

# Context-Free Languages

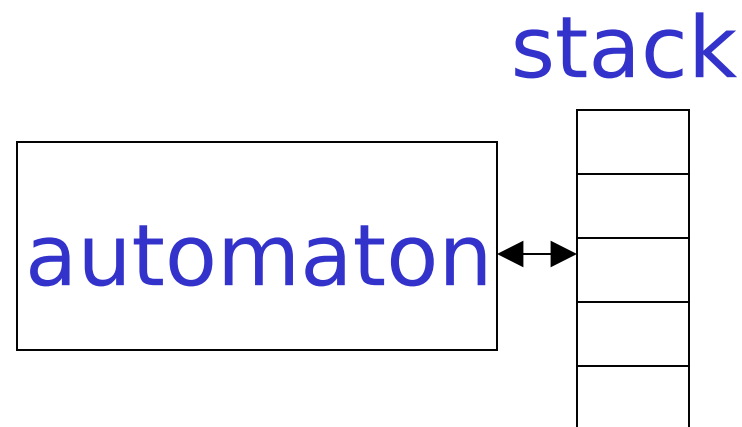
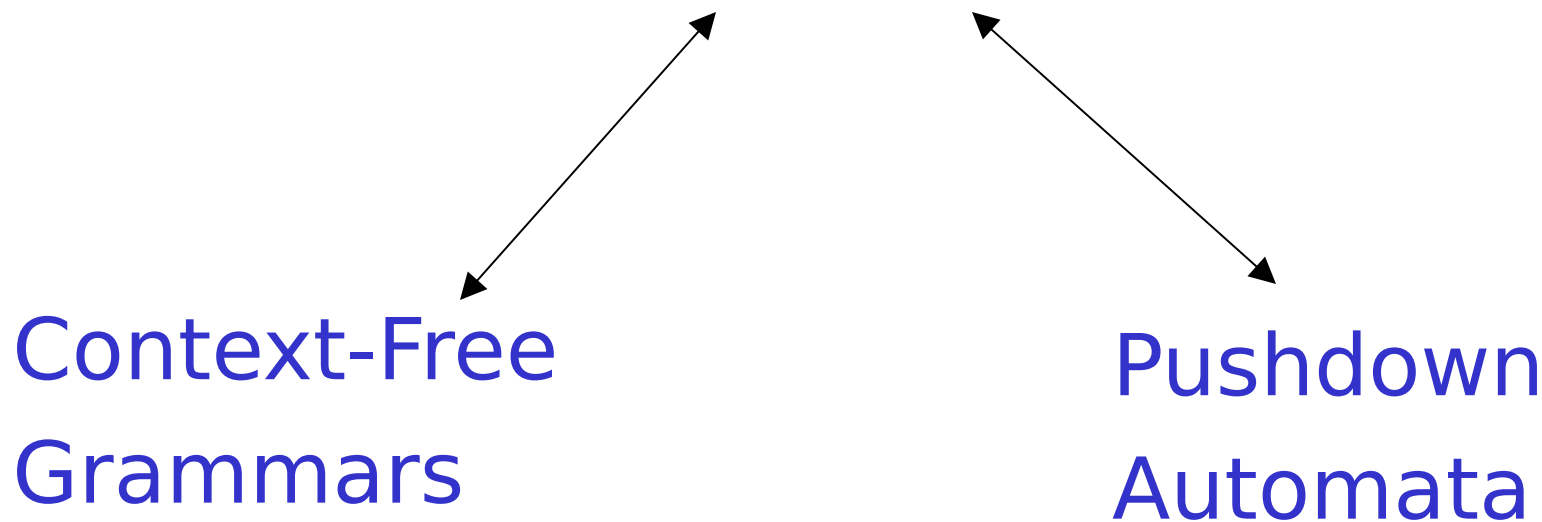
## Context-Free Languages

$\{a^n b^n : n \geq 0\}$        $\{ww^R\}$

## Regular Languages

$a^*b^*$        $(a+b)^*$

# Context-Free Languages



# Context-Free Grammars

# Grammars

Grammars express languages

Example: the English language

grammar  
 $\langle \textit{sentence} \rangle \rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{predicate} \rangle$

$\langle \textit{noun\_phrase} \rangle \rightarrow \langle \textit{article} \rangle \langle \textit{noun} \rangle$

$\langle \textit{predicate} \rangle \rightarrow \langle \textit{verb} \rangle$

⟨*article*⟩ → *a*

⟨*article*⟩ → *the*

⟨*noun*⟩ → *cat*

⟨*noun*⟩ → *dog*

⟨*verb*⟩ → *runs*

⟨*verb*⟩ → *sleeps*

# Derivation of string “the dog sleeps”:

$\langle \textit{sentence} \rangle \Rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{predicate} \rangle$   
 $\Rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow \langle \textit{article} \rangle \langle \textit{noun} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow \textit{the} \langle \textit{noun} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow \textit{the dog} \langle \textit{verb} \rangle$   
 $\Rightarrow \textit{the dog sleeps}$

## Derivation of string “a cat runs”:

$\langle \textit{sentence} \rangle \Rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{predicate} \rangle$   
 $\Rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow \langle \textit{article} \rangle \langle \textit{noun} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow a \langle \textit{noun} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow a \textit{ cat} \langle \textit{verb} \rangle$   
 $\Rightarrow a \textit{ cat runs}$



## Language of the grammar:

$L = \{ \text{"a cat runs"},$   
 $\text{"a cat sleeps"},$   
 $\text{"the cat runs"},$   
 $\text{"the cat sleeps"},$   
 $\text{"a dog runs"},$   
 $\text{"a dog sleeps"},$   
 $\text{"the dog runs"},$   
 $\text{"the dog sleeps"} \}$

# Productions

Sequence of  
Terminals (symbols)

$\langle noun \rangle \rightarrow \overbrace{cat}^{\cdot}$

$\langle sentence \rangle \rightarrow \underbrace{\langle noun\_phrase \rangle \langle predicate \rangle}_{\cdot}$

Variables

Sequence of Variables

# Another Example

Sequence of  
terminals and variables

Grammar:

$$S \rightarrow \overbrace{aSb}^{\text{Sequence of terminals and variables}}$$

$$S \rightarrow \varepsilon$$

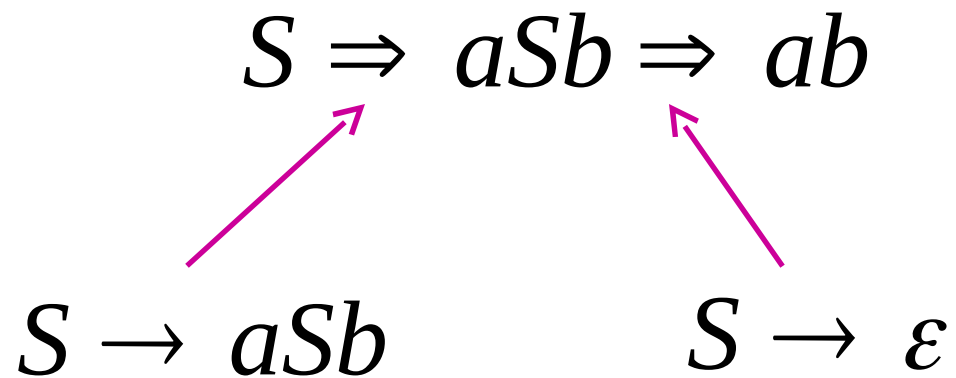
Variable

The right side  
may be  $\varepsilon$

Grammar:  $S \rightarrow aSb$

$S \rightarrow \varepsilon$

Derivation of string  $ab$  :



Grammar:  $S \rightarrow aSb$

$S \rightarrow \varepsilon$

Derivation of string  $aabb$  :

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$

$S \rightarrow aSb$

$S \rightarrow \varepsilon$

Grammar:  $S \rightarrow aSb$

$S \rightarrow \varepsilon$

Other derivations:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb$   
 $\Rightarrow aaaaSbbbb \Rightarrow aaabbbb$

Grammar:  $S \rightarrow aSb$

$S \rightarrow \varepsilon$

Language of the grammar:

$$L = \{a^n b^n : n \geq 0\}$$

# A Convenient Notation

We write:  $S \xRightarrow{*} aaabbb$

for zero or more derivation steps

Instead of:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbb$



In general we write:  $w_1 \xRightarrow{*} w_n$

If:  $w_1 \Rightarrow w_2 \Rightarrow w_3 \Rightarrow \dots \Rightarrow w_n$

in zero or more derivation steps

Trivially:  $w \xRightarrow{*} w$

## Example Grammar

$$S \rightarrow aSb$$

$$S \rightarrow \varepsilon$$

## Possible Derivations

$$S \stackrel{*}{\Rightarrow} \varepsilon$$

$$S \stackrel{*}{\Rightarrow} ab$$

$$S \stackrel{*}{\Rightarrow} aaabbb$$

$$S \stackrel{*}{\Rightarrow} aaSbb \stackrel{*}{\Rightarrow} aaaaaSbbbb$$

# Another convenient notation:

$$\begin{array}{l} S \rightarrow aSb \\ S \rightarrow \varepsilon \end{array} \quad \longrightarrow \quad S \rightarrow aSb \mid \varepsilon$$

$$\begin{array}{l} \langle \textit{article} \rangle \rightarrow a \\ \langle \textit{article} \rangle \rightarrow \textit{the} \end{array} \quad \longrightarrow \quad \langle \textit{article} \rangle \rightarrow a \mid \textit{the}$$

