

ORIGINAL ARTICLE

# Patches of Disorganization in the Neocortex of Children with Autism

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## ABSTRACT

### BACKGROUND

Autism involves early brain overgrowth and dysfunction, which is most strongly evident in the prefrontal cortex. As assessed on pathological analysis, an excess of neurons in the prefrontal cortex among children with autism signals a disturbance in prenatal development and may be concomitant with abnormal cell type and laminar development.

### METHODS

To systematically examine neocortical architecture during the early years after the onset of autism, we used RNA in situ hybridization with a panel of layer- and cell-type-specific molecular markers to phenotype cortical microstructure. We assayed markers for neurons and glia, along with genes that have been implicated in the risk of autism, in prefrontal, temporal, and occipital neocortical tissue from post-mortem samples obtained from children with autism and unaffected children between the ages of 2 and 15 years.

### RESULTS

We observed focal patches of abnormal laminar cytoarchitecture and cortical disorganization of neurons, but not glia, in prefrontal and temporal cortical tissue from 10 of 11 children with autism and from 1 of 11 unaffected children. We observed heterogeneity between cases with respect to cell types that were most abnormal in the patches and the layers that were most affected by the pathological features. No cortical layer was uniformly spared, with the clearest signs of abnormal expression in layers 4 and 5. Three-dimensional reconstruction of layer markers confirmed the focal geometry and size of patches.

### CONCLUSIONS

In this small, explorative study, we found focal disruption of cortical laminar architecture in the cortexes of a majority of young children with autism. Our data support a probable dysregulation of layer formation and layer-specific neuronal differentiation at prenatal developmental stages. (Funded by the Simons Foundation and others.)

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**A**UTISM IS, IN PART, A HERITABLE DEVELOPMENTAL disorder involving macroscopic early brain overgrowth in the majority of cases<sup>1-7</sup> and dysfunction<sup>8</sup> that affects several cortical and subcortical regions mediating autistic symptoms, including prefrontal and temporal cortexes.<sup>4,9-11</sup> The underlying cortical defects remain uncertain. Despite the early diagnosable onset, in more than 40 studies, the average age of patients with autism in postmortem analyses was 22 years.<sup>4</sup>

Three previous case studies that evaluated Nissl-stained sections of brains obtained from patients with autism ranging in age from 4 to 60 years described individual instances of heterotopias, slight focal laminar disorganization,<sup>12,13</sup> and subependymal dysplasia,<sup>14</sup> but a common developmental neuropathological defect has not been reported. Moreover, by young adulthood, the brains of autistic persons are no longer enlarged<sup>15,16</sup> and instead often show signs of cortical thinning and neuronal loss,<sup>4,7,15,17</sup> suggesting that studies involving adults with autism may not reveal abnormalities in neural development that are present in the brains of children with autism. The molecular, cellular, and organizational anomalies that are present in the brains of children with autism remain largely unstudied, and the bases of early brain enlargement and dysfunction remain speculative.

Recently, we discovered abnormal expression of genes and gene pathways that govern cell-cycle regulation (and consequently the number of neurons), DNA integrity, cell differentiation, and cortical patterning in the prefrontal cortex in young children with autism.<sup>18</sup> We also discovered that among children between the ages of 2 and 16 years, those with autism, as compared with unaffected children, had abnormally heavy brains and a relative increase of 67% in the overall number of neurons in the prefrontal cortex.<sup>3</sup> Although a transient increase in the number of cortical neurons is expected during the second trimester of pregnancy,<sup>19,20</sup> this increase has usually disappeared by birth or in the several months after birth,<sup>19-21</sup> during which there is maturation in cortical laminar development and cortico-cortical and cortico-subcortical circuitry.<sup>22</sup> Although the cause of this increased number of neurons in the prefrontal cortex among patients with autism is unclear, such abnormality appears to be prenatal in origin and may be expected to produce a disruption in early cortical development that is

similar to disruptions in certain other disorders, such as lissencephaly, polymicrogyria, schizencephaly, and several cortical heterotopias<sup>23</sup> that arise from defects in cell-cycle processes, neuronal migration, pruning, and apoptosis, as well as in cell fate specification.<sup>22</sup> We hypothesized that such a disturbance is present in the neocortex of children with autism and that it is detectable in the prefrontal and temporal cortexes, as reported in previous studies of children with autism that used magnetic resonance imaging (MRI),<sup>2,4,10,11</sup> functional MRI,<sup>8</sup> gene expression,<sup>18</sup> and neuron count.<sup>3,24</sup>

To test this hypothesis, we used a standardized colorimetric RNA in situ hybridization platform to systematically examine the expression of a large panel of highly selective molecular markers in postmortem brain samples obtained from children with autism and from unaffected children. These markers include specific subtypes of excitatory (layer-enriched) and inhibitory neurons, microglia and astroglia, and a set of autism candidate genes.

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## METHODS

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### MARKER SELECTION

Using in situ hybridization, we analyzed the expression patterns of 63 genes, including cortical layer-specific markers, genes implicated in the pathogenesis of autism, and putative cell-type markers (interneurons releasing  $\gamma$ -aminobutyric acid, glia, and oligodendrocytes) in samples of dorsolateral prefrontal cortex obtained from two unaffected boys who were 10 and 16 years of age (Table S1 in the Supplementary Appendix, available with the full text of this article at NEJM.org). On the basis of the results of this analysis, we selected 25 of the 63 genes for further analysis in children with autism, because these genes had robust, consistent, and specific expression patterns in the cortex. This final set of 25 markers included probes that selectively labeled one or more cortical layers or fell into one or more cell-type-specific groups.

