# Aula 2

# Nucleophilic Substitution Reactions at the Saturated C Atom

# Aula 2

# Nucleophilic Substitution Reactions at the Saturated C Atom

Erick Leite Bastos, IQ-USP <u>elbastos@iq.usp.br</u> http://www.bastoslab.com



• Within a group of nucleophiles that attack at the electrophile with the same atom, the nucleophilicity decreases with *decreasing basicity of the nucleophile* (Figure



• This parallel between nucleophilicity and basicity can be reversed by steric effects. Less basic but sterically unhindered nucleophiles therefore have a higher nucleophilicity than strongly basic but sterically hindered nucleophiles (Figure



 $\begin{array}{cccc} R_{prim} \dot{O}^{\ominus} & > & R_{sec} \dot{O}^{\ominus} & > & R_{tert} \dot{O}^{\ominus} \\ Na^{\oplus} & Na^{\oplus} & Na^{\oplus} \end{array}$  $R_{prim}OH > R_{sec}OH > R_{tert}OH$ 

• *Nucleophilicity decreases with increasing electronegativity of the attacking atom.* This is true both in comparisons of atomic centers that belong to the same *period* of the periodic table of the elements

$$R_2 N^{\ominus} \gg RO^{\ominus} \gg F^{\ominus} \qquad RS^{\ominus} \gg Cl^{\ominus}$$
  
Et<sub>3</sub>N  $\gg$  Et<sub>2</sub>O

• and in comparisons of atomic centers from the same *group* of the periodic table:

$$\overrightarrow{RS}^{\ominus} > \overrightarrow{RO}^{\ominus}$$
  $:I^{\ominus} > :Br^{\ominus} > :Cl^{\ominus} \gg :F^{\ominus}$   
 $\overrightarrow{RSH} > \overrightarrow{ROH}$ 

• The nucleophilicity of a given nucleophilic center is increased by attached heteroatoms that possess free electron pairs ( $\alpha$ -effect):

 $HO - O^{\ominus} > H - O^{\ominus}$  $H_2N - NH_2 > H - NH_2$ 

The reason for this is the unavoidable overlap of the orbitals that accommodate the free electron pairs at the nucleophilic center and its neighboring atom.

#### Grupo de partida





### Para a próxima aula

- O postulado de Hammond implica que o grupo de partida é uma espécie estabilizada, não uma espécie de alta energia. Explique.
- Depois, baseado no texto acima explique:
- $--[I^- > Br^- > CI^- > F^-]$
- $--F_3C-SO_3^- > Me-C_6H_4-SO_3^-$

## Substituição Núcleofílica Bimolecular, S<sub>N</sub>2

An  $S_N$ 2 reaction refers to an  $S_N$  reaction

$$Nu: + R - X \xrightarrow{k} Nu - R + X^{\ominus}$$



#### Estereoseletividade em reações $S_N 2$







Mecanismo "guarda-chuva"







Reaction coordinate  $_{intermolecular}$ 

Reaction coordinate intramolecular

#### Efeito estérico



#### Efeito estérico: Tendências e regras

• The  $S_N 2$  reactivity of an alkylating agent decreases with an increasing **number** of the alkyl substituents at the attacked C atom. In other words,  $\alpha$  branching at the C atom of the alkylating agent reduces its  $S_N 2$  reactivity. This reduces the reactivity so much that tertiary C atoms can no longer be attacked according to an  $S_N 2$  mechanism at all:

$$Nu^{\ominus} + Me - X \quad Et - X \quad iPr - X \quad tert-Bu - X$$
  
$$k_{S_N2, rel} = 30 \quad 1 \quad 0.025 \quad tiny$$

Generally stated, for  $S_N 2$  reactivity we have  $k(Me-X) > k(R_{prim}-X) > k(R_{sec}-X); k(R_{tert}-X) \approx 0$  (unit: 1 mol<sup>-1</sup> s<sup>-1</sup>).

