FIBRE OPTIC TECHNOLOGY COURSE



António Lobo Prof. Associado (UFP)



- 2.1 The Wave nature of light.
- 2.2 Rays and Modes representation.
- 2.3 Mode Theory for fibres.
- 2.4 The Cut-off wavelength and V-number.
- 2.5 Singlemode and multimode fibre propagation.
- 2.6 Mode Field Diameter (MFD)
- 2.7 Phase velocity and group velocity.
- 2.8 Absorption and Scattering losses
- 2.9 Dispersion: Group delay and Material dispersion.
- 2.10 Chromatic Dispersion (CD).
- 2.11 Polarization effects and Birefringence.
- 2.12 Polarization Dependence Loss (PDL)
- 2.13 Polarization Mode Dispersion (PMD).
- 2.14 Non-linear Optical Effects
 - Stimulated Raman Scattering
 - Stimulated Brillouin Scattering
 - Four-Wave Mixing
 - Self-Phase and Cross-Phase Modulation



2.1 Wave Nature of the Light

DUAL NATURE



- Electromagnetic radiation consisting of propagating electric and magnetic fields (interference & diffraction)
- Photons
 - Quanta of energy (photoelectric effect)

The two views are related: the energy in a photon is proportional to the frequency of the wave.



2.1 Wave Nature of the Light





2.1 Wave Nature of the Light

Photon

 Quanta of Energy: Photon (photoelectric effect)

$$E = h v$$

 $E \rightarrow$ Energy of 1 photon in Joules (J) $h \rightarrow$ Planck's constant: 6.626×10-34 J-s $v \rightarrow$ frequency in Hz



A Single Photon ("short" packet wave)



2.1 Wave Nature of the Light



 $c \rightarrow$ Speed of light = 2.9979 ×10⁸ m/s; $\lambda \rightarrow$ Wavelength in meters; $v \rightarrow$ Frequency in Hz n \rightarrow Refractive index (vaccum=1.0000; standard air= 1.0003; silica fibre: 1.44 to 1.48)



2.1 Wave Nature of the Light





Poynting Vector

$$\vec{S} = \frac{1}{\mu_o} \vec{E} \times \vec{B} = c^2 \varepsilon_o \vec{E} \times \vec{B}$$



2.2 Rays and Modes representation.

About Reflection (Fresnel Equations): $\mathbf{E} \perp Plane-of-Incidence$

 r_{\parallel}

 t_{\perp}



$$\vec{v}\vec{B} = \vec{k} \times \vec{E} \qquad \vec{E}_{i} = \vec{E}_{oi} \cos(\vec{k}_{r} \cdot \vec{r} - \omega_{r}t)$$

$$\vec{k} \cdot \vec{E} = 0 \qquad \vec{E}_{t} = \vec{E}_{oi} \cos(\vec{k}_{t} \cdot \vec{r} - \omega_{t}t)$$

$$\vec{E}_{ot} = \vec{E}_{oi} + \vec{E}_{or}$$

$$= \left(\frac{E_{or}}{E_{oi}}\right)_{\perp} = \frac{n_i \cos\theta_i - n_t \cos\theta_t}{n_i \cos\theta_i + n_t \cos\theta_t}$$
$$= \left(\frac{E_{ot}}{E_{oi}}\right)_{\perp} = \frac{2n_i \cos\theta_i}{n_i \cos\theta_i + n_t \cos\theta_t}$$



2.2 Rays and Modes representation.

About Reflection (Fresnel Equations): **E** || Plane-of-Incidence



$$r_{\parallel} = \left(\frac{E_{or}}{E_{oi}}\right)_{\parallel} = \frac{n_t \cos\theta_i - n_i \cos\theta_t}{n_i \cos\theta_t + n_t \cos\theta_i}$$
$$t_{\parallel} = \left(\frac{E_{ot}}{E_{oi}}\right)_{\parallel} = \frac{2n_i \cos\theta_i}{n_i \cos\theta_t + n_t \cos\theta_i}$$



2.2 Rays and Modes representation.

Total Internal Reflection (case: $n_i > n_t$): $\theta_i \ge \theta_c$

Critical Angle:

$$\sin\theta_c = \frac{n_t}{n_i}$$

$$\begin{cases} r_{\perp}r_{\perp}^{*} = r_{\parallel}r_{\parallel}^{*} = 1 \\ R = 1 \end{cases} \Rightarrow I_{r} = I_{i} \quad \text{e} \quad I_{t} = 0 \end{cases}$$

$$r_{\parallel} = \frac{n_i^2 \cos\theta_i - in_i \sqrt{n_i^2 \sin^2\theta_i - n_t^2}}{n_i^2 \cos\theta_i + in_i \sqrt{n_i^2 \sin^2\theta_i - n_t^2}}$$

 $r_{\perp} = \frac{n_i \cos\theta_i - i\sqrt{n_i^2 \sin^2\theta_i - n_t^2}}{n_i \cos\theta_i + i\sqrt{n_i^2 \sin^2\theta_i - n_t^2}}$

$$\frac{1}{2}$$

$$0 < \Delta x < \frac{\lambda}{4n_1\sqrt{2\Delta}}$$

Evanescent wave:

$$\vec{E}_{t} = \vec{E}_{ot} e^{\mp\beta\gamma} e^{i[k_{t}xn_{t}\sin\theta_{i}/n_{i}-\omega t]}$$



2.2 Rays and Modes representation.

Total Internal Reflection (case: $n_i > n_t$): $\theta_i \ge \theta_c$



Polarization TM $\rightarrow \Delta \Phi_{\parallel}$



2.2 Rays and Modes representation.



medium.

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0

30

60

 θ_i (degrees)



2.2 Rays and Modes representation.



The optical field distribution that satisfies this phase matching condition is called **MODE**



2.2 Rays and Modes representation.



Formation of modes





2.2 Rays and Modes representation.



At angles for which the **condition of self-consistently** (i.e., as a wave reflects twice it duplicates itself) is satisfied, the two waves interfere and create a pattern that does not change with z direction.