# Formal Definitions

**Grammar:**  $G = (V, T, S, P)$

Set of  
variables



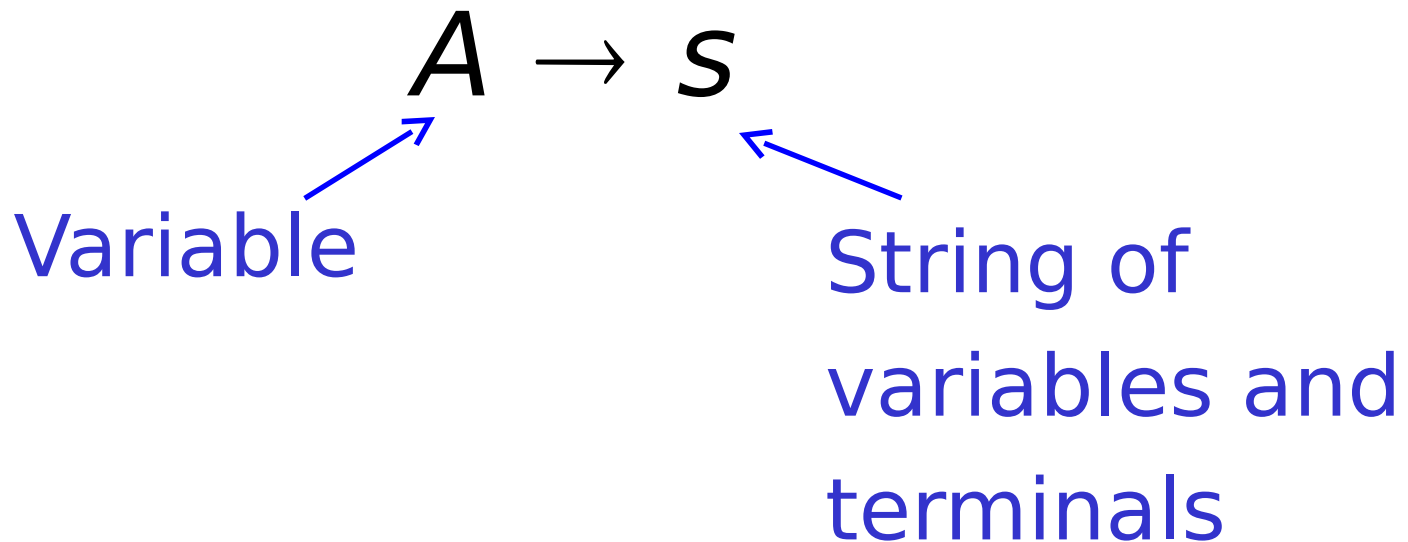
Set of  
terminal  
symbols

Start  
variable

Set of  
productions

# Context-Free Grammar: $G = (V, T, S, P)$

All productions in  $P$  are of the form



# Example of Context-Free Grammar

$$S \rightarrow aSb \mid \varepsilon$$

productions

$$P = \{S \rightarrow aSb, S \rightarrow \varepsilon\}$$

$$G = (V, T, S, P)$$

$V = \{S\}$   
variables

$T = \{a, b\}$   
terminals

start variable

# Language of a Grammar:

For a grammar  $G$  with start variable  $S$

$$L(G) = \{w : S \Rightarrow^* w, w \in T^*\}$$

String of terminals or  $\varepsilon$

## Example:

context-free grammar  $G$  :  $S \rightarrow aSb \mid \varepsilon$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Since, there is derivation

$$S \stackrel{*}{\Rightarrow} a^n b^n \quad \text{for any } n \geq 0$$



## Context-Free Language definition:

A language  $L$  is context-free  
if there is a context-free grammar  $G$

$$L = L(G)$$

with

Example:

$$L = \{a^n b^n : n \geq 0\}$$

is a context-free language

since context-free grammar  $G$  :

$$S \rightarrow aSb \mid \varepsilon$$

generates  $L(G) = L$

## Another Example

Context-free grammar  $G$  :

$$S \rightarrow aSa \mid bSb \mid \varepsilon$$

Example derivations:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abba$$

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abaSaba \Rightarrow abaaba$$

---

$$L(G) = \{ww^R : w \in \{a,b\}^*\}$$

Palindromes of even length

# Another Example

Context-free grammar  $G$  :

$$S \rightarrow aSb \mid SS \mid \varepsilon$$

Example derivations:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow ab$$

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow abaSb \Rightarrow abab$$

---

$$L(G) = \{w \mid n_a(w) = n_b(w),$$

$$\text{and } n_a(v) \geq n_b(v)$$

in any prefix  $v$ \}

Describes  
matched

parentheses:  $() (( ( ) )) (( ))$   $a = (, \quad b = )$

# Derivation Order and Derivation Trees

# Derivation Order

Consider the following example grammar with 5 productions:

- |                       |                                |                                |
|-----------------------|--------------------------------|--------------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaaA$        | 4. $B \rightarrow Bb$          |
|                       | 3. $A \rightarrow \varepsilon$ | 5. $B \rightarrow \varepsilon$ |

- |                       |                                |                                |
|-----------------------|--------------------------------|--------------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$         | 4. $B \rightarrow Bb$          |
|                       | 3. $A \rightarrow \varepsilon$ | 5. $B \rightarrow \varepsilon$ |

Leftmost derivation order of string  $aab$  :

$$\begin{array}{ccccccccc}
 & 1 & & 2 & & 3 & & 4 & & 5 \\
 S & \Rightarrow & AB & \Rightarrow & aaAB & \Rightarrow & aaB & \Rightarrow & aaBb & \Rightarrow & aab
 \end{array}$$

At each step, we substitute the leftmost variable

- |                       |                                |                                |
|-----------------------|--------------------------------|--------------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$         | 4. $B \rightarrow Bb$          |
|                       | 3. $A \rightarrow \varepsilon$ | 5. $B \rightarrow \varepsilon$ |

Rightmost derivation order of string  $aab$  :

$$S \xRightarrow{1} AB \xRightarrow{4} ABb \xRightarrow{5} Ab \xRightarrow{2} aaAb \xRightarrow{3} aab$$

At each step, we substitute the rightmost variable



- |                       |                                |                                |
|-----------------------|--------------------------------|--------------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$         | 4. $B \rightarrow Bb$          |
|                       | 3. $A \rightarrow \varepsilon$ | 5. $B \rightarrow \varepsilon$ |

Leftmost derivation of  $aab$  :

$$\begin{array}{ccccccccc}
 & 1 & & 2 & & 3 & & 4 & & 5 \\
 S & \Rightarrow & AB & \Rightarrow & aaAB & \Rightarrow & aaB & \Rightarrow & aaBb & \Rightarrow & aab
 \end{array}$$

Rightmost derivation of  $aab$  :

$$\begin{array}{ccccccccc}
 & 1 & & 4 & & 5 & & 2 & & 3 \\
 S & \Rightarrow & AB & \Rightarrow & ABb & \Rightarrow & Ab & \Rightarrow & aaAb & \Rightarrow & aab
 \end{array}$$

# Derivation Trees

Consider the same example grammar:

$$S \rightarrow AB \quad A \rightarrow aaA \mid \varepsilon \quad B \rightarrow Bb \mid \varepsilon$$

And a derivation of  $aab$  :

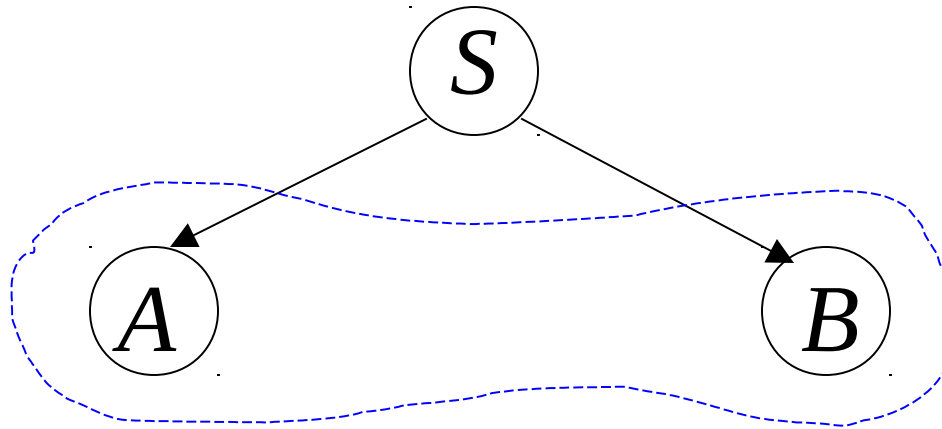
$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