 $Nu^{\ominus} + MeCH_2 - X$  EtCH<sub>2</sub> - X<sup>6</sup> *i*PrCH<sub>2</sub> - X *tert*-BuCH<sub>2</sub> - X

## Efeito estérico: Tendências e regras k<sub>SN2, rel</sub> = 30 1 0.025 tiny

• The  $S_N 2$  reactivity of an alkylating agent decreases with an increase in size of the alkyl substituents at the attacked C atom. In other words,  $\beta$  branching in the alkylating agent reduces its  $S_N 2$  reactivity. This reduces the reactivity so much that a C atom with a tertiary C atom in the  $\beta$  position can no longer be attacked at all according to an  $S_N 2$  mechanism:

 $Nu^{\ominus} + MeCH_2 - X \qquad EtCH_2 - X \qquad iPrCH_2 - X \qquad tert-BuCH_2 - X \\ k_{S_N2, rel} = 1 \qquad 0.4 \qquad 0.03 \qquad tiny$ 

Generally stated, for  $S_N2$  reactivity we have  $k(MeCH_2-X) > k(R_{prim}CH_2-X) > k(R_{sec}CH_2-X); k(R_{tert}CH_2-X) \approx 0$  (unit: 1 mol<sup>-1</sup> s<sup>-1</sup>).

#### Efeitos eletrônicos na reatividade: Estabilização conjugativa

 $Nu^{\ominus} + MeCH_2 - X \quad vinylCH_2 - X \quad PhCH_2 - X$  $k_{S_N2, rel} = 1 \quad 40 \quad 120$ 

Because of the substituent effect just described, allyl and benzyl halides generally react with nucleophiles according to an  $S_N 2$  mechanism. This occurs even though the  $S_N 1$  reactivity of allyl and benzyl halides is *higher* than that of nonconjugated alkylating agents



#### Substituição Nucleofílica Unimolecular, S<sub>N</sub>I



Para próxima aula: Quem é o melhor nucleófilo?



 $k_{\rm het}$ 

 $\text{Cl}^{\ominus}$ 

+ NEt<sub>3</sub>

(1:1,large excess)

Cl

+

Experiment 1

Experiment 2

:NEt<sub>3</sub>

+

⊕ NEt<sub>3</sub>



Solvent-separated ion pair



50% S-Enantiomer + 50% R-Enantiomer, thus: 0% S-Enantiomer + 100% Racemic mixture

**Table 2.1.** Free Energy Values from Gas Phase Studies (Lines 1–3). Free Energies of Heterolysis in Water (Line 4) Calculated Therefrom According to Figure 2.15<sup>\*</sup>

		$R \xrightarrow{R} Br \xrightarrow{R^{\oplus}} F$	Me	Et	<i>i</i> Pr	<i>tert</i> -Bu	PhCH <sub>2</sub>	•
		$\frac{\Delta G_{\rm het, g}}{\rm kcal/mol}$	+214	+179	+157	+140	+141	
	gás	$\frac{\Delta G_{\text{hyd } (\mathbb{R}^{\oplus})}}{\text{kcal/mol}}$	-96	-78	-59	-54	-59	
		$\frac{\Delta G_{\rm hyd~(Br^{\Theta})}}{\rm kcal/mol}$	-72	-72	-72	-72	-72	
l <sub>2</sub> O ·		$\frac{\Delta G_{\rm het, H_2O}}{\rm kcal/mol}$	+47	+30	+27	+14	+11	
		$\left( \text{cf.} \frac{\Delta H_{\text{hom, any medium}}}{\text{kcal/mol}} \right)$	(+71)	(+68)	(+69)	(+63)	(+51)	
		$ au_{1/2,  ext{ het, } 298  ext{ K}}$	$\geq 10^{16}  \mathrm{yr}$	$\geq 10^5  \mathrm{yr}$	≥ 220 yr	≥ 0.7 s	≥ 0.007 s	

\*From these energies and using the Eyring equation (Equation 1.1), one can calculate minimum half-lives for the pertinent heterolyses in water (line 6). These are minimum values because the  $\Delta G_{\text{het,H}_2\text{O}}$  values were used for  $\Delta G^{\ddagger}$  in the Eyring equation, whereas actually  $\Delta G^{\ddagger} > * \Delta G_{\text{het,H}_2\text{O}}$ .  $\Delta G_{\text{hyd}} =$  free energy of hydration,  $\Delta G_{\text{het}} =$  free energy of heterolysis, and  $\Delta H_{\text{hom}} =$  enthalpy of homolysis.