2.2 Rays and Modes representation.

Normalized frequency: $V = kn_1 a \sqrt{2\Delta}$

Propagation constant: $\xi = \frac{\sin \phi}{\sqrt{2\Delta}}$

Dispersion Equation

$$V = \frac{\cos^{-1}\xi + m\frac{\pi}{2}}{\xi}$$

Single-mode condition

$$V < v_c = \frac{\pi}{2} = 1.571$$

(Slab Waveguide)





2.3 Mode Theory for fibres.





2.3 Mode Theory for fibres.

Maxwell's Equations





2.3 Mode Theory for fibres.

Maxwell's Equations (2)

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$
$$\nabla \times \vec{H} = \frac{\partial \vec{D}}{\partial t} + \vec{J}$$
$$\nabla \cdot \vec{D} = \rho$$
$$\nabla \cdot \vec{B} = 0$$

Constitutive Equations

$$\vec{D} = \varepsilon \vec{E} = \varepsilon_o \vec{E} + \vec{P}$$
$$\vec{B} = \mu \vec{H} = \mu_o \vec{H} + \vec{M}$$
$$\vec{J} = \sigma \vec{E} \quad \text{(for conductors)}$$

Boundary Conditions

$$\vec{E}_{2t} - \vec{E}_{1t} = 0$$
$$\vec{H}_{2t} - \vec{H}_{1t} = 0$$
$$D_{2n} - D_{1n} = \sigma$$
$$B_{2n} - B_{1n} = 0$$



2.3 Mode Theory for fibres.

Wave Equations (1)



In dielectric, non-conducting media, $\sigma = 0$

$$\nabla^{2}\vec{E} - \mu\varepsilon \frac{\partial^{2}\vec{E}}{\partial t^{2}} = 0$$
$$\nabla^{2}\vec{H} - \mu\varepsilon \frac{\partial^{2}\vec{H}}{\partial t^{2}} = 0$$



2.3 Mode Theory for fibres.

Wave Equations (2)

Waves in photonics are often monochromatic, with a frequency that stays the same across the material boundaries, that is: $\vec{E}(\vec{r},t) = \vec{E}(\vec{r})e^{j\omega t}$

$$\nabla^2 \vec{E}(\vec{r}) + n^2 k^2 \vec{E}(\vec{r}) = 0$$

$$\nabla^2 \vec{H}(\vec{r}) + n^2 k^2 \vec{H}(\vec{r}) = 0$$

$$n^2 k^2 = \mu \varepsilon \omega^2 = \frac{\omega^2}{c^2}$$

Helmholtz Equations



2.3 Mode Theory for fibres.





2.3 Mode Theory for fibres.





The longitudinal component of the electric field does not change though either propagation or reflection at the cylindrical surface



2.3 Mode Theory for fibres.

Since the equations for E_r and E_{θ} are coupled, we first solve for E_z (H_z is a solution of the same Helmholtz equation and its solutions have the same form). We find all other field components form E_z and H_z using Mawell's equations.

We look for solution of the form:

$$E_z(r,\phi,z) = R(r) \cdot \Phi(\phi) \cdot Z(z)$$

$$r^{2} \frac{\partial^{2} R}{\partial r^{2}} + r \frac{\partial R}{\partial r} + \frac{\partial^{2} \Phi}{\partial \phi^{2}} + r^{2} \frac{\partial^{2} Z}{\partial z^{2}} + (knr)^{2} = 0$$

In the core we find: $Z(z) = ae^{j\beta z} + be^{-j\beta z}$ $\Phi(\phi) = ce^{j\nu\phi} + de^{-j\nu\phi}$ $R(r) = gJ_{\nu}(\kappa r) + hN_{\nu}(\kappa r) \qquad \kappa^{2} = (nk_{o})^{2} - \beta^{2} \text{ and } \nu = 0, 1, 2, ...$



2.3 Mode Theory for fibres.

We can simplify these solutions noting that:

- Often we have only forward going waves, thus a = 0.
- The Neumann function $N_v(\kappa r)$ goes to minus infinity at r = 0, so it is unphysical (h = 0). Therefore $J_v(\kappa r)$ is the proper solution in the core.





2.3 Mode Theory for fibres.

• Due to predominant propagation of the field along the z axis an oscillatory characteristic is assumed for the z dependence $z^2 = z^2$

$$Z(z) = be^{-j\beta z} \Longrightarrow \frac{\partial^2 Z}{\partial z^2} = -\beta$$

• We need both the clockwise and counter-clockwise circulating exponentials that describe the ϕ dependence of the eigenmodes

$$\Phi(\phi) = c e^{j v \phi} + d e^{-j v \phi} \Longrightarrow \frac{\partial^2 \Phi}{\partial \phi^2} = -v^2 \phi$$

Bessel Equation

$$r^{2} \frac{\partial^{2} R}{\partial r^{2}} + r \frac{\partial R}{\partial r} + r^{2} \left(\kappa^{2} - \frac{\nu^{2}}{r^{2}}\right) R = 0$$

 $\kappa^{2} = (nk_{o})^{2} - \beta^{2}$ and $\nu = 0, 1, 2, ...$





A,B,C and D are constants determined by the boundary conditions





2.3 Mode Theory for fibres.

After some maths....

We get this "small" CHARACTERISTIC EQUATION for an optical fibre

$$\left(\frac{1}{\kappa a} \cdot \frac{J_{\nu}'(\kappa a)}{J_{\nu}(\kappa a)} + \frac{1}{\gamma a} \cdot \frac{K_{\nu}'(\gamma a)}{K_{\nu}(\gamma a)} \right) \cdot \left(\frac{1}{\kappa a} \cdot \frac{J_{\nu}'(\kappa a)}{J_{\nu}(\kappa a)} + \left(\frac{n_2}{n_1} \right)^2 \cdot \frac{1}{\gamma a} \cdot \frac{K_{\nu}'(\gamma a)}{K_{\nu}(\gamma a)} \right) =$$

$$= \left[\frac{\beta \nu}{n_1 k_o} \cdot \left(\frac{1}{(\kappa a)^2} + \frac{1}{(\gamma a)^2} \right) \right]^2$$

The characteristic equation is used with:

$$V^{2} = (\kappa a)^{2} + (\gamma a)^{2}$$
 where $V = k_{o}a\sqrt{n_{1}^{2} - n_{2}^{2}}$

 $\kappa^{2} = (n_{1}k_{o})^{2} - \beta^{2}$ $\gamma^{2} = \beta^{2} - (n_{2}k_{o})^{2}$

to find values for κ , γ , β and n_{eff}



2.3 Mode Theory for fibres.

About the Effective Index (n_{eff})

A plane wave propagates with a phase term e^{jnkz} , where $k=2\pi/\lambda_o$ is the free-space wave-vector. We can define an effective index for a guided wave that has a phase factor $e^{j\beta z}$ with:

$$\beta = \frac{2\pi n_{eff}}{\lambda_o}$$

Then;

$$\frac{2\pi n_2}{\lambda_o} < \beta < \frac{2\pi n_1}{\lambda_o} \quad \square > \quad [n_2 < n_{eff} < n_1]$$

The effective index is an "average" index seen by the guided mode.



