

SCC0602 - Algoritmos e Estruturas de Dados I

Graphs



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Today

- Abstract data types
 - Queues
- Graphs
- Graph representations
- Traversing graphs
 - Breadth-First Search
 - Depth-First Search
- Topological sort

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Abstract Data Types (ADTs)

- Mathematical entity that defines data structures separating:
 - Specification
 - What are the values the data structure can assume and the possible operations on these values
 - Implementation
 - How the values and operations are implemented

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Abstract Data Types (ADTs)

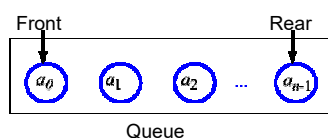
- Examples of ADTs
 - Vector
 - List (Sequence)
 - Doubly linked list implementation
 - Dynamic set ADTs
 - Stack
 - Queue
 - Dequeue
 - Priority Queue

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Queue ADT

- Insertion and removal of elements follows the **first-in-first-out (FIFO)** principle
 - Elements may be inserted at any time
 - But only the oldest element in the queue can be removed
 - Elements are inserted at the **rear** (enqueued) and removed from the **front** (dequeued)



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Queue ADT

- Constructor:
 - **make**(): *Queue* - Creates an empty queue
- Access functions:
 - **size** (*S:Queue*): *integer* - returns size of the queue
 - **isEmpty** (*S:Queue*): *Boolean* - tells if queue is empty
 - **front** (*S:Queue*): *element* - return element at the front
- Manipulation procedures:
 - **Enqueue** (*S:Queue, o:element*): *Queue* - Inserts object *o* at the rear of the queue
 - **Dequeue** (*S:Queue*): *Queue* - Removes the object from the front of the queue

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Array Implementation

- Create a queue using an array in a circular fashion
- A maximum size N is specified
- The queue has an N -element array Q and two integer variables:
 - f , index of the front element (head, for dequeue)
 - r , index of the element after the rear element (tail, for enqueue)

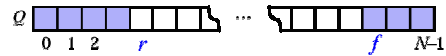


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Array Implementation

- "wrapped around" configuration



- what does $f=r$ mean?

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An Array Implementation

- Pseudo code

```

Algorithm size()
return (N-f+r) mod N

```

```

Algorithm isEmpty()
return size()=0

```

```

Algorithm front()
if isEmpty() then
return Error
return Q[f]

```

```

Algorithm dequeue()
if isEmpty() then
return Error
Q[f]=null
f=(f+1) mod N