$S \rightarrow AB$

$A \rightarrow aaA \mid \varepsilon$

$B \rightarrow Bb \mid \varepsilon$

$S \Rightarrow AB$



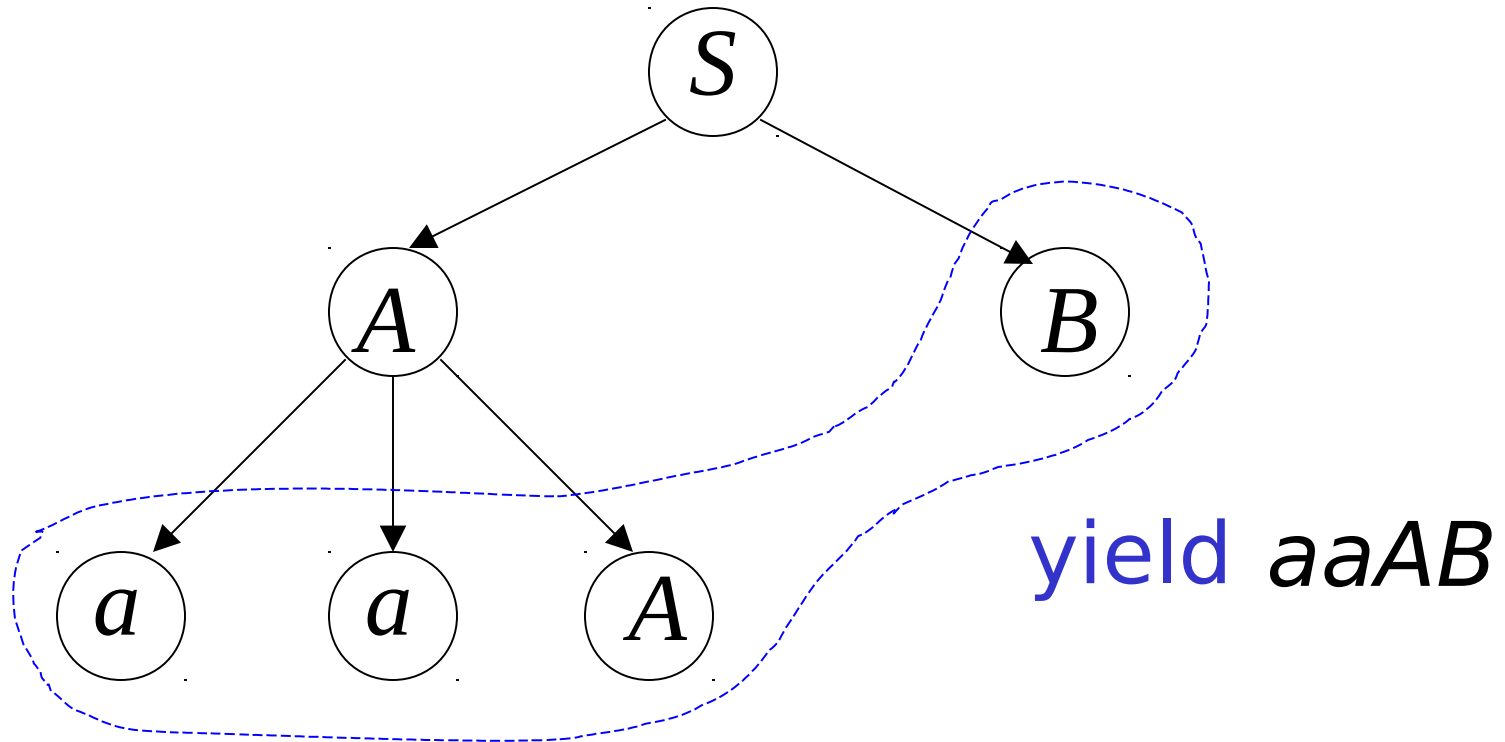
yield  $AB$

$S \rightarrow AB$

$A \rightarrow aaA \mid \varepsilon$

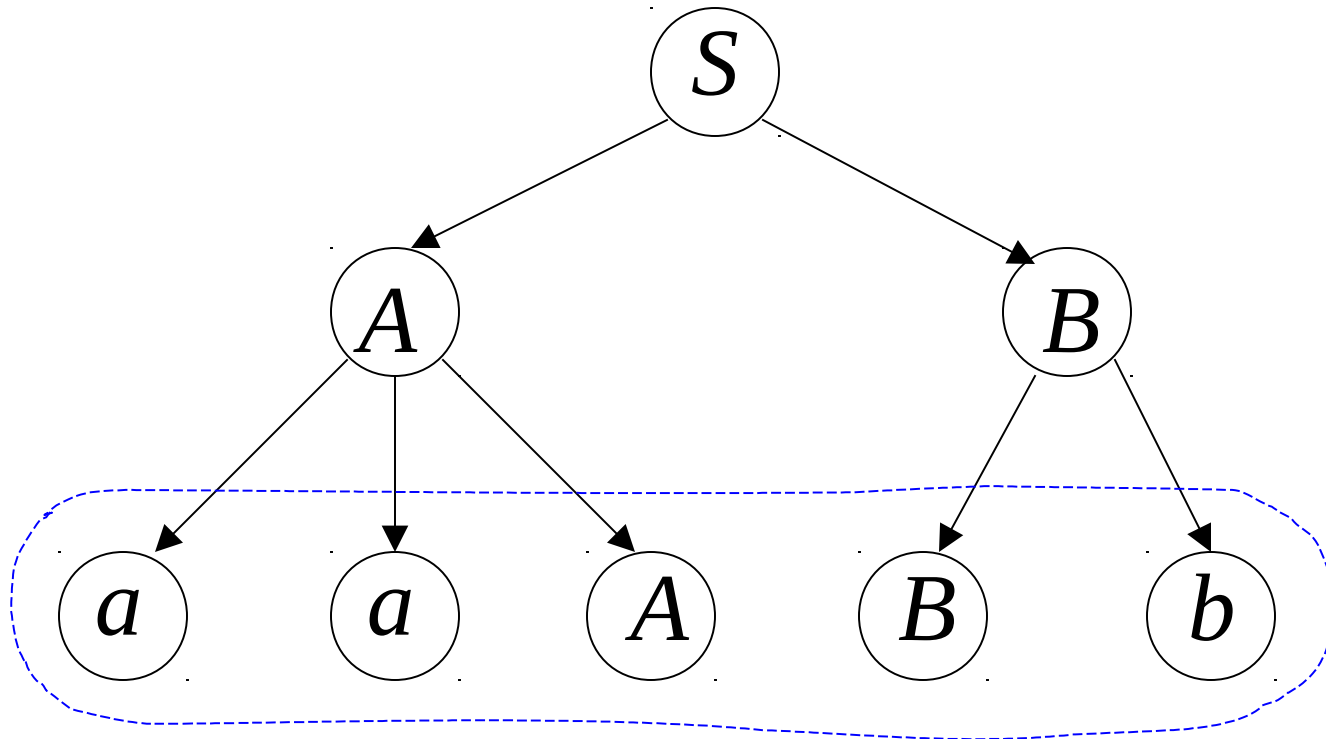
$B \rightarrow Bb \mid \varepsilon$

$S \Rightarrow AB \Rightarrow aaAB$



$S \rightarrow AB$      $A \rightarrow aaA \mid \varepsilon$      $B \rightarrow Bb \mid \varepsilon$

