

Specialty Optical Fibers: Fundamentals, Types, Applications & Trends

Conference Tutorial

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Introduction



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- **Introduction**
 - Chronology
 - Main Hurdles & Drivers
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 - PM Fibers
 - Exotic SM Fibers: Electro-Optic, Chiral, Random Hole
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- **Market Perspective**
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Optical Fiber Chronology:

Major Milestones

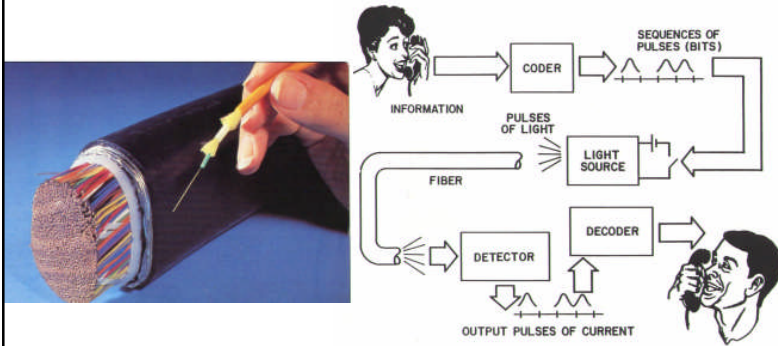
- 1854 — Light guiding in water jet— Tyndall
- 1910 — Dielectric waveguide analysis— Hondros & Debye
- 1930 — Early experiments with silica fibers— Lamb
- 1951 — Image transmission by fiber bundles— van Heel, Kapany
- 1961 — Mode analysis of optical fiber— Snitzer
- 1962 — First semiconductor lasers demonstrated— various groups
- 1963 — First POF— DuPont
- 1964 — Fiber Lasers proposed & analyzed— Snitzer
- 1966 — FO proposed for long distance comms— Kao & Hockman
- 1970 — First fiber with < 20dB/Km loss— Corning
- 1979 — Record low loss of 0.2dB/Km @ 1.55um
- 1987 — Er fiber amplifier demonstrated— Payne & Desurvire
- 1991 — Holey fibers first proposed— Russell
- 1996 — First solid-core PCF fiber made
- 2007 — Bend-insensitive fiber introduced—Corning



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The Original Driver of Optical Fiber Technology—Telecommunications



Over 30 years of R&D, testing product development and broad commercial use in telecoms industry!

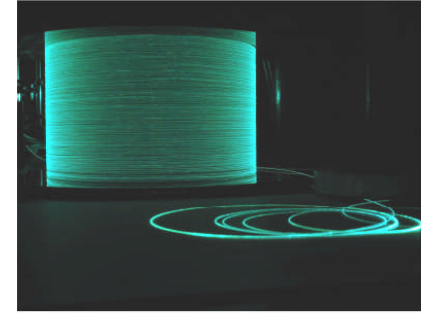
After Personick

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The Main Driver:

Tremendous Information Carrying Capacity



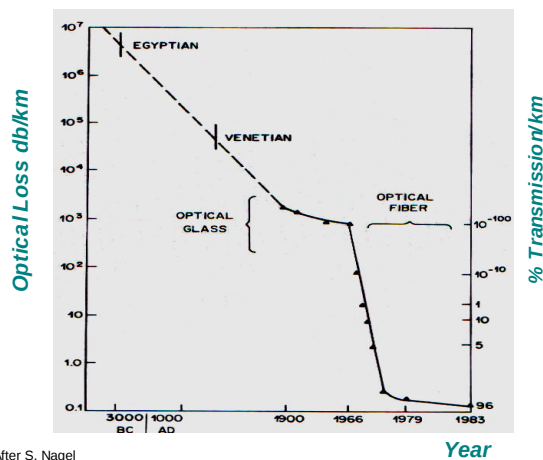
A single SM fiber has enough transmission capacity to transmit all 30,000 pages of a 20 volume Encyclopedia in just 1 second!!

After K. Thyagarajan

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The Main Hurdle: Reduction in Optical Loss



After S. Nagel

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The High Transparency of Silica Glass...

Window Glass	1 inch (~3 cm)
Optical Quality Glass	10 feet (~3 m)
Fiber Optics	9 miles (~14 km)

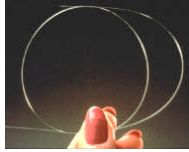
Propagation Distance Needed to Reduce Transmitted Light Power by 50% (3 dB)

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Benefits of Optical Fibers

- *Low Loss*
- *Long Haul Communications*
- *EMI immunity*
- *Intrinsically safe*
- *Passive: no need for electrical power*
- *Small size and lightweight*
- *Wide Bandwidth → High Info Capacity*
- *Low Cost*
- *Reliable, Proven Technology*
- *Multiple Uses & Applications Beyond Telecommunications*



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Optical Fiber Properties



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Optical Properties



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Optical Fiber Waveguides: Material & Geometry Choices

- **Glass vs. Crystals vs. Polymer**
 - Amorphous glasses (silica, fluorides, chalcogenide)
 - Single crystals (Sapphire)
 - Polycrystalline materials (Halide)
 - Polymeric (PMMA, polycarbonate, etc.)
- **Solid vs. Hollow**
 - Index guiding (TIR)
 - Reflection guiding
 - Photonic Bandgap guiding

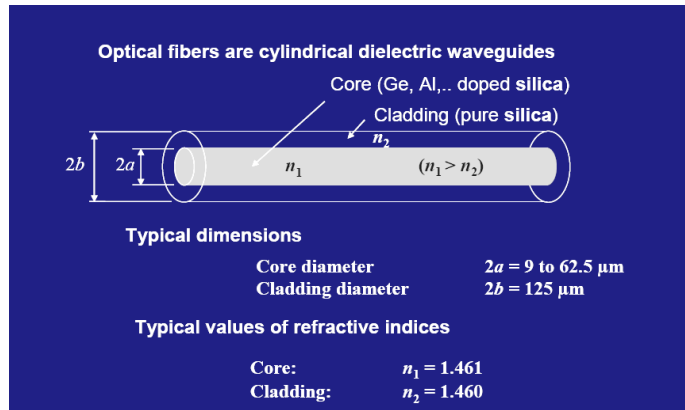


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Optical Glass Fibers:

Typical Structure



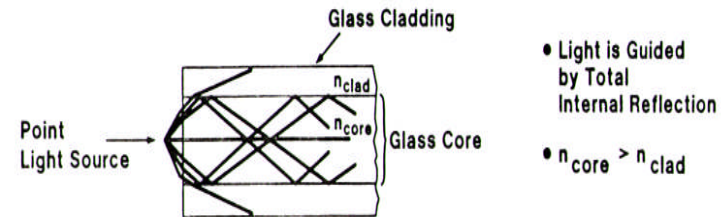
Source: H. Fragnito

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Solid Core Optical Glass Fibers:

Light Guiding—Total Internal Reflection



Actual light propagation is subject to Maxwell's equations and local boundary conditions



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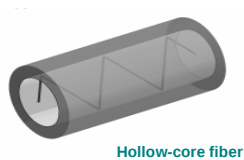
14

Hollow Core Optical Fibers:

Light Guiding Mechanisms

In contrast to solid core glass fibers, hollow core fibers can propagate light by:

- TIR
- Bragg guiding
- Photonic Bandgap guiding

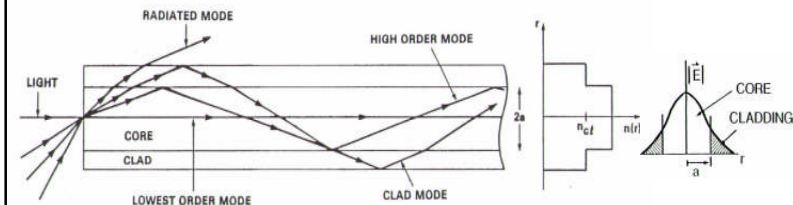


Source: Omniguide

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Light Guiding Characteristics



$$N.A. = \sin \theta_{\text{max}} = \sqrt{n_1^2 - n_2^2}$$

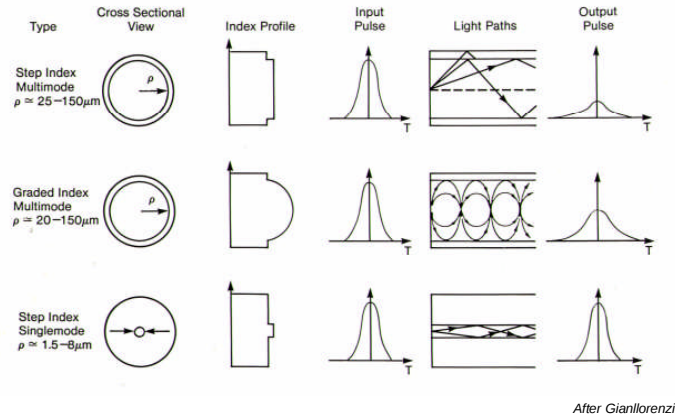
- Mode order proportional to ray angle:
 - Low order modes correspond to low angle rays
 - High order modes correspond to high angle rays
- Each mode has a given velocity of propagation:
 - Lower order $\rightarrow$ Lower velocity (all rays arrive at same time)



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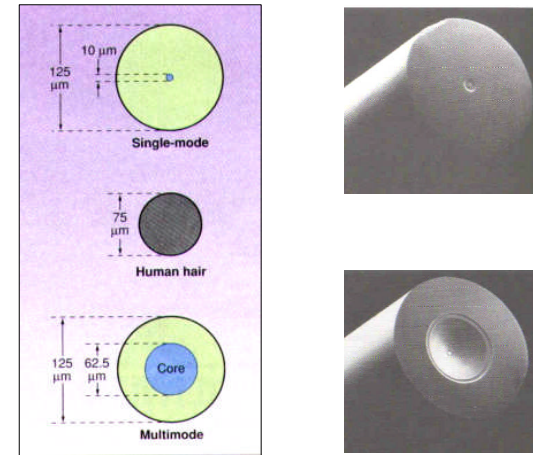
Basic Optical Fiber Types



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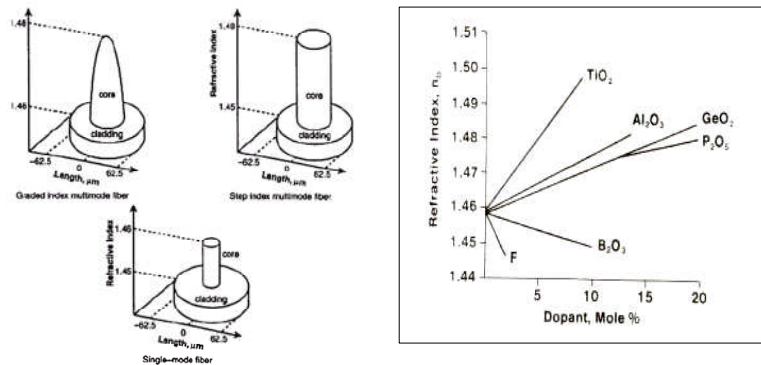
Singlemode vs. Multimode



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Light Guiding & Fiber Dopants



Different index profiles in fibers are tailored by adding certain dopants such as:

- Phosphorous
- Boron
- Fluorine

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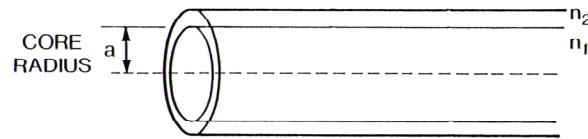
Typical Glass Fiber Compositions

	Core	Cladding
Multimode	$\text{GeO}_2 \cdot \text{B}_2\text{O}_3 \cdot \text{SiO}_2$ $\text{GeO}_2 \cdot \text{P}_2\text{O}_5 \cdot \text{SiO}_2$ $\text{GeO}_2 \cdot \text{SiO}_2$	$\text{B}_2\text{O}_3 \cdot \text{P}_2\text{O}_5 \cdot \text{SiO}_2$ $\text{B}_2\text{O}_3 \cdot \text{P}_2\text{O}_5 \cdot \text{SiO}_2$ $\text{F} \cdot \text{P}_2\text{O}_5 \cdot \text{SiO}_2$ SiO_2
Singlemode	$\text{GeO}_2 \cdot \text{SiO}_2$ SiO_2	$\text{F} \cdot \text{P}_2\text{O}_5 \cdot \text{SiO}_2$ SiO_2 $\text{P}_2\text{O}_5 \cdot \text{SiO}_2$ $\text{F} \cdot \text{SiO}_2$ $\text{F} \cdot \text{P}_2\text{O}_5 \cdot \text{SiO}_2$ $\text{F} \cdot \text{SiO}_2$

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Waveguide Parameter (V-value)



PARAMETER CHARACTERIZING WAVEGUIDE

$$V = \left(\frac{2\pi a}{\lambda_0} \right) \sqrt{n_1^2 - n_2^2} = \left(\frac{2\pi a}{\lambda_0} \right) (\text{N.A.})$$

- Singlemode operation limited to $V < 2.4$
- Cutoff wavelength $\lambda_c = V/2.4$

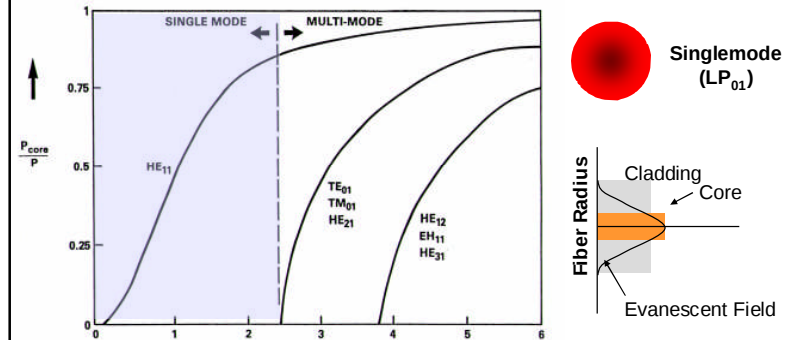


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Single-Mode Fiber:

Optical Power Distribution



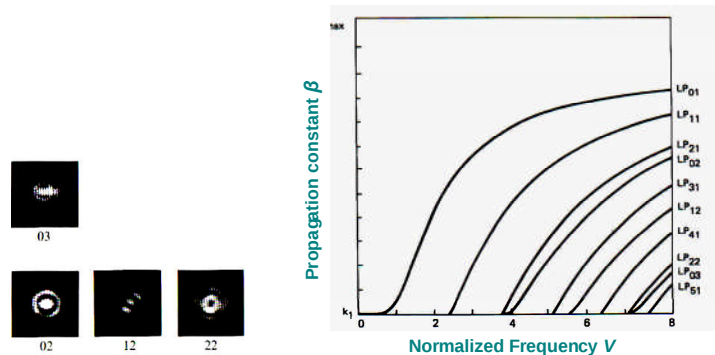
- Singlemode fibers guide optical power with a near Gaussian shape
- Light traveling in the cladding region is known as Evanescent Field