```

```

Algorithm enqueue(o)
if size = N - 1 then
return Error
Q[r]=o
r=(r+1) mod N

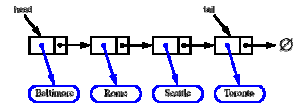
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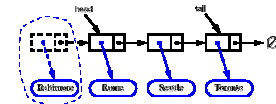
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Using List ADT

- List ADT implemented as a singly linked list can be used



- Dequeue(S): S.remove(S.first())

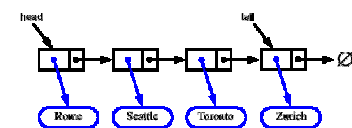
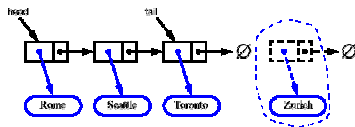


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Using List ADT

- Enqueue(S, e): S.insertLast(e)



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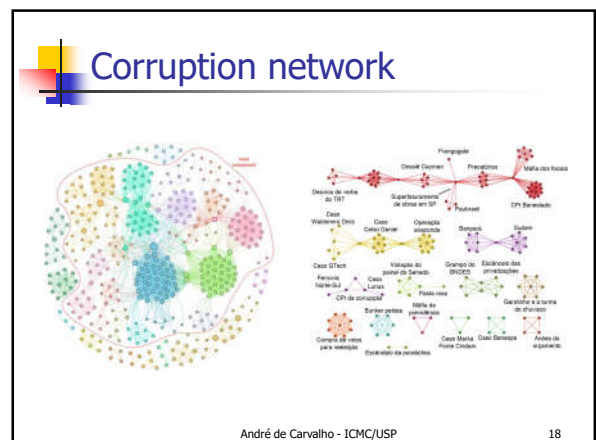
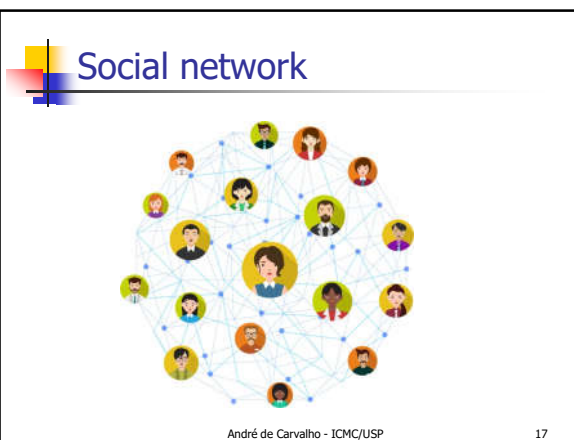
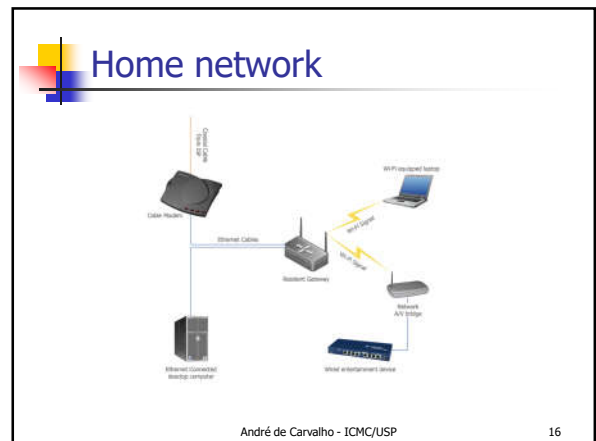
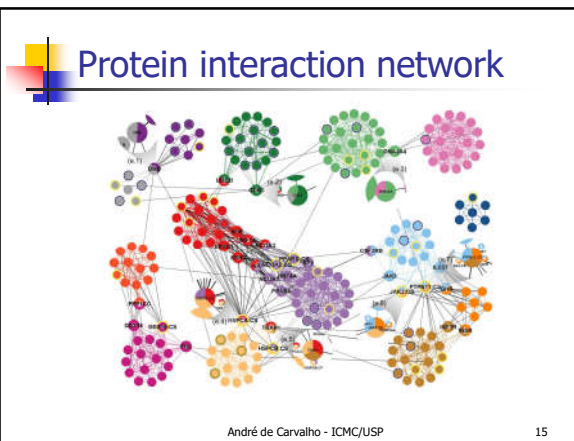
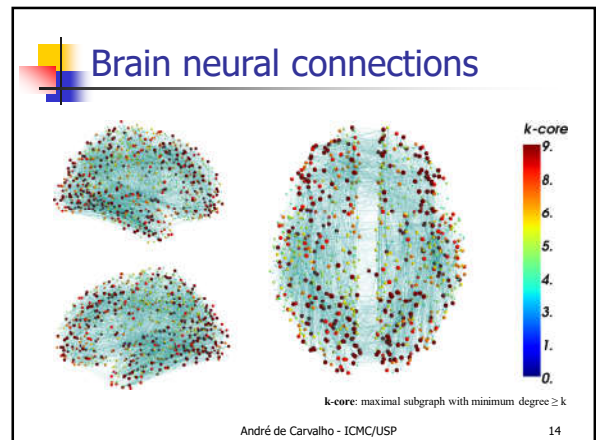
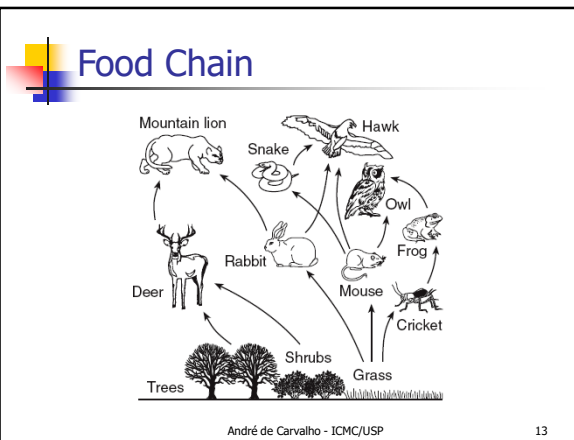
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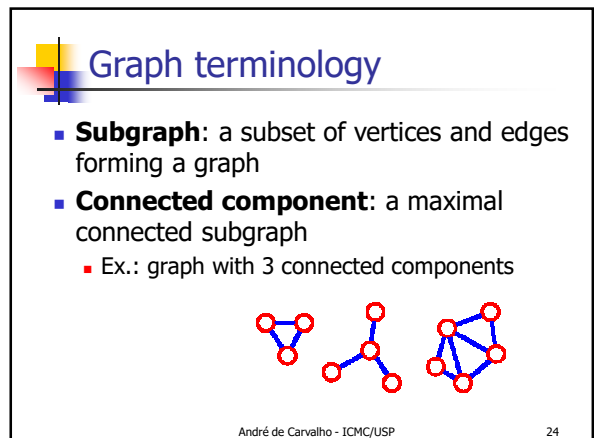
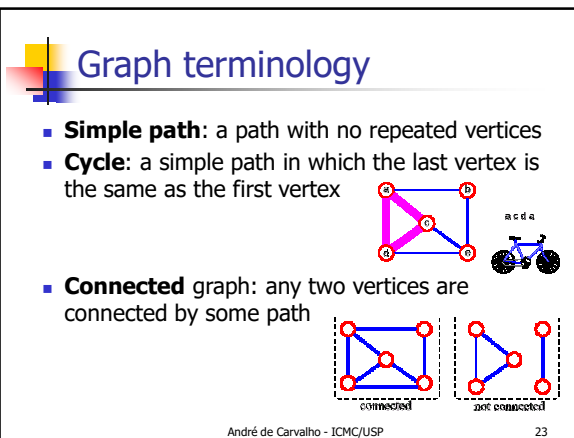
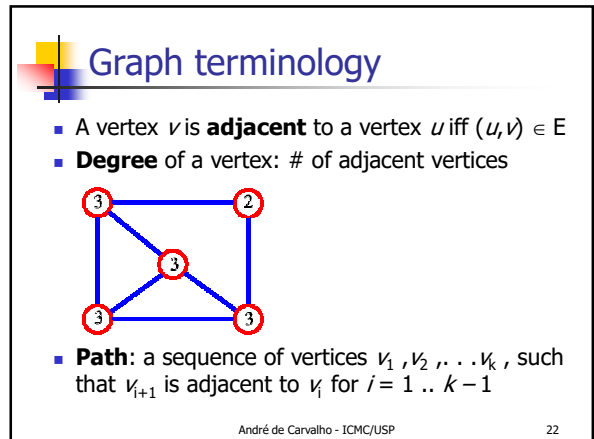
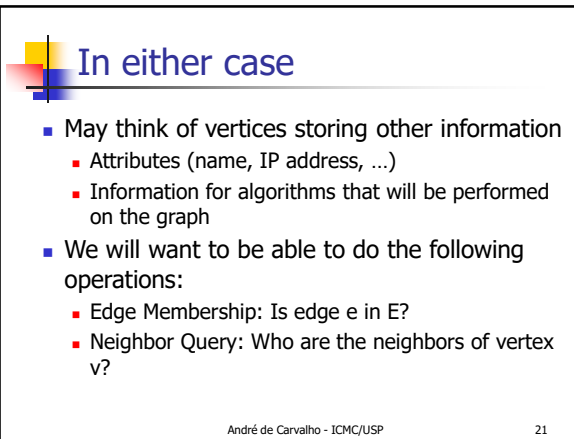
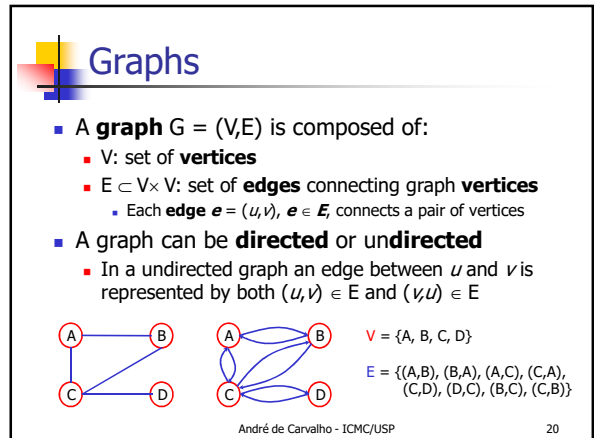
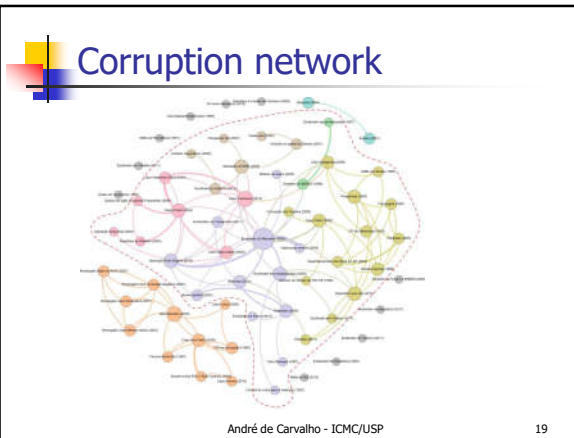
Graphs

- Used in many applications
 - Electronic circuits
 - Energy distribution
 - Transport between places
 - Communication networks
 - Relations between
 - Components
 - People
 - Proteins

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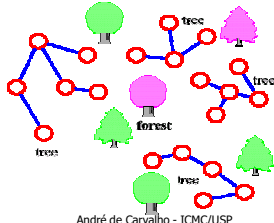
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Graph terminology

- **(free) tree**: connected graph without cycles
- **forest**: collection of trees



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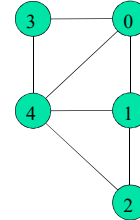
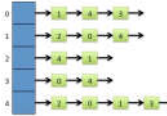
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Data structures for graphs

- Adjacency matrix

	0	1	2	3	4
0	0	1	0	1	1
1	1	0	1	0	1
2	0	1	0	0	1
3	1	0	0	0	1
4	1	1	1	1	0

- Adjacency list

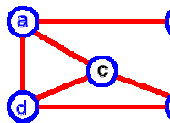


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Adjacency matrix

- Matrix M with entries for all pairs of vertices
 - $M[i,j] = \text{true}$ if there is an edge (i,j) in the graph
 - $M[i,j] = \text{false}$ if there is no edge (i,j) in the graph
 - Space = $O(|V|^2)$



	a	b	c	d	e
a	0	1	1	1	0
b	1	0	0	0	1
c	1	0	0	1	1
d	1	0	1	0	1
e	0	1	1	1	0

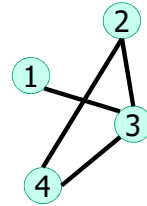
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Adjacency matrix

- Option 1: adjacency matrix

	1	2	3	4
1	0	0	1	0
2	0	0	1	1
3	1	1	0	1
4	0	1	1	0



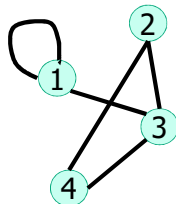
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Adjacency matrix

- Option 1: adjacency matrix

	1	2	3	4
1	1	0	1	0
2	0	0	1	1
3	1	1	0	1
4	0	1	1	0



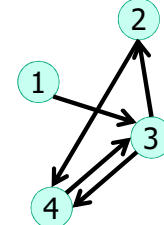
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Adjacency matrix

- Option 1: adjacency matrix

	Destination	1	2	3	4
Source	1	0	0	1	0
2	2	0	0	0	1
3	3	0	1	0	1
4	4	0	0	1	0



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Adjacency list

- Option 2: linked lists

How would you modify this for directed graphs?

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Graph representation

Generally better for sparse graphs

Suppose there are n vertices and m edges	$\begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$	
Edge membership Is $e = \{u, v\}$ in E ?	$O(1)$	$O(\deg(v))$ or $O(\deg(u))$
Neighbor query Give me v 's neighbors	$O(n)$	$O(\deg(v))$
Space requirements	$O(n^2)$	$O(n + m)$

We'll assume this representation for the rest of the class

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Pseudocode assumptions

- Graph** ADT with an operation
 - $V()$: *VertexSet*