### POSTMORTEM TISSUE ACQUISITION

We obtained 42 fresh-frozen postmortem cortical tissue blocks (1 to 2 cm<sup>3</sup>) from the superior or middle frontal gyrus of dorsolateral prefrontal cortex, posterior superior temporal cortex, or occipital cortex (Brodmann's area 17) from children, 2 to 15 years of age, with autism (case samples)

or without autism (control samples) (Table 1, and Table S2 in the Supplementary Appendix, with details including cause of death). There was no preselection for either case or control samples with specific clinical endophenotypes. Selected case samples represented nearly the entirety of tissue suitable for study at the Brain and Tissue Bank for Developmental Disorders at the National Institute of Child Health and Human Development and the Harvard Brain Tissue Resource Center (see the Supplementary Appendix for a further description of exclusionary criteria). Samples that passed quality-control measures included 22 blocks of dorsolateral prefrontal cortex (from eight boys and three girls in each group), 5 blocks of posterior superior temporal cortex (2 case samples from boys and 3 control samples from boys), and 6 blocks of occipital cortex (3 case samples from boys and 3 control samples from boys) (mean [ $\pm$ SD] RNA integrity number,  $7.10\pm 1.74$  for case samples;  $7.04\pm 1.71$  for control samples) (Fig. 1A).

#### MARKER-BASED PHENOTYPING

##### Study I

Each sample of dorsolateral prefrontal cortex (8 case samples and 8 control samples from boys) was serially cryosectioned (20- $\mu$ m thickness) in a plane of section containing all cortical layers (Fig. 1B). Sections were grouped into 10 series of 30 sections per series; 24 sections were labeled with the use of markers for in situ hybridization, 2 sections were Nissl-stained for gross anatomic and cellular cytoarchitectural analysis, and 4 sections were left unstained for future use. We modified the methods for automated high-throughput in situ hybridization and acquisition of whole-slide digital imaging for processing postmortem samples of young human postnatal fresh-frozen brain tissue.<sup>25</sup>

##### Study II

We serially sectioned each block and used in situ hybridization to label samples of dorsolateral prefrontal cortex from the 3 case samples and 3 control samples from girls, plus tissue from temporal cortex from boys (2 case samples and 3 control samples) and occipital cortex from boys (3 case samples and 3 control samples) to assay the expression of five genes: *CALB1*, *RORB*, *PCP4*, *PDE1A*, and *NEFL*. These genes represent a subset of the genes that showed robust changes in the case samples from boys that were ana-

**Table 1. Clinical Data on the 11 Patients with Autism in the Study.\***

Patient No.	Age yr	Sex	Score on Autism Diagnostic Interview–Revised			Intellectual Disability†
			Social	Communication	Restrictive and Repetitive	
12	2	M	14	9 (NV)	6	No
13	4	F	26	13 (NV)	3	Yes
14	5	M	NA	NA	NA	Yes
15	5	F	24	20 (V)	7	Yes
16	7	M	22	18 (V)	8	Yes
17	8	M	19	14 (NV)	4	No
18	8	M	NA	NA	NA	Yes
19	8	M	24	10 (NV)	10	Yes
20	9	M	24	20 (V)	6	No
21	14	M	22	14 (NV)	8	Yes
22	15	F	22	21 (V)	5	No

