deal with *transient* or *steady dynamics*, i.e., with *short-term* or *long-term* behaviour.

There are situations where a *temporaneous* escape from potential well may be *unessential* for effective system operation, whereas it has to be strictly *avoided* in other practical situations.

This distinction also takes into account the *duration* of the excitation:

- the *transient* regime is very important and governs the whole system performance in the nonlinear dynamics due to *short-term* excitations (*impact forces, thermal impulses* or *seismic loads*);
- the *steady state* dynamics are apparently of major interest in the presence of stationary, *long-term*, excitations.

Moreover, the transient regime is:

- of minor importance if is *short* (e.g., in highly damped systems, etc.)
- **critical if is** *long* (e.g., in highly deformable systems, close to bifurcations, etc.)

OTHER ISSUES (1)

INDEPENDENCE OF THE EXCITATION PHASE

- The *phase* of periodic excitations may play an important role in determining the system response and, accordingly, its integrity.
 - i.e. in the problem of overturning of rigid blocks (Lenci and Rega, 2003).
- In these cases, one must look for *phase-independent* arguments to correctly measure the loss of integrity of the system, a point of view which is drastically different from those commonly used in non-linear dynamics.
- **Later on considered when introducing the "true" safe basin**

OTHER ISSUES (2)

HOMO/HETEROCLINIC BIFURCATIONS

- The occurrence of a global, homo/heteroclinic bifurcation of a *hilltop* saddle governs the whole system dynamics (or most of it).
- Yet, other local saddles (up to possibly infinitely many) also exist within each potential well, as a consequence of
 - instability of a single in-well periodic solution
 - onset of a chaotic saddle born at a previous homo/heteroclinic bifurcation of the coexisting hilltop.
- Though being secondary bifurcational events, the intersections of the invariant manifolds of a local saddle may also entail *meaningful* changes of system dynamics, either on the *small* scale (in-well) regime or on the *large* scale (cross-well) regime.

OTHER ISSUES (3)

SYMMETRIC/ASYMMETRIC SYSTEMS

As a rule, symmetric oscillators are *structurally unstable* and can be considered as *particular cases* of asymmetric oscillators, though they are not always a limit, in an appropriate sense, of the latter (e.g. the softening Helmholtz-Duffing equation (*Lenci and Rega, 2003*))

SMOOTH/NON-SMOOTH SYSTEMS

Non-smooth systems exhibit a further *enriched* pattern of response classes and local/global bifurcations with respect to the already involved scenario of smooth systems

BOUNDARIES OF THE SAFE BASIN BEING INVARIANT MANIFOLDS OR NOT

etc.

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- MAIN INVOLVED ISSUES
- SAFE BASIN
 - GENERAL DEFINITION
 - IN-WELL DYNAMICS
 - BOUNDED DYNAMICS
 - INDIVIDUAL ATTRACTORS
 - TRANSIENT DYNAMICS
- DYNAMICAL INTEGRITY MEASURES AND REFINED SAFE BASINS
- SELECTING MEASURES

SAFE BASIN (1)

Starting point of DI analysis:

the correct definition of safe basin,

i.e. stating accurately which are the conditions that in our system represent a *safe* situation.

This notion may vary from case to case, depending on the problem

Many *different* definitions of safe basin have been proposed, according to *which safe condition* we need to consider.

A general definition summarizing all of them:

the safe basin as the set of initial conditions sharing a common dynamical property

where such a "property" is specified case by case, based on the problem we are studying \rightarrow some examples

SAFE BASIN (2)

POTENTIAL WELL

If we need to analyze

the robustness of a given potential well,

we can consider as safe basin

the set of all initial conditions approaching bounded attractors belonging to a given potential well as $t \to \infty$

i.e. the union of the basins of attraction of all attractors belonging to a given potential well,

- *safe condition* is represented by the basins of all initial conditions leading to attractors in a given well
- unsafe condition is represented by all the other dynamics

POTENTIAL WELL

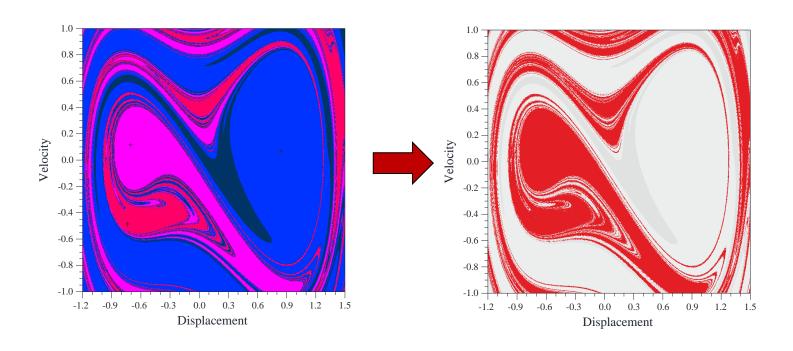
$\varepsilon \gamma_1 = 0.077$ $\varepsilon \delta = 0.1$

$$\omega = 1.17$$

$$\Psi_j = 0.0$$

Hardening Helmholtz-Düffing oscillator

(Lenci and Rega, 2004)



Safe basin



union of the basins of attraction of all attractors belonging to the *left* potential well

SAFE BASIN (4)

BOUNDED BEHAVIOR

If we need to analyze

the robustness of all bounded attractors

(regardless which is the well they belong to),

as opposed to the regions leading to escape, we can consider as safe basin

the union of all the basins of attraction

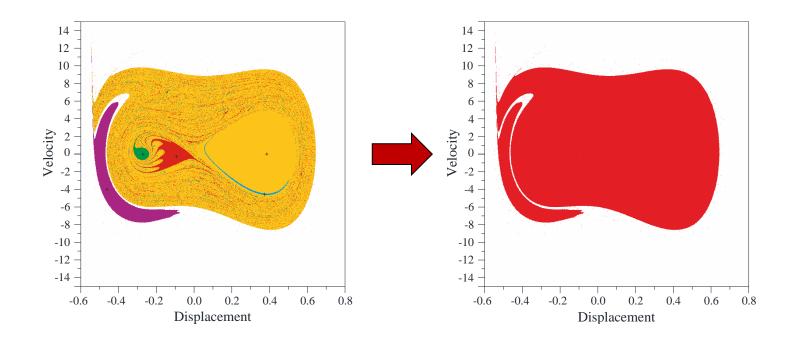
- *safe condition* is represented by all basins of attraction
- unsafe condition is represented by the escape

BOUNDED BEHAVIOR

MEMS imperfect microbeam with axial load

(Ruzziconi, Lenci, Younis, 2013)

$$V_{AC} = 2.5 \ V, \ \Omega = 17.5$$



Safe basin



union of the basins of attraction of *all* bounded attractors

SAFE BASIN (6)

INDIVIDUAL ATTRACTOR

If we need to distinguish among different attractors and analyze

the robustness of each one of them,

we can consider as safe basin

the set of initial conditions leading to a given attractor as $t \to \infty$, i.e., the basin of attraction of a given attractor