- A looping construct "for each $v \in V$ ", where V is of a type *VertexSet*, and v is of a type *Vertex*
- Vertex** ADT with operations:
 - $\text{adjacent}()$: *VertexSet*
 - $d(): \text{int}$ and $\text{setd}(d: \text{int})$
 - $f(): \text{int}$ and $\text{setf}(f: \text{int})$
 - $\text{parent}()$ (ou $\pi()$): *Vertex* and $\text{setparent}(p: \text{Vertex})$
 - $\text{color}(): \{\text{white}, \text{gray}, \text{black}\}$ and $\text{setcolor}(c: \{\text{white}, \text{gray}, \text{black}\})$

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Graph searching algorithms

- Systematic search of every edge and vertex of the graph
- Graph $G = (V, E)$ is either directed or undirected
- Applications
 - Compilers
 - Computer Graphics
 - Maze-solving
 - Mapping
 - Networks: routing, searching, clustering, etc.

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Graph search algorithms

- Searching a graph:
 - Systematically follow the edges of a graph to visit all the vertices of the graph
- Used to discover the structure of a graph
- Standard graph-searching algorithms
 - Breadth-First Search (BFS)
 - Depth-First Search (DFS)

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Breadth-First Search (BFS)

- Traverses a **connected component** of a graph, defining a **spanning tree** with useful properties
- In undirected graphs, similar to walk in a labyrinth with a **string**
- The starting vertex s , it is assigned a distance 0
- In the first round, the string is unrolled the size of 1 edge
 - All of the edges that are only one edge away from the starting vertex are visited (**discovered**) and assigned distances of 1

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Breadth-First Search (BFS)

- In the second round, all new edges that can be reached by unrolling the string 2 edges are visited and assigned a distance of 2
- The walking continues until every vertex has been assigned a level
- The label of any vertex v corresponds to the length of the shortest path from s to v
 - In terms of the number of edges

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Breadth-First Search (BFS)

- Input:** Graph $G = (V, E)$, directed or undirected, and **source vertex** $s \in V$
- Output:**
 - $d[v]$: distance (smallest # of edges or shortest path) from s to v , for all $v \in V$
 - $d[v] = \infty$ if v is not reachable from s
 - $\pi[v]$: u , *parent (predecessor)* of v where (u, v) is the last edge on the shortest path $s \rightsquigarrow v$
 - Builds a breadth-first tree with root s and all reachable vertices

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Breadth-First Search (BFS)

- Definitions**
 - Path** between vertices u and v :
 - Sequence of vertices $(v_1, v_2, \dots, v_k)$ such that $u = v_1$ and $v = v_k$ and $(v_i, v_{i+1}) \in E$ for all $1 \leq i \leq k-1$
 - Length of the path:**
 - Number of edges in the path
 - Path is **simple** if no vertex is repeated

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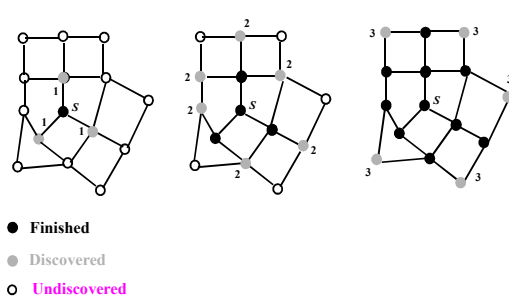
Breadth-First Search (BFS)

- Expands the frontier between discovered and undiscovered vertices **uniformly** across its breadth
 - A vertex is "**discovered**" the first time it is encountered during the search
 - A vertex is "**finished**" if all vertices adjacent to it have been discovered
- Colors the vertices to keep track of progress
 - White** – Undiscovered
 - Gray** – Discovered but not finished
 - Black** – Finished
 - Colors are required only to reason about the algorithm
 - Can be implemented without colors

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Breadth-First Search (BFS)



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Breadth-First Search algorithm