2.3 Mode Theory for fibres.

Meridional Modes (v = 0)

For modes that correspond to bouncing meridional rays, there is no ϕ dependence. The characteristic equation simplifies greatly. Modes are of two types – TE_{0µ} (E_z=0) and TM_{0µ} (H_z=0) with µ=1,2, The values κ , γ can be found graphically



Curves of the characteristic equation of the TE_{0u} and TM_{0u} modes





2.3 Mode Theory for fibres.

Skew Modes ($v \neq 0$)

Modes have both $E_z \neq 0$ and $H_z \neq 0$ and thus are called "**hybrid**" modes. The hybrid modes are labeled $EH_{\nu\mu}$ and $HE_{\nu\mu}$ depending on whether E_z or H_z is dominant.

The values κ , γ can be found graphically







2.3 Mode Theory for fibres.



Field Distributions in Optical Fibers (1)

This Bessel function (J_1) has a zero at the origin and one maximum in the core



2.3 Mode Theory for fibres.



This Bessel function (J_1) has a zero at the origin and one maximum in the core



2.3 Mode Theory for fibres.

Linearly Polarized (LP) Modes

When the refractive index of the core $n_1 \approx n_2$, the characteristic equation (CE) can be simplified. This is called the "**weakly guiding approximation**". The CE can be written in the unified form as:

$$\frac{J_m(\kappa a)}{\kappa J_{m-1}(\kappa a)} = \frac{K_m(\gamma a)}{\gamma K_{m-1}(\gamma a)}$$

 $m = \begin{cases} 1 \rightarrow \text{ for TM and TE modes} \\ \nu + 1 \rightarrow \text{ for EH modes} \\ \nu - 1 \rightarrow \text{ for HE modes} \end{cases}$

LP modes can be constructed from sums of EH and HE modes that have the same propagation constant.











2.4 The Cut-off wavelength and V-number.

$$u = \kappa a = a\sqrt{k_o^2 n_1^2 - \beta^2}$$

$$W = \gamma a = a\sqrt{\beta^2 - k_o^2 n_2^2}$$

$$V^2 = (\kappa a)^2 + (\gamma a)^2 \qquad W = \gamma a = a\sqrt{\beta^2 - k_o^2 n_2^2}$$

$$V = k_o a\sqrt{n_1^2 - n_2^2} = k_o an_1^2 \sqrt{2\Delta}$$

This is a dimensionless number that determines how many modes a fibre can support

$$b = \frac{w^2}{V^2} = \frac{(\beta / k_o)^2 - n_2^2}{n_1^2 - n_2^2}$$

Normalized Propagation Constant*

$$\lambda_{cutoff} = \frac{2\pi a}{V} \sqrt{n_1^2 - n_2^2}$$

Cut-off Wavelength

* D. Gloge, "Weakly guiding fibers", Applied Optics 10, 2252-2258 (1971)


2.4 The Cut-off wavelength and V-number.



See recommendation ITU-T G.650













In the weakly guiding approximation, the big steps in this figure become perfectly vertival (eg. TE_{01} , TM_{01} and HE_{21} have the same *V* at cutoff. Groups of modes with the same cutoff also have the same propagation constant.



LP-mode designation	Traditional-mode designation and number of modes	Number of degenerate modes 2	
LPot	HE11 × 2		
LPII	TE01, TM01, HE21 × 2	4	
LP.	EH11 × 2, HE11 × 2	4	
LPm	HE12 × 2	2	
LPu	EH 21 × 2. HE41 × 2	4	
LP.	TE., TM., HE × 2	4	
LP	$EH_{11} \times 2.HE_{11} \times 2$	4	
LP	EH1, × 2, HE1, × 2	4	
LPm	$HE_{13} \times 2$	2	
LPe	EH41 × 2. HE41 × 2	4	





Dashed lines – H field

With permission of C.D. Cantrell and D.M. Hollenbeck, "Fiberoptic Mode Functions: A Tutorial", Erick Jonsson School of Eng. and Computer Science, Univ. Texas at Dallas, Course EE6314.



2.5 Singlemode and multimode fibre propagation.



Solid lines – E field Dashed lines – H field

With permission of C.D. Cantrell and D.M. Hollenbeck, "Fiberoptic Mode Functions: A Tutorial", Erick Jonsson School of Eng. and Computer Science, Univ. Texas at Dallas, Course EE6314.



2.5 Singlemode and multimode fibre propagation.





Solid lines – E field Dashed lines – H field

With permission of C.D. Cantrell and D.M. Hollenbeck, "Fiberoptic Mode Functions: A Tutorial", Erick Jonsson School of Eng. and Computer Science, Univ. Texas at Dallas, Course EE6314.