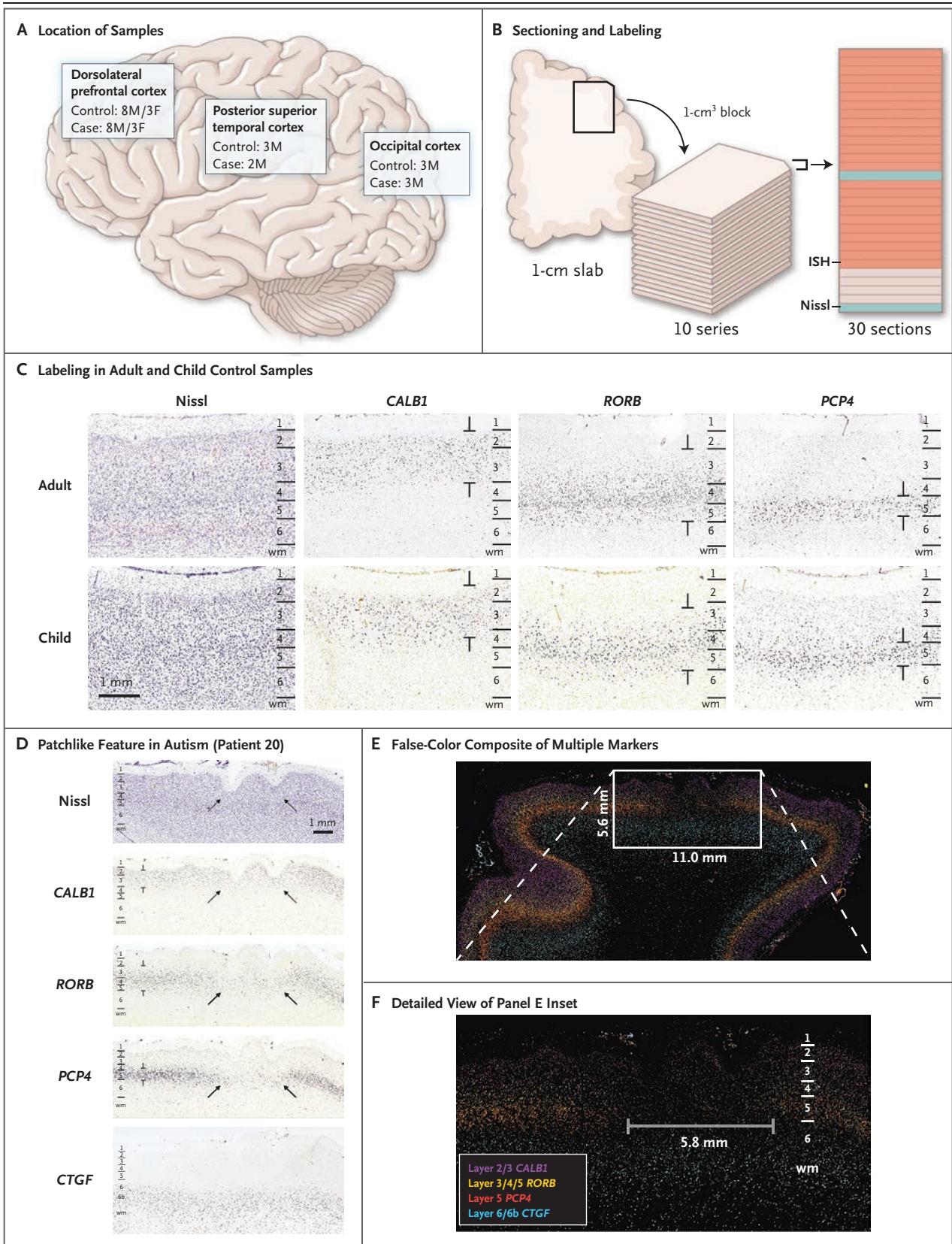
\* To meet the diagnostic classification of autism, the patient must have met cutoff scores in each of three areas of the Autism Diagnostic Interview–Revised (Western Psychological Services): the Qualitative Abnormalities in Reciprocal Social Interaction section (with a cutoff score of 10), the Qualitative Abnormalities in Communication portion (with a cutoff score of 7), and the Restricted, Repetitive, and Stereotyped Patterns of Behavior section (with a cutoff score of 3). All patients met or exceeded cutoffs for a diagnostic classification of autism on the basis of this instrument, except for Patients 14 and 18, for whom no scores were available (NA). The diagnosis of autism in these patients was verified by brain banks and confirmed by a review of records by a research psychologist independent of the brain banks. Additional diagnostic details regarding the patients with autism (listed here) and the controls (Patients 1 to 11) are provided in Table S2 in the Supplementary Appendix. NV denotes nonverbal, and V verbal.

† Intellectual disability status was determined on the basis of available standardized IQ scores for Patients 12 through 16 and of a review of available patient records without a standardized IQ measure for Patients 17 through 22.

lyzed in study I. (See the Methods section in the Supplementary Appendix for further details regarding the gene selection.)

#### RATING OF MARKER EXPRESSION

We scored all data obtained by in situ hybridization for each gene on each specimen using a 3-point scale: 0 for normal, 1 for mild abnormality, and 2 for severe abnormality. A specimen was considered to be abnormal if we identified at least one of the following three criteria in three or more adjacent sections: the intensity of gene expression appeared to be reduced or disrupted, as compared with that in controls; gene expression was abnormal owing to a qualitative change in the number of labeled cells, as compared with the number in adjacent areas; or the pattern of gene expression that was specific to the cell type



**Figure 1 (facing page). Methods and Results of Marker-Based Phenotyping of Autism Case Samples and Control Samples.**

Panel A shows the locations of the dorsolateral prefrontal cortex (from which case and control samples were obtained from eight boys [M] and three girls [F] each), posterior superior temporal cortex (from which case samples were obtained from two boys, and control samples from three boys), and occipital cortex (from which case and control samples were obtained from three boys each). Panel B shows the sectioning and labeling procedure, in which blocks of tissue measuring 1 cm<sup>3</sup> were cut from frozen slabs and sectioned into 10 series of 30 sections per series with a section thickness of 20 μm. Each section was then labeled and imaged at 1 μm per pixel, with sections analyzed by means of in situ hybridization (ISH, in orange) or Nissl staining (in blue). The remaining sections were kept unstained for future use. Panel C shows raw images labeled with the use of ISH with the nearest Nissl-labeled images for anatomical reference. Laminal expression patterns appear to be similar in unaffected adults (top row), which have been described previously, and in unaffected children in our study (bottom row). Annotations demarcate regions of layer-specific expression labeling. Panel D shows raw images of an identified patchlike region of aberrant laminar expression in a sample obtained from Patient 20, which was labeled with the use of ISH. Arrows show decreased or absent expression across neuronal markers in layers 2 or 3 through 5, with normal-appearing expression for marker *CTGF* in deep layer 6b. The nearest Nissl-labeled image also shows indications of polymicrogyria. Panel E shows a false-color image overlay generated from multiple markers, indicating a focal region of aberrant expression adjacent to normal-appearing cortex. Panel F shows a detailed view of the inset area in Panel E, indicating the transition region from normal-appearing cortex to a patch region.

or layer appeared to be abnormal, as compared with controls. One investigator examined and scored all sections in every case, and a second investigator at a different location, who was unaware of the scores of the first investigator, independently examined and scored all sections. The two sets of scores were then compared and evaluated for the degree of concordance. (Details regarding scoring are provided in the Methods section in the Supplementary Appendix.)