- *safe condition* is represented by only the basin of attraction of the attractor under consideration
- unsafe condition is represented by all the other dynamics (both bounded and unbounded)

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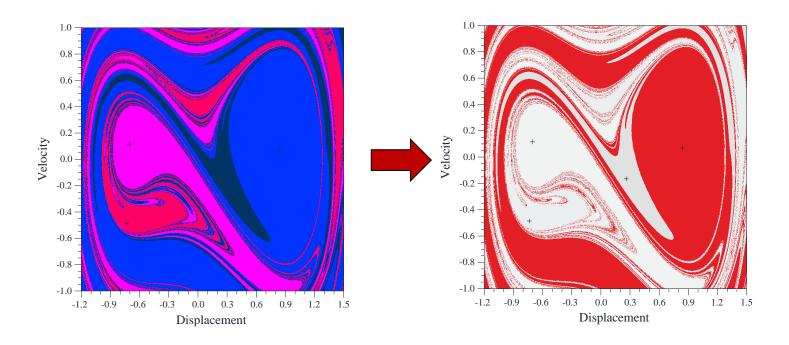
SAFE BASIN (7)

INDIVIDUAL ATTRACTOR

$\varepsilon \gamma_1 = 0.077$ $\varepsilon \delta = 0.1$ $\omega = 1.17$ $\Psi_j = 0.0$

Hardening Helmholtz–Düffing oscillator

(Lenci and Rega, 2004)



Safe basin



basin of attraction of the *non-resonant* attractor in the *right* well

SAFE BASIN (8)

TRANSIENT DYNAMICS

All previous definitions ignore transient dynamics.

To overcome this point, Lansbury *et al.*, referring to a two-well oscillator, propose the following *alternative* definition:

...We preclude any starting condition which leads to either an attractor or transient which spans both wells of the potential. The set of remaining starting conditions that lead to steady-state motion confined to one well, we define as the safe basin of attraction. In the case ... where more than one attractor coexists within a single well, the safe basin may comprise two, or more competing basins...

This definition *eliminates* the initial conditions leading to *transient out-of-well* motion.

SAFE BASIN (9)

When the safe basin is a given basin of attraction or unions of them:

- the *boundaries* of the safe basin, which play a major role, are *stable manifolds* of given saddles;
- they have a *well-defined* behaviour and properties which allows the possibility of a *full inspection* of erosion in terms of global bifurcations, i.e. their *evolution* can be studied in terms of dynamical systems theory;
- they can be computed by *standard*, efficient and worldwide available numerical techniques.

SAFE BASIN (10)

Transient dynamics-based definitions of safe basin can also rely on merely phenomenological aspects

e.g., "the initial conditions entailing transient orbits which do not cross a critical line of *collapse* in the phase space", with no care of what basins of attraction they belong to

Overall, for them:

- the *boundaries* of the safe basin are *not* invariant manifolds;
- their behaviour is *not* so well-defined;
- they require *time consuming* ad-hoc algorithms due to the on-line continuous check on the *state of the system*;
- statements like "transient spanning both wells" or "crossing a certain line" require further choices, with also a possible degree of arbitrariness.

SAFE BASIN (11)

The definition of safe basin depends on the 'safe' condition one wants to address or realize.

By simply *changing* the definition of safe basin, we can analyze *different characteristics* of the system response, i.e. we can investigate the system from

a variety of dynamical integrity perspectives

10.1 – Dynamical Integrity: Concepts and Tools (continued)



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 - GLOBAL INTEGRITY MEASURE
 - LOCAL INTEGRITY MEASURE
 - INTEGRITY FACTOR
 - ACTUAL SAFE BASIN
 - 'TRUE' SAFE BASIN
 - OTHER INTEGRITY MEASURES
- SELECTING MEASURES

MEASURES (1)

Upon properly defining the 'nominal' safe basin, an attractor (or the alternative dynamical property) can be considered as *practically stable* if its safe basin is *large enough*.

But the *meaning* of large enough is not trivial and needs a proper definition of '*magnitude*'.

The need of a suitable *dynamical integrity measure* has been highlighted by Soliman and Thompson (1989).

In their original paper:

- they quantify the dynamical integrity of a system
- they intend to *estimate* it properly, according to the requirements of engineering design.

MEASURES (2)

Choosing an *appropriate* DI measure strongly depends on the problem at hand and may significantly vary from case to case.

Within this framework, different dynamical integrity measures have been proposed, stating accurately, for each one of them, both *principal characteristics* and *limitations*.

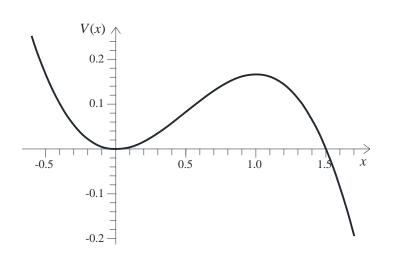
We analyze and compare those most commonly used.

GLOBAL INTEGRITY MEASURE (GIM)

is the normalized hypervolume (area in 2D examples) of the safe basin

- GIM is the *first* dynamical integrity measure introduced by Soliman and Thompson (1989)
- GIM is probably the most intuitive and easy dynamical integrity measure proposed in the literature

GIM (2)

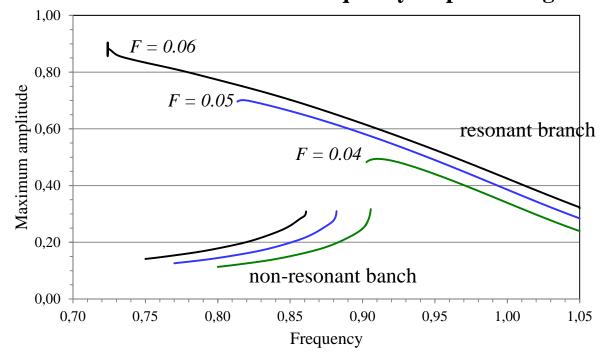


Oscillator with single asymmetric potential well and escape

(Soliman and Thompson, 1989)

$$\ddot{x} + c\dot{x} + x - x^2 = F\sin(\Omega t)$$

Frequency response diagram



Typical softening behavior at resonance:

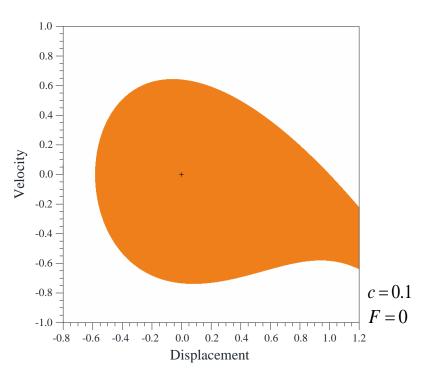
- non-resonant branch,
- resonant branch,
- bending toward lower frequencies