```

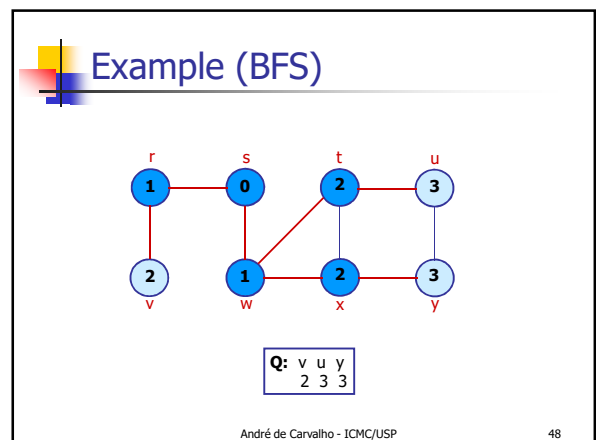
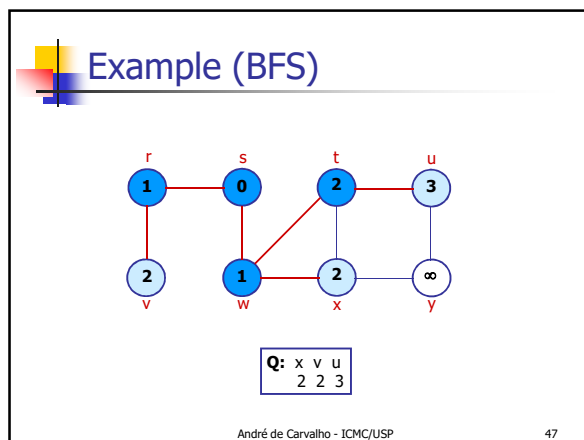
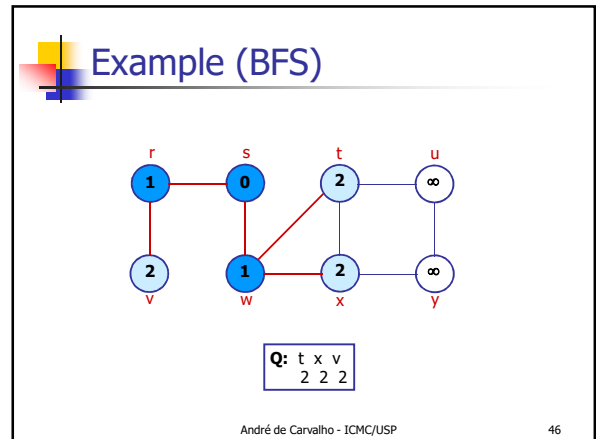
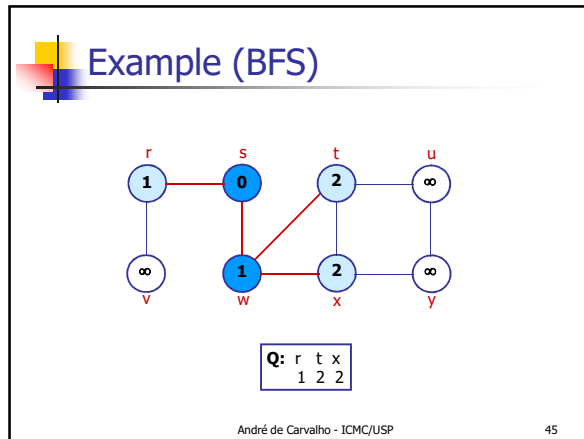
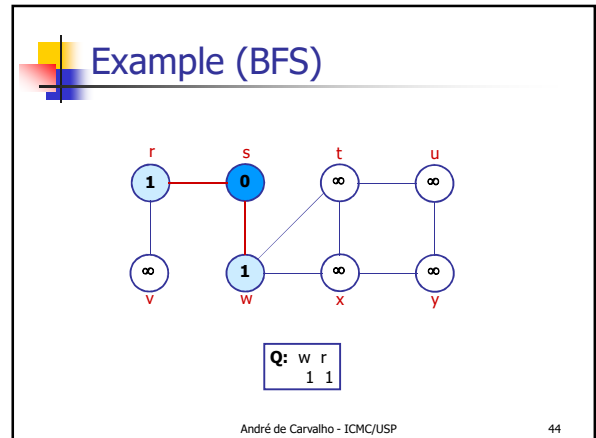
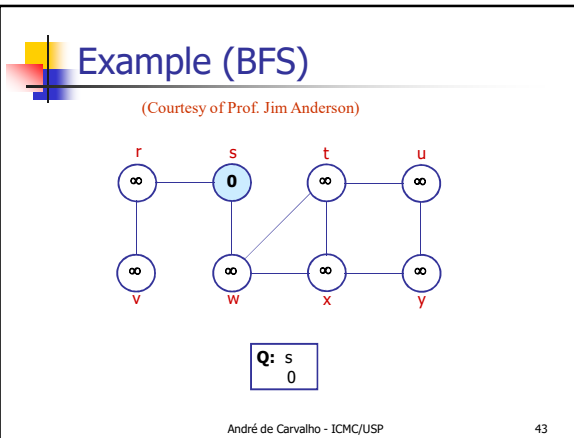
BFS( $G, s$ )
1  for each vertex  $u$  in  $V[G] - \{s\}$  do
2     $color[u] \leftarrow \text{WHITE}$ 
3     $d[u] \leftarrow \infty$ 
4     $\pi[u] \leftarrow \text{NIL}$ 
5   $color[s] \leftarrow \text{GRAY}$ 
6   $d[s] \leftarrow 0$ 
7   $\pi[s] \leftarrow \text{NIL}$ 
8   $Q \leftarrow \emptyset$ 
9  ENQUEUE ( $Q, s$ )
10 while  $Q \neq \emptyset$  do
11    $u \leftarrow \text{DEQUEUE}(Q)$ 
12   for each  $v$  in  $\text{Adj}[u]$  do
13     if  $color[v] = \text{WHITE}$ 
14       then  $color[v] \leftarrow \text{GRAY}$ 
15        $d[v] \leftarrow d[u] + 1$ 
16        $\pi[v] \leftarrow u$ 
17       ENQUEUE ( $Q, v$ )
18    $color[u] \leftarrow \text{BLACK}$ 
  
```

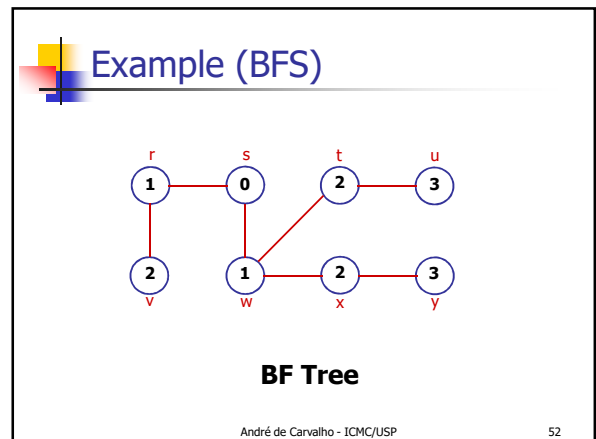
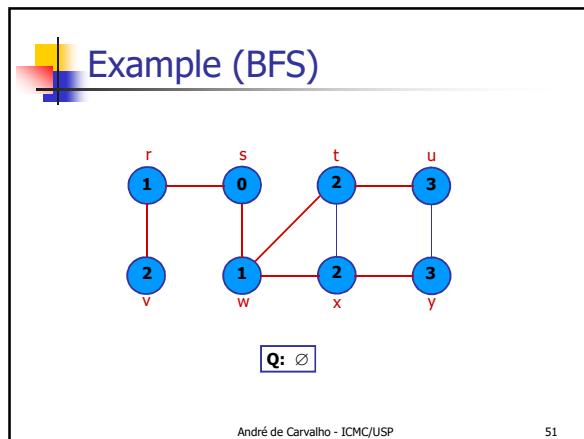
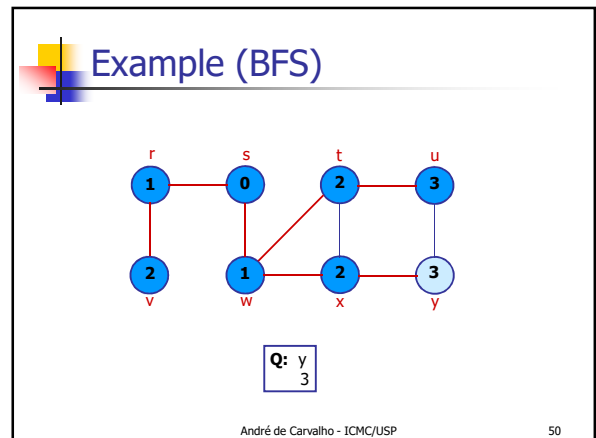
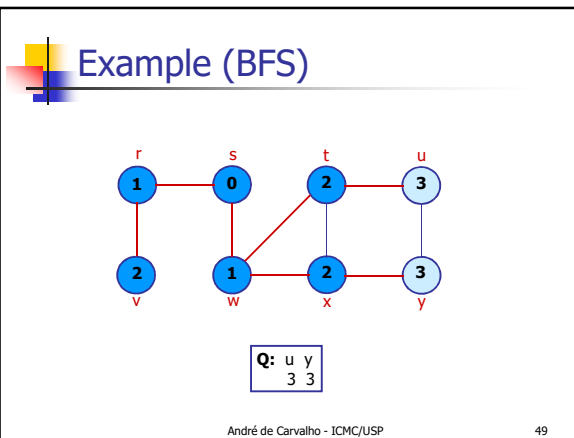
WHITE: undiscovered
GRAY: discovered
BLACK: finished

Q : queue of discovered vertices
 $color[v]$: color of v
 $d[v]$: distance from s to v
 $\pi[u]$: predecessor of u

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BFS running time

- Given a graph $G = (V, E)$
 - Initializing the algorithm takes $O(V)$
 - Vertices are enqueued if their color is white
 - Assuming that en- and dequeuing takes $O(1)$ time the total cost of this operation is $O(V)$
 - Adjacency list of a vertex is scanned when the vertex is dequeued (and only then...)
 - The sum of the lengths of all lists is $\Theta(E)$ Consequently, $O(E)$ time is spent on scanning them
- Running time of BFS is $O(V+E)$**
 - Linear in the size of the adjacency list representation of G

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BFS properties

- Given a graph $G = (V, E)$, BFS **discovers all vertices reachable from a source vertex s**
- It computes the **shortest distance** to all reachable vertices
- It computes a **breadth-first tree** that contains all such reachable vertices
- For any vertex v reachable from s , the path in the breadth first tree from s to v is the **shortest path** in G