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Light Propagation Modes



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Mode Nomenclature

- **TE (Transverse Electric) Modes**
 - E field $\perp$ to direction of propagation
- **TM (Transverse Magnetic) Modes**
 - H field $\perp$ to direction of propagation
- **TEM (Transverse Electro-Magnetic) Modes**
 - Both E & H fields $\perp$ to direction of propagation
- **HE and EH (Helical or Skew) Modes**
- **LP (Linearly Polarized)**
 - All other modes can be constructed with LP ones

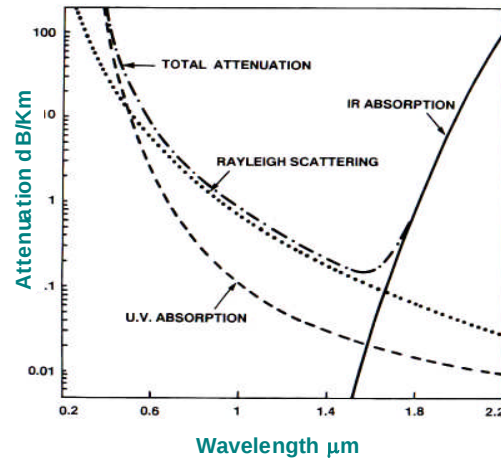
EXACT MODES	LP MODES
$HE_{1\mu}$	$LP_{0\mu}$
$HE_{2\mu} + TM_{0\mu} + TE_{0\mu}$	$LP_{1\mu}$
$HE_{(\nu+1)\mu} + EH_{(\nu-1)\mu}$	$LP_{\nu\mu}$



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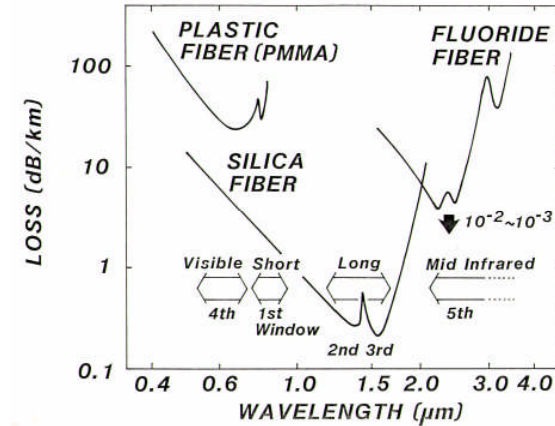
Optical Loss Mechanisms



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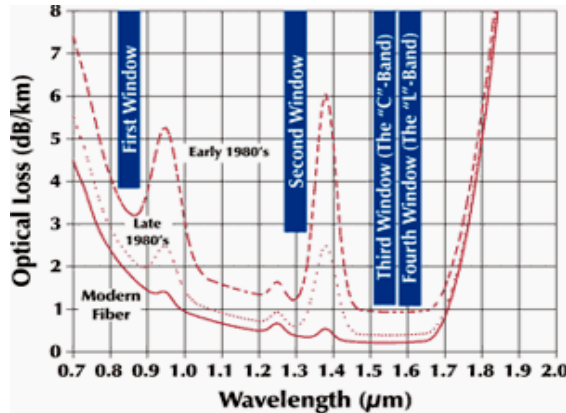
Transmission Window: Optical Loss for Different Fiber Materials



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Optical Loss Spectrum: Silica Fibers



Typical attenuation

850nm: 3.3 dB/km

1.31 μm : 0.33 dB/km

1.55 μm : 0.16 dB/km

2.1 μm : 0.2 dB/km

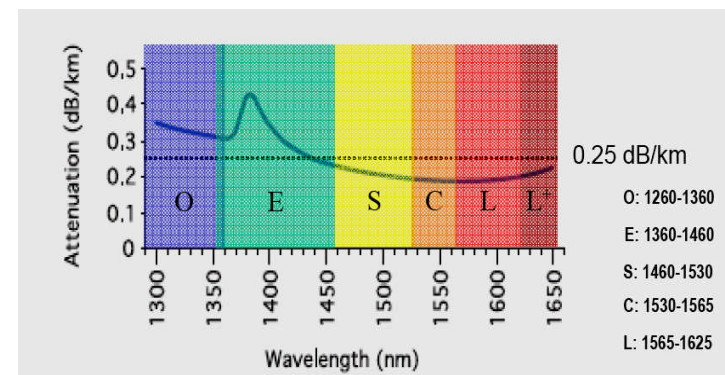
2.4 μm : 1 dB/km



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Optical Fiber WDM Spectral Bands Classification

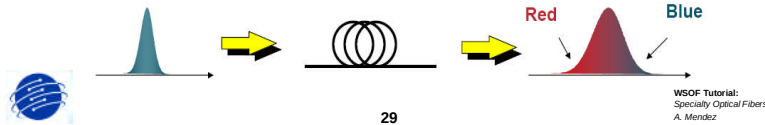
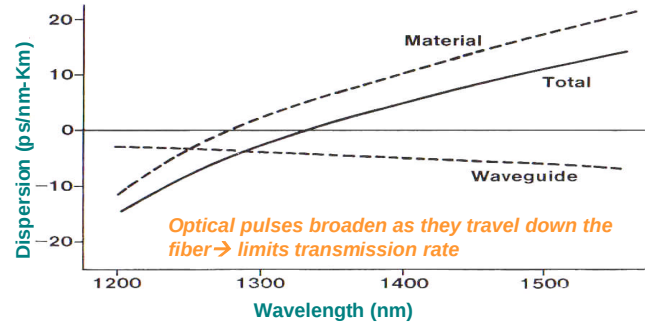


Source: Corning

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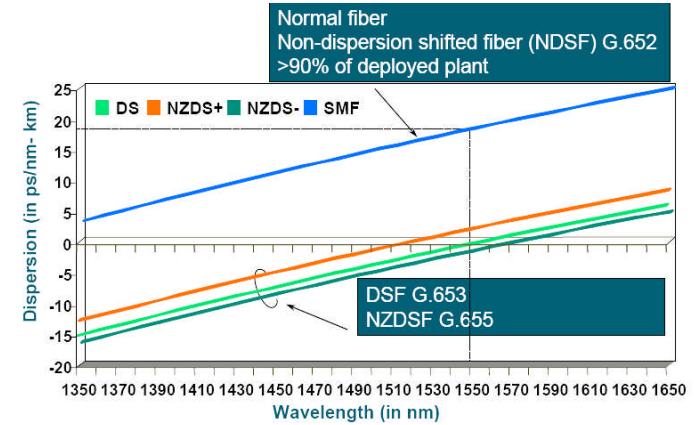
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Dispersion



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Dispersion: Specialty Fiber Types



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Standard Single-Mode Fiber Corning SMF-28

Fiber Parameter	Expected Value
Attenuation at 1310/1550 nm (standard quality)	0.35 / 0.22 dB/km
Cut-Off Wavelength	<1260 nm
Mode Field Diameter (@1310 nm)	9.2 μm
Numerical Aperture	0.14
Zero Dispersion Wavelength	1313 nm
Zero Dispersion Slope	$\leq 0.092 \text{ ps}/(\text{nm}^2\text{-km})$
PMD Link Value	$\leq 0.1 \text{ ps}/\text{km}^5$
Core-to-Cladding Eccentricity	$\leq 0.5 \mu\text{m}$

Designed for high
bitrate TDM
at 1310 nm

Most widely deployed so far, introduced in 1986, cheapest



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Specialty Fiber Types

- Singlemode
- Multimode (step index, graded index)
- Bend Insensitive
- Chiral
- D-Shape
- Dispersion Shifted
- Dispersion Flattened
- Dispersion Compensating
- Double Clad
- Dual-mode
- Electro Optic
- Elliptical Core
- Fluorescent
- Hermetic
- Hollow core
- IR fibers
- Large Core
- Large NA
- Low-Birefringence
- Liquid Core
- Metal-coated
- Nano Fibers
- Multi-Core
- Photonic & Crystal fibers
- Photosensitive
- Plastic-clad (PCS)
- Polarization maintaining
- Polarizing
- Polymer
- Pure silica core
- Rare-earth doped
- Side Hole
- Spun



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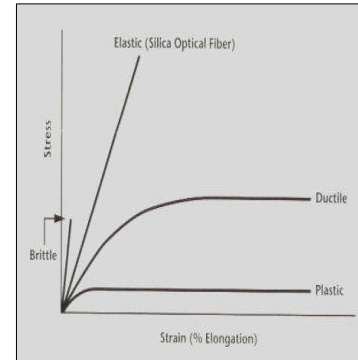
Mechanical Properties



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Silica Fiber Strength



Optical fibers behave as an elastic material up to the point of failure.

Theoretical strength of silica glass determined by cohesive bond strength of constituent atoms > 20 Gpa (3-5Mpsi)!

At ambient temperature & moisture, typical glass fiber strengths are on the order of 4-6 Gpa (~800Kpsi).

Actual strength is limited by surface flaw distribution.



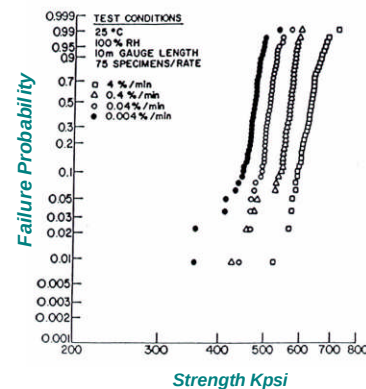
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Optical Fiber Breaking Strength

Effective fiber strength is a function of:

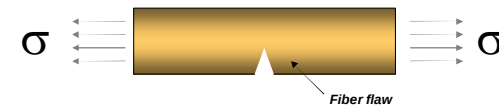
- Length
- Strain rate
- Temperature
- Relative Humidity
- Chemical or mechanically induced flaws



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Optical Fiber Mechanical Strength Degradation



Surface flaws such as crack, scratches, pits, etc. produced mechanically or chemically (by moisture attack), act as stress concentrators that induce crack growth leading to brittle fracture under stress.

The distribution of flaws in pristine fibers is statistical in nature

However, the longer the fiber length, the greater the probability of encountering more and larger flaws.

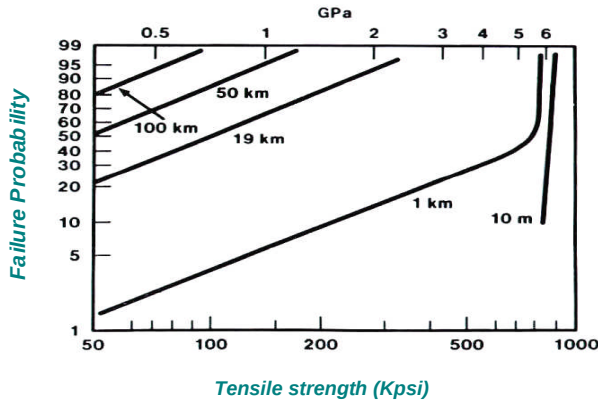


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Fiber Strength:

Length Dependence

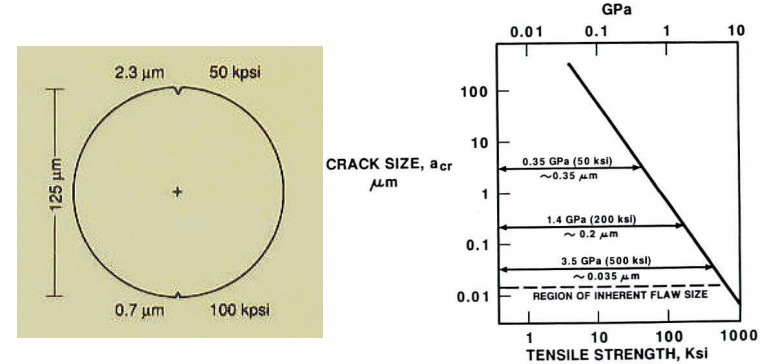


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Fiber Strength:

Flaw Size Dependence



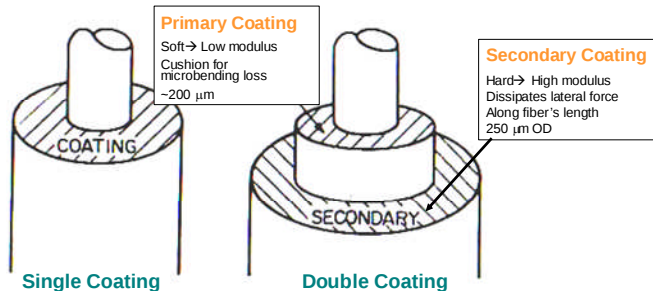
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Fiber Protective Coatings

To protect against abrasion, handling, bending and moisture, fibers are coated with one or two layers of an UV-curable acrylate coating.

These layers are known as the **primary & secondary coatings**

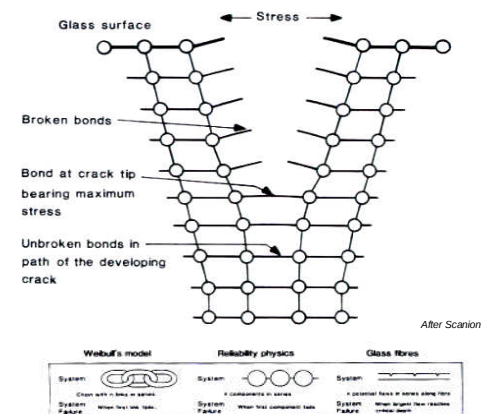


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Fiber Mechanical Failure:

Weibull model

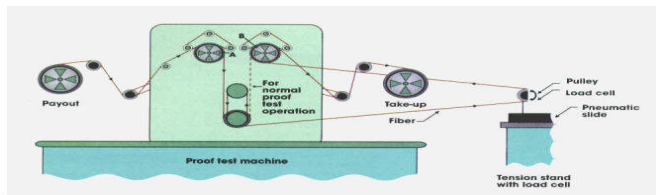


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Proof-Testing

- Fiber is subjected to a short-term tensile strain during or subsequent to production. Applied load is the proof-stress value.
- Establishes minimum strain capability: fiber will break for large cracks larger than the proof-stress value.
- Typical proof-stress levels: 50Kpsi & 100Kpsi
- High proof-stress levels (>100Kpsi needed for O&G) result in:
 - Increase reliability
 - Reduced fiber continuous length yield
 - Higher cost

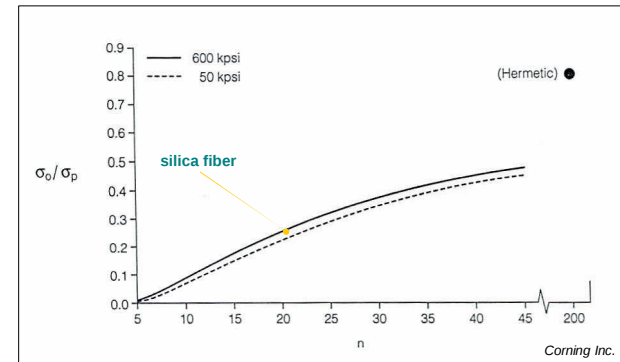


Source: Corning Inc.