2.5 Singlemode and multimode fibre propagation.

Optical Power in the Mode

$$S_{z} = \frac{1}{2} \left(\vec{E} \times \vec{H}^{*} \right) \cdot \vec{u}_{z} = \frac{1}{2} \left(E_{r} H_{\theta}^{*} - E_{\theta} H_{r}^{*} \right)$$

$$P_{core} = \int_{0}^{2\pi} \int_{0}^{a} S_{z} r \cdot dr \cdot d\phi = \frac{1}{2} \int_{0}^{2\pi} \int_{0}^{a} r \left(E_{r} H_{\theta}^{*} - E_{\theta} H_{r}^{*} \right) \cdot dr \cdot d\phi$$
$$P_{cladding} = \int_{0}^{2\pi} \int_{a}^{\infty} S_{z} r \cdot dr \cdot d\phi = \frac{1}{2} \int_{0}^{2\pi} \int_{a}^{\infty} r \left(E_{r} H_{\theta}^{*} - E_{\theta} H_{r}^{*} \right) \cdot dr \cdot d\phi$$

$$\frac{P_{core}}{P_{total}} = 1 - \frac{(\kappa a)^2}{V^2} \left[1 - \frac{K_m^2(\gamma a)}{K_{m-1}^2(\gamma a)K_{m+1}^2(\gamma a)} \right]$$
$$\frac{P_{cladding}}{P_{total}} = 1 - \frac{P_{core}}{P_{total}}$$



2.5 Singlemode and multimode fibre propagation.

Optical Power in the Mode LP_{ml}





2.6 Mode Field Diameter (MFD).

Gaussian Beam (1)



 $E(r) = E_o e^{-\left(\frac{r}{w}\right)^2}$







2.6 Mode Field Diameter (MFD).

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Gaussian Beam (2)
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Rayleigh Range:

$$Z_R = \frac{\pi w_o^2}{\lambda}$$

Divergence Angle:
$$\Theta = \frac{2\lambda}{\pi w}$$

$$w(z) = w_o \left[1 + \left(\frac{z}{z_R}\right)^2 \right]^{1/2}$$
$$R(z) = z \left[1 + \left(\frac{z_R}{z}\right)^2 \right]$$





2.6 Mode Field Diameter (MFD).

Petermann I Integral (Near-Field):

$$MFD_{I} = 2\sqrt{2} \cdot \left[\frac{\int_{0}^{\infty} E^{2}(r)r^{3} \cdot dr}{\int_{0}^{\infty} E^{2}(r)r \cdot dr} \right]^{1/2}$$

Petermann II Integral* (Far-Field):
$$MFD_{II} = \frac{\lambda}{\pi} \cdot \left[\frac{2\int_{-\theta}^{\theta} I(\theta) \sin\theta \cos\theta \cdot d\theta}{\int_{-\theta}^{\theta} I(\theta) \sin^{3}\theta \cos\theta \cdot d\theta} \right]^{1/2}$$

M. Artiglia et al, "Mode Field Diameter Measurements in Single-Mode Optical Fibers", Journal of Lightwave Technology, Vol. 7, No. 8. (1989)

1 / 0



2.6 Mode Field Diameter (MFD).



J. L. Guttman, "Mode-Field Diameter and "Spot Size" Measurements of Lensed and Tapered Specialty Fibers", NIST Symposium on Optical Fiber Measurements, September 24-26, 2002



2.6 Mode Field Diameter (MFD).

Far-Field Profiles



J. L. Guttman, "Mode-Field Diameter and "Spot Size" Measurements of Lensed and Tapered Specialty Fibers", NIST Symposium on Optical Fiber Measurements, September 24-26, 2002



2.6 Mode Field Diameter (MFD).

Petermann II Integral* (Far-Field):

(*TIA/EIA FOTP-191, ITU-T G.650E)

$$MFD_{II} = \frac{\lambda}{\pi} \cdot \left[\frac{2\int_{-\theta}^{\theta} I(\theta) \sin\theta \cos\theta \cdot d\theta}{\int_{-\theta}^{\theta} I(\theta) \sin^{3}\theta \cos\theta \cdot d\theta} \right]^{1/2}$$

• Errors [1,2]

- Obliquity Factor and Aperture Field
- •Elliptical Fiber [3]
 - Radial Symmetry for Hankel Transform
- Field Within Fiber vs Field at Focus

[1] M. Young, "Mode-field Diameter of single-mode optical fiber by far-field scanning", Applied Optics, Vol. 37, No. 24, August 1998

[2] R. C. Wittmann and M. Young, "Are the Formulas for Mode-Field Diameter Correct?", NIST SOFM 1998

[3] M. Artiglia et al, "Mode Field Diameter Measurements in Single-Mode Optical Fibers", Journal of Lightwave Technology, Vol. 7, No. 8. August 1989



2.6 Mode Field Diameter (MFD).





2.6 Mode Field Diameter (MFD).

For the best fit between a Gaussian function and the Bessel function in the core we can use the Marcuse Model: $\begin{bmatrix} 1.619 & 2.879 \end{bmatrix}$

$$w = a \left[0.65 + \frac{1.619}{V^{1.5}} + \frac{2.879}{V^6} \right]$$

Satisfying this condition gives about 96% overlap between the Gaussian and the Bessel function mode profiles. At the cut-off condition ($V \approx 2.405$) we obtain: $W \approx 1.1a$





2.6 Mode Field Diameter (MFD).

Other MFD models

Snyder and Sammut Model
$$W = a \frac{1}{(\ln V)^{1.5}}$$
 for V>1
Myslinski Model $W = a \left[0.761 + \frac{1.237}{V^{1.5}} + \frac{1.429}{V^6} \right]$
Desurvire Model $W = a \left[0.759 + \frac{1.289}{V^{1.5}} + \frac{1.041}{V^6} \right]$
Whitley Model $W = a \left[0.616 + \frac{1.66}{V^{1.5}} + \frac{0.987}{V^6} \right]$

Several models have been developed to obtain better agreement with experimentally observed data (particularly gain factors \rightarrow Erbium doped fibres)



2.7 Absorption and Scattering losses.



Rayleigh Scattering Coefficient

Transmission Loss factor

n – refractive index of the material, β_T – isothermal compressibility, *p* – photoelastic coefficient, T_F – *Solidification temperature*, k_B – Boltzman constant, *L* - the fibre length.