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Depth-First Search (DFS)

- In undirected graphs, similar to walk in a labyrinth with a **string** and a **can of paint**



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Using DFS to escape from a Labyrinth

- 1 Start at vertex s , tying the end of our string to s and paint s "visited"
 - 2 Label s as the current vertex, named u
 - 3 Travel along an arbitrary edge (u, v)
 - 4 If edge (u, v) leads us to an already visited vertex v
 - Then Return to u
 - Else Unroll string and move to v and paint v "visited"
 - Set v as the current vertex and go to 3
- If gets to a point where all incident edges on u lead to visited vertices
 Then Backtrack by rolling the string to a previously visited vertex v
 Set v as the current vertex and go to 3
- If all incident edges on v lead to visited vertices
 Then Backtrack as before
- Continue to backtrack along the travelled path, finding and exploring unexplored edges, and repeating the procedure
- If backtrack to vertex s and there are no more unexplored edges incident on s
 Then DFS search is finished



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Depth-First Search (DFS)

- Explore edges out of the most recently discovered vertex v
 - When all edges of v have been explored, backtrack to explore other edges leaving the vertex from which v was discovered (its *predecessor*)
 - "Search as deep as possible first."
 - Continue until all vertices reachable from the original source are discovered
 - If any undiscovered vertices remain, one of them is chosen as a new source and search is repeated from this source

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Depth-First Search (DFS)

- Input:** $G = (V, E)$, directed or undirected
 - No source vertex is given
- Output:**
 - 2 timestamps on each vertex
 - Integers between 1 and $2|V|$
 - $d[v]$ = **discovery time** (when v turns from white to gray)
 - $f[v]$ = **finishing time** (when v turns from gray to black)
 - $\pi[v]$: predecessor of v
 - Vertex u if v was discovered in u adjacency list
- Uses the same coloring scheme used for vertices as BFS

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Pseudo-code