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Safe Fiber Stress: As a function of σ_p and n value



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Fabrication Methods

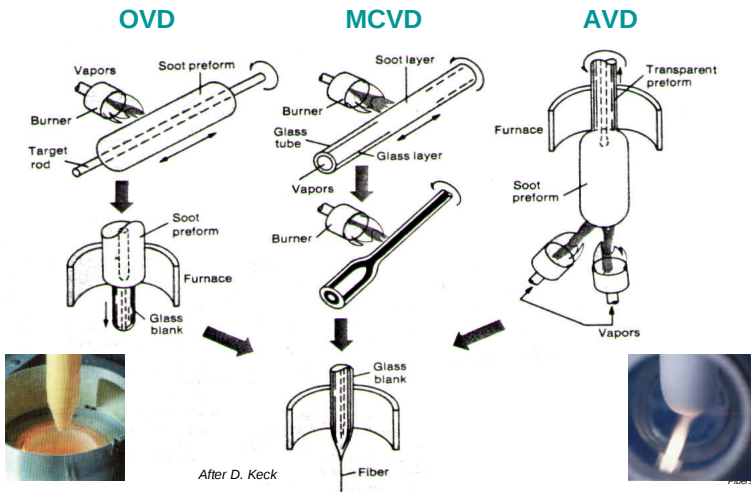
Optical Fiber Fabrication Methods

- Glass**
 - CVD preform $\rightarrow$ fiber drawing
 - Rod-in-tube preform $\rightarrow$ fiber drawing
 - Cast preform $\rightarrow$ fiber drawing
 - Double crucible $\rightarrow$ direct draw
 - Sol gel preform $\rightarrow$ fiber drawing
 - Stack and draw $\rightarrow$ PCFs
 - Polycrystalline**
 - Extrusion
 - Hot rolling
 - Monocrystalline**
 - Seed crystal growth from melt
 - Zone melting
 - Polymer**
 - Extrusion
 - Cast preform $\rightarrow$ fiber drawing
- MCVD—Modified Chemical Vapor Deposition
 PMCVD—RF Plasma Enhanced MCVD
 PCVD—Microwave Plasma CVD
 OVD—Outside Vapor Deposition
 VAD—Axial Vapor Deposition

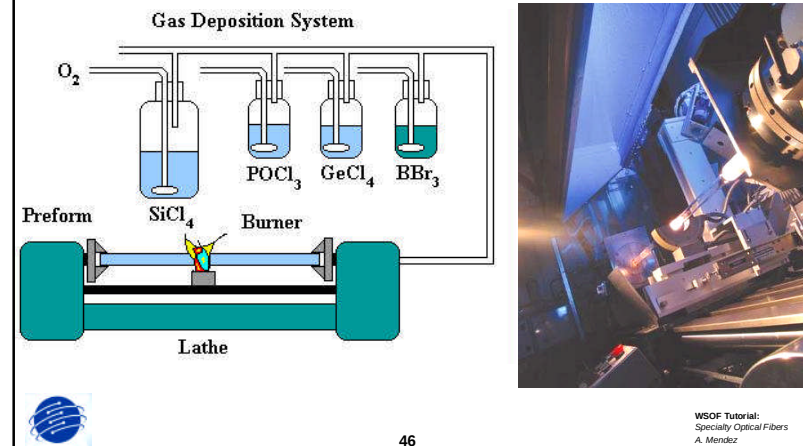
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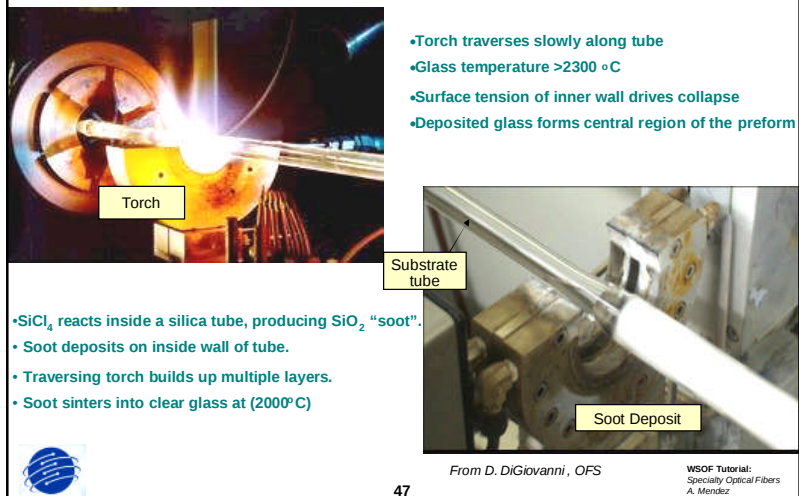
How is an Optical Fiber made?



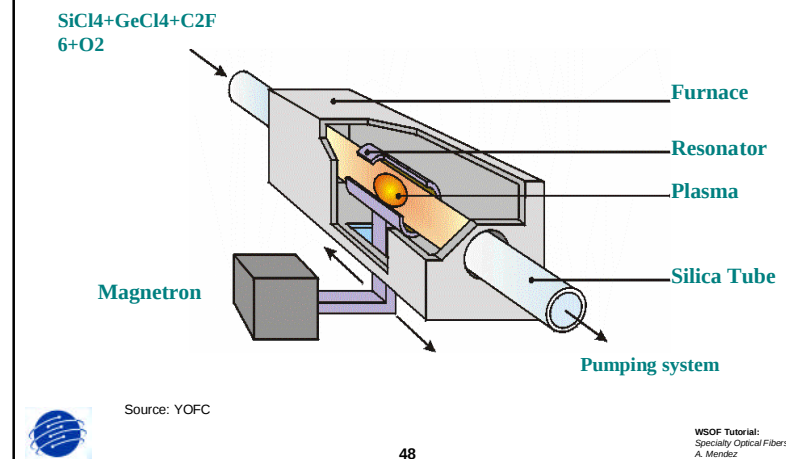
MCVD Process: Modified Chemical Vapor Deposition



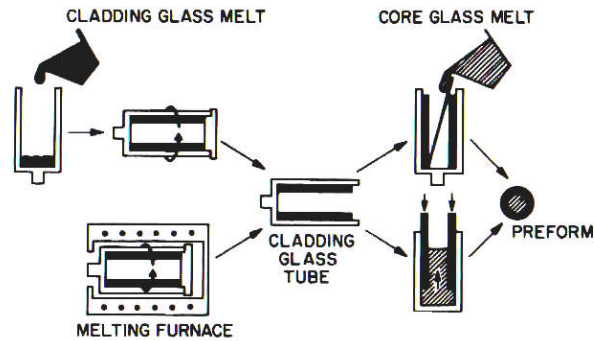
Preform Fabrication Process



PCVD Deposition System



Rotational Preform Casting Process



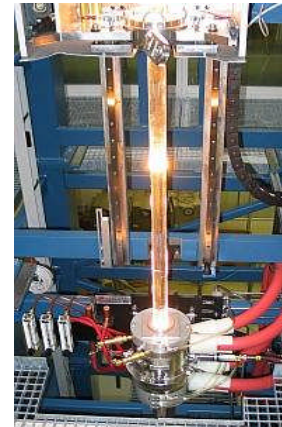
After D.C. Tran et al.



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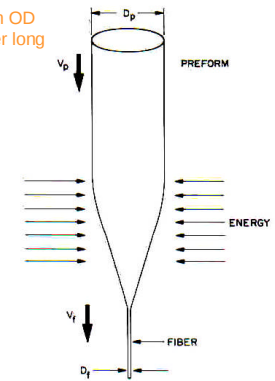
Fiber Preform Drawing



Graphite furnace ~2100°C



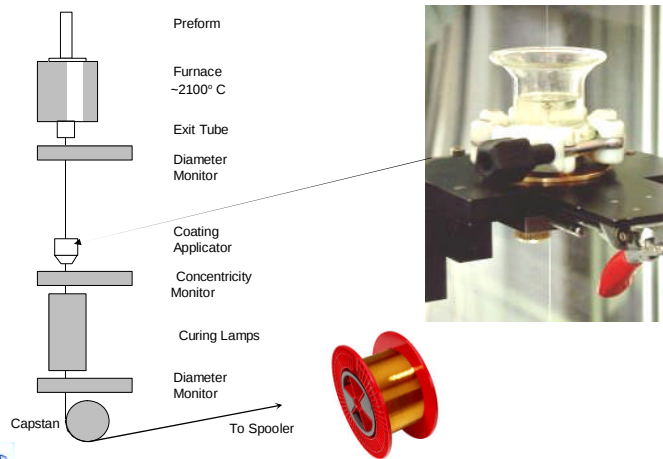
15 - 60mm OD
1 - 3 meter long



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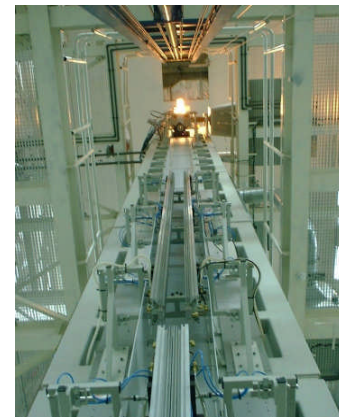
Fiber Drawing Process



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Fiber Draw Tower



Source: Special Gas Controls



- Height dictated by fiber cooling & drawing speed (8 - 30m tall)
- High temperature polishes glass surface
- Strength preserved by polymer coating
- Feedback ensures dimensional control
- Draw speed: >25 m/s (55mph)
- Fiber production >1000 km per preform

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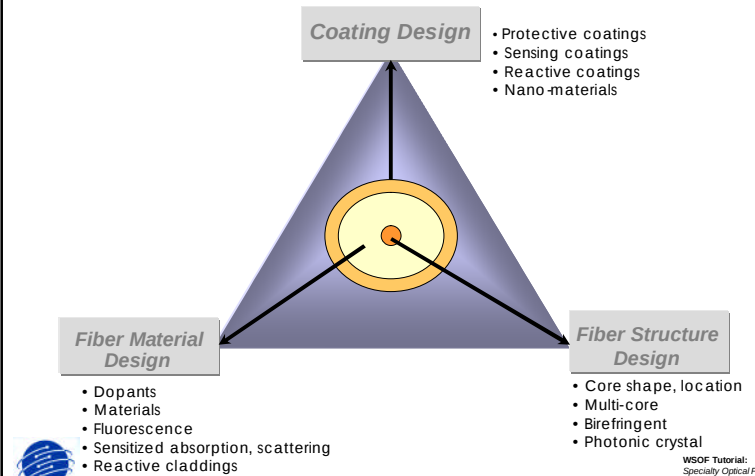
The Fiber Design Triad



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Specialty Optical Fibers: Tailoring of Fiber Design



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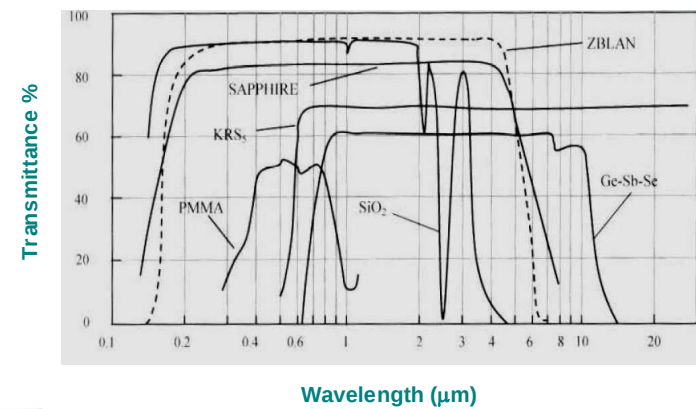
Fiber Material Design



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Optical Fiber Materials: Spectral Transmittance



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IR Optical Fibers

Fiber/Waveguide Material	Transmission Window (μm)	Typical Minimum Attenuation (dB/km @ 1 μm)	Typical Fiber NA	Operating Temperature °C	Ultimate Bend Radius for Typical Diameter
SiO ₂	0.35-1.40	0.4 @ 1.32	0.16	<300	20 mm for 350 μm
Low OH SiO ₂	0.4-2.5	12 @ 0.82	0.16-0.3	<300	20 mm for 350 μm
Sapphire	0.5-3	5000 @ 2.9	1.42	<1800	30 mm for 300 μm
Fluoride ZBLAN	0.4-5	15 @ 2.5	0.21	<200	40 mm for 330 μm
Chalcogenide	1-11	400 @ 6	0.40	<150	20 mm for 600 μm
Polycrystalline	4-15	500 @ 11	0.5	<350	20 mm for 700 μm
Hollow Glass ATIR	7-9.4	1400 @ 9.24*	0.05**	<500	300 mm for 1000 μm
Hollow Metal	3, 5-5.5, 10-13	500 @ 10.6*	0.05**	<500	300 mm for 1000 μm
Hollow Sapphire ATIR	10-17	1000 @ 10.6*	0.05**	<1800	1000 mm for 1064 μm

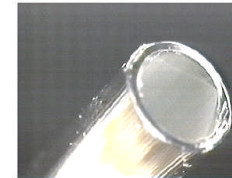
* Optimum laser modes and launch ** Effective NA



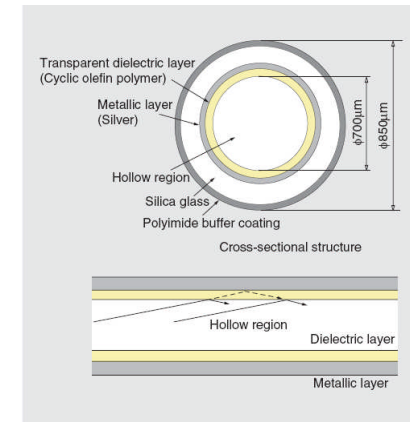
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IR Optical Fibers: Metal-Coated Hollow Waveguide