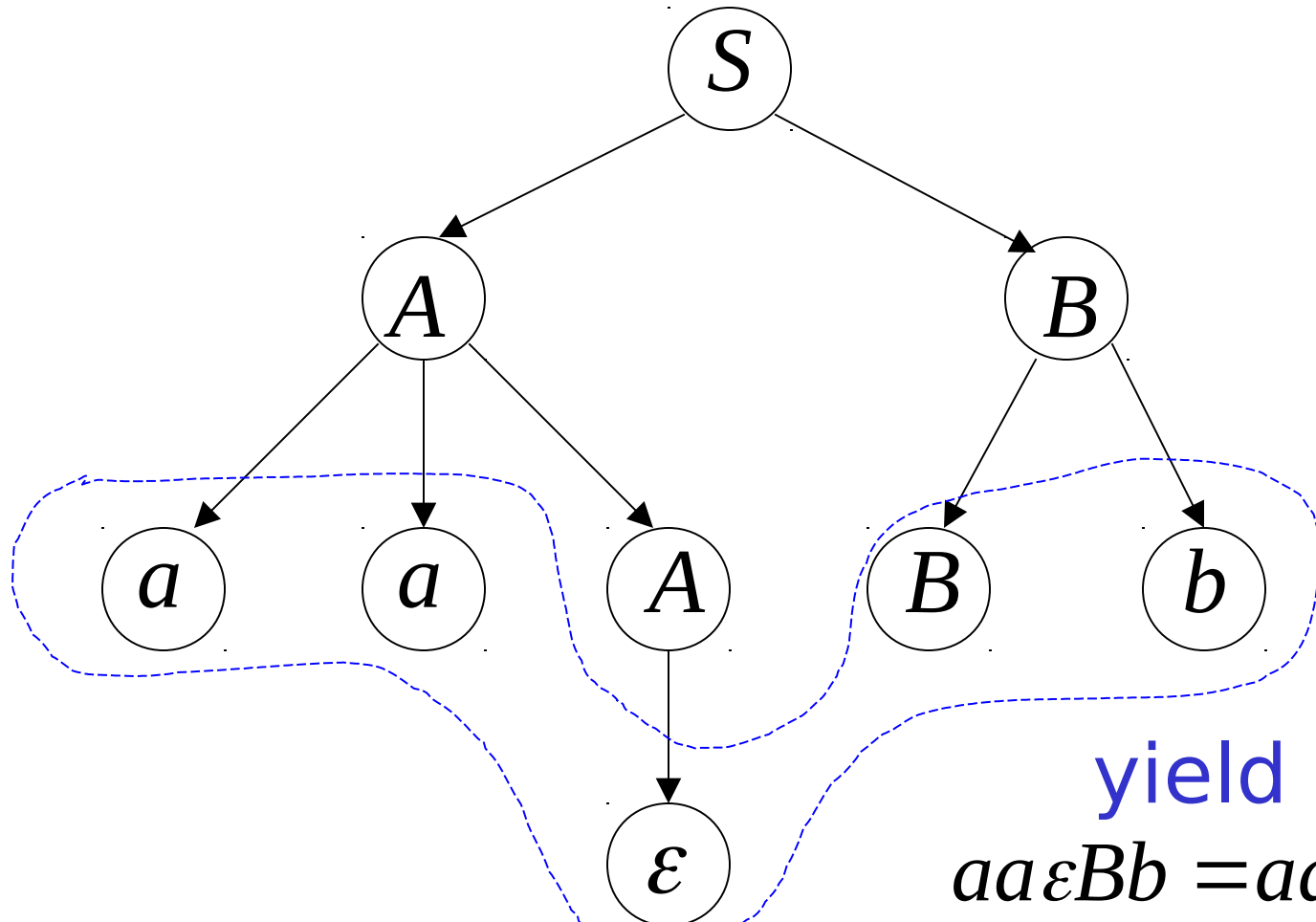
$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb$



yield  $aaABb$

$S \rightarrow AB \quad A \rightarrow aaA \mid \varepsilon \quad B \rightarrow Bb \mid \varepsilon$

$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb$



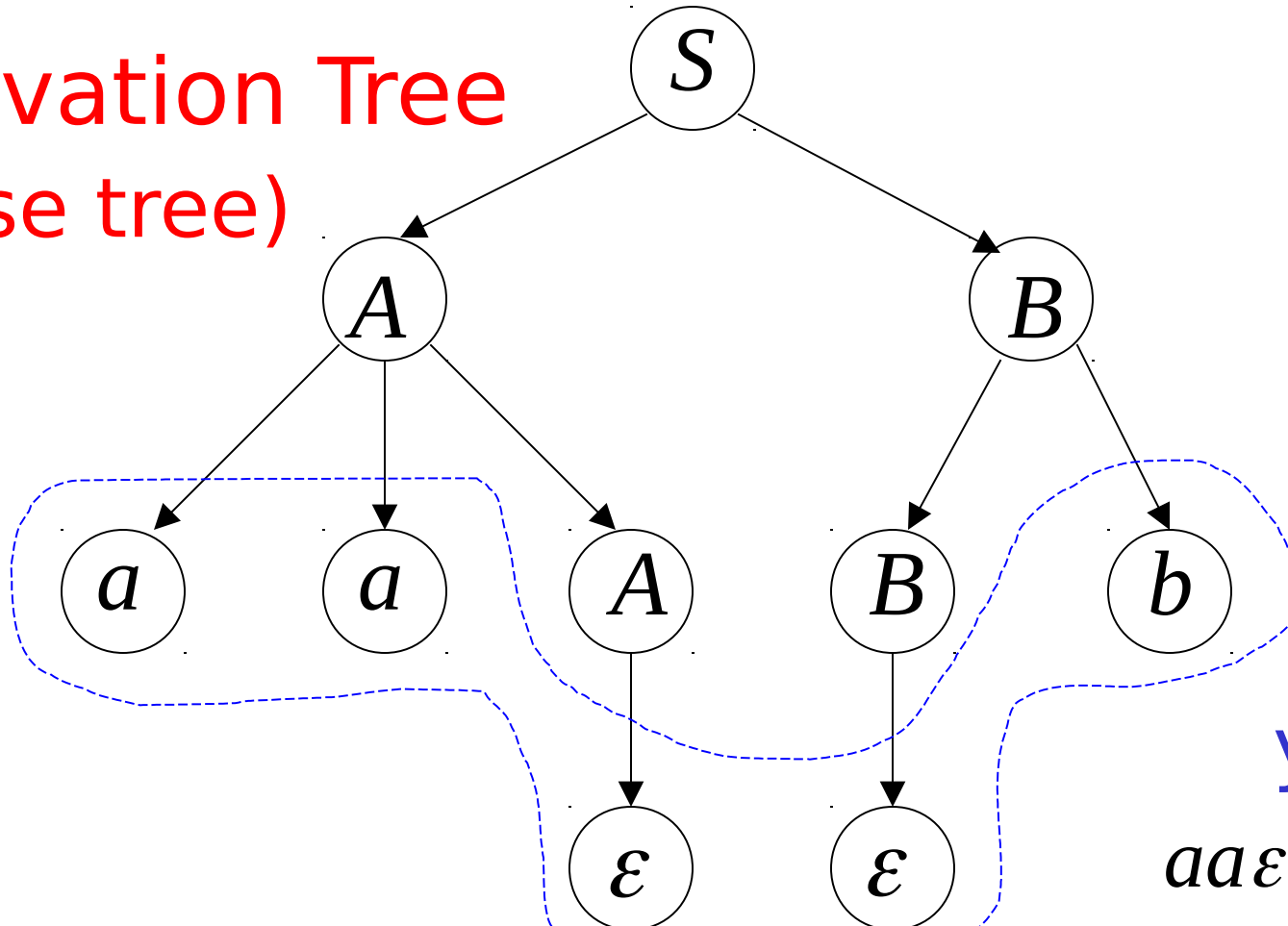
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \varepsilon$$

$$B \rightarrow Bb \mid \varepsilon$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

Derivation Tree  
(parse tree)



Sometimes, derivation order doesn't matter

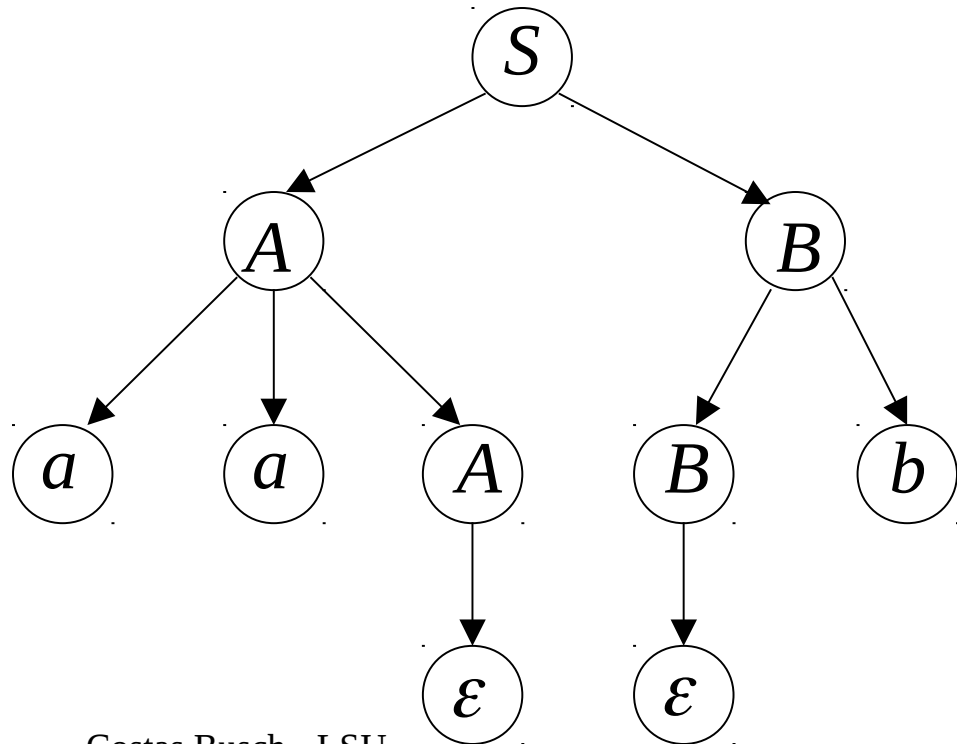
Leftmost derivation:

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab$$

Rightmost derivation:

$$S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab$$

Give same  
derivation tree





# Ambiguity

# Grammar for mathematical expressions

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

Example strings:

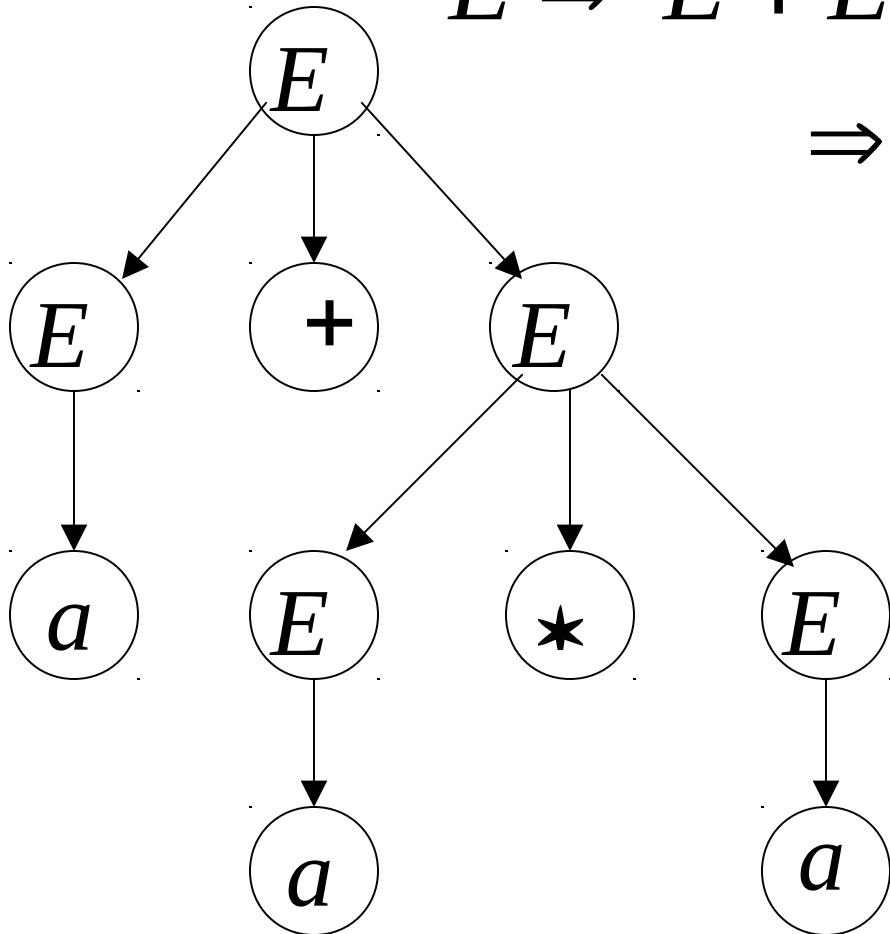
$$(a + a) * a + (a + a * (a + a))$$



Denotes any number

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

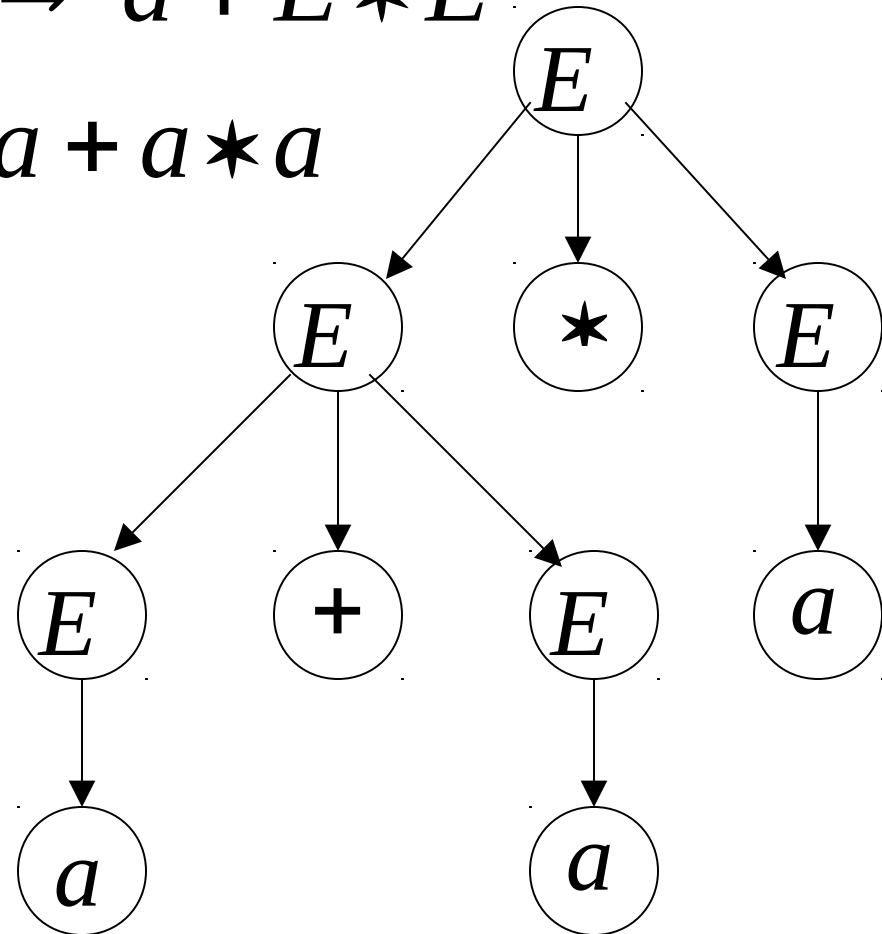
$$E \Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ \Rightarrow a + a * E \Rightarrow a + a * a$$



A leftmost derivation  
for  $a + a * a$

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

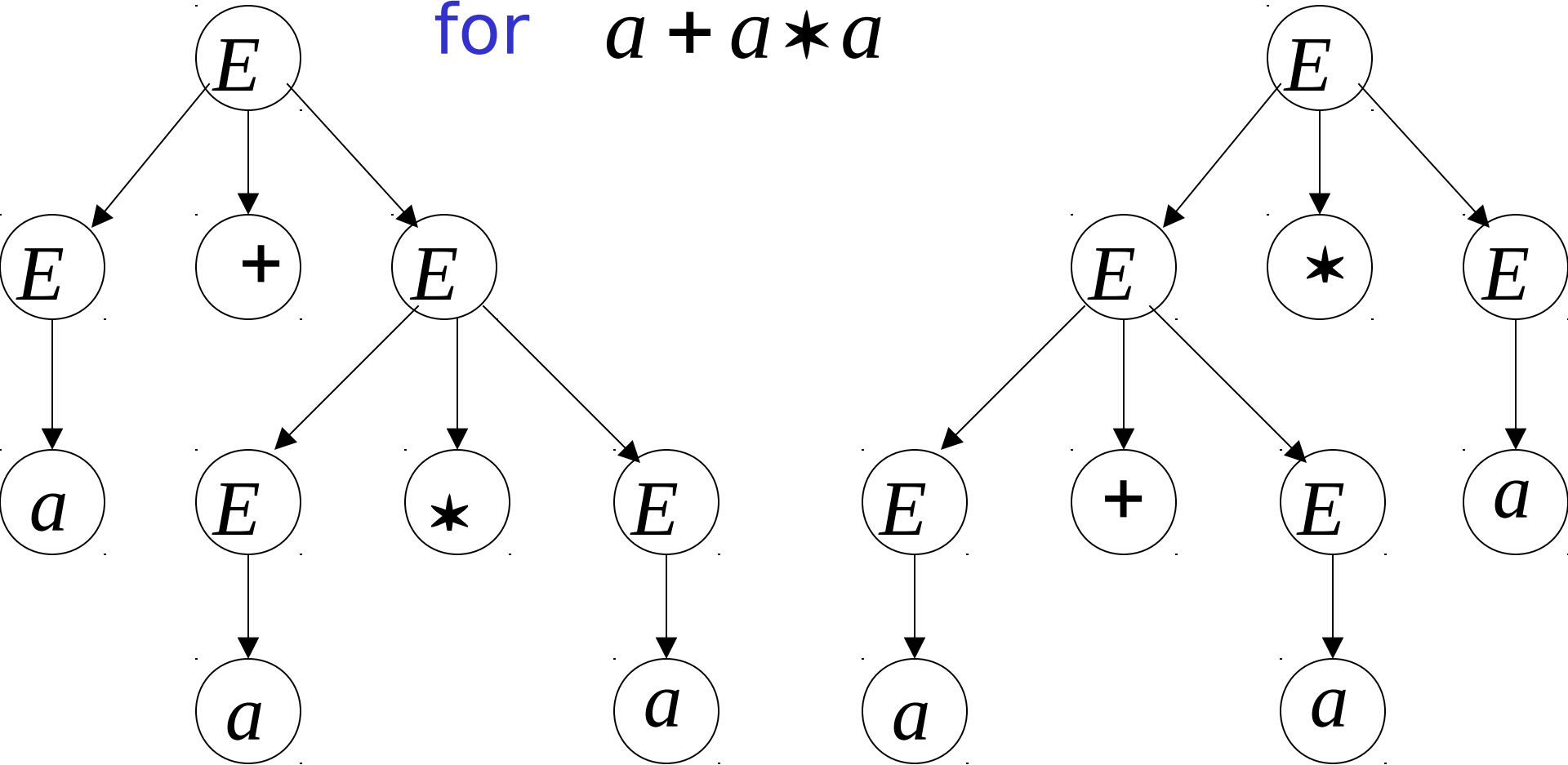
$$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ \Rightarrow a + a * E \Rightarrow a + a * a$$