2.7 Absorption and Scattering losses.



Urbach's rule (empirical relationship)

Macrobending (critical radius)

$$\alpha_{UV}[dB/km] = Ce^{\frac{\lambda_{UV}}{\lambda}} = 1.108 \times 10^{-3} e^{\frac{4.582}{\lambda[\mu m]}}$$

$$\alpha_{IR}[dB/km] = 4 \times 10^{-11} e^{\frac{48.48}{\lambda[\mu m]}}$$

$$3\lambda m^2$$

$$R_{MMF} = \frac{3\lambda n_1^2}{4\pi (n_1^2 - n_2^2)}$$

$$R_{SMF} = \frac{20\lambda}{\sqrt{n_1^2 - n_2^2}} \left(2.748 - \frac{0.996\lambda}{\lambda_{cutoff}} \right)^{-3}$$



2.7 Absorption and Scattering losses.

ATTENUATION



$$\frac{dP}{dz} = -\alpha L \Rightarrow P(L) = P(0)e^{-\alpha L} \Rightarrow \alpha = \frac{1}{L} \ln\left[\frac{P(0)}{P(L)}\right] \quad [1/\text{km or } 1/\text{m}]$$

$$\frac{P(0)}{P(L)} = e^{\alpha L} \Leftrightarrow 10 \log\left[\frac{P(0)}{P(L)}\right] = 10 \log\left[e^{\alpha L}\right] = 10\alpha L \log e = 4.343\alpha$$

$$\alpha[dB / km] = \frac{10}{L} \log\left[\frac{P(0)}{P(L)}\right]$$
$$\alpha[dB / km] = 4.343\alpha[1 / km]$$



2.7 Absorption and Scattering losses.





2.7 Absorption and Scattering losses.

10 CY2

Theoretically expected minimum attenuation

Governed by:

- 1. Rayleigh scattering at short λ
- 2. Multi-phonon absorption at long λ



2.7 Absorption and Scattering losses.

Typical minimum attenuation values for several fibres

Fibre	λ (nm)	α (dB/km)	
MMF-GI	850	2.5	
SMF	1300	0.5	
SMF	1550	≤0.25	
F-POF (Fluorinated)	800 to 1340	60	
ZBLAN	1550	0.02	
SMFF (Fluoride glass)	2300	0.005	



2.8 Phase velocity and group velocity.





2.9 Dispersion: Group delay and Material dispersion.

Group Delay

Dispersion Parameter

$$\tau = \frac{L}{v_g} = L \frac{d\beta}{d\omega} = \frac{L}{c} \cdot \frac{d\beta}{dk} = L\beta_1 \qquad \qquad D = \frac{1}{L} \cdot \frac{d\tau}{d\lambda_o}$$

Origin of the Dispersion: Frequency dependence of the mode index $n(\omega)$

$$\beta(\omega) = n(\omega)\frac{\omega}{c} = \beta_0 + \beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots$$
$$\beta_1 = \frac{1}{c}\left(n + \omega\frac{dn}{d\omega}\right) = \frac{n_g}{c} = \frac{1}{v_g}$$
$$\beta_2 = \frac{1}{c}\left(2\frac{dn}{d\omega} + \omega\frac{d^2n}{d\omega^2}\right) \approx \frac{\omega}{c} \cdot \frac{d^2n}{d\omega^2} \approx \frac{\lambda^3}{2\pi c^2} \cdot \frac{d^2n}{d\lambda^2}$$



2.9 Dispersion: Group delay and Material dispersion.

Dispersion Parameter:

$$D = \frac{d\beta_1}{d\lambda} = -\frac{2\pi c}{\lambda^2}\beta_2 \simeq -\frac{\lambda}{c} \cdot \frac{d^2 n}{d\lambda^2}$$

Group Velocity Dispersion (GVD):

(Contains the information about the variation of the group velocity with wavelength)

$$\beta_2 = \frac{d\beta_1}{d\omega} = \frac{d}{d\omega} \left(\frac{1}{v_g}\right) = -\frac{1}{v_g^2} \cdot \frac{dv_g}{d\omega}$$

If a pulse with spectral width ($\Delta\delta$) input to a fibre with length *L*, the output pulse broadening is:

$$\delta t = \frac{d}{d\omega} \left(\frac{L}{v_g} \right) \delta \omega = L\beta_2 \cdot \delta \omega = \frac{d}{d\lambda} \left(\frac{L}{v_g} \right) \delta \lambda = LD \cdot \delta \lambda$$

Limitation on the bit rate:
$$\delta t < \frac{1}{B}$$
 or $B\delta t = BL\beta_2 \cdot \delta\omega BLD \cdot \delta\lambda < 1$



2.9 Dispersion: Group delay and Material dispersion.





2.10 Chromatic Dispersion (CD)

Normalized propagation constant*:
$$b = \frac{(\beta / k_o)^2 - n_2^2}{n_1^2 - n_2^2}$$
 for small Δ
 $b \approx \frac{(\beta / k_o) - n_2}{n_1 - n_2}$

C

$$D = D_{mat} + D_{wg}$$

$$D_{mat} = \frac{1}{c} \cdot \frac{dn_g}{d\lambda} = -\frac{\lambda}{c} \cdot \frac{d^2 n_1}{d\lambda^2}$$

$$D_{wg} = -\frac{n_2 \Delta}{c \lambda} V \frac{d^2 (Vb)}{dV^2}$$

* See D. Gloge, "Weakly guiding fibers", Applied Optics 10, 2252-2258 (1971)

D. Gloge, "Dispersion in weakly guiding fibers", Applied Optics 10, 2442-2445 (1971).

















P.K. Bachman *et.al.*, "Dispersion-flattened single-mode fibres prepared with PCVD: Performance, limitations, design and optimization", J. Lightwave Technology **4**, 858-863 (1986)



1000 2.10 Chromatic Dispersion (CD) 800 $D(\lambda) = \frac{1}{L} \cdot \frac{d\tau_g}{d\lambda}$ Relative Group Delay 600 8 400 A O Slope ($ps/km \cdot nm^2$) 200 $D(\lambda) = S_o(\lambda - \lambda_0)$ 1520 1510 1530 1560 1540 1550 1570 1580 1590 Wavelength $D(\lambda) = \frac{\lambda S_o}{4} \left[1 - \left(\frac{\lambda_0}{\lambda}\right)^4 \right]$ nm З Chromatic Dispersion Coef. 2 × O (See recommendation ITU-T G.652) ps/nm-km Typical values for S_0 are 0.092 ps/(km·nm²) for SMF, and between 0.06 and 0.08 ps/ 1510 1530 1550 1560 1520 1540 1570 1580 1590 (km·nm2) for DSF Wavelength

nm



Fiber Type and Trade Name	A_{eff} (μm^2)	λ _{ZD} (nm)	D (C band) ps/(km-nm)	Slope S ps/(km-nm ²)
Corning SMF-28	80	1302-1322	16 to 19	0.090
Lucent AllWave	80	1300-1322	17 to 20	0.088
Alcatel ColorLock	80	1300-1320	16 to 19	0.090
Corning Vascade	101	1300-1310	18 to 20	0.060
TrueWave-RS	50	1470-1490	2.6 to 6	0.050
Coming LEAF	72	1490-1500	2 to 6	0.060
TrueWave-XL	72	1570-1580	-1.4 to -4.6	0.112
Alcatel TeraLight	65	1440-1450	5.5 to 10	0.058