Tip of hollow fiber



Source: Hitachi Cable

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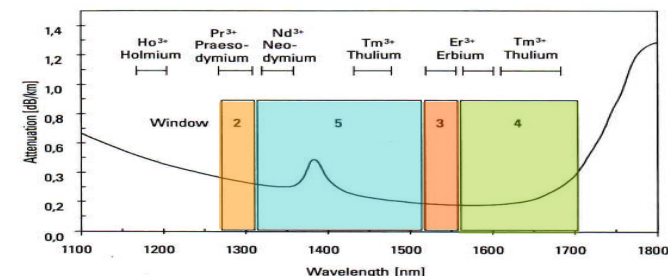
Rare Earth Doped Fibers



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Rare Earth Doped Fibers: Lasing Spectra



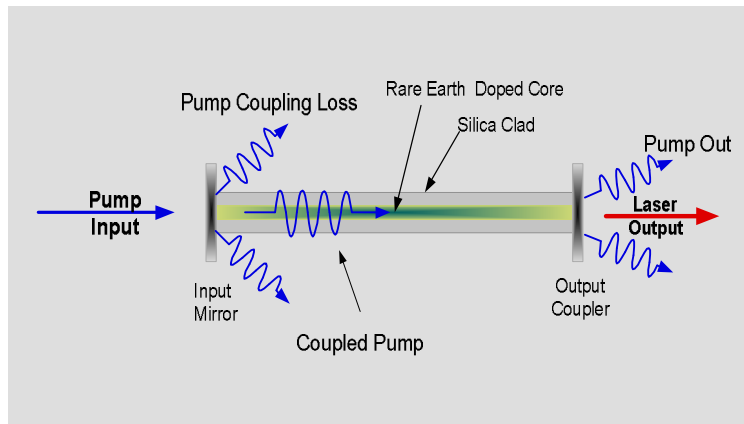
Rare earth ion	Pump wavelength (nm)	Lasing wavelength (nm)	γ_h
Nd ³⁺	~800	~920 - 940	~ 0.13 - 0.15
		~ 1050 - 1080	~ 0.24 - 0.26
		~ 1360	~ 0.41
Yb ³⁺	~915 - 980	~ 980 - 1140	~ 0.05 - 0.20
Er ³⁺ , Yb ³⁺	~915 - 980	~ 1530 - 1620	~ 0.36 - 0.44
Tm ³⁺	~790, ~1550	~ 1720 - 2100	~ 0.10 - 0.62

Fraction of absorbed pump converted to heat: $\gamma_h = 1 - v_L / v_p$



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Fiber Laser Construction

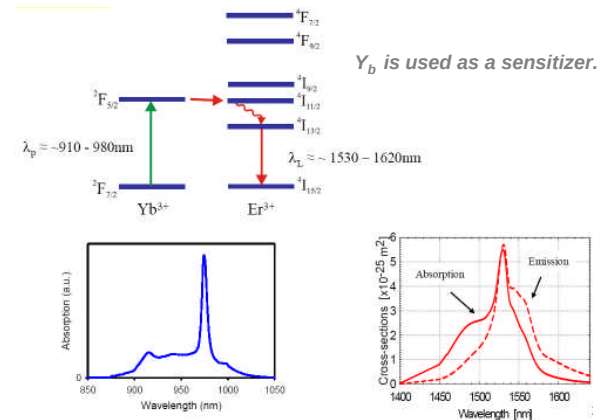


Source: Stocker Yale

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Er³⁺ Doped Fiber Energy Levels

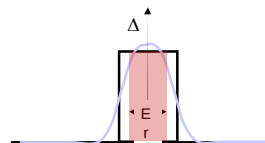


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Er-Doped Fiber Development

- Use high Δ to increase pump intensity
 - This increases the inversion level
 - but background loss $\sim \Delta^2$, so typically $\Delta \sim 1-2\%$
- Confine erbium to center of core:
 - intensity peaks at $r = 0$
 - but this increases the length (less overlap with pump)
- Keep concentration low to minimize pairing
 - Good fiber has <10 dB/m absorption at 1530nm
 - This increases the required fiber length
- Co-dope with Aluminum:
 - increases solubility of rare earths (inhibits crystallization)
 - Increases sensitivity to hydrogen
 - reduces splice loss

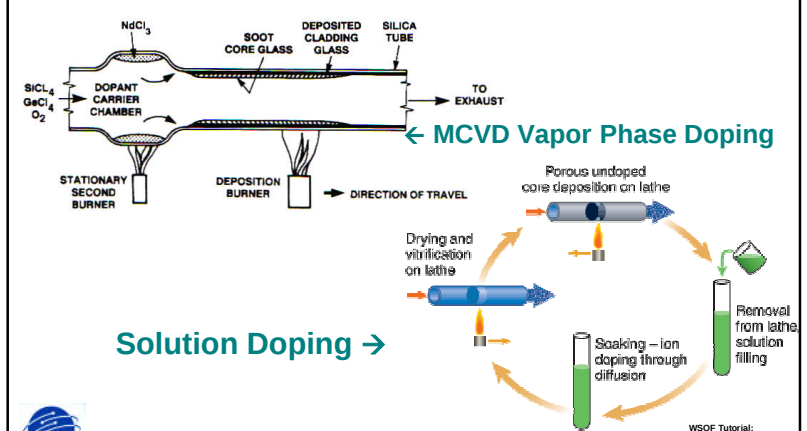


Source: D. DiGiovanni

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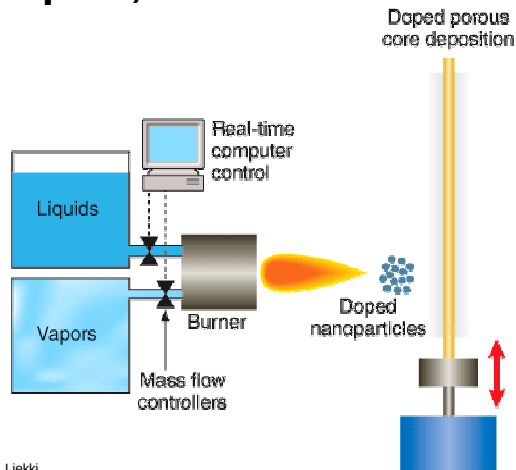
RE Fiber Preform Doping Techniques



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RE Fiber Preform Doping Techniques, cont.

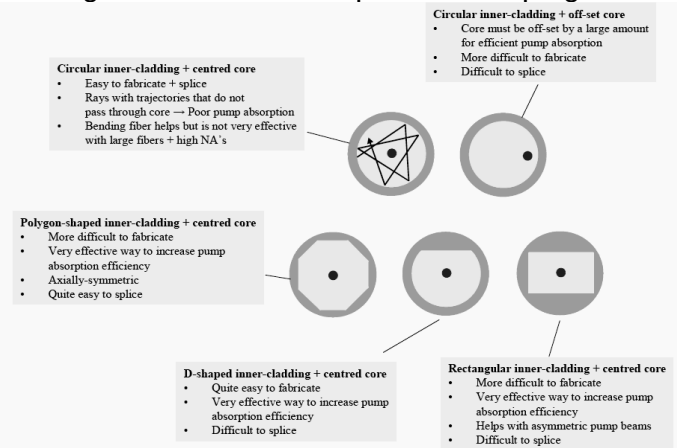


Source: Liekki

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Rare Earth Doped Fibers: Cladding Geometries for Improved Pumping



After A. Clarkson

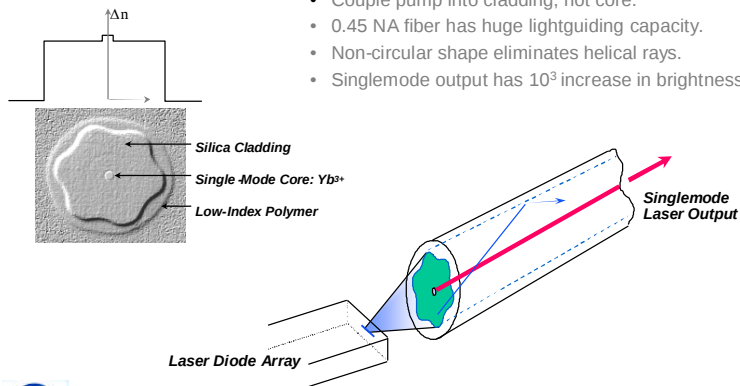
66

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Double-Clad Fiber Devices

Objective → Increase light brightness

- Couple pump into cladding, not core.
- 0.45 NA fiber has huge lightguiding capacity.
- Non-circular shape eliminates helical rays.
- Singlemode output has 10^3 increase in brightness.

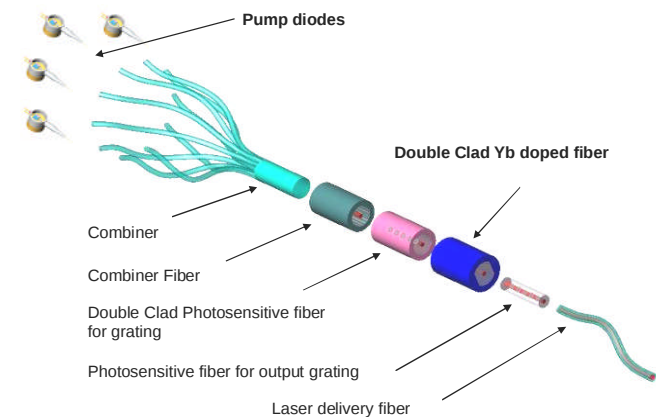


Source: D. DiGiovanni

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Fiber Laser: Specialty Fiber Components

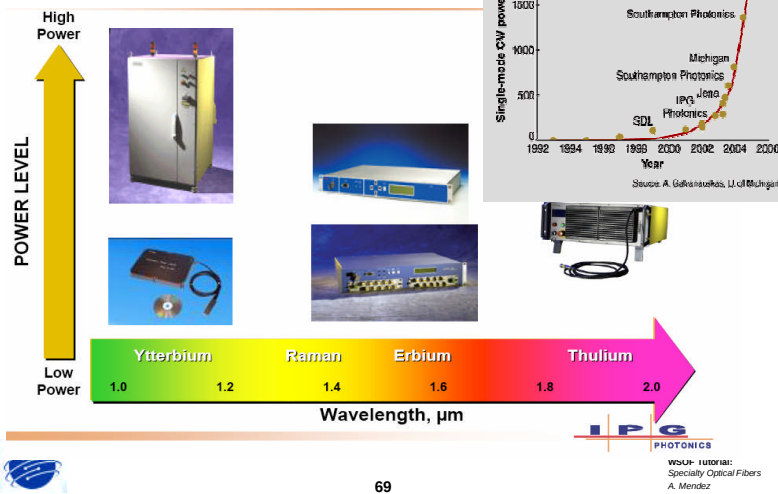


Source: Stocker Yale

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Fiber Lasers: Output Power Trend



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Fiber Lasers: Applications

- 
- 
- Skin rejuvenation and wrinkle removal
 - General surgery
 - Urology
 - Dental and ophthalmology
 - Marking and engraving
 - Welding and brazing
 - Cutting
 - Drilling
 - Cladding
 - Cleaning and stripping
 - Obstacle warning
 - Light detection and ranging
 - Optical pumping
 - Directed energy
 - Sensing and instrumentation



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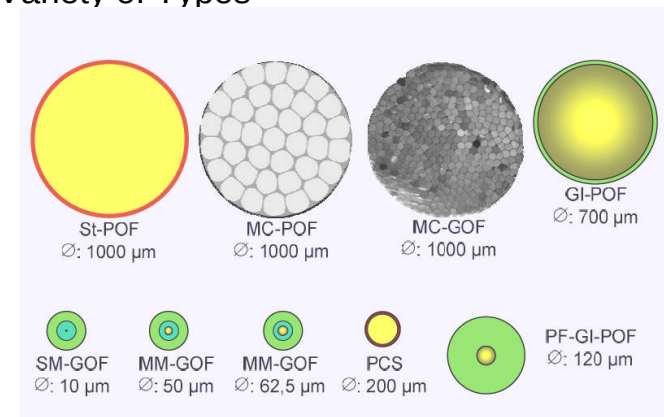
Polymer Optical Fibers



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Polymer Optical Fiber (POF): Variety of Types

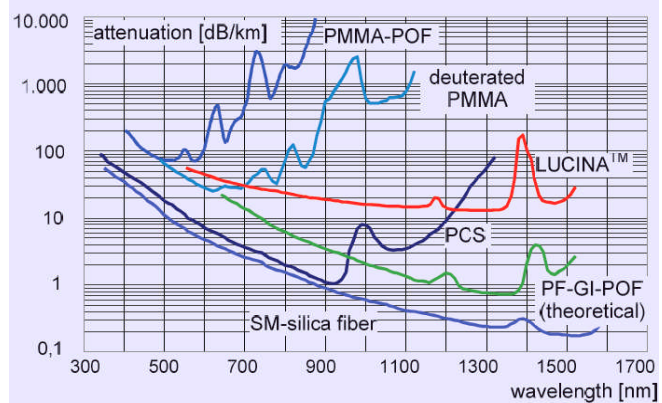


Source: H. Poisel

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Polymer Optical Fibers: Spectral Attenuation

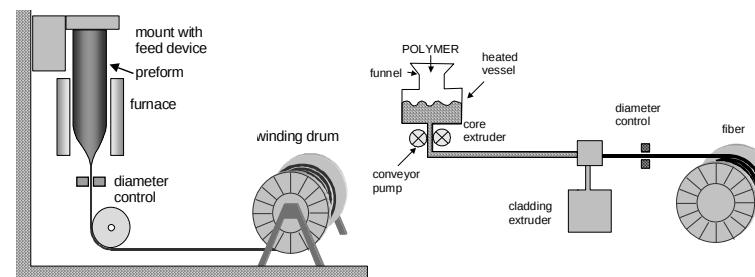


Source: H. Poisel/POFAC

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Polymer Optical Fibers: Fabrication Techniques



Preform Drawing

Extrusion Process

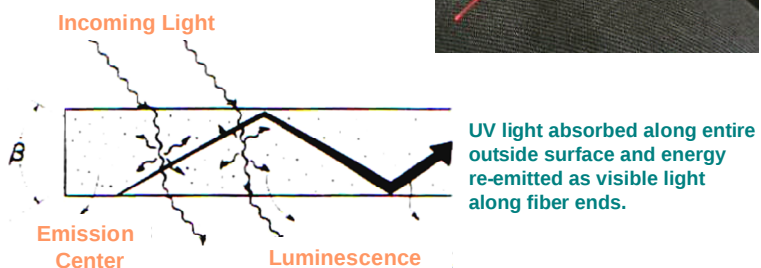
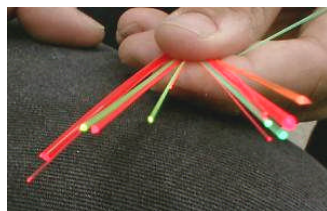


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POF Fluorescent Fibers

Plastic fiber with dye doped core and thin plastic cladding.