**EXPRESSION OVERLAY AND THREE-DIMENSIONAL RECONSTRUCTION**

To visualize coregistered regions of abnormal expression across genes, multicolor overlays were created by thresholding and manually registering and compositing series of images on in situ hy-

bridization with the use of Adobe Photoshop CS5 (Adobe Systems). Pseudoexpression density representations were created for each image on in situ hybridization and aligned to form an interpolated image volume. The image volumes were rendered in a molecular visualization tool (UCSF Chimera<sup>26</sup>) to capture the full three-dimensional microstructure of cortical layering within the tissue block.

**RESULTS**

**EXPRESSION ANALYSES**

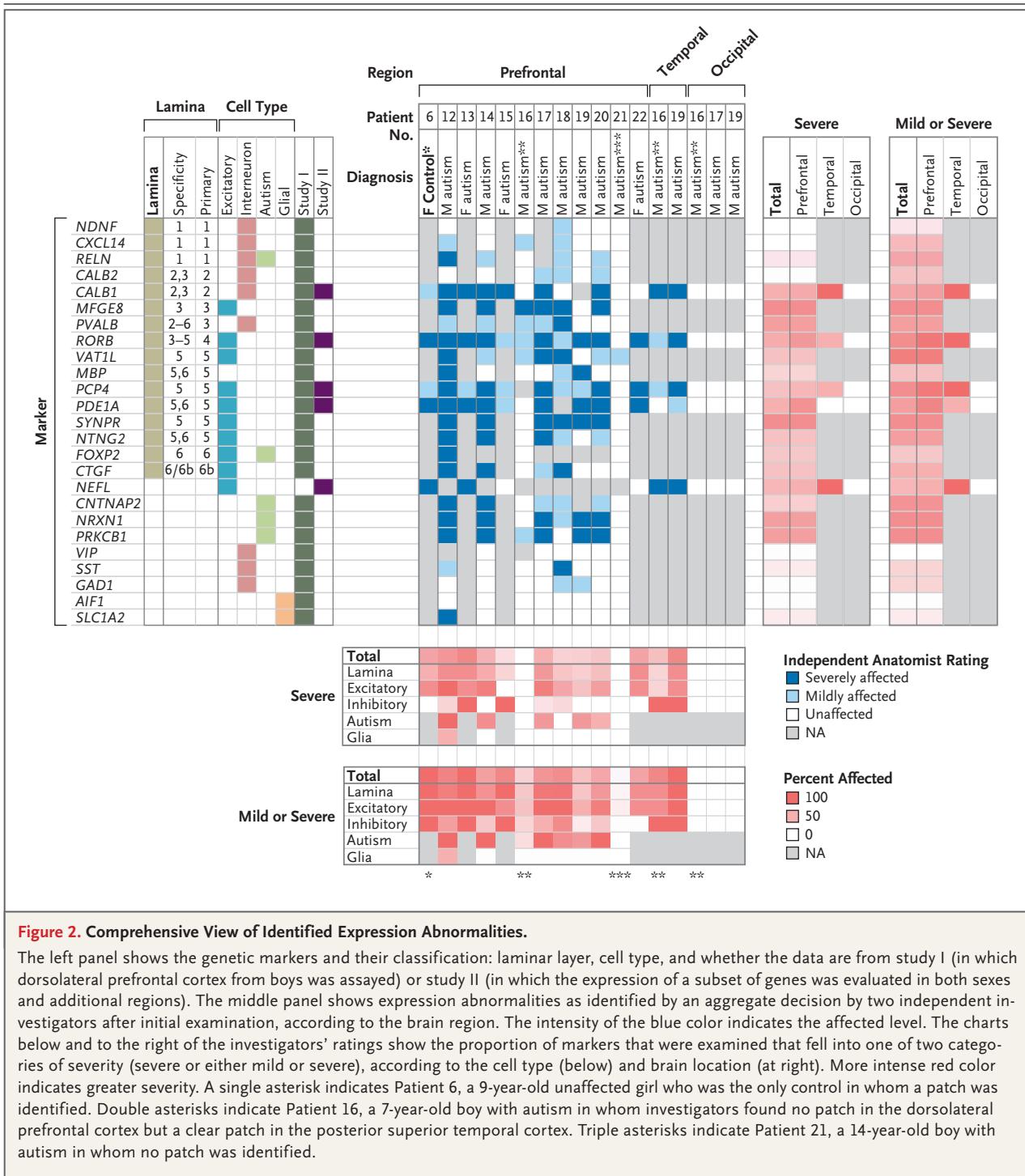
To provide an initial description of the disease in the cortex of children with autism, we conducted in situ expression analyses, using an exploratory design that made use of sparse sampling across a wide range of areas within the dorsolateral prefrontal cortex, posterior superior temporal cortex, and occipital cortex of children with and children without autism.

**LAMINAR EXPRESSION PROFILES IN CONTROL CORTEX**

Laminar expression patterns that were examined in the 11 samples from unaffected children appeared to be similar to those in unaffected adults, as described previously<sup>27</sup> (Fig. 1C), with one exception. A sample from a 9-year-old unaffected girl (Patient 6) showed a focal abnormality: a patchlike region (6 mm in length) of reduced labeling of multiple markers in layers 3, 4, and 5 of dorsolateral prefrontal cortex (Fig. S2 in the Supplementary Appendix).