2.11 Polarization effects and Birefringence.

POLARIZATION





2.11 Polarization effects and Birefringence.



$$\vec{E}_{TOTAL} = \vec{e}_x E_{0x} \cos(\omega t - \beta z) + \vec{e}_y E_{0y} \cos(\omega t - \beta z + \pi)$$



2.11 Polarization effects and Birefringence.

CIRCULAR POLARIZATION



$$\vec{E}_{TOTAL} = E_{0x} \left[\vec{e}_x \sin(\omega t - \beta z) + \vec{e}_y \cos(\omega t - \beta z) \right]$$



2.11 Polarization effects and Birefringence.



 $\vec{E}_{TOTAL} = \vec{e}_x E_{0x} \sin(\omega t - \beta z + \phi_1) + \vec{e}_y E_{0y} \cos(\omega t - \beta z + \phi_2)$



2.11 Polarization effects and Birefringence.





2.11 Polarization effects and Birefringence.

Jones Calculus* - applicable only to polarized waves

(*) E. Hecht, "Optics", 4Ed. Addison Wesley, Chapter 8, 2002.

$$\vec{E}_{in} = \begin{bmatrix} E_{0x} e^{i\varphi_x} \\ E_{0y} e^{i\varphi_y} \end{bmatrix} \longrightarrow \begin{bmatrix} A_1 \\ A_1 \end{bmatrix} \implies \vec{E}_{out} = \begin{bmatrix} E_{xout} \\ E_{yout} \end{bmatrix}$$
$$\begin{bmatrix} E_{xout} \\ E_{yout} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} E_{xin} \\ E_{yin} \end{bmatrix}$$
Dividing both terms by:
$$\vec{E}_{in} = \begin{bmatrix} E_{0x} e^{i\varphi_x} \\ E_{0x} e^{i\varphi_x} \end{bmatrix} = E_{0x} e^{i\varphi_x} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \xrightarrow{\sqrt{2}E_{0x} e^{i\varphi_x}} \vec{E}_{45^\circ} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$



2.11 Polarization effects and Birefringence. Jones Matrix

from E. Hecht, "Optics", 4Ed. Addison Wesley, Chapter 8, 2002.

inear optical ele.	ment	Jones matrix	Quarter-wave plate, fast axis vertical	$e^{i\pi/4}\begin{bmatrix}1&0\\0&-i\end{bmatrix}$
lorizontal linear polarizer	**	$\left[\begin{array}{cc} 1 & 0 \\ 0 & 0 \end{array}\right]$	Quarter-wave plate, fast axis horizontal	$e^{i\pi/4}\begin{bmatrix} 1 & 0\\ 0 & i \end{bmatrix}$
ertical linear polarizer	\$	$\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$	Homogeneous circular polarizer right 💭	$\frac{1}{2} \begin{bmatrix} 1 & i \\ -i & 1 \end{bmatrix}$
inear polarizer. at +45°	2	$\frac{1}{2} \begin{bmatrix} 1 & I \\ 1 & I \end{bmatrix}$	Homogeneous circular polarizer left O	$\frac{1}{2} \begin{bmatrix} 1 & -i \\ i & 1 \end{bmatrix}$

Linear polarizer



2.11 Polarization effects and Birefringence.

Measurement of the Jones Matrix of an optical element

[from D.Derickson, "Fiber Optic Test and Measurement" Prentice Hall, Ch. 6 (1998)]





Jones matrix
$$\mathbf{M} = C \begin{bmatrix} K_1 & K_4 & K_2 \\ K_4 & 1 \end{bmatrix}$$



2.11 Polarization effects and Birefringence.

Stokes Parameters – applicable to both totally or partially polarized light. The elements describe the optical power in particular reference polarization states.

$$\begin{bmatrix} S_0 \\ S_1 \\ S_2 \\ S_3 \end{bmatrix} = \begin{bmatrix} I_{total} \\ I_{LH} - I_{LV} \\ I_{L+45^\circ} - I_{L-45^\circ} \\ I_{RC} - I_{LC} \end{bmatrix}$$

$$DOP = \frac{\sqrt{S_1^2 + S_2^2 + S_3^2}}{S_0}$$





2.11 Polarization effects and Birefringence. Normalized Stokes Parameters





2.11 Polarization effects and Birefringence.

Poincaré Sphere – graphical tool in real, 3D space that allows convenient description of polarized signals and of polarization transformations caused by propagation through devices.





2.11 Polarization effects and Birefringence.

Measurement of retardance θ of a near $\lambda/4$ -wave retarder

[from D.Derickson, "Fiber Optic Test and Measurement" Prentice Hall, Ch. 6 (1998)]





2.11 Polarization effects and Birefringence.

Twisting of a singlemode fibre [from Agilent HP8509C Application Note]





2.11 Polarization effects and Birefringence.

Muller Matrix – applicable to any degree of polarization.