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Fiber Waveguide Design

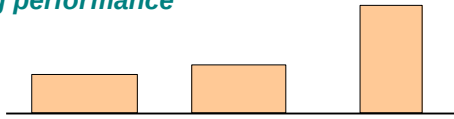


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Step Index Fiber: Waveguide Design

- *Modifying Core Radius and Delta produces fibers with varying performance*



Cutoff (nm)	1000	1000	1000
Index Delta	0.36%	0.45%	1.0%
Core Radius (um)	3.3	3.0	2.0
NA	0.12	0.14	0.21
MFD 1000 nm (um)	6.9	6.1	4.1
MFD 1300 nm (um)	8.5	7.6	5.0
λ for 30mm Bend Loss = 1dB/m	1425 nm	1500 nm	>1700nm



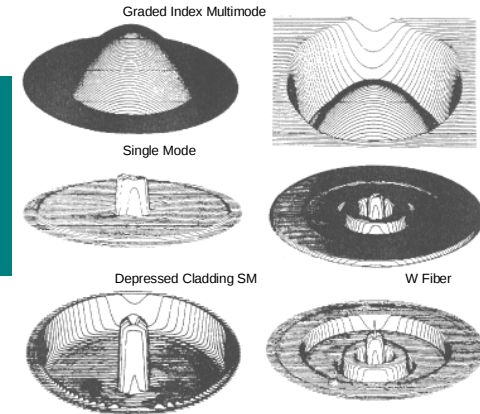
Source: Corning

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Fiber Waveguide Design: Refractive Index Tailoring

Different waveguide designs and light guiding properties can be designed by shaping the RI of the core and/or cladding via dopants and glass modifiers.



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Dopant Effects in Silica Glass

	INDEX n	EXPANSION α	VISCOSITY η	STABILITY	DURABILITY	DISPERSION λ_D	RAYLEIGH SCATTERING	IR LOSS	COST
GeO ₂	↑	↑	↓	●	↓	↑	↑↑	●	↑
P ₂ O ₅	↑	↑	↓	●	↓	●	↑	↑	●
B ₂ O ₃	↓	↑	↓	●	↓	●	?	↑	●
F	↓	●	↓	●	↓	●	?	●	●
TiO ₂	↑	↓	↓	↓	?	↑	?	●	●
Al ₂ O ₃	↑	↑	↓	↓	?	↑	?	●	●



After Morrow

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Popular Singlemode Fiber Designs

INDEX PROFILE	FIBER TYPE	MAIN FEATURES
	STEP - INDEX MATCHED CLADDING	OPTIMIZED AT 1300nm
	DEPRESSED CLADDING	LOW ATTENUATION LOW SENSITIVITY TO BENDING
	W FIBER	DISPERSION FLATTENED
	PURE SILICA CORE	VERY LOW ATTENUATION
	TRIANGULAR CORE	DISPERSION SHIFTED (OPTIMIZED AT 1550nm)
	SEGMENTED CORE	DISPERSION SHIFTED LOW SENSITIVITY TO BENDING
	MULTIPLE CLADDING	DISPERSION MODIFIED (SHIFTED OR FLATTENED)

(---: SiO₂ level)



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Source: CSLT

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Plastic Clad Fibers



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Hard-Clad Fibers

Plastic-Clad silica fibers (PCS) also known as hard-clad silica (HCS) fibers, are large core ($>100\text{ }\mu\text{m}$) multimode fibers made from silica glass that typically have a plastic thin layer acting as cladding.

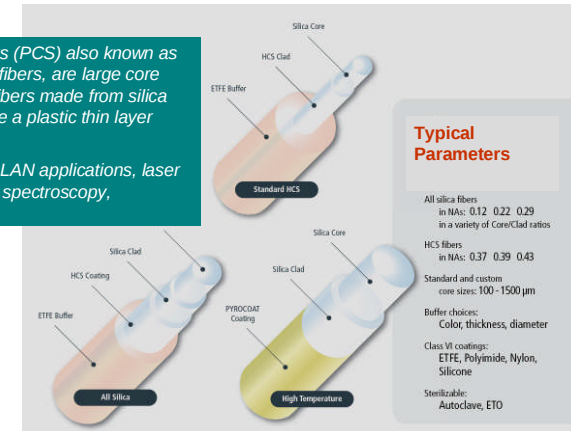
Common uses include LAN applications, laser delivery, fiber sensors, spectroscopy, illumination, etc.



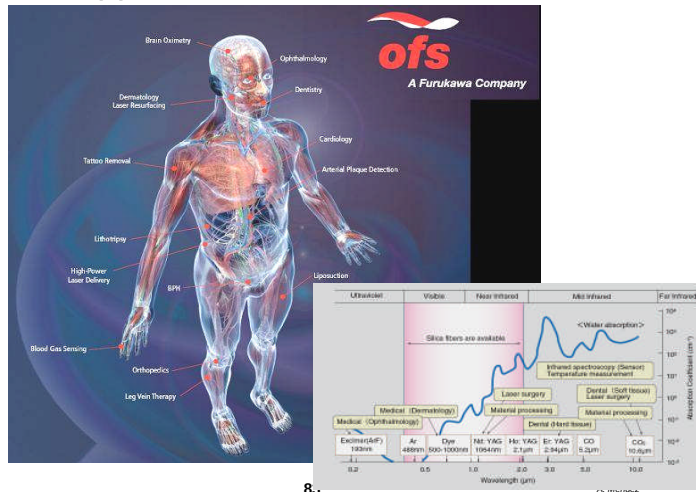
Source: OFS

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Hard-Clad Fibers: Medical Applications



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Polarization Maintaining Fibers

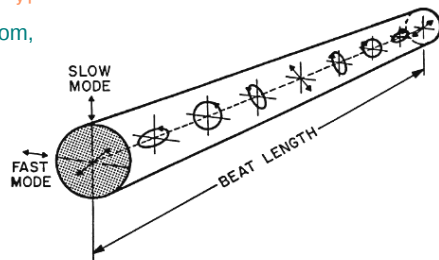


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Polarization Modes & Birefringence

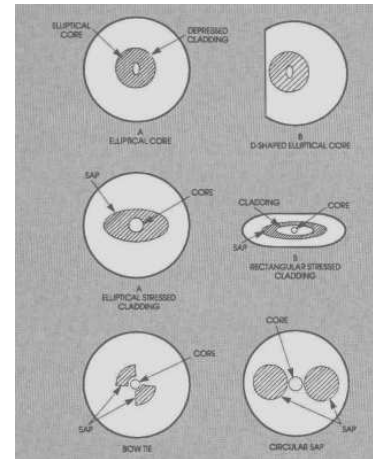
- In a regular fiber, light transfers back and forth between the 2 polarization states with a period known as the Beat Length $L_B = \lambda/B$
- Birefringence "B" is the index difference between the 2 polarization axes. $B = |n_x - n_y|$
- For an ordinary fiber, B is random, and L_B is ~ 10m.
- A polarization maintaining fiber has a large $B \sim 10^{-4}$ thus forcing light to propagate along preferential polarization fiber axes.



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Polarization Maintaining Fibers



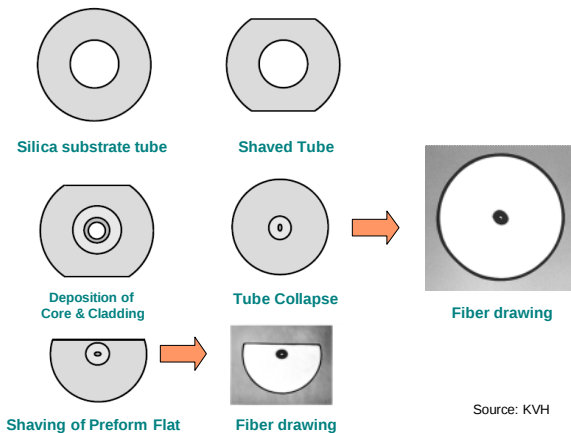
Stress regions within the cladding are formed to induce birefringence in the fiber's core. Thus, promoting linearly polarized light to travel in specific orthogonal directions along the fiber.



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Elliptical Core & D-Shaped Fibers



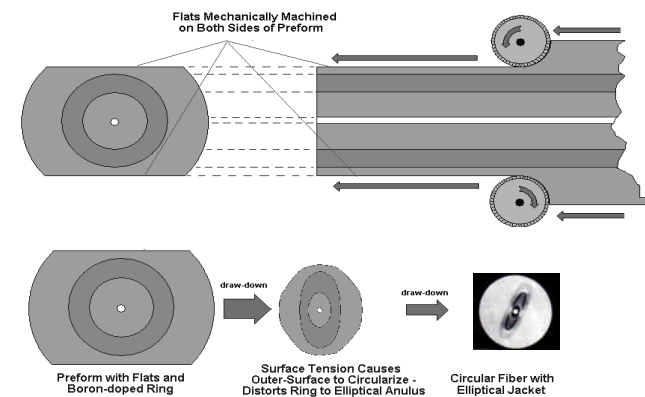
Source: KVH



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E-Core Fiber: Fabrication Process



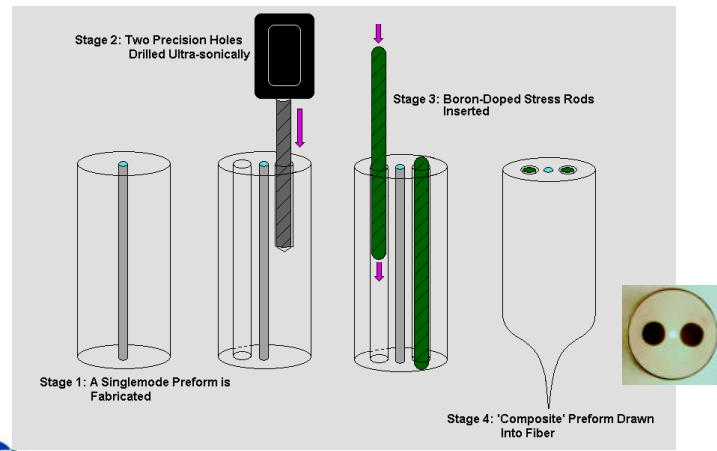
NB: Draw-down is not to scale



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Panda Fiber: Fabrication Process

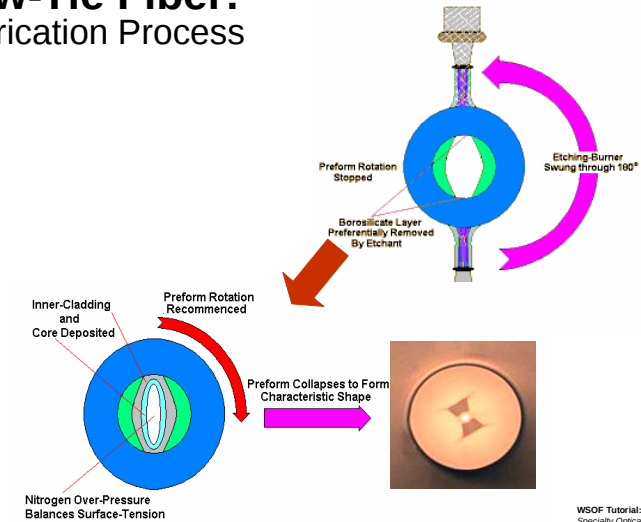


Source: Fibercore

89

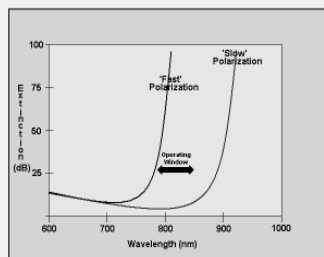
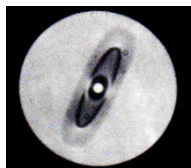
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Bow-Tie Fiber: Fabrication Process



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Polarizing Fiber



- A highly (~40%) birefringent fiber with a "W" type index profile.
- Light polarized along slow axis is guided more strongly than polarized light along the fast axis.
- Second polarization state is forced to be cutoff.
- Have broad wavelength operating range: 30-50nm.

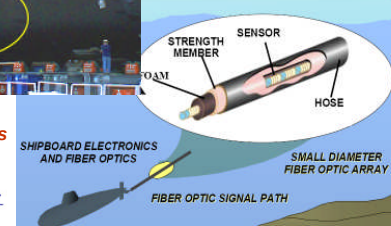
Source: 3M

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PM Fiber Applications: Hydrophones & Tow Arrays

Light Weight Wide Aperture Array (LWAA)
Virginia class nuclear submarine



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PM Fiber Applications: Fiber Optic Gyros (FOG)

- Mobile Antenna Pointing & Stabilization
- Vehicle Navigation
- Autonomous Vehicle Navigation
 - Material Handling Equipment
- Torpedoes
- Weapons Simulators
- Video Camera Stabilization
- Open & closed-loop designs
- 1,2,3, 6 axes
- Typically PM fiber with 80um OD.