```

DFS( $G$ )
1 for each vertex  $u \in V[G]$  do
2    $color[u] \leftarrow white$ 
3    $\pi[u] \leftarrow NIL$ 
4    $time \leftarrow 0$ 
5 for each vertex  $u \in V[G]$  do
6   if  $color[u] = white$ 
7     then DFS-Visit( $u$ )

DFS-Visit( $u$ )
/* White vertex  $u$  has been discovered.
1  $color[u] \leftarrow GRAY$ 
2  $time \leftarrow time + 1$ 
3  $d[u] \leftarrow time$ 
4 for each  $v \in Adj[u]$  do
5   if  $color[v] = WHITE$ 
6     then  $\pi[v] \leftarrow u$ 
7     DFS-Visit( $v$ )
/* Blacken  $u$ ; it visited all its adj list
8  $color[u] \leftarrow BLACK$ 
9  $time \leftarrow time + 1$ 
10  $f[u] \leftarrow time$ 
  
```

Uses a global timestamp *time*.

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DFS Algorithm

- Initialize: color all vertices white
- Visit each and every white vertex using DFS-Visit
- Call from DFS to DFS-Visit(u) roots a new tree of the **depth-first forest** at vertex u
- When DFS finishes, every vertex has:
 - A discovery time d
 - A finishing time f

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DFS Timestamping

- Vertex u is
 - White before time $d[u]$
 - Gray between time $d[u]$ and time $f[u]$
 - Black after $f[u]$
- Structure throughout the search:
 - Gray vertices form a linear chain
 - Chain corresponds to a stack of vertices that were not exhaustively explored
 - DFS-Visit started but did not finished

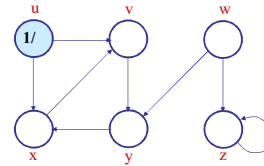


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Example (DFS)

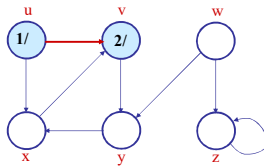