We analyze the *dynamical integrity* of

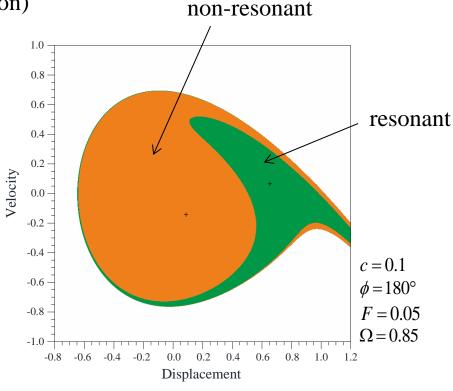
• the entire potential well (safe basin = union of basins of attraction of both resonant and non-resonant attractor)

each single attractor

(safe basin = each single basin of attraction)



reference case



case-study

Safe basin	G_{TOT}	G _{REF} (*)	$GIM = G_{TOT}/G_{REF}$
Potential well	232538	233872	99.4 %
Non-resonant attractor	174495	233872	74.6 %
Resonant attractor	58043	233872	24.8 %



scalar measure (usually written in percentage)

Operatively:

- consider the nominal safe basin
- count the total number of dots constituting the safe area
- normalize with respect to the total number of dots constituting the safe area in a reference case

^(*) *Normalization* is usually performed with respect to the safe basin evaluated at parameter values that are somehow *meaningful* for the system.

Safe basin	GIM (%)
Potential well	99.4
Non-resonant attractor	74.6
Resonant attractor	24.8

GIM – potential well: *elevated*

- potential well is partially eroded with respect to reference condition, but its robustness remains considerable;
- despite erosion, this parameter range still offers the possibility to operate the system in safe conditions

GIM – attractors: *elevated*

Although the escape solution has already eroded the well, the elevated GIM suggests that we may be confident in *catching* both attractors in *real* applications

GIM is able to provide valuable *quantitative* information about how much DI is still available, compared to the scenario used as reference

Principal characteristics:

GIM refers only to the *safe basin* and is, conveniently, *independent* of the individual attractors existing inside it

this is one major differences with respect to LIM (see next), where the reference to the attractors is explicit and directly enters the definition

Once selected the *safe basin* to be analyzed, GIM measures only the *size* (*magnitude*) of the safe basin, i.e. it is actually measuring the *probability* to catch the safe basin.

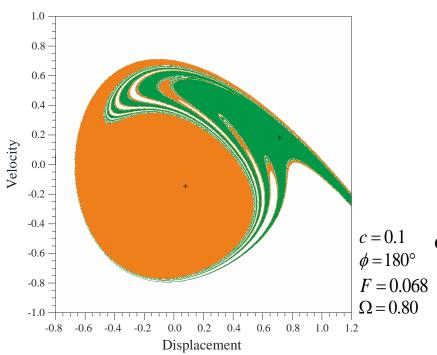
 GIM does not provide information about shape and nature of the basins (e.g., about their fractality)

GIM is computationally easy and not time consuming

This is essential for systematic investigations

Less attractive characteristics:

GIM does not differentiate between *fractal* and *compact* areas but includes in the computation *all* parts constituting the safe basin (both fractal and compact)



Compact areas represent a safe scenario, since small uncertainties do not affect the final result

Fractal areas are not safe, since small uncertainties may lead to a completely different response, which may be potentially dangerous

Computing both fractal and compact areas, GIM may meaningfully *overestimate* the practical stability

Fractality strongly reduces the set of initial conditions whose finite changes are allowed without ending up to another attractor.

Usually *only* the compact part (and *not* the fractal one) is the area of interest from the *engineering design* point of view

GIM does not account for the eccentricity of the attractors

Attractors may be located very close to the safe basin boundary.

Small disturbances can actually shift the response outside the safe basin. GIM is not able to describe this critical scenario.

Therefore (Soliman and Thompson, 1989):

- GIM is a useful tool when investigating basin boundaries *metamorphoses*;
- however, the *size* of a basin is *just one* of the factors to be considered when analyzing the finite stability of an attractor;
- *other aspects* could be of interest for engineering design, e.g. whether the basin is smooth or fractal and the position of the attractor within the basin.

Hence, the information provided by the GIM is generally incomplete.

This is because the robustness of the system response usually needs to be addressed from a *variety of different perspectives*.

Need of introducing, in addition to the GIM, other different dynamical integrity measures

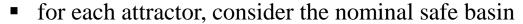
LOCAL INTEGRITY MEASURE (LIM)

is the normalized maximum radius of the hyper-sphere (circle in 2D cases) entirely belonging to the safe basin and centered at the attractor

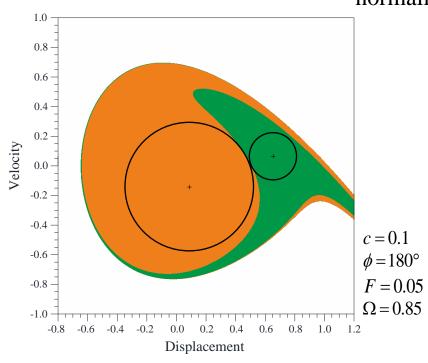
- LIM is the *second* dynamical integrity measure introduced by Soliman and Thompson (1989)
- The main target of LIM is to study the dynamical integrity aspects where GIM is not satisfactory:
 - whether the basin is smooth or fractal
 - position of the attractor within the basin

LIM (2)

Operatively:



- draw the largest circle centered at the attractor and entirely belonging to the safe basin and evaluate its radius
- normalize with respect to a reference condition



LIM analyzes each single attractor, one by one, separately

LIM measures *how close* the attractor is to the *basin boundary*

Safe basin	L_{TOT}	$L_{ m REF}$	$LIM = L_{TOT}/L_{REF}$
Non-resonant attractor	156.1	211.0	74.0 %
Resonant attractor	58.6	211.0	27.8 %

Principal characteristics:

LIM measures the *compact* – or "*safe*" – part of the safe basin, ruling out all the fractal regions

- since the circle used for the evaluation of the LIM has to be entirely belonging to the safe basin, only the compact parts may enter its calculation, and not the fractal ones
- LIM is a *more conservative* measure, thus being *safer* from an engineering point of view; this feature is even more apparent when the safe basin is disconnected, as it may occur for attractors with periodicity greater than one
- this is the *major* difference (and usually also the major advantage) with respect to GIM

LIM is a *property* of the attractor

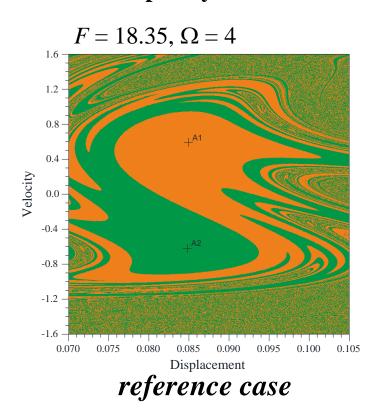
LIM informs on the *eccentricity* of the attractor

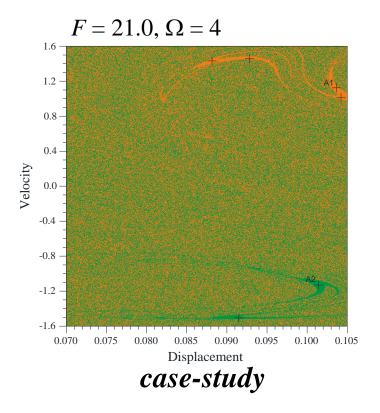
Single-mode model of a cable-supported beam