	Linear optical elemen	Mueller matrix		
$\begin{bmatrix} S_0^{out} \\ S_1^{out} \end{bmatrix} = \begin{bmatrix} m_{00} & m_{01} & m_{02} & m_{03} \\ m_{10} & m_{11} & m_{12} & m_{13} \end{bmatrix} \begin{bmatrix} S_0^{in} \\ S_1^{in} \end{bmatrix}$	Horizontal linear polarizer **	$\frac{1}{2} \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0$		
$\begin{bmatrix} S_2^{out} \\ S_3^{out} \end{bmatrix}^{-} \begin{bmatrix} m_{20} & m_{21} & m_{22} & m_{23} \\ m_{30} & m_{31} & m_{32} & m_{33} \end{bmatrix} \begin{bmatrix} S_2^{in} \\ S_3^{in} \end{bmatrix}$	Vertical linear polarizer \$	$\frac{1}{2} \begin{bmatrix} 1 & -1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0$		
	Linear polarizer at +45°	$\frac{1}{2} \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$		



2.11 Polarization effects and Birefringence.

Two polarization modes E_x , E_y of a singlemode fibre





2.11 Polarization effects and Birefringence.

From K.T.V.Grattan & B.T. Meggitt, "Optical Fiber Sensor Technology", Chapman & Hall (1995)

Source	Configuration	Birefringence
Lateral pressure	<u>'</u>	$b = \frac{4\sigma f}{\pi r E}$
V-groove clamp		$b = 2\sigma \left(1 - \cos 2\delta \sin \delta\right) \frac{f}{\pi r E}$
Free bending	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	$b = \frac{\sigma r^2}{4R^2}$
Bending under tension	(T) ²	$b = \frac{\sigma r \varepsilon (2 - 3v)}{2R(1 - v)} + \frac{bend}{birefringence}$
Bending over rough surface under tension	24 (F) (*	Retardation from one kink $= \frac{k_0 \sigma h}{R} \left(\frac{F}{\pi E}\right)^{1/2} + \begin{array}{c} \text{bend and} \\ \text{tension effects} \\ \text{(when } hRF \gg (E \pi r^4/8)) \end{array}$
Twist	et =+=)1	Polarization rotation = $\sim 0.16 p rad m^{-1}$ for silica fibers

Table 2.2 External sources of birefringence in single mode fibers

rad m-*



From K.T.V.Grattan & B.T. Meggitt,

"Optical Fiber Sensor Technology",

Chapman & Hall (1995)

2. Light Propagation in Fibres and Related Optical Effects

2.11 Polarization effects and Birefringence.

External 2RKE, electric field Polarization rotation Magnetic = VH rad m⁻¹ field Asymmetric solarization Optical = /1 26 electric field Polarized Unpredictable ultraviolet light force per unit length fiber radius Electric field in fiber Fiber tension Fiber strain $\sigma = n_e^3 (1 + v) (P_{11} - P_{12})$ where P_{ij} are components of the elasto-optic tensor $(P_{11} - P_{12})$ 0.15 for silica) E : Young's modulus of core (7.3 × 1010 Nm-2 for silica) Poisson's ratio (0.17 for silica) Kerr electro-optic coefficient (9 × 10⁻¹⁵ cm V⁻² for silica) K : Anisotropic optical Kerr coefficient (4-6 × 10⁻¹⁶ cm²W⁻¹ for silica) Verdet constant (-5 x 10⁻⁶rad A⁻¹ for silica at 633nm)



2.12 Polarization Dependence Loss (PDL) .

PDL measures the peak-to-peak difference in transmission for light with various states of polarization.





2.13 Polarization Mode Dispersion (PMD).

PMD is a fundamental property of singlemode optical fibre and components in which signal energy at a given wavelength is resolved into two orthogonal polarization modes of slightly different propagation velocity. The resulting difference in propagation time between polarization modes is called the differential group delay ($\Delta \tau$).





2.13 Polarization Mode Dispersion (PMD).

PMD is characterized by the PMD-vector, $\Omega(\omega)$, in Stokes space, around which an output state of polarization (SOP), **s**, rotates when the carrier frequency is changed.

$$\vec{S}' = \frac{d\vec{S}}{d\omega} = \vec{\Omega} \times \vec{S}$$

And the differential group delay (DGD) is:

$$\Delta \tau = \left| \vec{\Omega} \right|$$





2.13 Polarization Mode Dispersion (PMD).

CASE 1 - Ideal fibre section $(\beta_x = \beta_y)$





2.13 Polarization Mode Dispersion (PMD).

CASE 2 - linearly birefringent fibre section $(\beta_x \neq \beta_y)$





2.13 Polarization Mode Dispersion (PMD).

CASE 3 - Birefringence axes aligned: PM fibre





2.13 Polarization Mode Dispersion (PMD).

CASE 4 - Random orientation of birefringence axes aligned: *standard long-length fibre*





2.13 Polarization Mode Dispersion (PMD).

• Frequency domain scenario: PMD vector and principal states of polarization (PSP)



$$\frac{d\vec{S}_{out}}{d\omega} = 0 \quad \text{if} \quad \vec{S}_{out} = PSP_{1,2}^{out}$$

• Time domain scenario: Pulse splitting





2.13 Polarization Mode Dispersion (PMD).

• Frequency domain scenario : frequency dependence of PSP



$$\vec{\Omega}(\omega) = \vec{\Omega}(\omega_0) + \vec{\Omega}_{\omega} \Delta \omega + \dots$$
$$\vec{\Omega}_{\omega} = \frac{d\vec{\Omega}}{d\omega} \Big|_{\omega = \omega_0}$$

1st order approximation is valid within "PSP-bandwidth":



• Time domain scenario: multi-path pulse transmission



[See J.L. Santos, Ph.D. Thesis, Chap.7, Univ. Porto, (1992)]

2.13 Polarization Mode Dispersion (PMD).

Probability of finding DGD at value $\Delta \tau$ is given by the Maxwellian density distribution



with
$$\langle \Delta \tau \rangle = \alpha \sqrt{8/\pi}$$

Fiber Type	Mean DGD Coefficient ⟨Δτ⟩/√L	Average DGD for $L = 625$ km
Modern Low PMD	≤ 0.1 ps/√km	2.5 ps
Older High PMD	~ 2 ps/√km	50 ps

Receiver Sensitivity Penalty for $\Delta \tau = 50 \text{ ps}$ at 2.5 Gb/s : < 0.1 dB at 10 Gb/s : > 4 dB

(c.f. A. Hamel et al., Techn. Digest NFOEC'97, p. 7, (1997))

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Example : Probability of $\Delta \tau \ge 3 \langle \Delta \tau \rangle$ is $4 \cdot 10^{-5}$