**ABERRANT LAMINAR EXPRESSION PATTERNS**

Focal regions of reduced expression or unusual patterns of markers on in situ hybridization were identified in 10 of 11 case samples by independent raters (86% interrater concordance for detection and 75% agreement on specific level of severity for 181 ratings) (Fig. 2). These regions, hereafter referred to as patches, were identified by the presence of patchlike abnormalities ranging from 5 to 7 mm in length in one or more independent markers, adjacent to regions of apparently unaffected cortex (i.e., cortex that appeared to be very similar to that of unaffected children). The presentation of aberrant labeling extended through multiple sections and most often involved a local reduction in the expression of a subset of cellular markers. In samples obtained from 3 of the 11 children with autism, we observed areas containing in-



**Figure 2. Comprehensive View of Identified Expression Abnormalities.**

The left panel shows the genetic markers and their classification: laminar layer, cell type, and whether the data are from study I (in which dorsolateral prefrontal cortex from boys was assayed) or study II (in which the expression of a subset of genes was evaluated in both sexes and additional regions). The middle panel shows expression abnormalities as identified by an aggregate decision by two independent investigators after initial examination, according to the brain region. The intensity of the blue color indicates the affected level. The charts below and to the right of the investigators' ratings show the proportion of markers that were examined that fell into one of two categories of severity (severe or either mild or severe), according to the cell type (below) and brain location (at right). More intense red color indicates greater severity. A single asterisk indicates Patient 6, a 9-year-old unaffected girl who was the only control in whom a patch was identified. Double asterisks indicate Patient 16, a 7-year-old boy with autism in whom investigators found no patch in the dorsolateral prefrontal cortex but a clear patch in the posterior superior temporal cortex. Triple asterisks indicate Patient 21, a 14-year-old boy with autism in whom no patch was identified.

creased density of labeled cells immediately adjacent to patch regions (data not shown).

We identified the majority of patches using markers specific for layers 4 and 5. However, no two patches were identical in presentation. Patches within one case sample had a similar

pattern of labeling. We observed heterogeneity between case samples with respect to the layers and the cell types that were most abnormal. Samples obtained from a 9-year-old boy with autism (Patient 20) showed the clearest presentation of a patch phenotype, with reduced ex-

pression found across multiple independent markers within a 5.8-mm diameter patch of cortex (Fig. 1D, 1E, and 1F).

Patches were identified in both dorsolateral prefrontal cortex (in 10 of 11 case samples) and posterior superior temporal cortex (in 2 of 2 case samples) (Fig. 2). No abnormal expression patterns of any markers were identified in the occipital cortex in 3 case samples or in the posterior superior temporal cortex or occipital cortex in 3 control samples.