(Lenci and Ruzziconi, 2009)

$$\ddot{x} + 0.1\dot{x} + 26.75x + 130.43x^2 + 6688.96x^3 = F\cos(\Omega t)$$

There are only two periodic attractors, but, increasing the excitation amplitude, the entire phase space becomes completely fractal





Safe basin	G_{TOT}	G_{REF}	GIM (%)	L_{TOT}	L_{REF}	LIM (%)
Attractor 1	276718	262467	105 %	6.1	79.0	7.7 %
Attractor 2	241676	255927	94 %	9.9	64.9	15.2 %

The larger is the magnitude of a basin the larger is the *probability* of approaching the final response; yet, due to the extended fractality, almost all the phase space is *unpredictable*, i.e. we cannot know *exactly* in advance the final outcome

In this parameter range the system, despite being *deterministic*, acts like a *probabilistic* one due to the difficulty in predicting the type of motion it may follow

Safe basin	GIM (%)	LIM (%)
Attractor 1	105 %	7.7 %
Attractor 2	94 %	15.2 %



GIM: elevated; **LIM:** small we have *reliable* information on the *probability* of approaching a given motion

However, since unpredictability is unwanted in practice, DI analysis recommends considering this parameter range as dangerous from a practical point of view, although there are only two apparently innocuous periodic attractors

> GIM analysis is important, but usually not exhaustive (in this case, totally *misleading*!)

GIM and LIM are two *complementary* measures for the dynamical integrity analysis of a nonlinear system

Less attractive characteristics:

LIM is really effective to study each single attractor, but becomes unclear - or cumbersome - when we need to study the potential well (LIM is a property of the attractor and not of the potential well)

LIM is not always computationally easy, and may be *numerically onerous*, especially when the in-well attractor is chaotic.

LIM has not a clear *theoretical background* permitting an in-depth investigation, although is certainly somehow linked to classical dynamical phenomena

IF (1)

INTEGRITY FACTOR (IF)

is the normalized radius of the largest hyper-sphere (circle in 2D cases) entirely belonging to the safe basin

- The aim of IF is to overcome the *drawbacks* of GIM and LIM, without losing their advantages. In particular:
 - accounting for the only *compact* part of the safe basin, ruling out the fractal one (as LIM)
 - being able to investigate not only the attractors, but also and remarkably the potential well (as GIM)

■ **IF is introduced by** *Lenci and Rega* (2003)

Düffing oscillator – Twin potential well, asymmetric

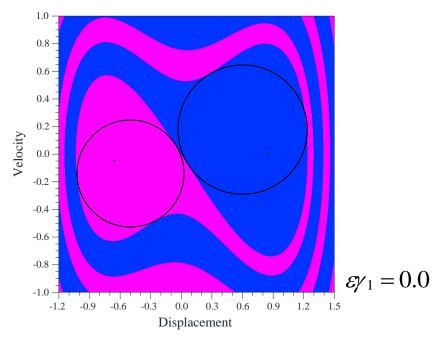
(Lenci and Rega, 2004)

$$\ddot{x} + \varepsilon \delta \dot{x} - \sigma x - \frac{3}{2}(\sigma - 1)x^2 + 2x^3 = \varepsilon \gamma_1 \sum_{j=1}^{\infty} \frac{\gamma_j}{\gamma_1} \sin(j\omega t + \Psi_j)$$

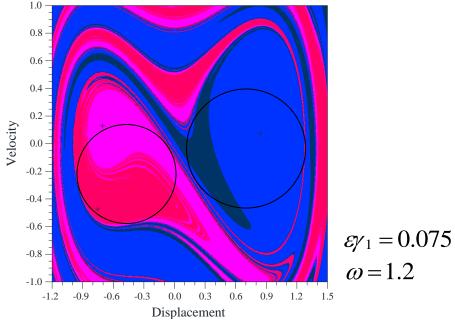
 $\mathcal{S} = 0.1$ $\Psi_j = 0.0$ $\sigma = 1.2$

63

The aim is to analyze the *robustness* of *each* potential well



reference case



case-study

IF (3)

We consider as *safe basin* the union of basins of all attractors belonging to the same potential well

Accordingly, in this case-study there are two safe basins:

- 1) one consists of all basins of attraction leading to the *right* well
- 2) the other one, of all basins of attraction leading to the *left* well

For each safe basin, we draw the *largest circle* entirely belonging to it and compute its radius

- IF does not distinguish between different in-well attractors (thus being able to analyze the *potential well*)
- Only the *compact* part of the safe basin is taken into account

We finally *normalize* with respect to a reference value

Safe basin	I_{TOT}	$I_{ m REF}$	$IF = I_{TOT}/I_{REF}$
Potential well - left	128.8	139.1	92.6 %
Potential well - right	154.6	167.9	92.1 %



The larger are the circles (IF), the larger is the *cross-well integrity* of the basin, the smaller is the *cross-well fractality* and the related sensitivity to initial conditions

The definition of IF is exactly *equal* to the definition of LIM (desirable to remove fractal tongues),

except that does not specify the hyper-sphere to be "centered at the attractor"

(desirable to **investigate the potential well**)

IF (5)

Principal characteristics:

IF investigates only the compact 'core' of the safe basin, ruling out the fractal tongues from the DI evaluation

■ IF is a rather *conservative* measure, which is appropriate for engineering purposes

IF is a property of the *safe basin* (and not of the attractors)

- IF investigates the *safe basin*, and is independent of the attractors inside it
- able to investigate the *potential well*

IF is really *easy* in the evaluation

Less attractive characteristics:

IF has not a clear theoretical background

IF does not inform about the *eccentricity* of the attractors which may be relevant in many practical case-studies.

COMPARISON

By definition:

- in absence of *fractal* basins boundaries GIM, LIM and IF are somehow *equivalent*
- only in presence of fractality the use of LIM or IF may become necessary

LIM and IF differ significantly from each other when the attractor is not "centered" in its basin.