Another  
leftmost derivation  
for  $a + a * a$

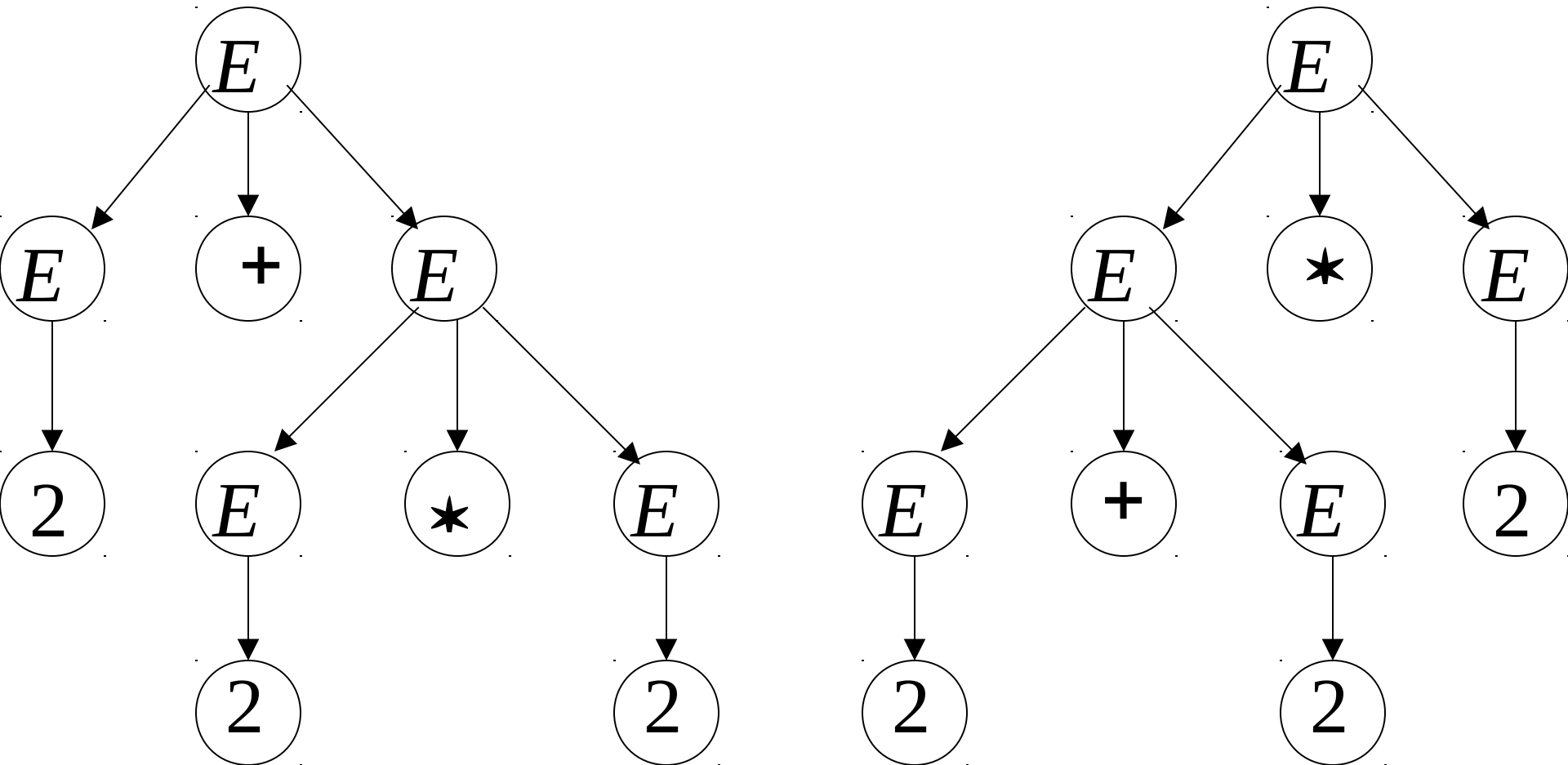
$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

Two derivation trees  
for  $a + a * a$



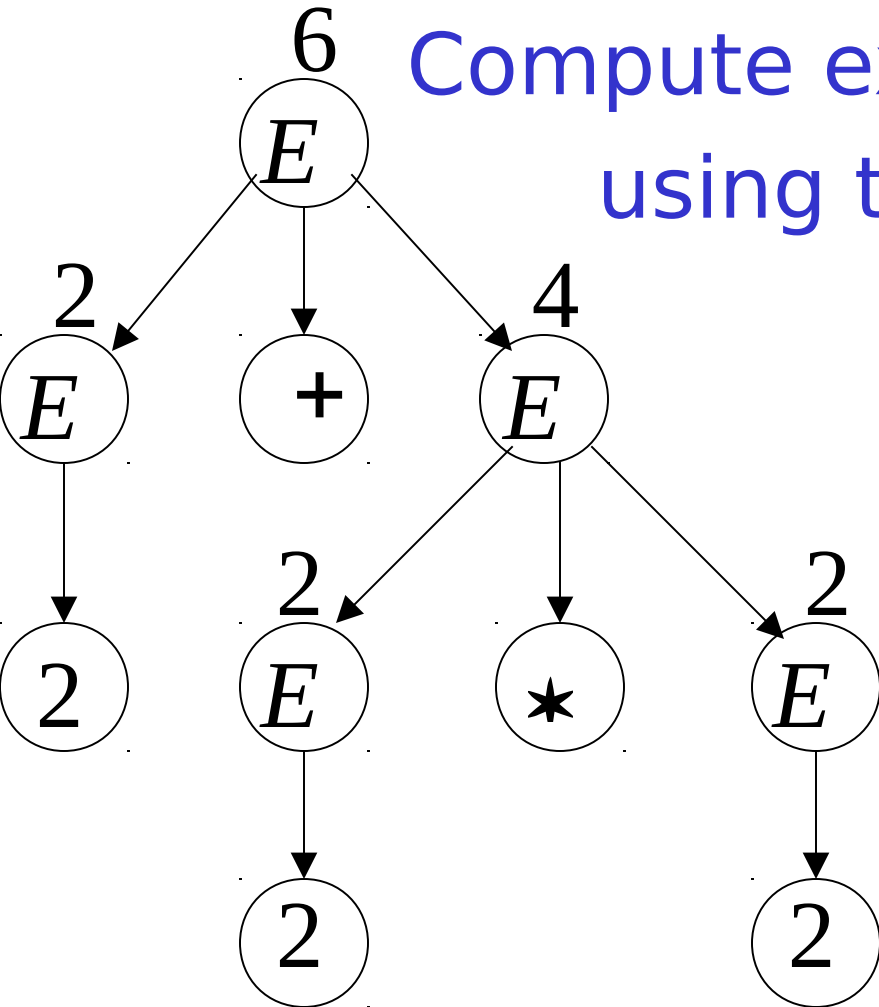
take  $a = 2$

$$a + a * a = 2 + 2 * 2$$



# Good Tree

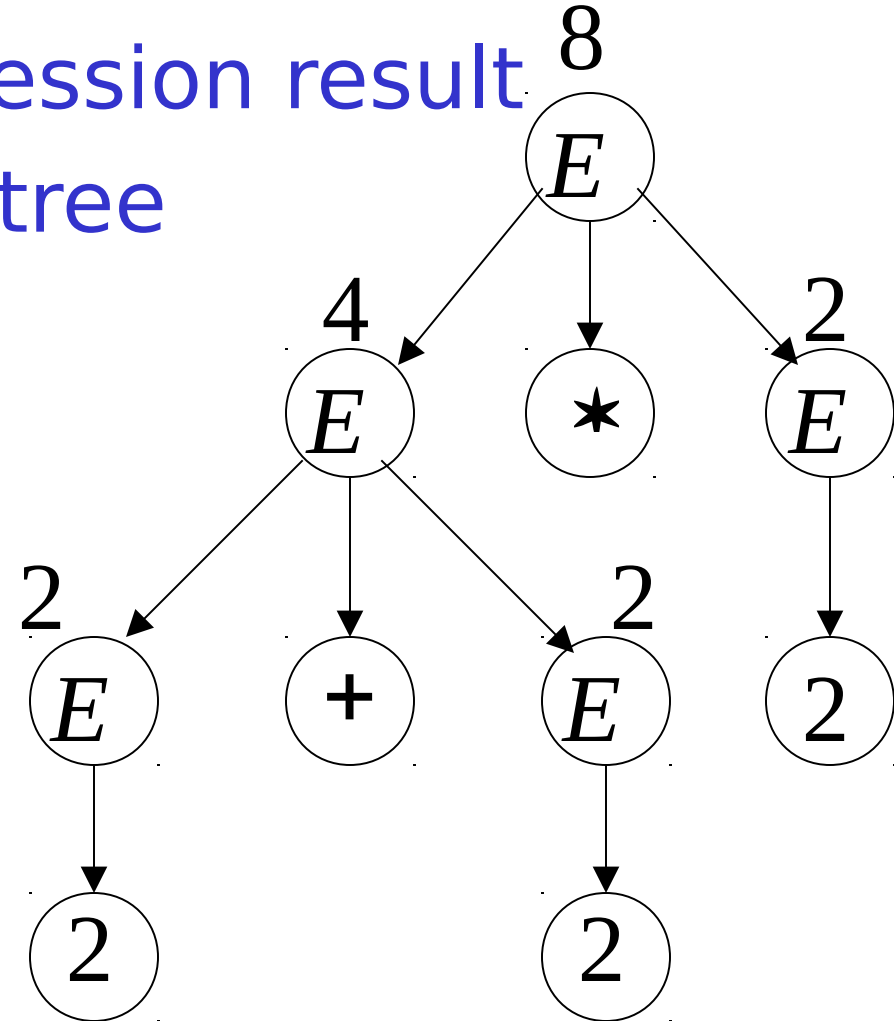
$$2 + 2 * 2 = 6$$



Compute expression result  
using the tree

# Bad Tree

$$2 + 2 * 2 = 8$$



Two different derivation trees  
may cause problems in applications which  
use the derivation trees:

- Evaluating expressions
- In general, in compilers  
for programming languages



# Ambiguous Grammar:

A context-free grammar  $G$  is ambiguous if there is a string  $w \in L(G)$  which has:

two different derivation trees

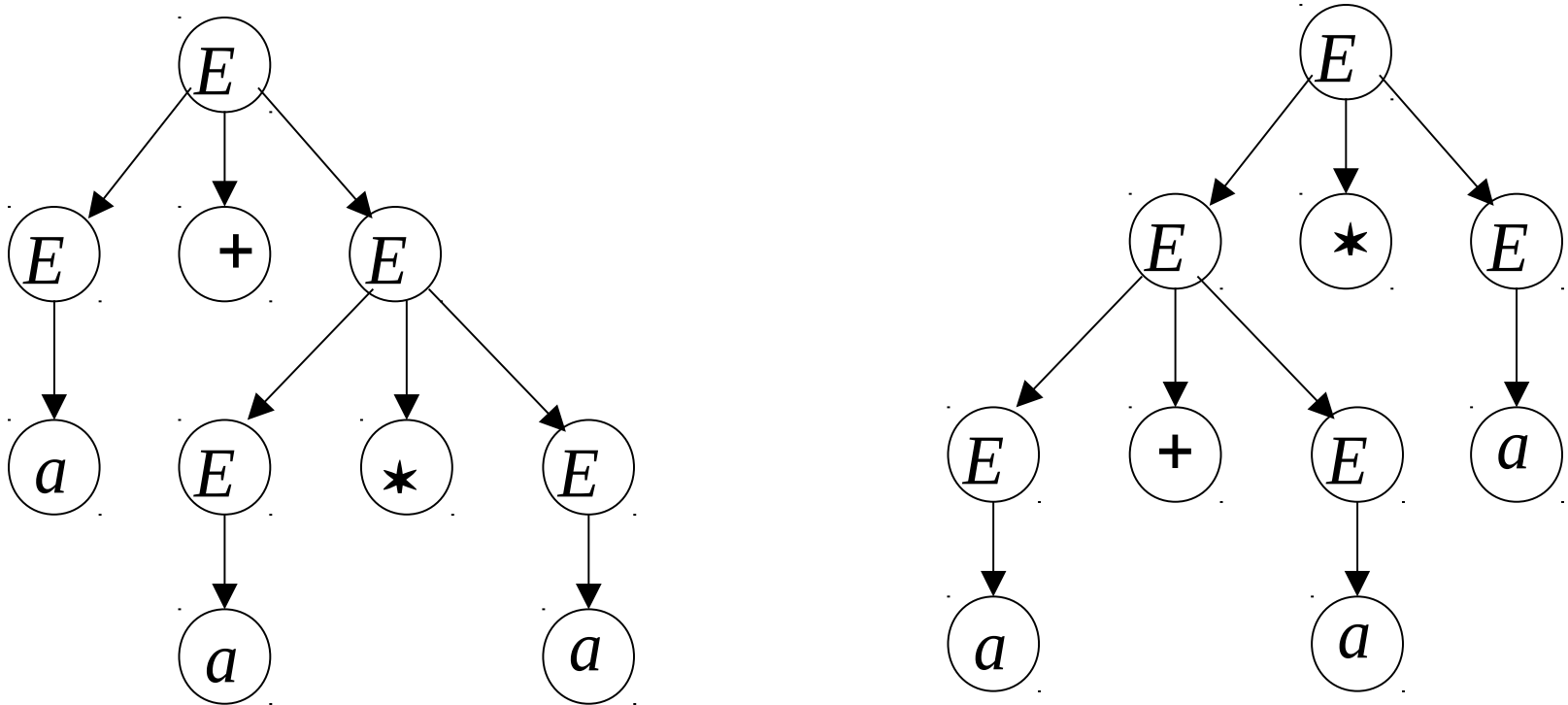
or

two leftmost derivations

(Two different derivation trees give two different leftmost derivations and vice-versa)

Example:  $E \rightarrow E + E \mid E * E \mid (E) \mid a$

this grammar is ambiguous since  
string  $a + a * a$  has two derivation trees



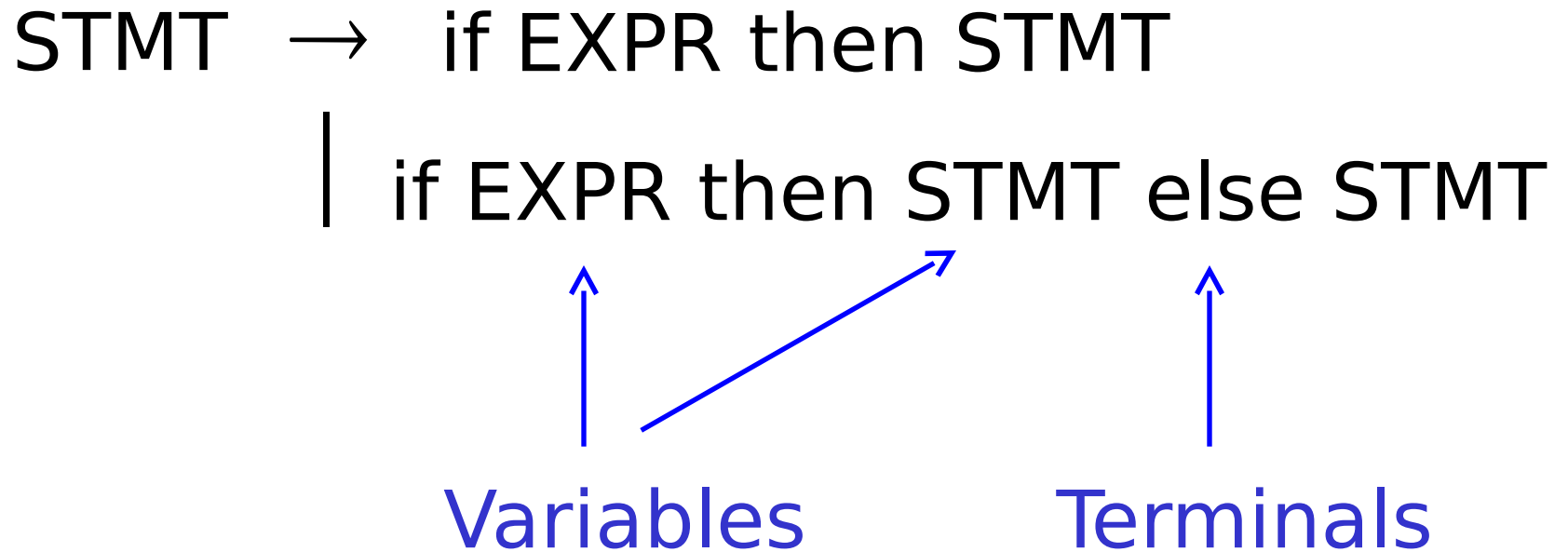
$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

This grammar is ambiguous also because string  $a + a * a$  has two leftmost derivations