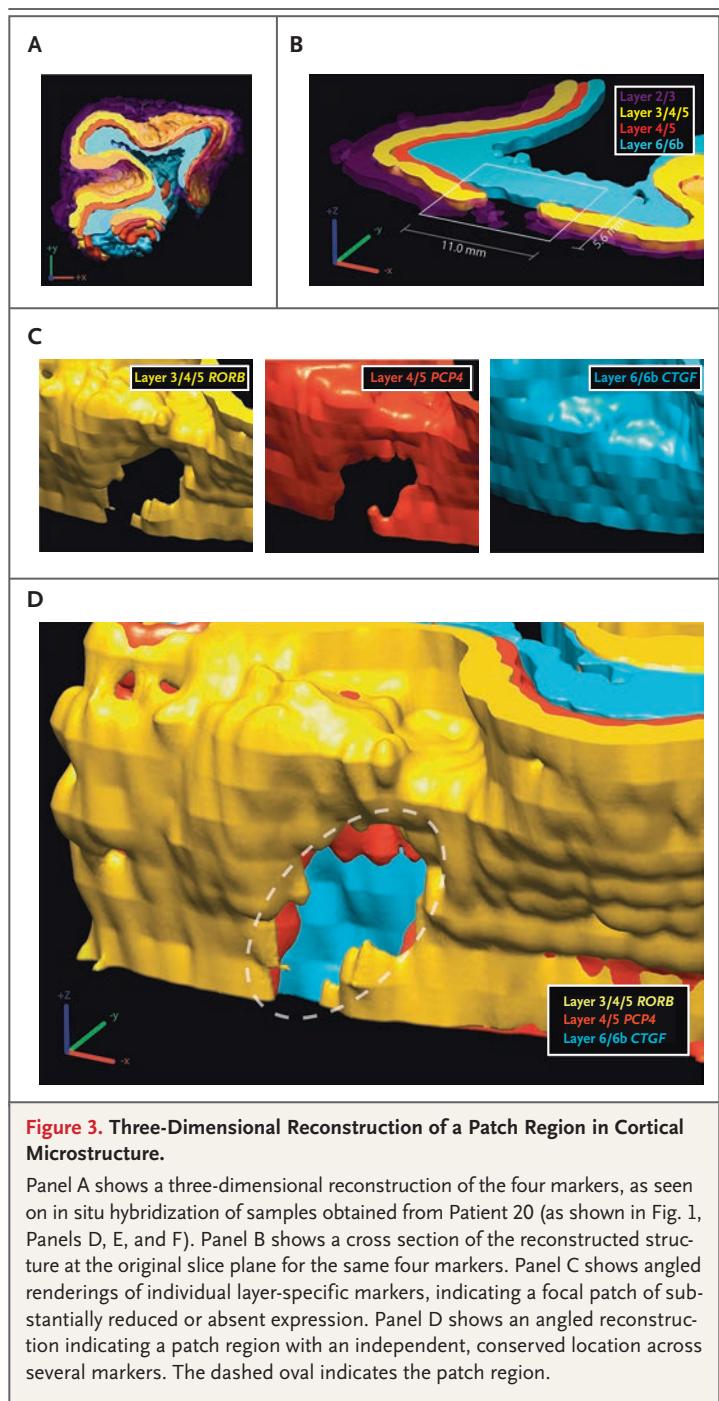
### THREE-DIMENSIONAL RECONSTRUCTION OF LAMINAR DEFECTS

To better describe the complete microstructure of the patch phenotype, we performed three-dimensional reconstruction of laminar organization in regions of patches, using four layer-specific markers for four samples of dorsolateral prefrontal cortex (two case samples and two control samples). This method enabled visualization of marker distribution independent of the original plane of section and confirmed that patch regions of multiple markers were closely aligned. Figure 3 shows the surface reconstruction of cortex in a child with autism (Patient 20 from Fig. 1) with a prominent pathological patch spanning several independent markers immediately adjacent to typical-appearing cortex.

### MARKER EXPRESSION IN PATCH REGIONS

A deficit in the expression of markers of excitatory cortical neurons was the most robust indicator of a patch region, although abnormalities were identified in three of the four cell-type-specific groups that were examined (Fig. 2). Markers that were encoded by each of the five autism candidate genes showed mild patch abnormalities across the majority of case samples that were examined. Most interneuron markers (e.g., *PVALB* and *CALB1*) showed mild abnormalities, which were inconsistently present within patches across case samples, with a few exceptions (e.g., *GAD1* and *VIP*) that appeared to be relatively unaffected in all case samples that were analyzed.

With one exception (*SLC1A2* in Patient 12), glia-specific markers showed the same labeling patterns in case samples and control samples across the different regions of cortex, including tissue that contained patch abnormalities in other markers (Fig. S9 in the Supplementary Appendix). This finding supports the premise that features



of patches cannot be attributed primarily to the global down-regulation of gene expression.

### NO REDUCTION IN NEURON DENSITY

To determine whether expression abnormalities across multiple genes were due to a reduction in the number of neurons at discrete locations, we performed post hoc blinded stereologic density

measurements using Nissl sections adjacent to sections showing patches in dorsolateral prefrontal cortex. We measured pan-laminar neuronal and glial density across two regions (one containing the patch and the other distant to the patch) per sample across a minimum of five Nissl sections that spanned a minimum of 3 mm of cortex. We observed a small but significant increase in the average neuronal density in the patch regions in case samples as compared with control samples ( $P=0.01$  by two-tailed *t*-test). In case samples, there was a small but nonsignificant increase in the neuronal density in patch regions as compared with the region of adjacent cortex ( $P=0.10$ ). On the basis of these results, we infer that regions of focal patches were not the result of a reduced number of neurons (Fig. S8 in the Supplementary Appendix).

#### QUANTITATIVE VALIDATION OF FINDINGS

We performed a quantitative reverse-transcriptase–polymerase-chain-reaction (RT-PCR) assay to validate the semiquantitative findings on *in situ* hybridization. We examined additional tissue blocks obtained from the four case samples with the most severe patches from study I. In these samples, we further identified one severe patch and one mild patch. Focusing on the case sample with the severe patch, we used laser capture microdissection guided by *in situ* hybridization to isolate the patch and adjacent regions of interest and used RT-PCR to assay messenger RNA transcript levels of *CALB1*. Consistent with the results obtained on *in situ* hybridization, we observed that the *CALB1* signal was greatly decreased (by a mean factor of  $11.02 \pm 1.51$  in three samples) in the isolated patch, as compared with the region of adjacent cortex (Fig. 4).

#### DISCUSSION

Using a large panel of highly selective markers for specific cell subtypes and a subset of autism candidate genes, we detected discrete pathological patches of abnormal laminar cytoarchitecture and disorganization in the majority of analyzed samples of prefrontal and temporal cortexes, but not occipital cortex, obtained from the boys and girls with autism who were included in our study. Serial analysis and three-dimensional reconstruction of multiple cellular markers revealed these

regions to be focal patches of abnormal gene expression measuring 5 to 7 mm in length and spanning multiple contiguous neocortical layers. These patches were characterized by a decrease in the number of cells expressing layer- or cell-type-specific markers that are normally present in fully differentiated cortical neurons, as well as decreased expression of certain autism candidate genes.

The presentation of the patches was consistent within case samples but varied across cases. No cortical layer was uniformly spared, and the clearest evidence of abnormal expression was found in layers 4 and 5. Reduced marker expression was not due to a reduced number of neurons; the identity of the unlabeled neurons in the patches remains to be determined. These neurons may be layer-appropriate neurons that failed to express the marker, neurons in an immature or perturbed developmental state, or layer-inappropriate neurons. Our data are consistent with an early prenatal origin of autism or at least prenatal processes that may confer a predisposition to autism.