ACTUAL SAFE BASIN (1)

MOTIVATION

We keep addressing the issue of analyzing the sole *compact* part of the basin, which also corresponds to ruling *fractality* out of calculation

The methods analyzed so far are based on the definition of DI *measures* which are able to eliminate the fractal parts

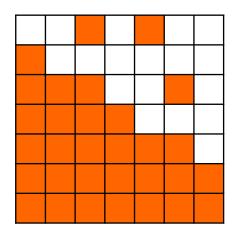
Now, we pursue the same target via a *conceptually* different approach. The *idea* is:

- keeping using the same DI *measures* already existing in the literature, *but*
- modifying properly the *definition* of *safe* basin, so that fractal parts are eliminated (or strongly reduced)

Within this framework, the <u>Actual Safe Basin</u> is introduced (Lenci and Rega, 2013)

ACTUAL SAFE BASIN (2)

The actual safe basin moves from the observation that, in practice, safe basins are always obtained in a *discrete* way, i.e. by approximating the *continuous phase space* with a *finite number of cells*, which are pixels in any graphical representation



Each cell contains a number (colour in the picture)
making reference to the considered dynamical property
(kind of attractor, for basins of attraction)

The "actual" safe basin is defined as that obtained by eliminating from the nominal safe basin all cells which are not surrounded by cells of initial conditions having the same number

ACTUAL SAFE BASIN (3)

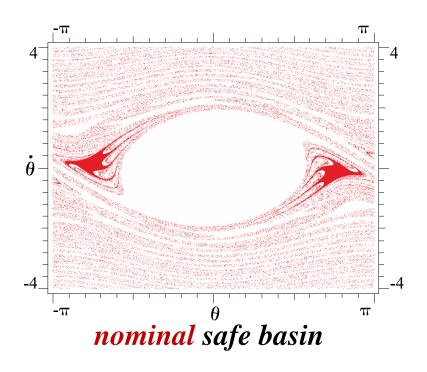
Rotating pendulum (Lenci et al., 2013)

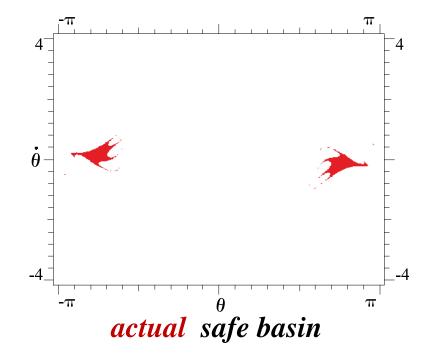
$$\ddot{\theta} + h\dot{\theta} + [1 + p\cos(\omega t)]\sin\theta = 0$$

$$h = 0.015$$

 $\omega = 1.3$
 $p = 0.12$

we consider the *nominal* safe basin constituted by the basin of period-2 oscillation, and construct the associated *actual* safe basin





cells *not* surrounded by other cells of the same safe basin are eliminated

ACTUAL SAFE BASIN (4)

Principal characteristics:

fractal parts no longer present (strongly reduced) and the actual safe basin has a substantially compact shape (however, it may be disconnected)

conceptually *simple* and *easy* to implement

Less attractive characteristics:

the procedure also eliminates a *stripe*, of one cell width, along the entire boundary of the compact part of the nominal safe basin

• if the accuracy of the basin discretization (i.e. the number of cells) is large enough, this does *not* entail meaningful underestimations

ACTUAL SAFE BASIN (5)

Having preliminarily eliminated (reduced) the fractal parts, we no longer need to pay attention to the definition of the DI *measure*

Using the GIM (which is the easiest one), and evaluating it for the Actual Safe Basin, we get the

Actual Global Integrity Measure (AGIM).

By definition:

AGIM < GIM

Rotating pendulum

Safe basin	Pixel	
Nominal safe basin	43519	
Actual safe basin	5786	



AGIM is 13.3 % of GIM due to the extended fractality

Anyway, AGIM is much less conservative than LIM or IF whenever the though compact part of the basin of attraction is disconnected



"TRUE" SAFE BASIN (1)

MOTIVATION

In previous analyses we did *not* care about the *phase* of the excitation, which may be *free* and/or *unknown* in engineering applications

To address this issue, instead of evaluating the DI at each phase and/or introducing a new ad hoc measure, an *approach* similar to the "actual" safe basin has been proposed.

The *same* DI measures already existing in the literature are used, *but* the considered definition of safe basin is *properly* modified, by introducing the "*True*" *Safe Basin* (*Lenci and Rega, 2004*)

The "True" Safe Basin is the intersection of all safe basins when the excitation phase ranges over the time interval of interest

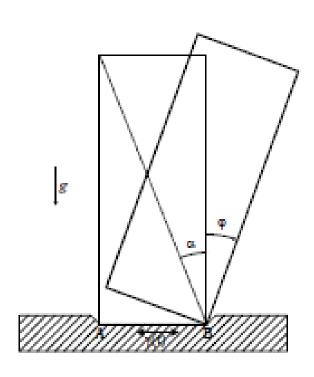
The interval of interest may be:

- the period, for periodic excitations
- the period of free vibration, for impulsive excitation
- etc.

"TRUE" SAFE BASIN (2)

Overturning of the rest position of rigid blocks

(Lenci and Rega, 2004)



$$\gamma_1 = 0.20$$
 $r = 0.95$

$$r = 0.95$$

$$\omega = 3.5$$

$$\omega = 3.5$$
 $\alpha = 0.2$

$$\delta = 0.02$$

$$\ddot{\phi} + \delta \dot{\phi} - \phi + \alpha + \gamma(t) = 0 \qquad \phi > 0$$

$$\ddot{\phi} + \delta \dot{\phi} - \phi + \alpha + \gamma(t) = 0 \qquad \phi > 0$$
$$\ddot{\phi} + \delta \dot{\phi} - \phi - \alpha + \gamma(t) = 0 \qquad \phi < 0$$

$$\dot{\phi}(t^+) = r\dot{\phi}(t^-) \qquad \qquad \phi = 0$$

where:

$$\gamma(t) = \sum_{j=1}^{N} \gamma_j \cos(j\omega t + \psi_j)$$

generic $2\pi/\omega$ periodic external excitation representing the horizontal dimensionless acceleration of the foundation

- δ damping coefficient
- α block shape parameter
- restitution coefficient r

"TRUE" SAFE BASIN (3)

- In the problem of *overturning* of the rest position, the initial condition is fixed and the excitation phase is *unknown*
- It can, and *does*, occur that for a given phase ψ the block does not overturn, while it topples down for a different ψ



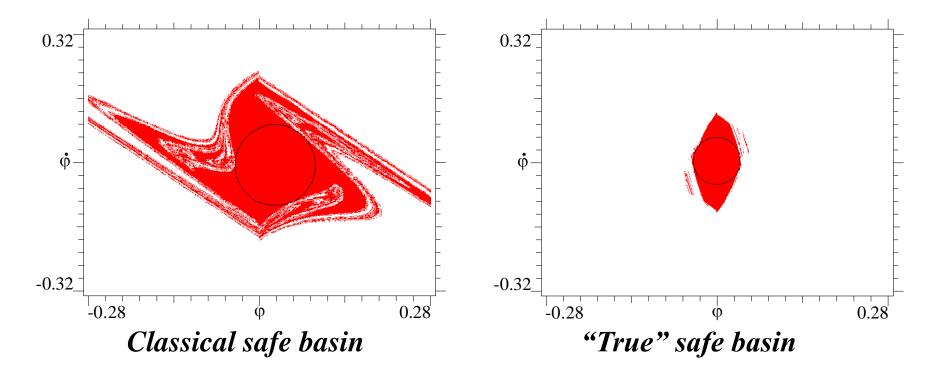
important role played by the excitation phase w

- Safe basins of attraction in the classical sense (the union of basins of all in-well attractors), related to a *fixed* ψ , do *not* provide adequate information.
- Hence, we have to look for *phase-independent* arguments:



defining the "true" safe basin

"TRUE" SAFE BASIN (4)



- Very different *magnitude*: classical safe basin largely overestimates the integrity
- More *regular*: fractal parts are strongly reduced
- Compact and "closer" to the circle involved in the definition of IF

"TRUE" SAFE BASIN (5)

Construction

- boundaries of classical safe basins are the stable sets of the hilltop saddles of the 2D stroboscopic Poincaré map associated with the 3D flow
- varying the excitation phase is equivalent to change the time position of the Poincaré section
- looking for the union of all these sections corresponds to *project* the 2D stable manifolds, in the 3D phase space $(\varphi, \dot{\varphi}, t)$, onto the plane $(\varphi, \dot{\varphi})$
- the "true" safe basin will then be the area out of this projection surrounding the rest position (0,0).