(Courtesy of Prof. Jim Anderson)



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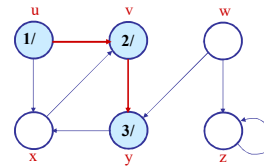
Example (DFS)



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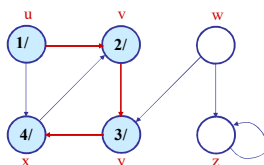
Example (DFS)



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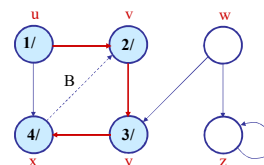
Example (DFS)



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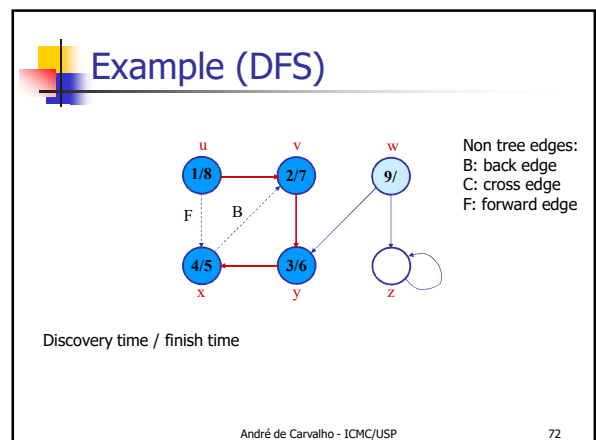
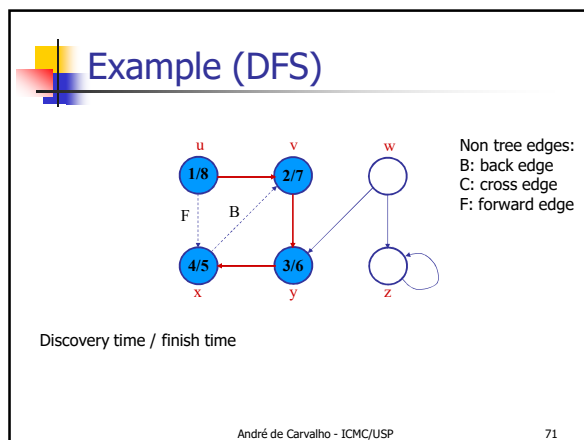
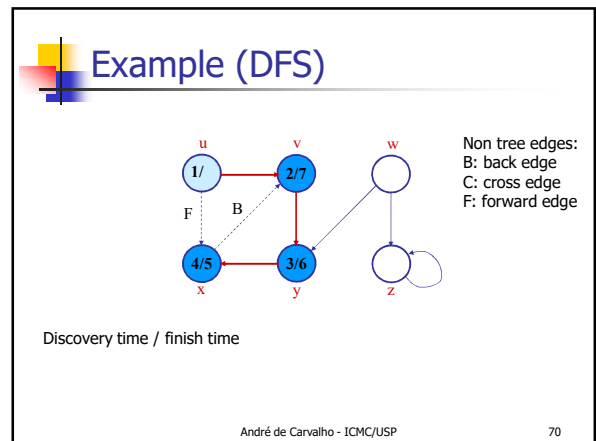
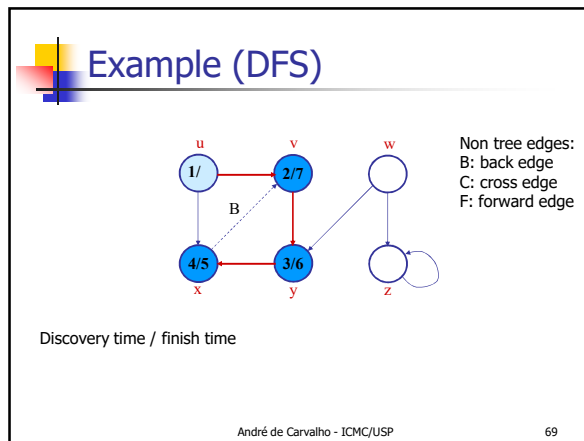
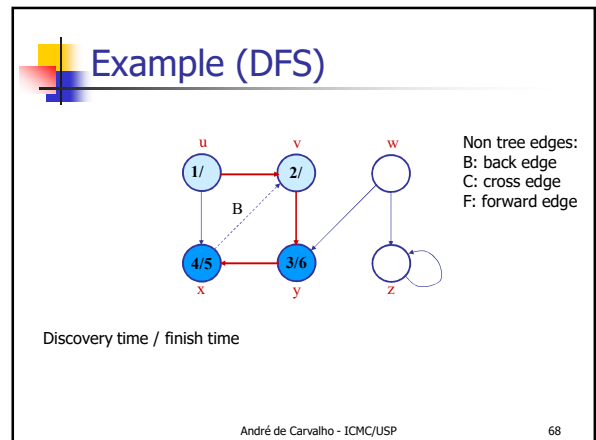
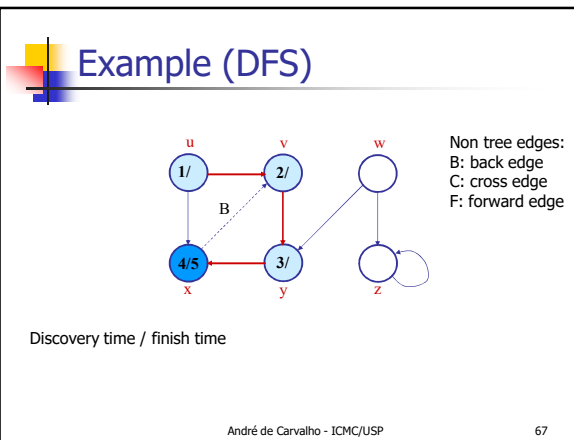
Example (DFS)

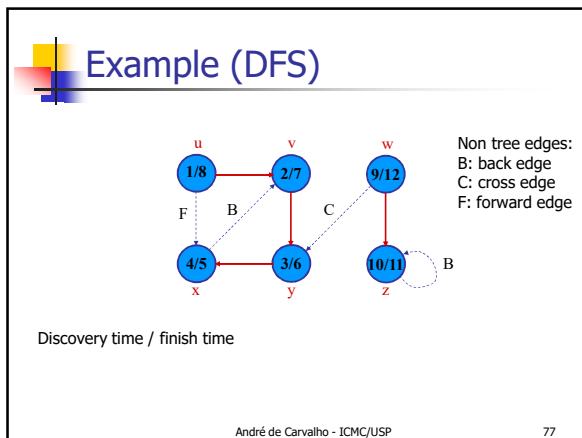
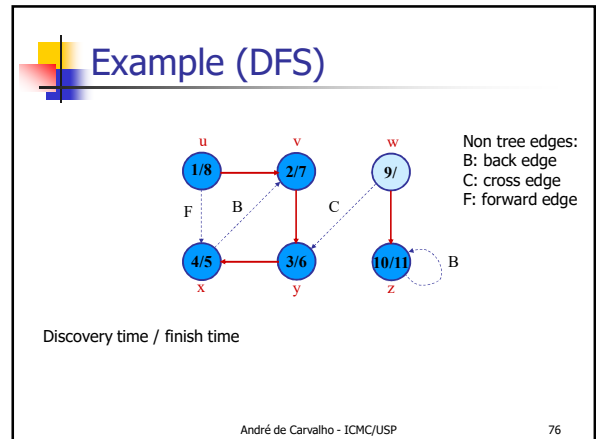
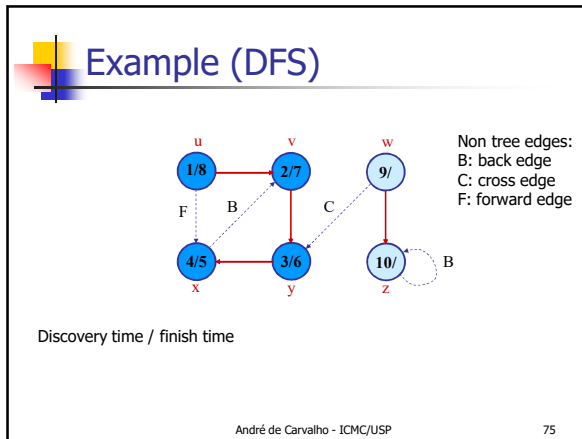
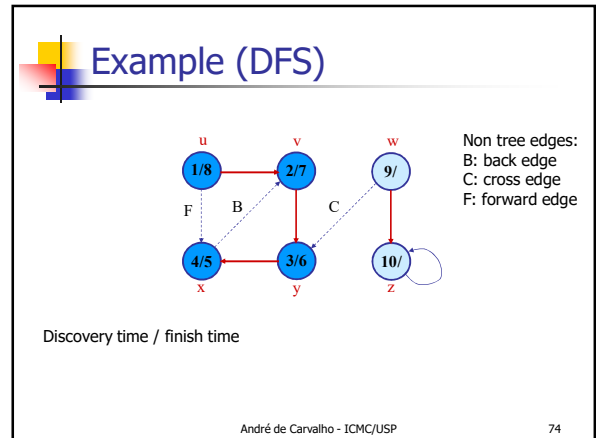
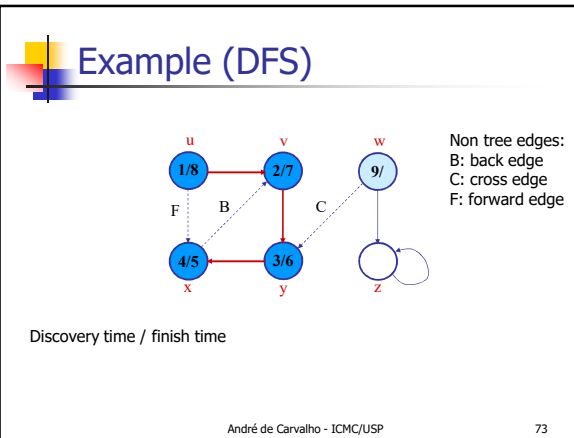


Non tree edges:
 B: back edge
 C: cross edge
 F: forward edge

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DFS Algorithm running time

- Running time
 - The loops in DFS take time $\Theta(V)$ each
 - Excluding the time to execute DFS-Visit
 - DFS-Visit is called once for every vertex
 - It is only called for white vertices, when it immediately paints the vertex with gray
 - For each DFS-visit, a loop iterates over all $v.\text{adjacent}()$

$$\sum_{v \in V} |v.\text{adjacent}()| = \Theta(E)$$
 - Total cost for DFS-Visit is $\Theta(E)$
- Running time of DFS is $\Theta(V+E)$**

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Generic Graph Search