#### S<sub>N</sub>I: Efeito da polaridade do solvente



#### Solventes

DMF = Dimethylformamide:  $H - C - NMe_2$ NMP = *N*-Methylpyrrolidone: ODMSO = Dimethylsulfoxide: Me - S - Me ODMPU = *N*,*N'*-Dimethyl-*N*,*N'*-propylene urea:

HMPA = Hexamethyl phosphoric acid triamide:



Me

#### Efeitos de substituintes, VB Theory

**Table 2.2.** Stabilization of a Trivalent Carbenium Ion Center by Conjugating Substituents: Experimental Findings and Their Explanation by Means of Resonance Theory



Stabili-**VB** formulation zation of  $\oplus$ Η  $H_3C^\oplus$ H H<sub>β</sub>,  $H^\oplus_\beta$ Η Η  $H_3C - H_2C^{\oplus}$ H<sub>β</sub>- $H_{\beta}$ Η<sub>β</sub> H<sub>β</sub> Η Н  $H_{\beta_{n}}$ i.e., 1 no-bond H<sub>b</sub> Η Η resonance form  $H^{\oplus}_{\beta}$ H<sub>β</sub>increases per  $H_{\beta}$  $H^{\oplus}_{\beta}$ Η<sub>β</sub> Η Η  $H_{\beta}$ ,  $H_{\beta}$ H<sub>β</sub>  $(H_3C)_2HC^{\oplus}$ 6 no-bond resonance forms H<sub>β</sub> H<sub>β</sub> Η H<sub>b</sub> H<sub>β</sub> H<sub>β</sub> H<sub>β</sub> 9 no-bond resonance forms  $(H_3C)_3C^{\oplus}$ H<sub>β</sub>-H΄  $-H_{\beta}$  $H_{\beta}$   $H_{\beta}$ 

**Table 2.3.** Stabilization of Trivalent Carbenium Ion Centers by Methyl Substituents: ExperimentalFindings and Their Explanation by Means of Resonance Theory

#### Efeitos de substituintes, MO Theory



### Efeitos de substituintes, MO Theory



## SNI vs. SN2

 $S_N 1$  reactions are observed

- always in substitutions on  $R_{tert}$ —X,  $Ar_2HC$ —X, and  $Ar_3C$ —X;
- always in substitutions on substituted and unsubstituted benzyl and allyl triflates;
- in substitutions on  $R_{sec}$ —X when poor nucleophiles are used (e.g., in solvolyses);
- in substitutions on R<sub>sec</sub>—X that are carried out in the presence of strong Lewis acids such as in the substitution by aromatics ("Friedel–Crafts alkylation;" Figure 5.21);
- almost never in substitutions on  $R_{prim}$ —X (exception:  $R_{prim}$ —N<sup>+</sup>=N).

 $S_N 2$  reactions take place

- almost always in substitutions in sterically unhindered benzyl and allyl positions (exception: benzyl and allyl triflates react according to  $S_N 1$ );
- always in substitutions in MeX and R<sub>prim</sub>—X;
- in substitutions in  $R_{sec}$ —X, provided a reasonably good nucleophile is used;
- never in substitutions in substrates of the type  $R_{tert}$ —X or  $R_{tert}$ —C—X.

### Participação do grupo vizinho

Because of this neighboring group participation, a cyclic and possibly strained (depending on the ring size) intermediate A is formed from the alkylating agent:



#### Participação do grupo vizinho



 $n_{sp}^{3}$ 



 $n_{sp^2}$ 





 $\pi$ 

 $\boldsymbol{\sigma}$ 







#### Exemplo



## Aumento da velocidade com a participação do grupo vizinho



#### Estereoseletividade como efeito do grupo vizinho



## Estereoseletividade devido à participação do grupo vizinho



#### Hydride nucleophiles

 $R_{prim/sec} - X + LiBEt_{3}H \longrightarrow R - H$  $+ LiAlH_{4} \longrightarrow R - H$ 

#### **Organometallic compounds**

 $R_{prim} - X + (R'_{prim})_{2}CuLi \longrightarrow R_{prim} - R'_{prim}$   $+ \text{ vinLi or vinMgX/cat. CuHal or vin_{2}CuLi \longrightarrow R_{prim} - \text{ vin}$   $+ \text{ ArLi or ArMgX/cat. CuHal or Ar_{2}CuLi \longrightarrow R_{prim} - \text{ Ar}$ 

#### Heteroatom-stabilized organolithium compounds



**Enolates** 



#### **Further C-nucleophiles**



#### **N-nucleophiles**



#### **S-nucleophiles**







Hal-nucleophiles



**P-nucleophiles** ( >> precursors for the Wittig or Korner–Wadsworth–Emmons reaction)



#### **O-nucleophiles**