"TRUE" SAFE BASIN (6)

Principal characteristics:

Is the smallest *phase-independent* set of initial conditions leading to "safe" dynamics

Less attractive characteristics:

Its construction is computationally *expensive*

- time consuming
- needs of skill numerical algorithm

Once the "true" safe basin has been defined, GIM, LIM, IF or other measures can be applied to study its dynamical integrity

OTHER MEASURES (1)

Other distance-based measures of DI have been proposed in the literature:

- Impulsive Integrity Measure (IIM) (Soliman and Thompson, 1989)
- Stochastic Integrity Measure (SIM) (Soliman and Thompson, 1989)
- **Protection Thickness** (Sun, 1994)
- Maximum Speed of Erosion (σ) (de Souza Jr and Rodrigues, 2002)
- **Ratio of Safe Initial Points (RSIP)** (Gan and He, 2007)

OTHER MEASURES (2)

IMPULSIVE INTEGRITY MEASURE (IIM)

is the normalized attractor-basin boundary minimum distance in the direction of the *velocity* coordinate

- meaningful measure in *impulsive* problems
- the aim is to analyze the sensitivity of an attractor subjected to *impact loading*, to inform about the size of impulse that could be sustained without failure
- moves from the observation that, when subjected to an impulse, the system could be thought to experience an instantaneous step change in velocity

OTHER MEASURES (3)

STOCHASTIC INTEGRITY MEASURE (SIM)

mean escape time when the attractor is subjected to white noise of prescribed intensity

- meaningful measure in the *stochastic framework*
- quantifies the effects of a *noise excitation* superimposed to a basic deterministic (harmonic) excitation, by correlating them with *geometric changes* experienced by the deterministic basin of attraction

OTHER MEASURES (4)

MAXIMUM SPEED OF EROSION (σ)

is an indicator of loss of *global safety* and quantifies the *swiftness* with which safe basins are lost as the driving parameter (excitation amplitude, in the original paper) is increased.

• is defined as:

$$\sigma = \max \left\{ \frac{abs(S_{i+1} - S_i)}{F_{i+1} - F_i}, i = 1, 2, ..., N - 1 \right\}$$

where:

 S_i = ratio of safe to total starting conditions within the window used

 F_i = driving parameter

 $S_{i+1} - S_i$ is usually negative, thus the absolute value is taken

OTHER MEASURES (5)

PROTECTION THICKNESS of a period-K attractor with K disjoint subsets of cells in the state space

is the minimum of the *K* distances between each subset and the set of multi-domicile cells

- formulated in the generalized cell mapping terminology, with a view to the effect of random disturbances
- is nearly identical to the LIM

RATIO OF SAFE INITIAL POINTS (RSIP)

estimates the probability that the system works satisfactorily in a given limited domain within a *specified time interval*

• meaningful measure in the *stochastic framework*

COMMENTS

Other dynamical integrity measures relying on alternative geometric (e.g., distances of different hyper-volumes) or mechanical (e.g., energy-based) criteria could be introduced

All the reported DI measures are *conceptually* different from each other, since each one has been proposed in the literature to address *different* issues.

It stands to the *designer* selecting *which one should be used*, according to the information needed, i.e. to the *problem to be addressed*.

CONTENTS

- INTRODUCTION and LINES OF ANALYSIS
- MAIN INVOLVED ISSUES
- SAFE BASIN
- DYNAMICAL INTEGRITY MEASURES AND REFINED SAFE BASINS
- SELECTING MEASURES for
 - ROBUSTNESS OF POTENTIAL WELL
 - PROBABILITY OF ATTRACTORS
 - PRACTICAL DISAPPEARANCE OF ATTRACTORS

SELECTING MEASURES

Using one measure or the other to describe system global dynamics depends on the safe condition one wants to achieve or analyze

- GIM accounts for also the transient, i.e. for thin fractal and/or smooth tongues of the basins of two *competing attractors*, and is thus able to provide information on the probability to catch either one of them.
- But an elevated probability does not entail *actual robustness* in system operation. In this respect, LIM which is much lower than GIM provides more reliable information about possible practical disappearance of the attractor. Also, LIM provides a good estimate of the *attractor robustness* if it is *eccentric* with respect to its safe basin.
- Nevertheless, LIM becomes unclear (or cumbersome) when analyzing the robustness of the whole potential well. In this case, IF should preferably be used, since it does not refer to an attractor.

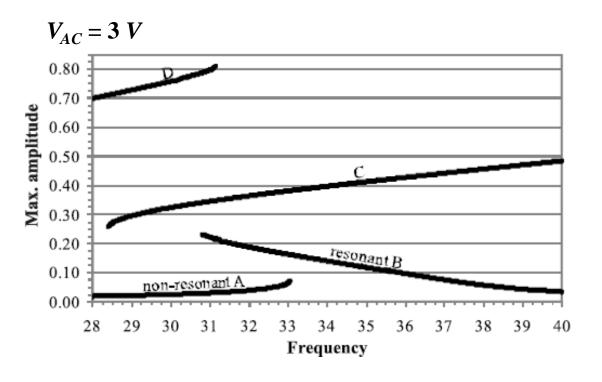
CASE STUDY (1)

Slacked carbon nanotube (CNT)

(Ruzziconi, Younis, Lenci, 2013)

$$\ddot{Y} + 0.01\dot{Y} + 1390.2 - 11570.8\dot{Y}^2 + 36392\dot{Y}^3 - \frac{0.2658}{(0.5687 - \dot{Y})^2}(V_{DC} + V_{AC}\cos(\Omega t))^2 = 0$$

In this case-study, the scenario is rather rich, due to the coexistence of *several* competing attractors with different characteristics:



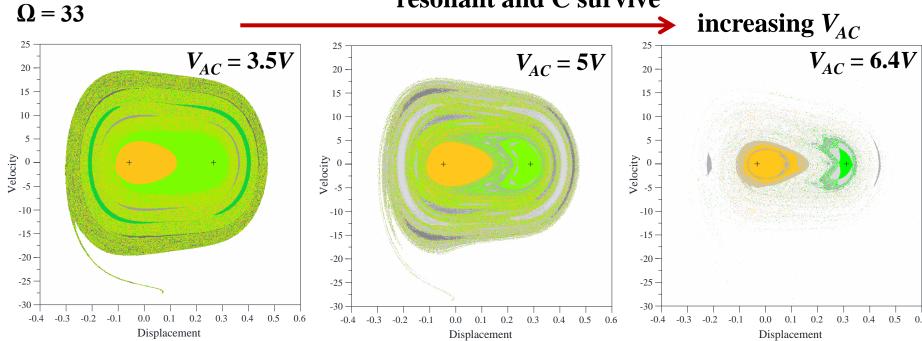
resonant and non-resonant branches with bending toward lower frequencies

attractor C oscillation enlarging at increasing frequencies

attractor D
large oscillations

CASE STUDY (2)

non-resonant and large oscillations disappeared resonant and C survive



- principal attractors with large basin
- minor attractors with small basin
- large fractality (but without escape)

- minor attractors enlarge their basins
- they reduce the basin of principal ones
- escape still outside the fractal region
- escape enters the well (dangerous) and erodes large parts of the basins

CASE STUDY (3)

The system has a rich nonlinear behavior; thus, to have a complete and detailed description of the device response, we need to combine information coming from different DI perspectives

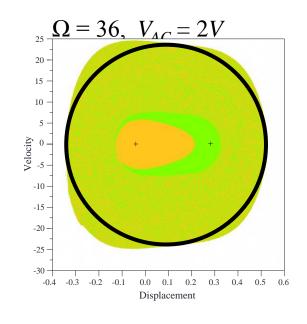
In the following we analyze:

- Robustness of the whole potential well
- **■** For each single attractor
 - probability
 - disappearance

by looking at

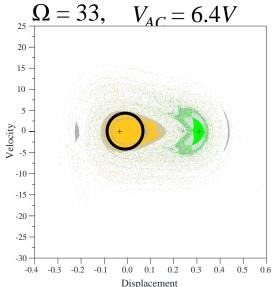
- Integrity profiles: how a DI measure varies with a varying control parameter (usually, frequency or amplitude of excitation)
- Integrity charts: providing contour lines of DI in a control parameter space (usually frequency *and* amplitude of excitation)

POTENTIAL WELL (1)



Robustness of the whole POTENTIAL WELL: detect the parameter range where the well is wide enough to tolerate disturbances

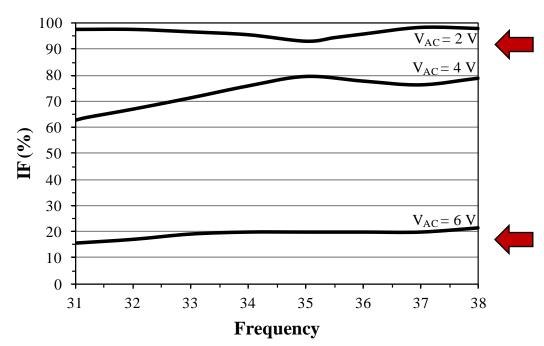
Safe basin: union of all basins of attraction Integrity measure: IF



- IF is very *suitable* to investigate the dynamical integrity of the potential well.
- In fact, IF is *easy* and *simple* to be computed, and immediately *suggests* if the well is robust or not, i.e. if it is worth to further investigate a certain parameter range

POTENTIAL WELL (2)

IF integrity *profile*



IF dynamical integrity chart, to summarize the *overall* scenario when both frequency and voltage are varying

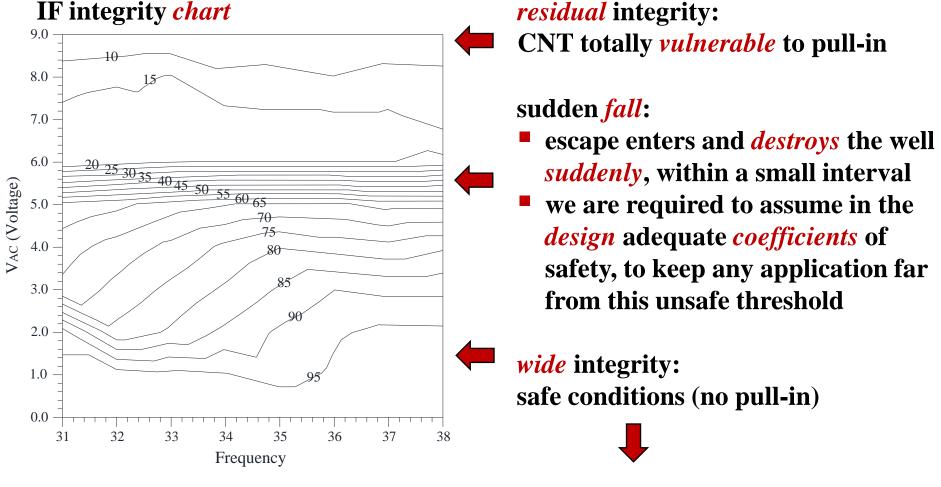
IF is *large*:

- the well is robust
- guarantees the possibility of safe scenario, far from the danger of dynamic pull-in

IF completely **drops**:

- danger of dynamic pull-in arises much before the theoretical inevitable escape
- this range is *not worth* to further refine simulations, since each single attractor is equally or *even more* vulnerable than the *well*

POTENTIAL WELL (3)

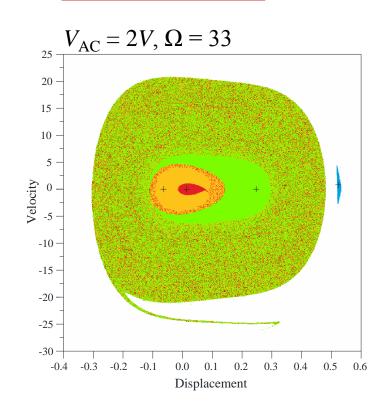


In the following we analyze *only this range*, since the *IF* dynamical integrity analysis highlights that this is the only range *worth* for further investigations

PROBABILITY (1)

Once detected the *safe* parameter range, we analyze the possible *behaviors* existing in the well by exploring *separately* the DI of the principal attractors

PROBABILITY to catch each single attractor



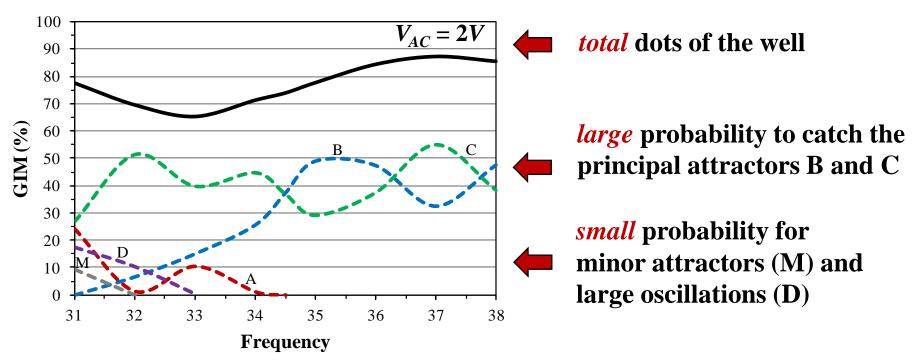
- if the magnitude of its basin of attraction is very *narrow*, from a practical point of view the corresponding attractor does *not* exist;
- otherwise, it may effectively operate the device and may deserve further investigations