```

GenericGraphSearch(G,s)
01 for each vertex u ∈ G.V()
02   u.setcolor(white)
03   u.setparent(NIL)
04   s.setcolor(gray)
05 GrayVertices.init()
06 GrayVertices.add(s)
07 while not GrayVertices.isEmpty()
08   u ← GrayVertices.remove()
09   for each v ∈ u.adjacent() do
10     if v.color() = white then
11       v.setcolor(gray)
12       v.setparent(u)
13       GrayVertices.add(v)
14   u.setcolor(black)

```

- BFS, when GrayVertices is a **Queue**
- DFS, when GrayVertices is a **Stack**

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BFS versus DFS

- | | |
|--|--|
| <ul style="list-style-type: none"> ■ BFS <ul style="list-style-type: none"> ■ Search wider ■ Find shortest-path distances to a given source ■ Forms a tree <ul style="list-style-type: none"> ■ One source ■ FIFO (queue) | <ul style="list-style-type: none"> ■ DFS <ul style="list-style-type: none"> ■ Search deeper ■ It is often a subroutine in another algorithm ■ Forms a tree forest <ul style="list-style-type: none"> ■ One or more sources ■ LIFO (stack) |
|--|--|

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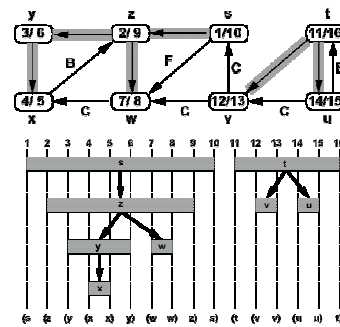
DFS Parenthesis Theorem

- Discovery and finish times have a parenthesis structure
 - Represents discovery of u with left parenthesis
 - " $(u$ "
 - Represents finishing of u with right parenthesis
 - " $)u$ "
- History of discoveries and finishings makes a well-formed expression
 - Parenthesis are properly nested
 - Example: $(s (a (b (b) a) s)$

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DFS Parenthesis Theorem



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Theorem 22.7

For all u, v , exactly one of the following holds:

1. $d[u] < f[u] < d[v] < f[v]$ or $d[v] < f[v] < d[u] < f[u]$ and neither u nor v is a descendant of the other.
2. $d[u] < d[v] < f[v] < f[u]$ and v is a descendant of u .
3. $d[v] < d[u] < f[u] < f[v]$ and u is a descendant of v .

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DFS Parenthesis Theorem

- For all u, v , one of the following holds:
 - $d[u] < f[u] < d[v] < f[v]$ or $d[v] < f[v] < d[u] < f[u]$ and neither u nor v is a descendant of the other
 - $d[u] < d[v] < f[v] < f[u]$ and v is a descendant of u
 - $d[v] < d[u] < f[u] < f[v]$ and u is a descendant of v

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DFS Parenthesis Theorem

- Intuition for proof:
 - Any two intervals are either disjoint or enclosed (one inside the other)
 - Overlapping intervals would mean:
 - Finishing ancestor, before finishing descendant or
 - Starting descendant without starting ancestor

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DFS Edge Classification

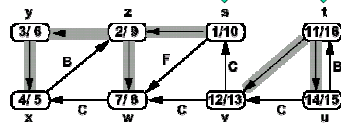
- DFS can be used to classify the edges of the graph $G = (V, E)$
 - Tree (T) edges
 - Back (B) edges
 - Forward (F) edges
 - Cross (C) edges
- Edge classification can provide important information about the graph
 - E.g. a directed graph is acyclic if and only if DFS produces no back edges

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DFS Edge Classification

- Tree edge (from gray to white)
 - Edges in depth-first forest
- Back edge (from gray to gray)
 - From descendant to ancestor in depth-first tree

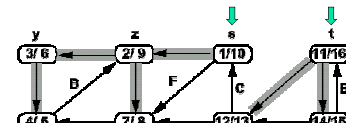


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DFS Edge Classification

- Forward edge (from gray to black)
 - Non-tree edge from ancestor to descendant in depth-first tree
 - Cross edge (from gray to black)
- Remainder: between trees or subtrees



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DFS Edge Classification

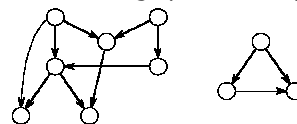
- Edge type for edge (u, v) can be identified when it is first explored by DFS
- Tree and back edges are the most important
- Most algorithms do not distinguish between forward and cross edges
- Undirected graphs:
 - Classification is difficult, since $(u, v) = (v, u)$
 - Edge is classified according to the vertex encountered first
 - Forward and cross edges never occur

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Directed Acyclic Graphs

- A DAG is a directed graph with no cycles



- Often used to indicate precedence among events
 - Event **a** must happen before event **b**
- Example: parallel code execution
- Total order can be introduced using **Topological Sorting**

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Topological Sort (TS)

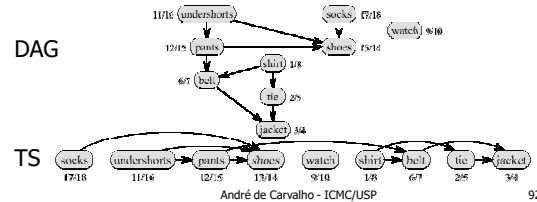
- TS of a DAG $G = (V, E)$ is a linear ordering of all its vertices, such that:
 - If G contains an edge (u, v) , u must appear before v in the ordering
 - No ordering is possible in a cyclic graph
 - Like ordering all vertices in a horizontal line so that all directed edges go from left to right
 - First vertex always has in-degree equal to 0
 - Vertex with no incoming edges

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Topological Sort Example

- Precedence relations: an edge from u to v means that u must be finished before start v
- Intuition: conclude a task when all necessary previous tasks are concluded



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Topological Sort Algorithm

Topological-Sort(G)

1 call $DFS(G)$ to compute finishing times $ff[v]$ for each vertex v
 2 as each vertex is finished, insert it onto the front of a linked list
 3 return the linked list of vertices

The linked lists has a total ordering

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Topological Sort Running Time

- Running time
 - DFS: $O(V+E)$ time
 - insert each of the $|V|$ vertices to the front of the linked list: $O(1)$ per insertion
- The total running time is $O(V+E)$

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Next Lecture

- Strongly connected components
- Transpose of directed graphs
- Algorithm to find strongly connected components

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 - Simonas Šaltenis, Algorithms and Data Structures, Aalborg University, Denmark
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Questions



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