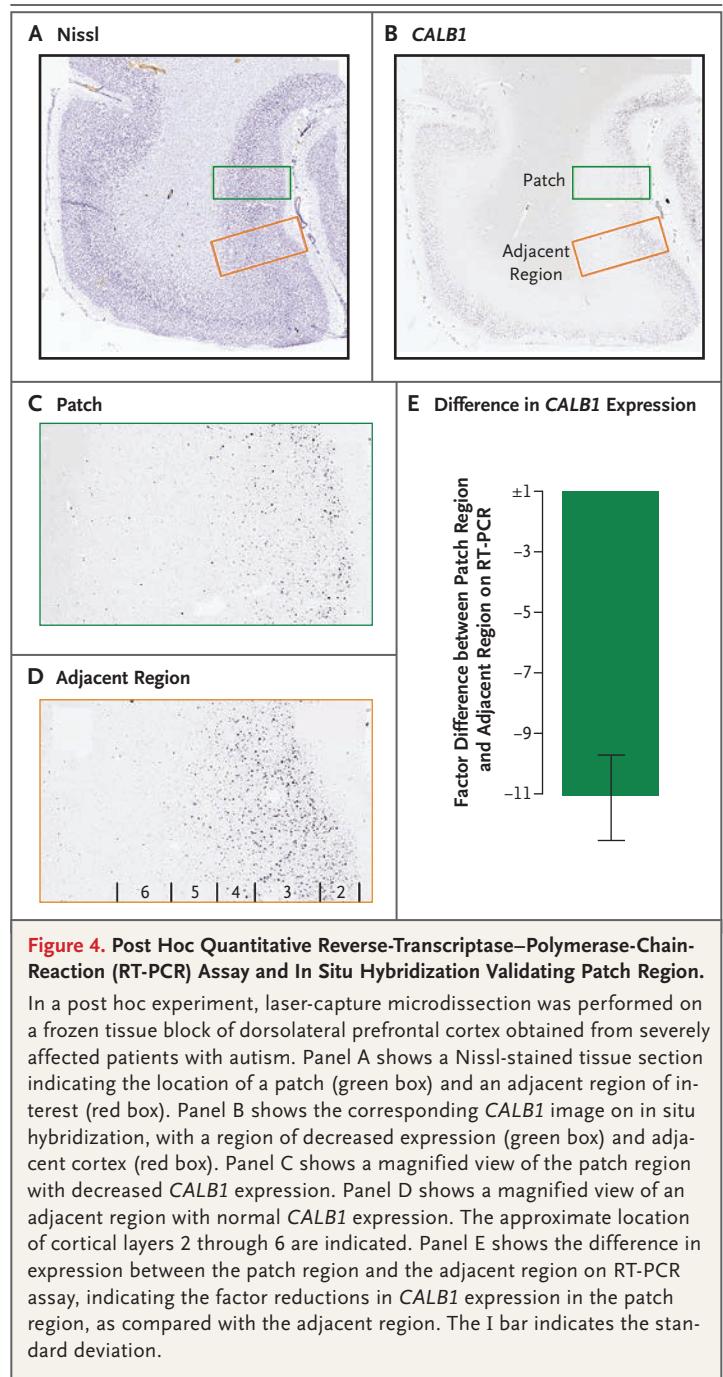
Although our data suggest a novel pathological mechanism in autism, they do not identify the mechanism. The identified laminar disorganization could result from migration defects resulting in the failure of cells to reach their targeted destination and the accumulation of such cells in nearby regions, as has been seen in mouse models.<sup>28</sup> Alternatively, patches could reflect *de novo* changes early in neurodevelopmental processes, potentially in gene sequence or epigenetic state, which yield patch regions of affected progenitor cells adjacent to regions of unaffected progenitor cells. To test either model, a targeted analysis across large regions of neocortical tissue obtained from children with autism would be required, with detailed comparisons of gene sequence, methylation state, and expression profiles in identified regions with cortical patches, as compared with regions without such patches.

Even though we did not preselect for specific clinical endophenotypes, we identified pathological cortical patches in 10 of 11 case samples (91%) and in 1 of 11 control samples (9%). Because we sampled only small portions of cortex yet observed focal patches in nearly every case sample, the most parsimonious explanation is

that pathological patches are widespread across prefrontal and temporal cortex in children with autism. Given the well-described phenotypic heterogeneity in autism, the presence of a relatively similar pathological feature across cases was unexpected. However, the features that we describe here may explain some of the heterogeneity of autism: disorganized patches in different locations could disrupt disparate functional systems in the prefrontal and temporal cortexes and potentially influence symptom expression, response to treatment, and clinical outcome. Within this model, the observation of an apparent patch in one control sample also raises the possibility of a subclinical patch phenotype.

We did not observe in the patches obvious abnormalities of marker expression specific to either microglia or astroglia, a finding that shows that the lack of in situ signal was not a nonspecific-tissue or processing artifact affecting messenger RNA integrity in general. The post hoc RT-PCR experiment guided by in situ hybridization further confirmed our original finding that patch regions represent areas of quantitative decrease in signal rather than artifacts from processing.