Source: KVH

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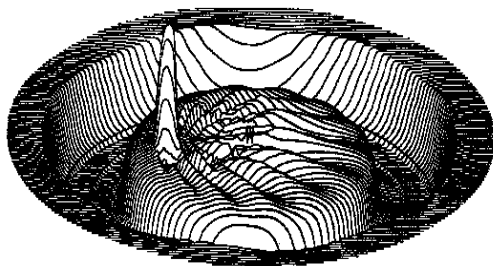
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Exotic Singlemode Fibers

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Offset Core Fiber

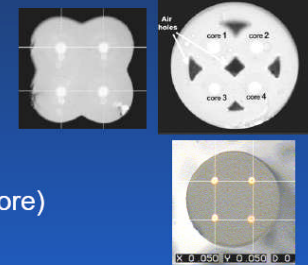


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Multi-Core Fibers

- Multiple shaped preforms (France Telecom, and HesFibel Ltd*, Turkey)
- Drilled circular preform (Fibercore)



Applications

- FBG Arrays
- Shape sensing
- Bending sensors

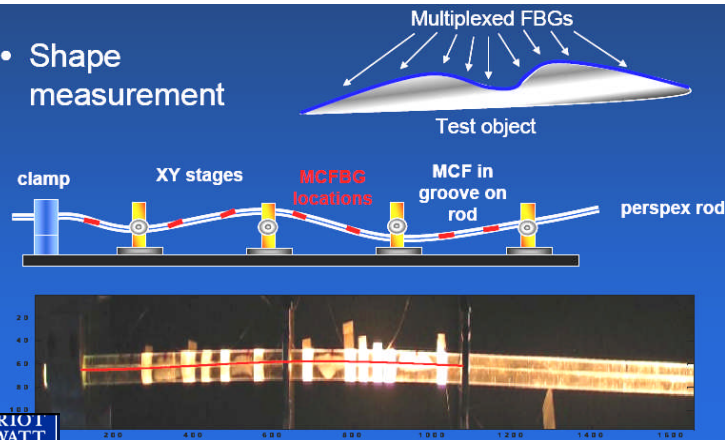


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Multi-Core Fibers: Shape Measurement

- Shape measurement

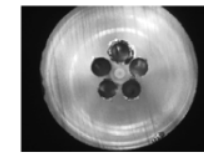


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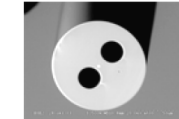
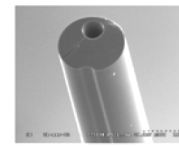
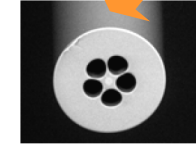
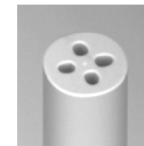
97

Fibers With Holes

Drill holes
into preform



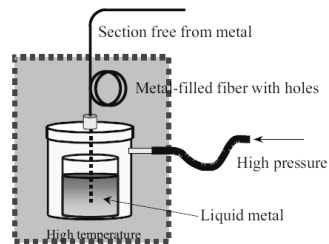
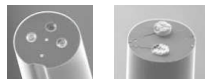
Draw fiber



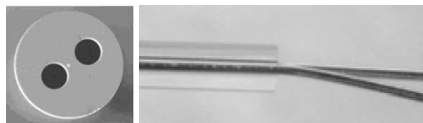
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Electro-Optic Fibers: Fabrication



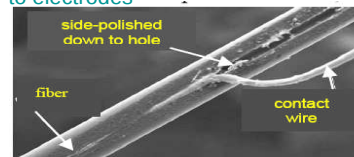
1. Electrodes are formed by injecting melted liquid into a pre-drilled fiber



2. Fiber is side-polished to contact electrodes



3. External wires are connected to electrodes



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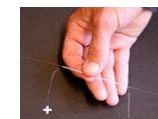
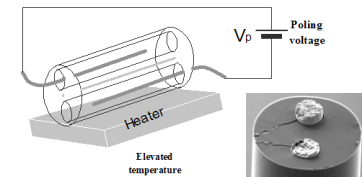
99

Electro-Optic Fiber: Why Is It Attractive?

Conventional fibers allow only light-guiding characteristics.

Electro-optic fibers allow for the manipulation of light via external electrical control signals.

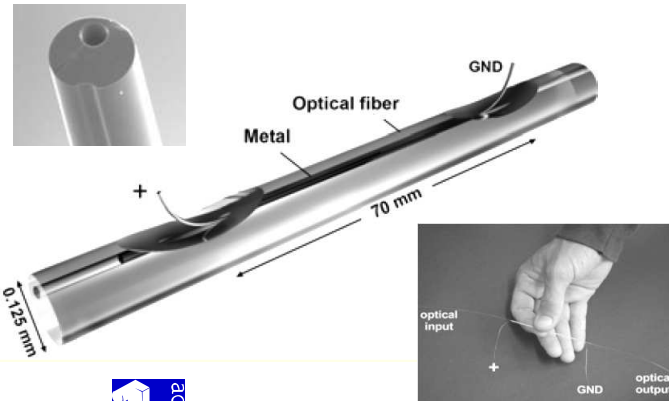
Electro-optic active fibers can be used to build a variety of all-fiber, low cost optical devices such as modulators, polarizers, switches, delay lines, etc.



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EO Fiber Polarization Switch: Device Concept



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EO Fiber Polarization Switch: Operating Principle

- An optical fiber is fitted with a small metallic conductor section (~ few cm).
- An electrical current is injected into the conductor, thus inducing a number of localized effects:
 - Thermal
 - Thermo-optic index change
 - Thermal expansion
 - Mechanical
 - Shock pressure wave (short current pulse) → localized strain which induces bi-refringence
 - Acoustic
 - Induced vibration from sudden expansion & contraction of glass

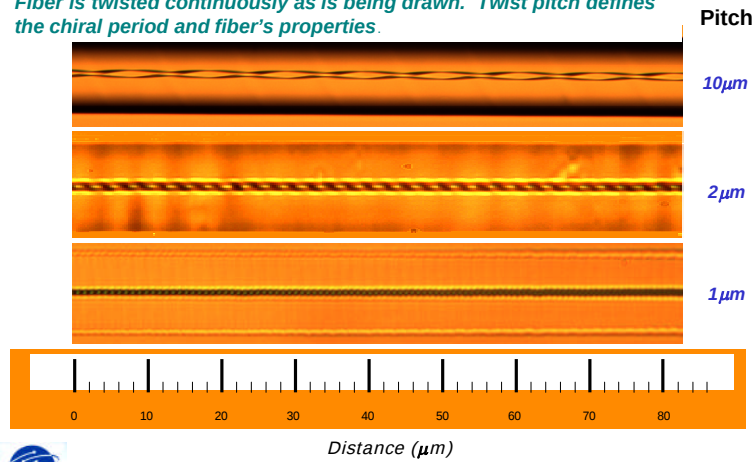


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Chiral Optical Fibers

Fiber is twisted continuously as is being drawn. Twist pitch defines the chiral period and fiber's properties.



Source: Chiral Photonics

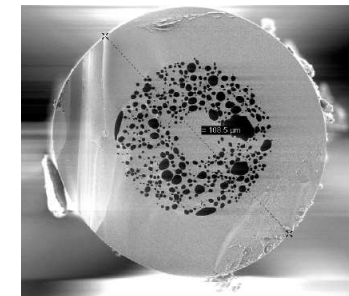
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Random Hole Optical Fiber (RHOF)

Cladding region is filled with randomly oriented air holes ranging in size from 100 nm to a few μm .

The fiber is made by drawing a preform assembly comprising a solid silica core, surrounded by a porous, sol-gel fabricated, cladding—containing gas-producing powders—and a final protective silica substrate tube.



Source: V. Tech

During fiber drawing, the cladding pores become cylindrical holes running the length of the fiber.



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Photonic Crystal Fibers



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Microstructure Fibers

Optical fiber constructed with a lattice of voids (air holes) along its length → provide unique optical properties impossible to obtain with solid fibers.

Very large index of refraction differences

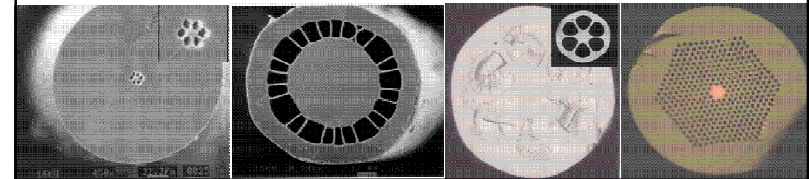
- 1.0 (air) to 1.45 (undoped silica): $\Delta n \sim 0.45$
- Doped silica fiber: $\Delta n \sim 0.03$

Voides can be filled with functional materials allowing dynamic properties

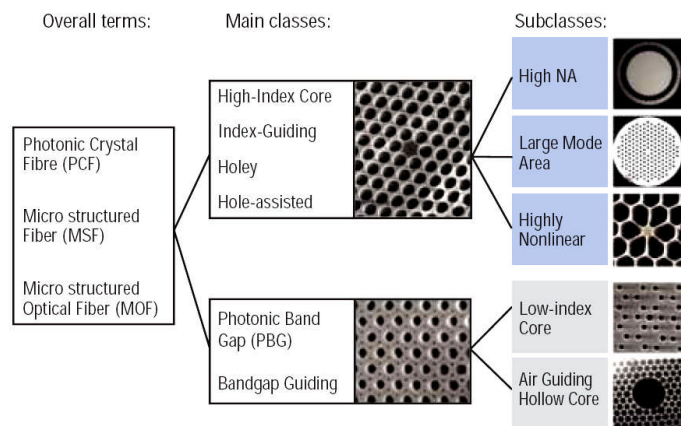
- Control local index with temperature, electrical field, magnetic field, etc.

Photonic bandgap operation

- Periodic structure creates resonance, like a 2-D grating.



Microstructure Fibers: Classification

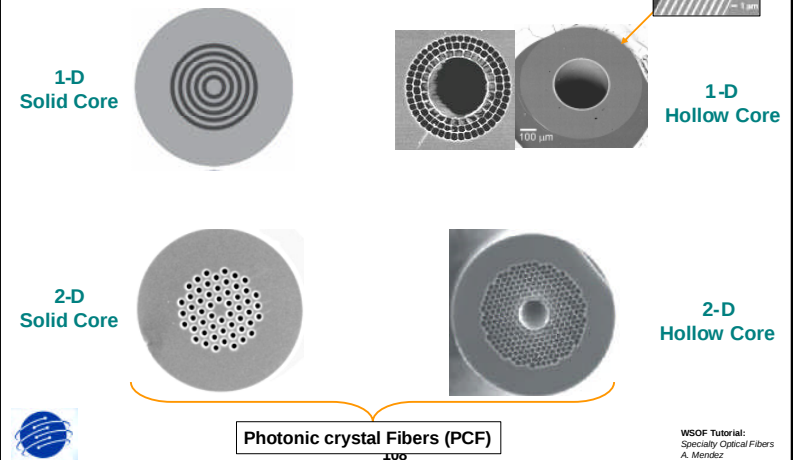


Source: Newport Corp.

107

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Microstructure Fibers: 1D & 2D Structures



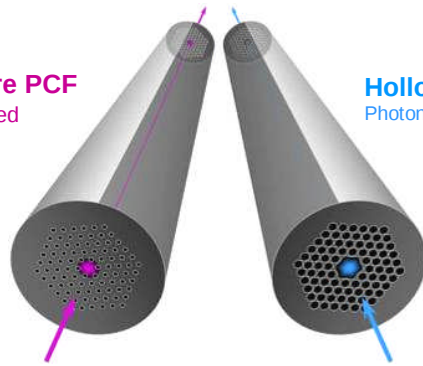
108

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Microstructure Fibers: Two Light Guiding Designs

Solid-core PCF
Index-Guided

Hollow-core PCF
Photonic Band Gap Guided



Key feature is the presence of an ordered lattice of air channels running the length of the optical fiber.

A solid or hollow core design determines the type of light guiding mechanism.

Source: OSA

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Photonic Crystal Fibers: Index Guided

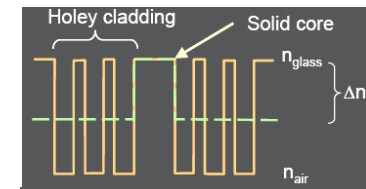


Un-doped silica glass is used for both core and cladding regions. Core is solid.

Placement of air channels ($n = 1$) in the cladding creates an "effective" index below that of the solid core region.

Light is confined and guided by total internal reflection. NA can approach 1.

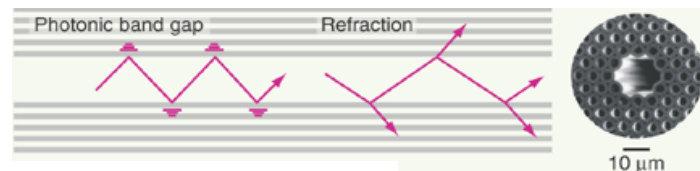
Different designs can be achieved by varying the index delta, channel spacing, size & diam.



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Photonic Crystal Fibers: Photonic Band Gap Guided

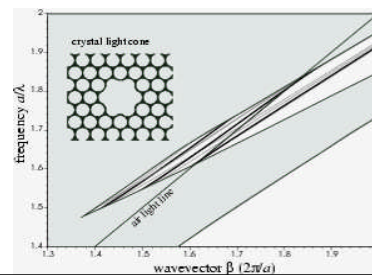


Un-doped silica glass is used for both core and cladding regions. Core is hollow (air).

Placement of air channels ($n = 1$) in the cladding creates a 2-D photonic bandgap structure.

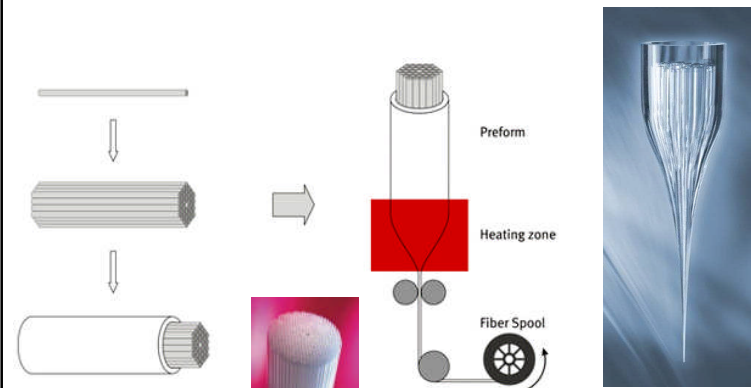
Constructive interference is produced by scattered light refracted at the core/cladding interfaces of the periodic lattice structure.

Light can only propagate in specific regions.