2.13 Polarization Mode Dispersion (PMD). Consequences of PMD

- ≈ DGD ($\Delta \tau$) causes:
 - Pulse broadening
 - Reduction of eye openings / increase in BER
 - Additional power penalty
 - Increase of outage probability
- ➢ Instantaneous $\Delta τ$
 - Is a random variable
 - It varies due to environment (temnperature, strain)
 - It can surpass its mean value by far

$$\Delta \tau_{PMD} = \sqrt{\left\langle \Delta \tau^2 \right\rangle} \propto \sqrt{L}$$





2.14 Non-linear Optical Effects.

- Self-Phase Modulation (SPM) pulses distion as they propagate.
- Cross-Phase Modulation (XPM) pulses interfere with one another
- Modulation Instability (MI) CW beams break into pulses
- Solitons a nonlinear way of transmitting pulses
- ➢ Four-Wave Mixing (FWM)
- Optical Kerr Effect electric field imposed induces linear birefringence
- Stimulated Brillouin Scattering (SBS) inelastic scattering from acoustic phonons
- Stimulated Raman Scattering (SRS) inelastic scattering from molecular resonances
- ➢ Supercontinuum Generation (SG) "white light" generation

Parametric Process (light induced modulation)

> Non-Parametric Process

Observed effect



2.14 Non-linear Optical Effects.

Nonlinear optics is a result of anharmonic excitation of the medium. The induced polarization is given by:

$$\vec{P} = \varepsilon_0 \left(\chi_1 \cdot \vec{E} + \chi_2 \cdot \vec{E}\vec{E} + \chi_3 \cdot \vec{E}\vec{E}\vec{E} + \dots \right)$$

where χ_1 , χ_2 , χ_3 are 1st, 2nd, 3rd order susceptibilities. χ_2 vanishes in centro-symmetric materials like glass, so the lowest-oder nonlinear term is χ_3 .

One manifestation of this is the **nonlinear refractive index**:

$$n = n_0 + n_{2K} \left| E \right|^2$$

where n_{2K} is the nonlinear Kerr coefficient and is directly related to χ_3 . In most glasses, n_2 is positive, so the refractive index of the material increases at higher intensities. The value of n_{2K} for SiO₂ is ~3.2×10⁻²⁰ m²W⁻¹.

2.14 Non-linear Optical Effects.

DEFINITIONS

Nonlinear Coefficient (γ) – measure of the strength of the nonlinar response of a particular fibre at frequency ω_0 with effective area A_{eff} and n_{2k} known.

Effective Length (L_{eff}) – effective nonlinear length of a fibre with physical length L_{eff} and loss given by α .

Nonlinear Length (L_{NL}) − the fibre length required for nonlinear effects to become important, for a given peak pump power. Explicitly, the length for development $L_{NL} = \frac{1}{\gamma P_0}$ of a phase shift of unity.

Dispersion Length (L_d) – length over which the pulse length τ_0 is significantly dispersed in a fibre with β_2 .

vsical length $L_{eff} = \frac{1 - e^{-\alpha L}}{1 - e^{-\alpha L}}$







2.14 Non-linear Optical Effects.

Scattering spectra of an optical fibre





2.14 Non-linear Optical Effects.

2.14.1 Stimulated Raman Scattering (SRS)

(Spontaneous) Raman Scattering: a very small amount of light in any molecular is inelastic scattered. A lower-frequency photon is produced, and the extra energy goes into exciting a molecular vibrationsl or rotational mode.

Stimulated Raman Scattering (SRS): the lower-frequency radiation beats with the pump beam to provide a field beating at the Raman frequency. This drives the Raman oscillations directly, so that the shifted radiation experiences gain at the expense of the pump beam. The growth of the Stokes wave along the fibre in both spontaneous and stimulated emission may be expressed in the form:

$$\frac{dI_{S}}{dz} = g_{SRS}I_{S}I_{0}e^{-\alpha_{P}z} - \alpha_{S}I_{S}$$

Raman gain



Relative polarization factor

2. Light Propagation in Fibres and Related Optical Effects

2.14 Non-linear Optical Effects.

2.14.1 Stimulated Raman Scattering (SRS)





2.14 Non-linear Optical Effects.

2.14.2 Stimulated Brillouin Scattering (SBS)

SBS Characteristics:

- ➢ Low power threshold
- ✤ Backward propagating Stokes wave
- Small Stokes shift (low phonon energy)
- Acoustic phonon lifetime is long (10ns), so gain bandwidth is narrow.
- » Need narrow linewidth pump source for efficient excitation.



Electrostriction



$$P_{th}^{SBS} \approx 21 \left(1 + \frac{\Delta v_{pump}}{\Delta v_{B}} \right) \frac{A_{eff}}{g_{SBS} L_{eff}}$$

$$P_{th}^{SBS} \cdot L_{eff} \approx 0.03 \text{ W} \cdot \text{km} @ 1.55$$





see A.R. Chraplyvy, Journal Lightwave Technology 8, 1548-1557 (1990).


- 2.14 Non-linear Optical Effects.
- 2.14.4 Self-Phase (SPM) and Cross-Phase Modulation (XPM)





2.14 Non-linear Optical Effects.

2.14.4 Self-Phase (SPM) and Cross-Phase Modulation (XPM)

$$\begin{split} \phi &= \frac{2\pi}{\lambda} nL \\ n &= n_0 + n_{2K} E^2 \end{split} \Rightarrow \phi = \frac{2\pi L}{\lambda} \left(n_0 + n_{2K} E^2 \right) \\ \omega' &= \omega_0 + n_{2K} E^2 \end{aligned} \Rightarrow \omega' &= \omega_0 + \frac{d\phi}{dt} \Rightarrow \\ \Rightarrow \omega' &= \omega_0 + \frac{2\pi L}{\lambda} n_{2K} \frac{d}{dt} \left(E^2 \right) \\ \frac{d}{dt} \left(E^2 \right) &> 0 \Rightarrow \omega' &= \omega_0 - \omega_K(t) \\ \frac{d}{dt} \left(E^2 \right) &< 0 \Rightarrow \omega' &= \omega_0 + \omega_K(t) \end{aligned}$$
 Pulse is CHIRPED





2.14 Non-linear Optical Effects.

Limitations over WDM

Limitations on the channel power imposed by four nonlinear effects (assumed λ =1.55 µm and fibre loss of 0.2 dB/km)





2.14 Non-linear Optical Effects.