Safe basin: each basin of attraction

Integrity measure: GIM

PROBABILITY (2)

GIM integrity profile



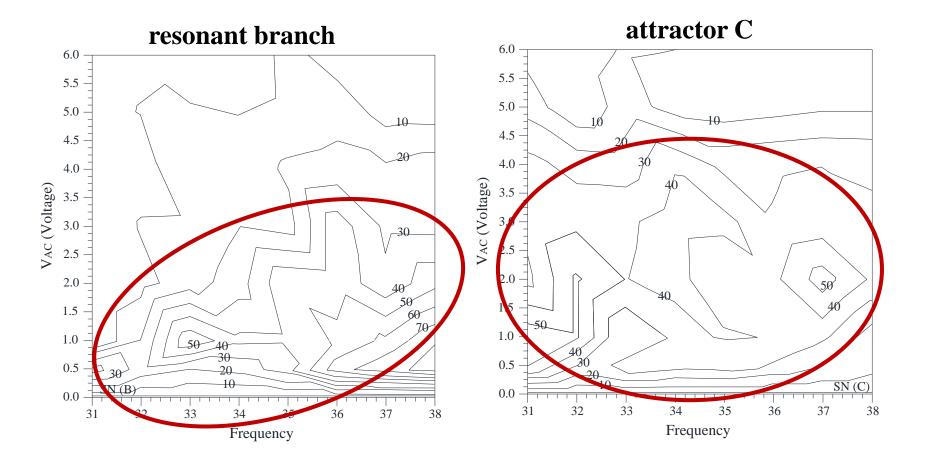
In the safe range (of the potential well), the motion is *dominated* by the *principal* attractors (especially B and C). They are *by far* the most probable ones.

The secondary minor attractors practically do *not* exist (not feasible in practice).

PROBABILITY (3)

GIM integrity charts

confirm a large parameter range where both attractor C and the resonant branch B can be effectively caught in practice



PROBABILITY (4)

Hence, despite the extensive fractality and the multistability *GIM* analysis clearly indicates which attractors can be *effectively* observed (in this case, only B and C).

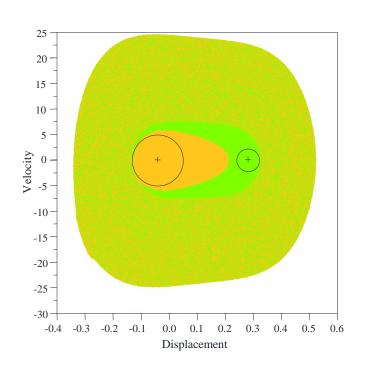
Their number is *smaller* than the number of theoretical attractors.

- However, the high *probability* to catch these attractors does not guarantee to operate the device with them.
- This is because in many parameter ranges these attractors are paralleled with a *small* compact part of their basins of attraction, which may be not robust enough to tolerate the inevitable uncertainties in the initial conditions.
- Consequently, the attractors may practically disappear.

DISAPPEARANCE (1)

To have a more detailed description of the scenario that may occur, we need to examine also the *practical disappearance* of the most probable attractors, in order to have information about the range where each one of them can be *reliably* observed under realistic conditions.

DISAPPEARANCE of each single attractor

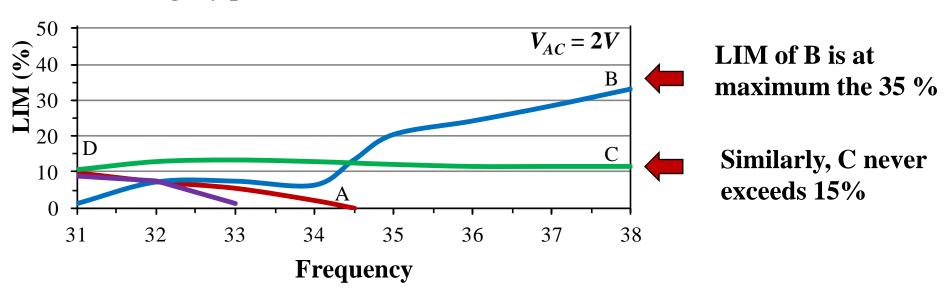


Safe basin: each basin of attraction

Integrity measure: LIM

DISAPPEARANCE (2)

LIM integrity profile



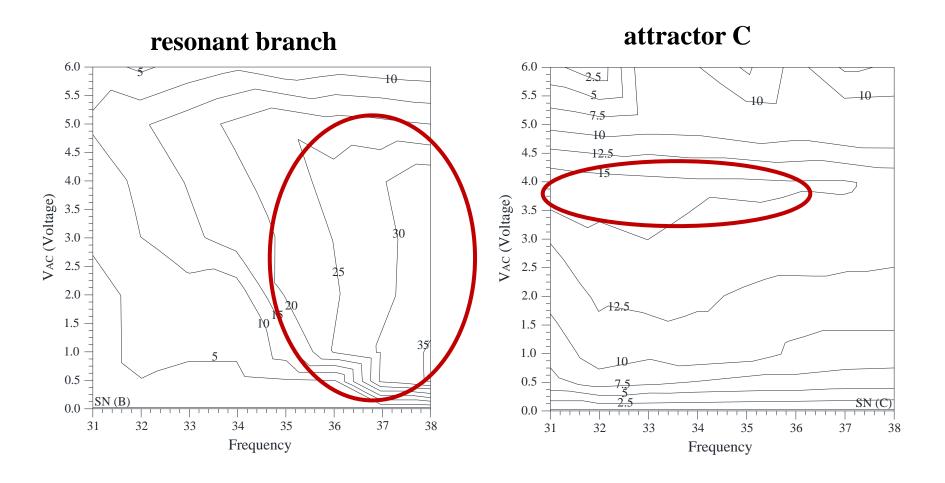
Even if there is a large probability to catch both B and C, the compact area around each attractor is not so wide, except in small parameter ranges. So, each attractor is very sensitive to disturbances:

- a small disturbance may make the attractor disappear
- the response may jump to another one of the most probable motions

DISAPPEARANCE (3)

LIM integrity charts

confirm the sensitivity to disturbances (small LIM) along large part of the parameter range, both for the resonant branch and for C



SUMMARY

Combined use of integrity charts provides a detailed description of the device safety under realistic conditions:

• overall safe (i.e., bounded) behavior occurs up to $V_{AC}=5~V$, after which there is vulnerability to pull-in, i.e. the safe range is smaller (considerably smaller!) than the theoretical one (analysis of the potential well robustness through IF)

• in the safe range, *large probability* to catch B and C (analysis of the <u>attractor probability</u> through GIM

but both B and C are very sensitive to disturbances: if large disturbances are expected, they may practically vanish, ending up to a coexisting bounded attractor or to pull-in depending on the amount of the disturbance (analysis of practical disappearance through LIM)