111

PCF Fabrication: Stack-and-Draw Process

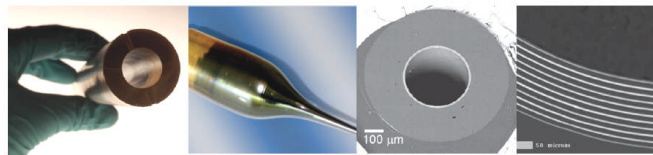
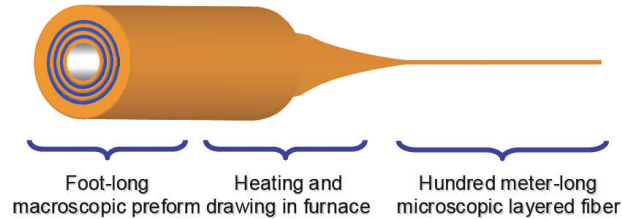


Source: Crystal Fibres

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Hollow Core Bragg Fiber: Fabrication Process

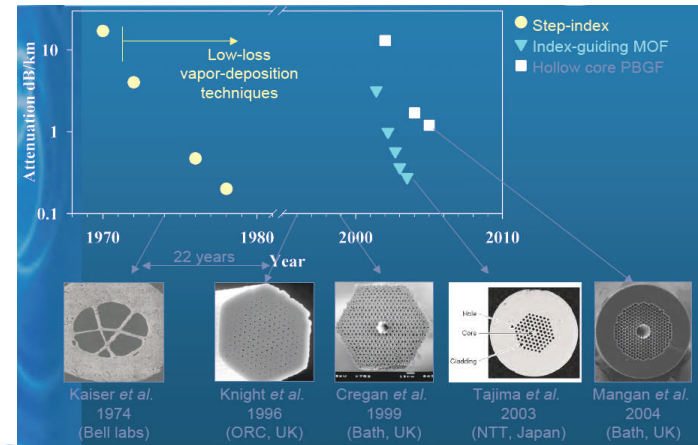


Source: OmniGuide

113

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Photonic Crystal Fibers: Historical Loss Reduction

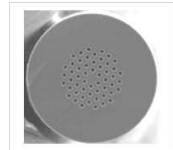


Source: T. Monro, OFS-19

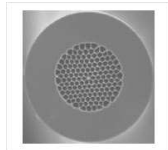
114

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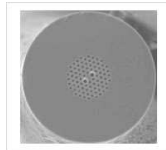
Photonic Crystal Fibers: A Broad Variety of Types & Functions



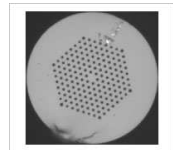
Endlessly Single-mode



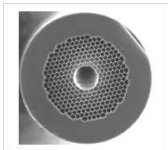
High Nonlinearity



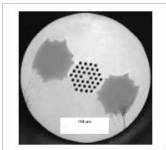
Polarization Maintaining



Large Mode Area



Hollow Core



Polarizing Fiber

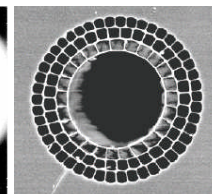
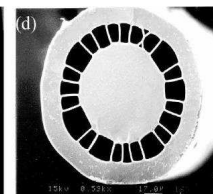
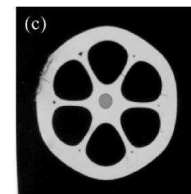
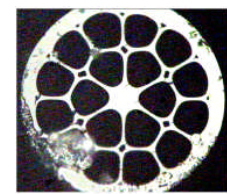
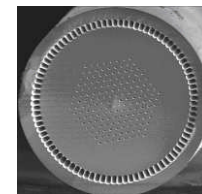
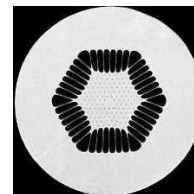


Source: Crystal Fibre/Blaze Photonics

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Other Microstructure Fiber Designs



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PCF Applications:

- **Telecommunications**

- Dispersion compensation
- Transmission fibers
- Broadband SM fibers

- **Lasers**

- Double Clad fibers (laser cavity)
- Large Area fibers (high power transmission)
- White Light Sources

- **Sensing**

- **Metrology**

- **Medical**

- Optical Coherence Tomography



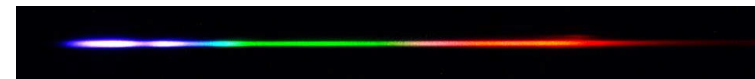
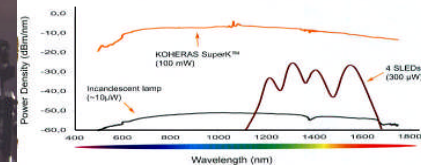
117

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PCF Applications: Ultra-Broad White Light Source



- High brightness
- Ultra broad spectrum
- Compact robust design
- No cooling

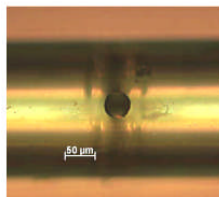


From: Ranka, J. K., Windeler, R. S., and Stentz, A. J.,
Conference on Lasers and Electro-Optics, 1999.

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PCF Applications: Gas Sensor



Side laser-drilled hole



Fiber Cross-section

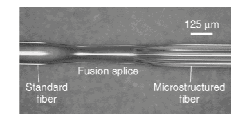
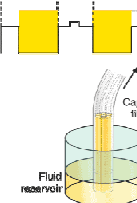
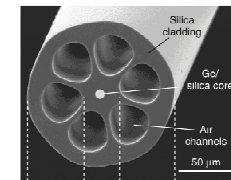
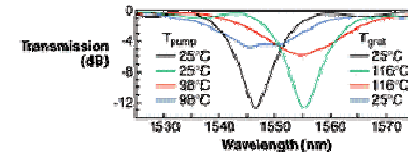
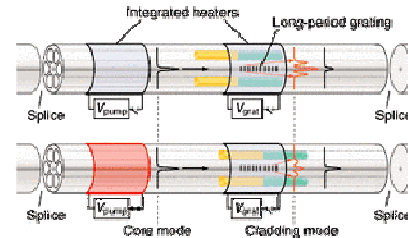


Source: IPTH

119

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PCF Applications: Microfluidic Devices



Source: U. Sidney

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Fiber Coatings



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Optical Fiber Coatings

• Environmental Protection

- Abrasion
- Moisture ingress
- Hydrogen diffusion
- Chemical attack
- Temperature resistance
- Mechanical bending

• Sensing

- Fluorescence
- Swelling
- Chem/Bio reactive
- Magnetic
- Acoustic
- Piezoelectric



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Fiber Structure & Coatings

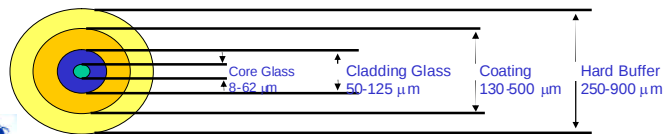
Buffer/Jacket Type	Material* Temperature Range
Hytrel (elastomer)	-40 to +135°C
PVC	-35 to +90°C
Polyurethane	-50 to +80°C
TPE	-20 to +105°C
FEP	-100 to +200°C
PVDF	-50 to +150°C
ETFE	-125 to +150°C
PFA	-200 to +260°C
Nylon	-65 to +105°C
PEEK	-55 to +240°C

• Coating

- Acrylate, Silicone, Polyimide

• Buffer/Jacket

- Nylon, Hytrel, Tefzel, PEEK, etc.

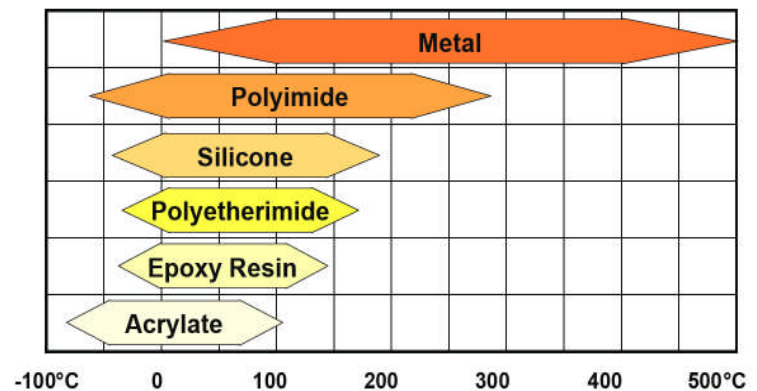


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Fiber Coatings/Jacket Materials:

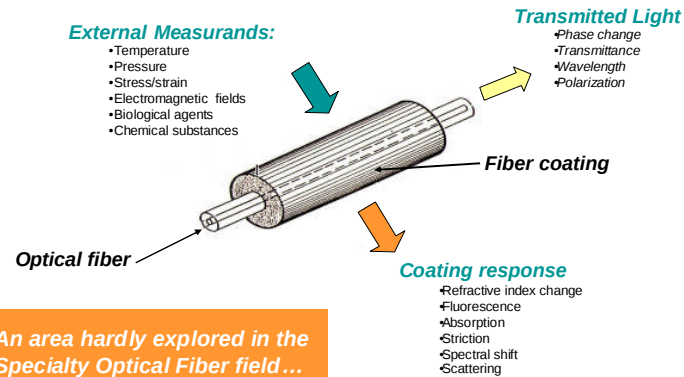
Operating Temperature Range



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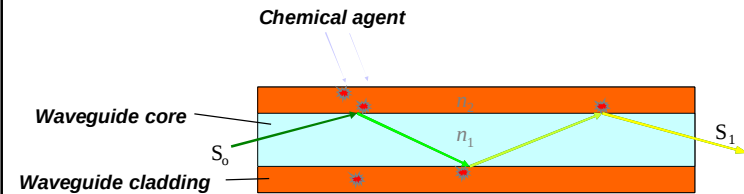
Active Fiber Coatings



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Evanescence-Wave Sensor Principle Chemically-Induced Cladding Change



- Presence of chemical agent in cladding region changes optical properties
- Light propagating through sensor waveguide is affected by changes in exposed region



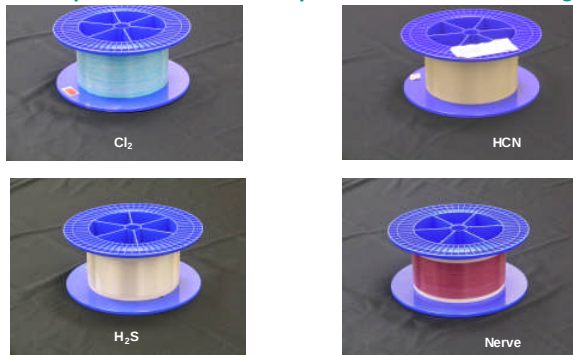
Source: IOS Inc.

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DICAST® Chem Sensor Fibers

Fully distributed, intrinsically sensitive, chemically active, cladding-based, fiber optic sensor elements provide seamless coverage.

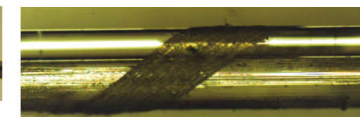
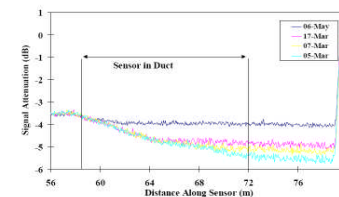
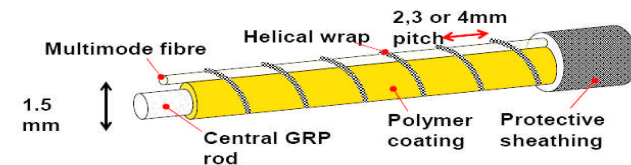


Source: IOS Inc.

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Water Leak Detection: Distributed Moisture Sensor



A special polymer coating swells in the presence of moisture, expanding and producing microbending losses on the strapped multi-mode fiber.

Source: B. Culshaw

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Hermetic Coating Fibers



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Hermetic Fiber Coatings

Hermetic fiber coatings provide protection against:

- **Moisture Ingress** → *mechanical degradation*
- **Hydrogen gas diffusion** → *optical loss*



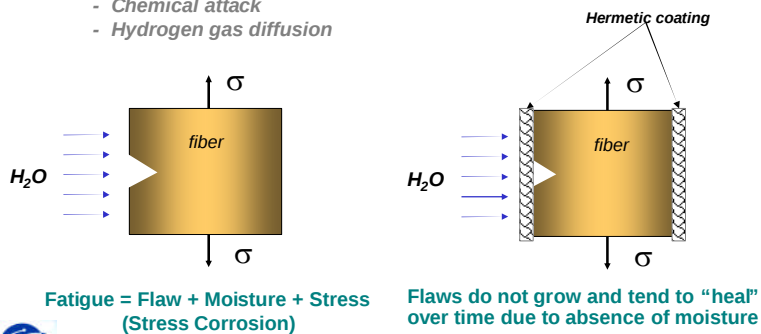
130

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Stress Corrosion: The Need for Hermetic Coatings

Need to provide optical fibers with a barrier against:

- Moisture ingress
- Chemical attack
- Hydrogen gas diffusion

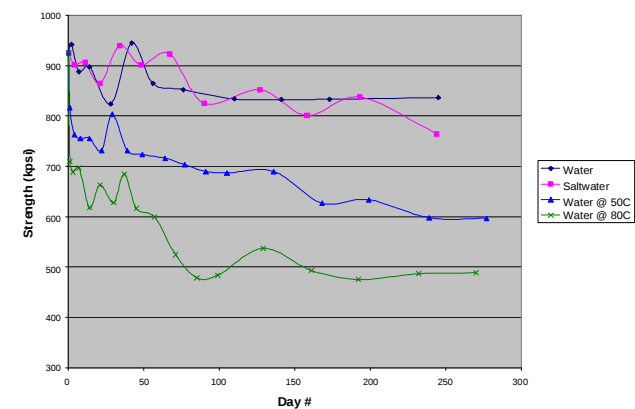


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Stress Corrosion: Water Exposure

Standard Fiber



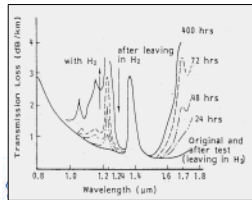
132

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Types of Hydrogen-Induced Loss Mechanisms

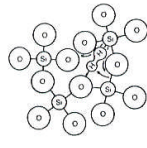
• Interstitial

- Dominates at low temperatures (<150°C)
- Driven by pure molecular H₂ diffusion into silica glass interstitial sites
- Reversible
- Insensitive to glass composition
- Peak absorption peak @ 1.24μm
- Time scale of days to months
- Losses determined equilibrium H₂ concentration

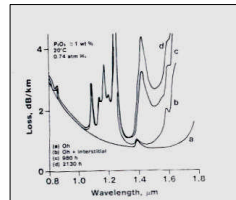


• OH Reaction

- Dominates at high temperature (>150°C)
- Driven by chemical reaction of H₂ molecules with fiber dopants
- Irreversible—losses are permanent
- Very sensitive to glass composition
- Peak peak @ 1.41μm
- Time scale of hours to days
- Loss determined by reaction kinetics



After J. Kreidl



A. Mendez

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Types of Hermetic Coatings

• Metallic

- Al
- Au
- Cu
- In
- Tin

• Organic

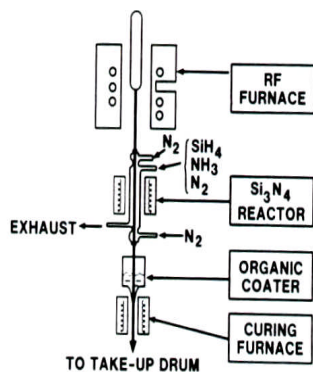
- Oxides (TiO₂, SnO₂, Al₂O₃)
- Nitrides (SiN, SiON)
- Carbides (SiC, TiC)
- Carbon (amorphous, diamond-like)