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

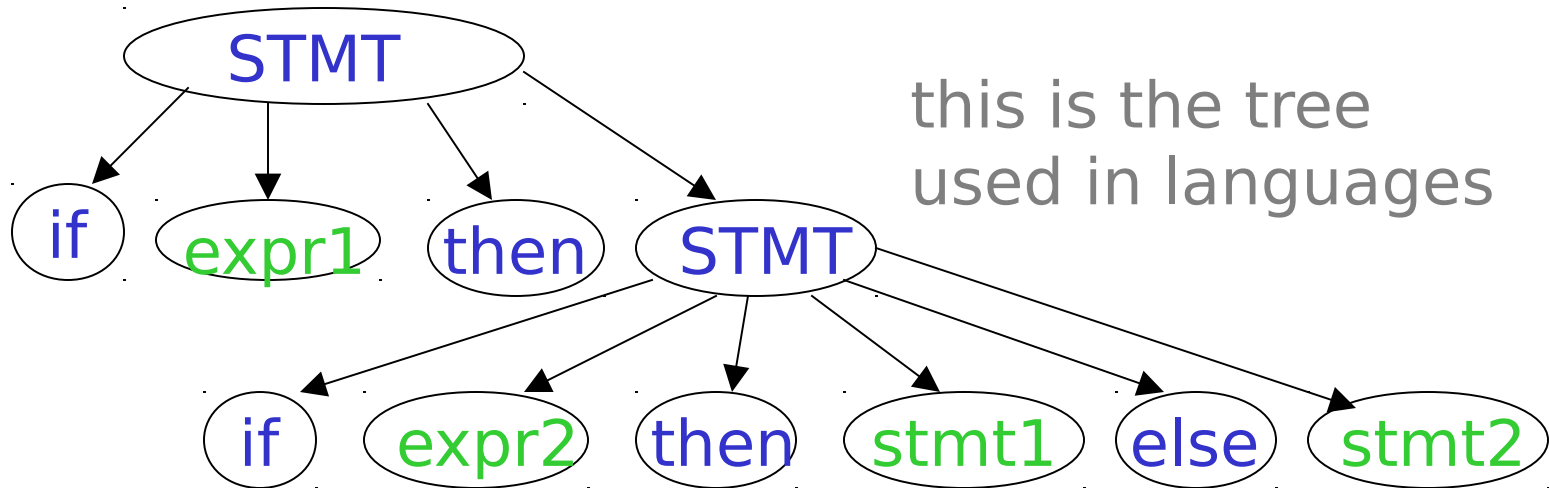
$$\begin{aligned} E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

## Another ambiguous grammar:

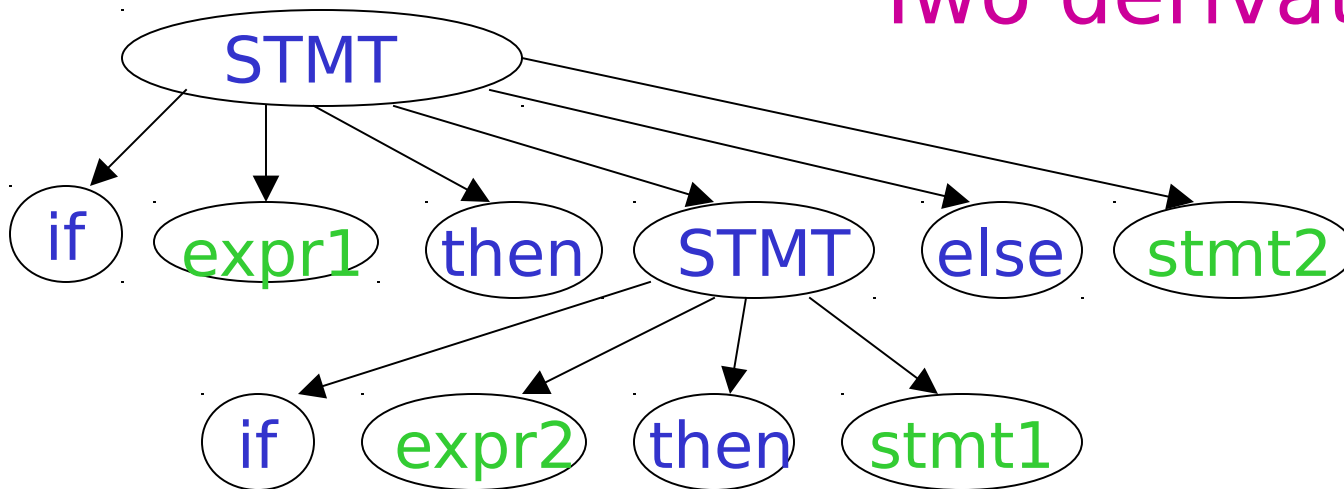


Very common piece of grammar  
in programming languages

# if expr1 then if expr2 then stmt1 else stmt2



## Two derivation trees



In general, ambiguity is bad  
and we want to remove it

Sometimes it is possible to find  
a non-ambiguous grammar for a language

But, in general it is difficult to achieve this

# A successful example:

## Ambiguous Grammar

$$E \rightarrow E + E$$

$$E \rightarrow E * E$$

$$E \rightarrow (E )$$

$$E \rightarrow a$$

## Equivalent

## Non-Ambiguous Grammar

$$E \rightarrow E + T \mid T$$

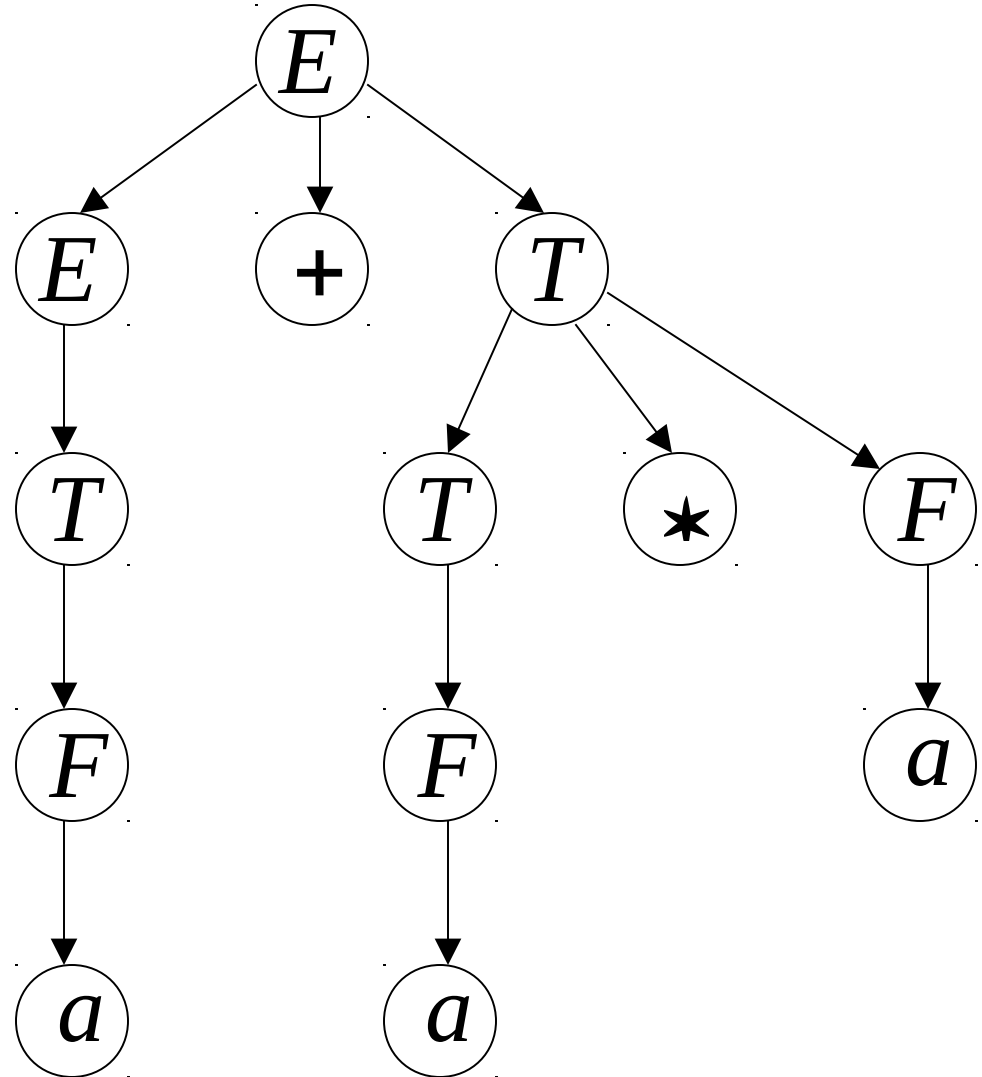
$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E ) \mid a$$

generates the same language

$$\begin{aligned}
 E &\Rightarrow E + T \Rightarrow T + T \Rightarrow F + T \Rightarrow a + T \Rightarrow a + T * F \\
 &\Rightarrow a + F * F \Rightarrow a + a * F \Rightarrow a + a * a
 \end{aligned}$$

$$\begin{aligned}
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid a
 \end{aligned}$$



Unique  
 derivation tree  
 for  $a + a * a$



## An un-successful example:

$$L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$$

$$n, m \geq 0$$

**$L$**  is inherently ambiguous:

every grammar that generates this language is ambiguous

Example (ambiguous) grammar for  $L$  :

$$L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$$

$$S \rightarrow S_1 \mid S_2$$

$$S_1 \rightarrow S_1 c \mid A$$

$$S_2 \rightarrow a S_2 \mid B$$

$$A \rightarrow a A b \mid \varepsilon$$

$$B \rightarrow b B c \mid \varepsilon$$

The string  $a^n b^n c^n \in L$   
has always two different derivation trees  
(for any grammar)

For example