The strength of the standardized high-throughput colorimetric in situ hybridization used here is the reproducibility of labeling across large gene panels and the sensitivity of the method to label the soma of expressing cells in serial thin-tissue sections. This platform has been used extensively for genomewide mapping of brainwide transcript distributions in mouse brain<sup>25</sup> and for targeted analysis in brain tissue obtained from nonhuman primates and from humans ([www.brain-map.org](http://www.brain-map.org)). Our study design was informed by the accumulated knowledge of cell-type-specific gene expression and was tested to show similar specificity in a subset of genes that, when expressed, selectively label tissues in children's brains. Although in situ hybridization is semiquantitative, we were able to identify focal differences in cellular (laminar) distributions and decreased expression levels across cortical regions. We advise caution, however, in defining any nonpatch region as "normative" autism cortex, because previous studies have shown widespread pathological features (e.g., overabundance of neurons) in the prefrontal cortex of children with autism.<sup>3</sup>



Although our sample size was small in comparison with postmortem studies of adult diseases, it is as large as or larger than that in most previous postmortem studies of autism. The study was not limited by tissue quality, since frozen blocks obtained from each patient were evaluated and selected for high RNA integrity

numbers before being sectioned and stained on in situ hybridization. Interpretation was not confounded by other variables of interest: pathological cortical patches were present in boys and girls, in high- and low-functioning children, and regardless of the cause of death or postmortem interval. The only two children with autism who had a history of medical complications were those with the least severe patch defects: Patient 21, the only child with autism in whom we did not detect patches, was the only child in our study with a history of severe seizures, and Patient 16, who had in utero exposure to cocaine and heroin, had the mildest pathological features with respect to patches (Table S2 in the Supplementary Appendix). Otherwise, prenatal and perinatal developmental histories were unremarkable and did not involve prematurity.

In conclusion, we identified discrete patches of disorganized cortex in the majority of postmortem samples obtained from young autistic children that we examined. These patches occurred in regions mediating the functions that are disturbed in autism: social, emotional, communication, and language functions. Such abnormalities may represent a common set of developmental neuropathological features that underlie autism

and probably result from dysregulation of layer formation and layer-specific neuronal differentiation at prenatal developmental stages.

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Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

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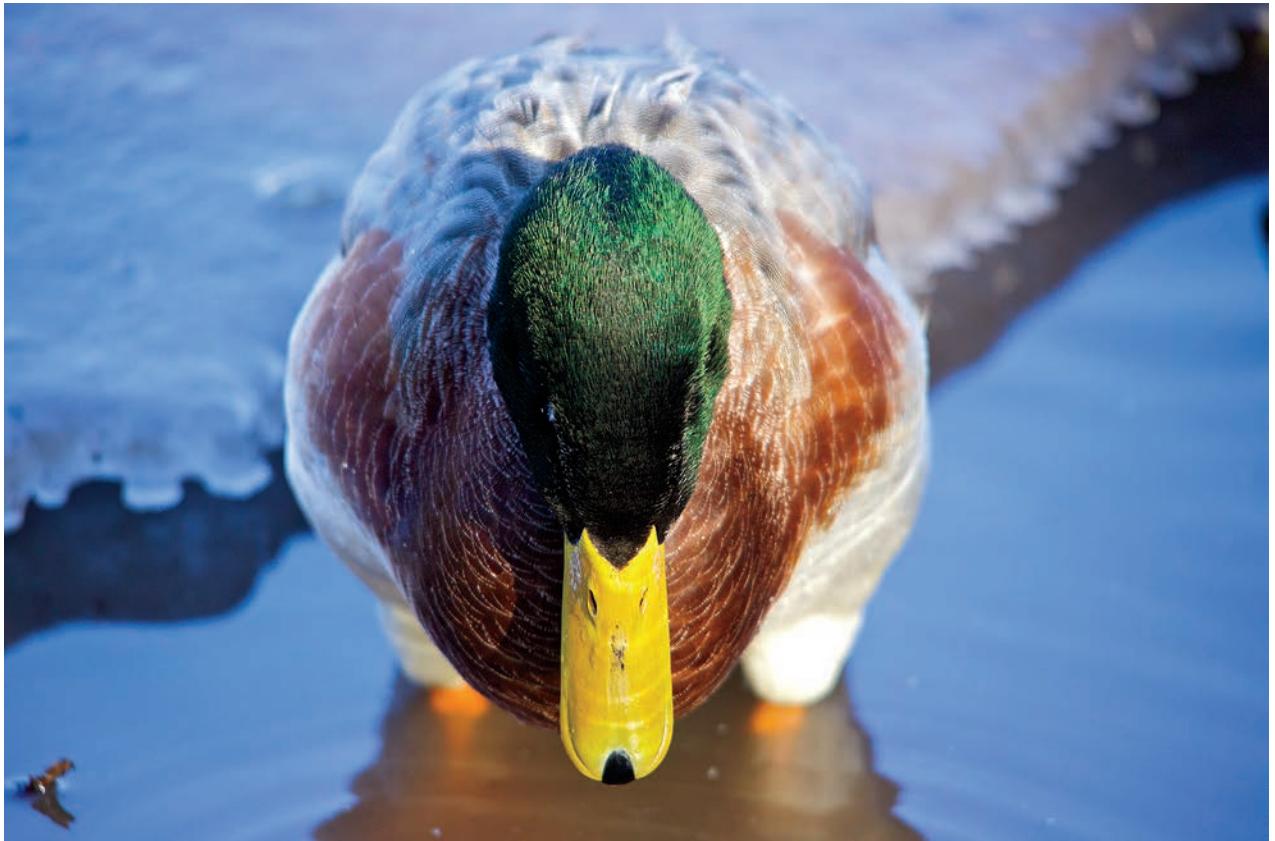
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