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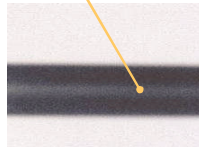
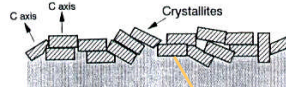
134

Fabrication of Carbon-Coated Fibers



Carbon coatings are typically:

- Amorphous
- Formed by tiling of carbon crystallites
- 20-100nm thick

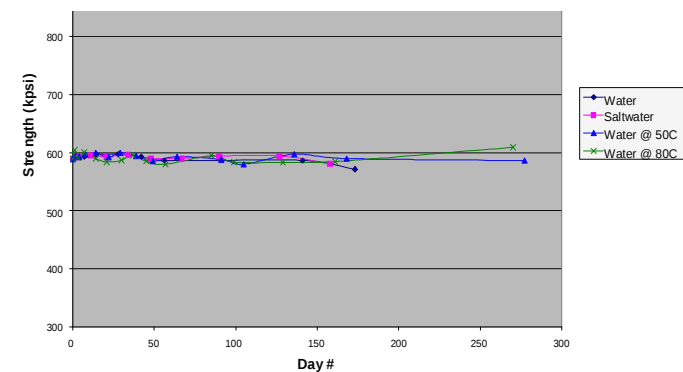


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Stress Corrosion: Water Exposure

Hermetic Fiber

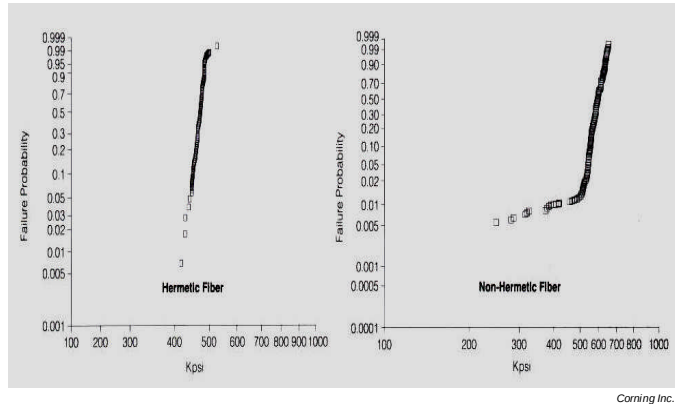


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Hermetic Fiber:

Mechanical strength vs. standard fiber



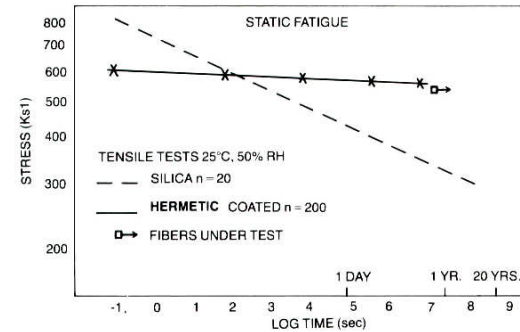
Corning Inc.

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Hermetic Fiber:

Improved Static Fatigue



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Fiber Mechanical Lifetime

The time-to-failure (fiber lifetime) under a constant applied stress is calculated by:

$$t_f = (\sigma_p / \sigma_a)^n$$

where: t_f = Time to failure (seconds)

σ_p = Proof-test stress

σ_a = Application stress

n = Fatigue resistance

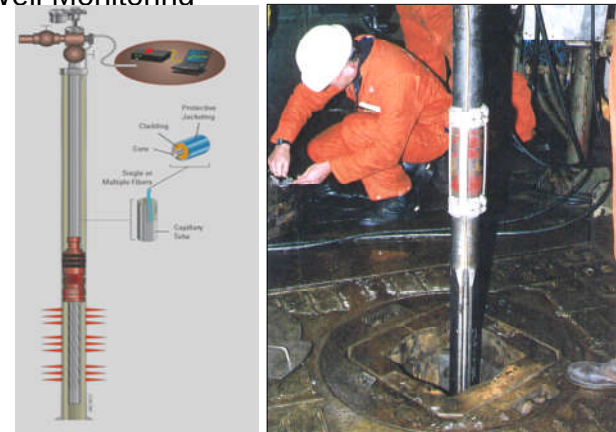
- Hermetic fibers can withstand higher applied stresses
- Standard fibers: operate at 20% proof-test level
- Hermetic fibers: can operate at 80% proof-test level

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Downhole Applications:

Well Monitoring



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Carbon-Coated Fibers: OFS



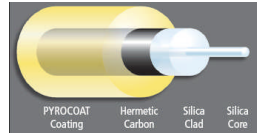
Optical Properties	GEO 1310 11	GEO 1310 16
Operating wavelength	1310/1550 nm	1310/1550 nm
Cutoff wavelength	<1260 nm	<1260 nm
Mode field diameter @ 1310 nm	9.3 ± 0.5 µm	6.7 ± 1.0 µm
Mode field diameter @ 1550 nm	10.5 ± 1.0 µm	7.5 ± 1.0 µm
Attenuation @ 1310 nm	±0.7 dB/km	±0.8 dB/km
Attenuation @ 1550 nm	±0.6 dB/km	±0.6 dB/km
Numerical aperture (nominal)	0.11	0.16

Dimensions/Geometric Properties	GEO 1310 11	GEO 1310 16
Core diameter (nominal)	8.4 µm	6.3 µm
Clad diameter	125 ± 2 µm	125 ± 2 µm
Coating diameter	155 ± 5 µm	155 ± 5 µm
Clad non-circularity	±2.0%	±2.0%
Coating concentricity	±0.0%	±0.0%
Coated offset	±1.0 µm	±1.0 µm

Coating/Buffer Descriptions	GEO 1310 11	GEO 1310 16
Coating material	Hermetic Carbon/PYROCOAT	Hermetic Carbon/PYROCOAT
Operating temperature	-65 to +300°C	-65 to +300°C

Mechanical and Testing Data	GEO 1310 11	GEO 1310 16
Short-term bend radius	≥4 mm	≥4 mm
Long-term bend radius	≥4 mm	≥4 mm
Proof test level	±200 kpsi (1.38 GHz)	±200 kpsi (1.38 GHz)
Product Description Code	SMTA1310IZ	SMB-01310IZ

Order by Part Number	GEO 1310 11	GEO 1310 16
	BF05717	F9001-01



Typical Applications

- Data links
- Single-mode sensors
- Down-hole deployment
- Above-ground well networking
- Raman back-scattering

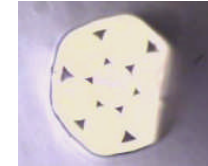
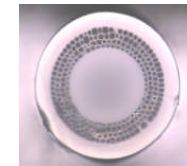
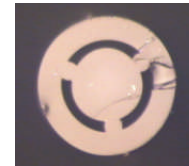
Features and Benefits

- Tough glass for harsh environments
- Wavelength performance at both 1310 and 1550 nm
- Choice of NAs: 0.11 and 0.16
- High survivability in water, high temperatures, high pressure, and active chemical environments
- Abrasion resistant
- Long lengths up to 14 km

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Carbon-Coated Fibers: New Designs



- Fiber with air gaps to slow down H_2 ingress
- Multiple air hole designs
- With and without carbon coatings
- Protection is only temporary- diffusion delay –and hydrogen eventually completely diffuses in.



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Metal-Coated Fibers



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Metal-Coated Fibers: Pros & Cons

Advantages

- High temperature operation
- Hermetic to H_2
- Moisture barrier
- High-power laser delivery
- Solderable
- Higher strength
- No outgassing
- Sterilizeable (autoclave)
- Corrosion resistant
- Radiation resistant

Disadvantages

- Higher optical loss
- Difficult to strip coating
- Limited continuous length
- Difficult to re-coat
- Plastic deformation



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Metal-Coated Fibers: Key Characteristics

- **Metal Coatings Available**
 - Gold <700°C
 - Aluminum <450°C
 - Tin <150°C
 - Copper <400°C
 - CuBall <500°C
- **Coating Thickness**
 - 15 to 150 μm (depending on metal)
- **Length**
 - Typically limited to 10s to 100s of meters.
 - Maximum continuous length < 1 Km
- **Loss**
 - Much greater than conventional fibers: extra 2-5dB/km
 - Loss increase due to coating microbending effects on fibers upon cooling & loss at cladding-metal interface.
- **Price**
 - Excessive: \$10-\$100 USD/meter $\rightarrow$ key barrier



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Metal-Coated Fibers: Fabrication Methods

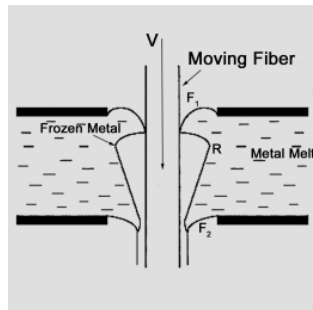
- **Freeze Coating (melt dipping)**
- **Evaporation**
- **Sputtering**
- **Ion Plasma**
- **Electroplating**
- **Electroless Plating**



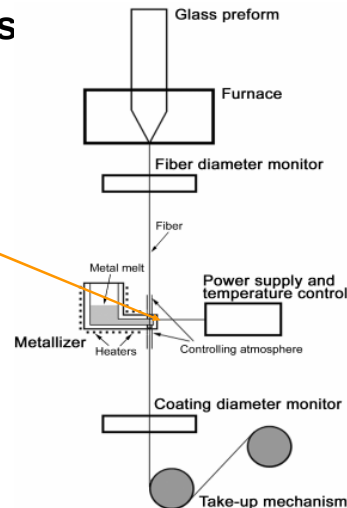
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Metal-Coated Fibers Freeze Coating Method



Source: FORC, Russian Academy of Sciences

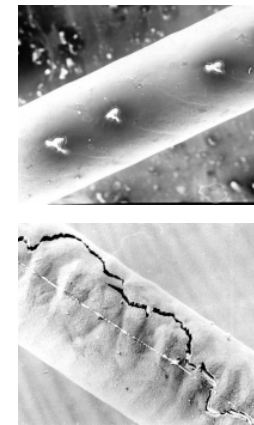


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Metal-Coated Fibers: Common Coating Problems

- **Pinholes**
- **Delamination**
- **Cracking**
- **Thickness uniformity**
- **Morphology**



Source: FORC, Russian Academy of Sciences



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Metal-Coated Fibers:

Applications

- **High-Temperature**
 - GasTurbines
 - Rockets & Jet Turbines
 - Furnaces
 - Power Plants
 - Nuclear Reactors
 - Oil & Gas Wells
- **Room Temperature**
 - Bio-medical
 - Chromatography (metal-coated hollow tubes)
- **Low-Temperature**
 - Cryo Sensing
 - Spacecraft & Aerospace



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Metal-Coated Fibers:

IVG Fiber—Cu SM Coated Fiber



Specifications

	Cu800	Cu1300	Cu1300-200
Operating wavelength	800 - 900 nm	1300 - 1600 nm	1300 - 1600 nm
Cut-off wavelength	< 770 nm	< 1250 nm	< 1250 nm
Attenuation (@ 850 and 1310 nm)	10.5 dB/km	5.5 dB/km	<1 dB/km
Numerical aperture	0.13	0.13	0.13
Mode field diameter	6 um	9 um	9 um
Cladding diameter	125 um	125 um	200 um
Coating diameter	165 um	165 um	260 um
Core-clad concentricity	< 0.5 um	< 0.5 um	< 0.5 um
Cladding offset	< 5 um	< 5 um	< 5 um
Proof test	100 kpsi	100 kpsi	100 kpsi
Short-term bending radius	> 10 mm	> 10 mm	> 15 mm
Long-term bending radius	> 15 mm	> 15 mm	> 25 mm
Operating temperature range	< 600°C	< 600°C	< 600°C

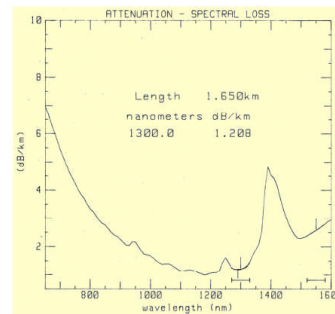


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Metal-Coated Fibers:

Oxford Electronics—CuBall MM Fiber



Fibre Type	G150-125CB	G150-200CB
Core diameter µm	50	50
Cladding diameter µm	125	200
Coating diameter µm	165	260
Attenuation dB/km	12-15	<1.5



Graph of Loss vs Wavelength for CuBALL G150-200CU



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Market Perspective



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Specialty Fiber Manufacturers



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Specialty Fibers: Average Selling Prices

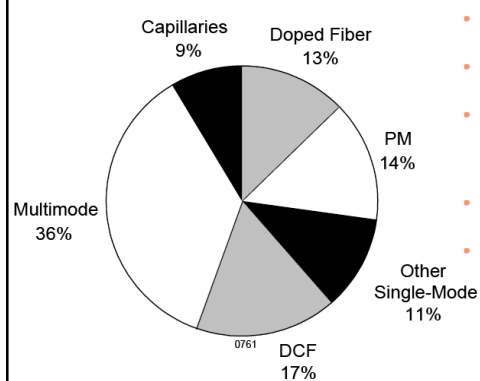
Type of Fiber	Price per Meter
Conventional single-mode fiber	\$0.20
Dispersion compensating fiber	\$1.50
Polarization-maintaining fiber	\$3
UV photosensitive fiber	\$5 to \$10
Erbium-doped fiber	\$5 to \$10 (standard) \$100 (custom)
Hollow silica waveguides (IR)	\$150 to \$700
Microstructured fiber	\$20 to \$50 (large quantity) \$200 to \$400 (small quantity)



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Specialty Fiber Market Revenues by Segment (2003)



Source: Strategies Unlimited

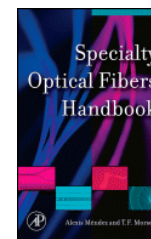
- Very fragmented market
- Broad variety of fiber types
- Diversity of applications fields and uses
- Small growth 5-10%/yr
- Total market estimated at \$200-\$300 Million USD



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Further Reading



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Conclusions



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Conclusions

- There is a broad and diverse set of specialty fibers.
- Major activity is centered nowadays in PCFs, POFs and high power fibers for fiber laser applications.
- PCFs are a novel, disruptive technology. More is to come...
- In most instances, R&D work in this area requires to have infrastructure or access to a fabrication facility.
- There is a fair number of research groups and manufacturing companies around the world. Activity is healthy but community is very dispersed.
- Commercial markets are very niche and extremely fragmented. Total market is ~ \$200M USD, and growing at 10% annually.
- Certain applications will see an explosive growth, such as in fiber lasers, oil & gas sensing, bio-medical laser delivery.



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Obrigado!



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